Spin Liquid and Spin Hall Effect with Strong Spin-Orbit Coupling

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Novel Quantum States in Condensed Matter 2017 Yukawa Institute, Kyoto University, November 14, 2017







Outline

I. Quantum Spin Liquid in Hydrogen-Intercalated Honeycomb Iridate, H₃Lilr₂O₆

II. Quantum Spin Liquid in α -RuCl₃

III. Large Intrinsic Spin Hall Effect in Iridate Semimetal, SrIrO3 "Kitaev Materials" A short introduction



$$|\uparrow_{j}\rangle = \frac{1}{\sqrt{3}}(i|xz,\downarrow_{s}\rangle + |yz,\downarrow_{s}\rangle + |xy,\uparrow_{s}\rangle)$$
$$|\downarrow_{j}\rangle = -\frac{1}{\sqrt{3}}(i|xz,\uparrow_{s}\rangle - |yz,\uparrow_{s}\rangle + |xy,\downarrow_{s}\rangle)$$

Strong Spin-Orbit Coupling leads to Spin-Orbit entangled pseudo-spin basis (Kramers Doublet)



(Kramers Doublet)

to third NN, where
$$\mathcal{H}_{hop} = \sum_{ij} \mathbf{C}_{i}^{\dagger} \cdot \mathbf{T}_{ij} \cdot \mathbf{C}_{j}$$
 and \mathbf{C}^{\dagger} and

$$|\uparrow_{j}\rangle = \frac{1}{\sqrt{3}}(i|xz,\downarrow_{s}\rangle + |yz,\downarrow_{s}\rangle + |xy,\uparrow_{s}\rangle)$$
$$|\downarrow_{j}\rangle = -\frac{1}{\sqrt{3}}(i|xz,\uparrow_{s}\rangle - |yz,\uparrow_{s}\rangle + |xy,\downarrow_{s}\rangle)$$

Continued in next page... Strong Spin-Orbit Coupling leads to Spin-Orbit entangled pseudo-spin basis (Kramers Doublet)

Kitaev Model on Honeycomb Lattice: Exact Solution



 $\mathcal{W}_P = \prod_{\text{loop}} u_{ij}^{\alpha} \text{ commute with the Hamiltonian} \longrightarrow \mathcal{W}_P = \pm 1$

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Ground state is in the zero-flux sector $u_{ij}^{\alpha} = +1 (\forall \langle ij \rangle)$



 $\alpha - \text{links}$

Majorana Fermions with Dirac Dispersion

Realization of Kitaev Quantum Spin Liquid ?



 $a - H_3 Li Ir_2 O_6$

 $a - RuCl_3$

 β - Li₂IrO₃ 3D Hyper-Honeycomb γ - Li₂IrO₃

3D Stripy-Honeycomb

Realization of Kitaev Quantum Spin Liquid ?

2D Honeycomb	T _N	
a- Na ₂ IrO ₃	zig-zag, 14K	
a- Li2IrO3	incomm. spiral, 15K	
a- H3LiIr2O6	no magnetic order (NMR)	
a- RuCl ₃	zig-zag, 7K	
<mark>β-Li₂IrO₃</mark> 3D Hyper-Honeyc	incomm. spiral, 38K	
<pre>y- Li2IrO3 3D Stripy-Honeyc</pre>	incomm. spiral, 38K	

Realizat	tion of Kitaev Quantum	Spin Liquid ?
2D Honeycomb a- Na2IrO3	T _N zig-zag, 14K	suppressed magnetic order ?
a- Li2IrO3	incomm. spiral, 15K	Hydrogen
a-H3LiIr2O6	no magnetic order (NMR)	intercalation H. Takagi
a- RuCl ₃	zig-zag,7K Banerjee,	H _{in} > 8T Nagler, YJ.Kim, Coldea,
<mark>β- Li₂IrO</mark> 3 3D Hyper-Honeyc	incomm. spiral, 38K omb	P > 2.5 GPa H. Takagi, D. Haskel
γ- Li2IrO3 3D Stripy-Honeyc	incomm. spiral, 38K	P > 1.5 GPa J. Analytis, D. Haskel

