

# Gapless Spin-Liquid Ground State in the Kagome Antiferromagnets

**Tao Xiang**

**Institute of Physics**

**Chinese Academy of Sciences**

**txiang@iphy.ac.cn**

# Outline

---

- I. Brief introduction to the tensor-network states and their renormalization
- II. Tensor-network renormalization group study of the Kagome Heisenberg model

$$H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j, \quad J > 0$$

# Road Map of Renormalization Group

## Computational RG



Kadanoff



Wilson  
1982



White  
Density-matrix renormalization

Tensor-network  
renormalization

## Phase transition and Critical phenomena

## Quantum field theory



Stueckelberg



Gell-Mann Low



QED 1965



EW 1999



QCD 2004

1950

1970

1990

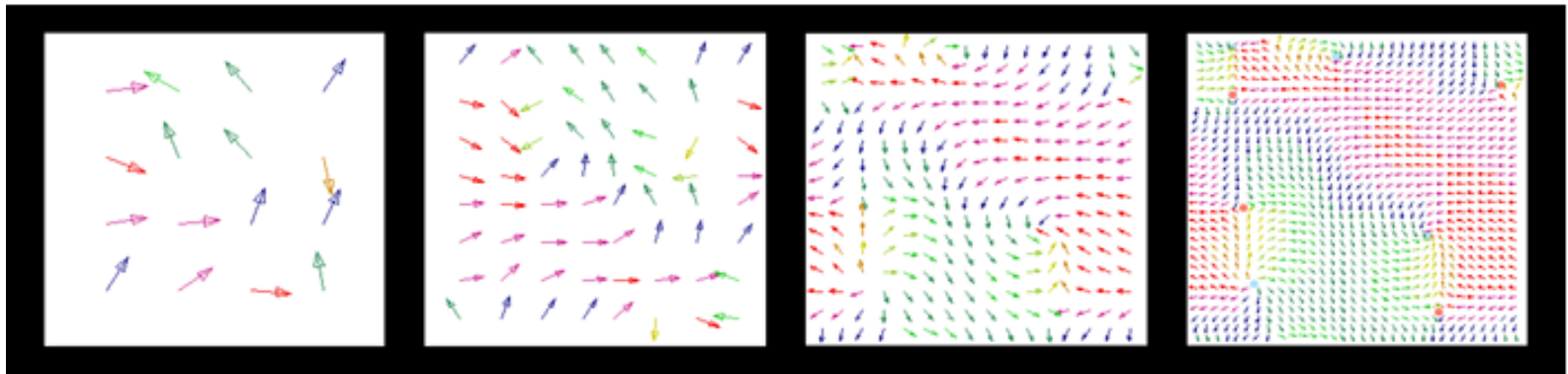
2010

year

# I. Basic Idea of Renormalization Group

$$|\psi\rangle = \sum_{k=1} a_k |k\rangle \approx \sum_{k=1}^{N \ll N_{total}} a_k |k\rangle$$

To find a small but optimized set of basis states  $\{|k\rangle\}$  to represent accurately a wave function



**Scale transformation: refine the wavefunction by local RG transformations**

# Optimization of Basis States

$$|\psi\rangle = \sum_{k=1} a_k |k\rangle \approx \sum_{k=1}^{N \ll N_{total}} a_k |k\rangle$$

To find a small but optimized set of basis states  $\{|k\rangle\}$   
to represent accurately a wave function

Physics :            compression of basis space (phase space)  
                         i.e. compression of information

Mathematics:    low rank approximation of matrix or tensor

# RG **versus** Tensor-Network RG

---

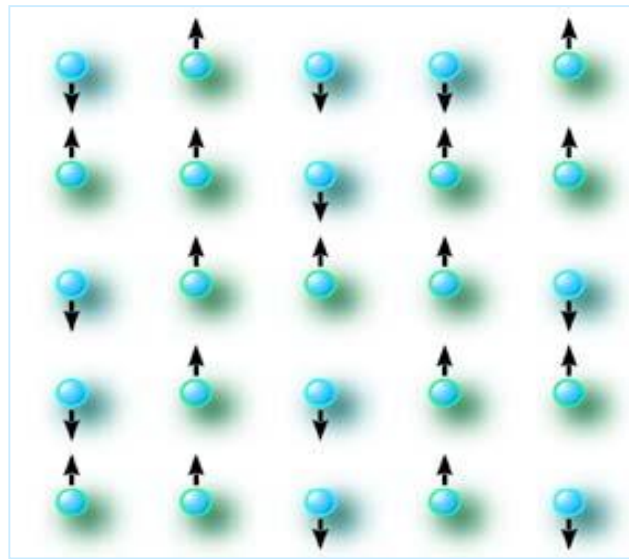
## Renormalization Group (analytical)

RG equation for charge, critical exponents and other coupling constants at critical regime

## Tensor-Network Renormalization Group

Direct evaluation of quantum wave function or partition function at or away from critical points

# Is Quantum Wave Function Compressible?

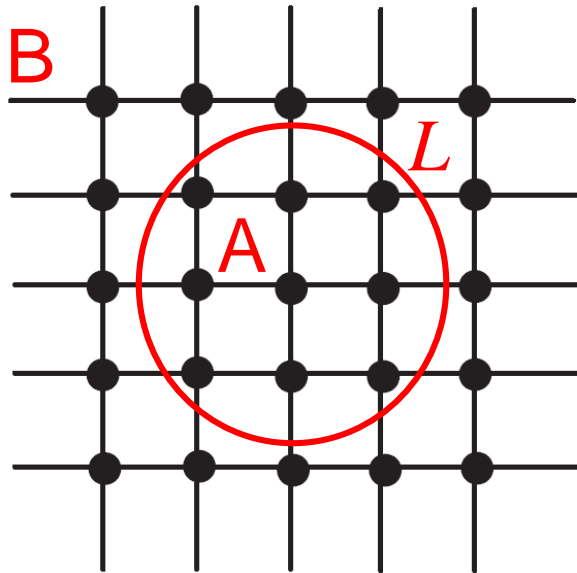


$$L \quad N_{\text{total}} = 2^{L^2}$$

$$|\psi\rangle = \sum_{k=1}^{N_{\text{total}}} a_k |k\rangle$$

↑  
basis states

# Yes: Entanglement Entropy Area Law



$$S \propto L \propto \log N$$

$$N \sim 2^L \ll 2^{L^2} = N_{\text{total}}$$



Minimum number of basis states needed  
for accurately representing ground states

$$|\psi\rangle \approx \sum_{k=1}^{N \ll N_{\text{total}}} a_k |k\rangle$$

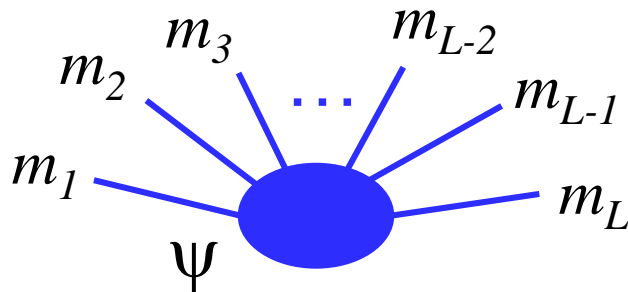
↑  
basis states



# What Kind of Wavefunction Satisfies the Area Law?

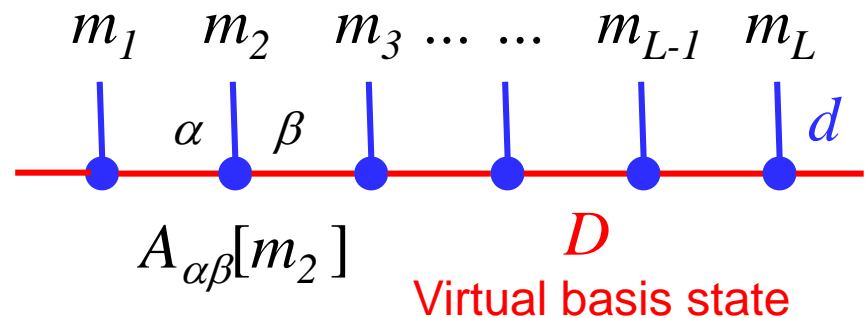
## The Answer: Tensor Network States

Example: Matrix Product States (MPS) in 1D



$$\psi(m_1, \dots, m_L)$$

$d^L$  parameters



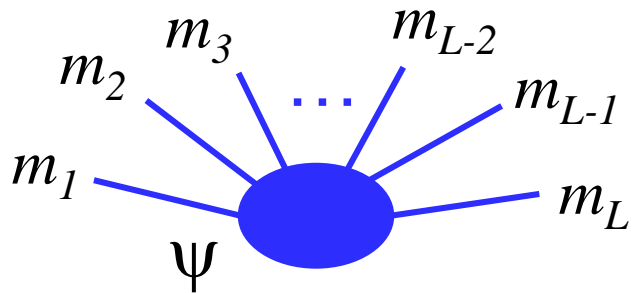
$$\psi(m_1, \dots, m_L) = \text{Tr} A[m_1] \cdots A[m_L]$$

$dD^2L$  parameters

# Entanglement Entropy of MPS

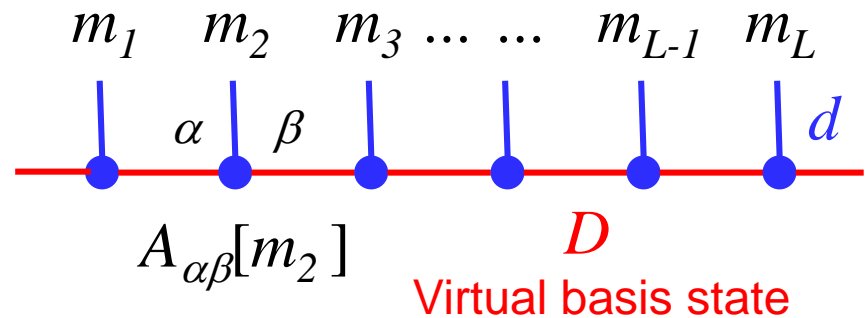
$$S \sim \log D$$

Example: Matrix Product States (MPS)



$$\psi(m_1, \dots, m_L)$$

$d^L$  parameters

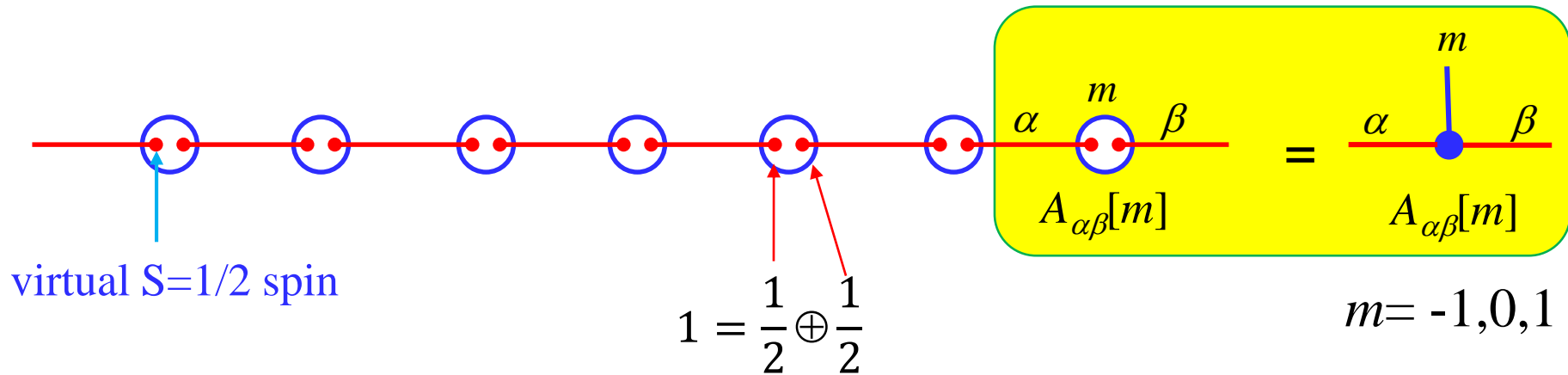


$$\psi(m_1, \dots, m_L) = \text{Tr} A[m_1] \cdots A[m_L]$$

$dD^2L$  parameters

# Example: S=1 AKLT valence bond solid state

$$H = \sum_i \frac{1}{2} \left[ S_i \cdot S_{i+1} + \frac{1}{3} (S_i \cdot S_{i+1})^2 + \frac{2}{3} \right]$$



$$|\Psi\rangle = \sum_{m_1 \dots m_L} \text{Tr}(A[m_1] \dots A[m_L]) |m_1 \dots m_L\rangle$$

$$A[-1] = \begin{pmatrix} 0 & 0 \\ \sqrt{2} & 0 \end{pmatrix} \quad A[0] = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \quad A[1] = \begin{pmatrix} 0 & \sqrt{2} \\ 0 & 0 \end{pmatrix}$$

$A_{\alpha\beta}[m]$  :

To project two virtual S=1/2 states,  $\alpha$  and  $\beta$ , onto a S=1 state  $m$

