

# QCD at finite density on the lattice

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ETH Zürich & CERN

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**ETH**

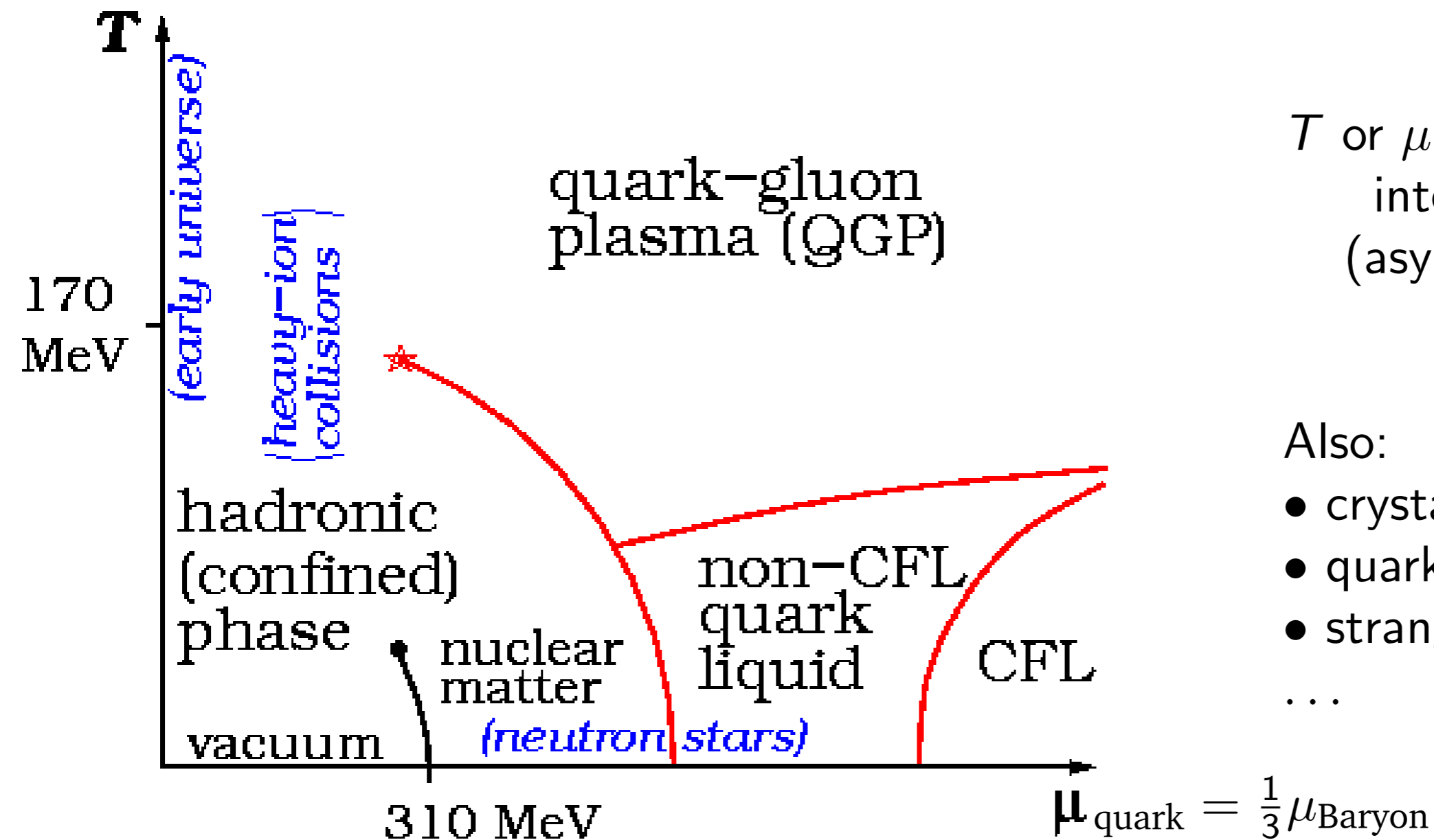
Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich

# Motivation

What happens to matter  
when it is heated and/or  
compressed?

phase transitions  $\rightarrow$  non-perturbative  $\rightarrow$  Lattice QCD

# The wonderland phase diagram of QCD from Wikipedia



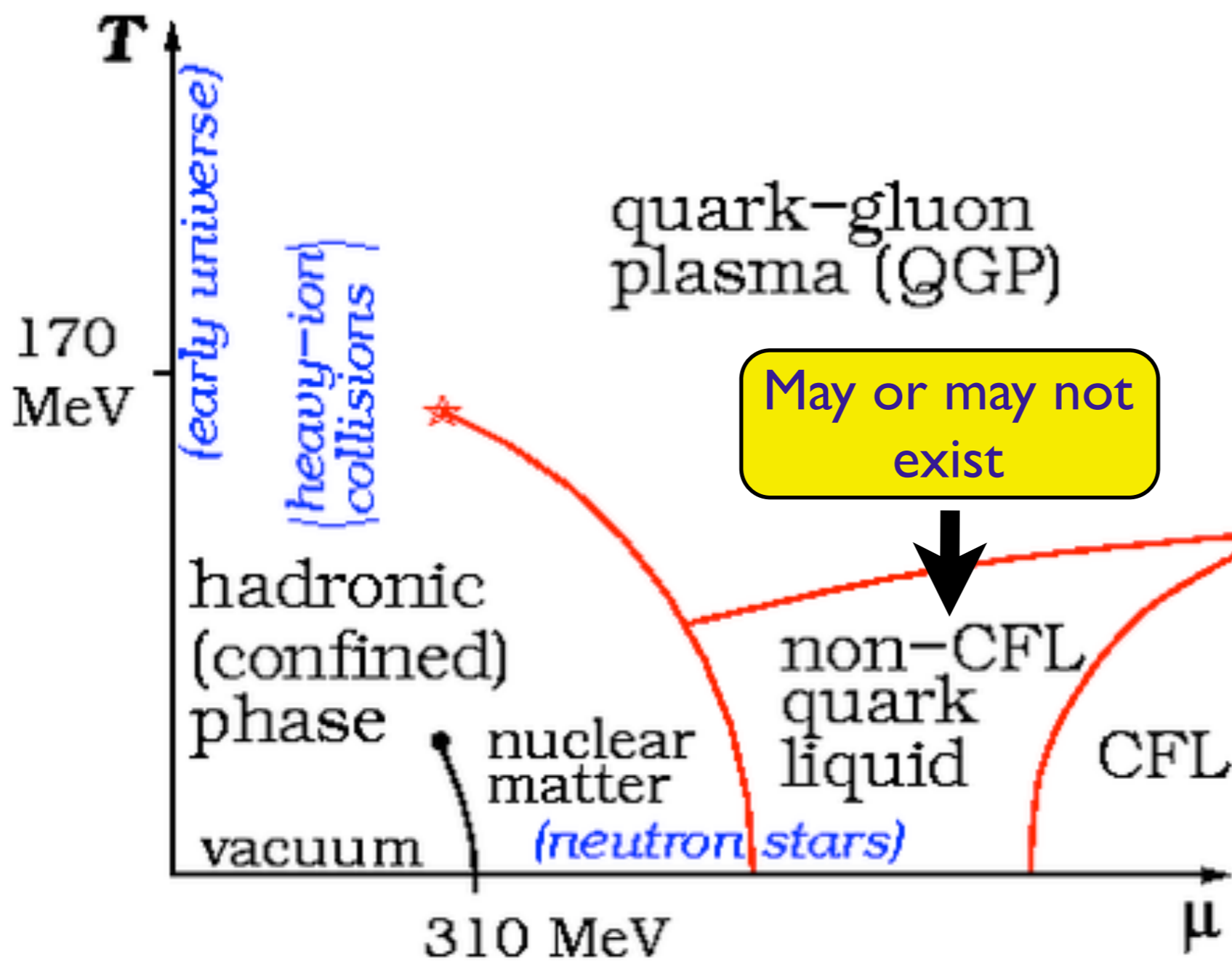
$T$  or  $\mu \rightarrow \infty$ :  
interaction weak  
(asymptotic freedom)

Also:

- crystal phase(s)
- quarkyonic phase
- strangelets
- ...