Three dimensional "Honeycomb" lattice

B- Li₂IrO₃ arXiv:1403.3296
H. Takagi (2013)
arXiv:1408.0246
P. Gegenwart
Hyper-Honeycomb



y- Li2IrO3
arXiv:1402.3254
James Analytis
Radu Coldea
Stripy-Honeycomb



Strong Coupling Limit: Localized Pseudo-Spin Model

$$H = \sum_{\langle ij \rangle \in \alpha \beta(\gamma)} \left[J \vec{S}_i \cdot \vec{S}_j + K S_i^{\gamma} S_j^{\gamma} + \Gamma \left(S_i^{\alpha} S_j^{\beta} + S_i^{\beta} S_j^{\alpha} \right) \right]$$

e.g. In the limit of $U, J_H \gg \lambda \gg t$

$$J = \frac{4}{27} \left[\frac{6t_1(t_1 + 2t_3)}{U - 3J_H} + \frac{2(t_1 - t_3)^2}{U - J_H} + \frac{(2t_1 + t_3)^2}{U + 2J_H} \right]$$

$$K = \frac{8J_H}{9} \left[\frac{(t_1 - t_3)^2 - 3t_2^2}{(U - 3J_H)(U - J_H)} \right],$$

$$\Gamma = \frac{16J_H}{9} \left[\frac{t_2(t_1 - t_3)}{(U - 3J_H)(U - J_H)} \right].$$

$$t_1 = \frac{t_{dd\pi} + t_{dd\delta}}{2}, \ t_2 = \frac{t_{pd\pi}^2}{\Delta_{pd}} + \frac{t_{dd\pi} - t_{dd\delta}}{2}, \ t_3 = \frac{3t_{dd\sigma} + t_{dd\delta}}{4},$$

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Hydrogen-Intercalated Honeycomb Iridate H₃Lilr₂O₆

Experiment: K. Kitagawa, T. Takayama, Y. Matsumoto, et al. (H. Takagi's group at MPI-Stuttgart) (2017)

Theory: Kevin Slagle, Li Ern Chern, Wonjune Choi, YBK arXiv:1710.01307

Spin-Orbital Entangled Quantum Liquid on Honeycomb Lattice

⁺K. Kitagawa¹, ⁺T. Takayama², Y. Matsumoto², A. Kato¹, R. Takano¹, Y. Kishimoto³, S. Bette², R. Dinnebier², G. Jackeli^{2,4} and H. Takagi^{1,2,4*}



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Curie-Weiss temperature θ_{CW} = - 105 K

Transport Activation Energy ~ 0.1 eV

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$$\frac{1}{T_1 T} \propto \left(\frac{C}{T}\right)^2$$

 $\frac{C}{T} \propto \frac{1}{\sqrt{T}} \qquad B = 0$

$$\frac{C}{T} \propto \frac{T}{B^{3/2}} \qquad B \neq 0$$





 $\frac{1}{T_1 T} \propto \left(\frac{C}{T}\right)^2 \propto (N(0))^2$

$$D(E) \propto \frac{1}{\sqrt{E}}$$
$$D(E) \propto \frac{E}{B^{3/2}}$$









Clues for building a theoretical model

1) many stacking faults

different stacking patterns coexist

2) enlogated a/b parameters
 direct overlap may be suppressed
 Kitaev may dominate

3) Reduced interlayer spacing c

Interlayer interaction may be important





 $\mathcal{W}_{P} = \prod_{\text{loop}} u_{ij}^{\alpha} \text{ commute with the Hamiltonian} \Longrightarrow \mathcal{W}_{P} = \pm 1$ $W_{p} = 2^{6} S_{1}^{x} S_{2}^{y} S_{3}^{z} S_{4}^{x} S_{5}^{y} S_{6}^{z}$

Ground state is in the zero-flux sector $u_{ij}^{lpha}=+1~(\forall \langle ij \rangle)$ $W_p=+1$



Majorana Fermions with Dirac Dispersion

Mean-Field Theory



 $E \propto k^4 \qquad B = 0$ Majorna mode resides mostly on the top and bottom layers

split into 8 Majorana cones $k_0 \propto B^{1/4} \quad v \propto B^{3/4}$ $B \neq 0$