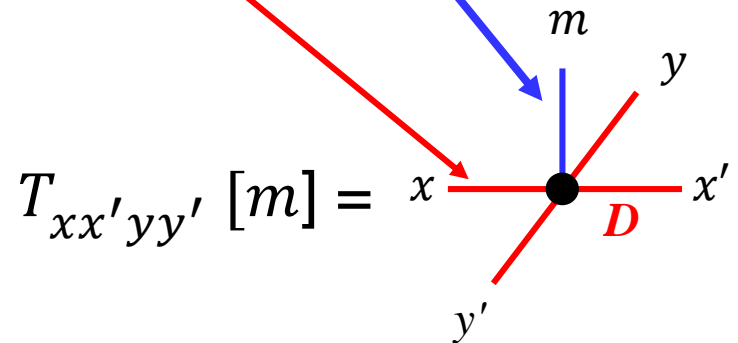
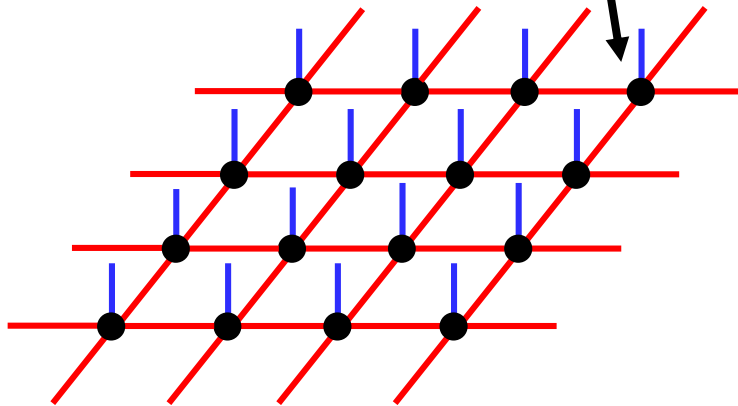
# 2D: Projected Entangled Pair State

$$|\Psi\rangle = \text{Tr} \prod T_{x_i x'_i y_i y'_i} [m_i] |m_i\rangle$$

Local  
tensor

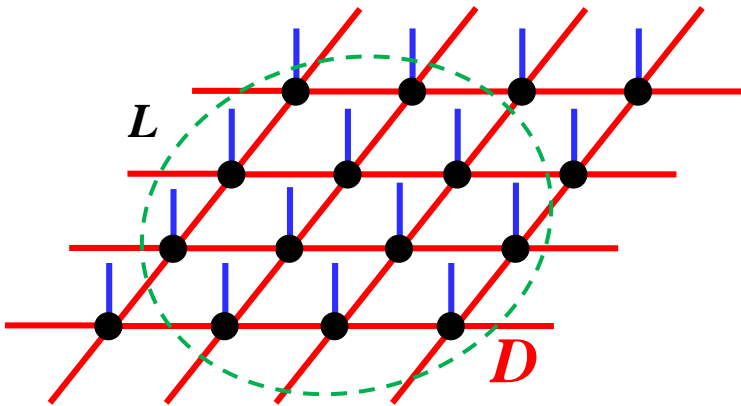
Virtual  
basis

Physical  
basis



# Entanglement Entropy of PEPS

$$S = \alpha L \sim L \log D$$



$$|\Psi\rangle = \text{Tr} \prod T_{x_i x'_i y_i y'_i} [m_i] |m_i\rangle$$

Local  
tensor

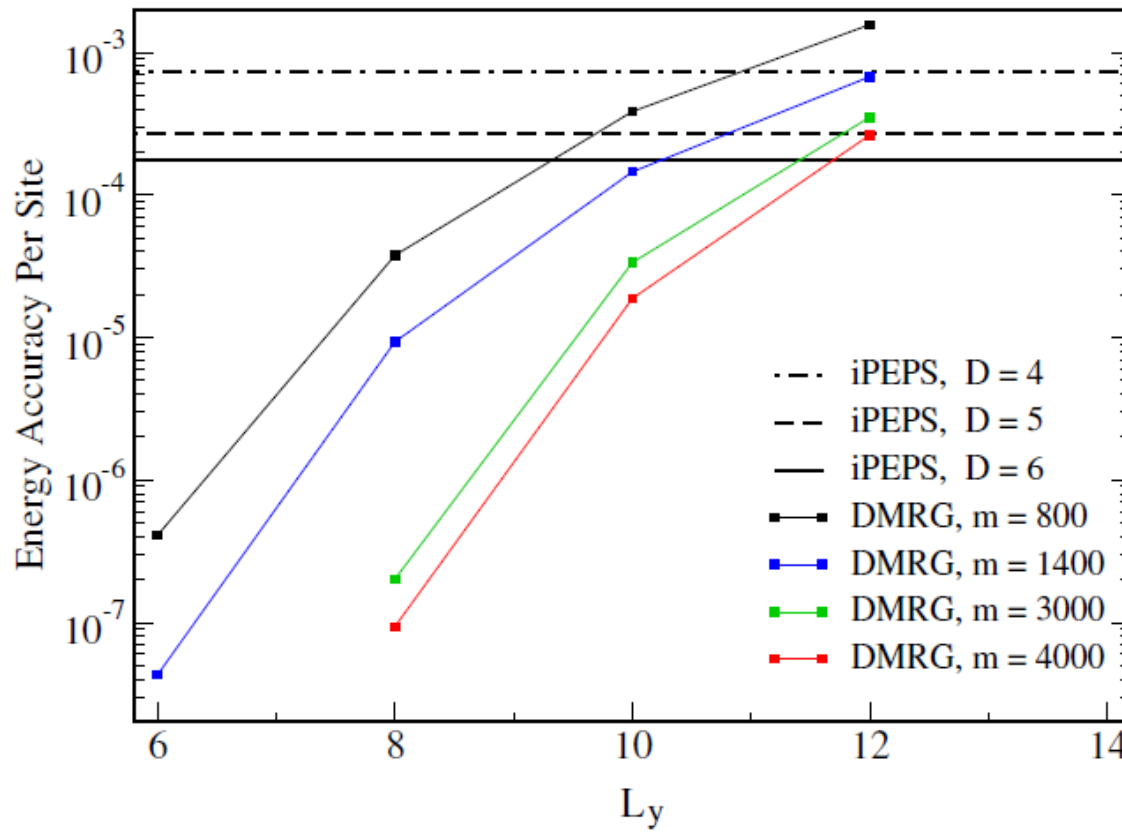
Virtual  
basis

Physical  
basis

PEPS becomes exact in the limit  $D \rightarrow \infty$

# PEPS versus MPS (DMRG)

PEPS is more suitable for studying large 2D lattice systems



$S=1/2$

Heisenberg model on

$L_x \times L_y$  square lattice

Reference energy: VMC

Sandvik PRB **56**, 11678 (1997)

# Tensor Network States

- **Partition functions of all classical and quantum lattice models can be represented as tensor network models**

$$Z = \text{Tr} \prod_i T_{x_i x'_i y_i y'_i}$$

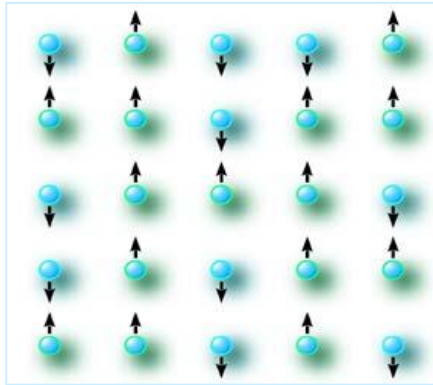
- **Ground state wave function can be represented as tensor-network state**

$$|\Psi\rangle = \text{Tr} \prod T_{x_i x'_i y_i y'_i} [m_i] |m_i\rangle$$

**$d$ -dimensional quantum system =  $(d+1)$ -dimensional classical model**

# Partition Function: Tensor Representation of Ising model

$$H = -J \sum_{\langle ij \rangle} \sigma_i^z \sigma_j^z$$

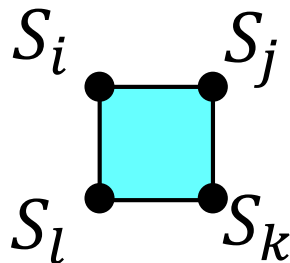
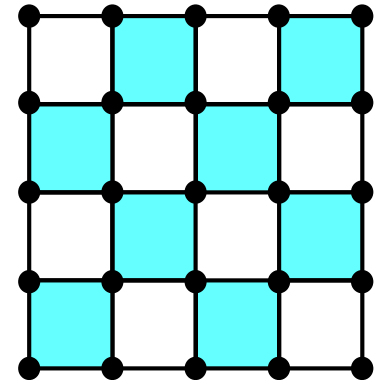


$$\sigma_i^z = -1, 1$$

$$Z = \text{Tr} \exp(-\beta H)$$

$$= \text{Tr} \prod_{\blacksquare} \exp(-\beta H_{\blacksquare})$$

$$= \text{Tr} \prod_{\{S\}} T_{S_i S_j S_k S_l}$$

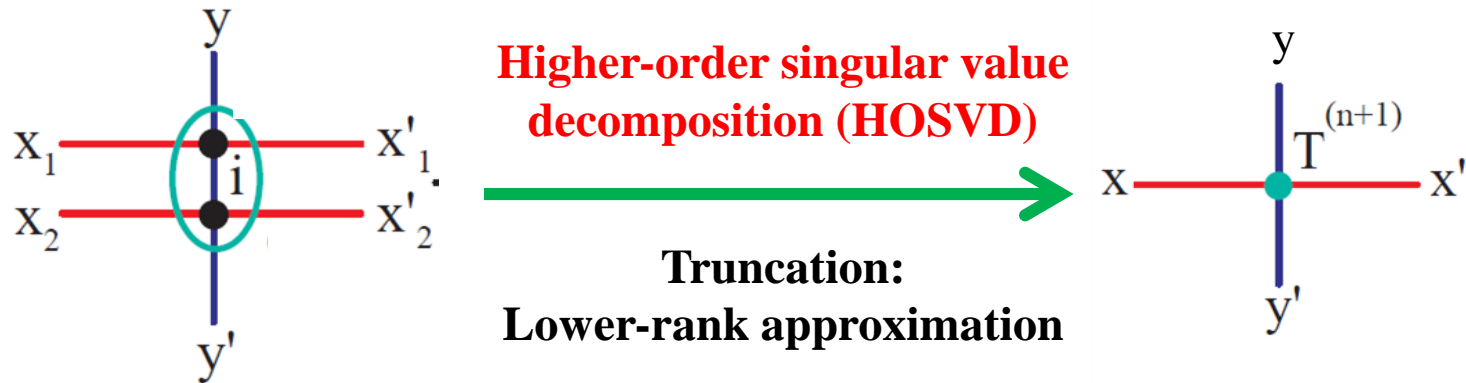


$$= T_{S_i S_j S_k S_l} = \exp(-\beta H_{\blacksquare})$$



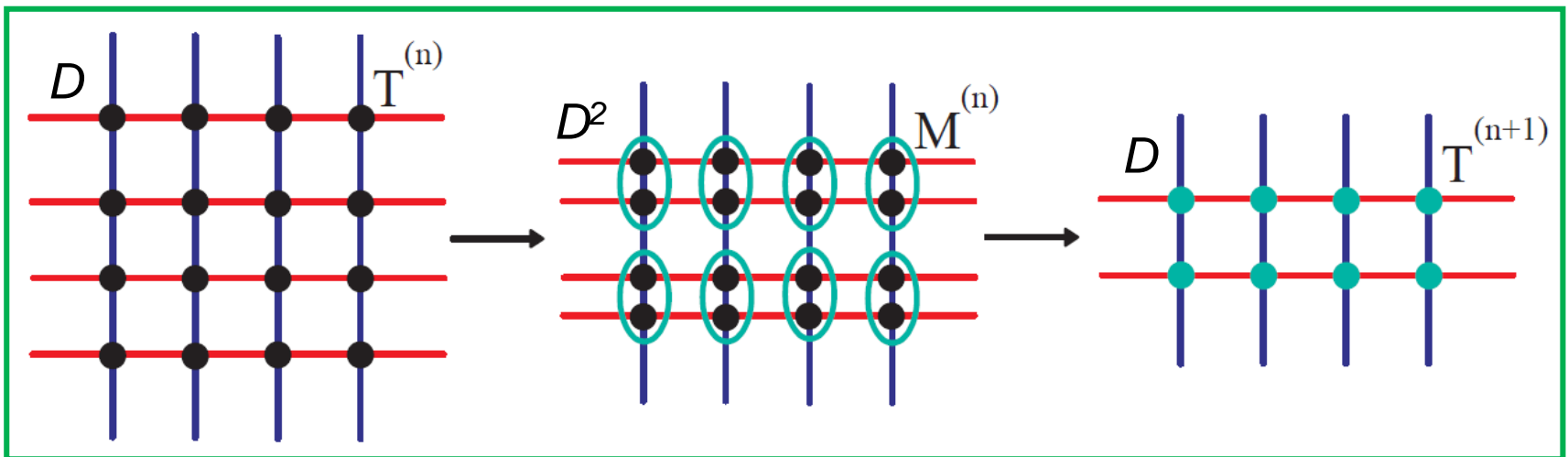
# How to renormalize a tensor-network model

Z. Y. Xie et al, PRB **86**, 045139 (2012)



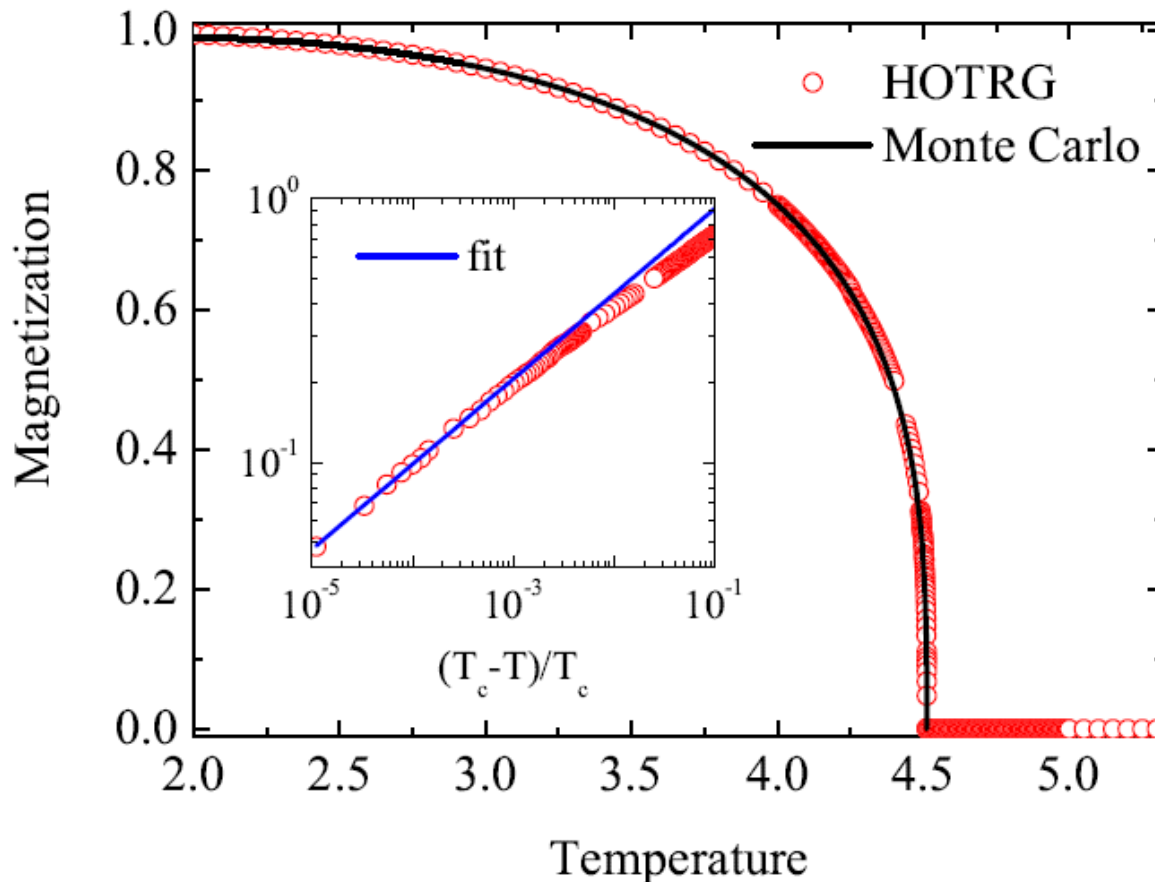
$$M^{(n)}_{(x_1 x_2), (x'_1 x'_2), y, y'}$$

$$T^{(n+1)}_{x, x', y, y'}$$



# Magnetization of 3D Ising model

Xie et al, PRB 86,045139 (2012)



