

# Collective Neutrino Oscillations in SNe

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U.S. DEPARTMENT OF  
**ENERGY**

Office of  
Science

# Outline

- **Introduction**
- Numerical models and results
- Recent progress and challenges
- Summary

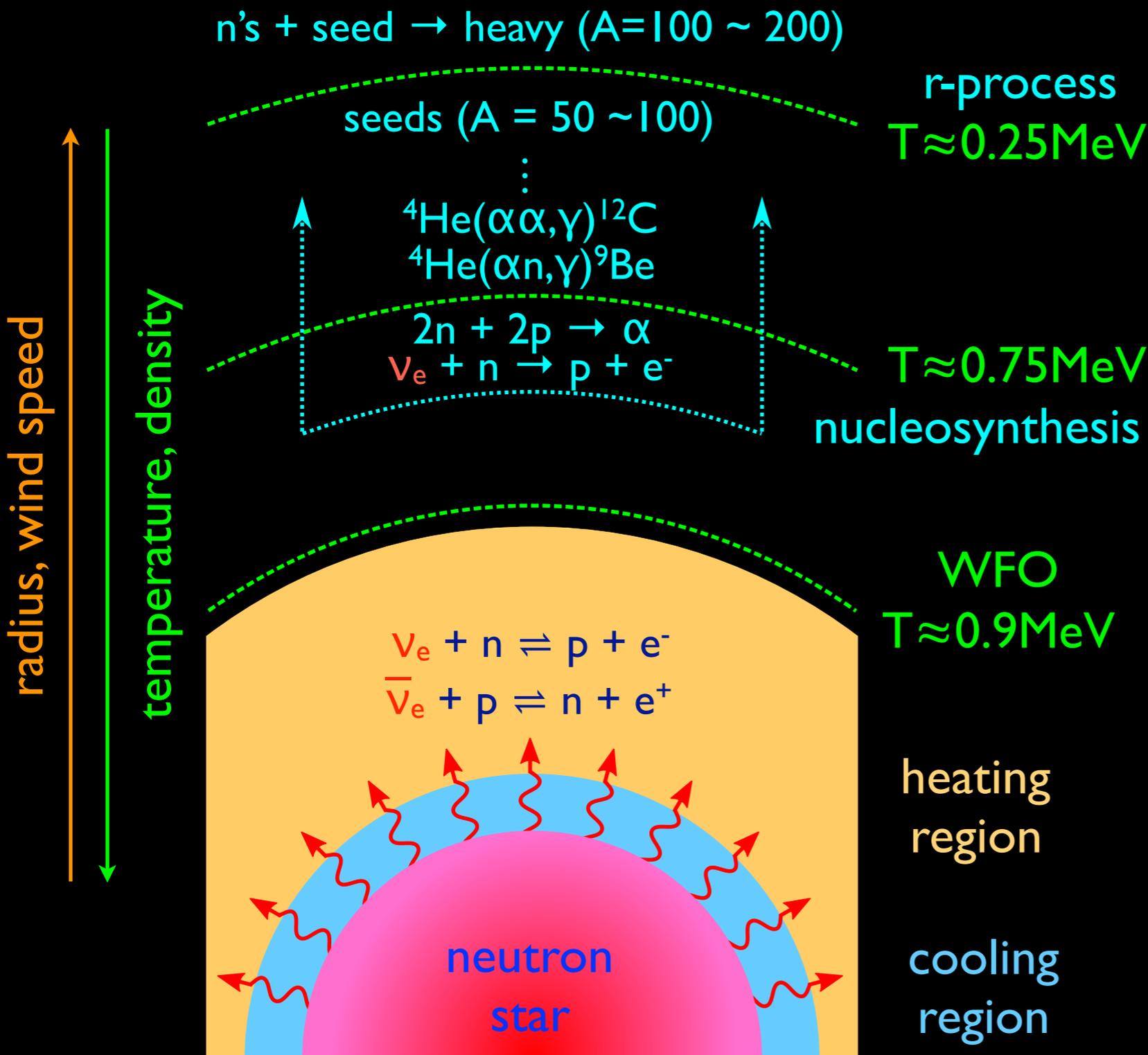
# Standard Model

	I	II	III	
mass→	2.4 MeV	1.27 GeV	171.2 GeV	0
charge→	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0
spin→	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
name→	<b>u</b> up	<b>c</b> charm	<b>t</b> top	$\gamma$ photon
Quarks	4.8 MeV	104 MeV	4.2 GeV	0
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	0
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b>g</b> gluon
Leptons	<2.2 eV	<0.17 MeV	<15.5 MeV	91.2 GeV
	0	0	0	0
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
	$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino	$Z^0$ weak force
Bosons (Forces)	0.511 MeV	105.7 MeV	1.777 GeV	80.4 GeV
	-1	-1	-1	$\pm 1$
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
	<b>e</b> electron	$\mu$ muon	$\tau$ tau	$W^\pm$ weak force

## Neutrinos in Standard Model:

- Three flavors
- No mass
- No electric charge, interacting weakly

# Neutrinos in Supernovae



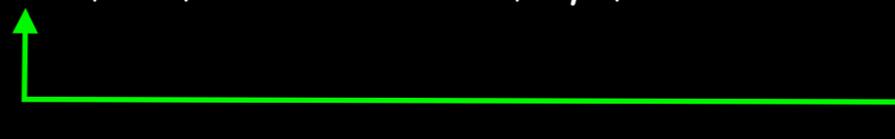
- $\sim 10^{53}$  ergs,  $10^{58}$  neutrinos in  $\sim 10$  seconds
- All neutrino species, 10~30 MeV
- Dominate energetics
- Influence nucleosynthesis
- Probe into SNe

# Vacuum Oscillations

neutrino mass eigenstates  $\neq$  weak interaction states

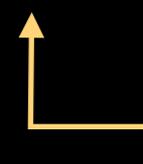
$$|\nu_1\rangle = \cos \theta_v |\nu_e\rangle + \sin \theta_v |\nu_\mu\rangle \quad \text{with mass } m_1$$

$$|\nu_2\rangle = -\sin \theta_v |\nu_e\rangle + \cos \theta_v |\nu_\mu\rangle \quad \text{with mass } m_2$$

 vacuum mixing angle

initially  $|\psi(x=0)\rangle = |\nu_e\rangle$

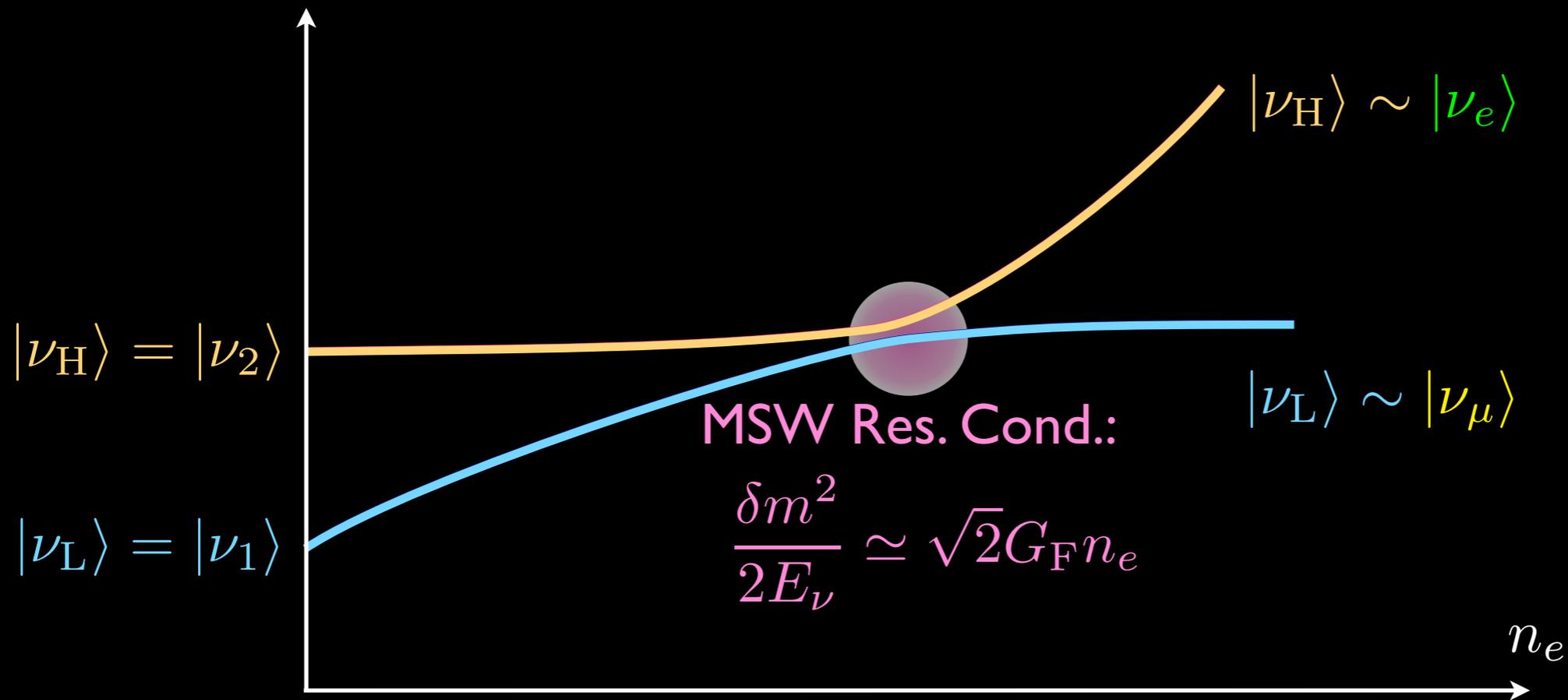
$$P_{\nu_e\nu_e}(x) \equiv |\langle \nu_e | \psi(x) \rangle|^2 = 1 - \sin^2 2\theta_v \sin^2 \left( \frac{\delta m^2 x}{4E_\nu} \right)$$

 neutrino survival probability

# Matter Effect

$$i \frac{d}{dx} \begin{bmatrix} \langle \nu_e | \psi_\nu \rangle \\ \langle \nu_\mu | \psi_\nu \rangle \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 2\sqrt{2}G_F n_e - \omega \cos 2\theta_\nu & \omega \sin 2\theta_\nu \\ \omega \sin 2\theta_\nu & \omega \cos 2\theta_\nu \end{bmatrix} \begin{bmatrix} \langle \nu_e | \psi_\nu \rangle \\ \langle \nu_\mu | \psi_\nu \rangle \end{bmatrix}$$

↙ electron number density  
↖ vac. osc. freq.  $\omega = \frac{\delta m^2}{2E_\nu}$



Mikheyev, Smirnov (1985)

# Three Flavor Mixing

WEAK FLAVOR STATES

VACUUM MASS EIGENSTATES

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix} = \begin{pmatrix} c_{12}c_{13} & c_{13}s_{12} & s_{13} \\ -c_{23}s_{12}e^{i\phi} - c_{12}s_{13}s_{23} & c_{12}c_{23}e^{i\phi} - s_{12}s_{13}s_{23} & c_{13}s_{23} \\ s_{23}s_{12}e^{i\phi} - c_{12}c_{23}s_{13} & -c_{12}s_{23}e^{i\phi} - c_{23}s_{12}s_{13} & c_{13}c_{23} \end{pmatrix}^* \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix}$$

$$\delta m_{12}^2 \simeq \delta m_{\odot}^2 \simeq 7-8 \times 10^{-5} \text{eV}^2, \quad \theta_{12} \simeq \theta_{\odot} \simeq 0.6$$

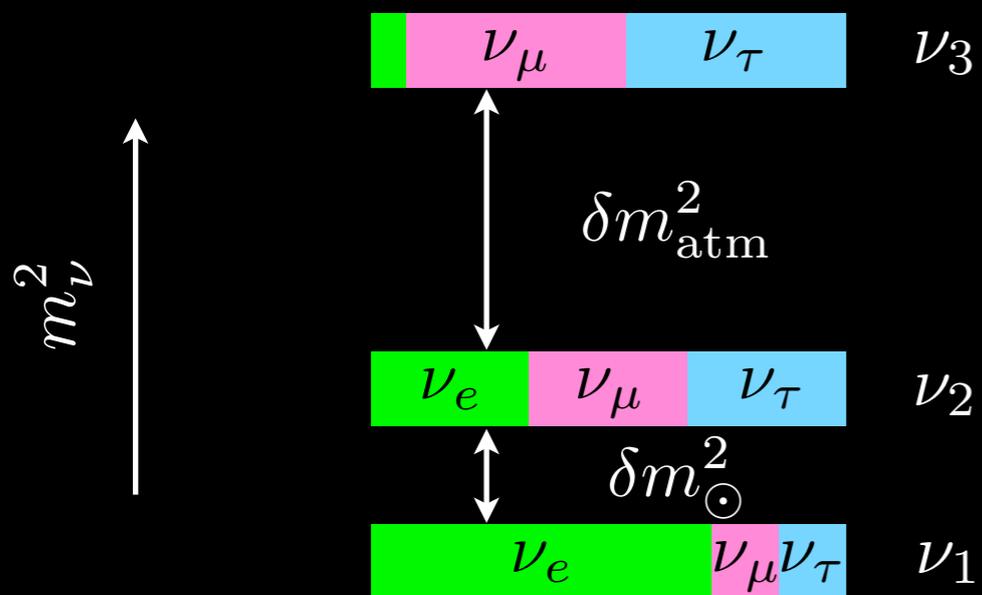
$$|\delta m_{23}^2| \simeq \delta m_{\text{atm}}^2 \simeq 2-3 \times 10^{-3} \text{eV}^2, \quad \theta_{23} \simeq \theta_{\text{atm}} \simeq \frac{\pi}{4}$$

$$|\delta m_{13}^2| \simeq |\delta m_{23}^2| \simeq 2-3 \times 10^{-3} \text{eV}^2, \quad \theta_{13} \simeq 0.15$$

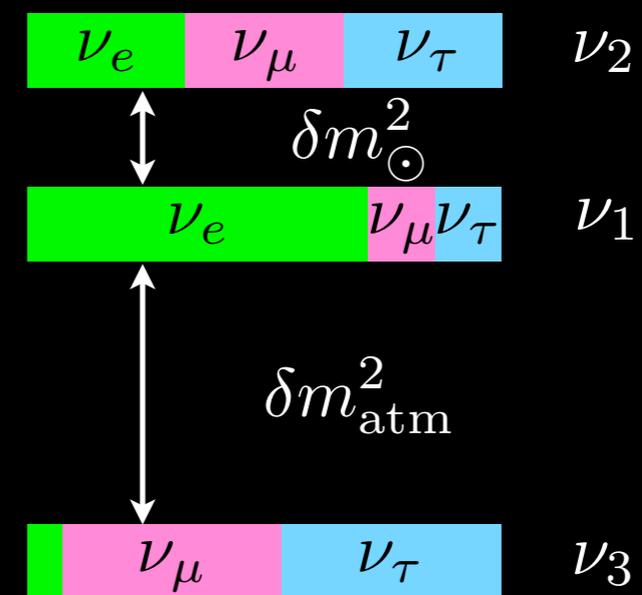
$\phi$  is unknown  $\longleftarrow$  CP VIOLATION PHASE

# Mass Hierarchy

normal mass hierarchy



inverted mass hierarchy



# Density Matrix

Pure State:

$$|\psi\rangle \implies \hat{\rho} = |\psi\rangle\langle\psi|$$

Example:  $|\nu_e\rangle \implies \rho = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$