Caveat: everything in red is a conjecture

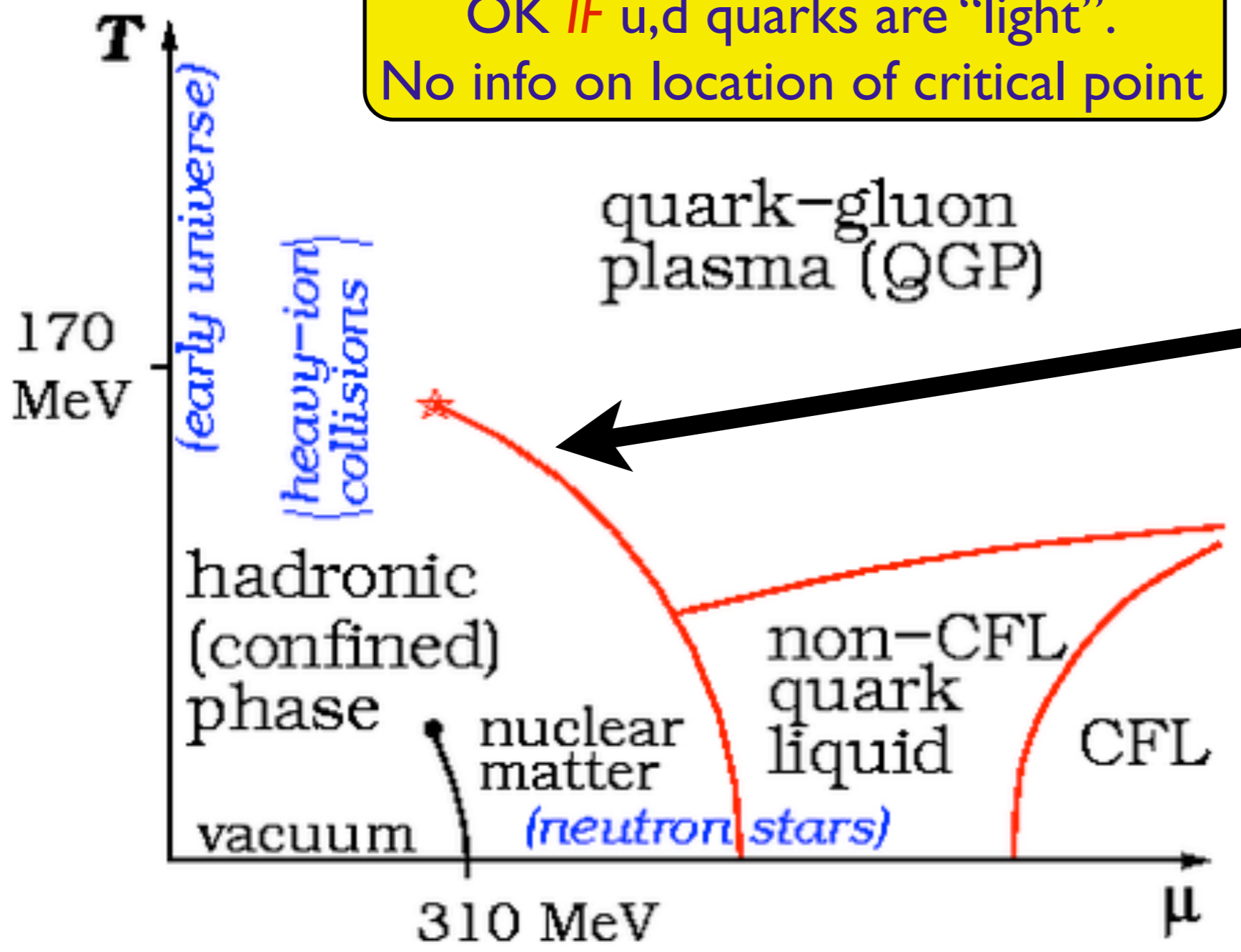




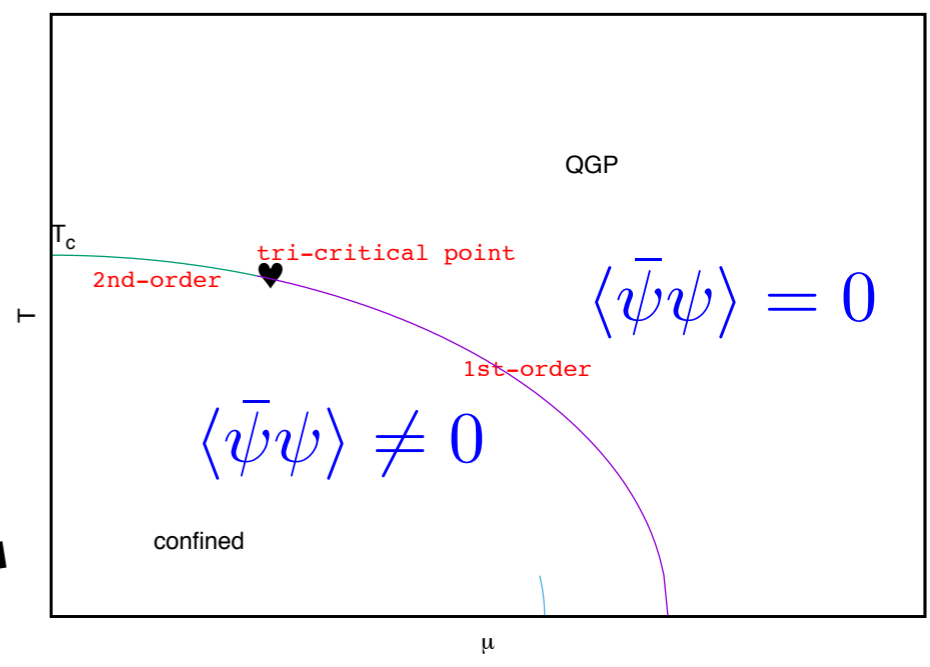
May or may not exist

No gauge-invariant order parameter: no phase transition required

“Small” deformation of two-flavor massless case:  
 OK *IF* u,d quarks are “light”.  
 No info on location of critical point

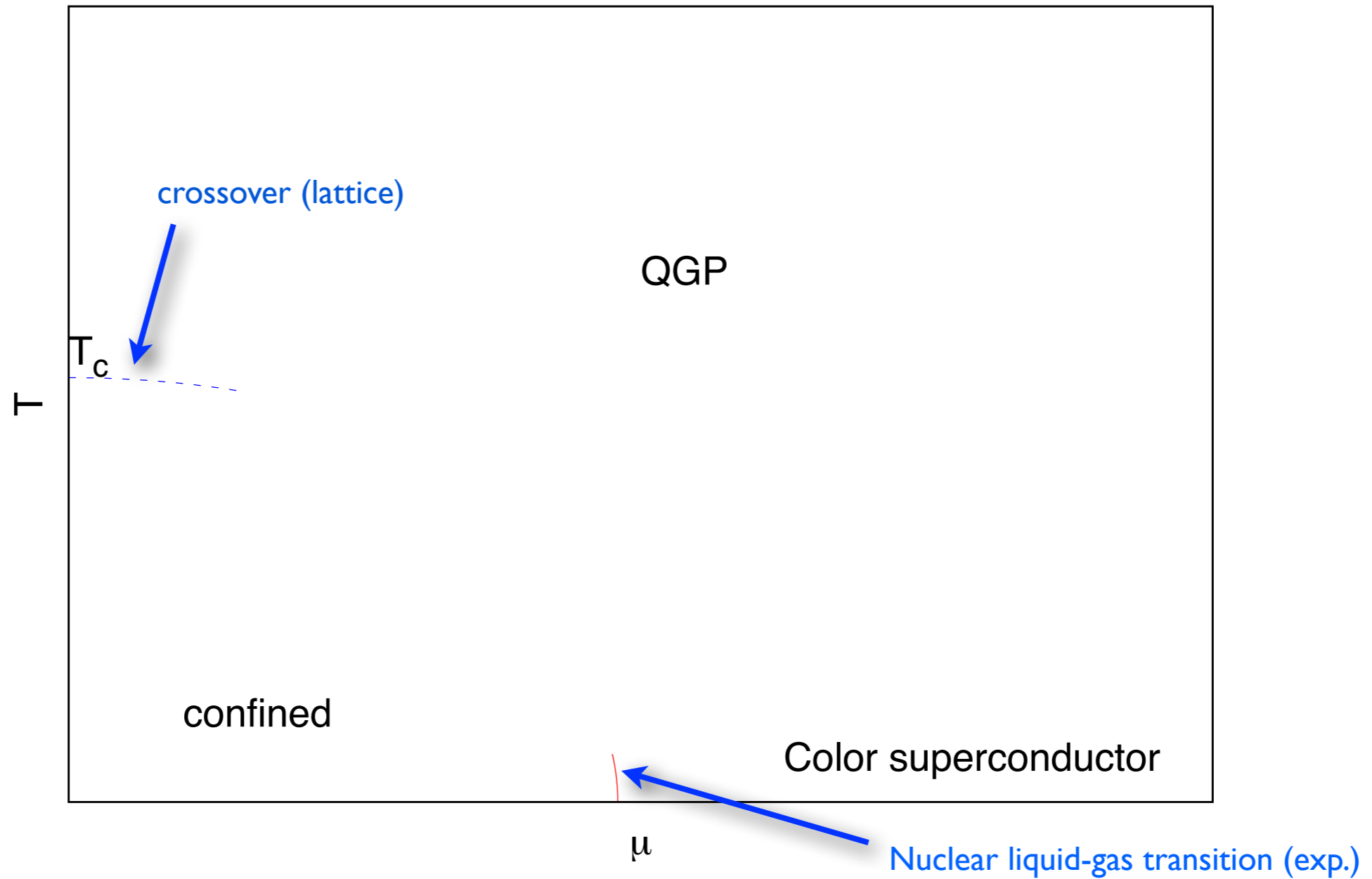


$$N_f = 2, m_u = m_d = 0$$



# Finite $\mu$ : what is known?

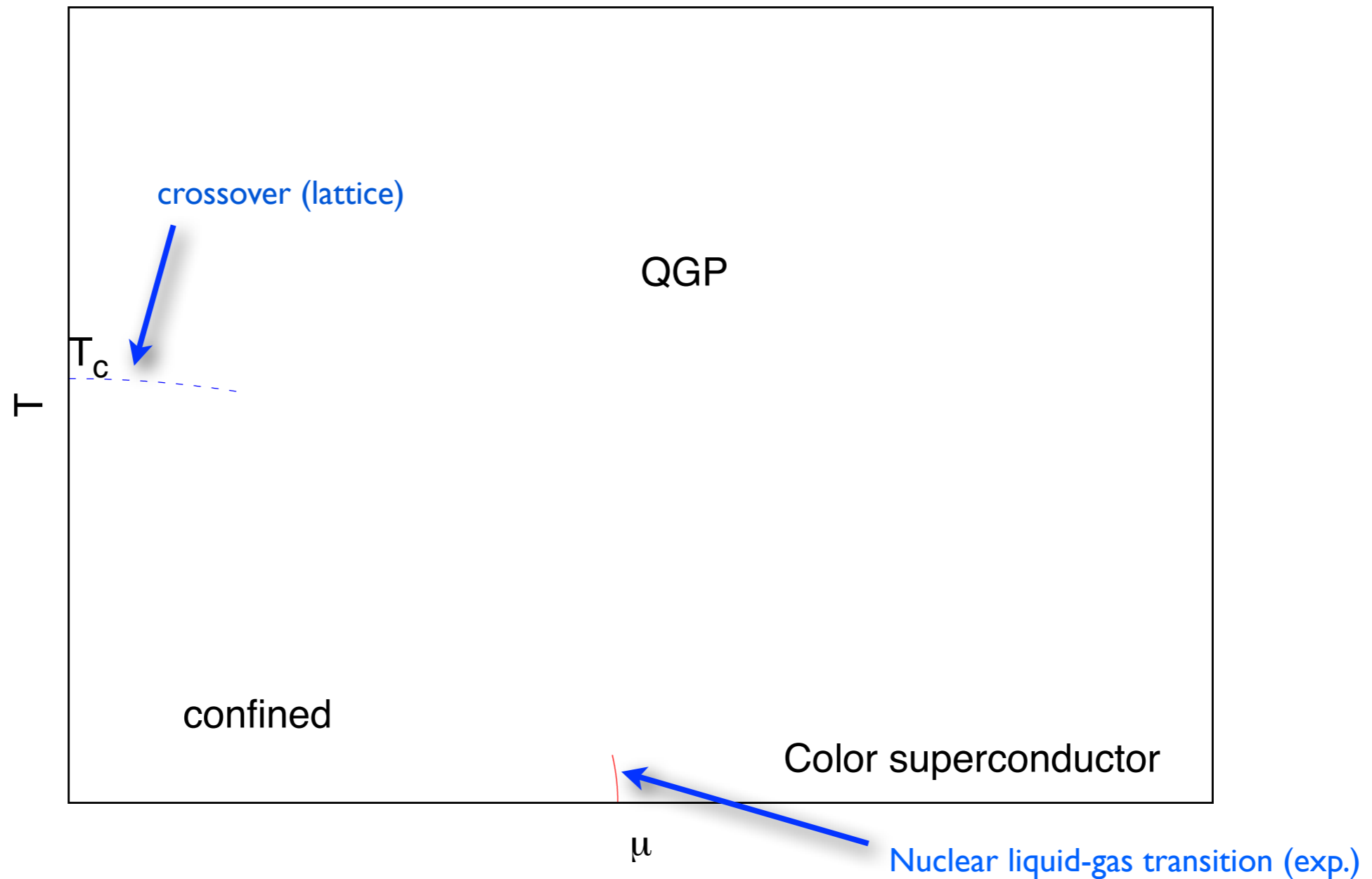
really



Minimal, **possible** phase diagram

# Finite $\mu$ : what is known?

**Lattice:** Sign problem *as soon as  $\mu \neq 0$*



Minimal, **possible** phase diagram

“Sign problem” a.k.a. complex action pb:  $\exp(-S) \notin \mathcal{R}_{\geq 0}$

Finite-density QCD: + Hubbard model, quantum time evolution, ...

