

# Floquet engineering of interactions

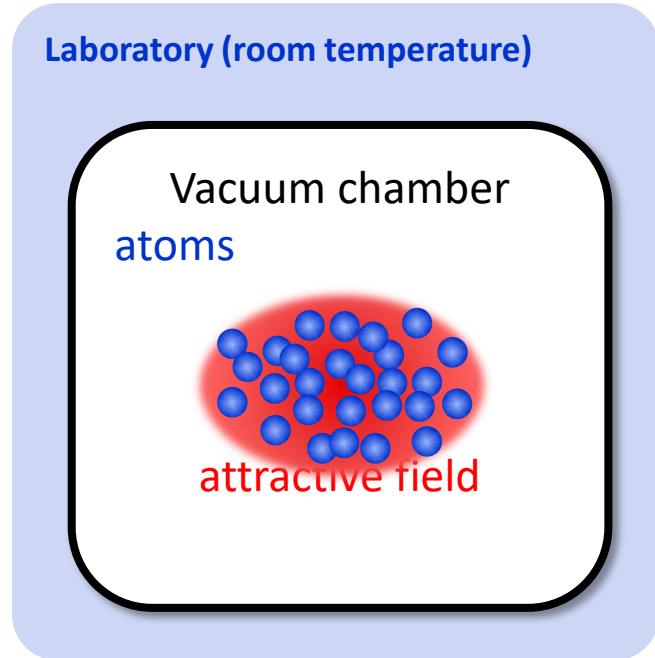
André Eckardt

Max-Planck-Institut für Physik komplexer Systeme  
Dresden

Long-term workshop  
“Novel Quantum States in Condensed Matter 2017”  
Yukawa Institute for Theoretical Physics  
November 23, 2017

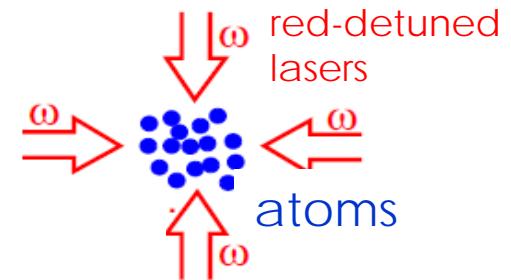
# Ultracold atomic quantum gases

## Trap neutral atoms

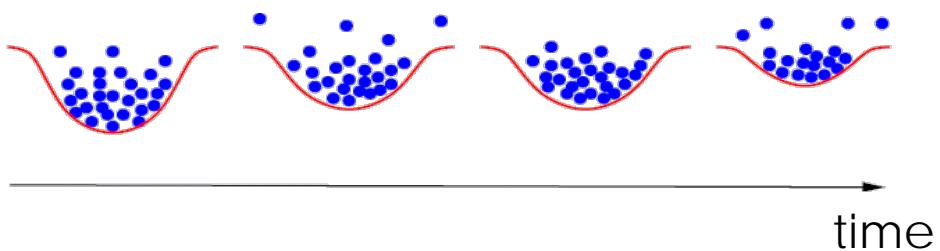


$T \sim$  nano Kelvin  
 $N \sim 1$  to  $10^8$   
 $\frac{N}{V} \sim 10^{13}$  to  $10^{15} \text{ cm}^{-3}$   
(air:  $10^{19} \text{ cm}^{-3}$ , solids:  $10^{22} \text{ cm}^{-3}$ )

## Laser cooling



## Evaporative cooling



## Quantum degeneracy

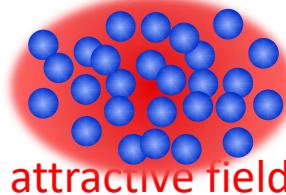
$$T_{\text{deg}} \sim \frac{\hbar^2 \pi^2}{k_B m} \left( \frac{N}{V} \right)^{2/3} \sim \begin{cases} 10^{-6} \text{ K} & \text{trapped atoms} \\ 1 \text{ K} & \text{liquid Helium} \\ 10^4 \text{ K} & \text{electron gas} \end{cases}$$