In reality, there is a small excitation gap $E_0 \propto B^3$





$$K_{\rm bb} \equiv K \langle i b^{\mu}_{\ell i} b^{\mu}_{\ell j} \rangle \qquad g_{cc} = g \langle i c_{\ell+1,i} c_{\ell j} \rangle$$
$$K_{\rm cc} \equiv K \langle i c_{\ell i} c_{\ell j} \rangle \qquad g_{bb} = g \langle i b^{\mu}_{\ell+1,i} b^{\mu}_{\ell j} \rangle$$



Boundary modes coupled via $(k_x + ik_y)K_{bb}$ $E \propto |k|^4$



Boundary modes coupled via $(k_x + ik_y)K_{bb}$ $E \propto |k|^4$

 $\begin{aligned} k_0^4 \propto B^2 \\ k_0 \propto B^{1/2} & v \propto B^{3/2} \\ \end{aligned} \quad D(E) \propto \frac{E}{B^3} & \text{scaling!} \end{aligned}$





Boundary modes coupled via $(k_x + ik_y)K_{bb}$ $E \propto |k|^4$

 $k_0^4 \propto B$ $k_0 \propto B^{1/4} \qquad v \propto B^{3/4} \qquad D(E) \propto \frac{E}{B^{3/2}}$ In reality, there is an excitation gap due to



Gap may not be seen for small magnetic fields

Almost linear dispersion

split into 8 Majorana cones $k_0 \propto B^{1/4} \quad v \propto B^{3/4}$ $B \neq 0$
ABCA would be a small fraction of possible stacking patterns

This spin liquid state would make up a small fraction of the total magnetic entropy

Why four layers? Other choices are possible

ABCAC $E \sim k^4$ $E \sim k$ ABCACB $E \sim k^4$ $E \sim k^2$

But the coherence should be maintained at least for four layers

This is consistent with

1) The singular entropy is only about 5% percent of the total magnetic entropy

2) The singular part of the magnetic entropy is related to the bulk susceptibility via $(\partial S/\partial B)_T = (\partial M/\partial T)_B$

3) The Knight shift is not dominated by this "spin" contribution (spin-orbit)
[Knight shift insensitive to the "impurity" contribution]



α-RuCl₃ Two-dimensional Honeycomb lattice

Matthias Gohlke, Gideon Wachtel, Youhei Yamaji, Frank Pollmann, YBK, arXiv:1706.09908

a- RuCl₃ (S. Nagler, Y. J. Kim, R. Coldea)



Proximate Kitaev quantum spin liquid behaviour in a honeycomb magnet

A. Banerjee^{1*}, C. A. Bridges², J.-Q. Yan^{3,4}, A. A. Aczel¹, L. Li⁵, M. B. Stone¹, G. E. Granroth^{1,6}, M. D. Lumsden¹, Y. Yiu⁵, J. Knolle⁷, S. Bhattacharjee^{8,9}, D. L. Kovrizhin⁷, R. Moessner⁸, D. A. Tennant¹⁰, D. G. Mandrus^{3,4} and S. E. Nagler^{1,11*}

PRL 114, 147201 (2015) PHYSICAL REVIEW LETTERS

week ending 10 APRIL 2015

Scattering Continuum and Possible Fractionalized Excitations in α -RuCl₃

Luke J. Sandilands, Yao Tian, Kemp W. Plumb, and Young-June Kim Department of Physics, University of Toronto, 60 St. George St., Toronto, Ontario M5S 1A7, Canada

Kenneth S. Burch

Department of Physics, Boston College, 140 Commonwealth Avenue, Chestnut Hill, Massachusetts 02467, USA (Received 12 October 2014; published 6 April 2015)

arXiv:1609.00103

Neutron tomography of magnetic Majorana fermions in a proximate quantum spin liquid

Authors: Arnab Banerjee¹*, Jiaqiang Yan², Johannes Knolle³, Craig A. Bridges⁴, Matthew B. Stone¹, Mark D. Lumsden¹, David G. Mandrus², David A. Tennant^{5,6}, Roderich Moessner⁷, Stephen E. Nagler¹*.



