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# Entanglement spectrum of AKLT like states - effective field theory approach

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References

AT Nov. 2017 issue of 「数理科学」(in Japanese); AT and ST, in preparation; ST, P. Pujol and AT, Phys. Rev. B <u>94</u> 235159 (2016); ST, K. Totsuka and AT, Phys. Rev. B <u>91</u> 155136 (2015); K.-S. Kim and AT, Mod. Phys. Lett. B <u>29</u> 1540054 (2015) Contents of talk = belongs to ongoing project with following objective:

Haldane-style mapping of AF spin systems in d-dimensions

1980's and 90's: main target = stat-mech properties



1d: S=half-integer vs integer 2d: mod S=2 properties on square lattice QPT w.r.t. varying theta-value

### Question:

Can we extract the topological protection (STP vs non-STP) and entanglement properties of the ground state of disordered (Haldane gap/AKLT-like) phases in this language?

· Complementary to MPS/tensor-network schemes.

e.g.

• A personal motivation: demystify path integral approach to SPT by X. Chen et al, Science 2012 via somewhat more conventional language. One-slide-summary of our main message

<u>Consequence of having total derivative topological term within effective action</u> Surface effects arise depending on how you wrap up your space-time:

 $S_{edge}\left[\phi(\tau)\right]$ τ Edge action (Gapped Two sides of same coin  $S_{top}[\phi(\tau,x)]$ System) X (bulk-boundary correspondence)  $\Psi[\phi(x)]$  (path integral) **GS** wave function Suggests (and we confirm) that: Effective action w. top-tem might be useful for studying GS entanglement properties!

In previous work we started by deriving, Haldane style, effective actions, to arrive at the above mentioned bulk-boundary correspondence.

In this talk, I will take an easier and perhaps more accessible (for many people) route:

I will start directly with the well-known AKLT wavefunction and extract, in the large-S limit, the same topological information.

Then I will move on to discuss entanglement properties in light of this.

AKLT wave function for integer S (i.e. S valence bonds per link):  

$$|AKLT\rangle_{PBC} \prod_{j} (a_{j\uparrow}^{\dagger} a_{j+1\downarrow}^{\dagger} - a_{j\downarrow}^{\dagger} a_{j+1\uparrow}^{\dagger})^{S} | vac\rangle$$

$$(a_{j\uparrow}^{\dagger} a_{j\uparrow} + a_{j\downarrow}^{\dagger} a_{j\downarrow}) | phys\rangle = 2S | phys\rangle$$
Constraint on Schwinger boson  $(a_{j\uparrow}^{\dagger} a_{j\uparrow} + a_{j\downarrow}^{\dagger} a_{j\downarrow}) | phys\rangle = 2S | phys\rangle$ 
Spin coherent state basis  $|\langle \vec{\Omega}_{j} \rangle\rangle \equiv \prod_{j} (\overline{u}_{j} a_{j\uparrow}^{\dagger} + \overline{v}_{j} a_{j\downarrow}^{\dagger})^{2S} | vac\rangle$ 
 $(u_{j}, v_{j}) \in \mathbb{CP}^{1}, |u_{j}|^{2} + |v_{j}|^{2} = 1, \vec{\Omega}_{j} = (\overline{u}_{j}, \overline{v}_{j}) \vec{\sigma} (u_{j})$ 

$$\Rightarrow \Psi_{AKLT} = \langle \langle \vec{\Omega}_{j} \rangle | AKLT \rangle = \prod_{j} (u_{j} v_{j+1} - v_{j} u_{j+1})^{S}$$
Arovas-Auerbach-Haldane PRL 1988