Mixed State:

$$\rho \propto \begin{bmatrix} n_{\nu_e} & 0 \\ 0 & n_{\nu_x} \end{bmatrix}$$

# In Dense Medium

$$(\partial_t + \hat{\mathbf{v}} \cdot \nabla) \rho = -i[H, \rho]$$

mass matrix  $\longrightarrow$

electron density  $\downarrow$

$$H = \frac{M^2}{2E} + \sqrt{2}G_F \text{diag}[n_e, 0, 0] + H_{\nu\nu}$$

neutrino energy  $\longleftarrow$

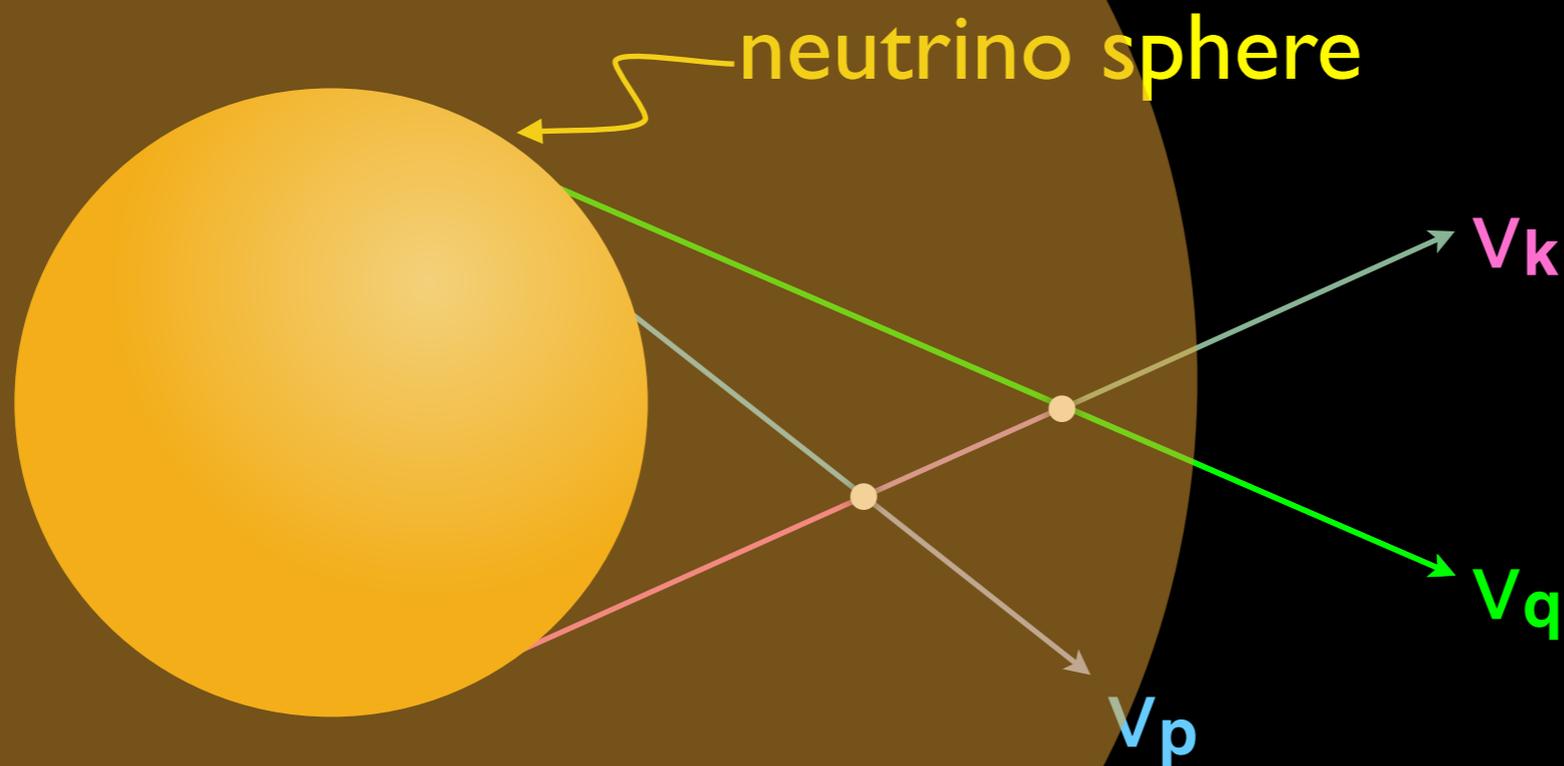
$\uparrow$

v-v forward scattering (self-coupling)

$$H_{\nu\nu} = \sqrt{2}G_F \int d^3\mathbf{p}' (1 - \hat{\mathbf{v}} \cdot \hat{\mathbf{v}}') (\rho_{\mathbf{p}'} - \bar{\rho}_{\mathbf{p}'})$$

# Oscillations in SN

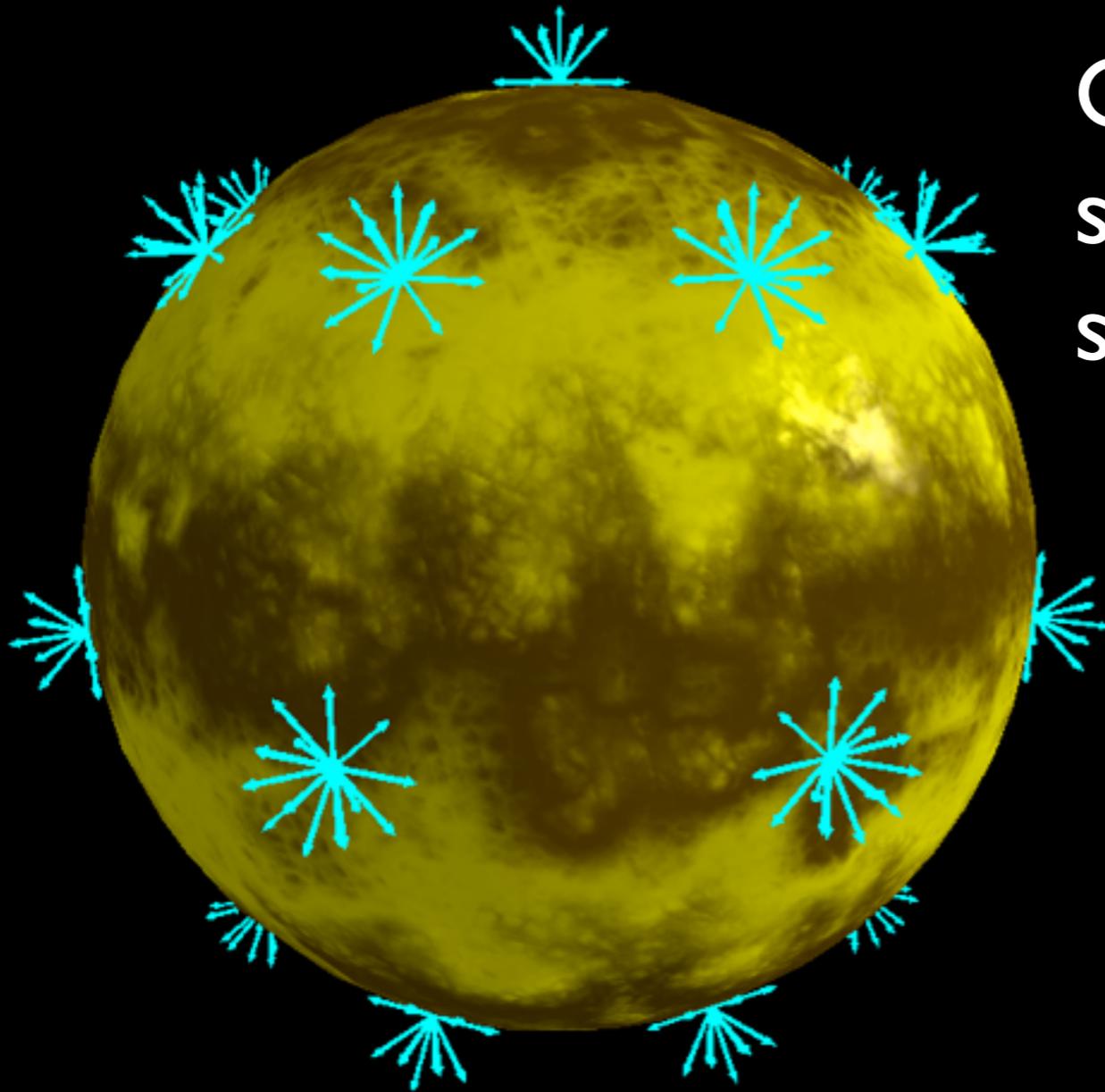
$$H = \frac{M^2}{2E} + \sqrt{2}G_F \text{diag}[n_e, 0, 0] + H_{\nu\nu}$$



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- **Numerical models and results**
- Recent progress and challenges
- Summary

