# Lattice QCD approach to baryon interactions

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Compact stars and gravitational waves Oct. 31 - November 4, 2016 Yukawa Institute for Theoretical Physics, Kyoto University

# For HAL QCD Collaboration



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# HAL QCD strategy to Nuclear/Astro physics

р 40 30 exp lattice 50 20 Potentials from 40 V<sub>C</sub>(r) [MeV] 10 30 [deg] 0 lattice QCD 20 -10 Ŷ 10 -20 n -30 -10 -40 -20 0.5 0 1.5 2 2.5 1 250 300 350 0 50 100 150 200 r [fm] E<sub>lab</sub> [MeV] **Nuclear Physics** parameters of EFT with these potentials N Ν Neutro matter quark Neutron stars Matter Supernova explosion

Before giving our results, however, there is an issue for baryon interactions in lattice QCD, which must be understood.

### Some results: talk by Hatsuda on Wed.

In this talk, I will explain the issue and give our understanding.

As my talk will be more or less logical (though not difficult), please interrupt me if you get lost.

Introduction What is an issue ?

# Difficulties of two(multi)-baryon systems

### **Two-nucleon propagator**

$$G_{NN}(t) = \langle N(t)N(t)\bar{N}(0)\bar{N}(0)\rangle = Z_0 e^{-E_0 t} + Z_1 e^{-E_1 t} + \dots \to Z_0 e^{-E_0 t}, \quad t \to \infty$$

• (systematic errors) t can not be infinite. Effects of  $E_1, E_2, \cdots$ .

• (statistical errors)  $G_{NN}(t)$  is calculated by the Monte-Carlo average.

N = qqq (3 quarks)

	Signal	Noise
Single-nucleon	$\langle N(t)\bar{N}(0)\rangle \simeq e^{-m_N t}$	$\sqrt{\langle  N(t)\bar{N}(0) ^2 \rangle} \simeq \sqrt{e^{-3m_\pi t}} = e^{-\frac{3}{2}m_\pi t}$
A-nucleons	$\langle N^{A}(t)\bar{N}^{A}(0)\rangle \simeq e^{-Am_{N}t}$	$\sqrt{\langle  N^{\boldsymbol{A}}(t)\bar{N}^{\boldsymbol{A}}(0) ^2 \rangle} \simeq e^{-\boldsymbol{A}\frac{3}{2}m_{\pi}t}$

### Signal-to-Noise ratio



A (kind of) sign problem for fermion systems.



Only a few groups are working on two-baryon systems. Thus still premature.

### Lattice QCD methods for two-baryons

Details will be given later.



Both are theoretically equivalent, but



**Nature**  $m_{\pi} \simeq 140 \text{ MeV}$  unbound bound

Both must agree. We therefore have to identify sources of this discrepancy. In this talk, I will show several evidences that some systematic uncertainties are not under control in the direct method while they are well controlled in the potential method.

Introduction

Part 1. Direct method

I. Mirage problem (Operator dependence)

II. Sanity check

Part 2. HALQCD potential method

III. Strategy

IV. Source dependence

V. Anatomy of the direct method by the potential

Summary

# Part 1. Direct method

# Extraction of energy shift

Energy shift

 $\Delta E \equiv E_{NN} - 2m_N$ O(10 MeV) O(2 GeV) O(2 GeV) large cancellation 0.5 % accuracy required

Ratio  $R(t) = \frac{G_{NN}(t)}{G_N(t)^2} \sim e^{-\Delta E t}$ 

expect cancellation of both statistical and systematic errors

### **Effective energy shift**

$$\Delta E(t) = \frac{1}{a} \log \frac{R(t)}{R(t+a)} \longrightarrow \Delta E, \qquad t \to \infty$$

### Plateau method

We identify  $\Delta E(t)$  as  $\Delta E$ , if it becomes constant.

#### YIKU 2012: PRD86(2012)074514



# Is the plateau method reliable ?

### **Excitation energy** $E_1 - E_0$

binding energy: very small finite volume effect for scattering state  $\simeq \frac{1}{m_N} \frac{(2\pi)^2}{L^2}$ 



Observing the plateau guarantees the ground state saturation even when  $t \gg 1/(E_1 - E_0)$  is NOT satisfied.