### It is convenient to convert to the representation:

where:

$$\begin{pmatrix} \mathbf{u}_{2j} \\ \mathbf{v}_{2j} \end{pmatrix} \equiv \begin{pmatrix} \widetilde{\mathbf{u}}_{2j} \\ \widetilde{\mathbf{v}}_{2j} \end{pmatrix}, \quad \begin{pmatrix} \mathbf{u}_{2j+1} \\ \mathbf{v}_{2j+1} \end{pmatrix} \equiv \begin{pmatrix} -\overline{\widetilde{\mathbf{v}}}_{2j+1} \\ \overline{\widetilde{\mathbf{u}}}_{2j+1} \end{pmatrix} \quad (\text{overscores} \\ = CCs)$$

$$\begin{pmatrix} \widetilde{\mathbf{u}}_i \\ \widetilde{\mathbf{v}}_i \end{pmatrix} \equiv \begin{pmatrix} \cos \frac{\theta_i}{2} \\ \sin \frac{\theta_i}{2} e^{i\phi_i} \end{pmatrix}$$

Observe that now 
$$(\overline{u}_i, \overline{v}_i) \vec{\sigma} \begin{pmatrix} u_i \\ v_i \end{pmatrix} = \begin{cases} \vec{n}_i \equiv (\sin \theta_i \cos \phi, \sin \theta_i \sin \phi_i, \cos \theta_i) & \leftarrow i = even \\ -\vec{n}_i & \leftarrow i = odd \end{cases}$$

So using this rep. just means: we are inverting the coordinate axes So far all of this is just formal rewriting, and is completely general. convenient Why are we doing this? Recause: 11 J

Why are we doing this? Because:  $u_{2j}v_{2j+1} - v_{2j}u_{2j+1} = \widetilde{u}_{2j}\overline{\widetilde{u}}_{2j+1} + \widetilde{v}_{2j}\overline{\widetilde{v}}_{2j+1}$ 

Formula for inner product of CP<sup>1</sup> spinors: (familiar e.g. from "double exchange" in anomalous Hall effect)

## Solid angle by spins acting as a gauge field

c.f. N. Nagaosa



AKLT wave function in continuum (large-S) form

$$\Psi_{\text{AKLT}}[\vec{n}(x)] = e^{-i\frac{S}{2}\omega[\vec{n}(x)]} e^{-\frac{1}{2\tilde{g}}\int dx(\partial_x \vec{n})^2}$$

Replace in above "x" with imaginary time "T". This is then identical to the Feynman weight for a single spin S/2 object (quantum rotor) at the end of open AKLT chains.

e.g. S=2 AKLT  

$$W_{\text{Feynman}} \equiv e^{-S_{edge}} = e^{-\frac{i\frac{S}{2}\omega[\vec{n}(\tau)]}{\sqrt{2}}} e^{-\frac{1}{2\tilde{g}}\int dx(\partial_{\tau}\vec{n})^2}$$
cpin Barny phase

spin Berry phase for fractional spin S/2 object

This demonstrates that :

Same quantum number fractionalization as edge state is inherent in the bulk AKLT wave function even under PBC ! (also note similarities with X. Chen et al, Science 2012).

#### consistent with existence of an underlying effective action w. top-term (in this case, this is just Haldane's NLσ model+θ term)



We now make contact with our proposed action (ST, Pujol, AT, PRB 2016) for detecting SPT states

General form: 
$$S_{eff} = S_{kinetic} + S_{top}$$
  
NLo total derivative

Proposed action (ST, Pujol, AT, PRB 2016) for d=1

General form:  $S_{eff} = S_{kinetic} + S_{top}$ NLo total derivative 1+1d: easy-plane Haldane gap phase  $\vec{n} \equiv (\cos\phi, \sin\phi, 0)$ Planar version of Haldane's well-known mapping to "O(3) NLo+top-term"  $S_{kinetic}[\phi(\tau, x)] = \frac{1}{2g} \int d\tau \, dx \left\{ (\partial_{\tau} \phi)^{2} + (\partial_{x} \phi)^{2} \right\} \qquad \text{O(2) NL}\sigma$   $S_{top}[\phi(\tau, x)] = i\pi \, \mathrm{S} \, \mathrm{Q}_{\mathrm{v}}, \, \mathrm{Q}_{\mathrm{v}} = \frac{1}{2\pi} \int d\tau \, dx \left\{ \partial_{\tau} (\partial_{x} \phi) - \partial_{x} (\partial_{\tau} \phi) \right\} \text{ vortex Berry phase term}$  $\tau + \Delta \tau$ Reduces to counting  $iS \times$ space-time vorticity τ of shaded region.  $-\frac{\tau}{i+2}-\Delta\tau$ Sachdev 2001 j(= even) i+1More tractable than the original O(3) model.



