

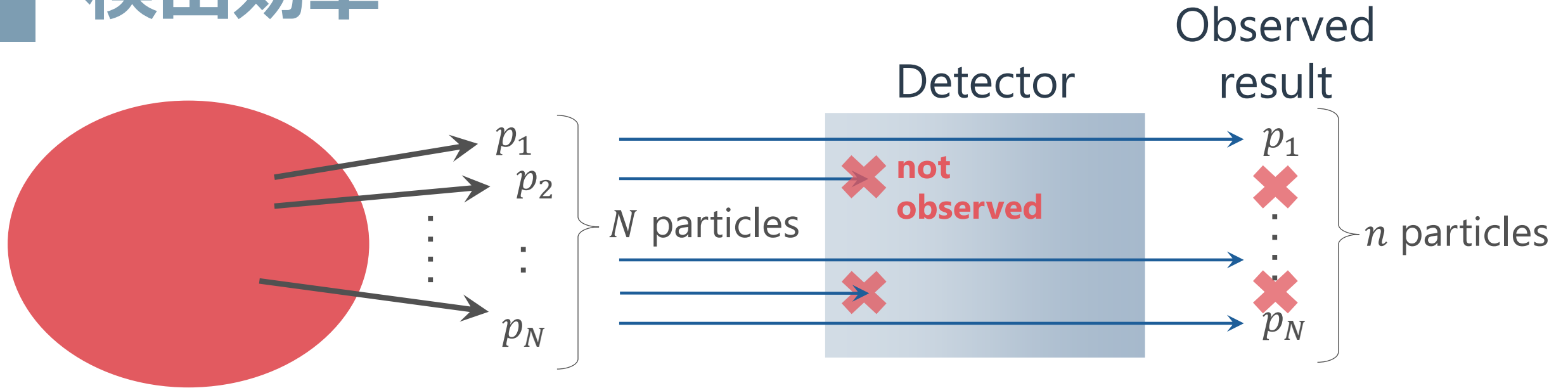
# 粒子平均量の検出効率補正について

北沢正清（京大基研）

江角晋一、新井田貴文、野中俊宏

MK, Esumi, Niida, Nonaka, arXiv:2510.18383, PTEP (2026) in press.

# 検出効率

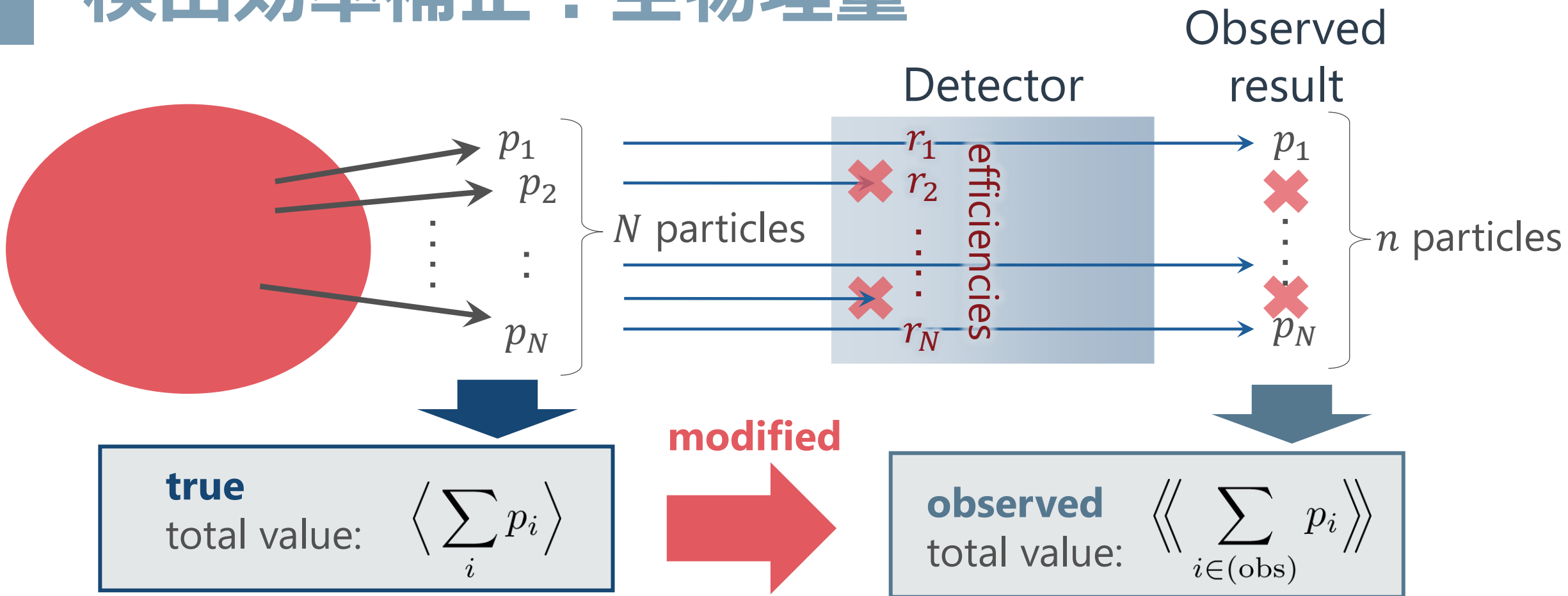


Real detectors cannot detect all particles



Observed results are modified.  
Effects must be corrected to obtain the true result.