#### claimed by Y(I)KU('11,'12,'15), NPL('12,'13,'15), CalLat('15)

# **Examination of the statement**

**Mock-up data** @  $m_{\pi} = 0.5 \text{ GeV}, L = 4 \text{ fm (setup of YIKU2012)}$ 

$$R(t) = e^{-\Delta Et} \left( 1 + b \ e^{-\delta E_{\rm el}t} + c \ e^{-\delta E_{\rm inel}t} \right)$$

 $\delta E_{\rm el} \propto \frac{1}{L^2}$  the lowest excitation energy of elastic scattering state  $\delta E_{\rm el} = 50 \text{ MeV} \text{ at } L \simeq 4 \text{ fm}$  $b = \pm 0.1$  10 % contamination b = 0 for a comparison  $e^{2m_N \cdot t} \langle 0|T[N(\vec{x},t)N(\vec{y},t) \cdot \overline{\mathcal{J}}_{NN}(t=0)]|0\rangle$   $\sum_{\vec{k}}^{\delta E_{\text{inel}} = 500 \text{ MeV}} \text{ the inelastic energy from heavy pions}$   $a_{\vec{k}} \exp\left(-t\Delta W(\vec{k})\right) \psi_{\vec{k}}(\vec{x})$  1% contaminationInelastic region Elastic region 2m<sub>N</sub> +mπ 2m<sub>N</sub>





Zoom + increasing errors and fluctuations



Zoom + increasing errors and fluctuations





Observing the plateau guarantees the ground state saturation even when  $t \gg 1/(E_1 - E_0)$  is NOT satisfied. claimed by Y(I)KU('11,'12,'15), NPL('12,'13,'15), CalLat('15)

It's a Myth !



I. Mirage problem (Operator dependence)

- Manifestation of the problem I -

T. Iritani et al. (HAL QCD), JHEP1610(2016)101 (arXiv:1607.06371)

### Source operator dependence of plateaux

quark wall source vs quark smeared source



b are different between the two.

Lattice setup 2+1 flavor QCD

same gauge configurations of YIKU 2012

$$a = 0.09 \text{ fm} (a^{-1} = 2.2 \text{ GeV})$$

 $m_{\pi} = 0.51 \text{ GeV}, m_N = 1.32 \text{ GeV}, m_K = 0.62 \text{ GeV}, m_{\Xi} = 1.46 \text{ GeV}$ 

### smaller statistical errors



- Not surprisingly, two sources disagree.
- The potential danger becomes reality.
- Plateau-like structures around t=1-1.5 fm are by no means trustable.
- Both might agree at t > 18a, but errors are too large.

### Numerator and denominator



Smeared source looks better for the single baryon, but it still keeps changing in the fine scale.

Method relies on cancellation of systematics



### Numerator and denominator



Smeared source looks better for the single baryon but it still keeps changing in the fine scale.

Method relies on cancellation of systematics



# Same problem also appears for NN

 $NN(^{1}S_{0})$ 

 $NN(^{3}S_{1})$ 



With larger errors, disagreement also exists.

### In addition, we may have

# Sink 2-baryon operator dependence of plateaux



$$G_{\Xi\Xi}(t) = \sum_{\mathbf{x}, \mathbf{y}} g(|\mathbf{x} - \mathbf{y}|) \langle \Xi(\mathbf{x}, t) \Xi(\mathbf{y}, t) \mathcal{J}_{\Xi\Xi}(t_0) \rangle$$
$$g(r) = 1 : \text{ standrad sink operator}$$

 $g(r) = 1 + A \exp(-Br)$ : generalized sink operator

### The true plateau must NOT dependent on g(r).

### **Smeared source**



Wall source

- smeared source is very sensitive to g(r).
  - Sometimes deeper and more stable.
  - one can produce an arbitrary value (within a certain range) by g(r).
- Wall source is insensitive to g(r).

- Dangers of fake plateaux exit in principle for the direct method.
- Problem becomes manifest in the strong source/sink operator dependences of plateau values in YIKU 2012.
- Are there any symptoms in other results ?
  - Study of source dependences requires additional simulations.
  - need simpler and easier check



# II. Sanity check

- Manifestation of the problem II -

S. Aoki, T. Doi, T. Iritani, PoS(Lattice2016) 109 (aiXiv:1610:09763)

# Finite volume formula



### ERE at physical pion mass



Instead, a behavior shown below indicates the problem in lattice QCD data.

$$1/a \simeq -\infty, \quad r \simeq -\infty$$





### YIKU2012 Yamazaki et al. PRD86(2012)074514

 $m_{\pi} = 0.51 \text{ GeV}, L = 2.9 - 5.8 \text{ fm}$ 



 $\Delta E$  is almost independent on L, while it is shallow bound state.

"Not Sanity"

# **Conclusion of part 1**

The direct method gives no reliable result for two(or more)-baryon systems so far, since systematic errors due to contaminations from excited (elastic) states are not under control.