$$M \sim t^\beta$$

**HOTRG (D=14): 0.3295**

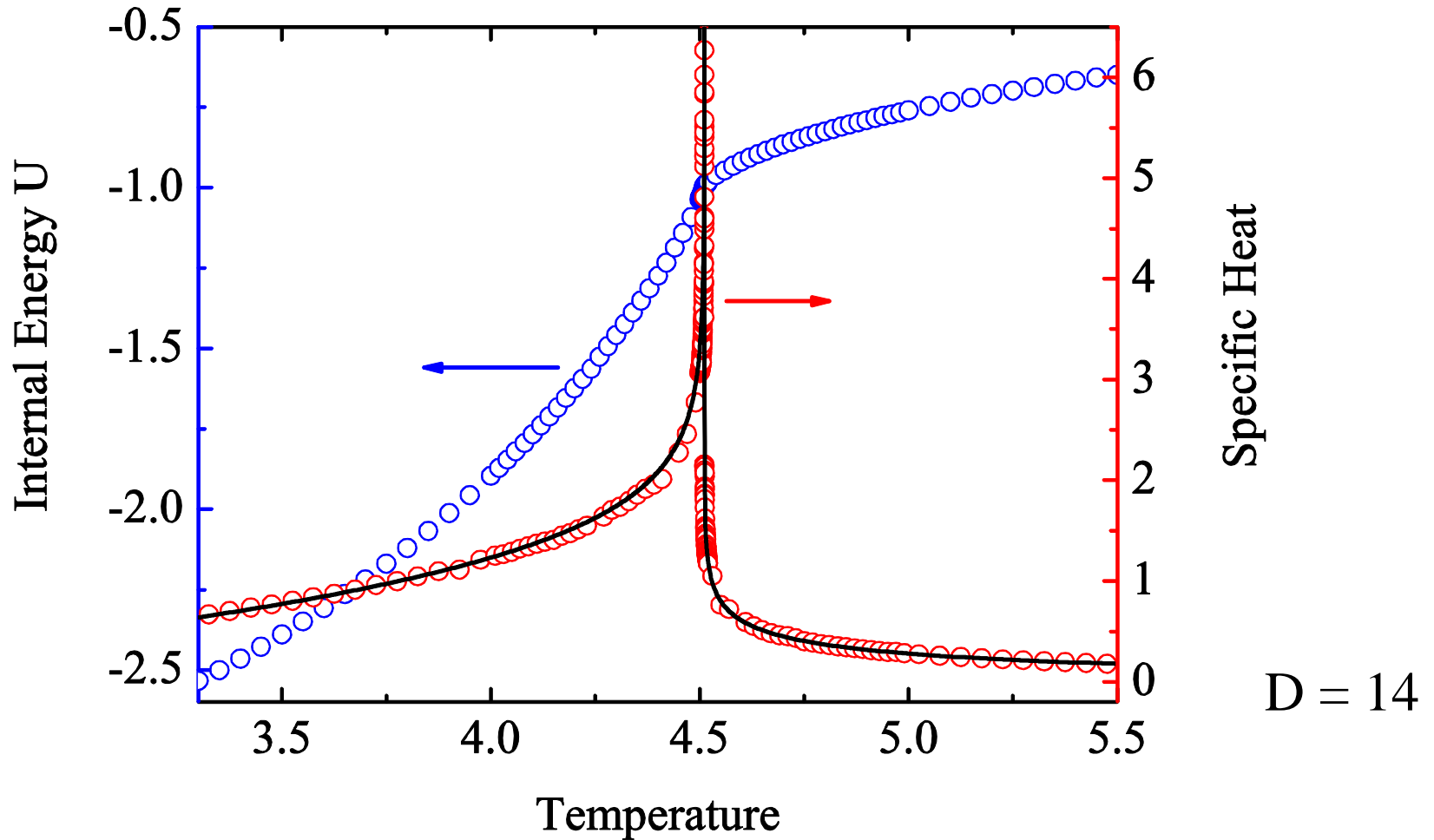
**Monte Carlo: 0.3262**

**Series Expansion: 0.3265**

**Relative difference is less than  $10^{-5}$**

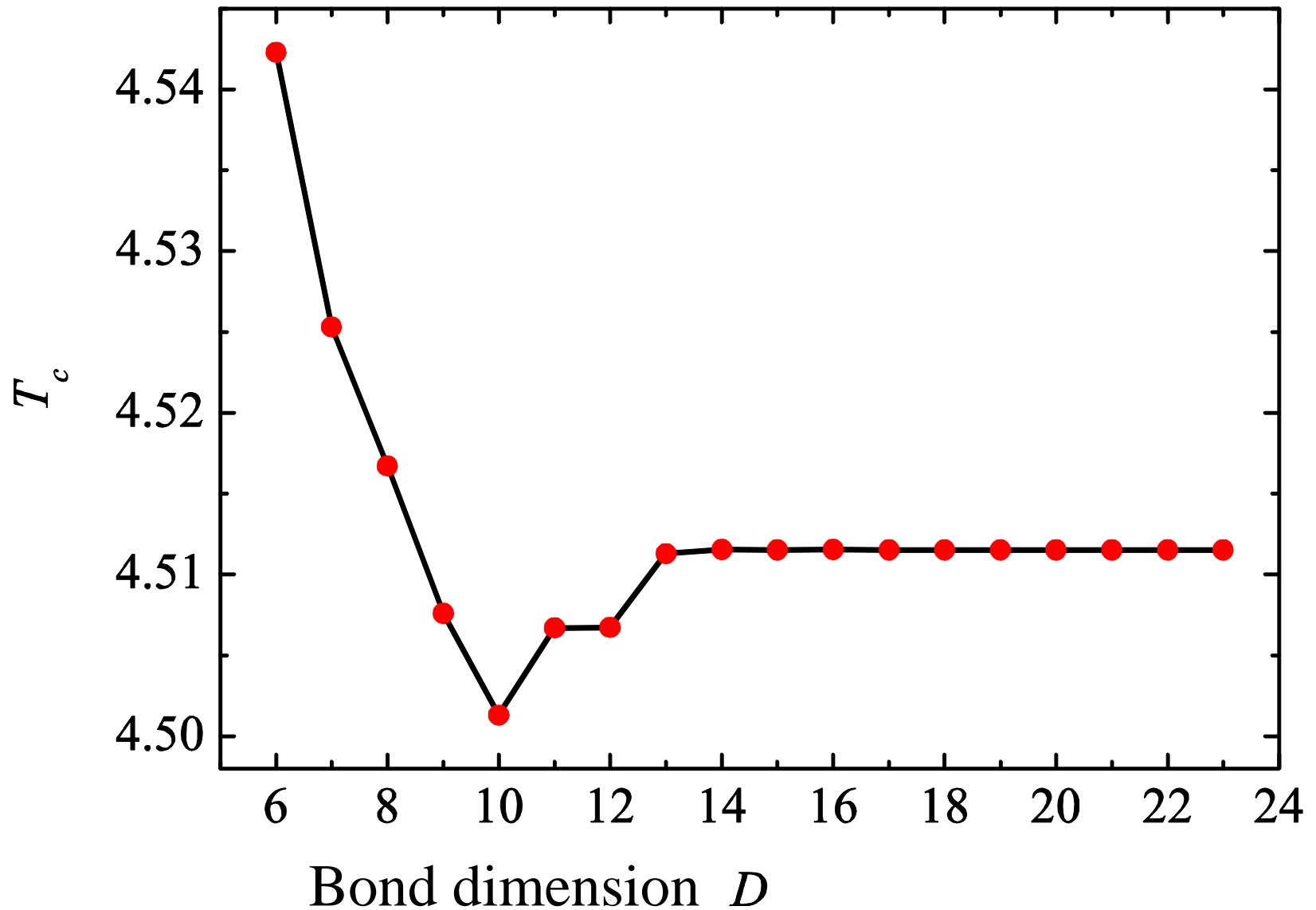
MC data: A. L. Talapov, H. W. J. Blöte, J. Phys. A: Math. Gen. 29, 5727 (1996).

# Specific Heat of 3D Ising model



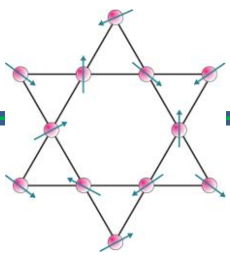
Solid line: Monte Carlo data from X. M. Feng, and H. W. J. Blote, Phys. Rev. E 81, 031103 (2010)

# Critical Temperature of 3D Ising model



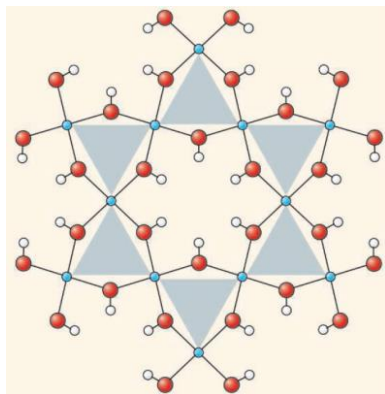
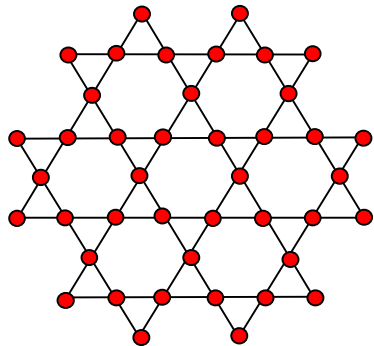
# Critical Temperature of 3D Ising model

method	year	$T_c$
<b>HOTRG <math>D = 16</math></b>	<b>2012</b>	<b>4.511544</b>
<b><math>D = 23</math></b>	<b>2014</b>	<b>4.51152469(1)</b>
<b>NRG of Nishino et al</b>	<b>2005</b>	<b>4.55(4)</b>
<b>Monte Carlo Simulation</b>	<b>2010</b>	<b>4.5115232(17)</b>
	<b>2003</b>	<b>4.5115248(6)</b>
	<b>1996</b>	<b>4.511516</b>
<b>High-temperature expansion</b>	<b>2000</b>	<b>4.511536</b>



## II. Ground State of Kagome Antiferromagnets

Liao et al, PRL **118**, 137202 (2017)



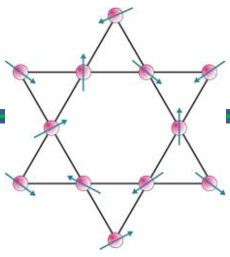
### **S=1/2 Kagome Heisenberg**

$$H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j, \quad J > 0$$

**Is the ground state**

- 1. gapped or gapless?**
- 2. quantum spin liquid?**

Herbertsmithite:  $\text{ZnCu}_3(\text{OH})_6\text{Cl}_2$

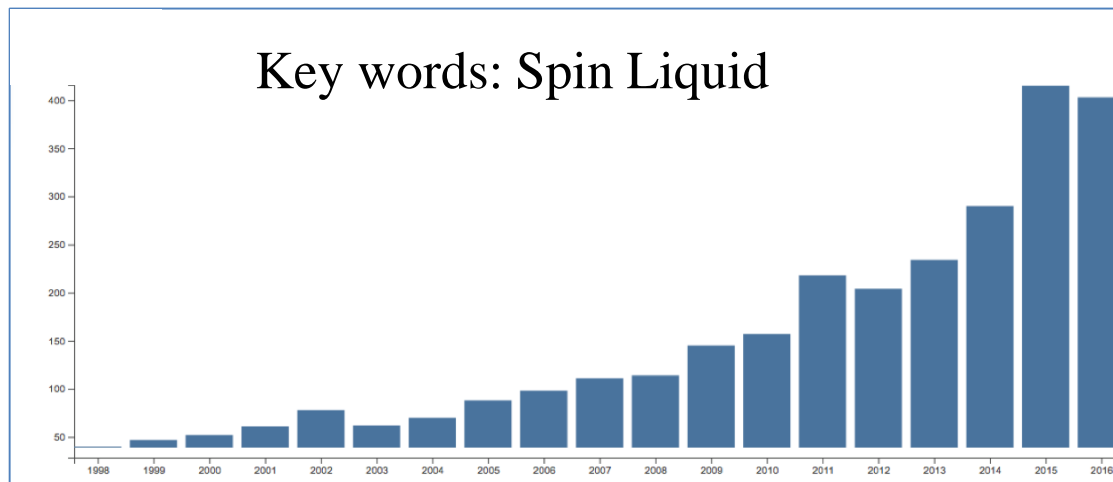


# Quantum Spin Liquid

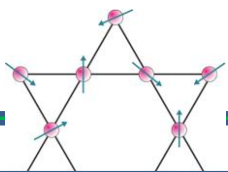
- ✓ Novel quantum state possibly with topological order
- ✓ Mott insulator without antiferromagnetic order
- ✓ Geometric or quantum frustrations are important

Quantum spin liquid has attracted great interests in recent years

Publication Number



Web of  
Science



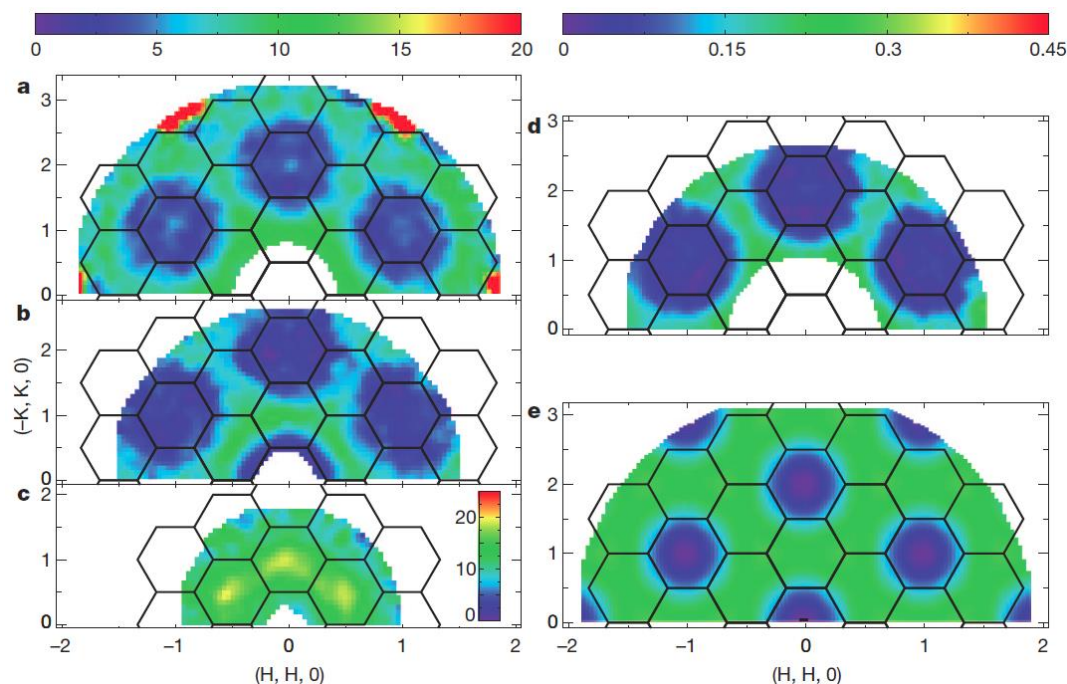
# Hints from Experiments

## Fractionalized excitations in the spin-liquid state of a kagome-lattice antiferromagnet

Nature 492 (2012) 406

Tian-Heng Han<sup>1</sup>, Joel S. Helton<sup>2</sup>, Shaoyan Chu<sup>3</sup>, Daniel G. Nocera<sup>4</sup>, Jose A. Rodriguez-Rivera<sup>2,5</sup>, Collin Broholm<sup>2,6</sup> & Young S. Lee<sup>1</sup>