# Numerical Models

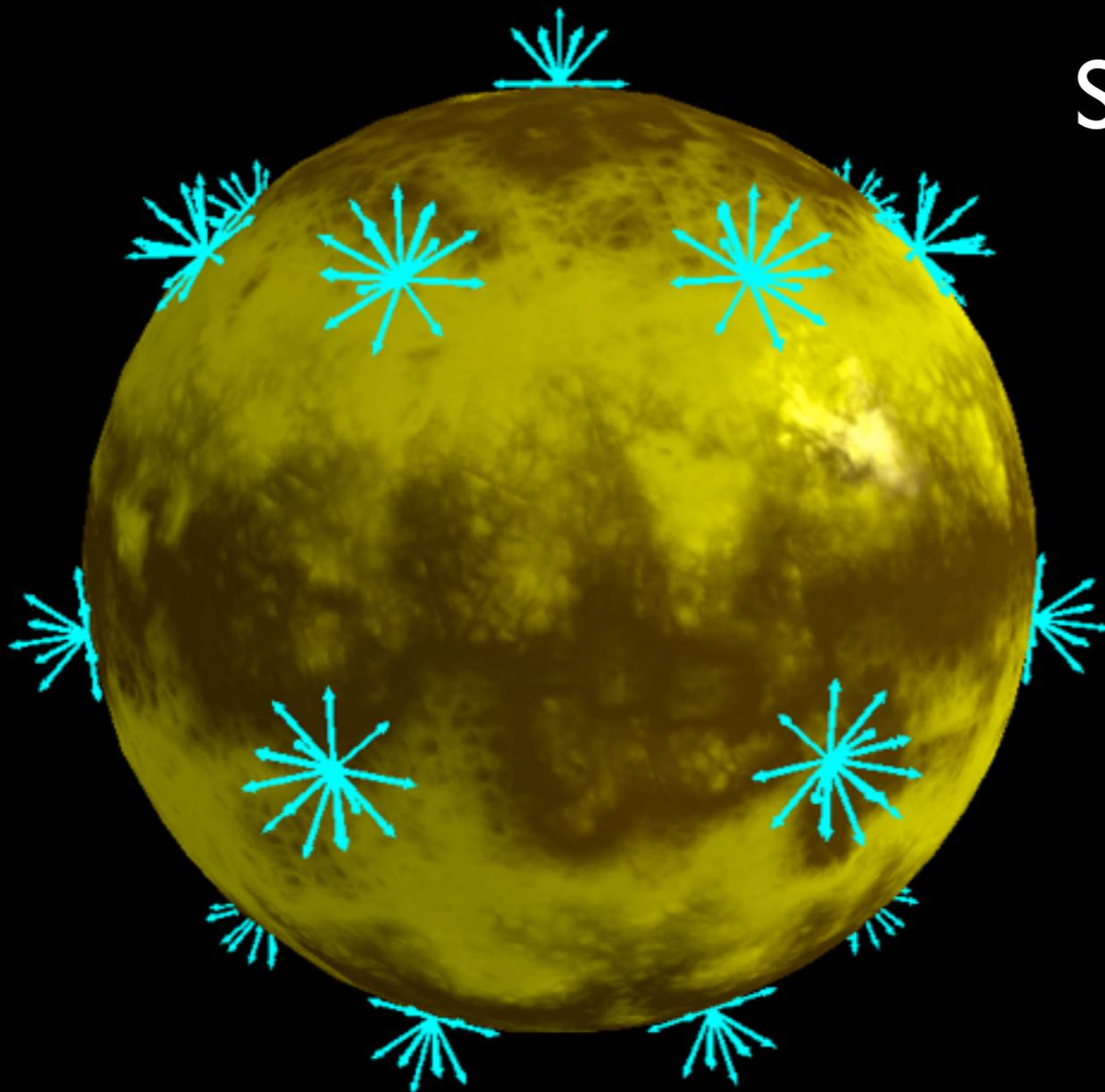


Coherent forward  
scattering outside neutrino  
sphere

$$\rho(t; r, \Theta, \Phi; E, \vartheta, \varphi)$$

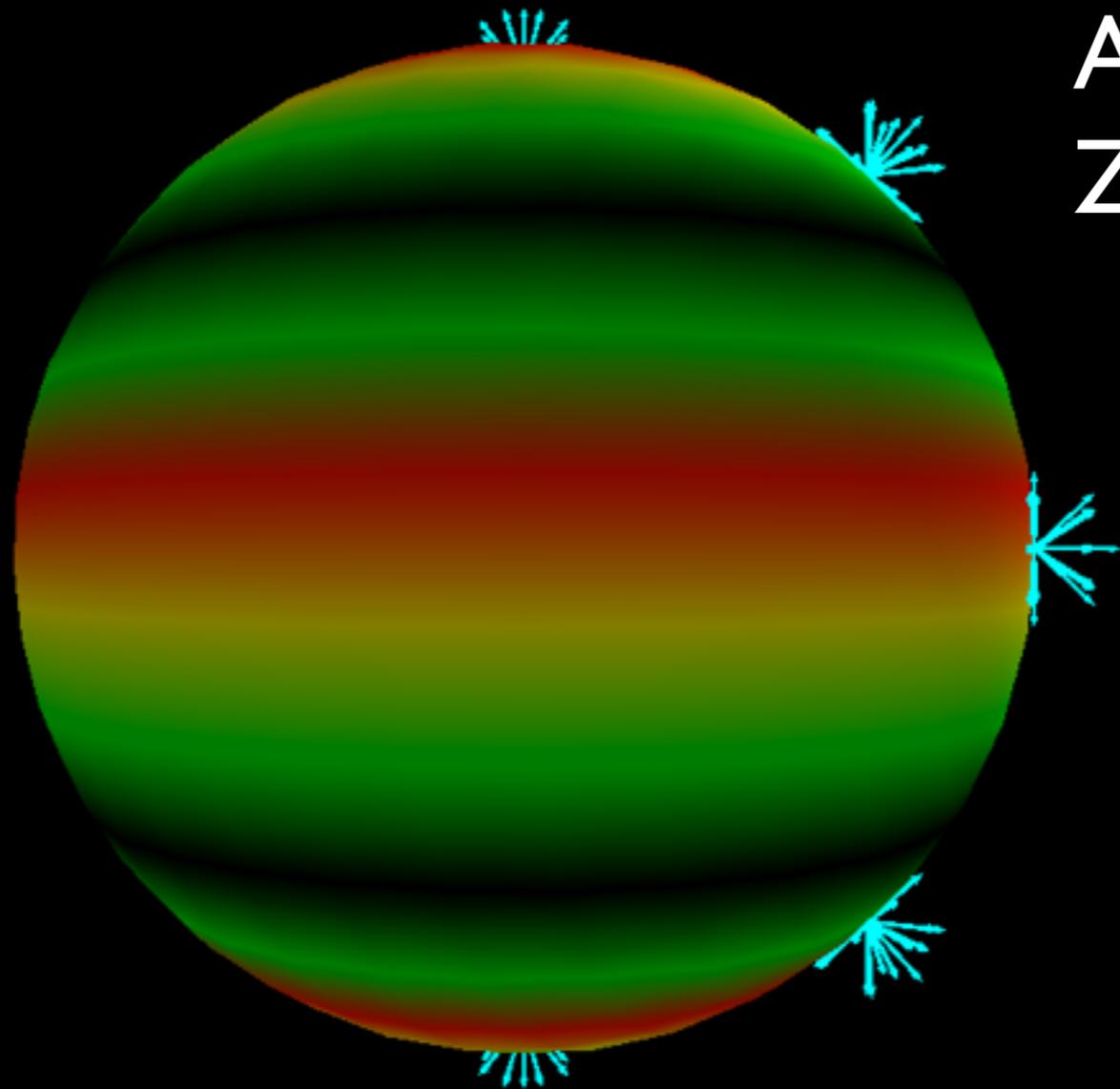
# Numerical Models

Stationary emission



$$\rho(r, \Theta, \Phi; E, \vartheta, \varphi)$$

# Numerical Models

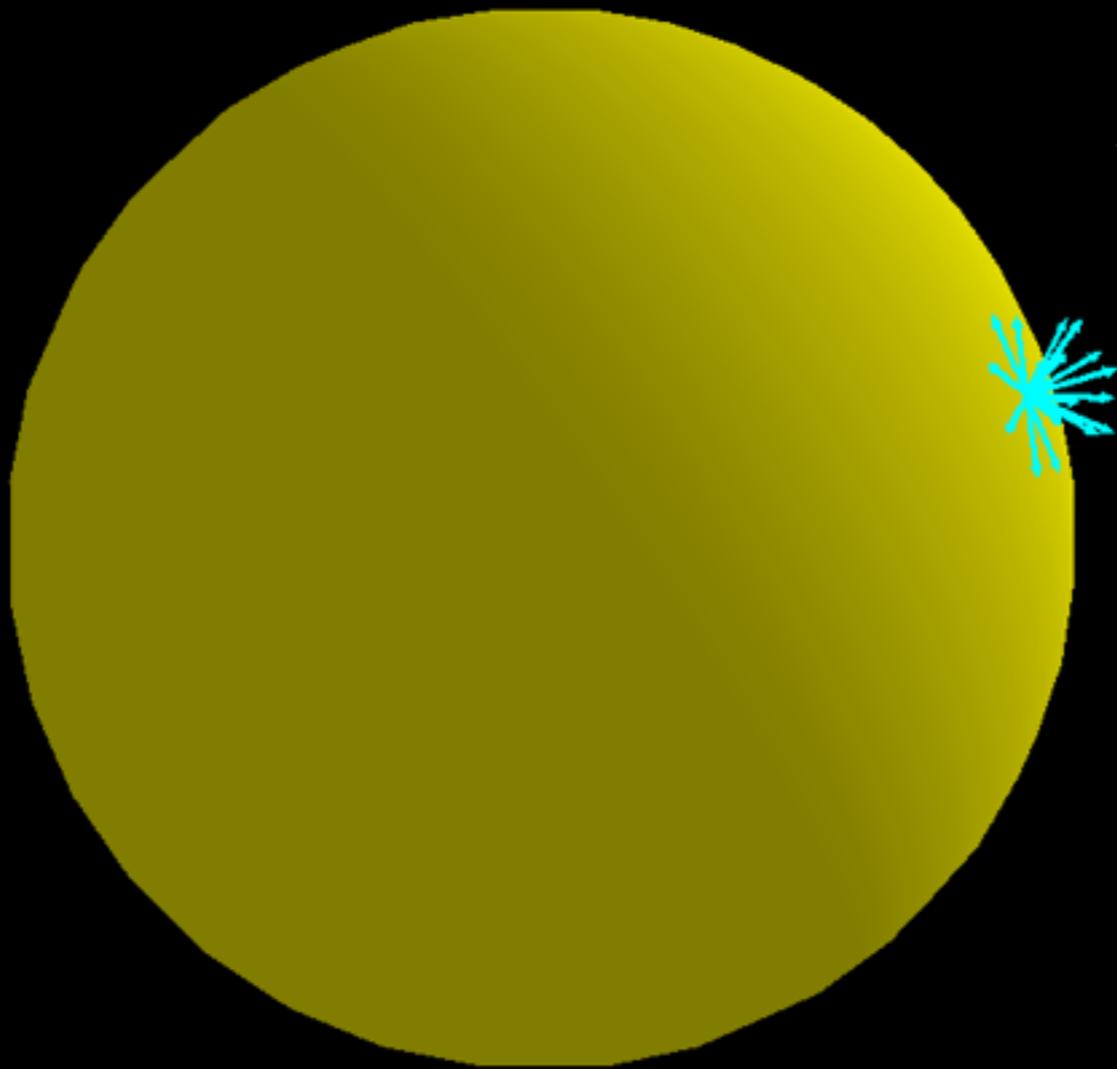


Axial symmetry around the  
Z axis

$$\rho(r, \Theta; E, \vartheta, \varphi)$$

# Numerical Models

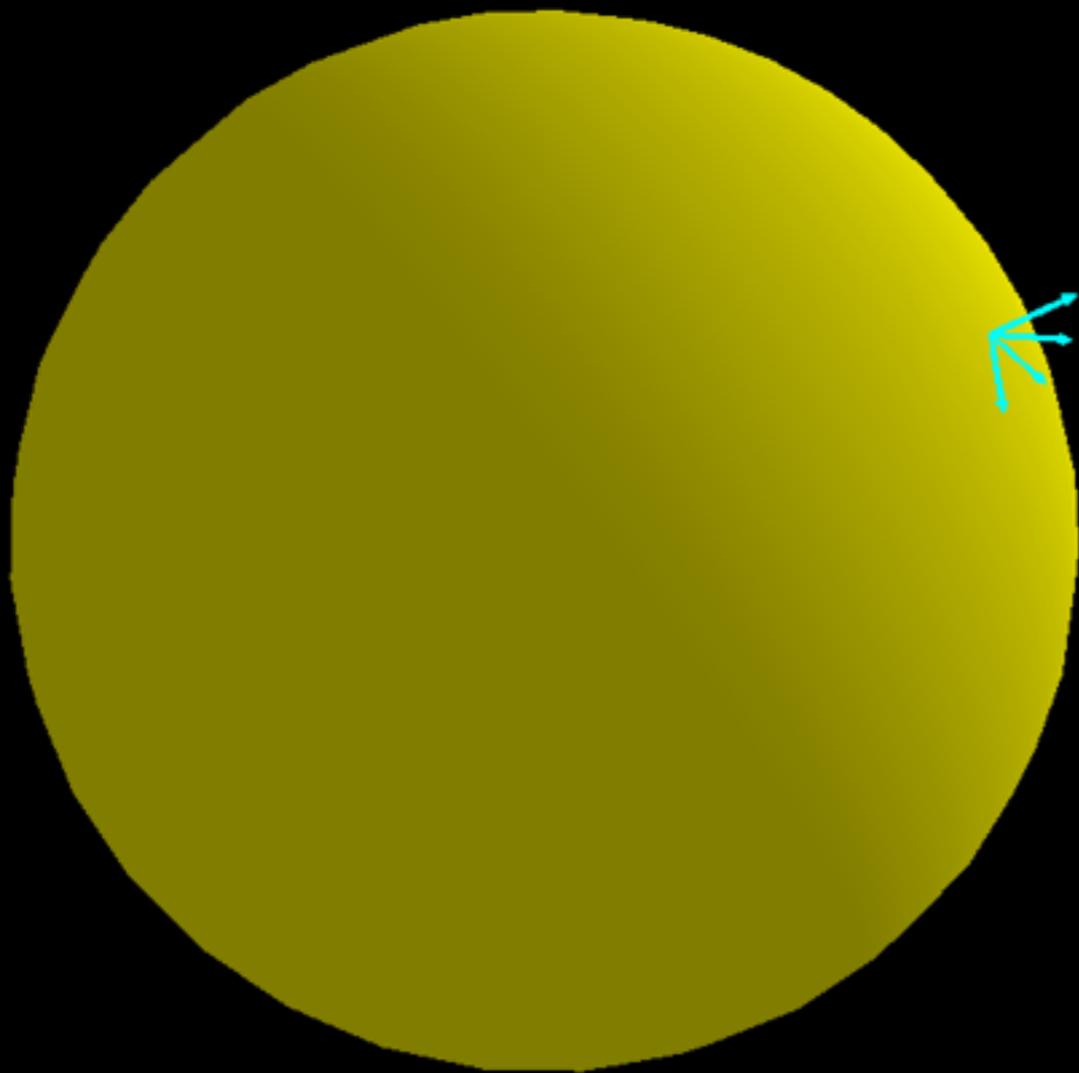
Spherical symmetry about  
the center (**inconsistent?**)



$$\rho(r; E, \vartheta, \varphi)$$

# Numerical Models

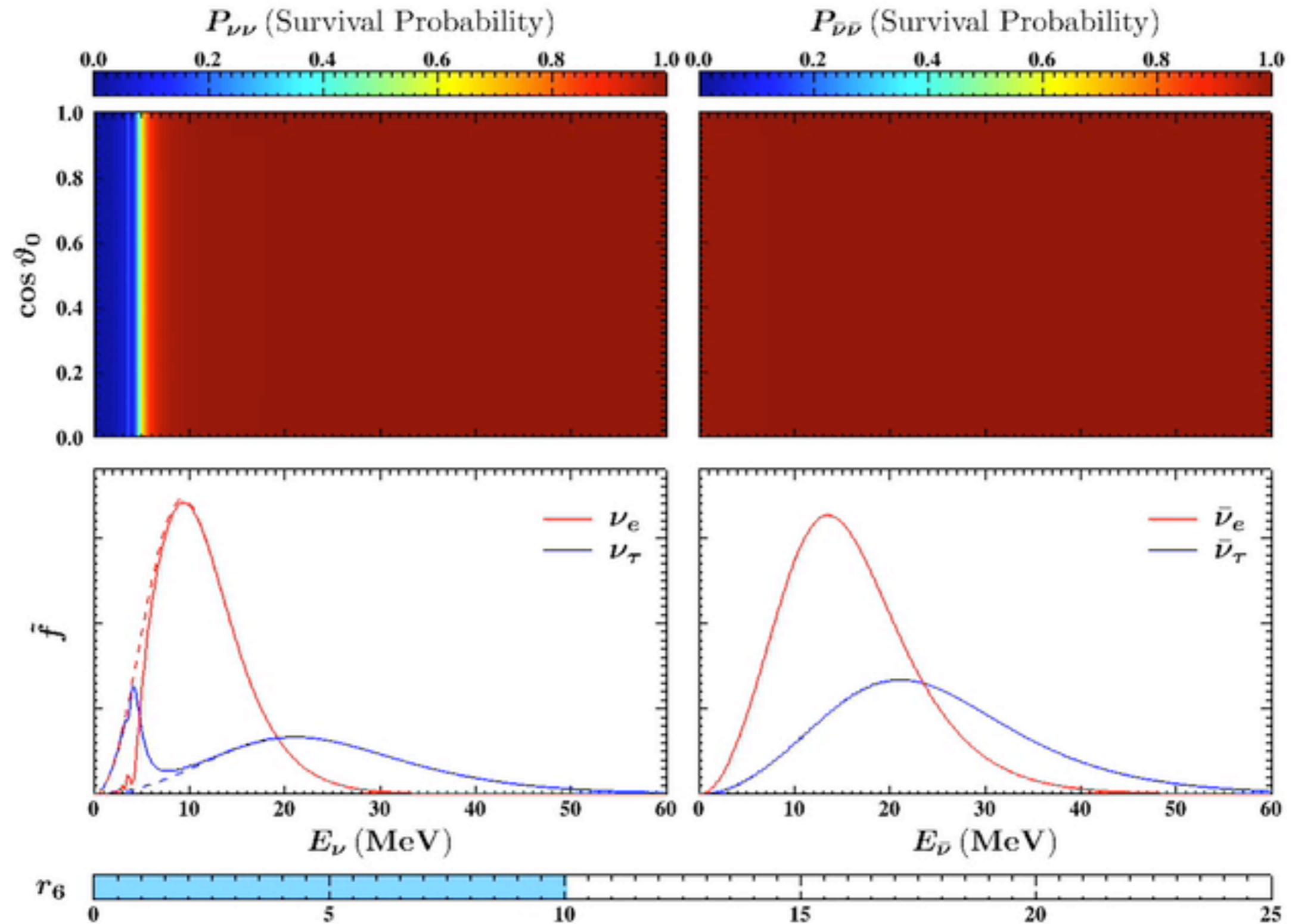
Azimuthal symmetry around  
any radial direction



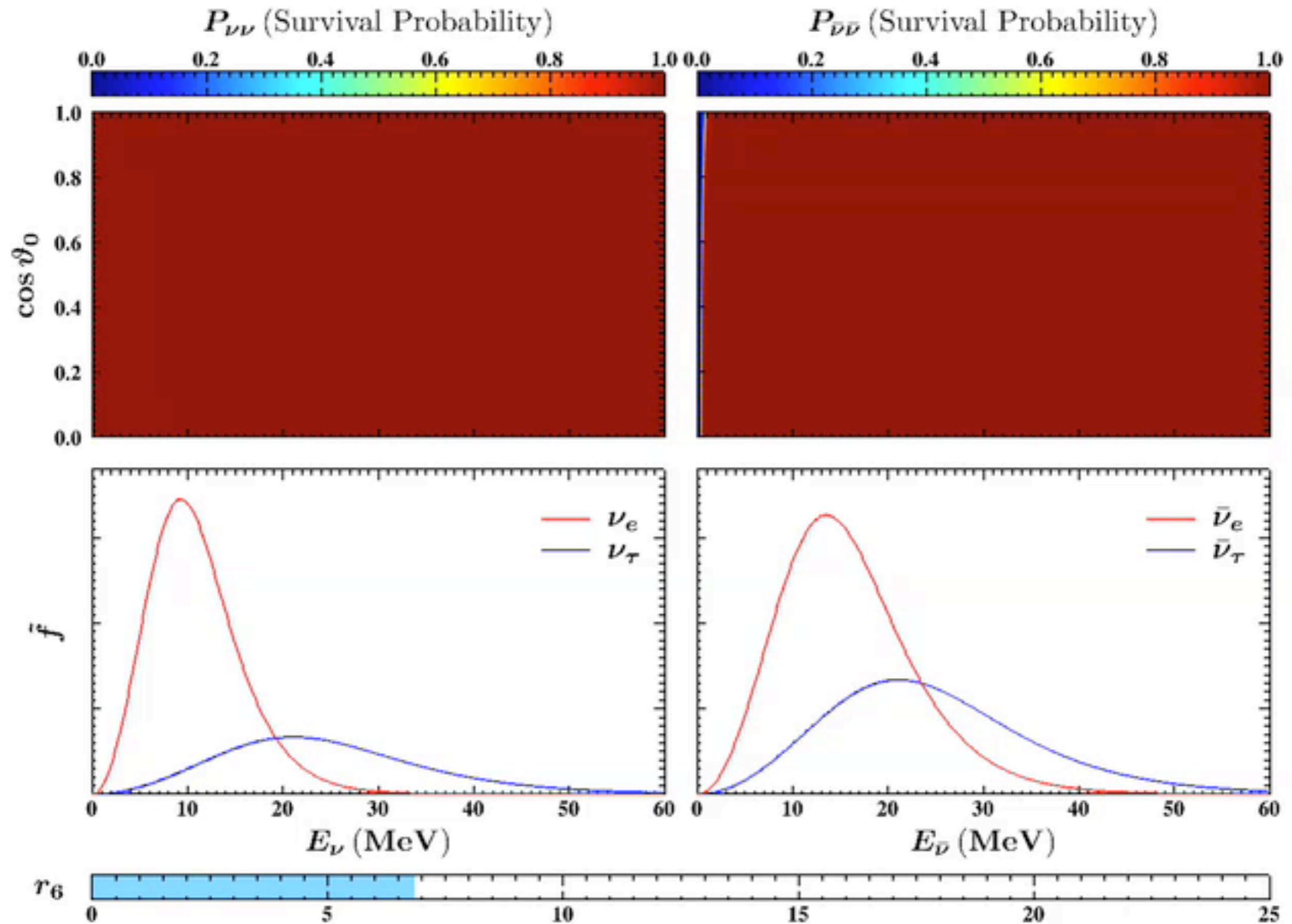
$$\rho(r; E, \vartheta)$$

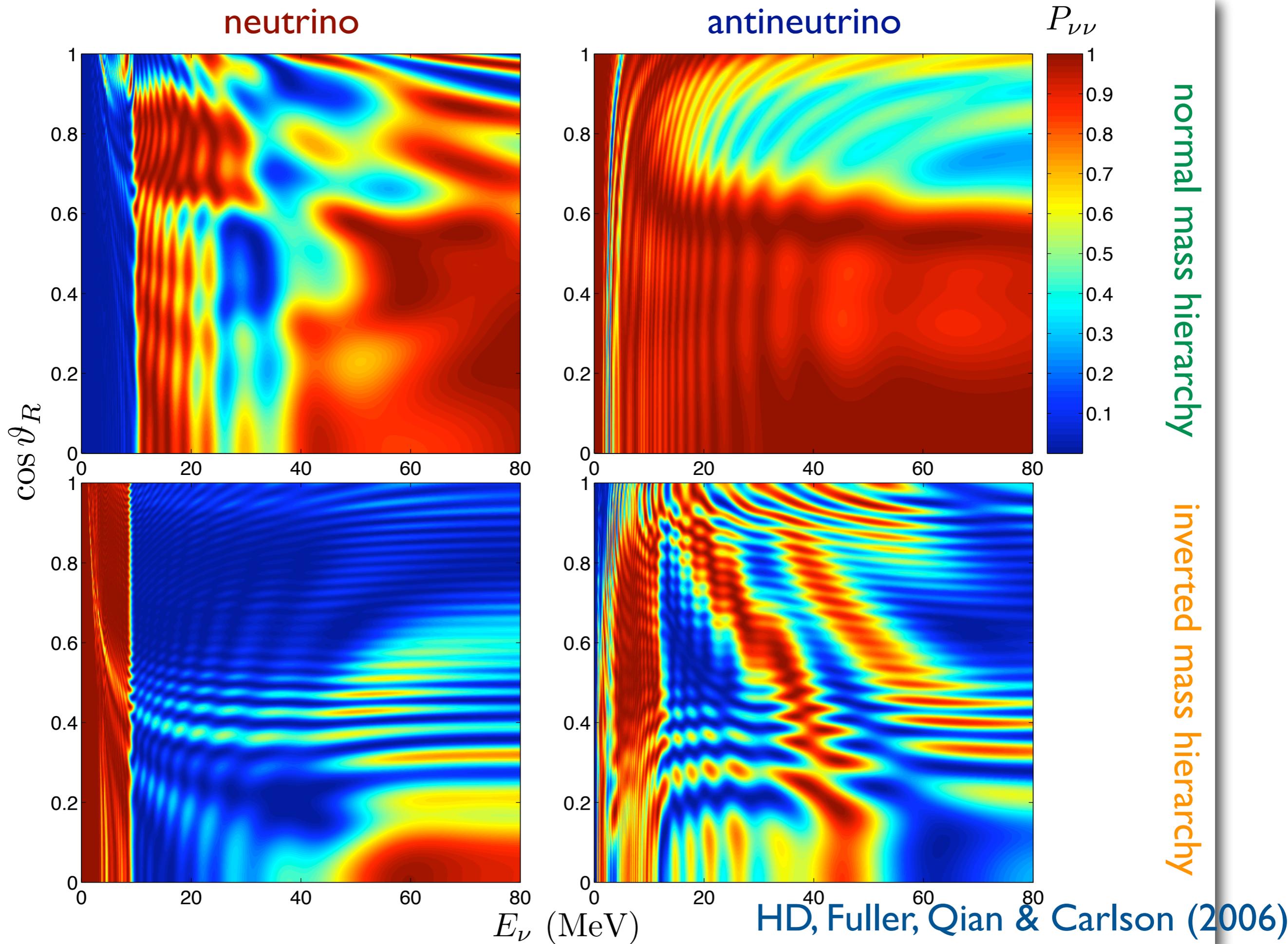
Bulb model

$$\delta m^2 = 3 \times 10^{-3} \text{ eV}^2 \simeq \delta m_{\text{atm}}^2, \theta_{\nu} = 0.1, L_{\nu} = 0$$