### **Check Table for NN**



# Part 2. HALQCD potential method



# **III. Strategy**

Aoki, Hatsuda & Ishii, PTP123(2010)89.

Nambu-Bethe-Salpeter (NBS) wave function

$$\varphi_{\mathbf{k}}(\mathbf{r}) = \langle 0|N(\mathbf{x} + \mathbf{r}, 0)N(\mathbf{x}, 0)|NN, W_k \rangle$$
 energy  $W_k = 2\sqrt{\mathbf{k}^2 + m_N^2}$  QCD eigenstate 
$$r = |\mathbf{r}| \to \infty$$
 interaction 
$$\varphi_{\mathbf{k}}(\mathbf{r}) \simeq \sum_{l,m} C_l \frac{\sin(kr - l\pi/2 + \delta_l(k))}{kr} Y_{ml}(\Omega_{\mathbf{r}})$$
 scattering phase shift = phase of the S-matrix by unitarity in QCD.

# Potential

Non-local but energy-independent, defined from the NBS wave function

$$\begin{bmatrix} \epsilon_k - H_0 \end{bmatrix} \varphi_{\mathbf{k}}(\mathbf{x}) = \int d^3 y \, \underline{U}(\mathbf{x}, \mathbf{y}) \varphi_{\mathbf{k}}(\mathbf{y}) \qquad \epsilon_k = \frac{\mathbf{k}^2}{2\mu} \quad H_0 = \frac{-\nabla^2}{2\mu}$$
$$U(\mathbf{x}, \mathbf{y}) \quad \checkmark \quad \mathbf{v}_k(\mathbf{x}) = \frac{[\epsilon_k - H_0] \varphi_{\mathbf{k}}(\mathbf{x})}{\varphi_{\mathbf{k}}(\mathbf{x})}$$

By construction

potential  $U(\mathbf{x}, \mathbf{y})$  is faithful to QCD phase shift  $\delta_l(k)$ .

Note however that  $U(\mathbf{x}, \mathbf{y})$  is not unique.

 $U(\mathbf{x}, \mathbf{y}) = V(\mathbf{x}, \nabla)\delta^3(\mathbf{x} - \mathbf{y})$ Derivative (velocity) expansion

$$V(\mathbf{x}, \nabla) = V_0(r) + V_{\sigma}(r)(\sigma_1 \cdot \sigma_2) + V_T(r)S_{12} + V_{\mathrm{LS}}(r)\mathbf{L} \cdot \mathbf{S} + O(\nabla^2)$$

$$L0 \qquad L0 \qquad \text{NLO} \qquad \text{NNLO}$$

$$\text{tensor operator} \qquad S_{12} = \frac{3}{r^2}(\sigma_1 \cdot \mathbf{x})(\sigma_2 \cdot \mathbf{x}) - (\sigma_1 \cdot \sigma_2)$$

$$\text{spins}$$
At LO we simply obtain
$$V_{\mathrm{LO}}(\mathbf{x}) = \frac{[\epsilon_k - H_0]\varphi_{\mathbf{k}}(\mathbf{x})}{\varphi_{\mathbf{k}}(\mathbf{x})}$$

phase shifts and binding energy below inelastic threshold

Several  $\varphi_k(\mathbf{x})$  are available.



We can determine  $V(\mathbf{x}, \nabla)$  order by order.

Note truncation of the derivative expansion introduces some systematics.

# **Extraction of potential**

### **4-pt Correlation function**

can be calculated in lattice QCD

source for NN

 $F(\mathbf{r}, t - t_0) = \langle 0 | T\{N(\mathbf{x} + \mathbf{r}, t)N(\mathbf{x}, t)\}\overline{\mathcal{J}}(t_0) | 0 \rangle$ 

 $F(\mathbf{r}, t - t_{0}) = \langle 0|T\{N(\mathbf{x} + \mathbf{r}, t)N(\mathbf{x}, t)\} \sum_{\substack{n, s_{1}, s_{2} \\ n, s_{1}, s_{2}}} |2N, W_{n}, s_{1}, s_{2}\rangle \langle 2N, W_{n}, s_{1}, s_{2}|\overline{\mathcal{J}}(t_{0})|0\rangle + \cdots$   $= \sum_{\substack{n, s_{1}, s_{2} \\ n, s_{1}, s_{2}}} A_{n, s_{1}, s_{2}} \underline{\varphi}^{W_{n}}(\mathbf{r}) e^{-W_{n}(t - t_{0})}, \quad A_{n, s_{1}, s_{2}} = \langle 2N, W_{n}, s_{1}, s_{2}|\overline{\mathcal{J}}(0)|0\rangle.$  NBS wave function  $\varphi_{s_{1}, s_{2}}^{W}(\mathbf{r}) = \langle 0|T\{N(\mathbf{x} + \mathbf{r}, 0)N(\mathbf{x}, 0)\}|2N, W, s_{1}, s_{2}\rangle$ 