# Ultracold atomic quantum gases

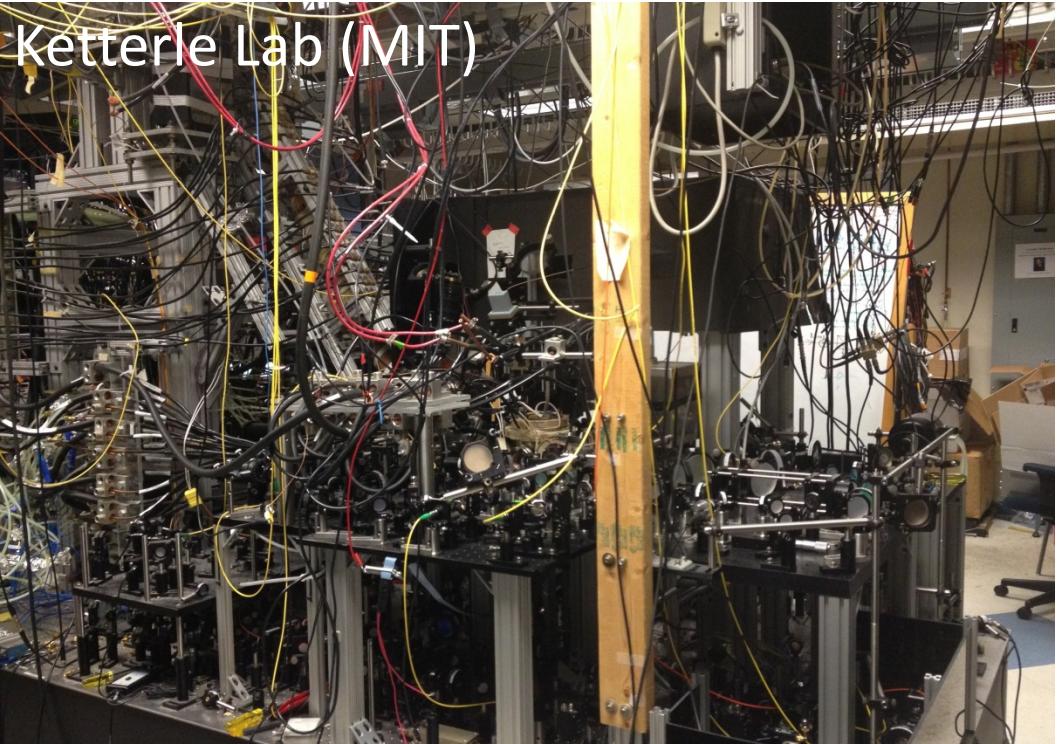
Trap neutral atoms

Laboratory (room temperature)

Vacuum chamber  
atoms



attractive field



Esslinger Lab (ETH)

# Description

Spinless bosons:

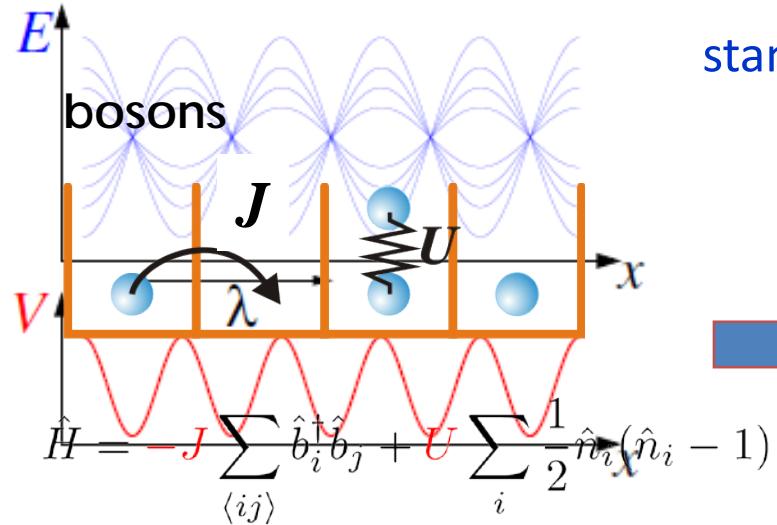
$$\hat{H} = \int d\mathbf{r} \hat{\psi}^\dagger(\mathbf{r}) \left[ \frac{-\hbar^2}{2m} \nabla^2 + V(\mathbf{r}) \right] \hat{\psi}(\mathbf{r}) + \frac{g}{2} \int d\mathbf{r} \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}^\dagger(\mathbf{r}) \hat{\psi}(\mathbf{r}) \hat{\psi}(\mathbf{r})$$