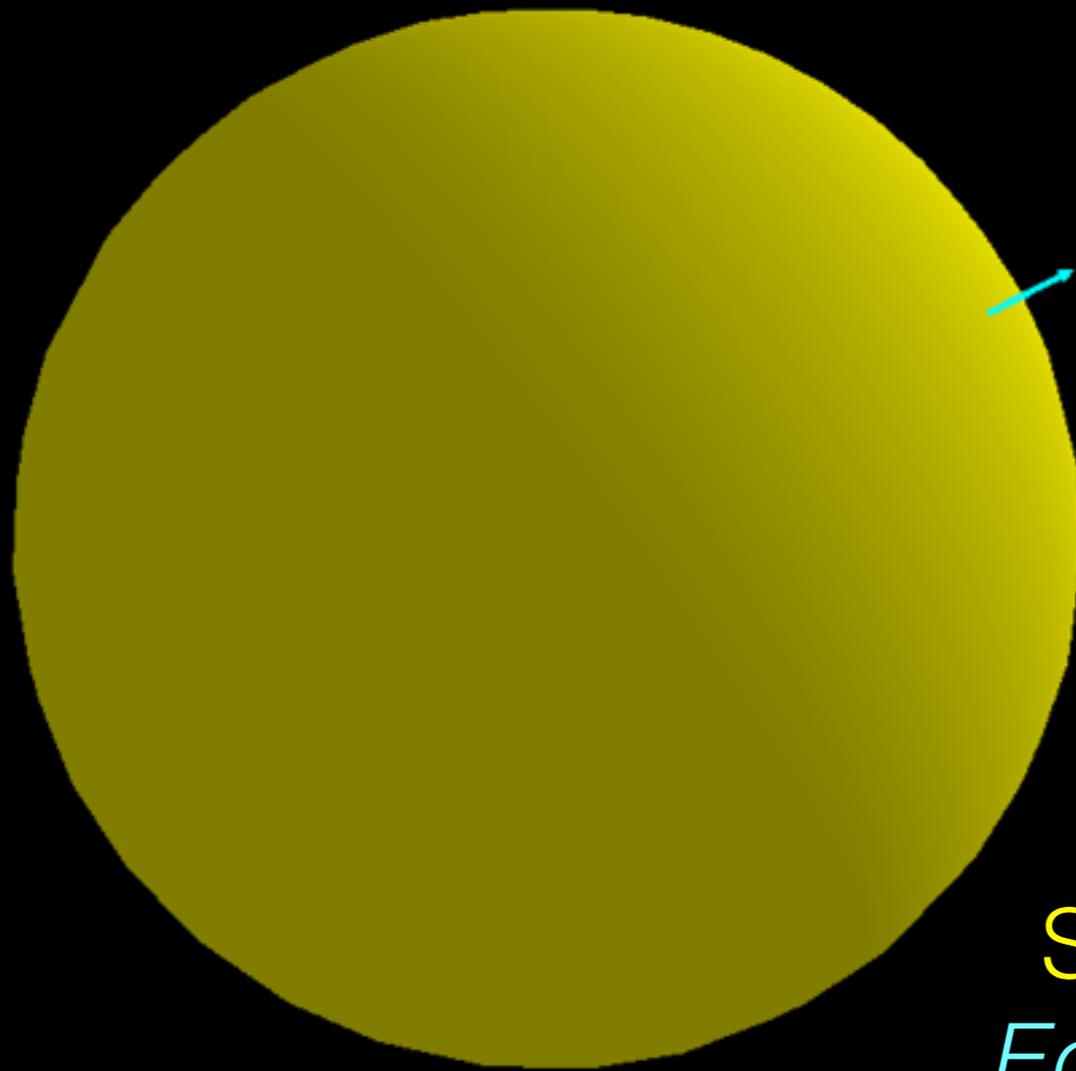
$$\delta m^2 = -3 \times 10^{-3} \text{ eV}^2 \simeq \delta m_{\text{atm}}^2, \theta_{\nu} = 0.1, L_{\nu} = 10^{51} \text{ erg/s}$$





# Numerical Models

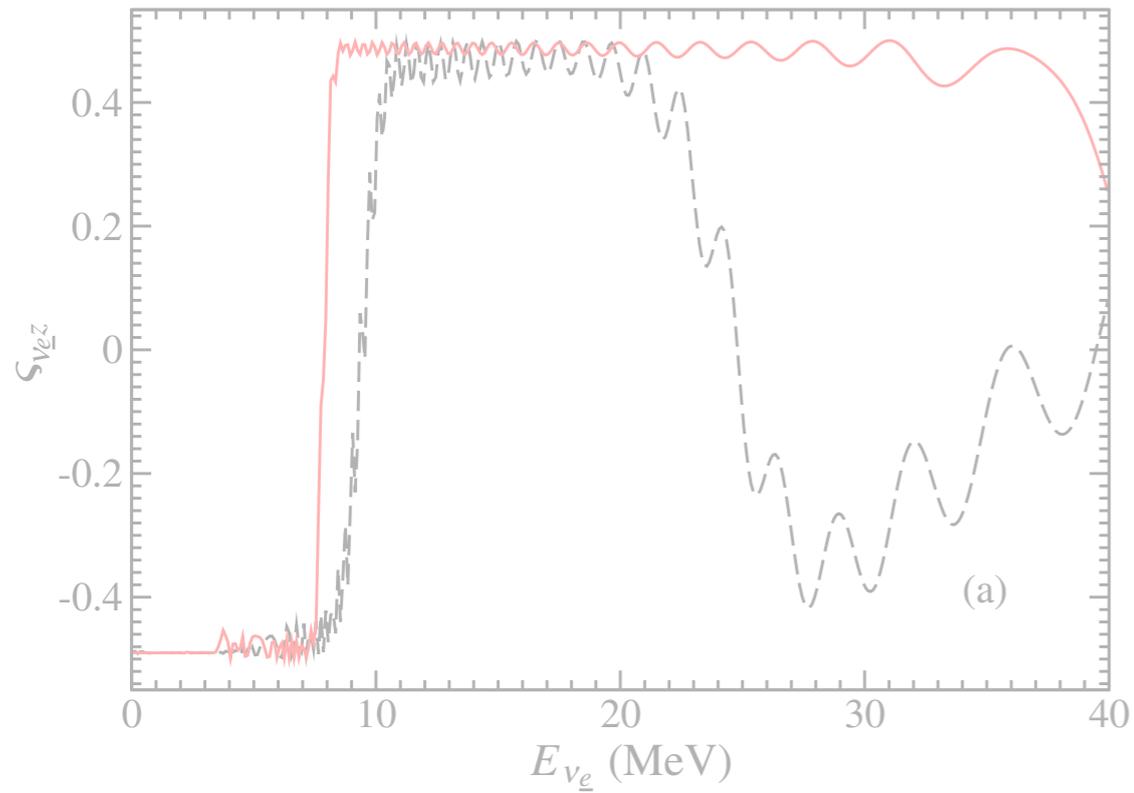
Trajectory independent  
neutrino flavor evolution



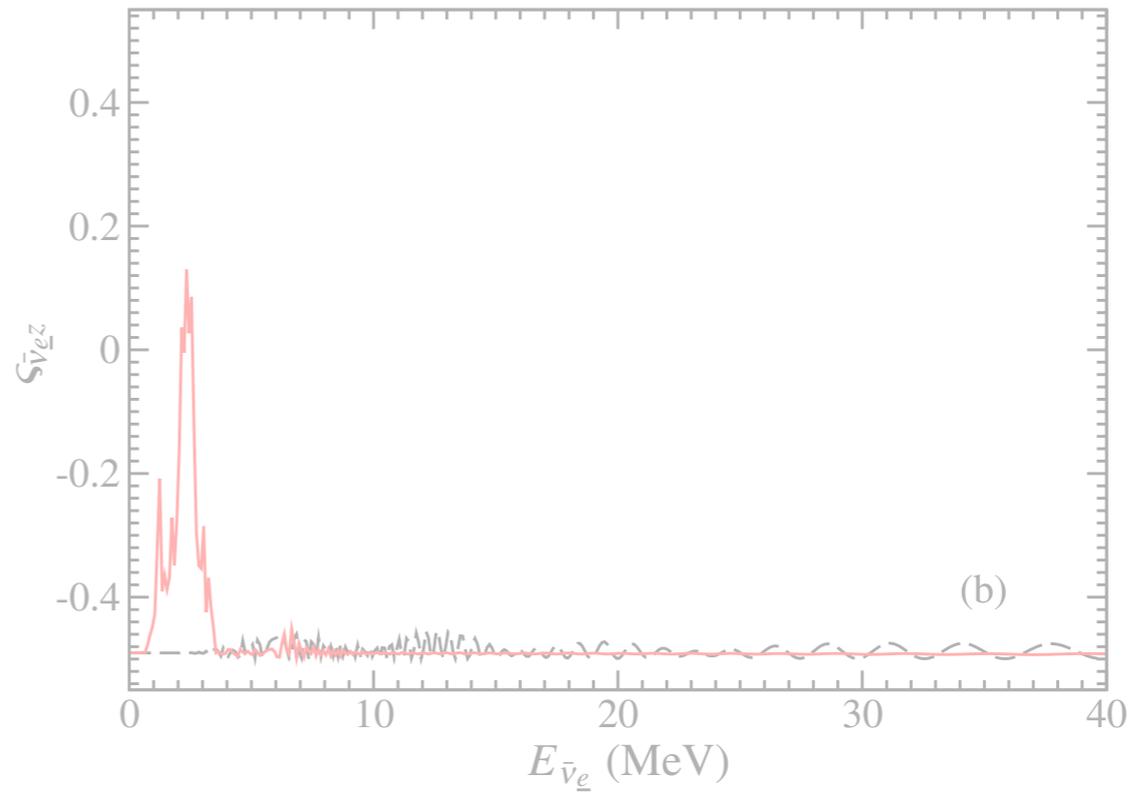
$$\rho(r; E)$$

Single-angle model  
*Equivalent to the expansion  
of a homogeneous, isotropic gas*

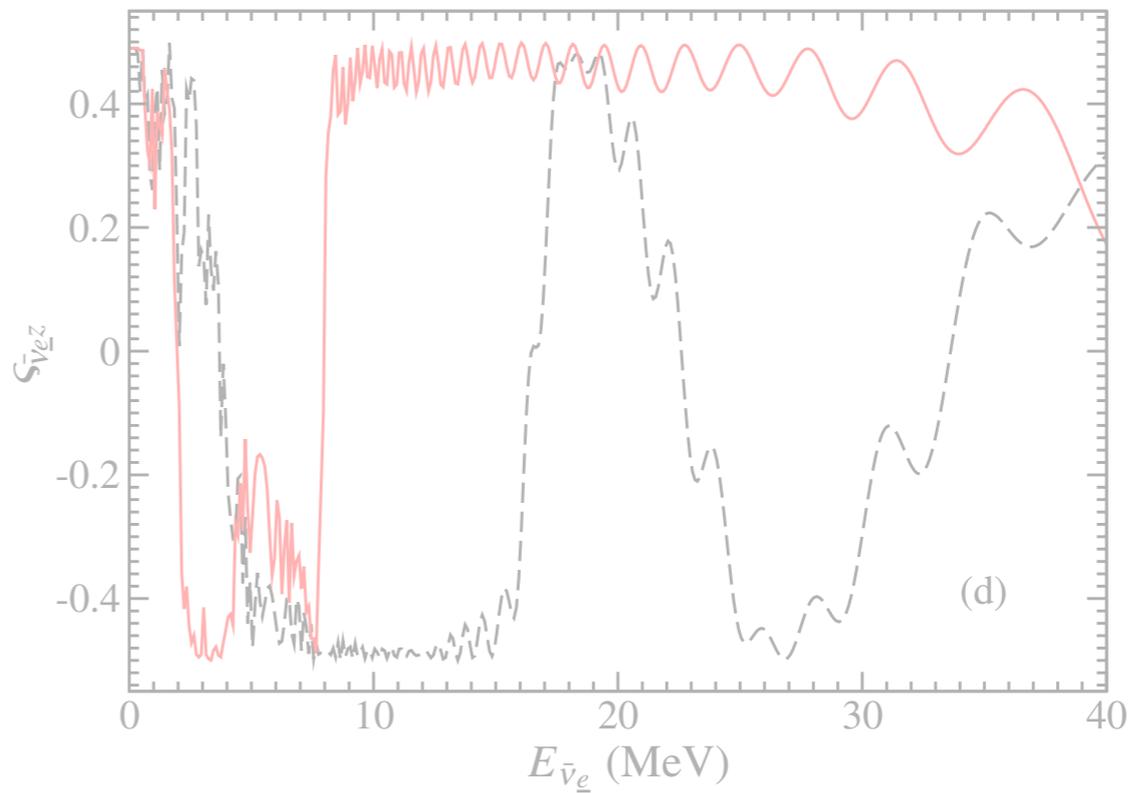
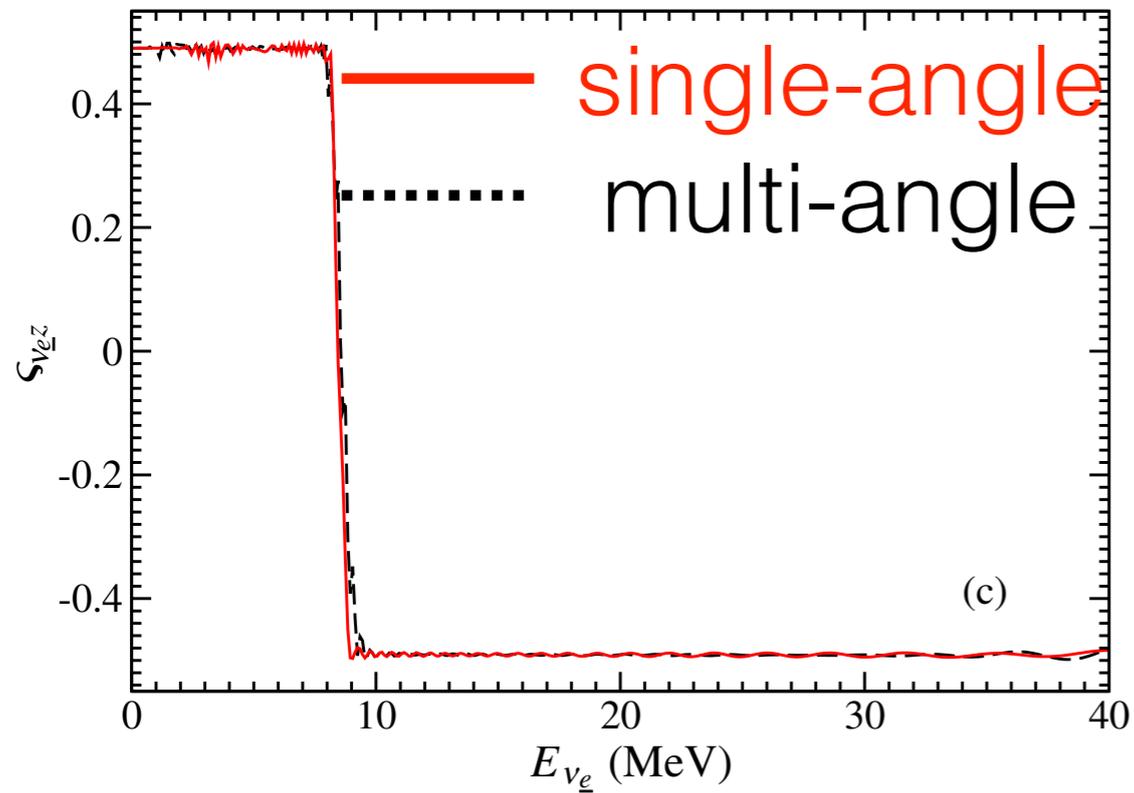
neutrino