### Normalized 4-pt function

$$\begin{split} R(\mathbf{r},t) &\equiv F(\mathbf{r},t)/G_N^2(t) = \sum_n A_n \varphi^{W_n} e^{-\Delta W_n t} & \text{a sum of many NBS wave functions} \\ & \int d\mathbf{y} \, U(\mathbf{x},\mathbf{y}) \varphi^{W_0}(\mathbf{y}) = (E_{W_0} - H_0) \varphi^{W_0}(\mathbf{x}) \\ & \int d\mathbf{y} \, U(\mathbf{x},\mathbf{y}) \varphi^{W_1}(\mathbf{y}) = (E_{W_1} - H_0) \varphi^{W_1}(\mathbf{x}) \end{split}$$

### **Time-dependent method**



$$\sim \frac{(2\pi)^2}{m_N} \frac{1}{L^2} \left( E_i \sim 2m_N + \frac{\vec{p}_i^2}{m_N} + \cdots; \quad \vec{p}_i \simeq \frac{2\pi}{L} \vec{n}_i \right)$$

excited state contributions become bigger in the larger volume

$$\Delta E \propto \frac{1}{L^2}$$

$$V(\vec{x},t)N(\vec{y},t)\cdot \overline{\mathcal{J}}_{NN}(t=0)]|0\rangle$$
time-dependent HAL QCD method
$$t M_{k} = (\vec{x},t) = \mathcal{M}_{k} = (\vec{x},t) = (\vec{x},t) = (\vec{x},t)$$



remaining t-dependence of the potential

 $\Delta E \simeq m_{\pi}$ 

 $\vec{k} \exp\left(-t\Delta W(\vec{k})\right) \notin \vec{k} \in \vec{k}^{2} \quad \Delta W(\vec{k})^{2}$   $= \frac{\Delta W(\vec{k})^{2}}{4m_{N}^{2}} \exp\left(-t\Delta W(\vec{k})\right) \# \vec{k} \in \vec{k}^{2} \quad \Delta W(\vec{k})^{2}$   $= \frac{\Delta W(\vec{k})^{2}}{4m_{N}^{2}} \exp\left(-t\Delta W(\vec{k})\right) \# \vec{k} \in \vec{k}^{2} \quad \Delta W(\vec{k})^{2}$   $= \frac{\Delta W(\vec{k})^{2}}{4m_{N}^{2}} \exp\left(-t\Delta W(\vec{k})\right) \# \vec{k} \in \vec{k}^{2}$   $= \frac{\Delta W(\vec{k})^{2}}{4m_{N}^{2}} \exp\left(-t\Delta W(\vec{k})\right) \# \vec{k} \in \vec{k}^{2}$   $= \frac{\Delta W(\vec{k})^{2}}{4m_{N}^{2}} \exp\left(-t\Delta W(\vec{k})\right) \# \vec{k} \in \vec{k}^{2}$ 



No dineutron at heavier pion mass.

# **IV. Source dependence of potentials**

T. Iritani, Talk at Lat2016, arXiv1610.09779[hep-lat]

# **NBS** wave function

![](_page_44_Picture_1.jpeg)

![](_page_44_Figure_2.jpeg)

![](_page_45_Figure_0.jpeg)

O(100) MeV cancellation

### time-dependent HAL method works well

![](_page_46_Figure_0.jpeg)

Wall src. is stable. Smeared src. -> wall src. for large t.

### Analysis w/LO+ NLO potential

![](_page_47_Figure_1.jpeg)

![](_page_47_Figure_2.jpeg)

The difference between wall/smeared reflects physics.

Smeared data contain much more excited states.

### New method to extract NLO potential !

### Potentials relevant at low energy

![](_page_48_Figure_1.jpeg)

 $V'_{\rm NLO}$  is relevant for high energy states.