# 検出効率補正：全物理量



Correction Formula:

$$\langle \sum_i p_i \rangle = \langle\langle \sum_i \frac{p_i}{r_i} \rangle\rangle$$

# Moments (Cumulants) of Total Number

$$\left\langle \left( \sum_i p_i \right)^n \right\rangle$$

# Moments (Cumulants) of Total Number

$$\left\langle \left( \sum_i p_i \right)^n \right\rangle$$

Correction Procedure:

Use **factorial moments/cumulants**

$$\left\langle \left( \sum_i p_i \right)^n \right\rangle_f = \left\langle\left\langle \left( \sum_i \frac{p_i}{r_i} \right)^n \right\rangle\right\rangle_f$$

Assumption: efficiencies of individual particles are independent

Nonaka, MK, Esumi ('17)

Asakwa, MK, PPNP ('16); MK, Luo ('17)

# Moments (Cumulants) of Total Number

$$\left\langle \left( \sum_i p_i \right)^n \right\rangle$$

## Correction Procedure:

Use **factorial moments/cumulants**

$$\left\langle \left( \sum_i p_i \right)^n \right\rangle_f = \left\langle \left\langle \left( \sum_i \frac{p_i}{r_i} \right)^n \right\rangle \right\rangle_f$$

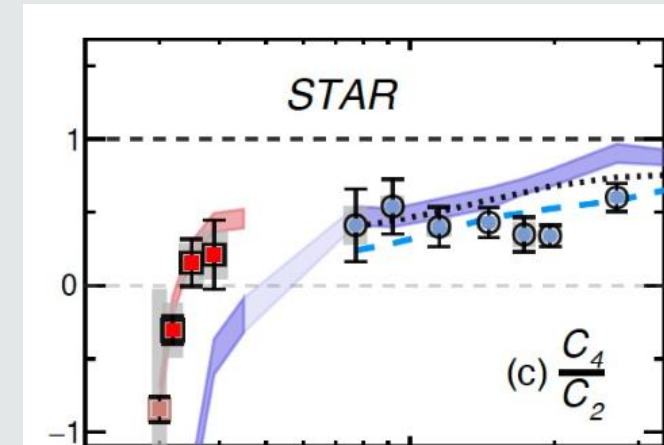
Assumption: efficiencies of individual particles are independent

Nonaka, MK, Esumi ('17)

Asakawa, MK, PPNP ('16); MK, Luo ('17)

## Note

Search for QCD-CP using conserved-charge fluctuations



Long history of efficiency correction:  
MK, Asakawa ('12); Bzdak, Koch ('12,'15);  
Luo ('14); MK ('16); Nonaka+ ('16); Bzdak,  
Holtzman, Koch ('16); MK, Luo ('17); Nonaka,  
MK, Esumi ('17); ...

# Particle-Averaged Quantities

$$\left\langle \frac{1}{N} \sum_i p_i \right\rangle, \quad \left\langle \left( \frac{1}{N} \sum_i p_i \right)^n \right\rangle, \quad \left\langle \frac{1}{N(N-1)} \sum_{i \neq j} p_i^{(1)} p_j^{(2)} \right\rangle$$

# Particle-Averaged Quantities

$$\left\langle \frac{1}{N} \sum_i p_i \right\rangle, \quad \left\langle \left( \frac{1}{N} \sum_i p_i \right)^n \right\rangle, \quad \left\langle \frac{1}{N(N-1)} \sum_{i \neq j} p_i^{(1)} p_j^{(2)} \right\rangle$$

**Many fundamental observables in HIC are of this form!**

mean  $p_T$ , flow anisotropy  $v_n\{m\}$ ,  $v_2 - p_T$  correlation, etc.

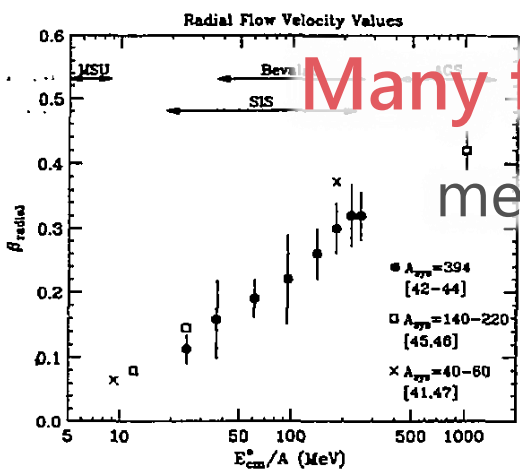
$$v_n\{2\} = \left\langle \frac{1}{N(N-1)} \sum_{i \neq j} e^{in(\phi_i - \phi_j)} \right\rangle$$