BBC: consistent w. fact that S=odd is SPT state under TR-symmetry.

The continuum form of he AKLT wave function gives consistent results.

$$\Psi_{\text{AKLT}} \left[ \mathbf{n}(x) \right] = e^{-\int dx \left[ \frac{1}{2\tilde{g}} (\partial_x \mathbf{n})^2 + i \frac{S}{2} (1 - \cos \theta(x)) \partial_x \phi(x) \right]}$$
$$\equiv e^{-i \frac{S}{2} \omega \left[ \mathbf{n}(x) \right]} e^{-\int dx \frac{1}{2\tilde{g}} (\partial_x \mathbf{n})^2}$$

Planar limit is accessed by putting  $\cos\theta \equiv 0$ .

$$\Psi_{\text{AKLT}}[\phi(x)] = e^{-iS\pi Q_x} e^{-\int dx \frac{1}{2\tilde{g}}(\partial_x \phi)^2}, \quad Q_x \equiv \frac{1}{2\pi} \int dx \partial_x \phi \in \mathbb{Z}$$

Proposed action (ST, Pujol, AT, PRB 2016) for d=2

 $\begin{array}{ll} \text{General form:} S_{\textit{eff}} = S_{\textit{kinetic}} + S_{\textit{top}} \\ & \text{NL}\sigma \quad \text{total derivative} \end{array}$ 

2+1d: "Haldane gap phase"(=AKLT-like state) on square lattice

$$S_{kinetic} \begin{bmatrix} a_{\mu}(\tau, \vec{r}) \end{bmatrix} = \frac{1}{2g} \int d\tau \, d^{2}\vec{r} \left( \varepsilon_{\lambda\mu\nu}\partial_{\mu}a_{\nu} \right)^{2} \qquad \vec{n} = z^{\dagger} \frac{\vec{\sigma}}{2} z, \ a_{\mu} \equiv iz^{\dagger}\partial_{\mu}z \qquad O(3) \text{ NL}\sigma \\ = CP_{1}$$

$$S_{top} \begin{bmatrix} a_{\mu}(\tau, \vec{r}) \end{bmatrix} = i \frac{\pi S}{2} Q_{m}, \ Q_{m} = \frac{1}{2\pi} \int d\tau d^{2}\vec{r} \ \varepsilon_{\lambda\mu\nu} \ \underline{\partial_{\lambda}}(\partial_{\mu}a_{\nu}) \qquad \text{monopole Berry phase term}$$

$$Q_{xy}(\tau + \Delta\tau)$$

$$Q_{monopole} = \Delta Q_{xy}$$

$$Q_{xy}(\tau)$$

A suitably coarse-grained version of Haldane's monopole Berry phases (1988). Can be derived systematically as "coupled wires" of 1+1d WZW models. Provides field theory representation for "weak" SPTs. Wave functional which follows from the effective action has the form

$$\Psi[\vec{n}(x,y)] \propto e^{-i\frac{\pi S}{2}Q_{xy}} e^{-\frac{1}{2\tilde{g}}\int dx dy (\partial_{\alpha}\vec{n})^{2}} \quad (\alpha = x, y)$$
$$Q_{xy} \equiv \frac{1}{4\pi} \int dx dy \vec{n} \cdot \partial_{x} \vec{n} \times \partial_{y} \vec{n} \in \mathbb{Z}$$

Suggesting that the GS is sensitive to topology only when  $S=4 \times integer$  (we are restricting in 2d to the case where S=even.)

It is clear that the same wave functional follows immediately via the AKLT wave function approach through a simple coupled wire treatment (Amounts to replacing 't' with 'y' Haldane's derivation of 1+1d effective action).

Whichever approach (effective action or AKLT wavefunction) we start with, we have a continuum wave functional which can be put to test, to see whether they give information on the entanglement properties of the GS.

We now turn to this.