- clean & well isolated from environment
- universal contact interactions  $g = \frac{4\pi\hbar^2 a_s}{m}$
- Tailorable, control also during experiment

$$V(\mathbf{r}) \rightarrow V(\mathbf{r}, t) \quad g \rightarrow g(t)$$

- additional “features” possible  
fermions, spin, dissipation, disorder, ...,  
*artificial magnetic fields*, ...

# Optical Lattices



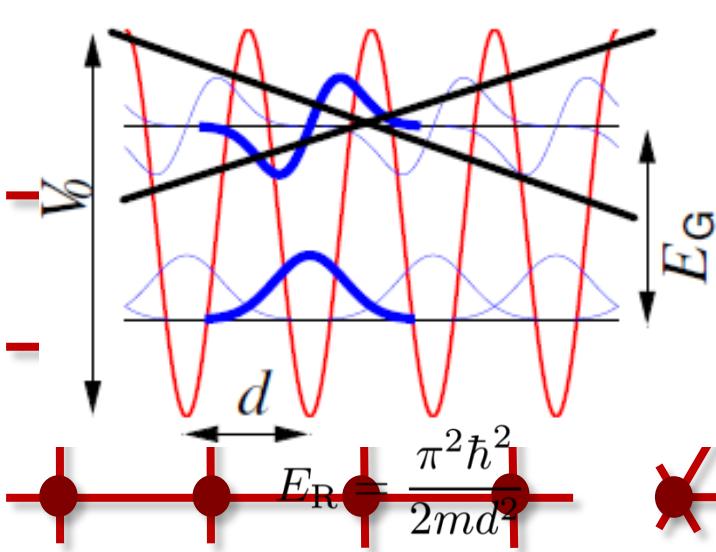
standing light wave

Described by Hubbard models

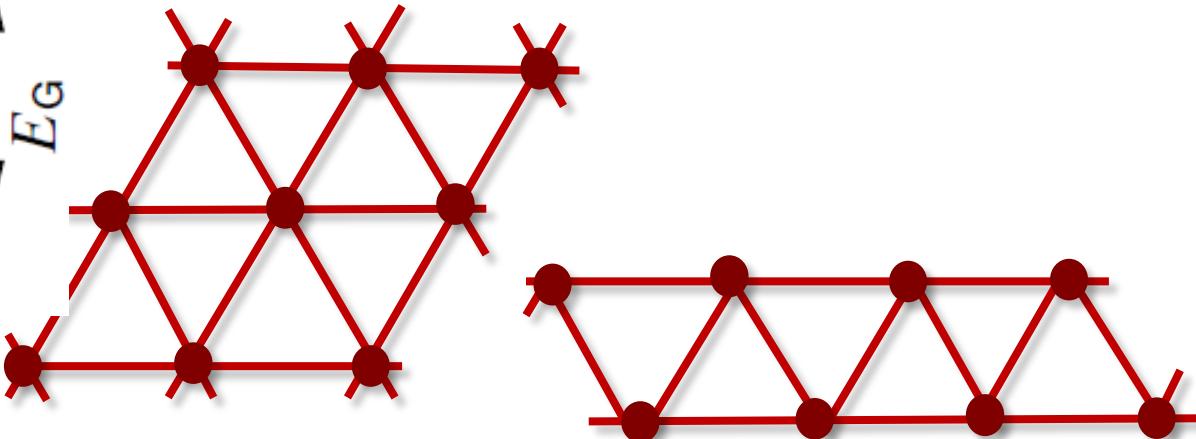
Jaksch et al., PRL (1998)

clean periodic potential

Ratio  $U/J$  tunable via lattice depth (laser power):  
from weak to strong coupling regime