# Particle-Averaged Quantities

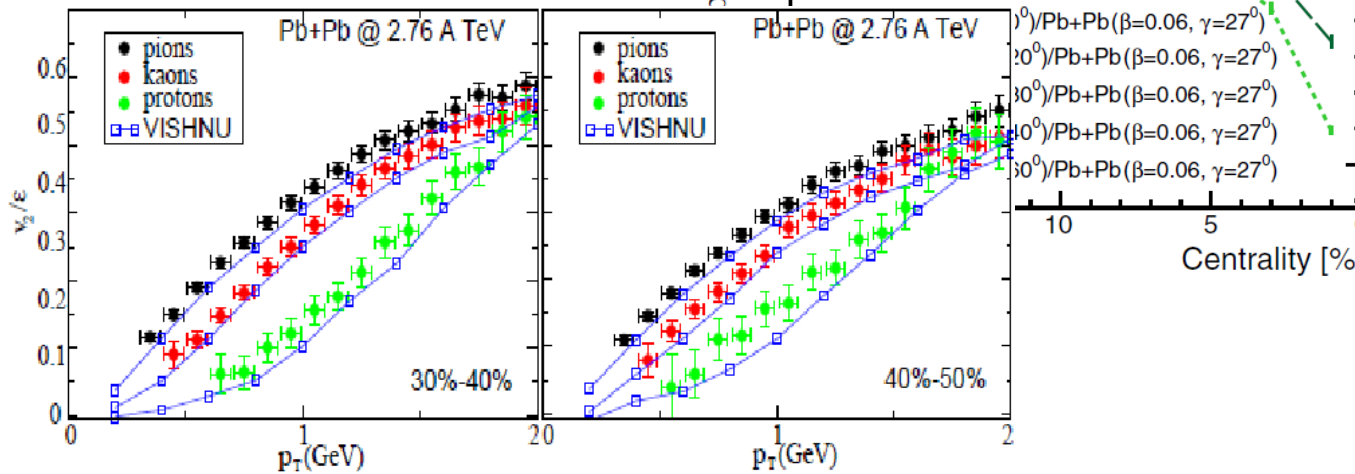
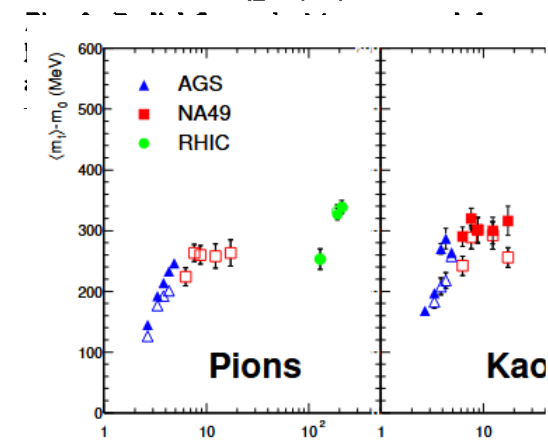
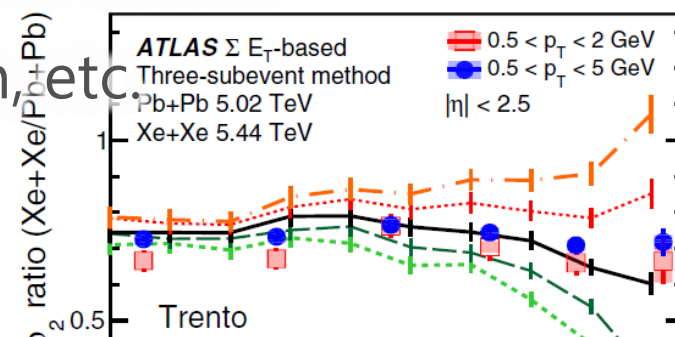
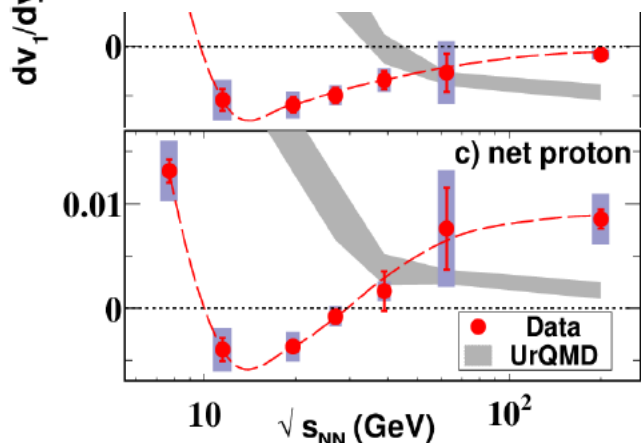
$$\left\langle \frac{1}{N} \sum_i p_i \right\rangle, \quad \left\langle \left( \frac{1}{N} \sum_i p_i \right)^n \right\rangle, \quad \left\langle \frac{1}{N(N-1)} \sum_{i \neq j} p_i^{(1)} p_j^{(2)} \right\rangle$$

Many fundamental observables in HIC are of this form!

mean  $p_T$ , flow anisotropy  $v_n\{m\}$ ,  $v_2 - p_T$  correlation, etc



$$v_n\{2\} = \left\langle \frac{1}{N(N-1)} \sum_{i \neq j} e^{in(\phi_i - \phi_j)} \right\rangle$$



# Particle-Averaged Quantities

$$\left\langle \frac{1}{N} \sum_i p_i \right\rangle, \quad \left\langle \left( \frac{1}{N} \sum_i p_i \right)^n \right\rangle, \quad \left\langle \frac{1}{N(N-1)} \sum_{i \neq j} p_i^{(1)} p_j^{(2)} \right\rangle$$

Many fundamental observables in HIC are of this form!

“Conventional” Correction Formulas

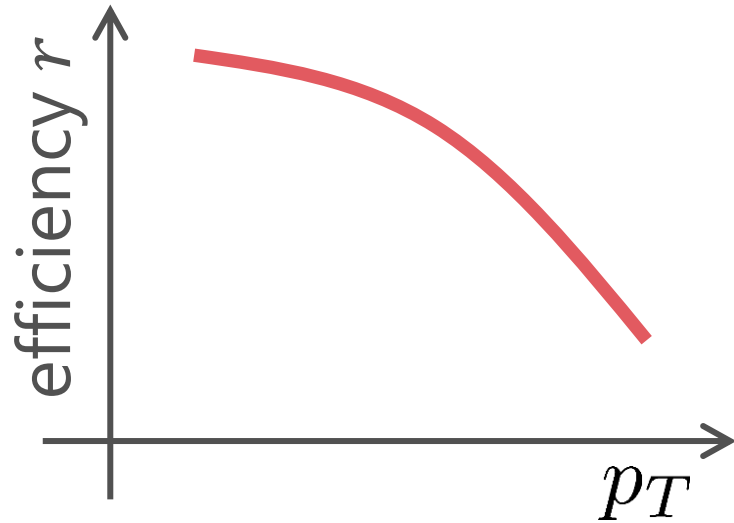
$$\left\langle \frac{1}{N(N-1)} \sum_{i \neq j} p_i^{(1)} p_j^{(2)} \right\rangle = \left\langle \left\langle \frac{\sum_{i \neq j} p_i^{(1)} p_j^{(2)} / r_i r_j}{\sum_{i \neq j} 1 / r_i r_j} \right\rangle \right\rangle$$

e.g. ATLAS, PRC107, 054910 ('23); STAR, Nature 635, 67 ('24)

**Question: Are these formulas correct?**

Caution: Detector's effect is more pronounced for higher-order correlations!