### **Indicators of SPT**

$$\Psi[\phi(x)] = \langle \phi(x) | GS \rangle = A e^{-i\pi S Q_x}$$

Observables  $\langle \hat{O} \rangle = \langle GS | \hat{O} | GS \rangle$  : cancellation of phase factor.

UCSB group (Y.-Z. You et al): "strange correlator" as SPT indicator  $\langle GS_0 | \cos \hat{\phi}(\tau) \cos \hat{\phi}(0) | GS \rangle$ 

$$C_{str} \equiv \frac{\langle GS_0 | GS \rangle}{\langle GS_0 | GS \rangle} \quad | GS_0 \rangle \text{ (i.e. w.o. top-term)}$$

We find that  $C_{str} \equiv \frac{\int D\phi(x')\cos\phi(x)\cos\phi(0)e^{-S[\phi(x')]}}{r}$ 

$$\frac{(x')\cos\phi(x)\cos\phi(0)e^{-S[\phi(x')]}}{\int D\phi(x')e^{-S[\phi(x')]}}, \quad S[\phi(x)] = \int dx \left\{ \frac{1}{\widetilde{g}} (\partial_x \phi)^2 + i\frac{S}{2} (\partial_x \phi) \right\}$$

'x'  $\Rightarrow$  '\tau': Correlator of a 0+1d action! (next slide)  $\rightarrow$ can show: LRO/SRO for odd/even S

#### Euclidean action in 0+1d

Odd S:  $\theta = \pi$  $S = \int d\tau \left\{ \frac{1}{\tilde{g}} (\partial_{\tau} \phi)^2 + i \frac{\theta}{2\pi} \partial_{\tau} \phi \right\}, \ \theta \equiv \pi \, S \qquad \begin{array}{l} \text{Out} \ S \cdot \theta = n \\ \text{Even } S \cdot \theta = 0 \end{array}$  $S_{NL\sigma}$   $S_{\theta}$ 2<sup>nd</sup> term =  $\theta$ -term  $S_{\theta} = i\theta Q_{\tau}, Q_{\tau} \equiv \frac{1}{2\pi} \int d\tau \partial_{\tau} \phi \in \mathbf{Z}$ Partition function:  $Z[\phi(\tau)] = \sum_{Q_{\tau} \in \mathbb{Z}} e^{-i\theta Q_{\tau}} \int_{Q_{\tau}} D\phi(\tau) e^{-S_{NL\sigma}[\phi(\tau)]}$ AB-like phase interference topological sectors  $\theta = 0 (even S) \Longrightarrow e^{i\theta Q_{\tau}} = 1$  $\theta = \pi (odd S) \Longrightarrow e^{i\theta Q_{\tau}} = (-1)^{Q_{\tau}}$ 

Expect suppression of large phase fluctuations when  $\theta = \pi$ .

#### Hamiltonian

$$\hat{H} = \frac{\tilde{g}}{4} \left( \hat{N} - \frac{\theta}{2\pi} \right)^2 \qquad \left[ \hat{N}, \hat{\phi} \right] = i, \hat{N} |n\rangle = n |n\rangle, n \in \mathbb{Z}$$

Phase correlation 
$$C(\tau) \equiv \left\langle \cos \hat{\phi}(\tau) \cos \hat{\phi}(0) \right\rangle = \begin{cases} \frac{1}{2}e^{-\frac{\tilde{g}\tau}{4}} & (\theta = 0) \\ \frac{1}{4}(1 + e^{-\frac{\tilde{g}\tau}{2}}) & (\theta = \pi) \end{cases}$$
  
 $\left\langle \hat{O}(\tau)\hat{O}(0) \right\rangle = \sum_{n} e^{-\tau(E_{n} - E_{G})} \left| \langle n|\hat{O}|G \rangle \right|^{2} \end{cases}$ 

Coming back to our problem (" $\tau$ " $\rightarrow$ "x"), this implies that: strange correlator has LRO/SRO for odd/even S.