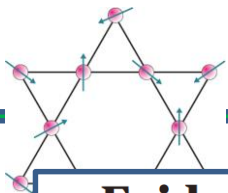
## Gapless spin liquid



Along the  $(H, H, 0)$  direction, a broad excitation continuum is observed over the entire range measured

Herbertsmithite  $\text{ZnCu}_3(\text{OH})_6\text{Cl}_2$  : Neutron scattering





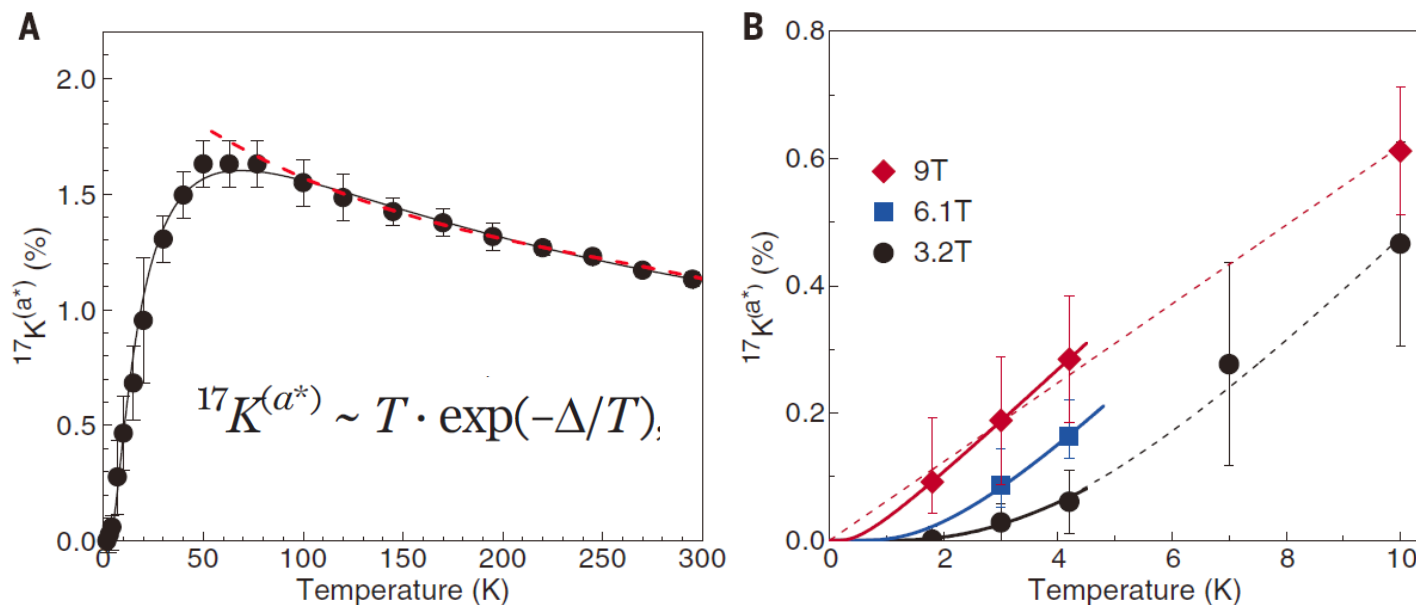
# Hints from Experiments

## Evidence for a gapped spin-liquid ground state in a kagome Heisenberg antiferromagnet

Science **360** (2016) 655

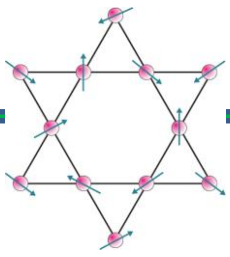
Mingxuan Fu,<sup>1</sup> Takashi Imai,<sup>1,2\*</sup> Tian-Heng Han,<sup>3,4</sup> Young S. Lee<sup>5,6</sup>

## Gapped spin liquid



NMR Knight shift

$$\Delta(0)/J = 0.03 \text{ to } 0.07$$



# Kagome AFM: Theoretical Study

A question under debate for many years

## Not Spin Liquid

### Valence-bond Crystal

Marston *et al.*, J. Appl. Phys. 1991

Zeng *et al.*, PRB 1995

Nikolic *et al.*, PRB 2003

Singh *et al.*, PRB 2008

Poiblanc *et al.*, PRB 2010

Evenbly *et al.*, PRL 2010

Schwandt *et al.*, PRB 2011

Iqbal *et al.*, PRB 2011

Poiblanc *et al.*, PRB 2011

Iqbal *et al.*, New J. Phys. 2012

.....

## Spin Liquid

### Gapped

Jiang, *et al.*, PRL 2008

Yan, *et al.*, Science 2011

Depenbrock, *et al.*, PRL 2012

Jiang, *et al.*, Nature Phys. 2012

Nishimoto, Nat. Commu. (2013)

Gong, *et al.*, Sci. Rep. 2014

Li, arXiv 2016

Mei, *et al.*, PRB 2017

.....

### Gapless

Hastings, PRB 2000

Hermele, *et al.*, PRB 2005

Ran, *et al.*, PRL 2007

Hermele, *et al.*, PRB 2008

Tay, *et al.*, PRB 2011

Iqbal, *et al.*, PRB 2013

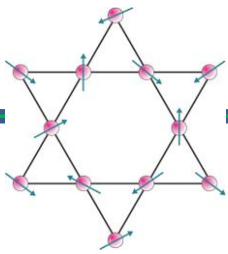
Hu, *et al.*, PRB 2015

Jiang, *et al.*, arXiv 2016

**Liao, *et al.*, PRL 2017**

He, *et al.*, PRX 2017

.....

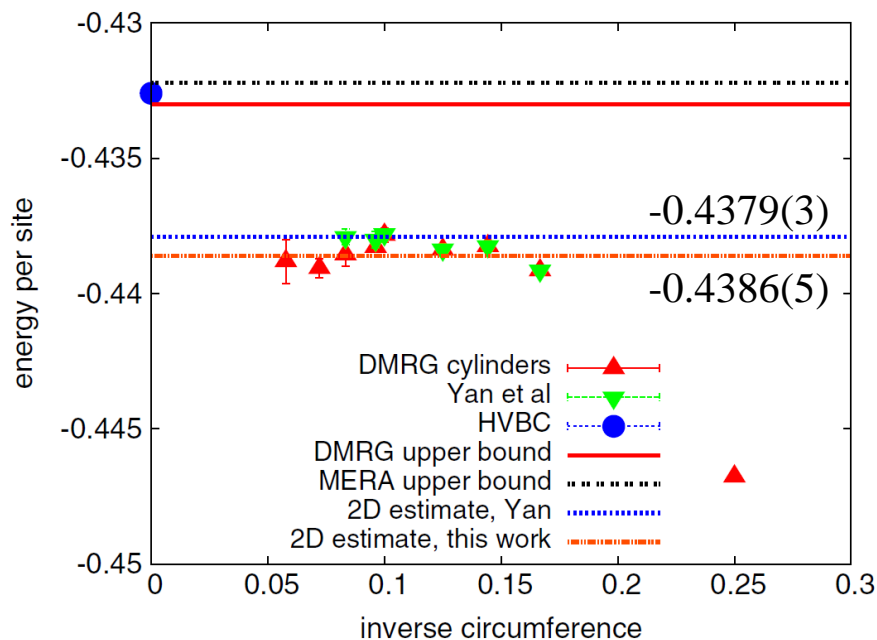


# Problems in the theoretical studies

✓ Density Matrix Renormalization Group (DMRG):

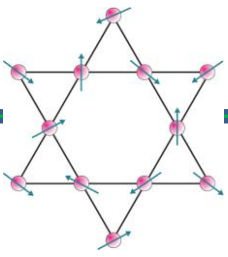
**strong finite size effect**

**error grows exponentially with the system size**



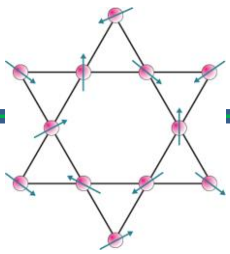
Depenbrock et al, PRL

**109, 067201 (2012)**



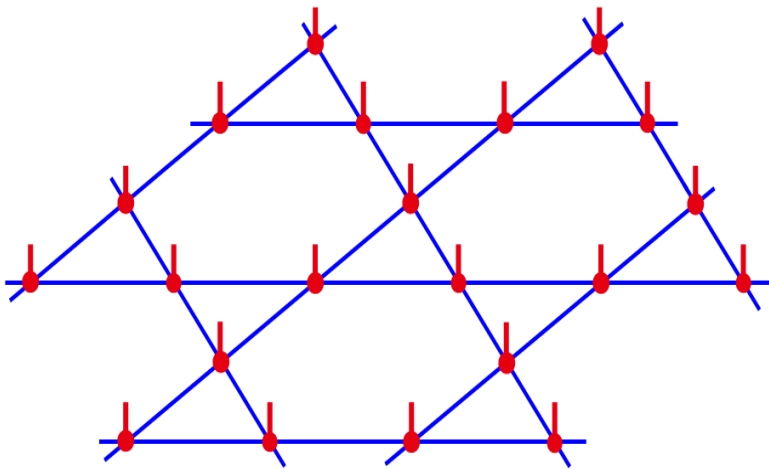
# Problems in the theoretical studies

- ✓ Density Matrix Renormalization Group (DMRG):
  - strong finite size effect**
  - error grows exponentially with the system size**
- ✓ Variational Monte Carlo (VMC)
  - need accurate guess of the wave function**
- ✓ Quantum Monte Carlo
  - Minus sign problem**



# Can we solve this problem using PEPS?

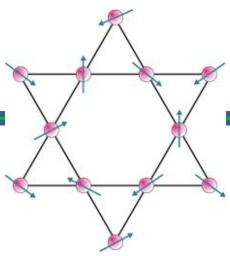
$$|\Psi\rangle = \text{Tr} \prod T_{x_i x'_i y_i y'_i} [m_i] |m_i\rangle$$



Local tensors  
Rank-5 tensors

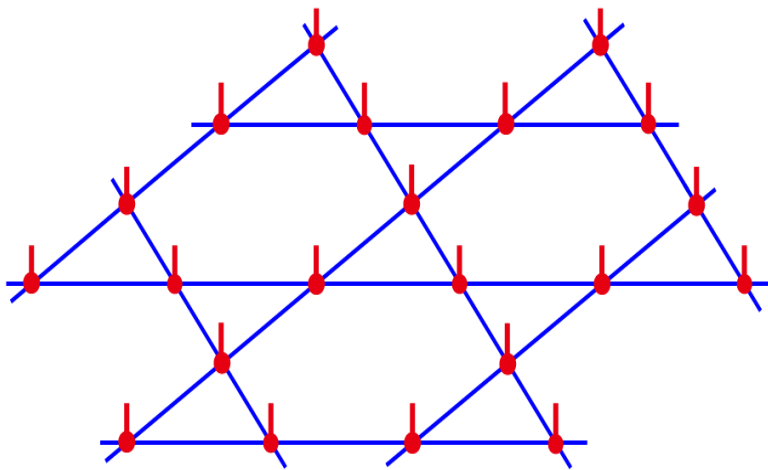
Projected Entangled Pair State (PEPS):

Virtual spins at two neighboring sites form a **maximally entangled** state



# Can we solve this problem using PEPS?

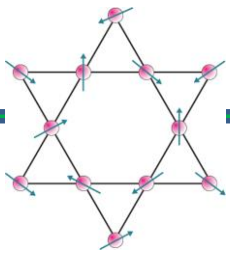
$$|\Psi\rangle = \text{Tr} \prod T_{x_i x'_i y_i y'_i} [m_i] |m_i\rangle$$



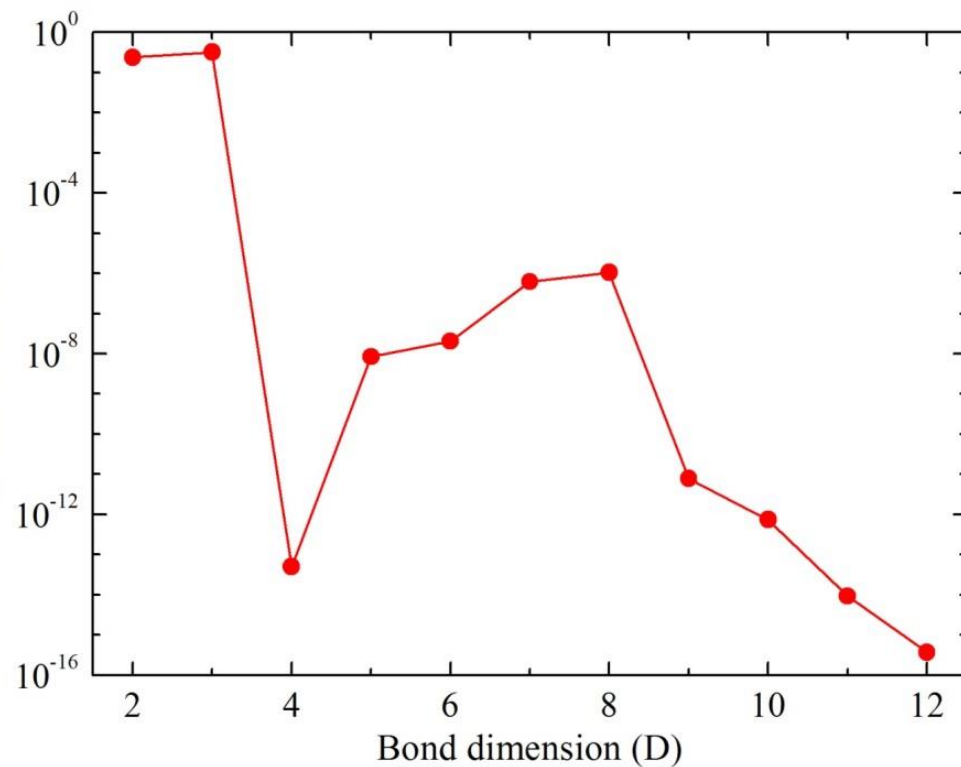
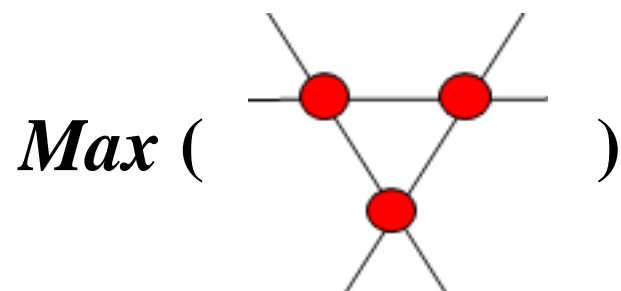
$$\text{Max} ( \text{---} \bullet \text{---} ) \sim 1$$

$$\text{Max} ( \begin{array}{c} \text{---} \bullet \text{---} \\ \text{---} \bullet \text{---} \end{array} ) < 10^{-6}$$

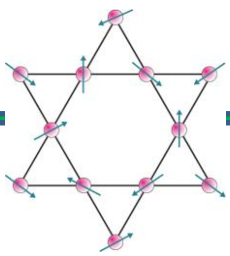
- ✓ There is a serious cancellation in the tensor elements if three tensors on a simplex (triangle here) are contracted
- ✓ 3-body (or more-body) entanglement is important