antineutrino



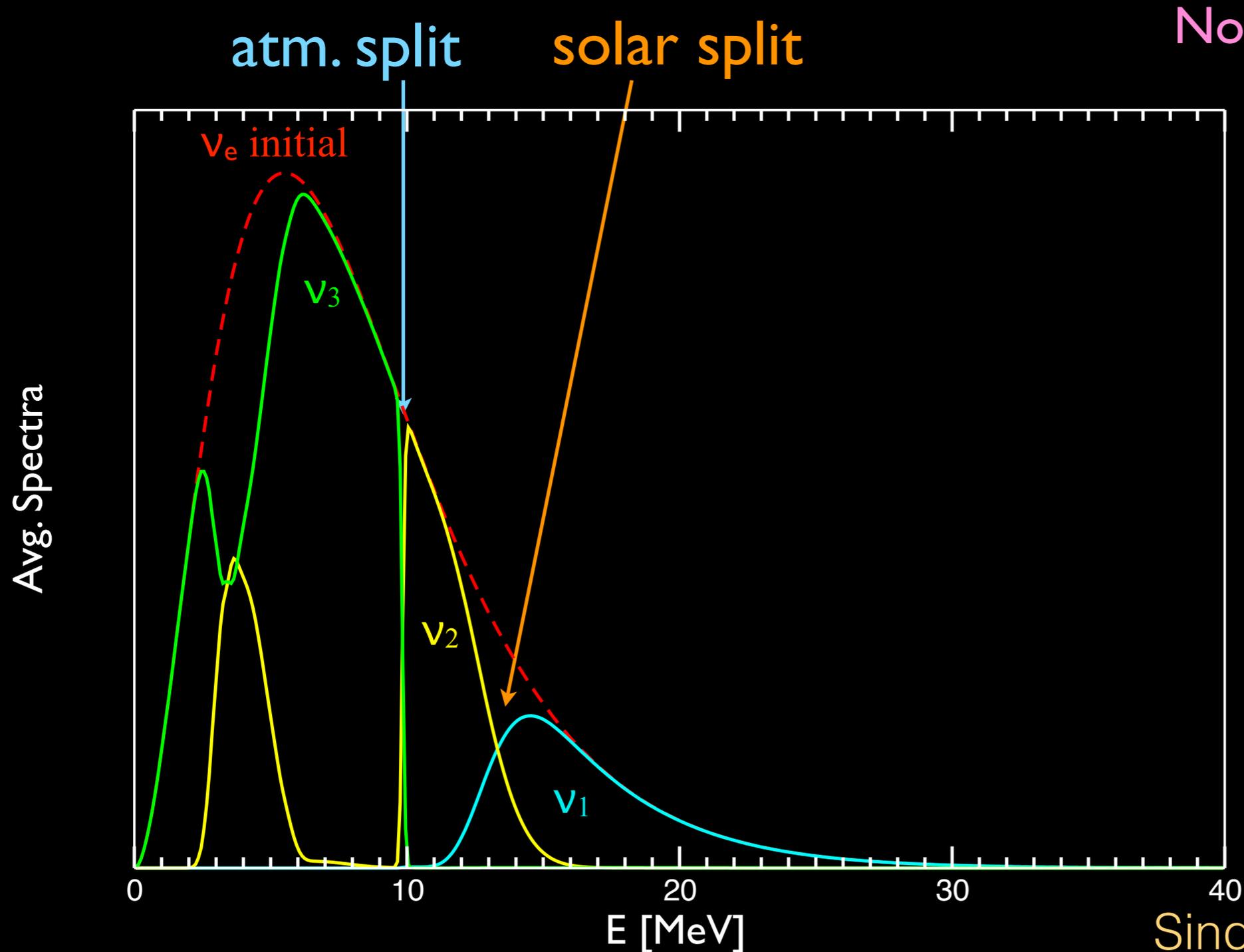
normal mass hierarchy



inverted mass hierarchy

Duan+ (2006)

# Neutronization Burst



Normal Mass Hierarchy

O-Ne-Mg Core-Collapse  
Neutronization Pulse

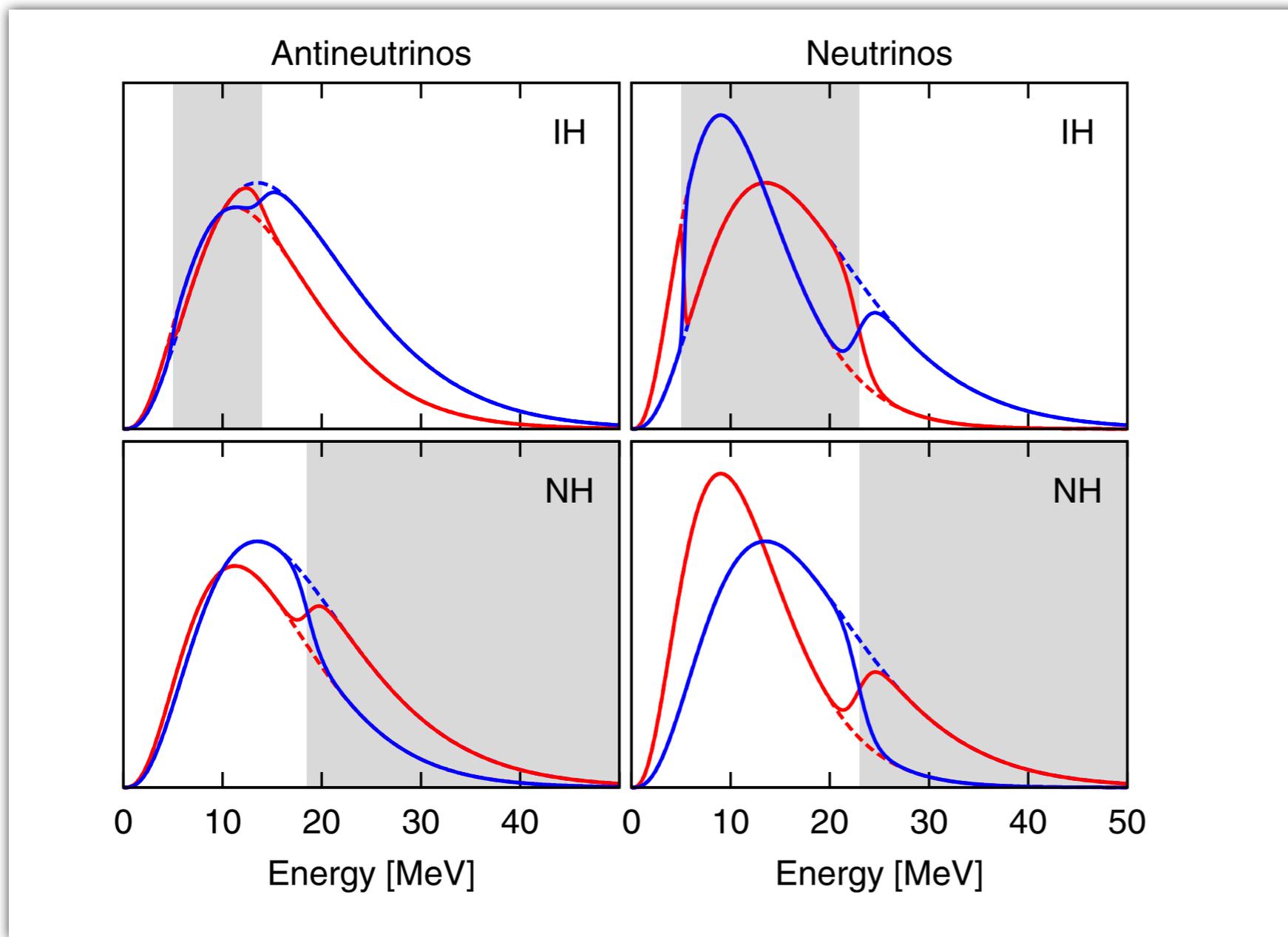
$$L_{\nu_e} = 10^{53} \text{ erg/s}$$

$$\langle E_{\nu_e} \rangle = 11 \text{ MeV}$$

$$r = 5000 \text{ km}$$

Single Angle: Duan+ (2007)

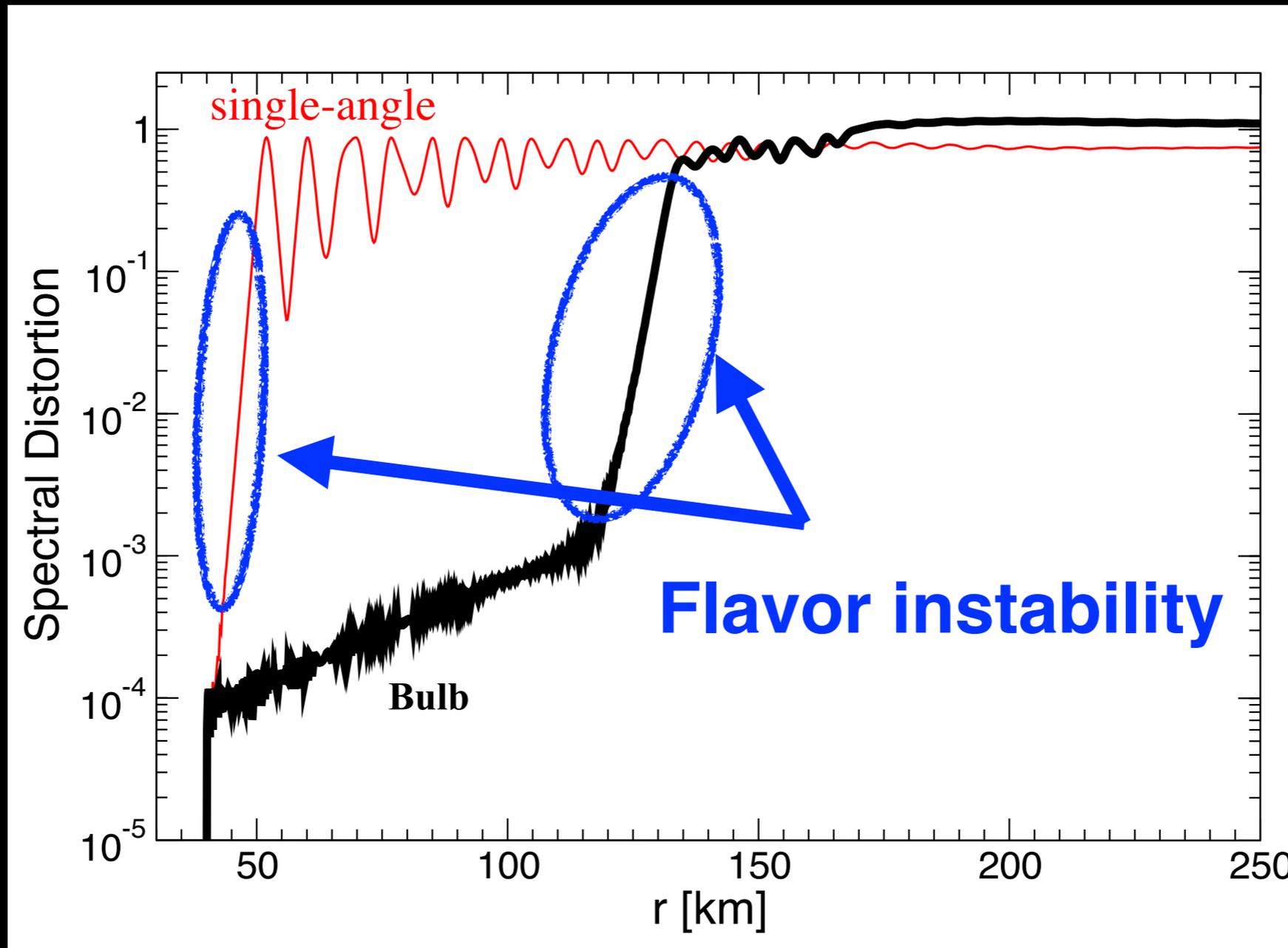
Multi-Angle: Cherry+ (2010)



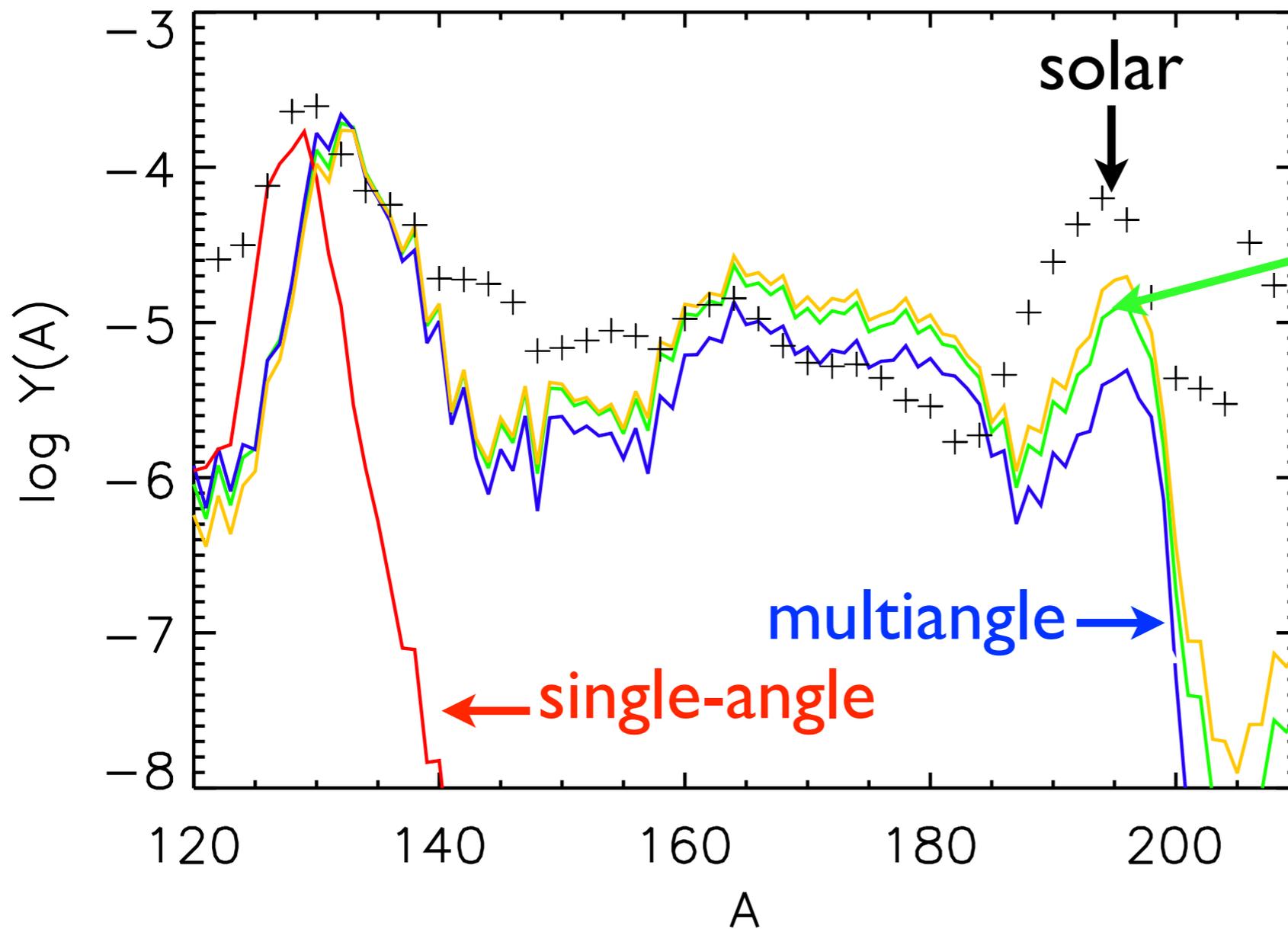
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# Dimension matters