#### Entanglement spectrum

$$\rho = |GS\rangle\langle GS|$$
 Pure state density matrix

Partition system into subsystems A and B.  $\rho_A = \text{tr}_B \rho \equiv e^{-\hat{H}_{ent}}$ 

$$GS
angle$$
 : Time evolution from  $au=-\infty$  to  $au=-0.$ 

$$|T_{GS}|$$
 : Time evolution from  $\tau = \infty$  to  $\tau = +0$ .

 $\therefore$  Path-integral representation of  $\langle \phi_A | \rho_A | \phi'_A \rangle$ : discontinuity at  $\tau = 0$ .

$$\frac{|\phi_B(x)\rangle}{\langle \phi_B(x)|} \xrightarrow{\tau} B \xrightarrow{(\phi_A'(x))} x_2 \xrightarrow{T} B \xrightarrow{\tau} x_2 \xrightarrow{T} A \xrightarrow{\tau} x_2 \xrightarrow{T} x_2 \xrightarrow{T$$

Reduces to same 0+1d problem as in the strange-correlator study!

Entanglement spectra=energy spectra for our previous 0+1d problem



2-fold degenerate/nondegenerate entanglement spectrum when S=odd/even. (Consistent w. Pollman et al.)



For BA (instead of BAB) type partition, "Rindler coordinate" approach leads to identical results (due to rotational symmetry). Higher dimensions: essentially the same procedures

## 2+1d Entanglement spectrum (restrict to S=even)

$$\left\langle \vec{n}(x,y) \middle| \rho_A \middle| \phi_A'(x,y) \right\rangle = \int D\vec{n}(x,y) e^{-\int_{Surf \, I+Surf \, II} dx dy \left\{ \frac{1}{2\tilde{g}} (\partial_\alpha \vec{n})^2 + \frac{i\theta}{4\pi} \vec{n} \cdot \partial_x \vec{n} \times \partial_y \vec{n} \right\}}$$
$$\theta = \frac{\pi \, S}{2}$$

S=2 × odd: ES=dispersion of 1+1d NL $\sigma$  at  $\theta=\pi$  (massless) S=2 × even: ES=dispersion of 1+1d NL $\sigma$  at  $\theta=0$  (massive)

S=2 × even: ES=dispersion of 1+1d NLσ at θ=0 (massive) cf. Lou et al PRB **84** (2011) 245128

Strange correlator: ET 2pt correlator of above 1+1d action  $S=2 \times \text{odd}$ : field correlator of 1+1d NL $\sigma$  at  $\theta=\pi$  (power law)  $S=2 \times \text{even}$ : field correlator of 1+1d NL $\sigma$  at  $\theta=\pi$  (SRO)

#### Conclusions

The Haldane semiclassical mapping contains information on entanglement properties of ground state. (c.f. speculation to the contrary: McGreevy's lecture notes.)

Both the strange correlator and the entanglement spectrum inherit properties of sigma models w. topological terms in one dimension lower.

There are many further problems of interest: SU(n) generalizations, detailed comparision w. Chen et al's approach, etc. Protecting symmetry of GS

## Dual theory (field theory of AF order parameter →field theory of space-time vortex condensate)

$$S_{eff}^{dual}[\varphi(\tau, x)] = \int d\tau dx \left[ \frac{g}{8\pi^2} (\partial_{\mu} \varphi)^2 + 2z \cos(\varphi - \underline{\pi S}) \right] \begin{array}{c} z: \text{vortex} \\ \text{fugacity} \\ \text{odd and even S belong to} \\ \text{different phases.} \end{array}$$

Turn on a staggered magnetic field // z-axis (induces staggered magnetization  $\delta$  m while depleting in-plane OP)

$$2z\cos(\varphi - \pi S) \rightarrow 2z\cos(\varphi - \pi(S - \delta m))$$

 $\tau(\underline{S-\delta m})$  **shortened** in-plane AF OP  $\frac{Connects odd \& even}{S without closing gap.}$ 

Suggests that odd S: SPT protected by link-centered inversion symmetry. Consistent w.work by Pollman et al 2010. Well-known (textbook) feature of effective field theory for 2d AFs :

smooth configs.→no topological terms (absence of Berry phase effects). However...



