Evgeny Epelbaum, RUB

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Chiral EFT for nuclear forces: State of the art and future perspectives







Why (precision) nuclear physics?

After discovery of Higgs boson, the strong sector remains the only poorly understood part of the SM! N sequences and a stable nuclei 2 2 9 neutron number N ship lins

Interesting topic on its own. Some current frontiers:

- the nuclear chart and limits of stability FAIR, GANIL, ISOLDE,...
- EoS for nuclear matter (gravitational waves from n-star mergers) LIGO/Virgo,...
- hypernuclei (neutron stars) JLab, JSI/FAIR, J-PARC, MAMI,...

But also highly relevant for searches for BSM physics, e.g.:

- direct Dark Matter searches (WIMP-nucleus scattering)
- searches for $0\nu\beta\beta$ decays
- searches for nucleon/nuclear EDMs
- proton radius puzzle (complementary experiments with light nuclei...)

→ need a reliable approach to nuclear structure with quantified uncertainties!

EFTs for nuclear physics: exploit scale separation in a system of interest



A = 0,1: Chiral perturbation theory



A > 1: Halo-EFT (Q << ($\Delta E_{core} m$)^{1/2}), pionless EFT (Q << M_{π}), chiral EFT (Q ~ M_{π} << M_{ρ})



A >> 1: In-medium chiral EFT; EFTs using collective DOFs (e.g. to describe deformed nuclei)

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From QCD to nuclei



Chiral Effective Field Theory



Chiral Effective Field Theory



Nuclear chiral EFT

Chiral EFT for nuclear systems:

expansion for nuclear forces & currents + resummation (Schrödinger equation)

Weinberg, van Kolck, Kaiser, EE, Glöckle, Meißner, Entem, Machleidt, Krebs, ...

$$\left[\left(\sum_{i=1}^{A} \frac{-\vec{\nabla}_{i}^{2}}{2m_{N}} + \mathcal{O}(m_{N}^{-3})\right) + \underbrace{V_{2N} + V_{3N} + V_{4N} + \dots}_{\text{derived in ChPT}}\right]|\Psi\rangle = E|\Psi\rangle$$

$$(T) = \underbrace{V_{\text{eff}} + \underbrace{V_{\text{eff}}}_{i}}_{V_{\text{eff}}} + \underbrace{V_{\text{eff}}}_{i} + \underbrace{V_{\text{eff}}}_{i} + \dots$$

- systematically improvable
- unified approach for $\pi\pi$, πN , NN
- consistent many-body forces and currents
- error estimations



Notice:

- derivation of nuclear forces is much more involved than just calculation of Feynman diagrams; have to deal with non-uniqueness and renormalizability...
- nonperturbative treatment of chiral nuclear forces in the Schrödinger equation requires the introduction of a finite cutoff [alternatively, use semi-relativistic approach, EE, Gegelia, et al. '12...'15]

Method of UT for nuclear forces

EE, Glöckle, Meißner, NPA 637 (1998) 107; EE, PLB 639 (2006) 456

- Begin with the $L_{eff}[\pi, N]$ without external fields

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- Apply UT in Fock space to decouple purely nucleonic states [model space] from the rest

$$H \to \tilde{H} = U^{\dagger} \left(\bigcup_{\eta \text{-space}} \right) U = \begin{pmatrix} \tilde{H}_{\text{nucl}} & 0 \\ 0 & \tilde{H}_{\text{rest}} \end{pmatrix}$$

Using Okubo's minimal parametrization of U in terms of $A = \lambda A \eta$ leads to the

decoupling equation: $\lambda(H - [A, H] - AHA)\eta = 0$

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- Apply all possible additional UTs on the η-subspace consistent with a given chiral order [6 angles α_i for static N³LO contributions]
- Renormalizability of the potentials [all 1/(d-4) poles must be canceled by the c.t. from L_{eff}] \rightarrow fixes some of the α_i and leads to unique (static) expressions

For more details see: EE, Nuclear Forces from Chiral Effective Field Theory: A Primer, arXiv:1001.3229[nucl-th]

Chiral expansion of nuclear forces [W-counting]