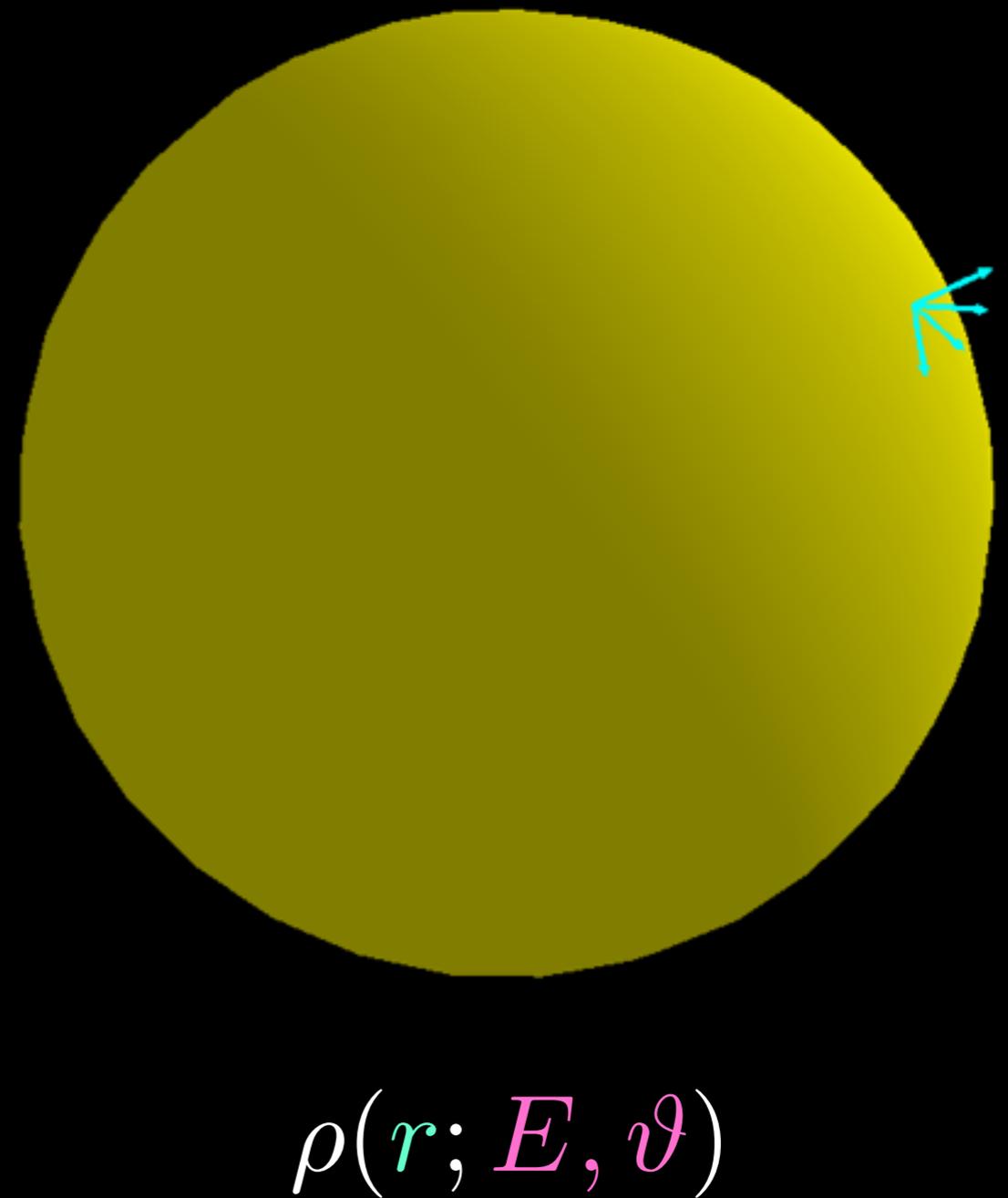
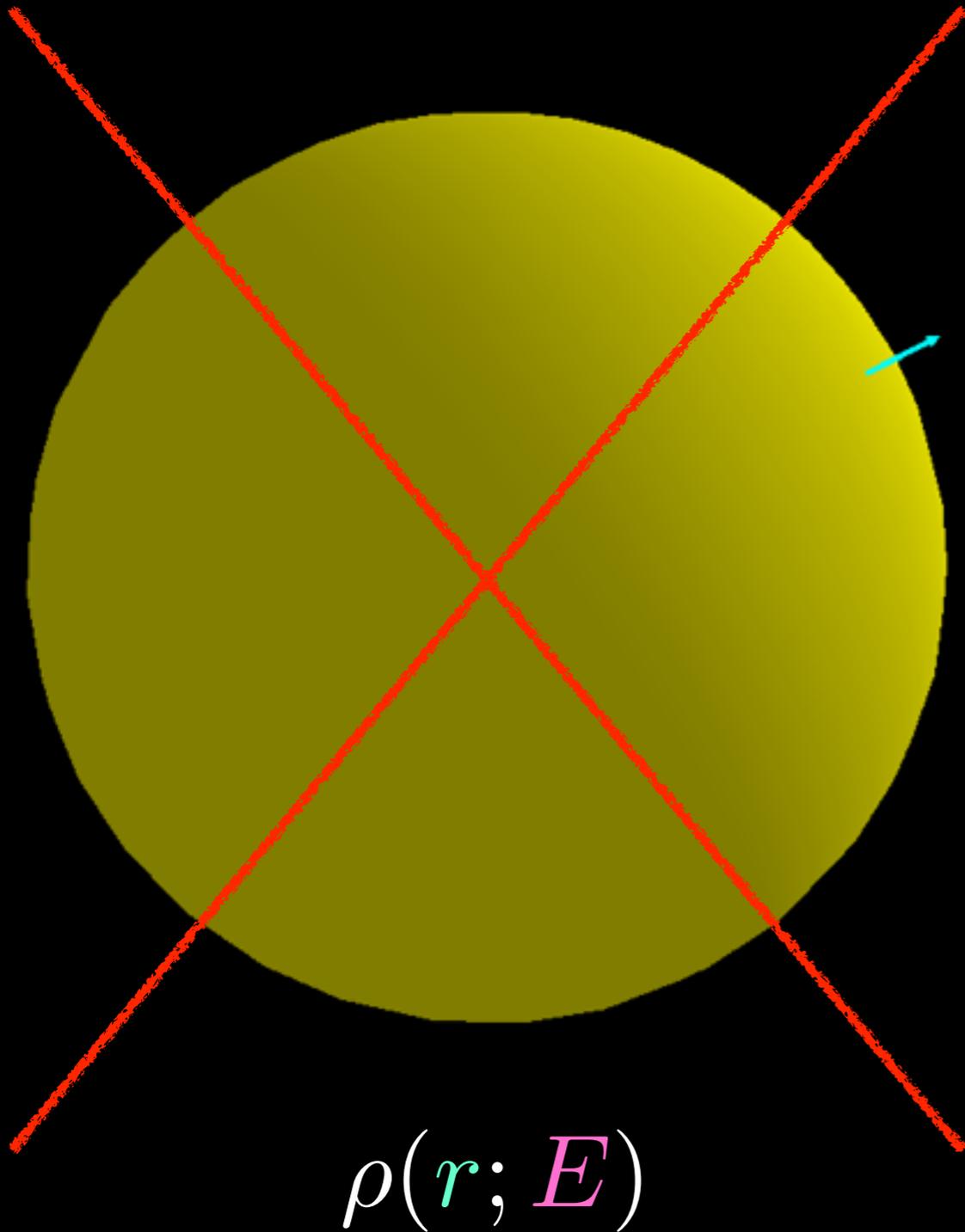


# Nucleosynthesis



Duan, Friedland,  
McLaughlin & Surman  
(2011)

# Trajectory Dependence



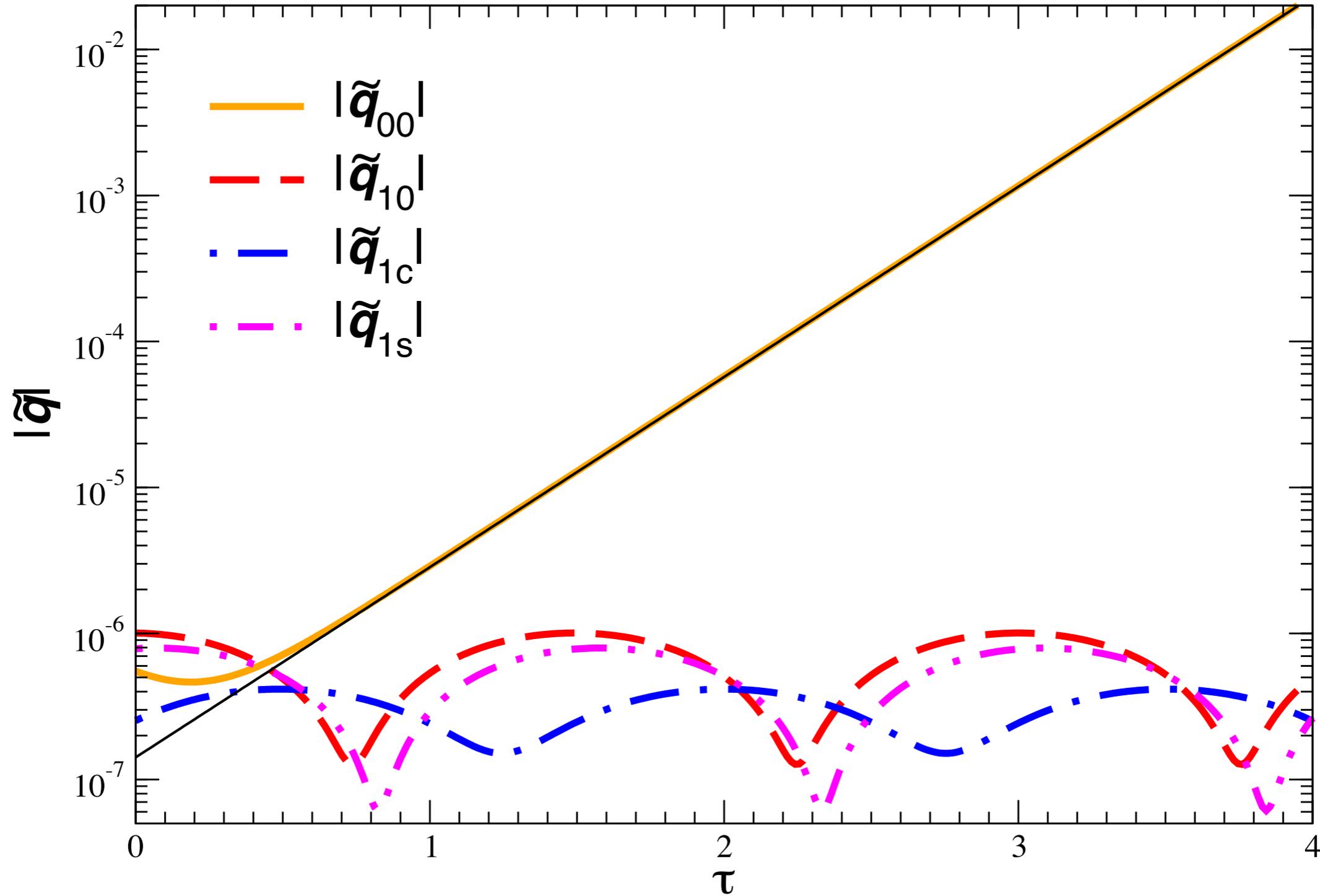
# Directional Symmetry

$$H_{\nu\nu} = \sqrt{2}G_F \int d^3\mathbf{p}' (1 - \hat{\mathbf{v}} \cdot \hat{\mathbf{v}}') (\rho_{\mathbf{p}'} - \bar{\rho}_{\mathbf{p}'})$$

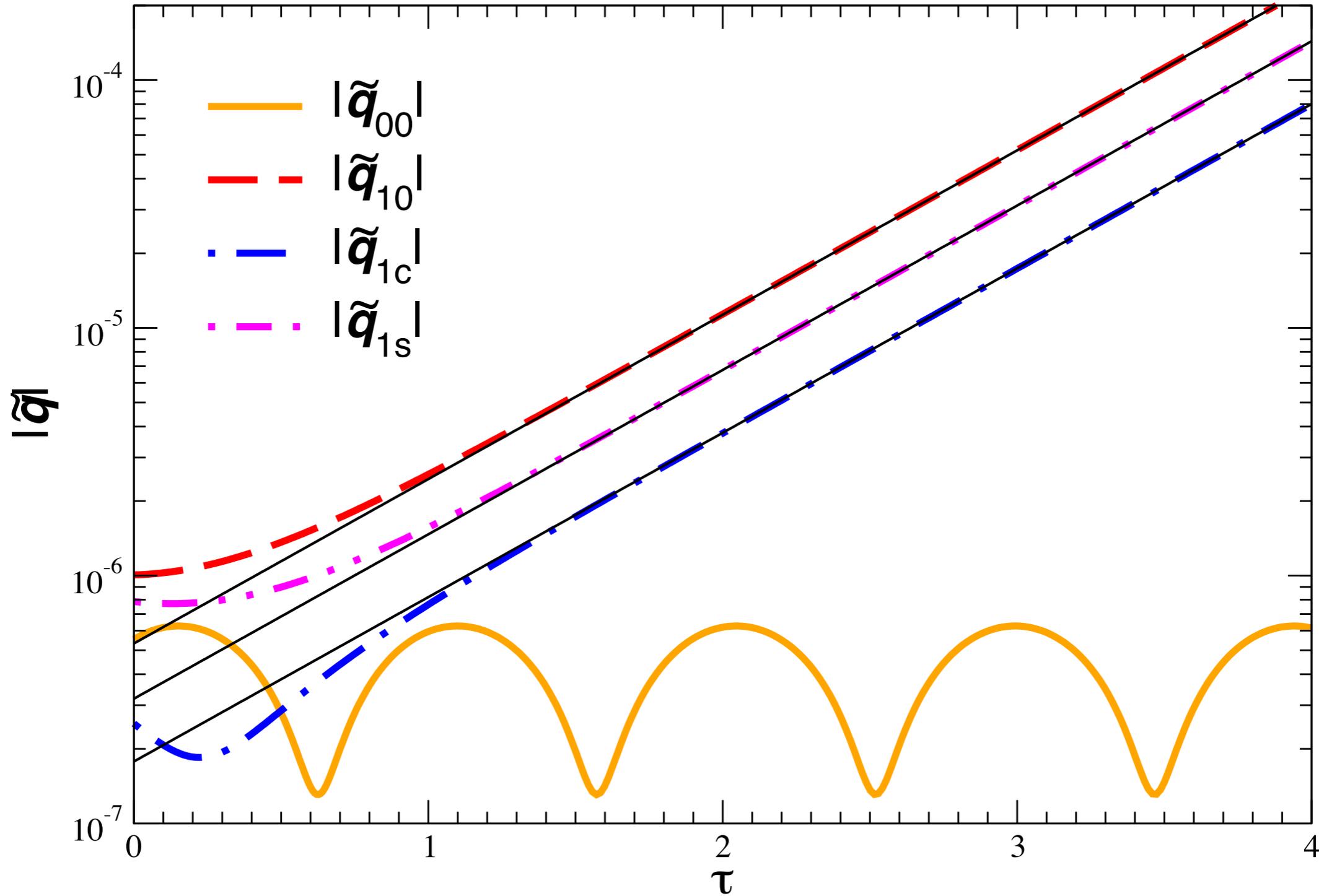
$$(1 - \hat{\mathbf{v}} \cdot \hat{\mathbf{v}}') = 4\pi \left[ Y_{0,0}(\hat{\mathbf{v}})Y_{0,0}^*(\hat{\mathbf{v}}') - \frac{1}{3} \sum_{m=0,\pm 1} Y_{1,m}(\hat{\mathbf{v}})Y_{1,m}^*(\hat{\mathbf{v}}') \right]$$