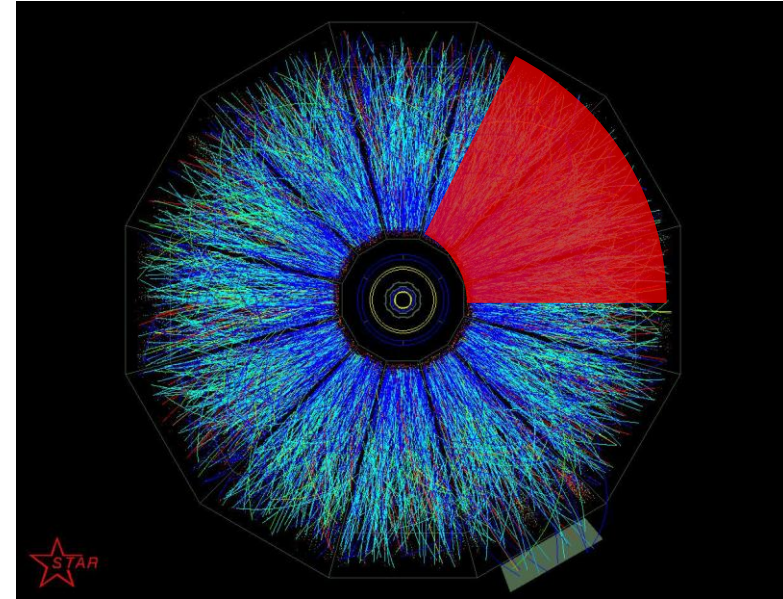
# Correction is Necessary!!



$p_T$ -dependent efficiency



alter mean  $p_T$



Azimuthally nonuniform efficiency



produce unphysical  $v_n\{m\}$

More serious effects on higher-order correlations!

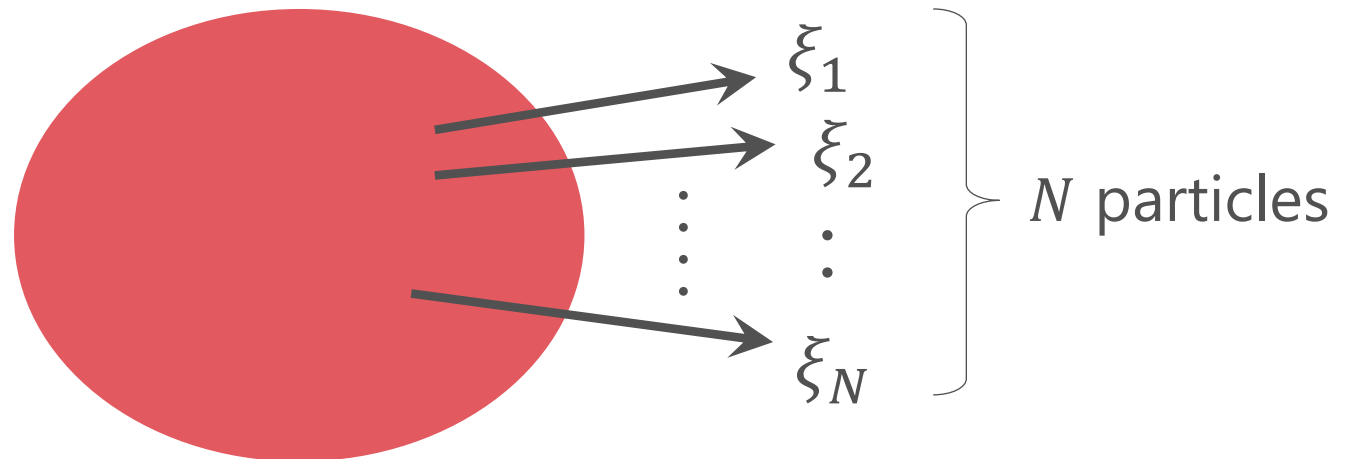
# Derivation of Correction Formulas

## Assumptions

1. Particle production is described by a classical prob. distr. func.  $P(N; \vec{p}_T)$ .
2. Probs. to observe individual particles are independent.
3. For each observed particle, the value of efficiency  $r_i$  can be specified.
4. Other detectors' effects are not considered.

True distr. func.

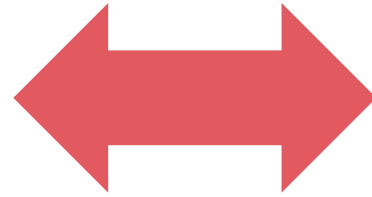
$$P(N; \vec{\xi})$$



# Connecting True/Observed Distr. Funcs.

True distr. func.

$$P(N; \vec{\xi})$$



Observed distr. func.

$$\tilde{P}(n; q)$$

- $n$ : observed particle number
- $q = \sum_{i \in (\text{obs.})} \xi_i$ : observed sum

## Probability Distr. of Observed Quantities (uniform $r$ )

$$\tilde{P}(n; q) = \sum_{N=1}^{\infty} \int d\vec{\xi} \sum_{\{b_i\}} \left[ \prod_{i=1}^N (1-r)^{1-b_i} r^{b_i} \right] \delta_{n, \sum_i b_i} \delta(q - \sum_i b_i \xi_i) P(N; \vec{\xi})$$

$$b_i = 0, 1$$

# Generating Function

MK, Esumi, Niida, Nonaka, PTEP2026

$$\text{Prob. distr. func: } \tilde{P}(n; q) = \sum_{N=1}^{\infty} \int d\vec{\xi} \sum_{\{b_i\}} \left[ \prod_{i=1}^N (1-r)^{1-b_i} r^{b_i} \right] \delta_{n, \sum_i b_i} \delta(q - \sum_i b_i \xi_i) P(N; \vec{\xi})$$

$$\text{Generating func: } \tilde{G}(s, t) = \sum_n \int dq \tilde{P} s^n t^q = \sum_N \int d\vec{\xi} P \prod_i (1-r + r s t^{\xi_i})$$

Represent the quantity that you want to express by the derivative of the generating function.