Real  $> 0$  “Boltzmann weight” is the exception rather than the rule



# Why are we stuck at $\mu = 0$ ? The “sign problem”

- quarks anti-commute  $\rightarrow$  integrate analytically:  $\det(\not{D}(U) + m + \mu\gamma_0)$   
 $\gamma_5(i\not{p} + m + \mu\gamma_0)\gamma_5 = (-i\not{p} + m - \mu\gamma_0) = (i\not{p} + m - \mu^*\gamma_0)^\dagger$

$$\det \not{D}(\mu) = \det^* \not{D}(-\mu^*)$$

det **real** only if  $\mu = 0$  (or  $i\mu_i$ ), otherwise can/will be **complex**

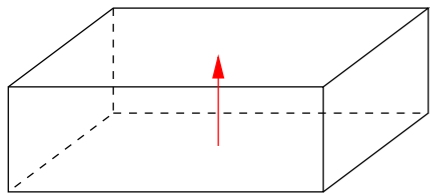
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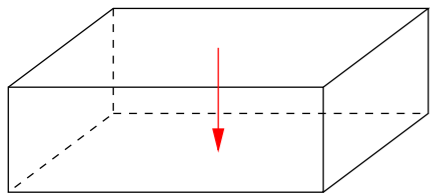
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- Measure  $d\varpi \sim \det \not{D}$  **must be complex** to get correct physics:



$$\langle \text{Tr Polyakov} \rangle = \exp(-\frac{1}{T} F_{\mathbf{q}}) = \int \text{Re Pol} \times \text{Re } d\varpi - \text{Im Pol} \times \text{Im } d\varpi$$



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$$\mu \neq 0 \Rightarrow F_{\mathbf{q}} \neq F_{\bar{\mathbf{q}}} \Rightarrow \text{Im } d\varpi \neq 0$$

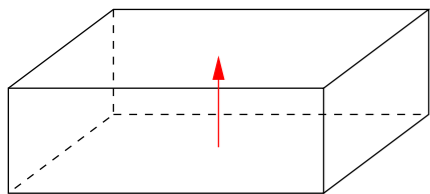
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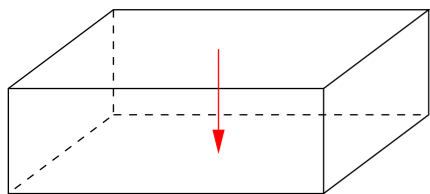
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$$\mu \neq 0 \Rightarrow F_{\mathbf{q}} \neq F_{\bar{\mathbf{q}}} \Rightarrow \text{Im } d\varpi \neq 0$$

- Origin:**  $\mu \neq 0$  breaks charge conj. symm., ie. usually **complex conj.**

**Complex determinant**  $\implies$  no probabilistic interpretation  $\longrightarrow$  **Monte Carlo ??**

# Computational complexity of the sign pb

- How to study:  $Z_\rho \equiv \int dx \rho(x)$ ,  $\rho(x) \in \mathbf{R}$ , with  $\rho(x)$  sometimes negative ?

Reweighting: **sample with  $|\rho(x)|$**  and “*put the sign in the observable*”:

$$\langle W \rangle \equiv \frac{\int dx W(x)\rho(x)}{\int dx \rho(x)} = \frac{\int dx [W(x)\text{sign}(\rho(x))] |\rho(x)|}{\int dx \text{sign}(\rho(x)) |\rho(x)|} = \frac{\langle W\text{sign}(\rho) \rangle_{|\rho|}}{\langle \text{sign}(\rho) \rangle_{|\rho|}}$$

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- $\langle \text{sign}(\rho) \rangle_{|\rho|} = \frac{\int dx \text{sign}(\rho(x)) |\rho(x)|}{\int dx |\rho(x)|} = \frac{Z_\rho}{Z_{|\rho|}} = \exp\left(-\frac{V}{T} \underbrace{\Delta f(\mu^2, T)}_{\text{diff. free energy dens.}}\right)$ , exponentially small

Each meas. of  $\text{sign}(\rho)$  gives value  $\pm 1 \implies$  statistical error  $\approx \frac{1}{\sqrt{\# \text{ meas.}}}$

Constant relative accuracy  $\implies$  **need statistics  $\propto \exp(+2\frac{V}{T} \Delta f)$**

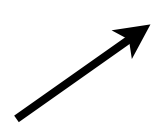
Large  $V$ , low  $T$  **inaccessible**: signal/noise ratio degrades **exponentially**

“Figure of merit”  $\Delta f$ : measures severity of sign pb.



# “Dual” variables

- Idea: strong-coupling/high-temperature expansion

$$\exp(\beta \phi_i^* \phi_j) = \sum_k \frac{\beta^k}{k!} \underbrace{(\phi_i^* \phi_j)^k}_{\text{integrate out}}$$


new Monte Carlo “dual” variable  $k$  for each link  $(ij)$

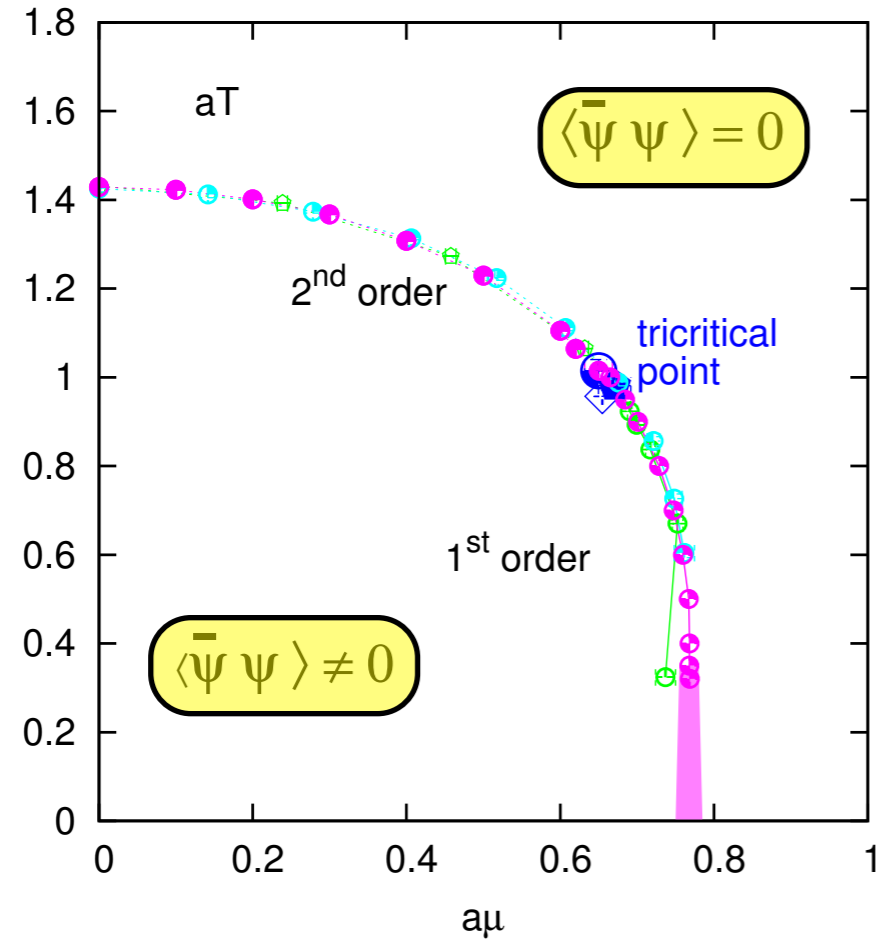
- Partition function becomes gas of loops (particle worldlines)
- Loops have positive weights  $\rightarrow$  sign problem gone! (not always)
- Gauge fields: gas of surfaces; non-Abelian  $\rightarrow$  sign problem again...
- QCD: ok for strong-coupling limit  $\beta = \frac{6}{g^2} = 0$  (no plaquette term)

# Results $\beta \approx 0$

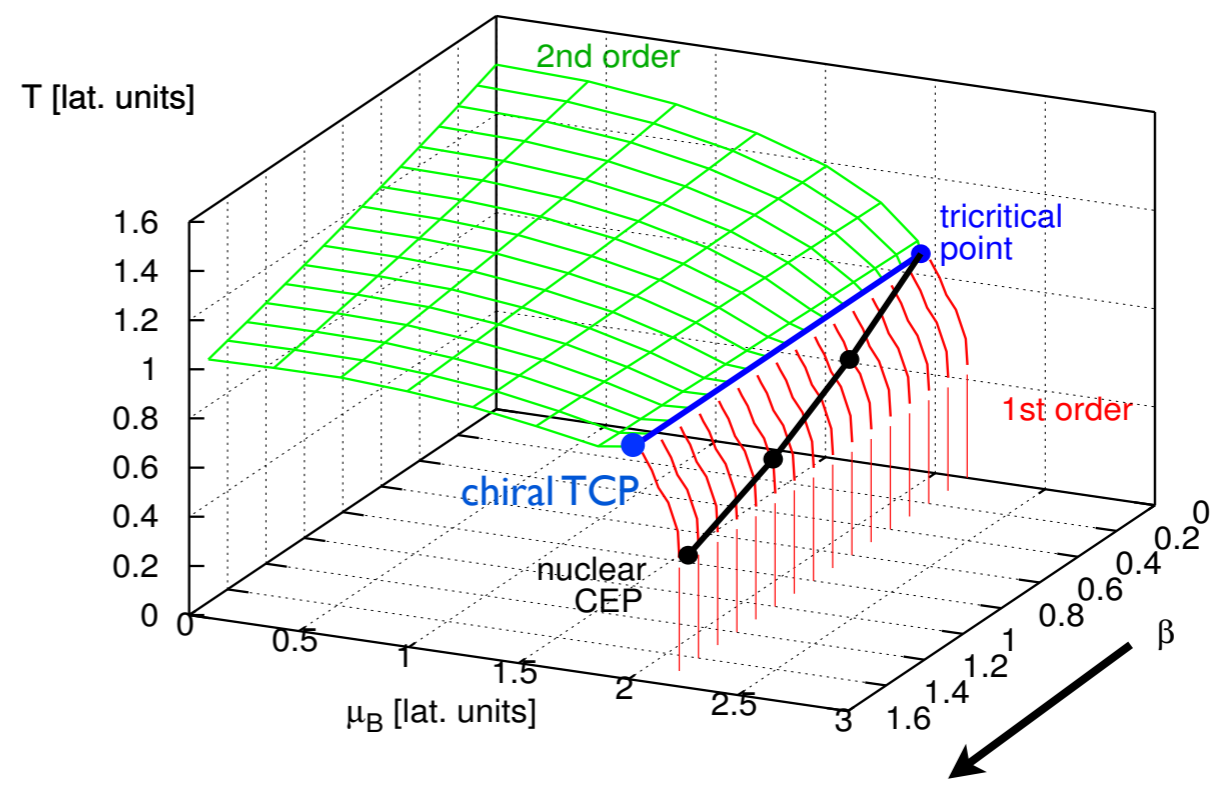
w/Unger, Langelage, Philipsen

- Sign pb almost gone: accessible volumes multiplied by  $10^4$
- Phase diagram ( $m_q = 0$ ): **chiral** phase transition

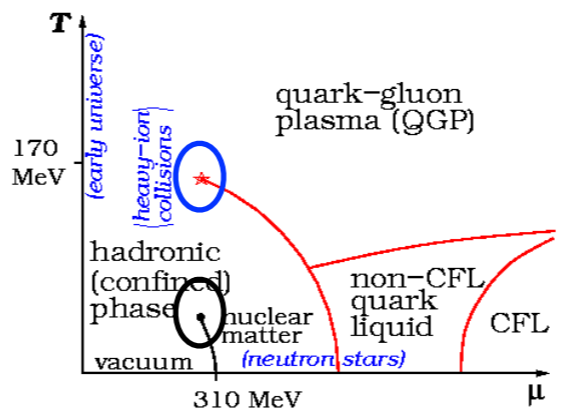
$\beta = 0$



$O(\beta)$  corrections



cf. Wikipedia:  
( $m_q \neq 0$ )



**Benchmark for other approaches**



**Methods under construction:**

**Field complexification**

# Going complex: difficulties with QCD

e.g. gauge field:  $A_\mu \rightarrow A_\mu^R + iA_\mu^I$       $S$  extended by analytic continuation

- QCD problem I:

$S$  is **not analytic**:  $\log \det(\not{D})$  has poles and is multi-valued

- QCD problem II:

gauge group  $SU(3) \rightarrow SL(3, \mathcal{C})$ , departure from  $SU(3) \sim A_\mu^I$

$SL(3, \mathcal{C})$  gauge transformations  $\Rightarrow$  **flat directions**  $A_\mu \rightarrow i\infty$

$\Rightarrow$  runaway solutions; large, diverging force; roundoff error; etc..