# Cancellation in the PEPS

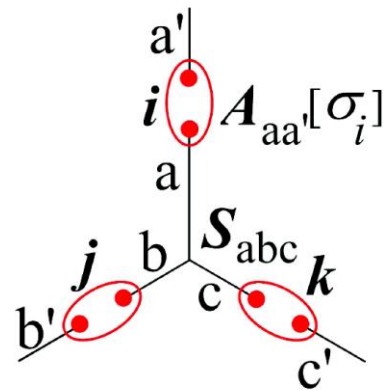
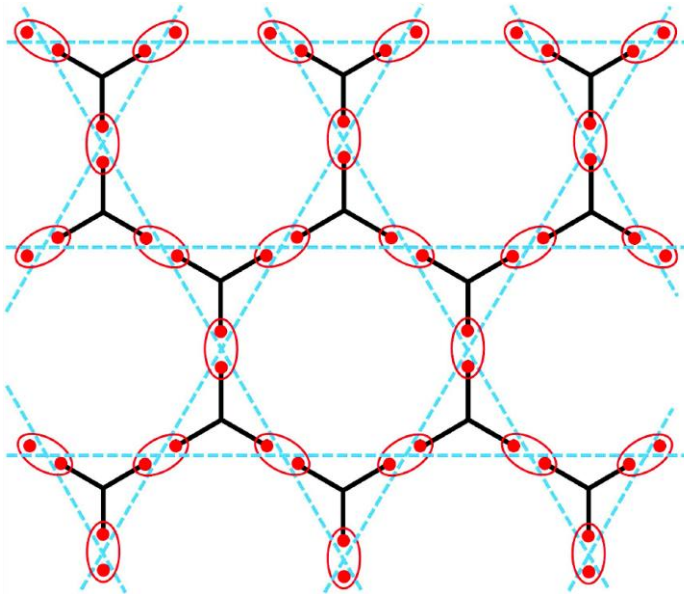


$$H = J \sum_{\langle ij \rangle} \vec{S}_i \cdot \vec{S}_j, \quad J > 0$$



# Solution: Projected Entangled Simplex States (PESS)

Z. Y. Xie et al, PRX 4, 011025 (2014)

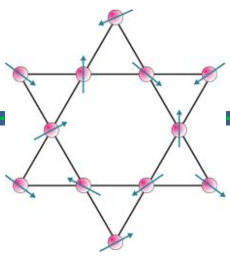


Projection tensor

Simplex tensor

- ✓ Virtual spins at **each simplex** form a **maximally entangled** state
- ✓ Remove the geometry frustration: The PESS is defined on the decorated honeycomb lattice
- ✓ Only 3 virtual bonds, low cost





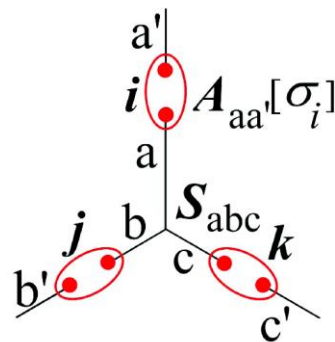
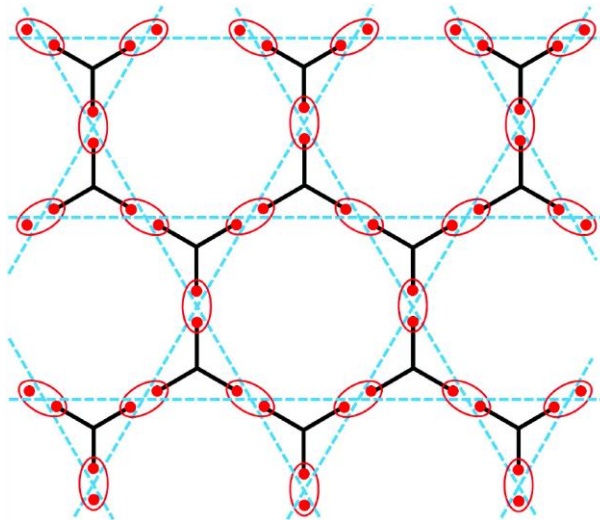
# PESS: exact wave function of Simplex Solid States

D. P. Arovas, Phys. Rev. B **77**, 104404 (2008)

Example:  $\mathbf{S} = 2$  spin model on the Kagome lattice

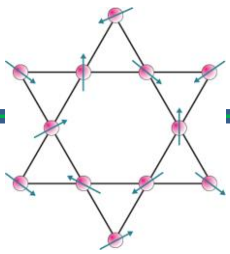
A  $\mathbf{S} = 2$  spin is a symmetric superposition of two virtual  $\mathbf{S} = 1$  spins

Three virtual spins at each triangle form a spin singlet



Projection tensor

Simplex tensor



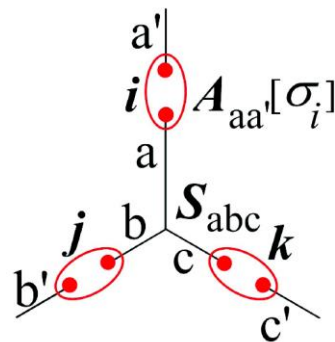
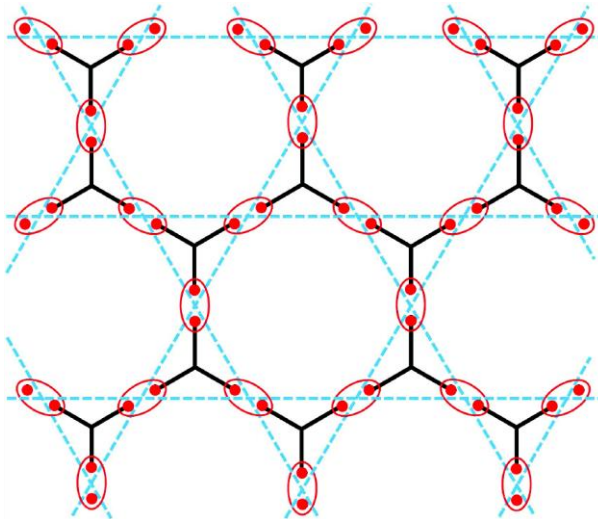
# S=2 Simplex Solid State

Local tensors

$$|0, 0\rangle = \frac{1}{\sqrt{6}} \sum_{s_i s_j s_k} \varepsilon_{s_i s_j s_k} |s_i\rangle |s_j\rangle |s_k\rangle$$

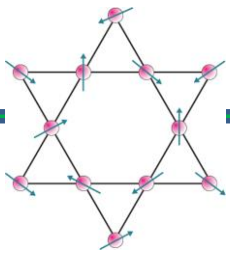
$$S_{ijk} = \varepsilon_{ijk} \quad \text{antisymmetric tensor}$$

$$A_{ab}[\sigma] = \begin{pmatrix} 1 & 1 & 2 \\ a & b & \sigma \end{pmatrix} \quad \text{C-G coefficients}$$



Projection tensor

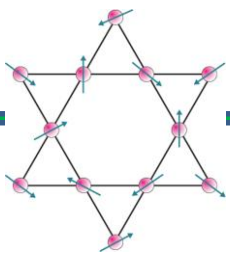
Simplex tensor



# Advantage for using PESS

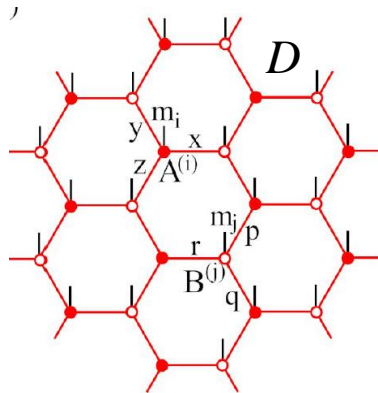
1. No finite size effect: PESS can be defined on an **infinite** lattice
2. More accurate for studying large lattice size systems
3. The ground state energy converges fast with the increase of the bond dimension  $D$ 
  - **Converge exponentially** with  $D$  if the ground state is **gapped**
  - **Converge algebraically** with  $D$  if the ground state is **gapless**

This property is used to determine whether the ground state is gapped or gapless

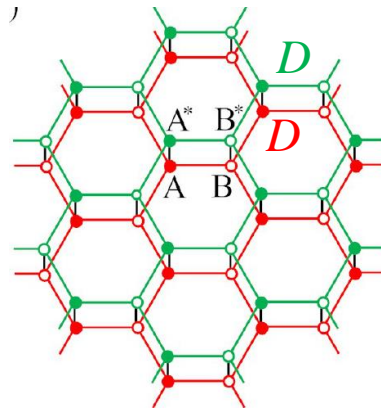


# Main Difficulty in the Calculation of TNS

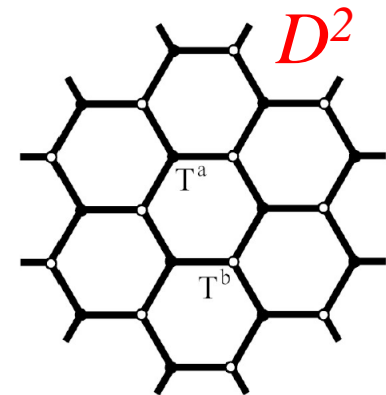
## Conventional Double-Layer Contraction Approach



$|\Psi\rangle$



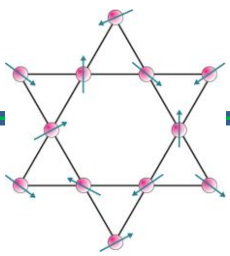
$\langle\Psi| * |\Psi\rangle$



$\langle\Psi|\Psi\rangle$

Computational time scales as  $D^{12}$

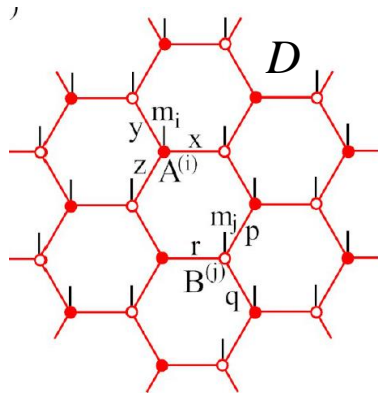
maximal  $D$  that can be handle is 13



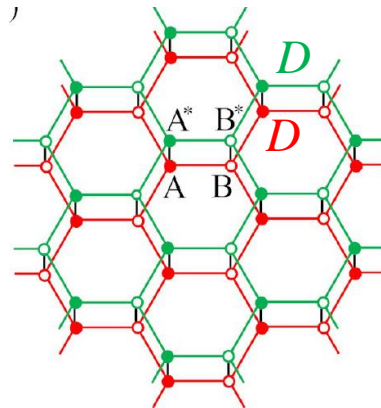
# Solution

Reduce the Cost by Dimension Reduction

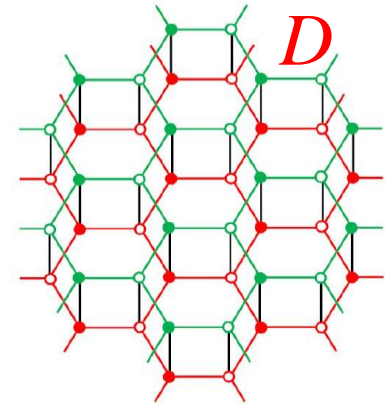
Shifted Single-Layer Approach: Nested Honeycomb Lattice



$|\Psi\rangle$



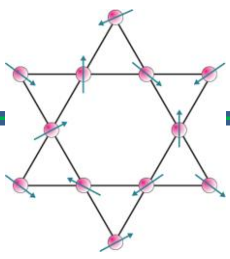
$\langle\Psi| * |\Psi\rangle$



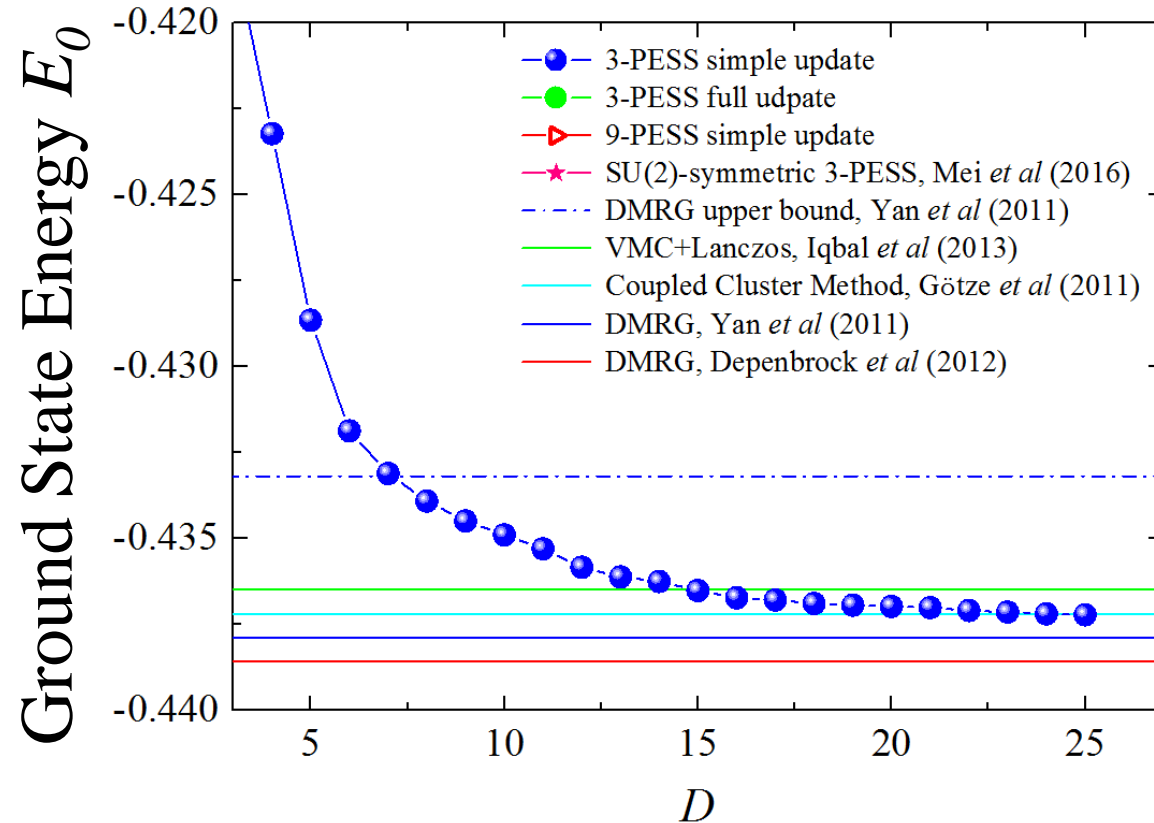
$\langle\Psi|\Psi\rangle$

Computational time scales as  $D^8$

maximal  $D$  reaches 25

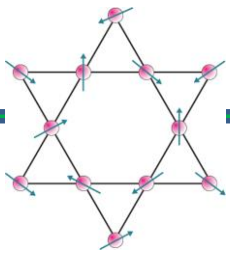


# Kagome Heisenberg: Ground State Energy



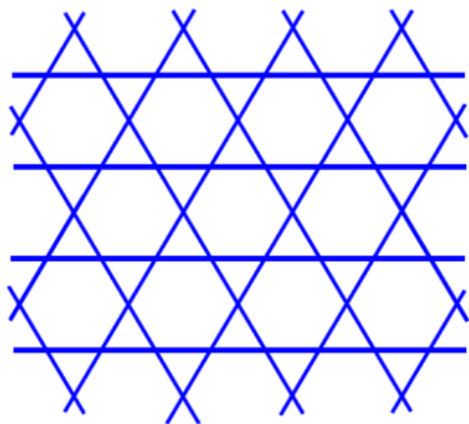
Ground state energy shows a power law behavior

Question: Is  $D=25$  large enough?