Then, represent it in terms of the observed variables.

$$\left\langle \frac{\sum_i \xi_i}{N} \right\rangle_{\text{true}} = \int_{\alpha}^1 ds \frac{r}{s} [\partial_t \tilde{G}(s, t)]_{t=1} = \left\langle \frac{\sum_i \xi_i}{n} (1 - \alpha^n) \right\rangle_{\text{obs}} \quad \alpha = \frac{r-1}{r}$$

**Note:**  $\left\langle \frac{\sum_i \xi_i}{N} \right\rangle_{\text{true}} \neq \left\langle \frac{\sum_i \xi_i}{n} \right\rangle_{\text{obs}}$   $\alpha^n$  term compensates the  $n = 0$  contribution.

# Results: Correction Formulas

MK, Esumi, Niida, Nonaka, PTEP2026

## Mean

$$\left\langle \frac{Q}{N} \right\rangle = \left\langle \left\langle \sum_{i=1}^n \xi_i k_i \right\rangle \right\rangle_{n \neq 0}$$

$$k_i = \frac{1}{r_i} \int_0^1 d\sigma \prod_{j \neq i} \frac{\sigma + r_j \alpha_j}{r_j}$$

## 2nd Order

$$\left\langle \frac{\{Q_1 Q_2\}}{N(N-1)} \right\rangle = \left\langle \left\langle \sum_{i \neq j} q_{1,i} q_{2,j} k_{2;i,j} \right\rangle \right\rangle_{n \neq 0,1}$$

$$k_{2;i,j} = \frac{1}{r_i r_j} \int_0^1 d\sigma' \int_0^{\sigma'} d\sigma \prod_{l \neq i, l \neq j} \left( \frac{\sigma}{r_l} + \alpha_l \right)$$

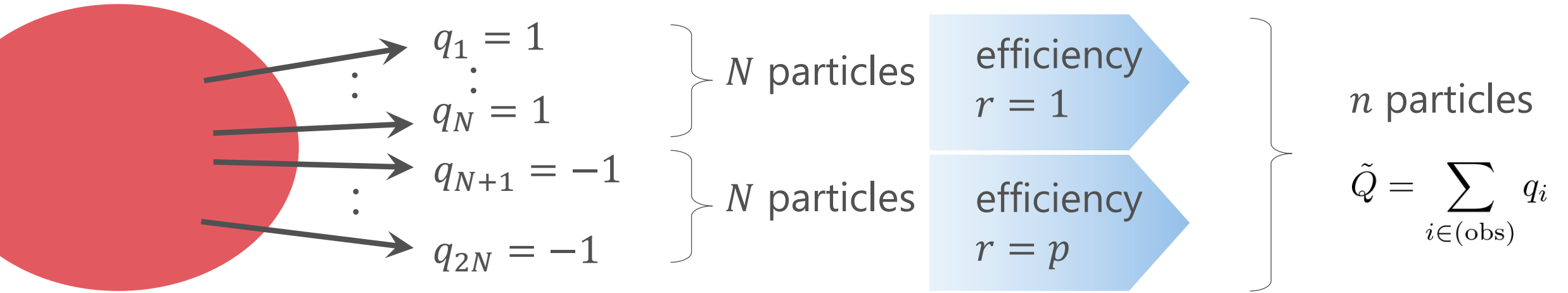
- Correction formulas are written in forms including integral.
- This formula can reproduce the correct result for the previous simple model.