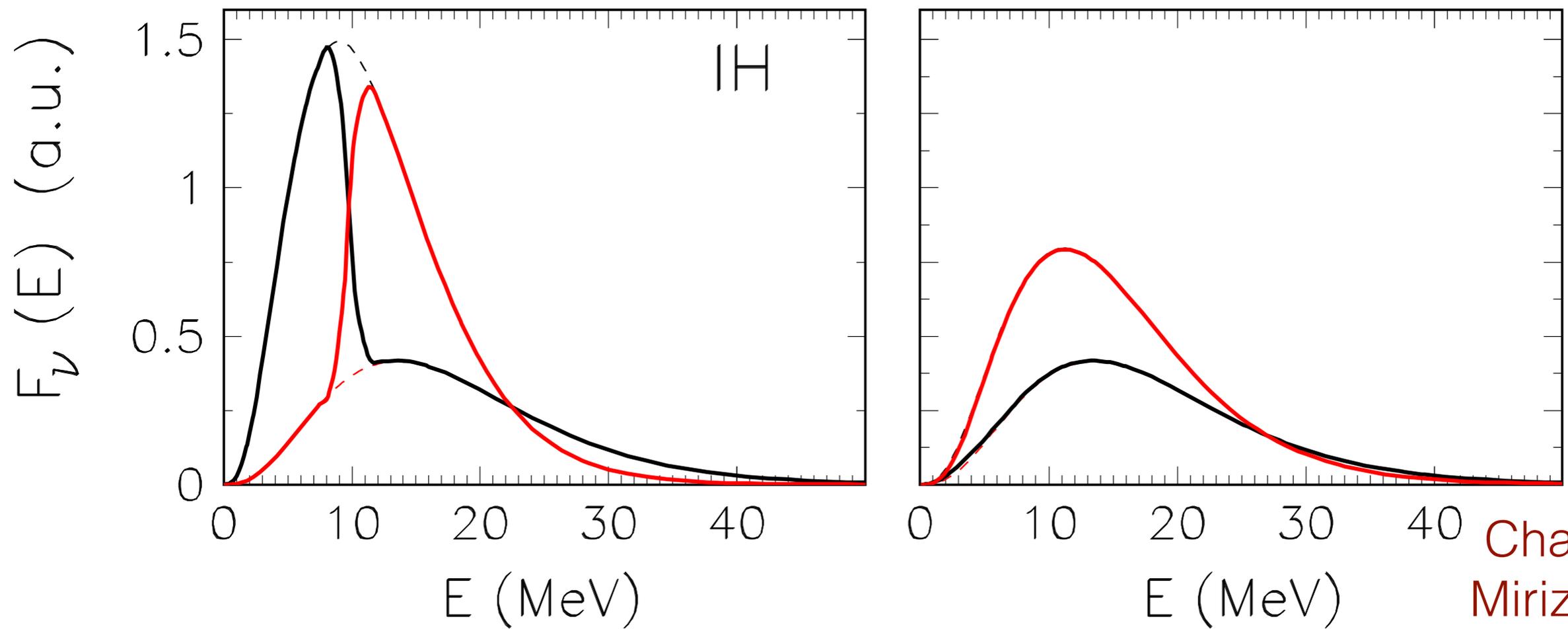
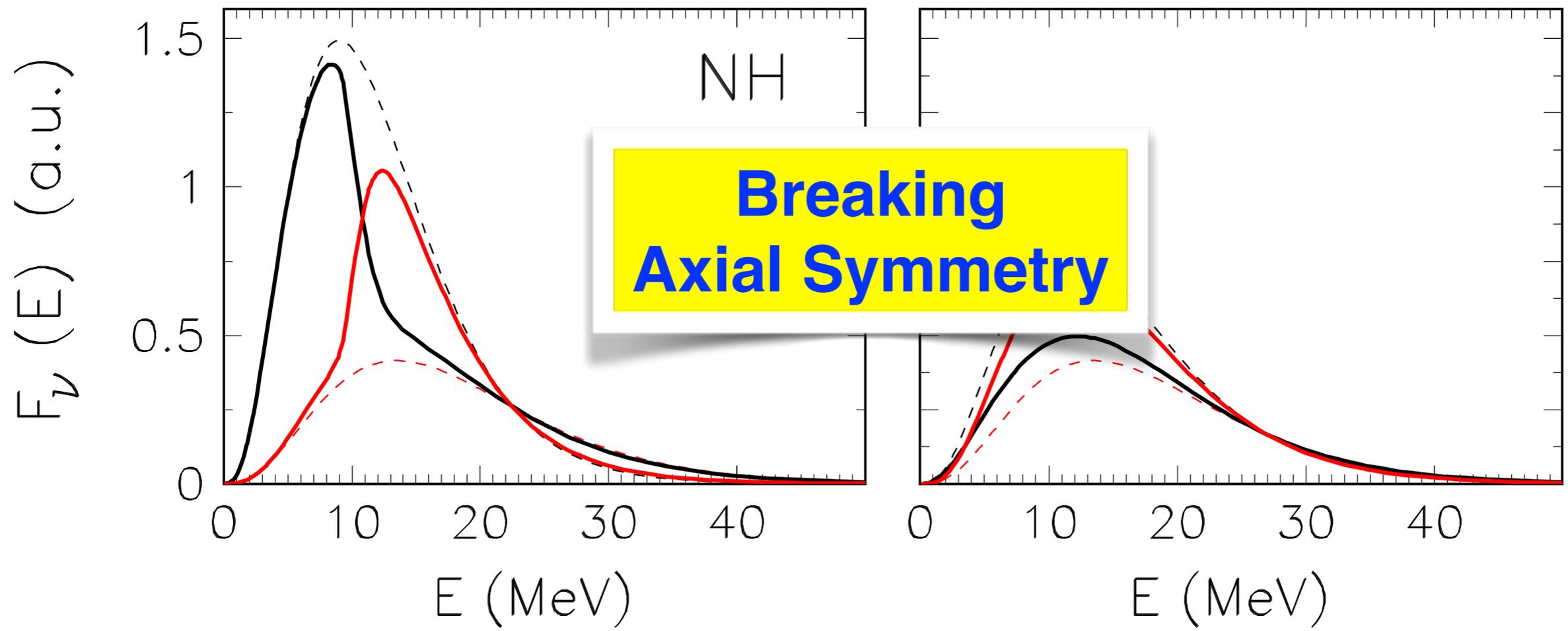
- Monopole ( $l=0$ ) and dipole ( $l=1$ ) modes are unstable in **opposite** neutrino mass hierarchies.
- Unstable dipole ( $l=1$ ) modes **break the directional symmetry**.

# Inverted Hierarchy



# Normal Hierarchy

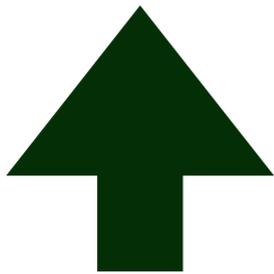




$$\propto L/r^4$$

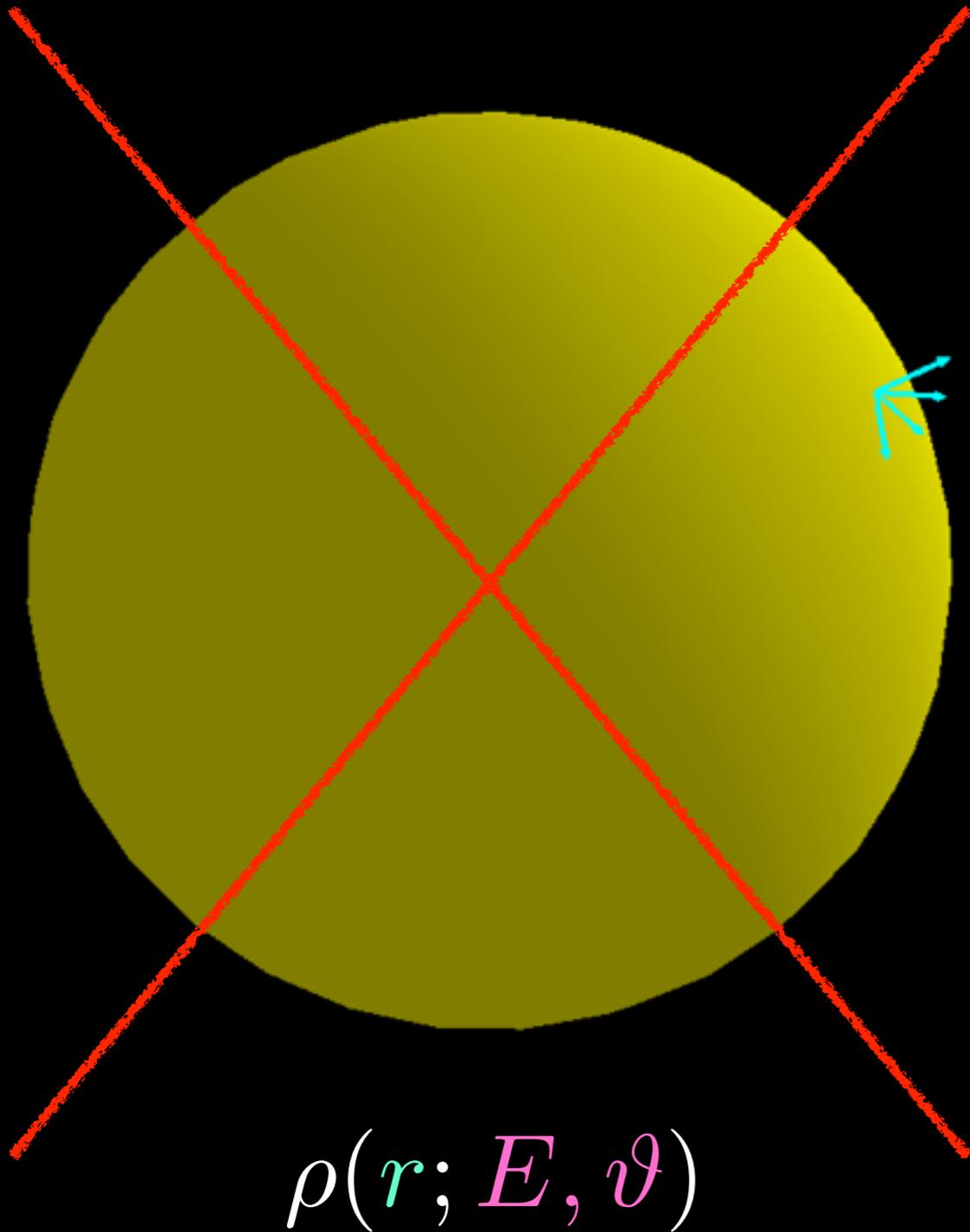
***Matter Suppression***

$$\propto \rho/r^2$$



***Self Suppression***

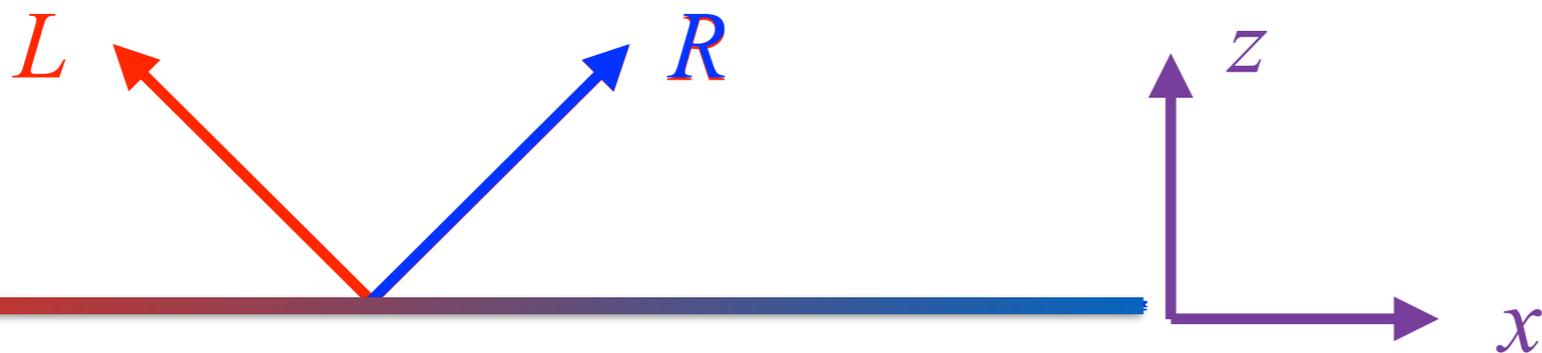
# Directional Symmetry



# Line Model

- ~~x translation symmetry~~
- ~~left right symmetry~~

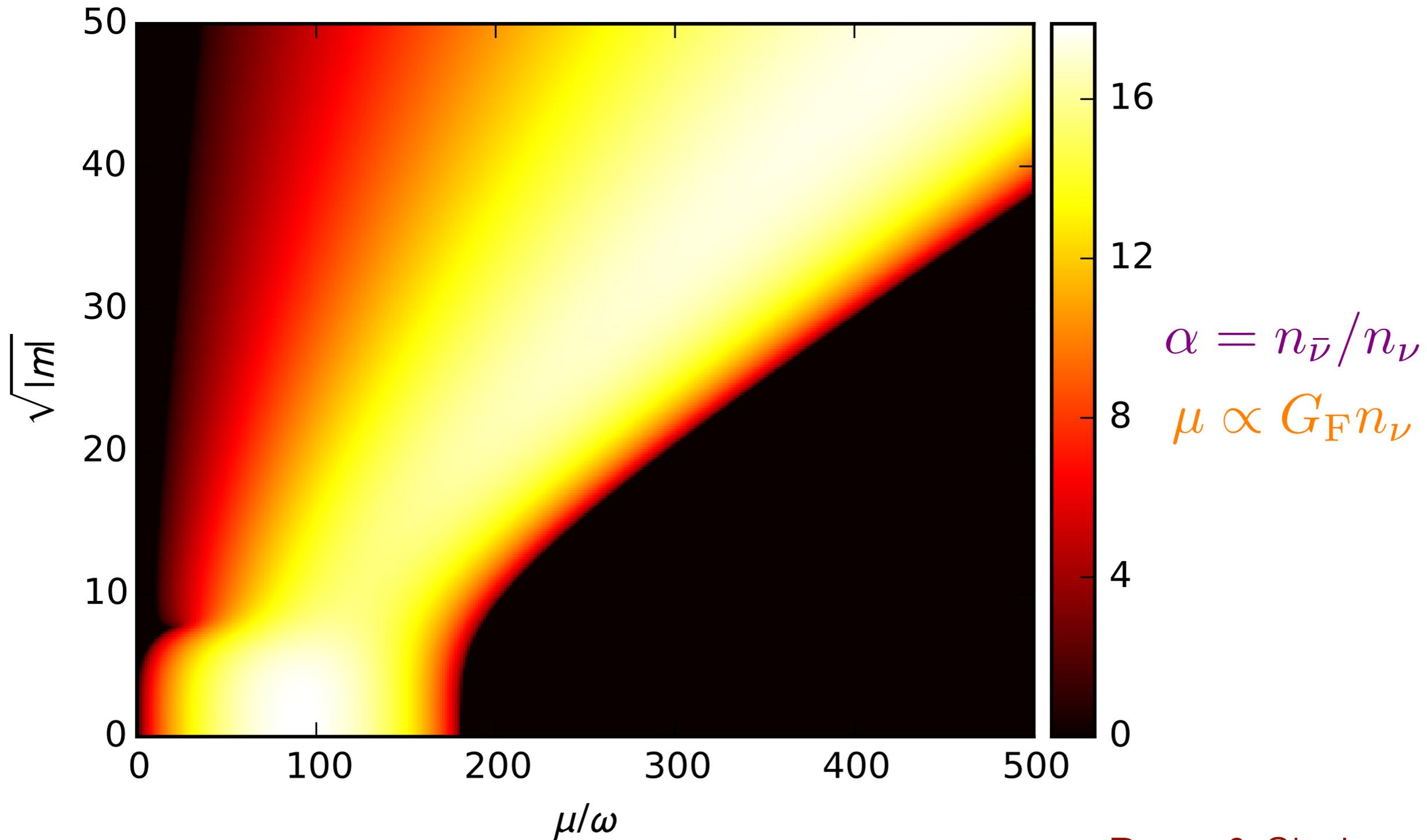
$$\rho_m(z) = \frac{1}{L} \int_0^L \rho(x, z) e^{-2m\pi i x/L} dx$$