# Take A Reference: Husimi lattice

Make comparison between Kagome and Husimi results

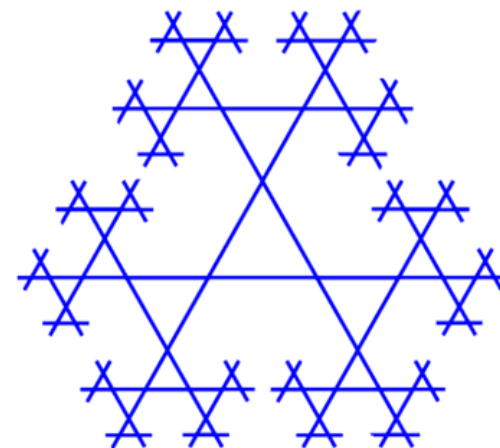


Kagome Lattice

**Same local structure**



**Gain insight for the  
kagome system**



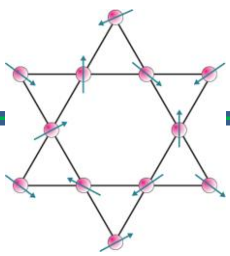
(b) Husimi Lattice

✓ Highly frustrated

✓  $D$  is generally small

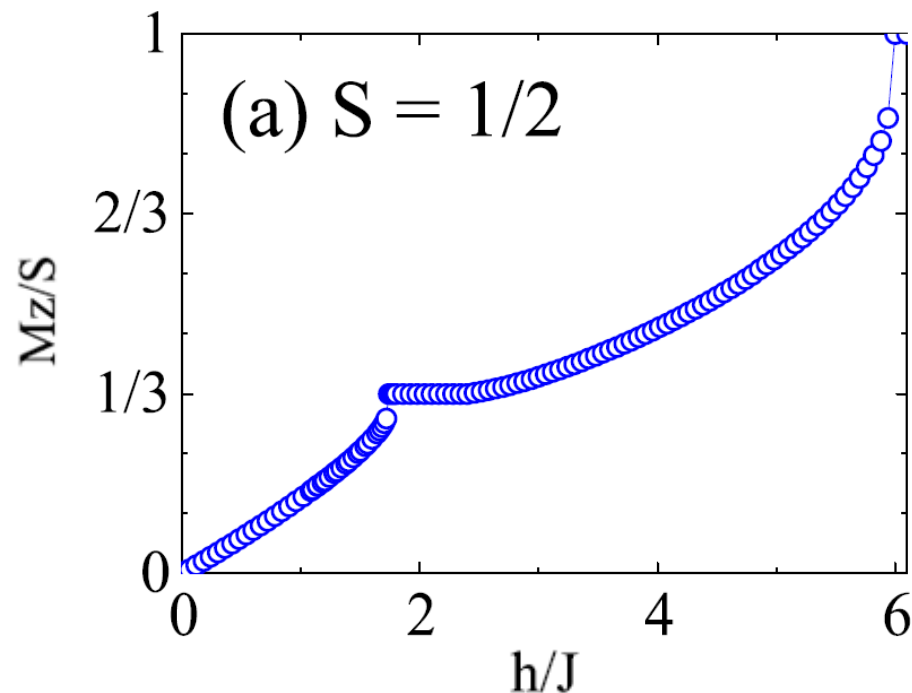
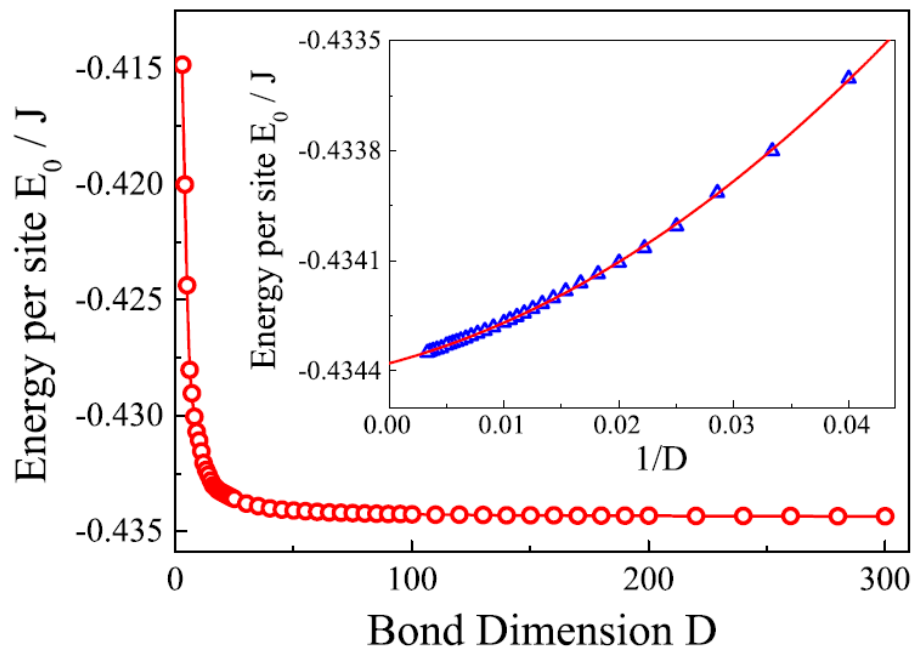
✓ Tree Structure

✓ Tensor renormalization is rigorous,  $D$  can reach 1000



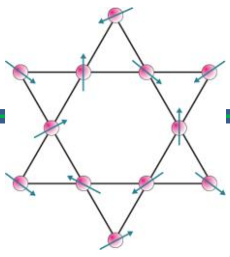
# S=1/2 Husimi Lattice: Gapless Ground State

S = 1/2 Husimi Heisenberg model

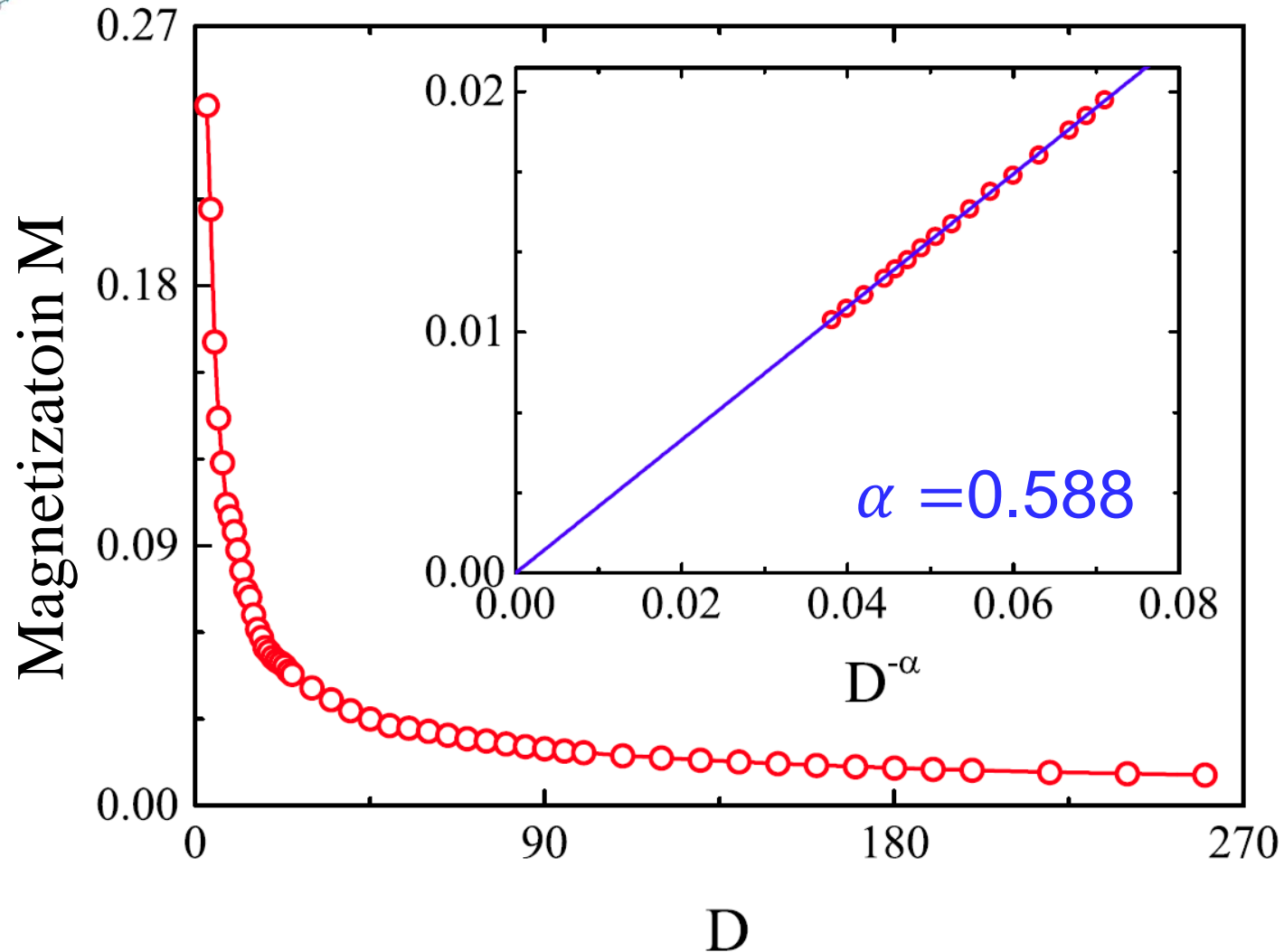


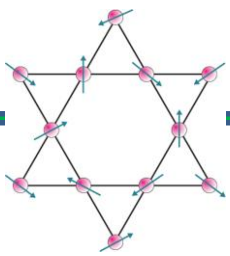
Energy algebraically converge with the bond dimension





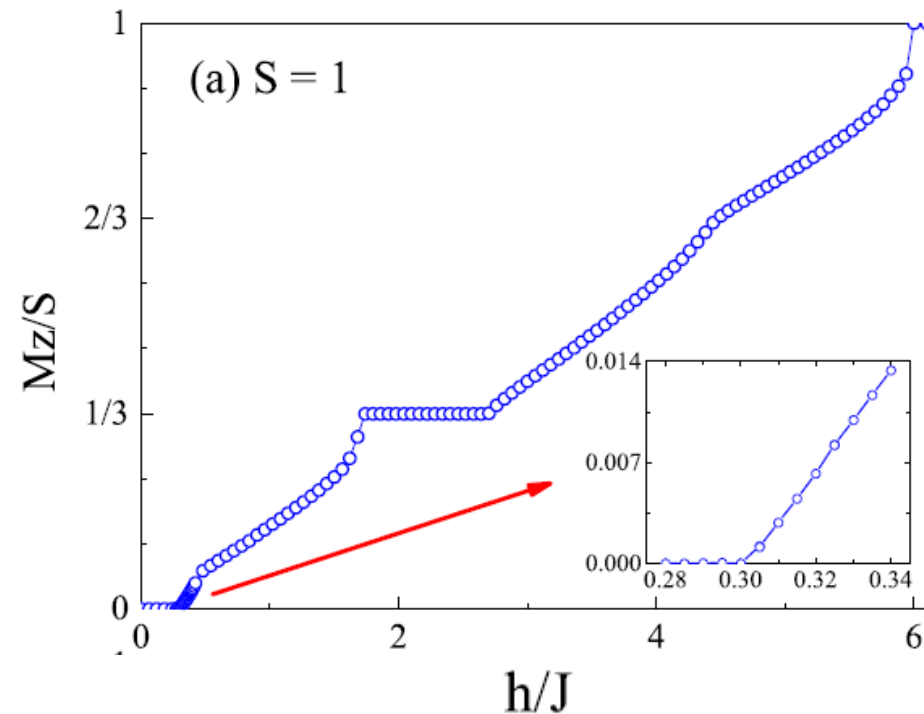
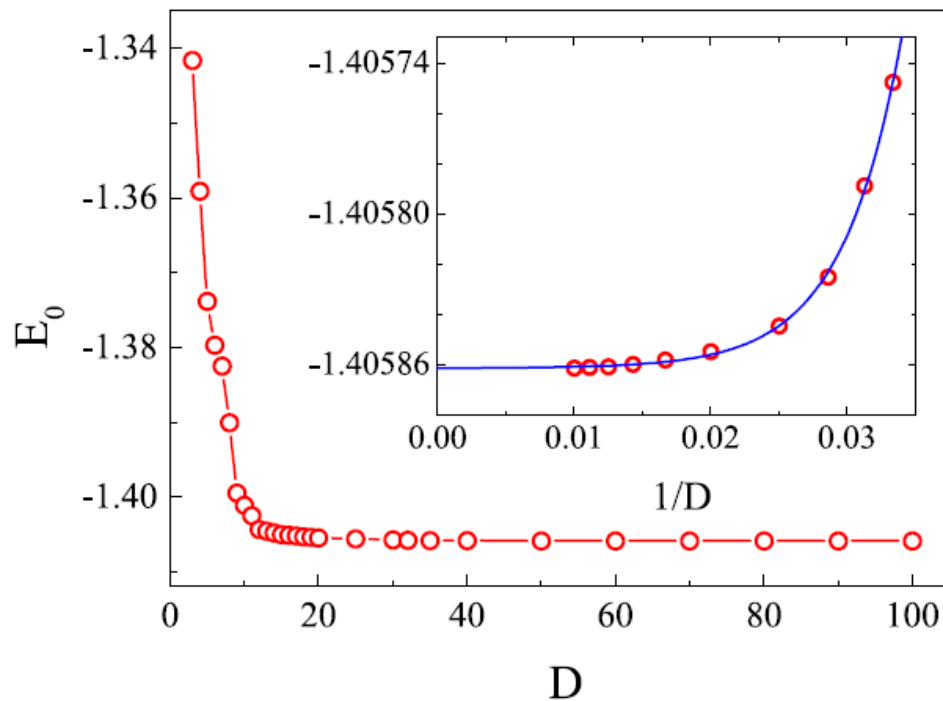
# S=1/2 Husimi Lattice: Magnetization Free