Continuum of excitations seen below and above the ordering temperature

Two-spinon continuum?

Two-particle continuum in Quantum Spin Liquid

Neutron Scattering -- Spin-1 excitations Spinon-Antispinon pair excitations

Well-defined dispersion --> Threshold energy for pair excitations

$$\begin{split} \omega_{\mathbf{q}} \sim \min \left[\varepsilon_{\frac{\mathbf{q}}{2} + \frac{\mathbf{p}}{2}} + \varepsilon_{\frac{\mathbf{q}}{2} - \frac{\mathbf{p}}{2}} \right] \\ \int \\ \text{for all possible } \mathbf{p} \end{split}$$



c.f. Kitaev Model: Mind the flux gap ! (J. Knolle, R. Moessner)

arXiv:1609.00103



Pure Kitaev model does not have this feature

"Star-shape" intensity at low energy

Field-induced Paramagnet: Spin Liquid?





many related works

A.Banerjee, S. Nagler, R.Coldea, Y.-J.Kim, ...

Y.-J.Kim arXiv:1703.08431

Field-induced Paramagnet: Spin Liquid ?



Y.-J.Kim arXiv:1703.08431

Dominant exchange interactions

$$H = \sum_{\langle \langle \langle ij \rangle \rangle \rangle} J_3^{ij} \vec{S}_i \cdot \vec{S}_j + \sum_{\langle ij \rangle, \gamma} K^{\gamma} S_i^{\gamma} S_j^{\gamma} + \sum_{\langle ij \rangle, \gamma} \sum_{\alpha, \beta \neq \gamma} \Gamma^{\gamma} [S_i^{\alpha} S_j^{\beta} + S_i^{\beta} S_j^{\alpha}]$$

TABLE IV. Complete magnetic interactions in meV for the C2/m structure of α -RuCl₃ from Ref. 14 obtained by exact diagonalization on six-site bridge and hexagon clusters employing $U = 3.0, J_{\rm H} = 0.6, \lambda = 0.15$ eV, and full crystal field terms Δ_n . The largest terms are bolded. Site labels for \mathbf{D}_{ij} refer to Fig. 1(a).

Bond	Jm	 	Ém	 Γ	Γ'.	(m
X_1, Y_1	-1.4	-7.5	+0.2	+5.9	-0.8	+0.2
Z_1	-2.2	-5.0	—	+8.0	-1.0	—
$egin{array}{c} { m X}_2, { m Y}_2 \ { m Z}_2 \end{array}$	-0.1 + 0.1	-0.6 -0.9	+0.1	+0.6 +0.6	+0.6 +0.3	+0.1
X ₃ ,Y ₃ Z ₃	$+3.0\\+2.4$	-0.1 + 0.3	0.0	-0.1 -0.1	-0.1 -0.1	-0.1
Bond	Sites (i, j)		\mathbf{D}_{ij}			
X_2	X_2 (1, 3), (4)			(-0.3, -0.5, -0.5)		
Y_2 (5, 1)		5, 1), ((2, 4)	(-0.5, -0.3, -0.5)		
Z_2 ((6, 2), (3, 5)		(-0.4, -0.4, -0.1)		

Nearest-Neighbour K < 0 Nearest-Neighbour Γ > 0 |K| ~ |Γ|

Third-Neighbour $J_3 > 0$

Winter, Li, Jeschke, Valenti (2016)

Minimal Model



$$H^{z} = \sum_{\langle ij \rangle \in z-bond} [K^{z} S_{i}^{z} S_{j}^{z} + \Gamma^{z} (S_{i}^{x} S_{j}^{y} + S_{i}^{y} S_{j}^{x})] \quad \text{etc.}$$

$$K^{z} = -(1+2a)\cos\phi$$

$$K^{x} = K^{y} = -(1-a)\cos\phi$$

$$\Gamma = \Gamma^{x} = \Gamma^{y} = \Gamma^{z} = \sin\phi$$

$$\label{eq:phi} \begin{split} \phi &= 0 \quad \overleftarrow{\mathsf{K} < \mathsf{O}} \quad \phi = \pi / 2 \quad \overleftarrow{\mathsf{K} > \mathsf{O}} \quad \phi = \pi \\ \mathsf{Ferro-Kitaev} \qquad \mathsf{pure-\Gamma} \qquad \mathsf{AF-Kitaev} \end{split}$$