- gauge cooling

Seiler, Sexty & Stamatescu

- irrelevant (?)  $SU(3)$ -restoring force

Attanasio & Jäger

# Going complex I: doubling the number of d.o.f.

- **Intelligent design:** construct “representation”  $P(A_\mu^R, A_\mu^I) \in \mathcal{R}^+$  such that

$$\langle W(A_\mu^R) \rangle_{\exp(-S_R - iS_I)} = \langle W(A_\mu^R + iA_\mu^I) \rangle_P \quad \forall W \quad \text{Salcedo, Wosiek}$$

$$\text{Example: } S = (x - i)^2 \rightarrow P(x, y) = \delta(y - 1) \exp(-x^2)$$

Finding suitable “representation” more difficult than solving the sign problem?

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Finding suitable “representation” more difficult than solving the sign problem?

- **Complex Langevin**: **conjecture** by Parisi and by Klauder, 1983  $\frac{\partial \phi}{\partial \tau} = -\frac{\delta S}{\delta \phi} + \eta$

$S$  complex  $\rightarrow$  complex drift force  $\nabla S$ , + complex noise

Outcomes: runaway, convergence to correct or to wrong answers

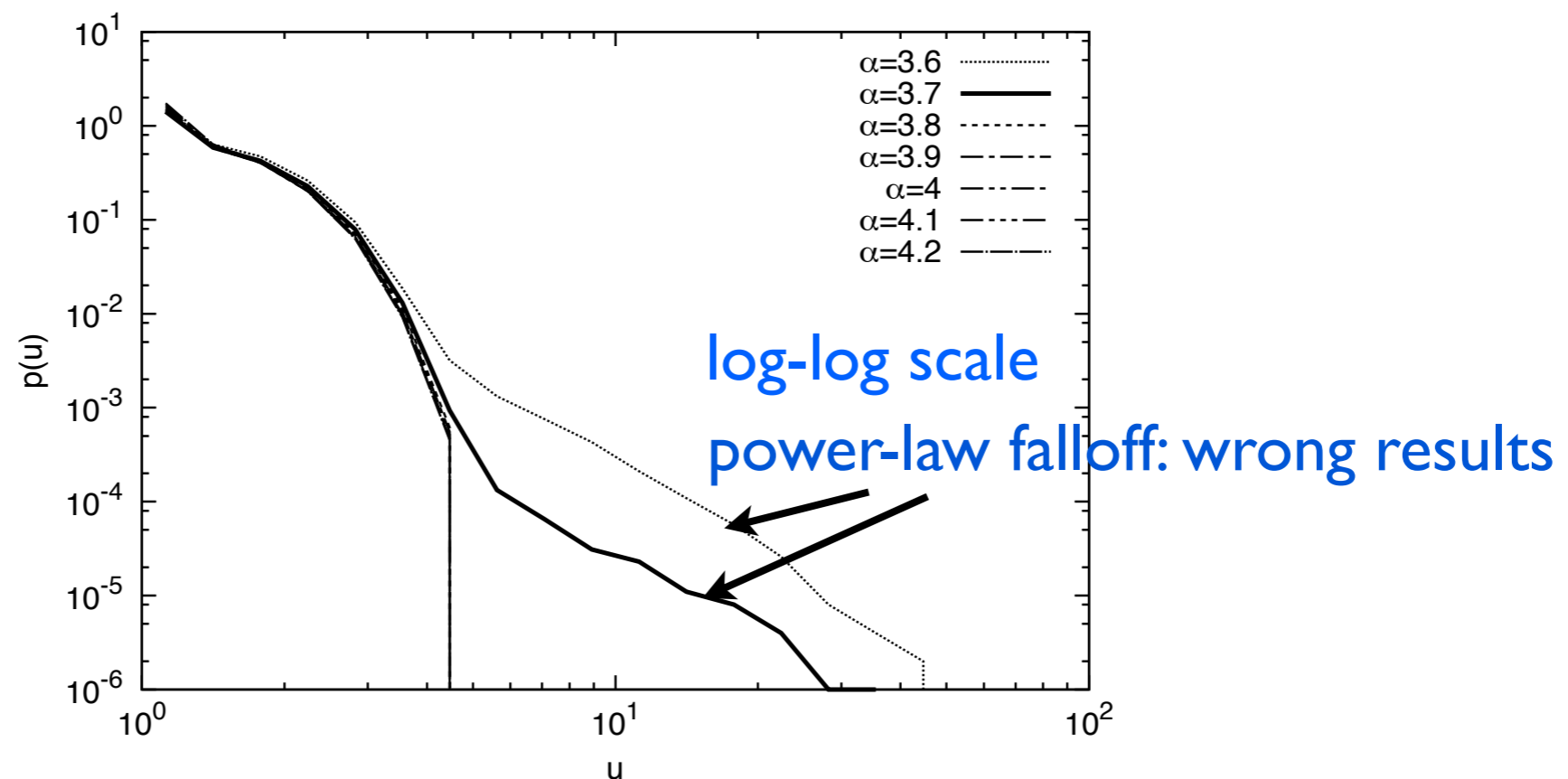
When does complex Langevin give correct results?

- infinite set of conditions (Seiler et al) – not practical
- no boundary in parameter space separating correct and wrong results  
 $\rightarrow$  always wrong? Kogut & Sinclair?
- real noise only
- may give wrong answers in the absence of sign pb (3d XY model, Aarts & James, 2010)

BUT

- Criterion for correctness (with proof): Nishimura et al, I606.07627v4

Distribution of drift force falls off *exponentially (or faster)*



- Modify this distribution (if necessary) by *extra drift force* and extrapolate results to zero such force Nishimura et al, Jaeger et al
- QCD with light quarks, low  $T$  large  $\mu$ : under way! Nishimura et al  
Sexty et al, Kogut Sinclair



# Going complex II: deforming the contour

- Lefschetz thimble:

**Idea:** deform integration contour in the complex plane,  
such that  $S_I = \text{constant} \rightarrow \approx \text{constant phase}$

- do NOT explore full complexified space ( $\leftrightarrow$  complex Langevin)
- to find the thimble: start at **saddle point**  $\partial_z S(z) = 0$ 
  - keep  $S_I$  fixed
  - move to increase  $S_R$  (steepest ascent)
- IF **one** thimble, then constant phase  $e^{iS_I}$  cancels in vevs  
residual, mild sign pb from Jacobian along [not straight] thimble  
technical difficulty of sampling along thimble can be overcome  
Di Renzo et al, Tanizaki et al, Fujii et al, Bedaque et al

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Problem: number of thimbles  $\sim \exp(\text{Volume})$  ?

- Keep dominant thimble only (OK as  $V \rightarrow \infty$  ?) but, eg. phase transitions??
- Keep all thimbles: - relative phase  $\rightarrow$  sign pb reappears  
- ergodic sampling?



# Going complex II: deforming the contour

- Holomorphic gradient flow: Alexandru, Bedaque et al, 1512.08764,..

Idea: tuning knob (flow time) to interpolate between real manifold and thimble

- $t = 0 \rightarrow$  original field  $\phi$
- $t > 0 \rightarrow \frac{d\phi}{dt} = \overline{\frac{\partial S}{\partial \phi}}$

Along flow,  $S_I$  remains constant, and  $S_R$  keeps increasing  
ie.  $\exp(-S_R)$  keeps decreasing, except for critical points  $\partial S / \partial \phi = 0$   
 $\implies$  approach Lefschetz thimbles as  $t \rightarrow \infty$

Flow time:	0	$\longrightarrow$	$\infty$
Difficulty:	sign pb		ergodicity pb
	sweet spot		

Note: sign pb requires  $\exp(V)$  resources, ergodicity pb ALSO  
 $\rightarrow$  don't expect "sweet spot" to beat  $\exp(V)$  complexity – only  $\Delta f$  smaller

- Path Optimization Method: minimize sign pb, using *Neural Network*

**Mature methods with limited scope:**

**Taylor expansion in  $\mu/T$**

# Small- $\mu$ approach: Taylor expansion

- Expansion parameter  $\mu/T \lesssim 1$

$$P(T, \mu) - P(T, 0) = \sum_{k=1} c_{2k}(T) \left(\frac{\mu}{T}\right)^{2k}$$

$$c_{2k} = \left\langle \text{Tr} \left( \text{degree } 2k \text{ polynomial in } \mathcal{D}^{-1}, \frac{\partial \mathcal{D}}{\partial \mu} \right) \right\rangle_{\mu=0}$$

Standard  $\mu = 0$  simulation & *noise vectors* to estimate Trace

- Combinatorial complexity in  $k \rightarrow c_8$  out of reach  $c_4 : 2002$   
 $c_6 : 2005$
- Progress:  $\mu$  on the lattice

- Linear:  $U_4 \rightarrow (1 + a\mu)U_4$ , UV divergence

1983 • Hasenfratz & Karsch:  $U_4 \rightarrow \exp(a\mu)U_4$ , cures UV divergence

2011 • Gavai & Sharma: linear + subtract UV divergence by hand ??