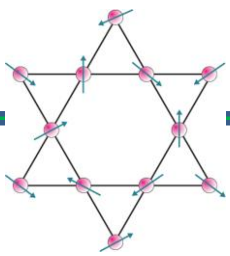


# S=1 Husimi: Gapped Ground State

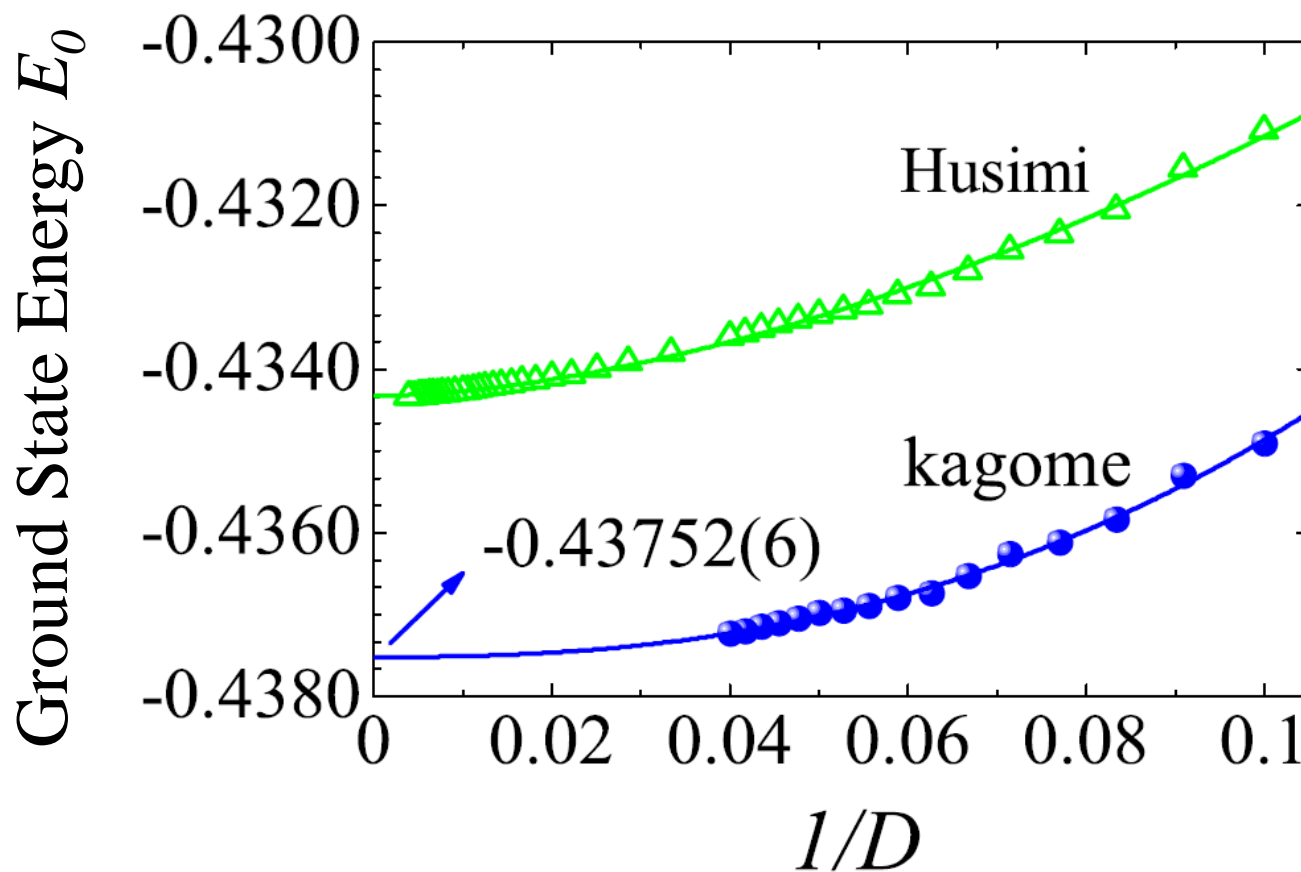
Ground state: trimerized



Energy converges exponentially with the bond dimension



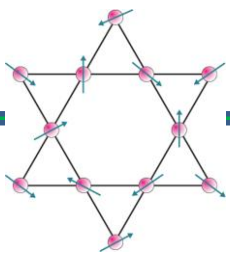
# Kagome Heisenberg: Gapless



Upper bound of  
the energy Gap:

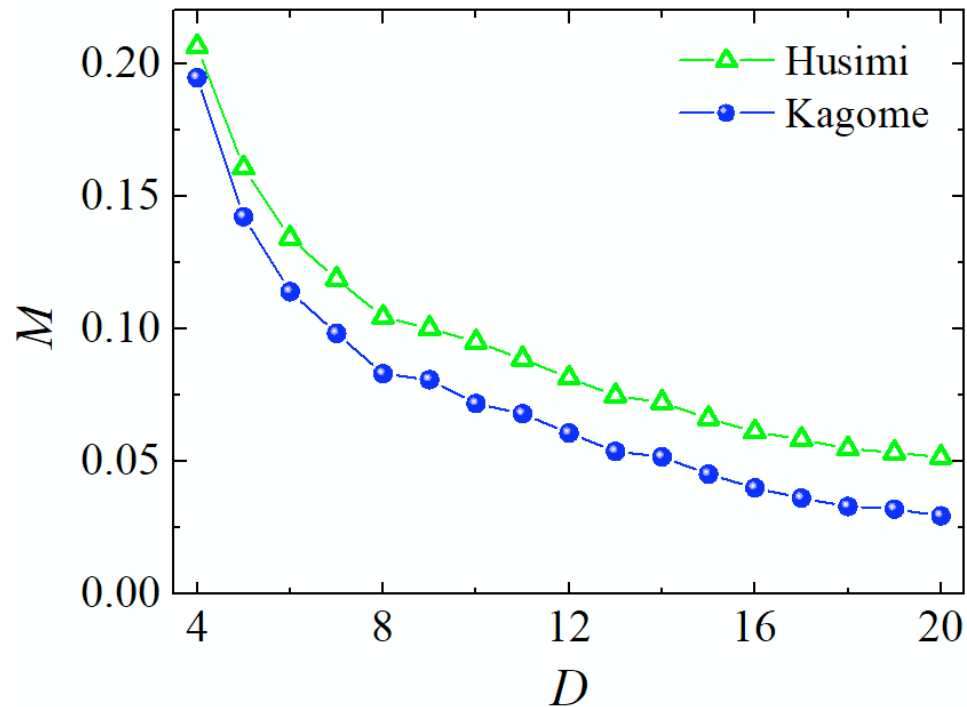
less than  $10^{-4}$

Energy converges **algebraically** with the bond dimension

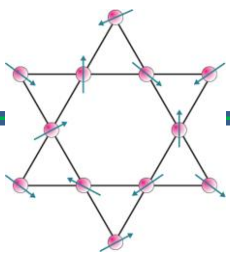


# Kagome Antiferromagnetic: Magnetic free?

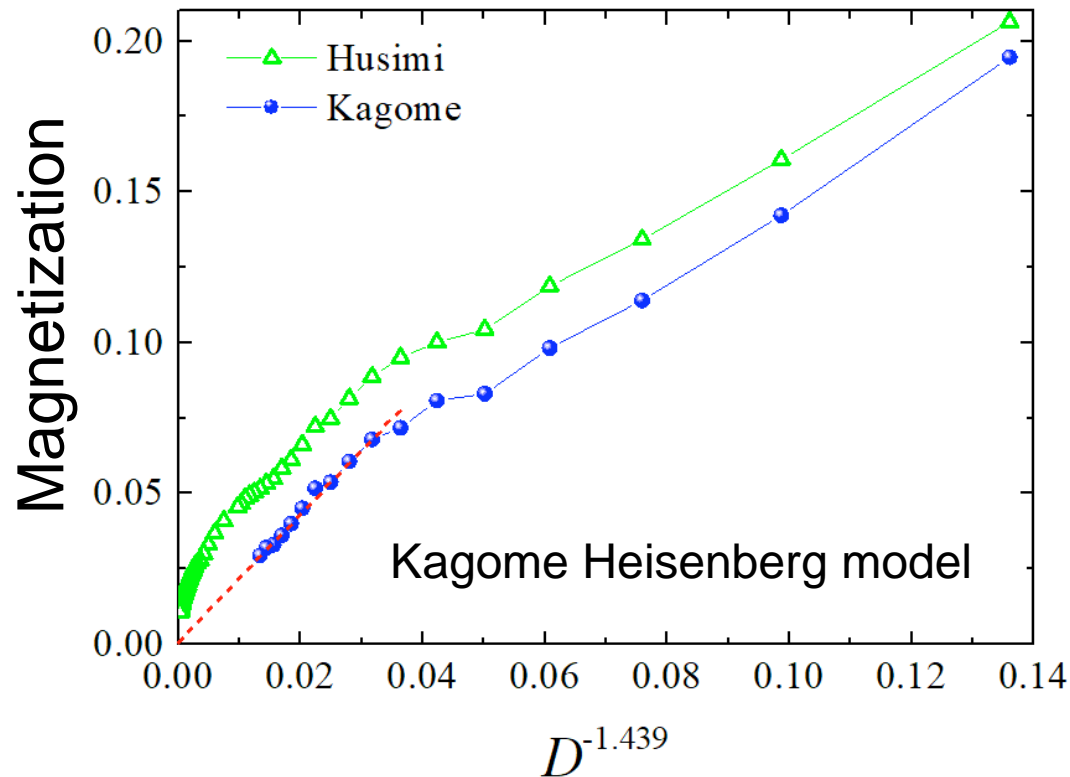
$$M_{Kagome} < M_{Husimi}$$



Magnetization: decays algebraically with  $D$



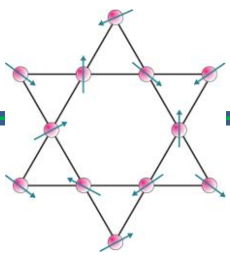
# Kagome Antiferromagnetic: Magnetic free?



The magnetic long-range order vanishes in the infinite  $D$  limit

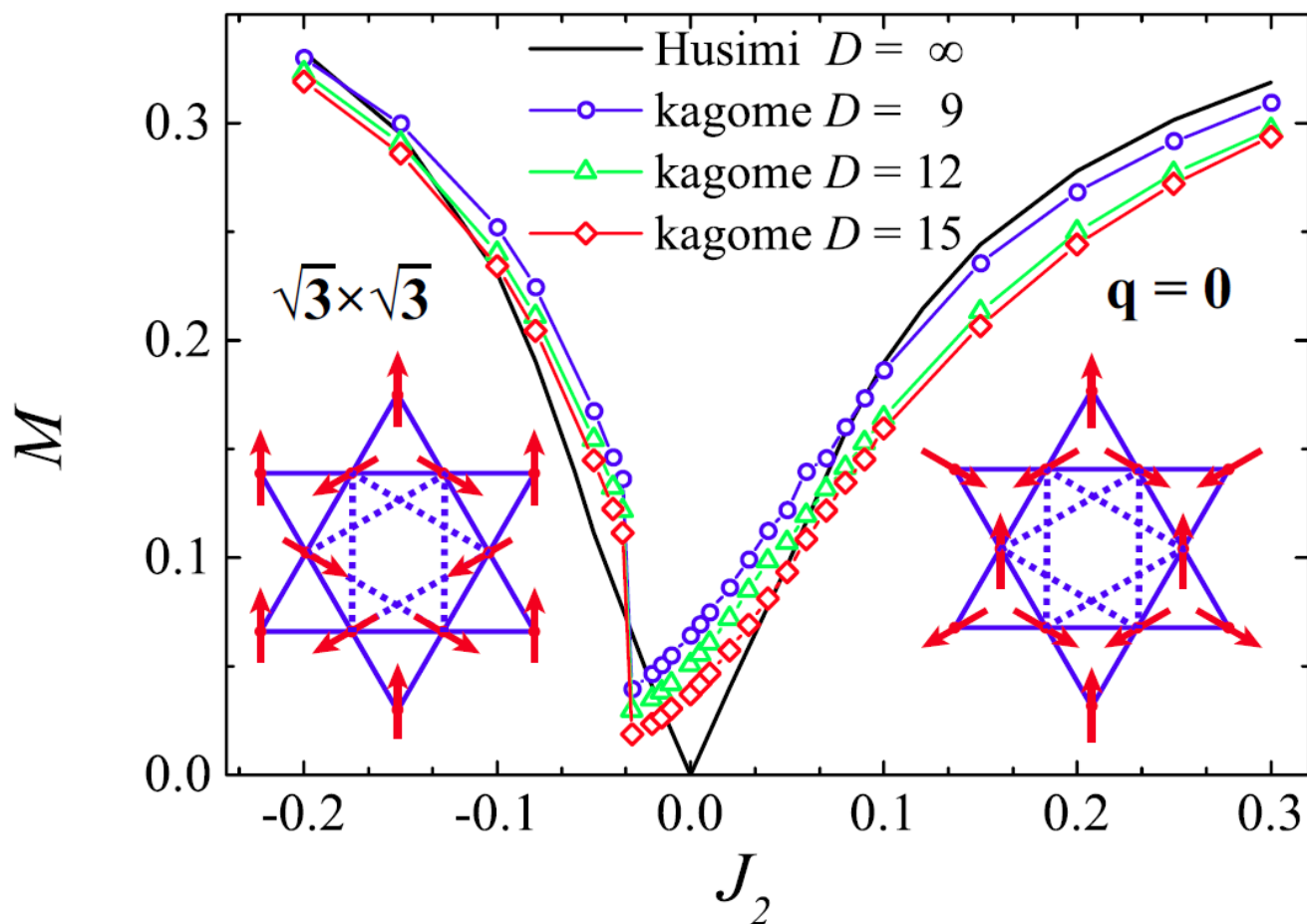


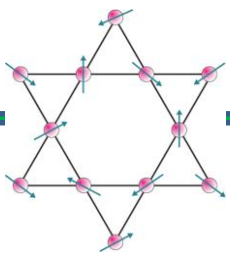
The ground state of the Kagome Heisenberg model is a spin liquid.