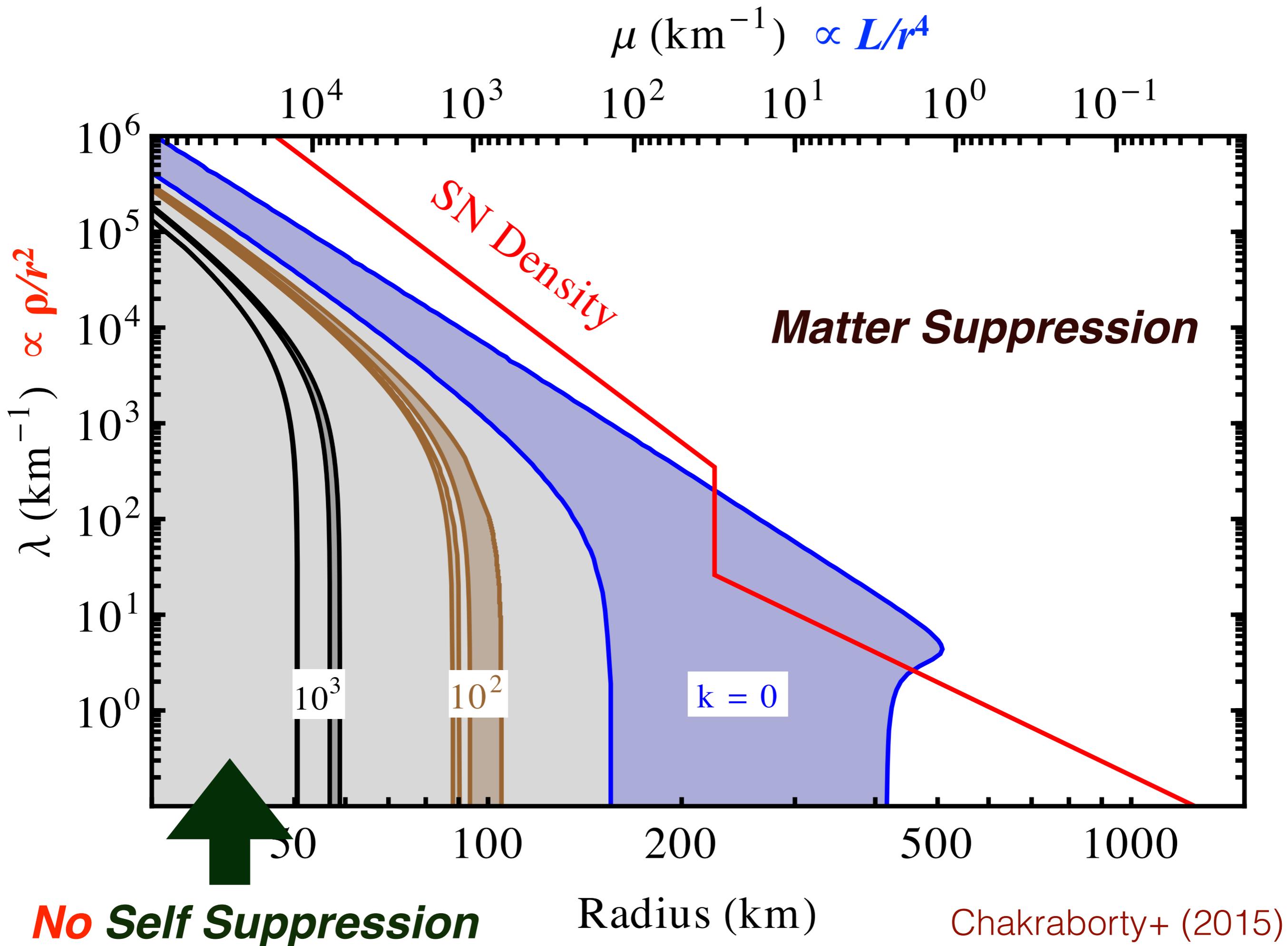


# Spatial Symmetry

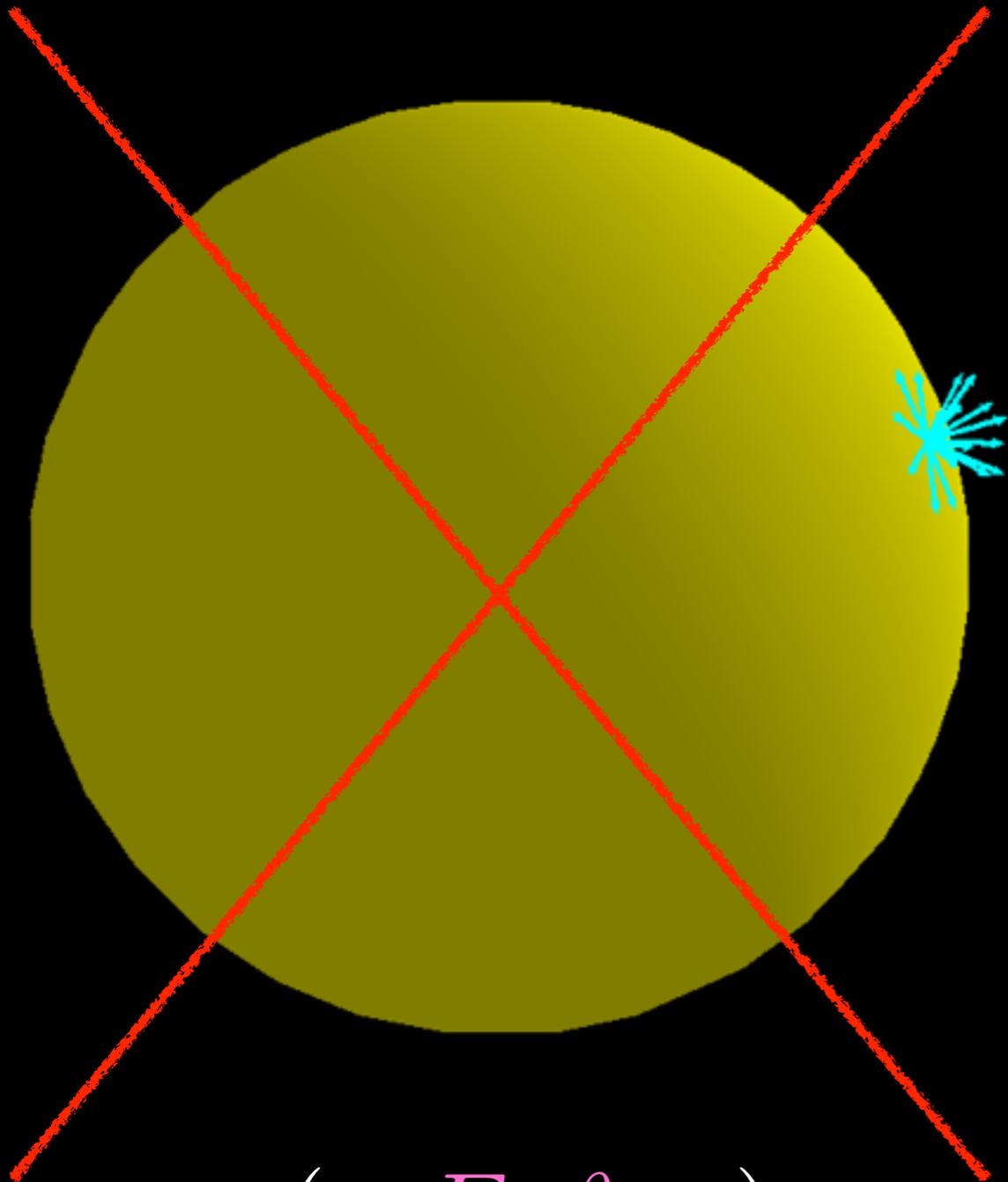
$\alpha = 0.8$

$k^{\max}/\omega$

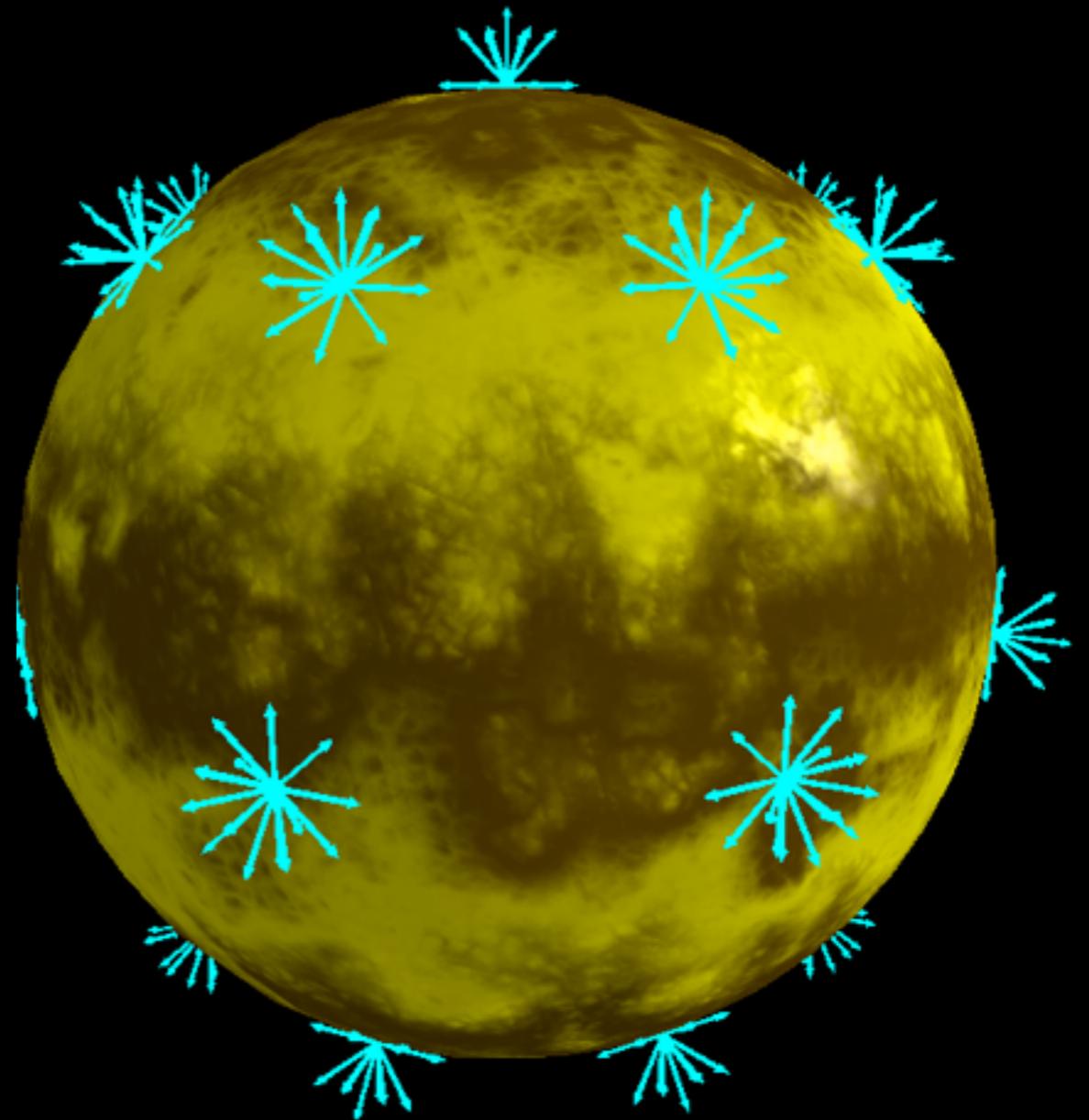




# Spatial Symmetry

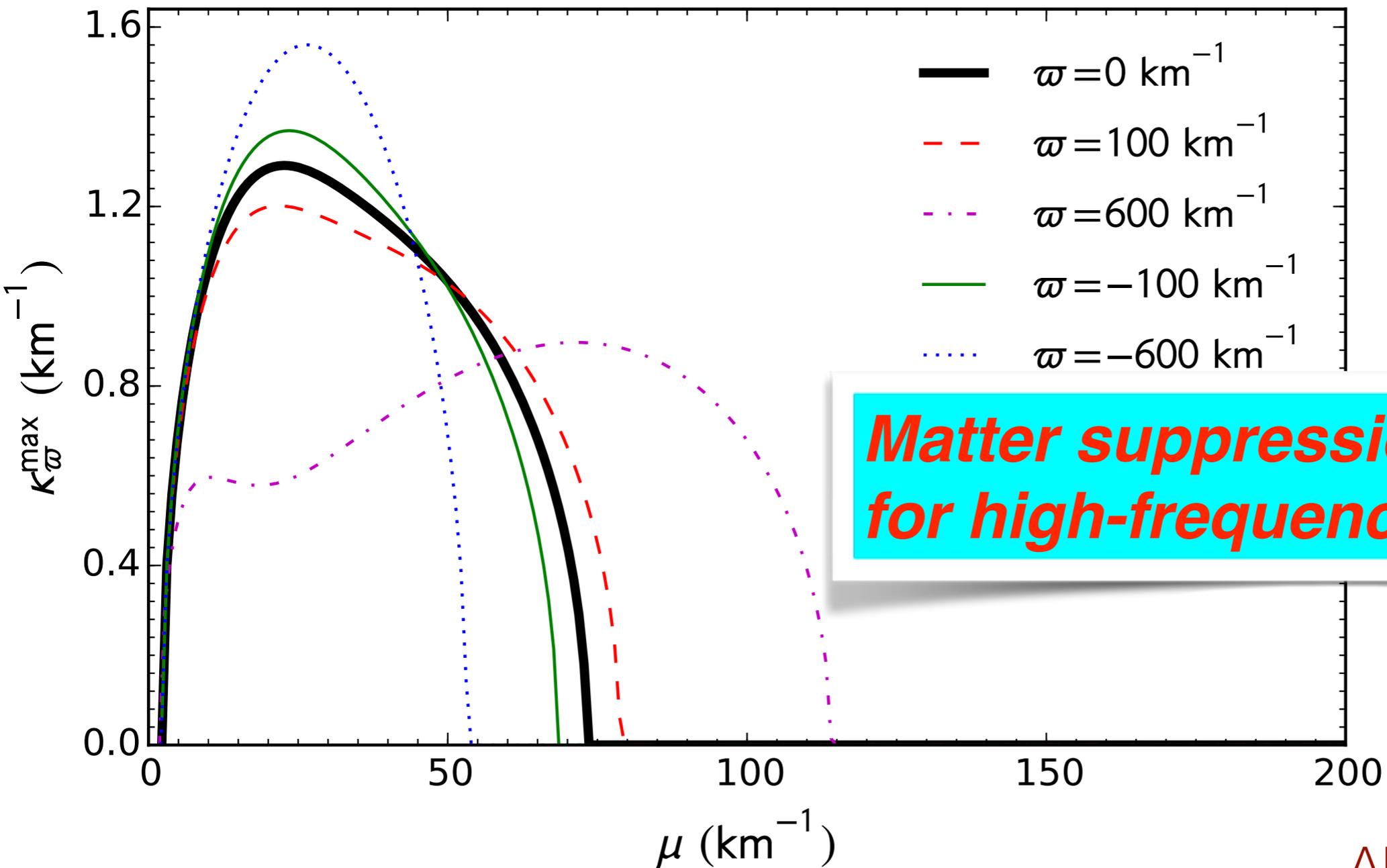


$$\rho(r; E, \vartheta, \varphi)$$



$$\rho(r, \Theta, \Phi; E, \vartheta, \varphi)$$

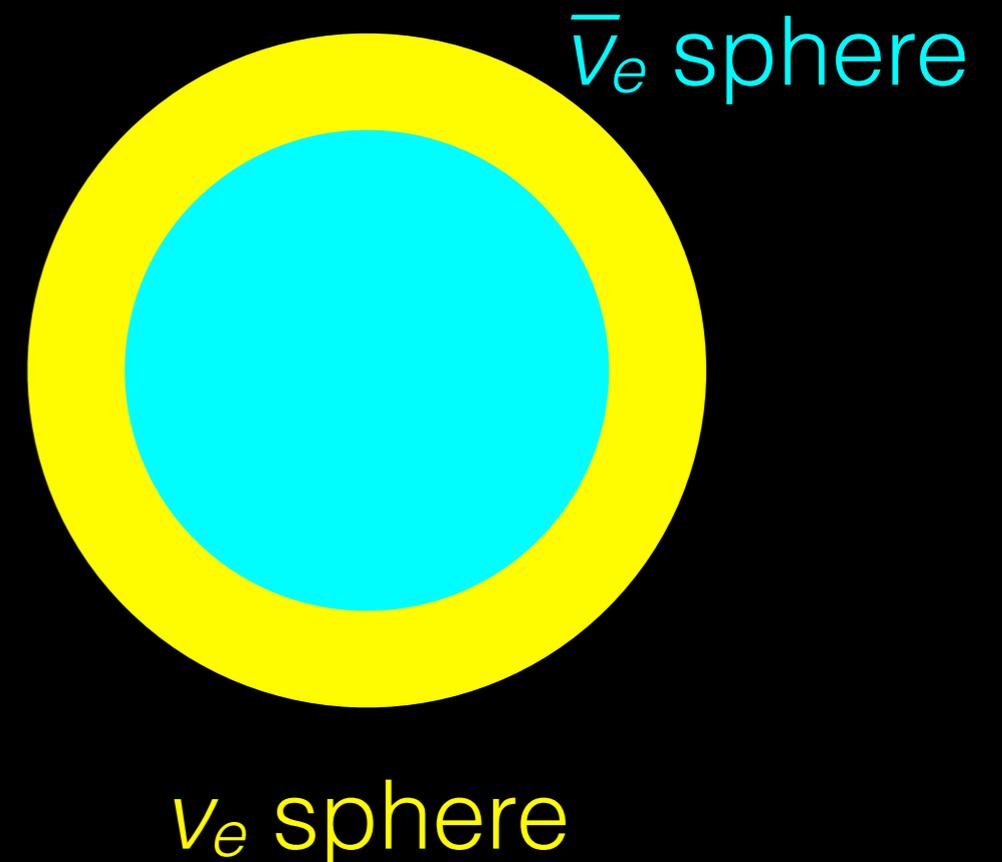
# Temporal Symmetry



***Matter suppression is relieved for high-frequency modes***

# Fast Neutrino Oscillations

- Usually flavor instabilities grow at rates comparable to vacuum oscillation frequency.
- Fast oscillations grow at rates comparable to  $(G_F n_\nu)$ .
- Fast oscillations can occur because of different angular distributions of  $\nu_e$  and anti- $\nu_e$ .
- Can fast oscillations occur within the proto-neutron star?



# Summary

- Neutrinos are important in SNe (dynamics, nucleosynthesis, new probe).
- Neutrino oscillations are also important because they change fluxes in different flavors.
- The dense neutrino medium surrounding the nascent neutron star can **oscillate collectively** (**Lecture 1**).
- Neutrino oscillations can be **qualitatively different** in different models.

# Summary

- Assumptions of the bulb model:
  - Axial symmetry (in momentum space).
  - Spherical symmetry (in real space).
  - Stationary assumption (time translation symmetry).
  - Same neutrino sphere (or angular distribution) for all flavors.

# Summary

- Recent progress (**Lecture 2**):
  - ~~Axial symmetry (in momentum space)~~ -> oscillations in both neutrino mass hierarchies.
  - ~~Spherical symmetry (in real space)~~ -> relief in self-suppression.
  - ~~Stationary assumption (time translation symmetry)~~ -> relief in matter suppression.
  - ~~Same neutrino sphere (or angular distribution) for all flavors~~ -> fast oscillations.