# Taylor expansion: nitty-gritty

$$\begin{aligned}
 \frac{\partial^6 \ln \det M}{\partial \mu^6} &= \text{tr} \left( M^{-1} \frac{\partial^6 M}{\partial \mu^6} \right) - 6 \text{tr} \left( M^{-1} \frac{\partial M}{\partial \mu} M^{-1} \frac{\partial^5 M}{\partial \mu^5} \right) \\
 &- 15 \text{tr} \left( M^{-1} \frac{\partial^2 M}{\partial \mu^2} M^{-1} \frac{\partial^4 M}{\partial \mu^4} \right) - 10 \text{tr} \left( M^{-1} \frac{\partial^3 M}{\partial \mu^3} M^{-1} \frac{\partial^3 M}{\partial \mu^3} \right) \\
 &+ 30 \text{tr} \left( M^{-1} \frac{\partial M}{\partial \mu} M^{-1} \frac{\partial M}{\partial \mu} M^{-1} \frac{\partial^4 M}{\partial \mu^4} \right) + 60 \text{tr} \left( M^{-1} \frac{\partial M}{\partial \mu} M^{-1} \frac{\partial^2 M}{\partial \mu^2} M^{-1} \frac{\partial^3 M}{\partial \mu^3} \right) \\
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Now estimate all Traces by sandwiching between noise vectors... GPUs

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 \end{aligned}$$

Only term surviving  
with linear  $\mu$

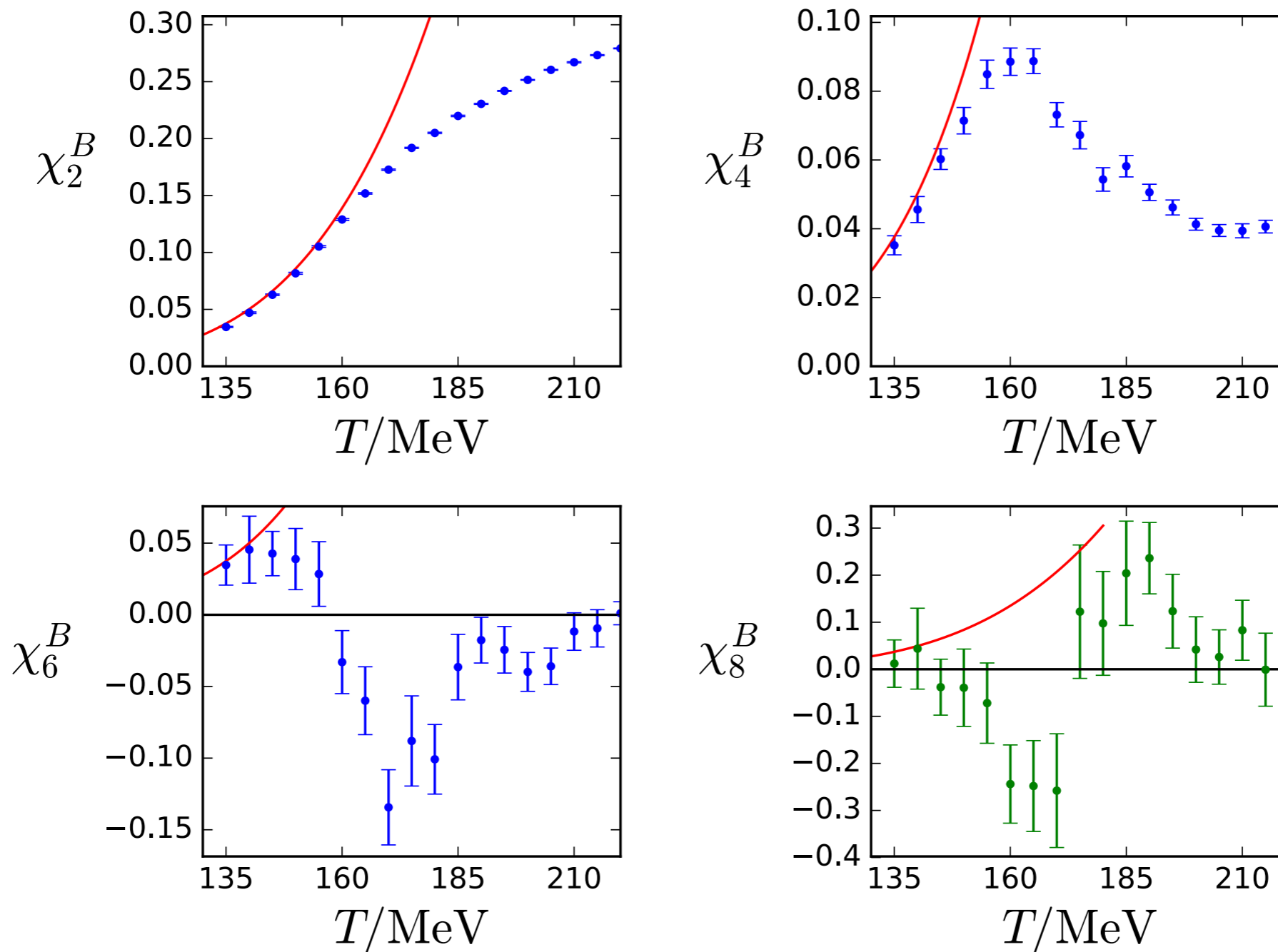
Fewer traces  $\rightarrow$  less work and more precise estimates

## Small- $\mu$ approach: **imaginary- $\mu$**

- Simulate at several values of  $\mu = i\mu_I$ : **no sign pb.**  
( $|\mu_I| < \frac{\pi T}{3}$ , **Roberge-Weiss** singularity)
- Fit  $\langle \mathcal{O} \rangle(\mu_I) = \sum_k \frac{d_k}{k!} \mu_I^k \rightarrow d_k$  is estimator of  $\frac{\partial^k \mathcal{O}}{\partial \mu_I^k}$   
Analytic continuation trivial:  $i\mu_I \rightarrow \mu$
- For pressure, take eg.  $\mathcal{O} = n_B = \frac{\partial P}{\partial \mu_B}$  and integrate fitted polynomial
- Degree of fitted polynomial, fit range  $\rightarrow$  systematic error?

**New (Wuppertal):** global fit (at each  $T$ ) with Bayesian prior

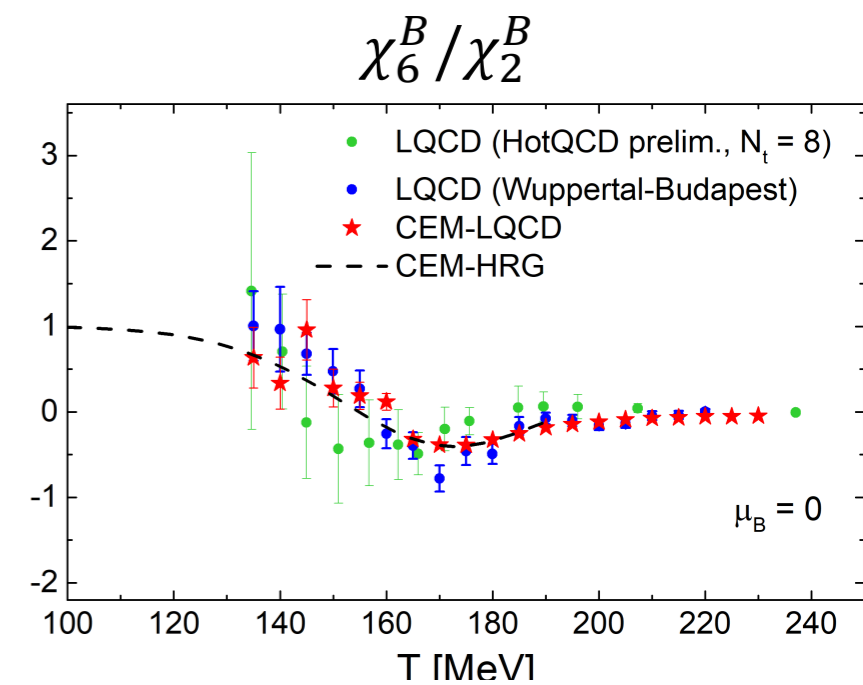
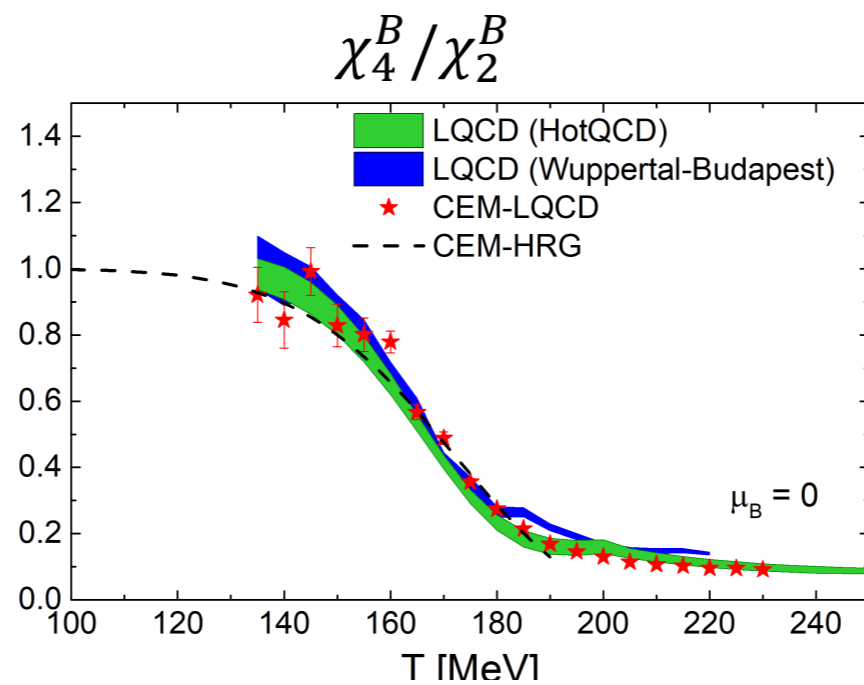
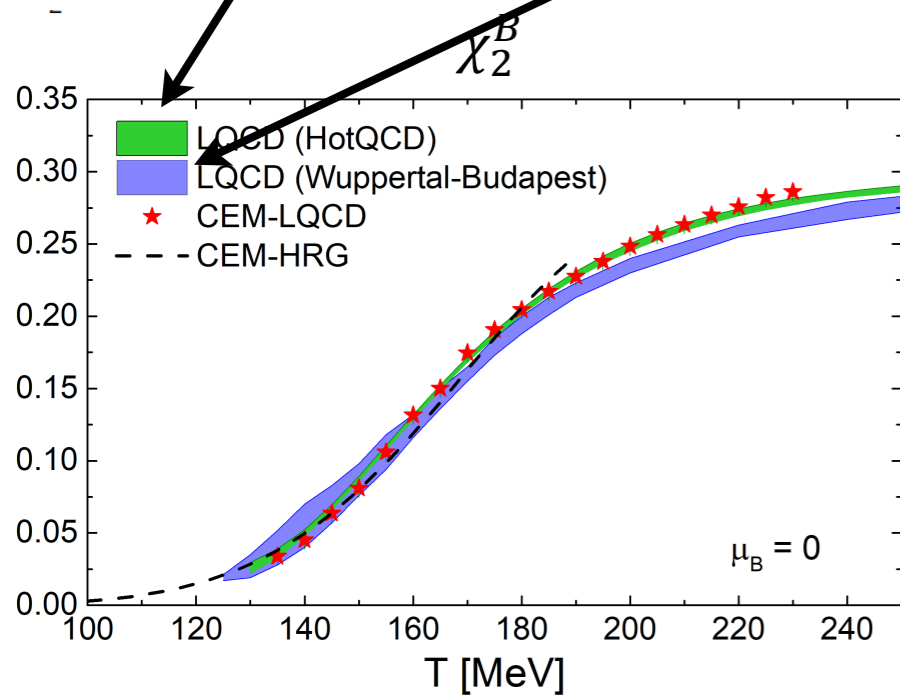
$$N_\tau = 12$$



$c_8$

**Figure 2.** Results for  $\chi_2^B$ ,  $\chi_4^B$ ,  $\chi_6^B$  and an estimate for  $\chi_8^B$  as functions of the temperature, obtained from the single-temperature analysis. We plot  $\chi_8^B$  in green to point out that its determination is guided by a prior, which is linked to the  $\chi_4^B$  observable by Eq. (3.4). The red curve in each panel corresponds to the Hadron Resonance Gas (HRG) model result.