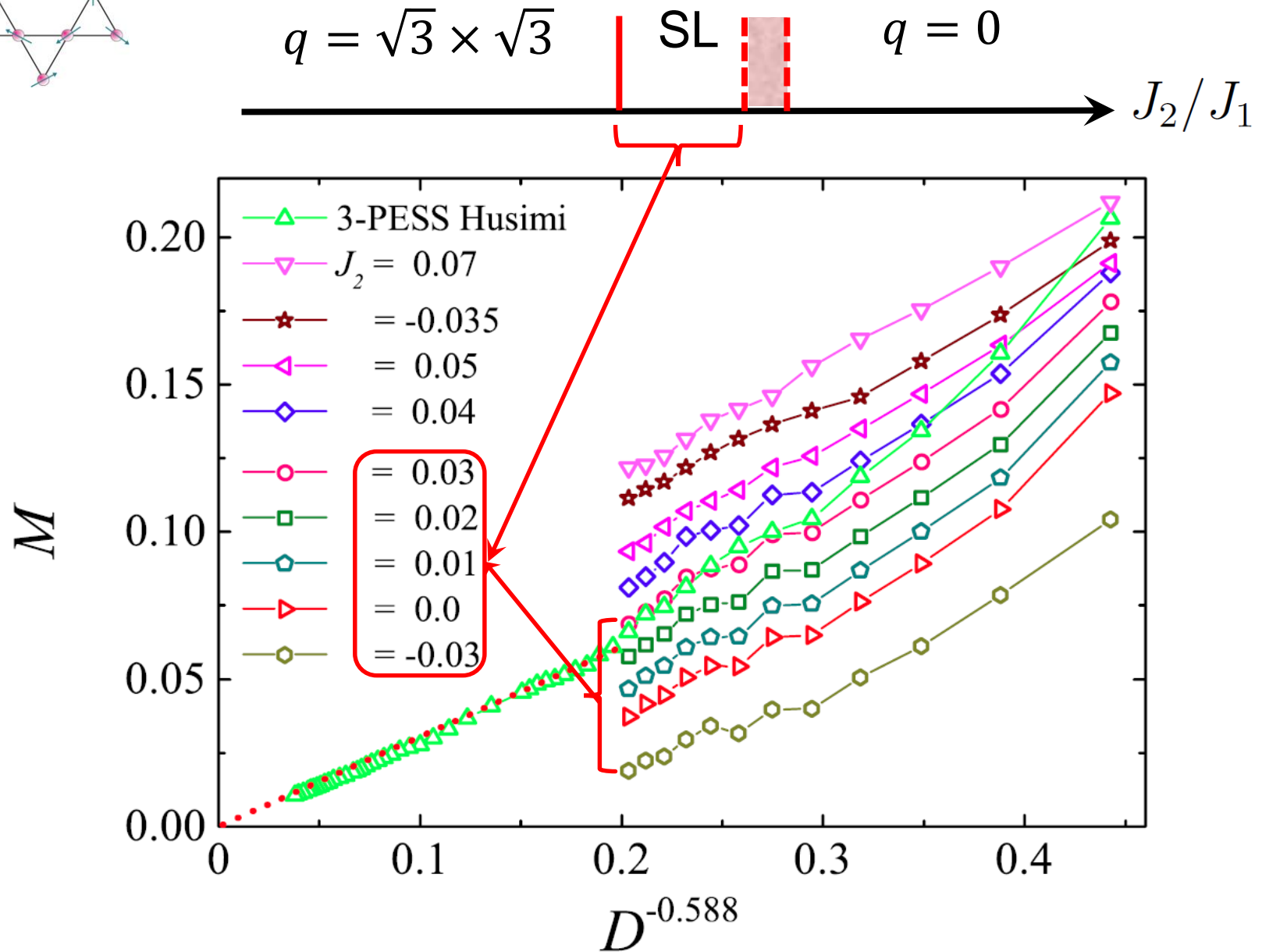
# Stability against other interactions

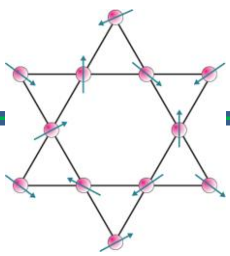
$$H = J_1 \sum_{\langle i,j \rangle} S_i \cdot S_j + J_2 \sum_{\langle\langle i,j \rangle\rangle} S_i \cdot S_j$$



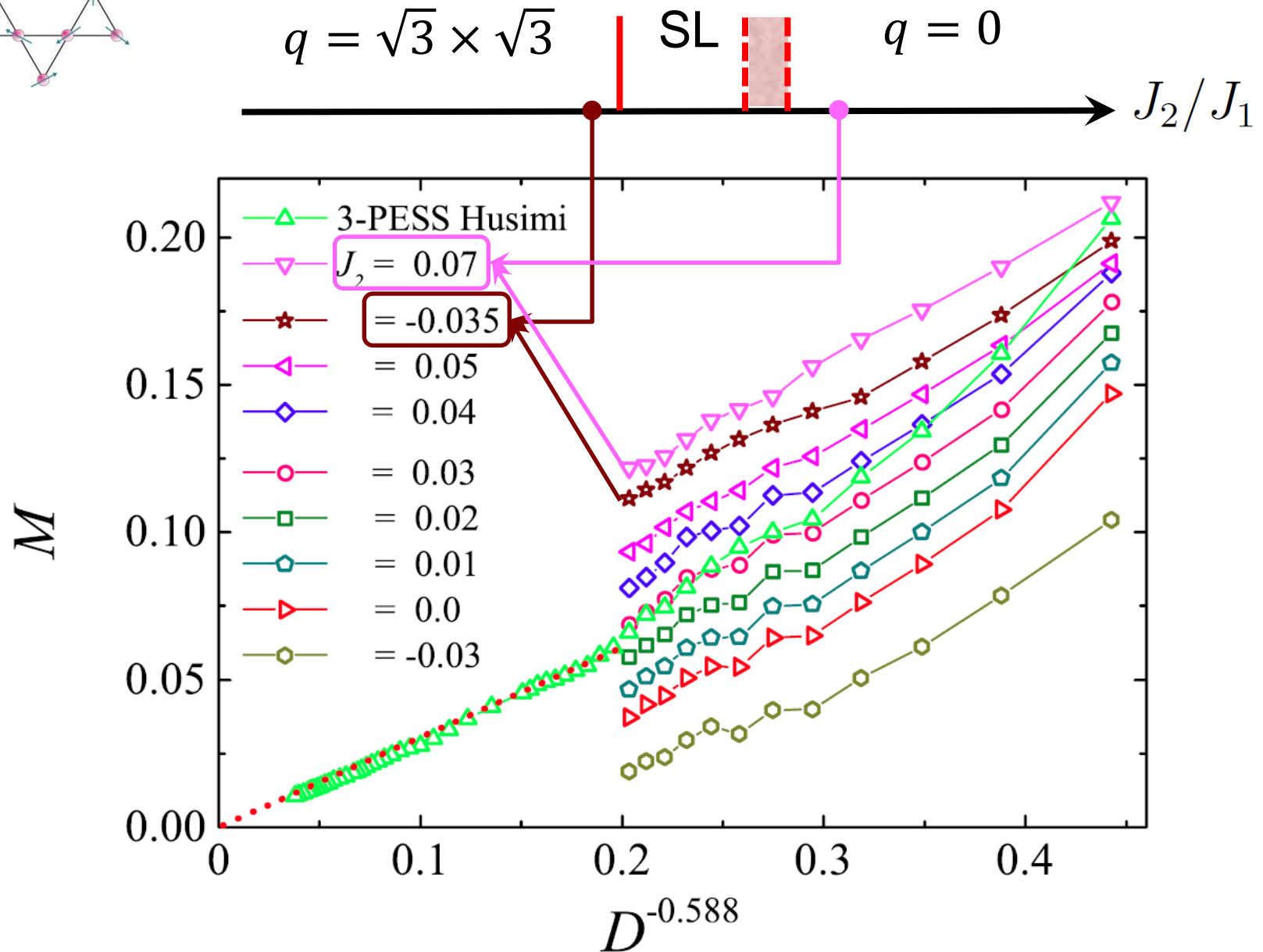


# Bond dimension dependence of the magnetic order

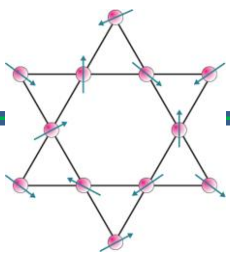




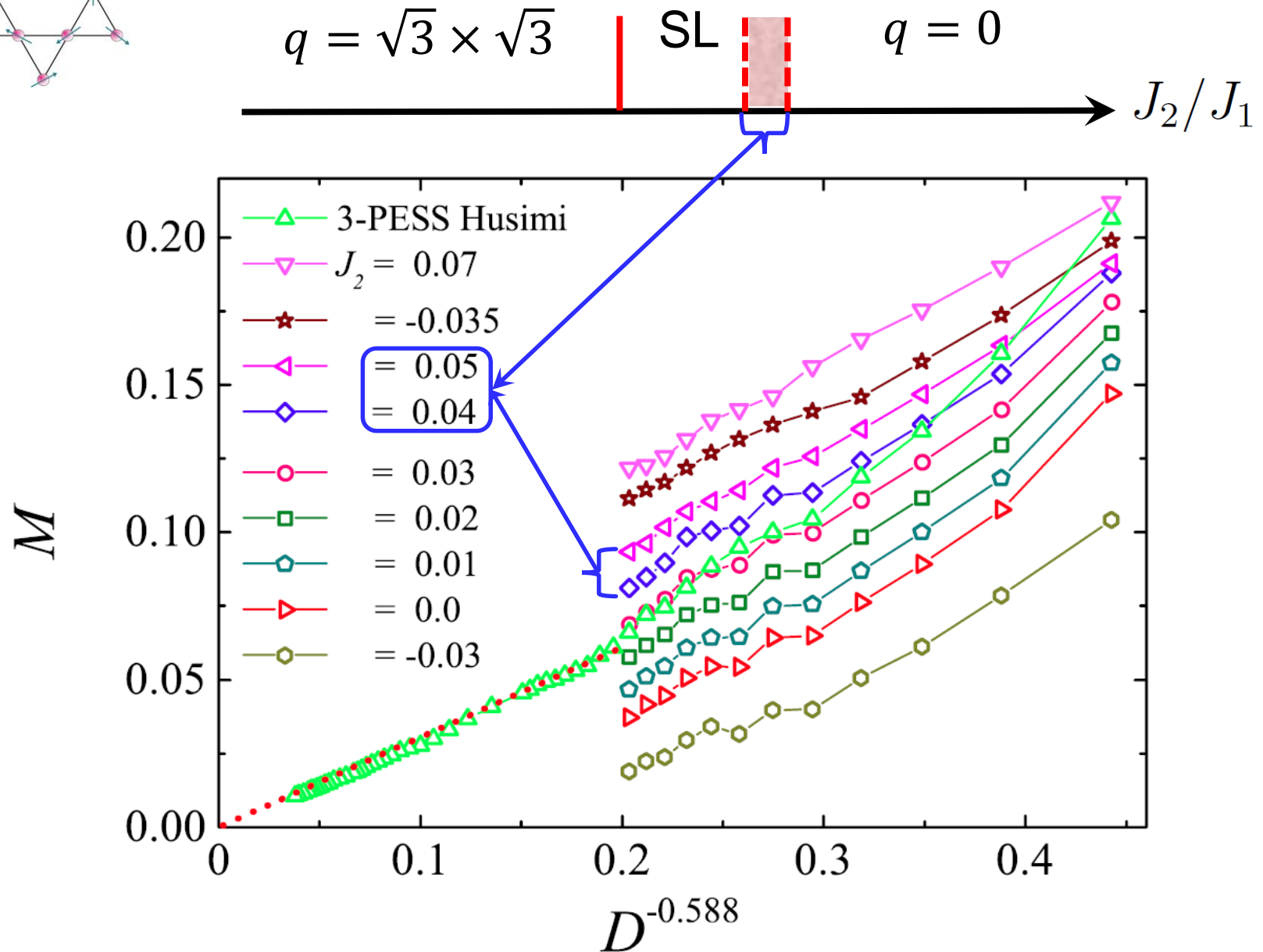
# Bond dimension dependence of the magnetic order



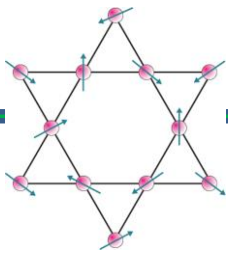




# Bond dimension dependence of the magnetic order



# Phase Diagram

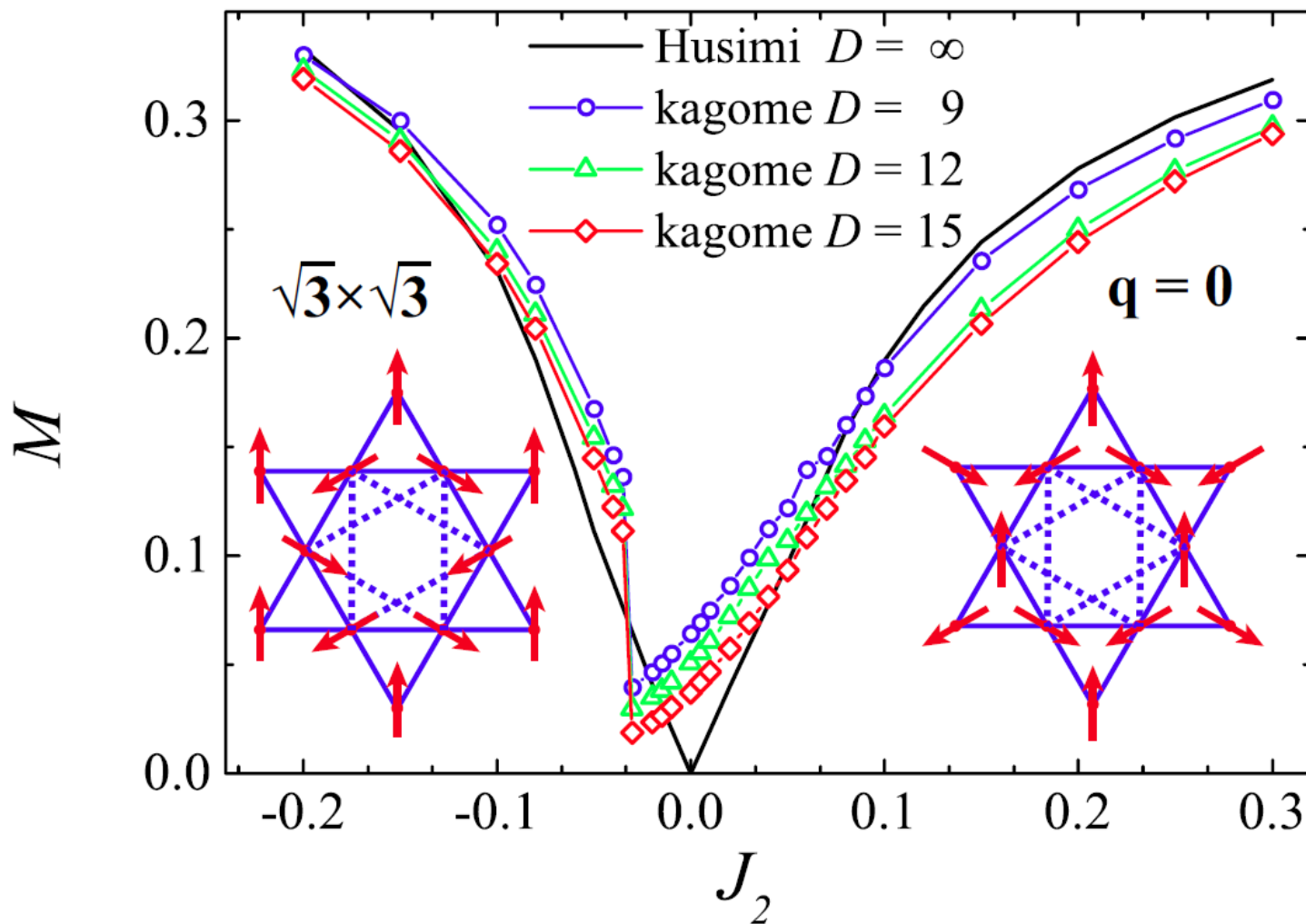


$$q = \sqrt{3} \times \sqrt{3}$$

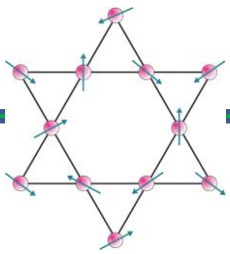
SL

$$q = 0$$

$$J_2/J_1$$



# Summary



- **Tensor-network renormalization provides a powerful tool for studying correlated many body problems**
- **The ground state of the Kagome Heisenberg model is likely a gapless spin liquid**



Bruce Normand

PSI



Haijun Liao



Haidong Xie

Institute of Physics, CAS



Ruizhen Huang



Zhiyuan Xie

Renmin Univ China