Haldane conjecture for 2d AF (1988)

Once we admit singular config.(space-time monopole=hedgehog) Berry Phase terms (→S-dependent quantum effects) will govern GS. The Berry phase effects agree precisely with VBS picture.

Gapped/spatially uniform  $GS \rightarrow Berry phase argument/VBS picture implies restriction to S=2, 4, 6. ..$ 



The essence of what will follow

Consider how one can "gap out" edge states via singlet bond formation among edge spins:





#### The essence of what will follow

Consider how one can "gap out" edge states via singlet bond formation among edge spins (two classes):



symmetry protection Need to break translational symmetry→cannot gap-out if this symmetry is imposed onto the theory.



no symmetry protection Possible to gap-out without breaking translational symmetry.

We can expect that the edge state (and hence the topological order of the bulk) is/is not protected by symmetry in the former/the latter.



#### Again assume pbc and strong coupling limit $(g \rightarrow \infty)$

$$\Psi_{GS}\left[\vec{n}(x,y)\right] = \int_{\vec{n}_i(x,y)}^{\vec{n}(x,y)} D\vec{n}(\tau,x,y) e^{-i\frac{S}{4}\int d\tau d^2 \vec{r} \varepsilon_{\mu\nu\lambda}\partial_{\mu}\partial_{\nu}a_{\lambda}}$$
$$\propto e^{-i\frac{\pi S}{2}Q_{xy}} = \left(-1\right)^{\frac{S}{2}Q_{xy}} \quad Q_{xy} \equiv \frac{1}{4\pi}\int_{pbc} dxdy \, \vec{n} \cdot \partial_x \vec{n} \times \partial_y \vec{n} \in \mathbb{Z}$$

Two classes, in accord with VBS picture.

S=2, 6, 10 .. : GS sensitive to Skyrmion number Q<sub>xy</sub> (topological)

S=4, 8, 12 ...: GS insensitive to Skyrmion Q<sub>xv</sub> (trivial)

With application to SPT detection in mind, modify to tractable setup: easy plane case (assumed: Haldane gap phase persists)

Planar config.  $\vec{n} \equiv (\cos \phi, \sin \phi, 0)$ 

Effective action:

Obviously, 
$$S_{NL\sigma} \Rightarrow S_{XY} = \frac{1}{2g} \int d\tau dx \left\{ (\partial_{\tau} \phi)^2 + (\partial_x \phi)^2 \right\}$$
  
Naively,  $S_{\theta} \equiv 0 \quad \because Q_{\tau x} \equiv 0$ 

Need to redo derivation to address 2<sup>nd</sup> point correctly.

#### Effective action

$$S_{eff}[\phi] = S_{XY}[\phi] + i\pi S Q_V$$
 Sensible?

Convert to dual (vortex) language:

Variant of sine-Gordon action  $L_{dual} = \frac{g}{8\pi^2} (\partial_{\mu} \varphi)^2 + 2z \cos(\pi S) \cos\varphi$ z: fugacity

Agrees with Affleck's meron action.

S=half odd integer: KT transition into massive phase cannot occur. S=integer: vortex condensation possible (=Haldane gap phase) Proposed action (ST, Pujol, AT, PRB 2016) for d=1, 2, 3

 $\begin{array}{ll} \text{General form:} S_{\textit{eff}} = S_{\textit{kinetic}} + S_{\textit{top}} \\ & \text{NL}\sigma \quad \text{total derivative} \end{array}$ 

3+1d: "Haldane gap phase"(=AKLT-like state) on cubic lattice

$$g = N_4 + i\vec{N} \cdot \vec{\sigma} \in SU(2) \qquad O(4)$$

$$S_{top} = i \frac{\pi S}{3} \underline{Q}_{m}, \qquad Q_{m} = \frac{1}{32\pi^{2}} \int d\tau d^{3} \vec{r} \operatorname{tr} \varepsilon_{\lambda\mu\nu\rho} \partial_{\lambda} \left( (g^{-1} \partial_{\mu}g) (g^{-1} \partial_{\nu}g) (g^{-1} \partial_{\rho}g) \right) \in \mathbf{Z}$$

O(4) monopole Berry phase term