s / reduction to 1D or 2D  
Deep lattices

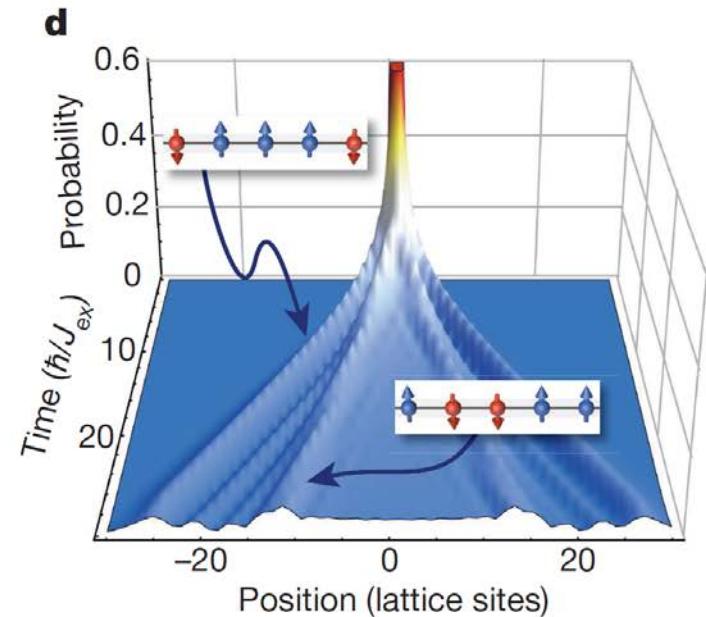
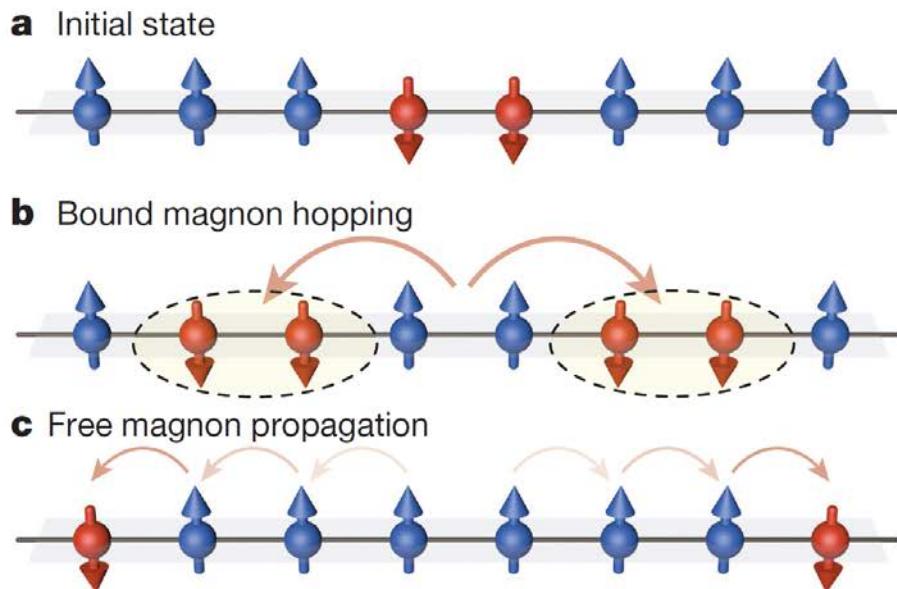


# Large time and length scales

Lattice spacing  $\sim 0.1 - 1 \mu\text{m}$

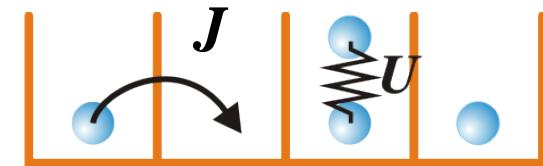
Tunneling times  $\sim 1 - 10 \text{ ms}$

Measure and manipulate system  
on its intrinsic length and time scales!