$$\{Q_{w_1} Q_{w_2}\} \equiv \sum_{i \neq j} \xi_i^{(w_1)} \xi_j^{(w_2)}$$

$$\alpha_i = \frac{1 - r_i}{r_i}$$

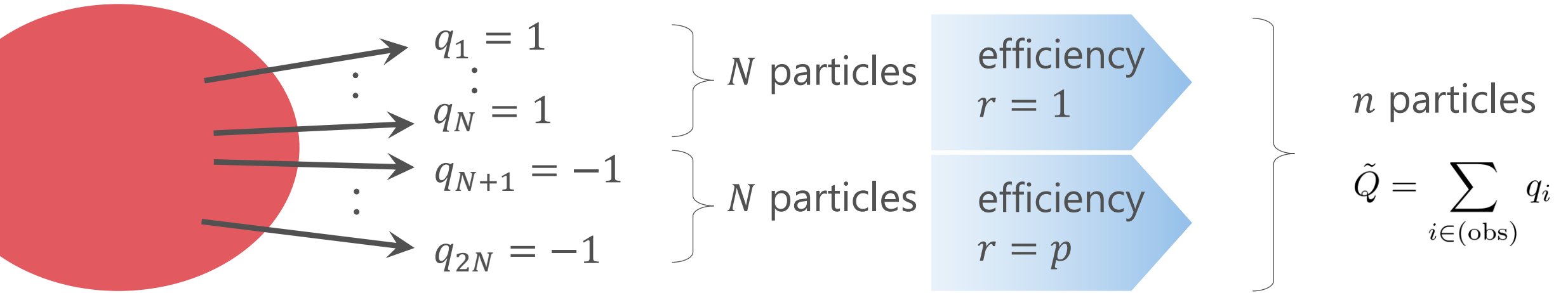
# Check in a Simple Model

$2N$ : fixed for all events



# Check in a Simple Model

$2N$ : fixed for all events



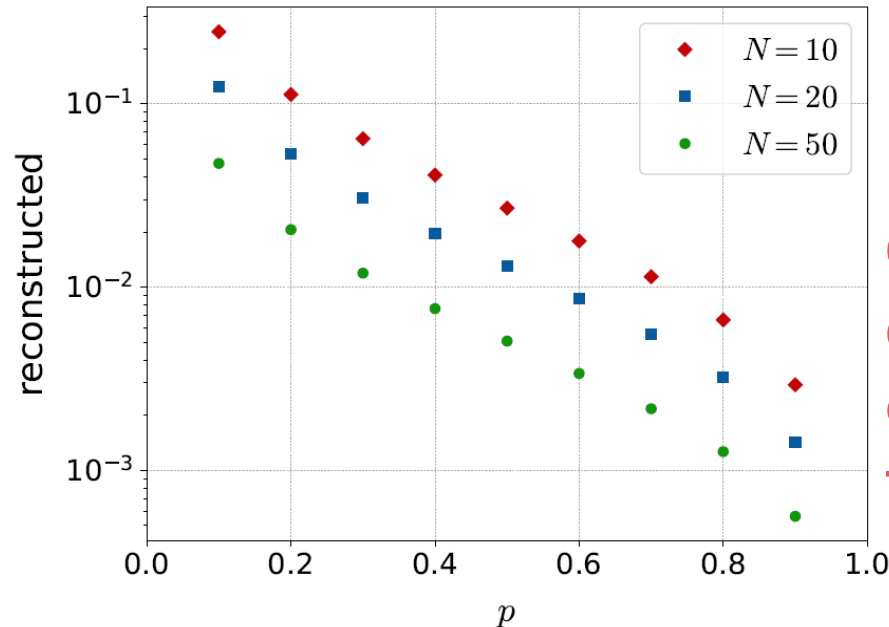
Mean:

True result

$$\left\langle \frac{Q}{N} \right\rangle = 0$$

Reconstructed

$$\left\langle \frac{\sum_i q_i / r_i}{\sum_i 1 / r_i} \right\rangle$$



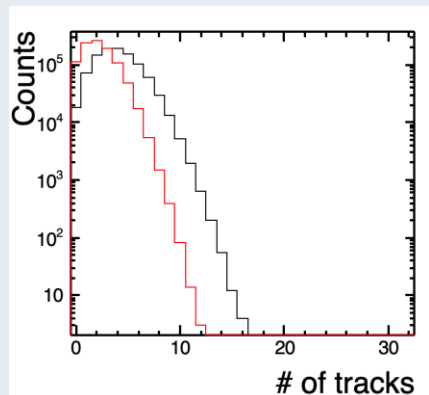
Conventional formula  
does not reproduce the  
correct result even for  
the mean!!

# Test in Toy Models

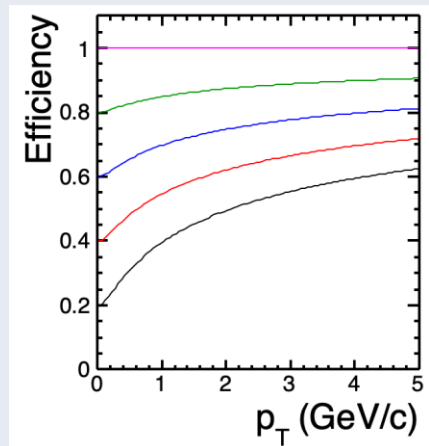
Nonaka+, in prep.

## Simulation Procedure

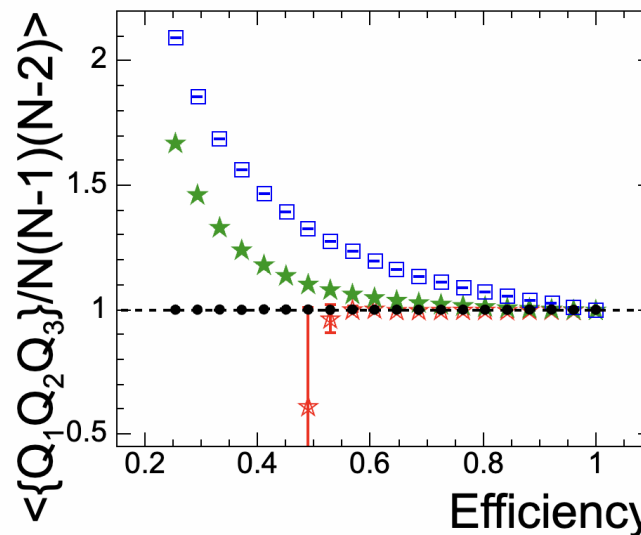
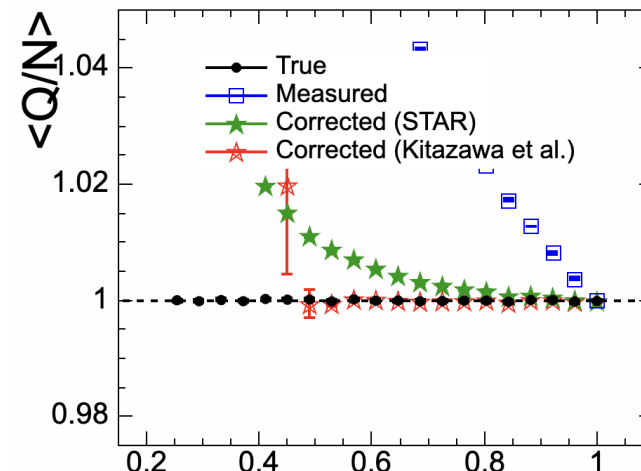
Generate  $p_T$  distr.