# Taylor expansion and imaginary- $\mu$ agree

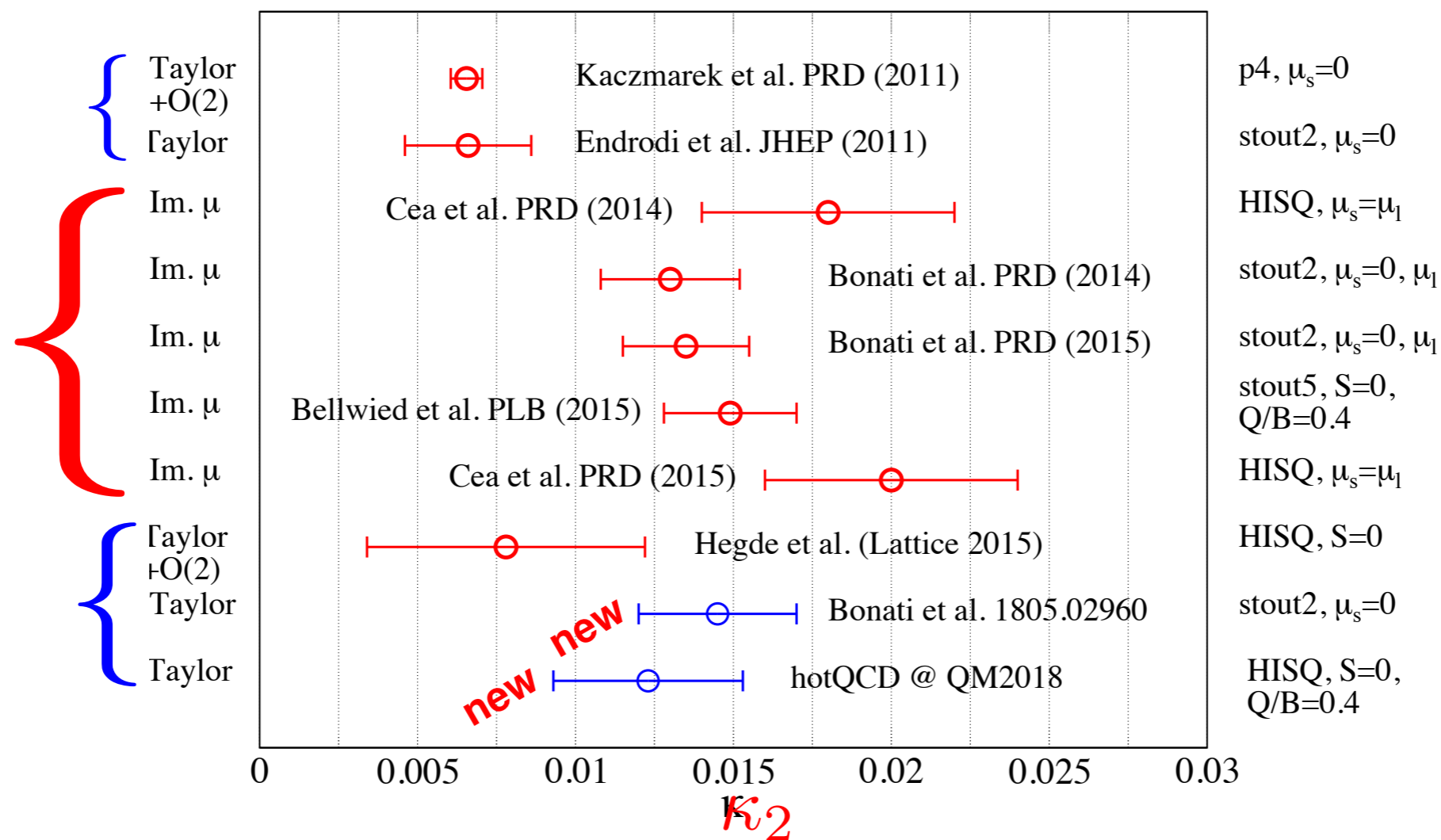




# Taylor expansion and imaginary- $\mu$ agree

Here, for curvature of pseudo-critical line:

$$\frac{T_c(\mu_B)}{T_c(0)} = 1 - \kappa_2 \left( \frac{\mu_B}{T_c(0)} \right)^2 + \mathcal{O}(\mu_B^4)$$



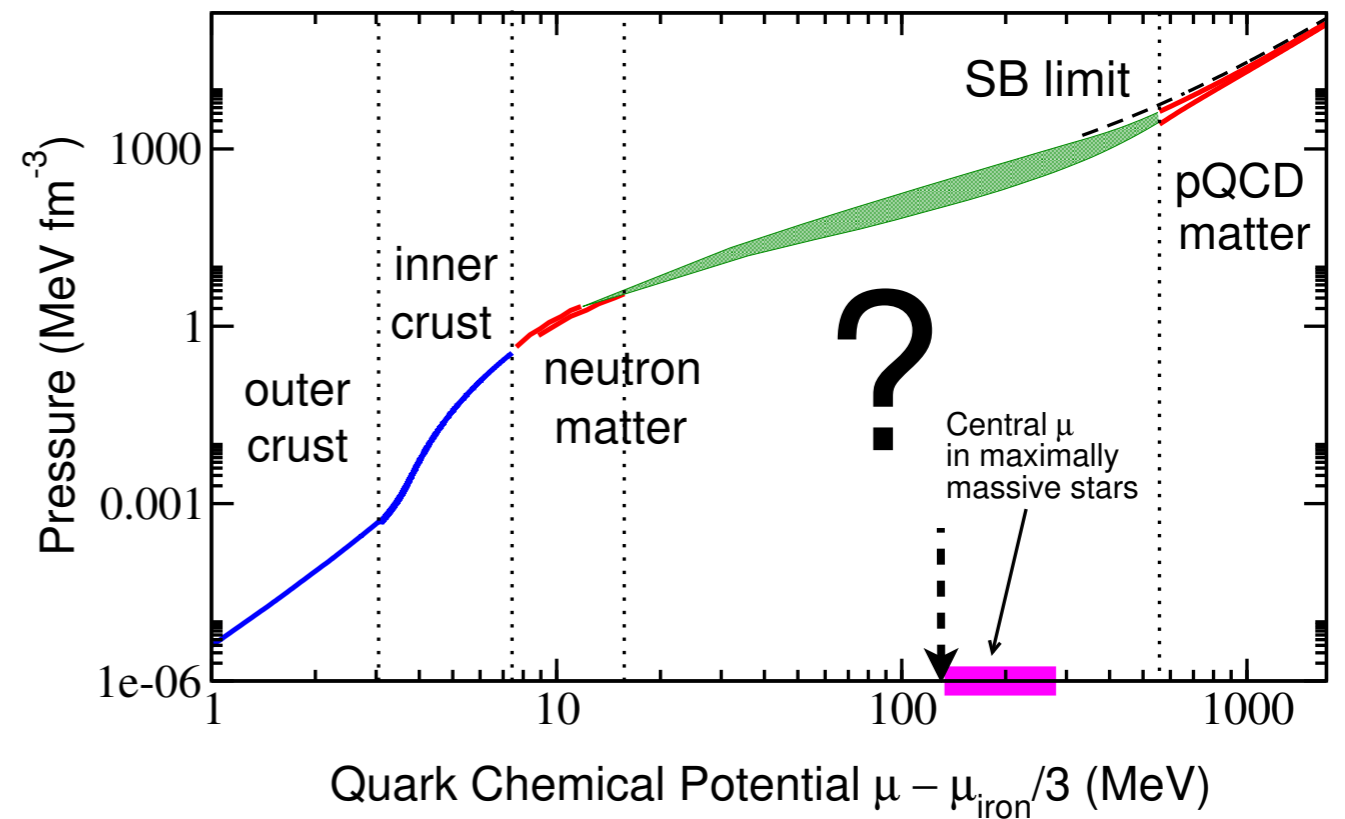
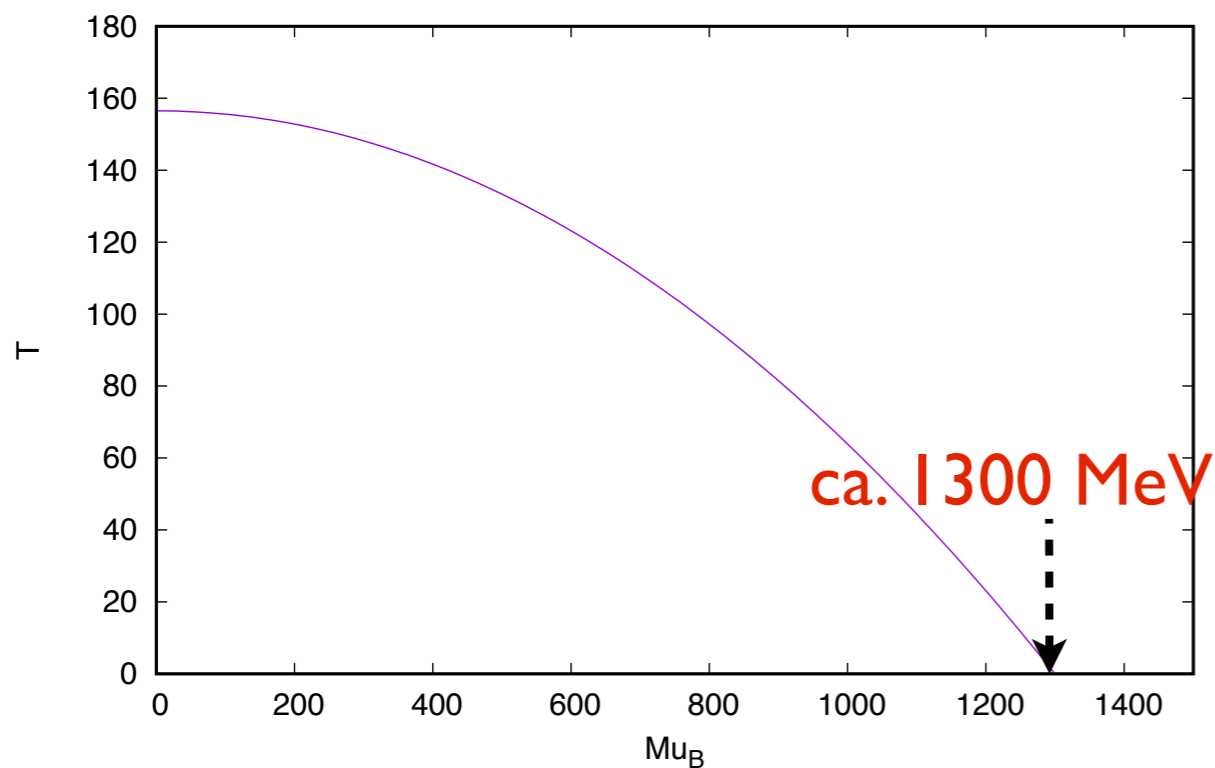
**Personal view**

# Prospects for a *relevant* QCD critical point are *receding*

- No signal [yet] from RHIC beam energy scan
- Large mass neutron stars disfavor quark matter core (EOS too soft)
- Curvature of pseudo-critical line is *small*:

1402.6618, Kurkela et al.