Schematic Phase Diagram



A. Catuneanu, Y. Yamaji, G. Wachtel, H.-Y. Kee, YBK, (2017)

Dynamical Structure Factor (Yamaji, 24-site)

energy-integrated over two windows (just like the experiment)



Enhancement of the zig-zag order (M) upon addition of small J₃



Consistent with previous computations

Winter, Li, Jeschke, Valenti (2016)

Transfer Matrix Spectrum

V. Zauner, F.Verstraete et. al (2014)

Correspondence between the complex eigenvalues and the lower boundaries of multi-particle excitation spectrum of the ground state

 $E_{\text{lowest}} \sim \xi^{-1}$







Transfer Matrix Spectrum

V. Zauner, F.Verstraete et. al (2014)

Correspondence between the complex eigenvalues and the lower boundaries of multi-particle excitation spectrum of the ground state



Transfer Matrix Spectrum

need to be a bit more careful here ...



Anisotropy due to cylinder geometry

Locations of dispersion minima would move around (we take an advantage of this)

Evolution of Two-Particle Spectra

Coherent (gapped) 2D excitations !





Evolution of Two-Particle Spectra

Coherent (gapped) 2D excitations !













Coldea, Valenti (2015)

For the in-plane field, the transition occurs at about 1/10 of the exchange energy scale

Conclusion

K-F model gives quantum spin liquid phases in an extended region of phase diagram when K < O

There are coherent 2D excitations while there is no magnetic order for K < 0, Γ > 0

Transfer matrix spectra can be interpreted as lower boundary of two-spinon excitations

The "phase transition" is a result of the change in anisotropy of the bond energy: Meta-nematic transition ? Survives in 2D limit or not ?

Other perturbations such as J_3 give the Zig-Zag order

Large Intrinsic Spin Hall Effect in Iridate Semimetal SrIrO₃

A. S. Patri, K. Hwang, H.-W. Lee, YBK, arXiv:1711.00861

Orthorhombic Perovskite SrIrO₃



Non-symmorphic Space Group

Pbnm

Symmetry	\mathbf{R}'			
n -glide (G_n)	$a + \frac{1}{2}, -b + \frac{1}{2}, c + \frac{1}{2}$			
b -glide (G_b)	$-a + \frac{1}{2}, \ b + \frac{1}{2}, \ c$			
Mirror (m)	$a, b, -c + \frac{1}{2}$			
Inversion (\bar{I})	$-a, -b, -\bar{c}$			
a-screw (S_a)	$a + \frac{1}{2}, -b + \frac{1}{2}, -c$			
b -screw (S_b)	$-a + \frac{1}{2}, b + \frac{1}{2}, -c + \frac{1}{2}$			
c -screw (S_c)	$-a, -b, c+\frac{1}{2}$			

 $\mathbf{R} = a\hat{a} + b\hat{b} + c\hat{c} \rightarrow \mathbf{R}' = a'\hat{a} + b'\hat{b} + c'\hat{c}$

U=(0, π,π) X=(0, $\pi,0$) R=(π,π,π) Y=($\pi,0,0$) Z=($0,0,\pi$)

$$(k_a, k_b, k_c)$$

= $(\mathbf{k} \cdot \mathbf{a}, \mathbf{k} \cdot \mathbf{b}, \mathbf{k} \cdot \mathbf{c})$
{ $\mathbf{a}, \mathbf{b}, \mathbf{c}$ }
orthorhombic lattice vectors

Orthorhombic Perovskite SrIrO₃



 $U=(0, \pi, \pi) \quad X=(0, \pi, 0) \\ R=(\pi, \pi, \pi) \quad Y=(\pi, 0, 0) \\ Z=(0, 0, \pi)$

 (k_a, k_b, k_c) = $(\mathbf{k} \cdot \mathbf{a}, \mathbf{k} \cdot \mathbf{b}, \mathbf{k} \cdot \mathbf{c})$ { $\mathbf{a}, \mathbf{b}, \mathbf{c}$ } orthorhombic lattice vectors