- A similar program is being pursued for in chiral EFT with explicit $\Delta(1232)$ DOF

Current operators

• Switch on external sources s, p, r_{μ}, l_{μ} and consider *local* chiral rotations:

 $r_\mu ~~
ightarrow~r_\mu^\prime = R\,r_\mu R^\dagger + iR\,\partial_\mu R^\dagger\,, \qquad \qquad l_\mu ~~
ightarrow~l_\mu^\prime = L\,l_\mu L^\dagger + iL\,\partial_\mu L^\dagger\,,$ $s+i\,p ~
ightarrow s'+i\,p'=R(s+i\,p)L^\dagger\,, \qquad s-i\,p ~
ightarrow s'-i\,p'=L(s-i\,p)R^\dagger$

• Decouple π 's to get (nonlocal) nuclear $H_{\text{eff}}[a, v, s, p]$ (MUT) & get currents via

 $V^a_\mu(ec{x}\,) = rac{\delta H_{ ext{eff}}}{\delta v^\mu(ec{x},t)}, \quad A^a_\mu(ec{x}\,) = rac{\delta H_{ ext{eff}}}{\delta a^\mu(ec{x},t)} \quad ext{ calculated at } a = v = p = 0, \; s = m_q \,.$



Park, Min, Rho '95 Pastore et al. (TOPT) '08 — '11: not renormalized... Kölling, EE, Krebs, Meißner (MUT) '09,'12; Krebs et al., in preparation: complete (1 loop) & renormalized



Park, Min, Rho '93 Baroni et al. (TOPT) '16: incomplete... Krebs, EE, Meißner (MUT) '17: complete (1 loop) & renormalized about 250 topologies

— 2-loop/1-loop/tree for 1N/2N/3N operators

Continuity equations Krebs, EE, Meißner, Annals Phys. 378 (17) 317

Unexpected result: the continuity equation $\vec{k} \cdot \vec{j} \neq [H_{str}, \rho]!$ Why?

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Solution: employ a more general class of UT's, namely

 $H_{\text{eff}}[h,\dot{h}] = U_{\eta}^{\dagger}[h] \eta U_{\text{str}}^{\dagger} H_{\pi N}[h] U_{\text{str}} \eta U_{\eta}[h] + i \left(\frac{\partial}{\partial t} U_{\eta}^{\dagger}[h]\right) U_{\eta}[h] \qquad \qquad \text{induce } k_0 \text{-dependence} \text{ in the currents (off-shell effect...)}$

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Continuity equations = manifestations of the chiral symmetry, $h(x) \xrightarrow{SU(2)_{L} \times SU(2)_{R}} h'(x)$: $H_{\text{eff}}[h,\dot{h}]$ and $H_{\text{eff}}[h',\dot{h}']$ should be unitary equivalent, i.e. there exists such U(t) that

$$H_{ ext{eff}}[h',\dot{h}'\,] \;=\; U^{\dagger}(t)\,H_{ ext{eff}}[h,\dot{h}]\;U(t)\;+\;i\left(rac{\partial}{\partial t}U^{\dagger}_{\eta}(t)
ight)U_{\eta}(t)\,,$$

This implies the relations for currents $V^i_{\mu}(k) := \frac{\delta H_{\text{eff}}}{\delta v^{\mu}_i(k)}, \quad A^i_{\mu}(k) := \frac{\delta H_{\text{eff}}}{\delta a^{\mu}_i(k)}, \quad P^i(k) := \frac{\delta H_{\text{eff}}}{\delta p_i(k)}$

$$egin{aligned} ec{k}\cdotec{A}^i(ec{k},0) &= \left[H_{ ext{str}},\,A_0^i(ec{k},0) - rac{\partial}{\partial k_0} \Big(ec{k}\cdotec{A}^i(k) + [H_{ ext{str}},\,A_0^i(k)] + im_q P^i(k)\Big)
ight] + im_q P^i(ec{k},0) \ ec{k}\cdotec{V}^i(ec{k},0) &= \left[H_{ ext{str}},\,V_0^i(ec{k},0) - rac{\partial}{\partial k_0} \Big(ec{k}\cdotec{V}^i(k) + [H_{ ext{str}},\,V_0^i(k)]\Big)
ight] \end{aligned}$$