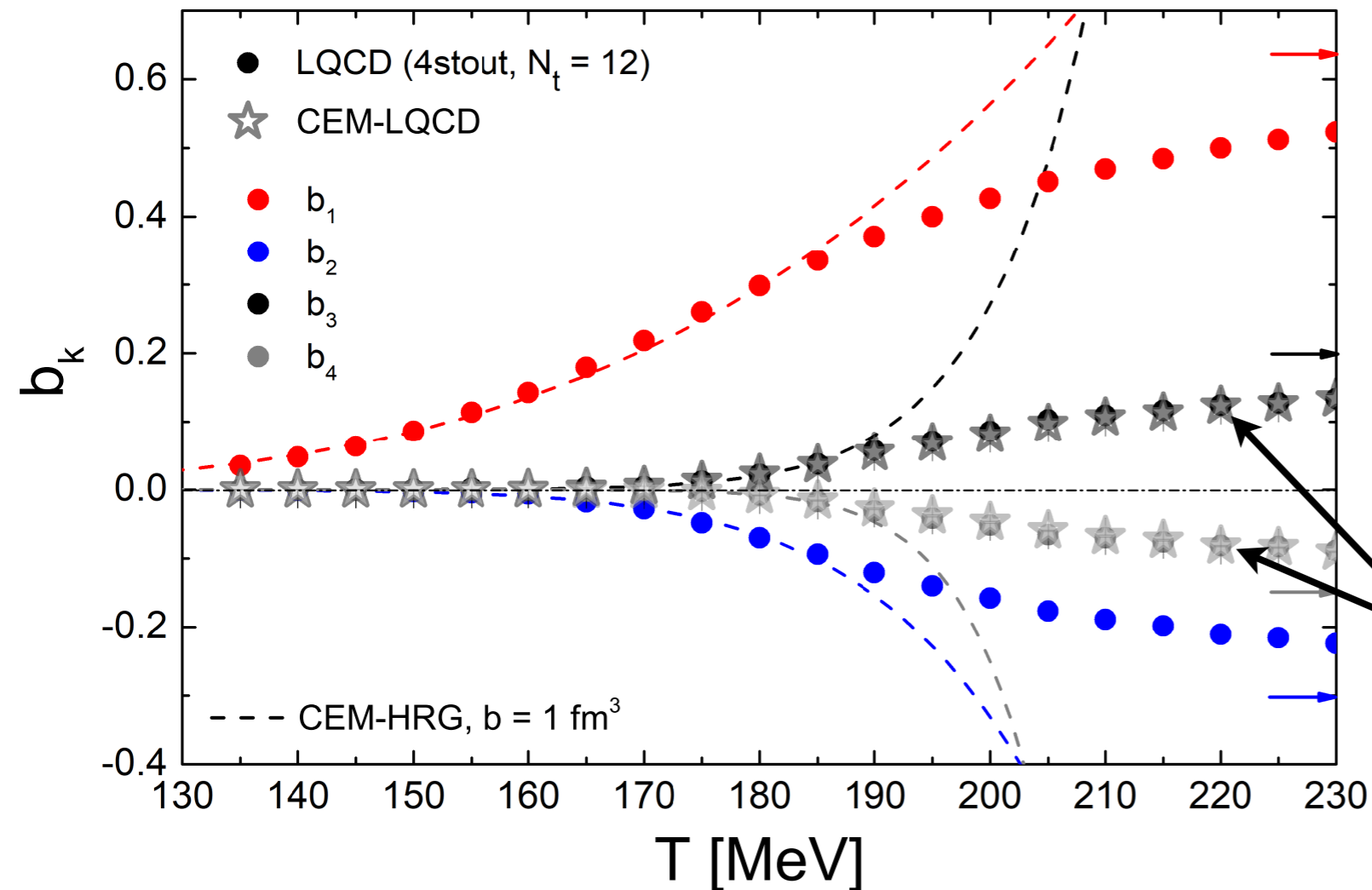
Parabolic pseudo-critical line



- Models (PNJL, strong-coupling LQCD,..) place crit.pt. *far to the right*

# Finding a crit.pt. at large $\mu$ requires **massive** CPU effort

or a breakthrough...



arXiv:1711.01261

Vovchenko et al.

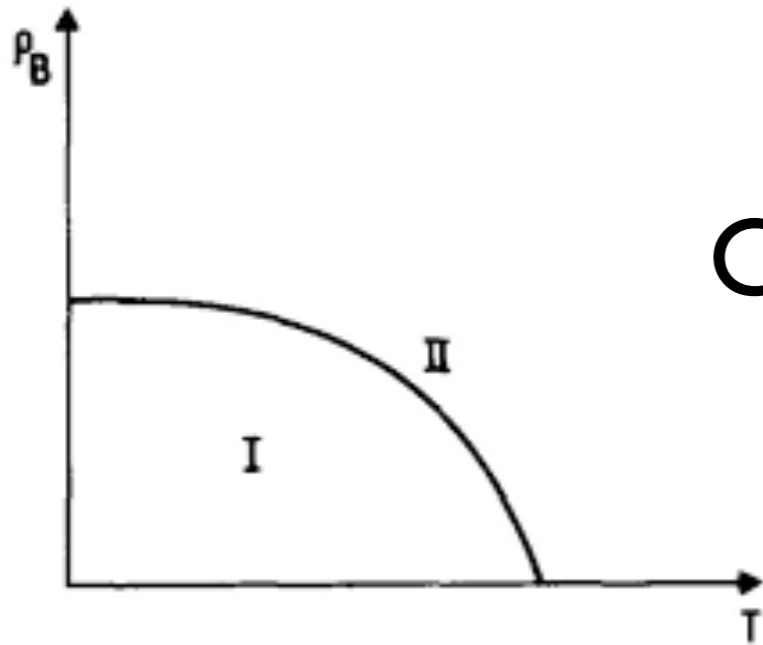
~ Taylor coeffs of pressure  
to 8th order versus  $T$   
compared with Ansatz

stars & circles on top of each other

- At each temperature, Monte Carlo values of  $b_1, b_2$  specify the Ansatz
- Then Ansatz predicts  $b_3, b_4 \rightarrow$  perfectly consistent with Monte Carlo

**Analytic** Ansatz describes all available Monte Carlo data!

# Time evolution of the phase diagram of QCD



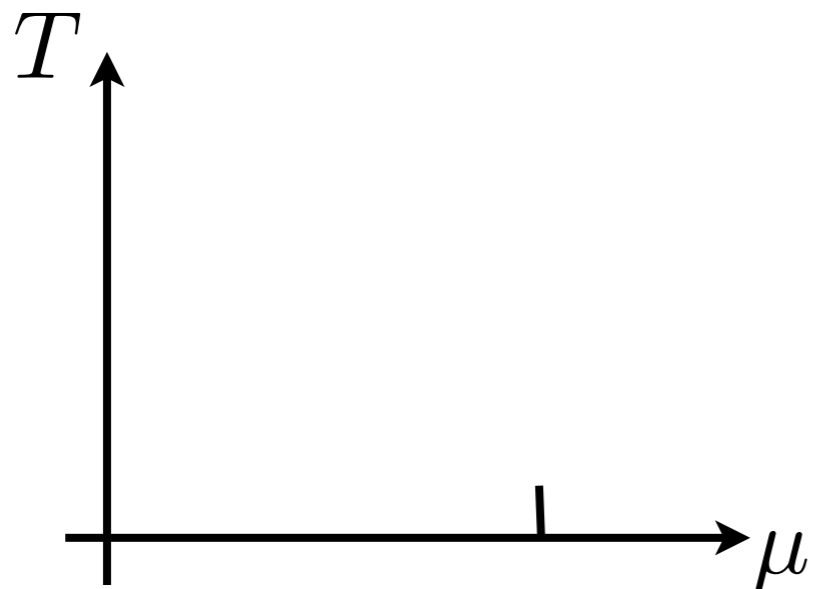
Cabibbo & Parisi, 1975

“little bang”



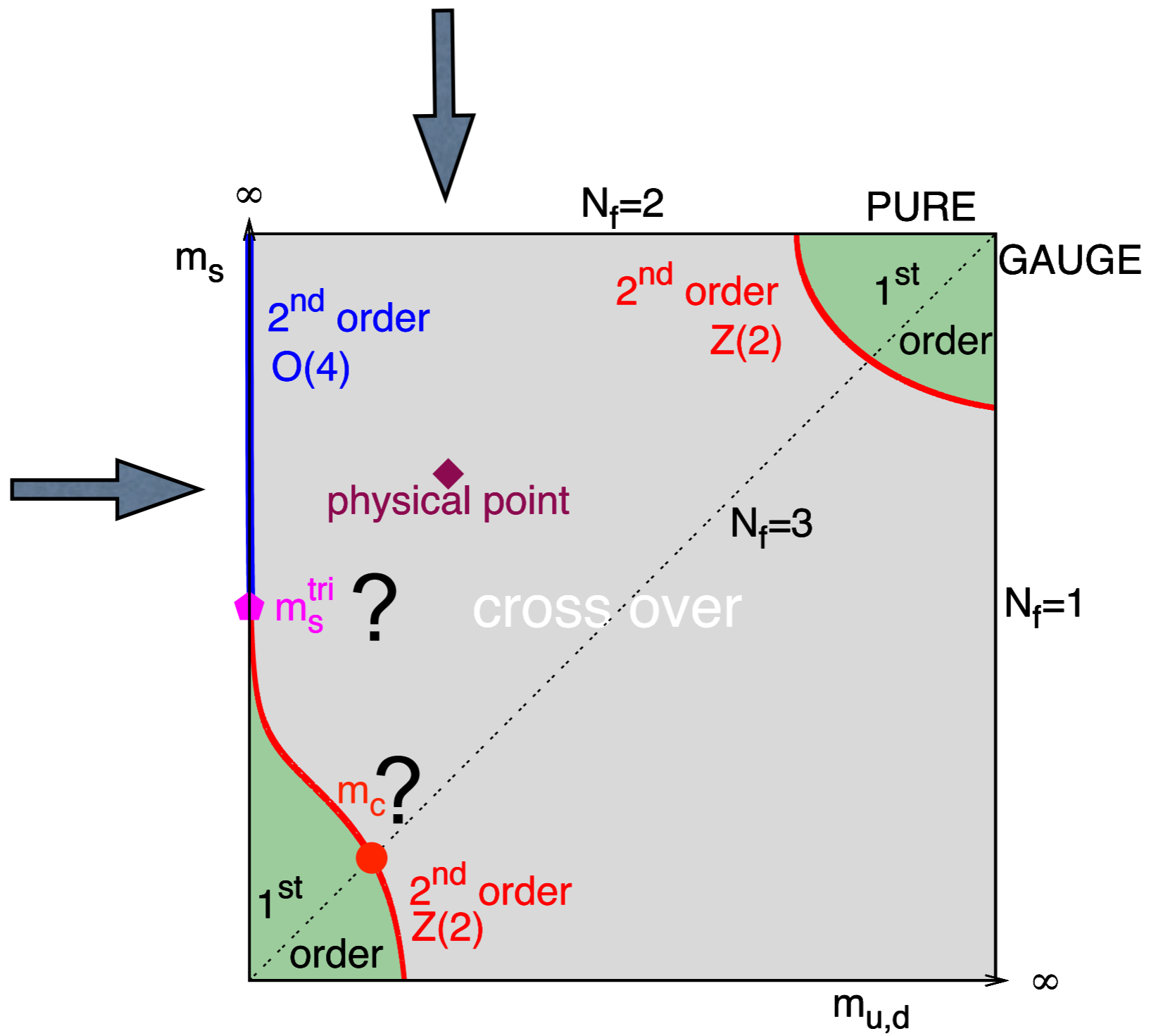
2020

“no bang at all” ?