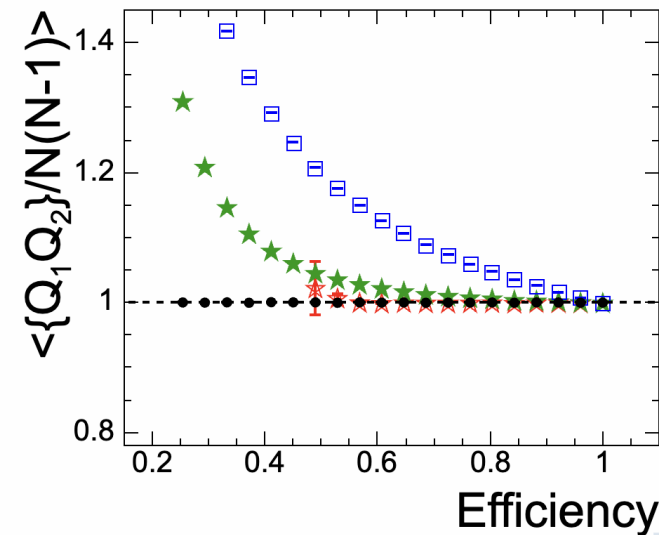
“Observation” with  $p_T$  dependent efficiency



## Results (mean $p_T$ )



- True (black circle)
- Measured (blue square)
- Corrected (STAR) (green star)
- Corrected (Kitazawa et al.) (red star)



検出ロスの測定への影響は、高次相関で増大

従来補正法は、正しい測定値を再現できない

# Summary

## Correct Efficiency Correction Formulas

$$\left\langle \frac{Q}{N} \right\rangle = \left\langle \left\langle \sum_{i=1}^n \xi_i k_i \right\rangle \right\rangle_{n \neq 0} \quad \left\langle \frac{\{Q_1 Q_2\}}{N(N-1)} \right\rangle = \left\langle \left\langle \sum_{i \neq j} q_{1,i} q_{2,j} k_{2;i,j} \right\rangle \right\rangle_{n \neq 0,1},$$
$$k_i = \frac{1}{r_i} \int_0^1 d\sigma \prod_{j \neq i} \frac{\sigma + r_j \alpha_j}{r_j}, \quad k_{2;i,j} = \frac{1}{r_i r_j} \int_0^1 d\sigma' \int_0^{\sigma'} d\sigma \prod_{l \neq i, l \neq j} \left( \frac{\sigma}{r_l} + \alpha_l \right),$$

They will play crucial roles in experimental studies in HIC.  
e.g. flow ( $dv_1/dy$ ,  $v_n\{m\}$ ,  $v_2$ - $p_T$  correlation, etc.)

## Further Development

General “unbiased estimator” that is applicable for **any** observables

Murase, MK, in prep.

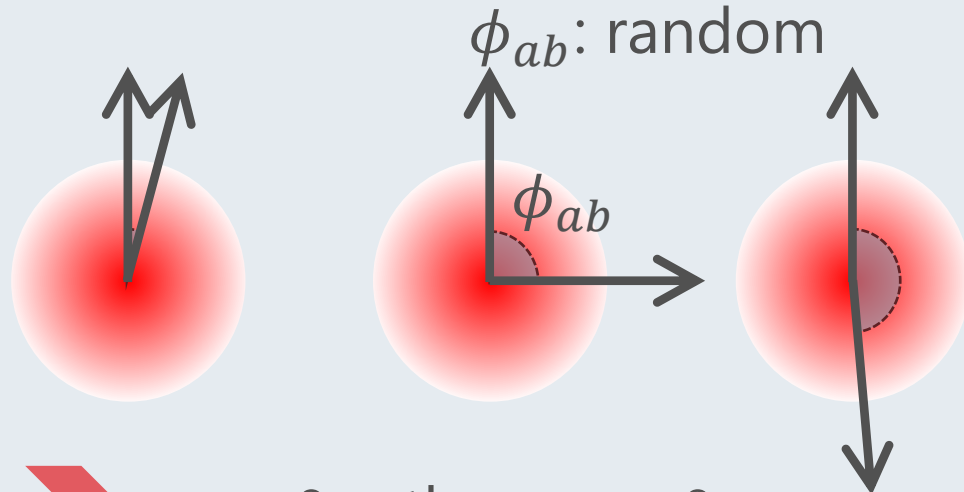
# Should Self-correlations be Eliminated?

Flow correlations:  $v_2^2 = \left\langle \frac{\sum_{i \neq j} e^{i(\phi_i - \phi_j)}}{N(N-1)} \right\rangle$