Electromagnetic currents

Chiral expansion of the electromagnetic current and charge operators



Exchange axial currents

Chiral expansion of the axial current and charge operators



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Applications 1: A new generation of chiral NN potentials

- semi-local, coordinate-space-regularized up to N⁴LO EE, Krebs, Meißner, EPJA 51 (2015) 53; PRL 115 (2015) 122301
- semi-local, momentum-space-regularized up to N⁴LO⁺ Reinert, Krebs, EE, EPJA 54 (2018) 88
- nonlocal, momentum-space-regularized up to N⁴LO⁺
 Entem, Machleidt, Nosyk, PRC 96 (2017) 024004

The long and short of nuclear forces

 Short-range interactions have to be tuned to experimental data. In the isospin limit, one has according to NDA:



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 Short-range interactions have to be tuned to experimental data. In the isospin limit, one has according to NDA:



• The long-range part of nuclear forces and currents is completely determined by the chiral symmetry of QCD + experimental information on πN scattering



Pion-nucleon scattering

Chiral expansion of the pion-nucleon scattering amplitude up to Q⁴





HB ChPT with and without $\Delta(1232)$ DOF

Fettes, Meißner '98, '00; Krebs, Gasparyan, EE '12

Covariant baryon ChPT using the IR framework

Becher, Leutwyler '00; Hoferichter et al. '10

Covariant baryon ChPT using the EOMS scheme

Alarcon, Camalich, Oller '13; Chen, Yao, Zheng'13

Covariant baryon ChPT using the EOMS scheme with explicit $\Delta(1232)$ DOF

Yao, Siemens, Bernard, EE, Gasparyan, Gegelia, Krebs, Meißner '16; Siemens, Bernard, EE, Gasparyan, Krebs, Meißner '16,'17

Roy-Steiner equation analysis

Dietsche et al., JHEP 1206 (12) 043; Hoferichter et al., Phys. Rept. 625 (16) 1; Hoferichter, Ruiz de Elvira, Kubis, Meißner, PRL 115 (2015) 092301



Matching ChPT to πN Roy-Steiner equations

Hoferichter, Ruiz de Elvira, Kubis, Meißner, PRL 115 (2015) 092301

• χ expansion of the π N amplitude expected to converge best within the Mandelstam triangle



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- χ expansion of the π N amplitude expected to converge best within the Mandelstam triangle
- Subthreshold coefficients (from RS analysis) provide a natural matching point to ChPT

 $ar{X} = \sum_{m,n} x_{mn} \,
u^{2m+k} t^n, \qquad X = \{A^{\pm}, \, B^{\pm}\}$



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Relevant LECs (in GeV⁻ⁿ) extracted from π N scattering

	c_1	c_2	C ₃	c_4	$ar{d}_1+ar{d}_2$	$ar{d}_3$	$ar{d}_5$	$ar{d}_{14}-ar{d}_{15}$	$ar{e}_{14}$	$ar{e}_{17}$	
$[Q^4]_{\mathrm{HB,NN}},\mathrm{GWPWA}$	-1.13	3.69	-5.51	3.71	5.57	-5.35	0.02	-10.26	1.75	-0.58	Krebs, Gasparyan, EE
$[Q^4]_{ m HB,NN}, m KH$ PWA	-0.75	3.49	-4.77	3.34	6.21	-6.83	0.78	-12.02	1.52	-0.37	PRC85 (12) 054006
$[Q^4]_{\mathrm{HB,NN}}$, Roy-Steiner	-1.10	3.57	-5.54	4.17	6.18	-8.91	0.86	-12.18	1.18	-0.18	Hoferichter et al., PRL 115 (15) 092301
$[Q^4]_{\mathrm{covariant}},\mathrm{data}$	-0.82	3.56	-4.59	3.44	5.43	-4.58	-0.40	-9.94	-0.63	-0.90	Siemens et al., PRC94 (16) 014620

– Some LECs show sizable correlations (especially c_1 and c_3)...