**Backup**





# How to make the sign problem milder?

- Severity of sign pb. is **representation dependent**:

$$\text{generically, } Z = \text{Tr} e^{-\beta H} = \text{Tr} \left[ e^{-\frac{\beta}{N} H} \left( \sum |\psi\rangle\langle\psi| \right) e^{-\frac{\beta}{N} H} \left( \sum |\psi\rangle\langle\psi| \right) \cdots \right]$$

Any complete set  $\{|\psi\rangle\}$  will do

If  $\{|\psi\rangle\}$  form an **eigenbasis** of  $H$ , then  $\langle\psi_k| e^{-\frac{\beta}{N} H} |\psi_l\rangle = e^{-\frac{\beta}{N} E_k} \delta_{kl} \geq 0 \rightarrow$  **no sign pb**

- Strategy:

choose  $\{|\psi\rangle\}$  “close” to physical eigenstates of  $H$

without full-fledged diagonalization of  $H$

Strategy is general – “deep” optimization? tensor networks?

# Catalogue of approaches to bypass the QCD sign pb

- **Analytic continuation** from imaginary  $\mu$  (no sign pb there): data is cheap  
How to control systematic error?? (fitting ansatz)

- **Taylor expansion** in  $\mu/T$  about  $\mu = 0$ :

limited info  $\mu/T \lesssim 1$

cost of  $k^{\text{th}}$  coeff increases very steeply with  $k$

technical advances

Gavai, Sharma, Schmidt, ..

- **Density of states:**

$S = S_R + iS_I$ ; select one observable eg.  $S_I \rightarrow Z_x = \int \mathcal{D}U e^{-S_R} \delta(S_I - x)$

$Z = \int dx Z_x e^{ix}$ , i.e. Fourier transform

old: Gocksch (1988), Fodor Katz & Schmidt, 2007, ..

significant progress: Langfeld, Lucini & Rago, 2012

Solves overlap pb

consensus(?): data alone not accurate enough to beat sign pb:

need “smoothing” or “fitting” ansatz LLR; Gattringer

→ bias PdF & Rindlisbacher, XQCD 2016

# Catalogue of approaches to bypass the QCD sign pb:

**a sobering story** (Ph.D. thesis, Slavo Kratochvila, ETH, 2005)

- Toy problem: estimate  $\langle W(\lambda) \rangle = \frac{\int_{-\infty}^{+\infty} dx e^{-x^2+i\lambda x}}{\int dx e^{-x^2}}$

Exact answer:  $\langle W(\lambda) \rangle = \langle e^{i\lambda x} \rangle_{\lambda=0} = e^{-\lambda^2/4} \rightarrow$  exponentially large cancellations

- One approach: deformation of contour in the complex plane

Note saddle points:  $x = i\lambda/2$  (numerator) and  $x = 0$  (denominator)

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- One approach: deformation of contour in the complex plane

Note saddle points:  $x = i\lambda/2$  (numerator) and  $x = 0$  (denominator)

- Observation: optimum is to go through  $x = i\lambda/4$ , ie. neither saddle point!

Why? Moving the contour away from real axis renders denominator oscillatory

Sign problem is shifted between numerator and denominator!

Optimum contour is a compromise (half-way between the two saddle points)  
which depends on observable  $W$

Lesson for realistic problems:

an innocent observable may become oscillatory when analytically continued  
 $\rightarrow$  danger of simply reshuffling the sign pb from  $Z$  to  $W$

cf. optimization of contour via cost-function

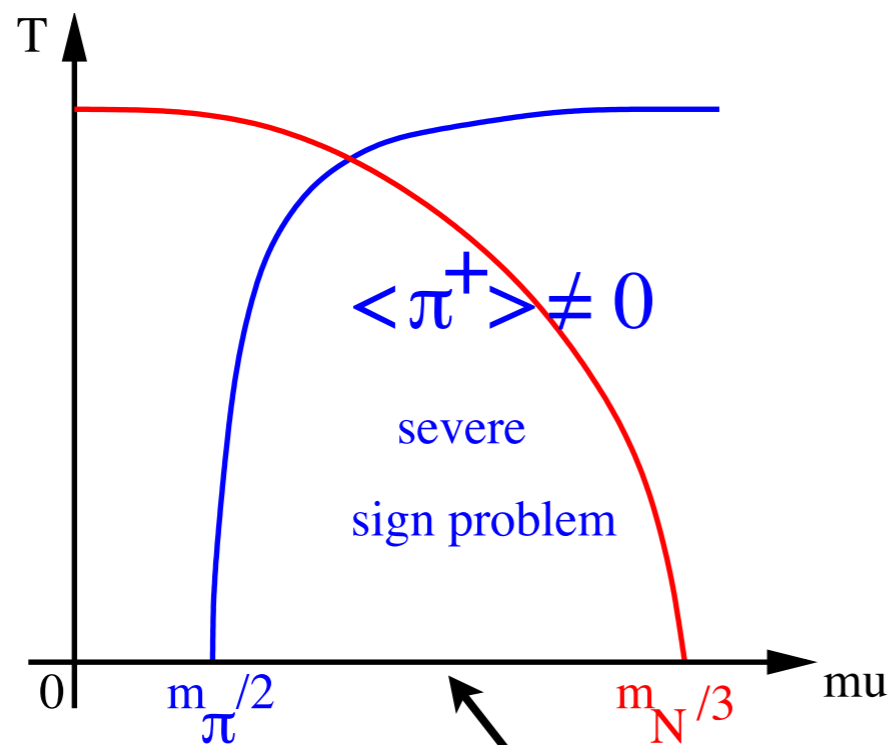
Ohnishi et al, 1705.05605

# Sampling for QCD at finite $\mu$

- **QCD**: sample with  $|\text{Re}(\det(\mu)^{N_f})|$  optimal, but not equiv. to Gaussian integral  
Can choose instead:  $|\det(\mu)|^{N_f}$ , i.e. “**phase quenched**”  
 $|\det(\mu)|^{N_f} = \det(+\mu)^{\frac{N_f}{2}} \det(-\mu)^{\frac{N_f}{2}}$ , i.e. **isospin** chemical potential  $\mu_u = -\mu_d$   
couples to  $u\bar{d}$  charged pions  $\Rightarrow$  **Bose condensation of  $\pi^+$**  when  $|\mu| > \mu_{\text{crit}}(T)$

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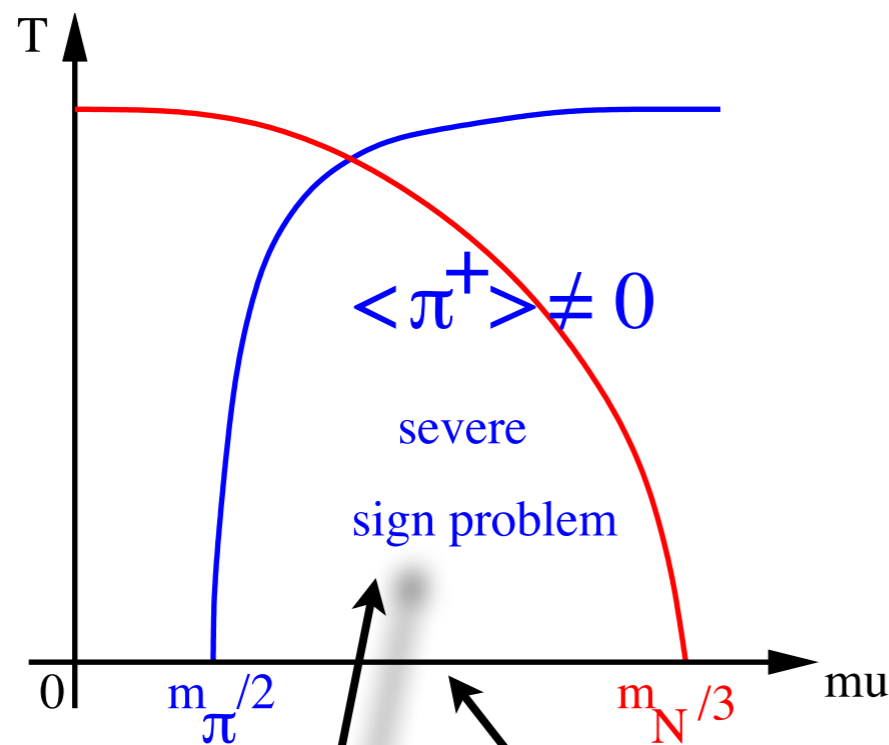


$\Delta f(\mu^2, T)$  large in the Bose phase  
 $\rightarrow$  “severe” sign pb.

“Silverblaze pb”: phase of det changes groundstate

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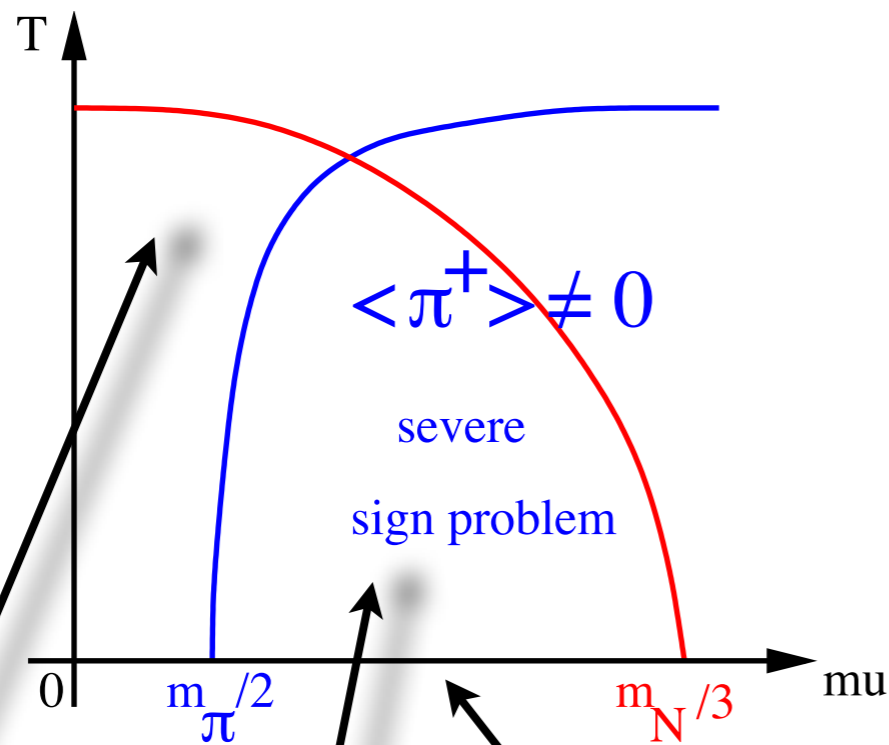


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“**Silverblaze pb**”: phase of det changes groundstate

Extremely hard

Not as hard

$$\frac{\mu}{T} \lesssim 1$$



# Alternative at $T \approx 0$ : $\mu = 0$ + baryonic sources/sinks

Signal-to-noise ratio of  $N$ -baryon correlator  $\propto \exp(-N(m_B - \frac{3}{2}m_\pi)t)$

Lepage 1989

$$C_B(t) = \text{Diagram} \sim e^{-m_B t}$$

$$|C_B(t)|^2 = \text{Diagram 1} \times \text{Diagram 2} \sim \text{Diagram 3} \sim e^{-3m_\pi t}$$

- Mitigated with variational baryon ops.  $\rightarrow m_{\text{eff}}$  plateau for 3 or 4 baryons ?

Savage et al., 1004.2935

At least 2 baryons  $\rightarrow$  nuclear potential Aoki, Hatsuda et al., eg. 1007.3559

- Beautiful results with up to 12  $\rightarrow$  72 *pions or kaons* Detmold et al., eg. 0803.2728  
(cf. isospin- $\mu$ : no sign pb.)