The "self correlation" terms are usually neglected. **Why?**

## Argument 1:

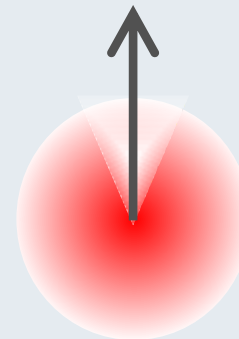
Emission of 2 independent particles



$v_2 > 0$  rather  $v_2 = 0$

## Argument 2:

Emission of a particle  
take away density



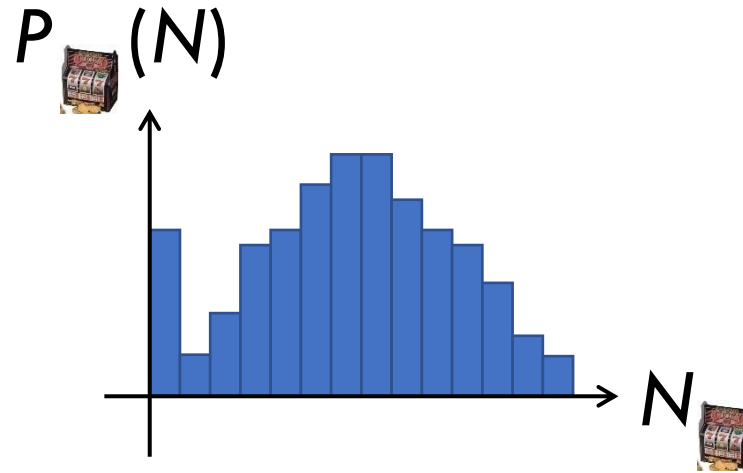
suppress probability  
to emit particles to  
same direction

# Simpler Example: Particle Number Fluc.

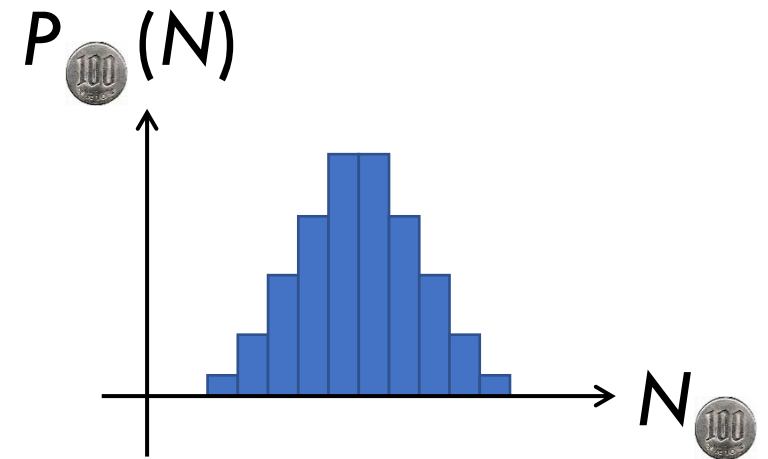
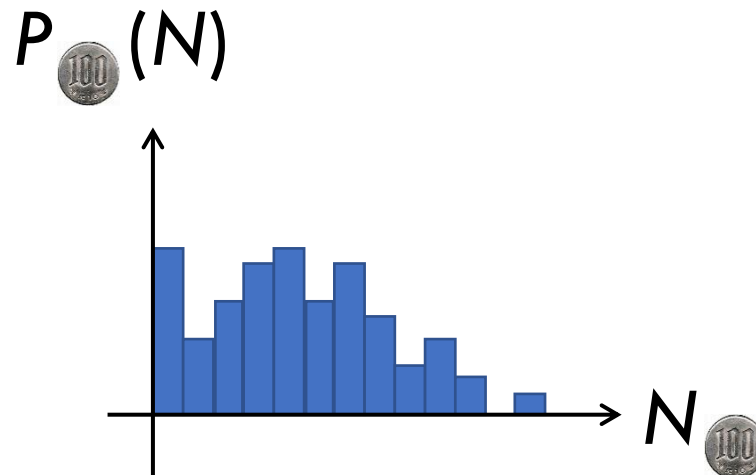
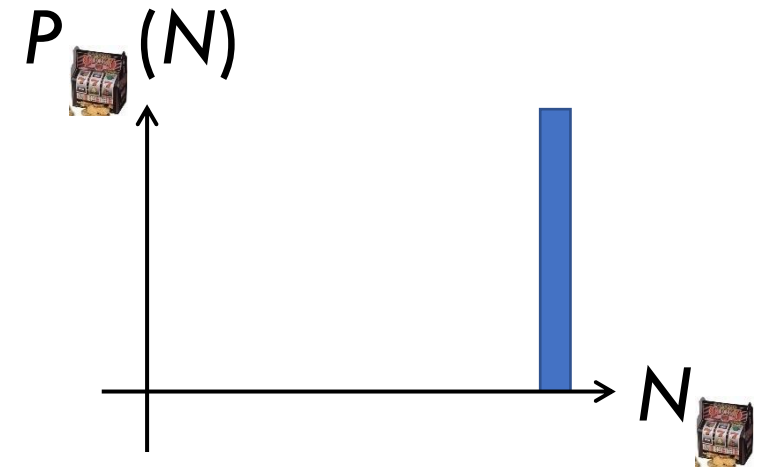


How can we obtain the cumulants of the true distribution only from observed information on  $\tilde{P}(n)$ ?

# Slot Machine Analogy



Fixed # of coins



# Reconstructing Total Coin #

$$P_{\text{100}}(N_{\text{100}}) = \sum_{\text{100}} P_{\text{100}}(N_{\text{100}}) B_{1/2}(N_{\text{100}}; N_{\text{100}})$$



## Example

$$\left\{ \begin{array}{l} 2\langle(\delta N_p^{(\text{net})})^2\rangle = \frac{1}{2}\langle(\delta N_B^{(\text{net})})^2\rangle + \frac{1}{2}\langle(\delta N_B^{(\text{net})})^2\rangle_{\text{free}} \\ 2\langle(\delta N_p^{(\text{net})})^3\rangle = \frac{1}{4}\langle(\delta N_B^{(\text{net})})^3\rangle + \frac{3}{4}\langle(\delta N_B^{(\text{net})})^3\rangle_{\text{free}} \\ 2\langle(\delta N_p^{(\text{net})})^4\rangle_c = \frac{1}{8}\langle(\delta N_B^{(\text{net})})^4\rangle_c + \dots \end{array} \right.$$

genuine info.
Poisson noise

Note: Higher order cumulants are more fragile.