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- RKE N⁴LO [Reinert, Krebs, EE, EPJA 54 (2018) 88]: Q⁴ fit to RS and Q⁴ fit to KH PWA

With the LECs taken from πN , the long-range NN force is completely fixed (parameter-free)

NN data analysis

P. Reinert, H. Krebs, EE, EPJA 54 (2018) 88

- Use local/nonlocal regulator for long-range/short-range contributions
- To fix NN contact interactions, use scattering data together with B_d = 2.224575(9) MeV and b_{np} = 3.7405(9) fm.
- Since 1950-es, about 3000 proton-proton + 5000 neutron-proton scattering data below 350 MeV have been measured.
- However, certain data are mutually incompatible within errors and have to be rejected.
 2013 Granada database [Navarro-Perez et al., PRC 88 (2013) 064002], rejection rate: 31% np, 11% pp: 2158 proton-proton + 2697 neutron-proton data below E_{lab} = 300 MeV



State-of-the-art NN potentials

P. Reinert, H. Krebs, EE, EPJA 54 (2018) 88



- N⁴LO⁺ yields currently the best description of the 2013 Granada database (E_{lab} < 300 MeV) - 40% less parameters (27+1) compared to high-precision potentials

- Clear evidence of the parameter-free chiral 2π exchange

Error analysis P. Reinert, H. Krebs, EE, EPJA 54 (2018) 88

1. Truncation error EE, Krebs, Meißner, EPJA 51 (2015) 53

proton-neutron scattering at Elab=143 MeV



Use the explicitly calculated $\Delta X^{(i)}$ to estimate the uncertainty $\delta X^{(i)}$ at order Q^i :

$$\left\{\delta X^{(0)} = Q^2 |X^{(0)}|, \ \ \delta X^{(i)} = \max_{2 \le j \le i} \left(Q^{i+1} |X^{(0)}|, \ Q^{i+1-j} |\Delta X^{(j)}|\right)\right\} \quad \land \ \ \delta X^{(i)} \ge \max_{j,k} \left(|X^{(j \ge i)} - X^{(k \ge i)}|\right)$$

Has been validated/extended within a Bayesian approach BUQEYE Collaboration, Furnstahl et al., '15 - '18

2. Statistical uncertainties

Estimated in the standard way using the covariance matrix (quadratic approximation)

3. Uncertainties due to \pi N \text{ LECs } c_{1,2,3,4}, d_{1,2,3,5,14,15} and e_{14,17}

Estimated using 2 sets of πN LECs (Roy-Steiner equation analysis & KH PWA)

4. Choice of E_{max} in the fits

Uncertainty estimated at N⁴LO/N⁴LO⁺ by performing fits with $E_{max} = 220...300 \text{ MeV}$

Error analysis P. Reinert, H. Krebs, EE, EPJA 54 (2018) 88

In most cases, the uncertainty is dominated by truncation errors. At N⁴LO and at very low energies, other sources of errors become comparable (especially π N LECs...).

Example: deuteron asymptotic normalizations (relevant for nuclear astrophysics)

Our determination:

$$\begin{array}{rcl} & & \text{truncation error} & & & & \pi \text{N LECs} \\ & & \text{statistical error} & & & & & & & \\ & & A_S &= & 0.8847^{(+3)}_{(-3)}(3)(5)(1) \text{ fm}^{-1/2} \\ & & \eta \equiv \frac{A_D}{A_S} \,=\, 0.0255^{(+1)}_{(-1)}(1)(4)(1) \end{array}$$

Exp: $A_S = 0.8781(44) \, {
m fm}^{-1/2}, \quad \eta = 0.0256(4)$ Borbely et al. '85 Rodning, Knutson '90

Nijmegen PWA [errors are "educated guesses"] Stoks et al. '95 $A_S = 0.8845(8) \text{ fm}^{-1/2}, \quad \eta = 0.0256(4)$

Granada PWA [errors purely statistical] Navarro Perez et al. '13 $A_S = 0.8829(4) \; {
m fm}^{-1/2}, \;\; \eta \; = \; 0.0249(1)$



Applications 2: Beyond the 2N system

- LENPIC Collaboration -

Goal: precision tests of chiral nuclear forces & currents in light nuclei

Strategy: go to high orders, do not compromise the π N LECs, no fine tuning to heavy nuclei, careful error analysis



Light nuclei based on 2NF alone

LENPIC Collaboration (Maris et al.), EPJ Web of Conf. 113 (2016) 04015



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Is there any evidence of the missing 3NF?