Intrinsic Spin Hall Conductivity

$$\sigma^{\rho}_{\mu\nu} = \frac{2e\hbar}{V} \sum_{\mathbf{k}} \sum_{\epsilon_{n\mathbf{k}} < \epsilon_{F} < \epsilon_{m\mathbf{k}}} \operatorname{Im} \left[\frac{\langle m\mathbf{k} | \mathcal{J}^{\rho}_{\mu} | n\mathbf{k} \rangle \langle n\mathbf{k} | J_{\nu} | m\mathbf{k} \rangle}{(\epsilon_{m\mathbf{k}} - \epsilon_{n\mathbf{k}})^{2}} \right]$$

$$\sigma^{\rho}_{\mu\nu} = \sum_{n,\mathbf{k}} [\Omega^{\rho}_{\mu\nu}]_{n\mathbf{k}} f_{n\mathbf{k}}$$

$$\langle \mathcal{J}^{\rho}_{\mu} \rangle \, = \, \sigma^{\rho}_{\mu\nu} E^{\nu}$$

$$\mathcal{J}^{\rho}_{\mu} = \frac{1}{4} \{ \sigma^{\rho}, J_{\mu} \}$$
spin current

$$J_{\nu} = \sum_{\mathbf{k}} \psi_{\mathbf{k}}^{\dagger} \frac{\partial H_{\mathbf{k}}}{\partial k_{\nu}} \psi_{\mathbf{k}}$$

charge current

Intrinsic Spin Hall Conductivity



 $\Omega_{zx}^{y}(\mathbf{k})$ as a function of the Fermi level ϵ_{F}











20

10

0

-10

-20

Direction Dependence

Here, the field direction (ν) is changed within the xy plane by the angle θ from the x axis with keeping the three directions $\{\rho, \mu, \nu\}$ orthogonal.



Robust to perturbations (bulk)

Spin Hall conductivity remains very large even when the non-symmorphic symmetry is broken such that the nodal line is gently gapped out.

Nodal line itself does not contribute much to the spin Hall conductivity. However, the nearly degenerate energy level structures are robust and provide large contributions

Intrinsic Spin Hall Conductivity in Films





Non-symmorphic symmetry is generally broken



Intrinsic Spin Hall Conductivity in Films









 $\mathcal{W}_{P} = \prod_{\text{loop}} u_{ij}^{\alpha} \text{ commute with the Hamiltonian} \Longrightarrow \mathcal{W}_{P} = \pm 1$ $W_{p} = 2^{6} S_{1}^{x} S_{2}^{y} S_{3}^{z} S_{4}^{x} S_{5}^{y} S_{6}^{z}$

Ground state is in the zero-flux sector $u_{ij}^{\alpha} = +1 (\forall \langle ij \rangle)$

Kitaev model at finite temperature



J. Nasu, M. Udagawa, Y. Motome (2015)







Majorana fermions in the background of thermally excited fluxes

a = 0.1

$$K^{z} = -(1+2a)\cos\phi$$
$$K^{x} = K^{y} = -(1-a)\cos\phi$$
$$\Gamma = \sin\phi$$

 $C = \begin{pmatrix} 10 & -0.0 \\ Kitaev limit \\ 0 & \phi/\pi \\ 0.1 & 1 & 10 \end{pmatrix}$

$$K^{z} = -(1+2a)\cos\phi$$
$$K^{x} = K^{y} = -(1-a)\cos\phi$$
$$\Gamma = \sin\phi$$

two-peak structure survives !

a = 0.1



$$K^{z} = -(1 + 2a) \cos \phi$$

$$K^{x} = K^{y} = -(1 - a) \cos \phi$$

$$\Gamma = \sin \phi$$



$$K^{z} = -(1+2a)\cos\phi$$
$$K^{x} = K^{y} = -(1-a)\cos\phi$$
$$\Gamma = \sin\phi$$

two-peak structure survives!

a = 0.1









ED 24-site cluster a = 0.1

Enhanced spin correlation at M point as F increases when K is negative (Ferro-like)