### Good convergence of the derivative expansion at low energy.

# V. Anatomy of the direct method by the potential

T. Iritani, Talk at Lat2016, arXiv1610.09779[hep-lat]

![](_page_50_Figure_0.jpeg)

$$\Delta E_{\rm eff}^{\rm approx.}(t) = \frac{1}{a} \log \left( \frac{R^{\rm approx.}(t)}{R^{\rm approx.}(t+a)} \right)$$

This explains "two plateaux"

![](_page_51_Figure_3.jpeg)

$$\Delta E_{\rm eff}^{\rm approx.}(t) = \frac{1}{a} \log \left( \frac{R^{\rm approx.}(t)}{R^{\rm approx.}(t+a)} \right)$$

This explains "two plateaux"

![](_page_52_Figure_3.jpeg)

![](_page_52_Figure_4.jpeg)

$$\Delta E_{\text{eff}}^{\text{approx.}}(t) = \frac{1}{a} \log \left( \frac{R^{\text{approx.}}(t)}{R^{\text{approx.}}(t+a)} \right)$$

This explains "two plateaux"

![](_page_53_Figure_3.jpeg)

![](_page_53_Figure_4.jpeg)

We need  $t \simeq 10$  fm ( $t/a \simeq 100$ ) to see an agreement btw two sources

$$\Delta E_{\text{eff}}^{\text{approx.}}(t) = \frac{1}{a} \log \left( \frac{R^{\text{approx.}}(t)}{R^{\text{approx.}}(t+a)} \right)$$

This explains "two plateaux"

![](_page_54_Figure_3.jpeg)

![](_page_54_Figure_4.jpeg)

This agrees with the naive estimation.

We need  $t \simeq 10$  fm ( $t/a \simeq 100$ ) to see an agreement btw two sources

![](_page_55_Figure_1.jpeg)

-4

0

2

8

6

4

*t* [fm]

10

We need  $t \simeq 10$  fm (  $t/a \simeq 100$ ) to see an agreement btw two sources

# Direct method projected to an eigenstate

$$R_n^{\text{wall/smear}}(t) = \sum_{\mathbf{r}} \Psi_n(\mathbf{r}) R^{\text{wall/smear}}(\mathbf{r}, t) \quad \Longrightarrow \quad \Delta E_{\text{eff}}(t) = \log \frac{R_n(t)}{R_n(t+1)}$$

### ground state

![](_page_56_Figure_3.jpeg)

**1st excited state** 

![](_page_56_Figure_5.jpeg)

With the projection, even smeared src. gives the correct energy shift for the ground state at relatively short time. We can also get the energy shift for the 1st excited state !

Errors are larger for the wall src., which has less contamination of the 1st excited state.

#### All analyses are consistent !

![](_page_57_Picture_0.jpeg)

- The direct method suffers difficulties from the contamination of excited elastic states for two(or more)-baryon systems.
  - No trustable results so far.

Do not be misled.

- Need new ideas.
- The HALQCD potential method overcomes these difficulties.
- by the time-dependent method
- gives reliable results

Please encourage your lattice colleagues to work on the potential method.

![](_page_58_Picture_8.jpeg)

NN interactions become weaker at heavier pion masses. No dineutron and deuteron exist there.

# Potentials at physical pion

2+1 flavor QCD,  $m_{\pi} \simeq 145$  MeV,  $a \simeq 0.085$  fm,  $L \simeq 8$  fm

### $\Omega\Omega$ potential

![](_page_59_Figure_3.jpeg)

S. Gongyo

K-computer [10PFlops]

![](_page_59_Figure_5.jpeg)

Strong attraction Vicinity of bound/unbound (~ unitary limit)

# The most strange dibaryon ?

### $NN(^{3}S_{1})$ tensor potential

![](_page_60_Figure_1.jpeg)

Qualitatively similar tail to one pion exchange potential (OPEP) reduction of errors is definitely needed.

### $N\Xi$ potentials

#### $N\Xi(I = 0, {}^{3}S_{1})$ $N\Xi - \Lambda\Sigma - \Sigma\Sigma(I = 1, {}^{3}S_{1})$ $N\Xi - \Lambda\Sigma(I = 1, {}^{1}S_{0})$ 100 150 50 t11 $\begin{array}{c} \Lambda\Sigma \\ N\Xi - \Lambda\Sigma \\ N\Xi \end{array}$ oreliminary 80 preliminary 100 60 00 50 40 0 50 preliminary 20 -50 0 11 11 0 -20 ·100 -40 150 -50 0.5 1.5 2 2.5 0 1 0 1.5 0.5 1 2 2.5 3 2.5 0 0.5 1.5 2 3 r[fm] r[fm] r[fm]

Is the interaction net attractive ? Stay tuned !

cf. Talk by Tamura on Wed.

![](_page_61_Figure_4.jpeg)

K. Sasaki