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Is there any evidence of the missing 3NF?

Deviations from the data are consistent with the estimated size of the 3N forces



JÜLICH

Y Kyutech **IPN**

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Brueckner-Hartree-Fock based on 2NF alone

Jinniu Hu, Ying Zhang, EE, Ulf-G. Meißner, Jie Meng, PRC 96 (2017) 034307



Achievable accuracy at N⁴LO at ρ₀:

± 0.3 MeV for SNM, ± 0.7 MeV for PNM,

semi-quantitative up to $\sim 2\rho_0 \dots$

$$a_{
m symm}(
ho) = \left(rac{E}{A}
ight)_{
m PNM} - \left(rac{E}{A}
ight)_{
m SNM}$$

$$L=3
horac{\partial(E/A)_{
m SNM}}{\partial
ho}$$
 .

Three-nucleon forces

- N²LO: tree-level graphs, 2 new LECs van Kolck '94; EE et al '02
- N³LO: leading 1 loop, parameter-free Ishikawa, Robilotta '08; Bernard, EE, Krebs, Meißner '08, '11
- N⁴LO: full 1 loop, almost completely worked out, several new LECs Girlanda, Kievski, Viviani '11; Krebs, Gasparyan, EE '12,'13; EE, Gasparyan, Krebs, Schat '14



Three-nucleon forces

N²LO: tree-level graphs, 2 new LECs van Kolck '94; EE et al '02



Determination of the LECs c_D, c_E: Triton BE & pd elastic cross section minimum @70 MeV



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	r_D , ² H (fm)	$r_p,{}^3\mathrm{H}~(\mathrm{fm})$	r_p , ⁴ He (fm)
AV18/AV18+UIX	1.967 (-0.4%)	$1.584 \ (-1\%)$	$1.44 \ (-2\%)$

from: Lazauskas, Carbonell, PRC 70 (2004) 044002



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∂RTRIUMF

• What could be the reason that the N²LO potentials by Ekström et al. are doing a good job?

NNLO_{sat}: $r_D = 1.978$ fm (+0.13%) Ekström et al., PRC91 (2015) 051301

 $\Delta NNLO(450)$: r_D = 1.982 fm (+0.3%) Ekström et al., PRC97 (2018) 024332

However, NN data seem to prefer smaller r_D:

	RKE N^4LO^+	Granada PWA ($\boldsymbol{\delta}$ -shell)	Nijm I	Nijm II	Reid93	CD-Bonn	Exp.
r_D , ² H (fm)	$1.965 \dots 1.968$	1.965	1.967	1.968	1.969	1.966	1.975

🕗 JÜLICH 熊



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• Work in progress with Hebeler, Roth et al. towards understanding the systematics...

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Outlook

Next steps (work in progress)

- Regularization of many-body forces & currents becomes nontrivial @N³LO (χ symmetry...) High-derivative method (cutoff in the Lagrangian) Slavnov, NPB 31 (1971) 301
- N³LO analysis of Nd scattering, light and medium-mass nuclei; parameter-free calculation of the ³H β-decay; μ-decay & MuSun experiment @PSI; e.m. reactions, ...

Frontiers & challenges for the (near) future

Precision physics beyond the 2N system: challenge the theory

- Understanding the issue with the radii of heavier nuclei
- Extending the analysis of the 3NF to N⁴LO will require partial wave analysis of Nd scattering to fix short-range LECs. Long-standing puzzles in 3N continuum (A_y, SST, ...)!
- Pushing ab initio methods to heavier nuclei and reactions

Chiral EFT as a tool to deal with nuclear effects when looking at physics of/beyond the SM (parity violation, EDM, $0\nu\beta\beta$, proton charge radius,...)

EFT for lattice QCD (extrapolations), **lattice QCD for EFT** (m_q dependence, "data", …)