# Atomic quantum gas in optical lattice

- clean & tunable realizations of minimal many-body lattice models
- strong interactions possible
- well isolated from environment
- time-dependent control/ time-resolved measurements



⇒ Ideal platform for studying coherent quantum many-body dynamics

This talk:

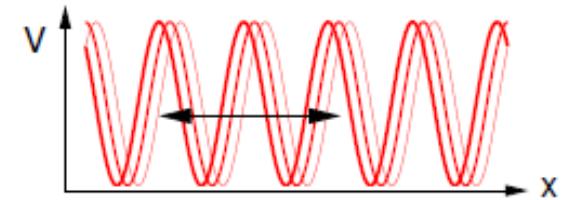
Controlling atomic quantum gases via strong time-periodic forcing

*Colloquium: Atomic quantum gases in periodically driven optical lattices,*  
A.E., Rev. Mod. Phys. 89, 011004 (2017)

# Periodically driven quantum systems

Hamiltonian

$$H(t) = H(t + T) = \sum_{m=-\infty}^{\infty} H_m e^{im\omega t}$$



One-cycle time-evolution operator defines an effective time-independent Hamiltonian  $H_{\text{eff}}$

$$U(T, 0) = \mathcal{T} \exp \left( -\frac{i}{\hbar} \int_0^T dt H(t) \right) \equiv \exp \left( -\frac{i}{\hbar} T H_{\text{eff}} \right)$$

Time evolution in steps of the driving period:

$$|\psi(nT)\rangle = \exp \left( -\frac{i}{\hbar} nT H_{\text{eff}} \right) |\psi(0)\rangle$$

Useful concept? Yes! If  $H_{\text{eff}}$  has simple form (at least approximately on relevant time scale)

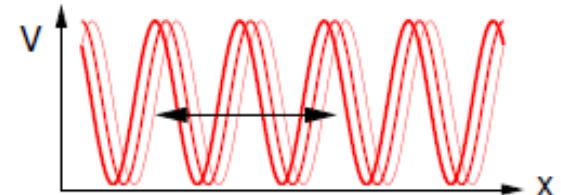
**Floquet engineering**

*Engineer driving protocol that realizes the desired  $H_{\text{eff}}$ !*

# Periodically driven quantum systems

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$$H(t) = H(t + T) = \sum_{m=-\infty}^{\infty} H_m e^{im\omega t}$$



Quasi stationary states: **Floquet states** [Shirley 1965]

**Quasienergy**

$$|\psi_n(t)\rangle = |u_n(t)\rangle e^{-\frac{i}{\hbar}\varepsilon_n t} = |u_{nm}(t)\rangle e^{-\frac{i}{\hbar}\varepsilon_{nm} t}$$

$\varepsilon_{nm} = \varepsilon_n + m\hbar\omega$

**Floquet mode**  $|u_n(t)\rangle = |u_n(t+T)\rangle$

$$|u_{nm}(t)\rangle = |u_n(t)\rangle e^{im\omega t}$$

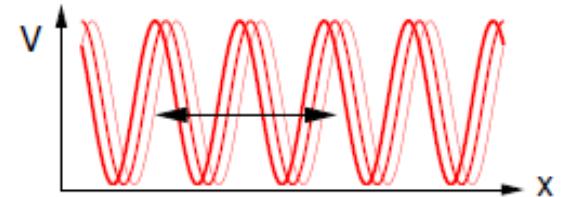
Time evolution

$$|\psi(t)\rangle = \sum_n c_n |u_n(t)\rangle e^{-i\varepsilon_n t/\hbar} \quad \text{with } c_n = \langle u_n(0)|\psi(0)\rangle$$

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Quasi stationary states: **Floquet states** [Shirley 1965]

$$\begin{aligned} |\psi_n(t)\rangle &= |u_n(t)\rangle e^{-\frac{i}{\hbar}\varepsilon_n t} = |u_{nm}(t)\rangle e^{-\frac{i}{\hbar}\varepsilon_{nm} t} & \text{Quasienergy} \\ &\quad \text{Floquet mode } |u_n(t)\rangle = |u_n(t+T)\rangle & \varepsilon_{nm} = \varepsilon_n + m\hbar\omega \\ && |u_{nm}(t)\rangle = |u_n(t)\rangle e^{im\omega t} \end{aligned}$$

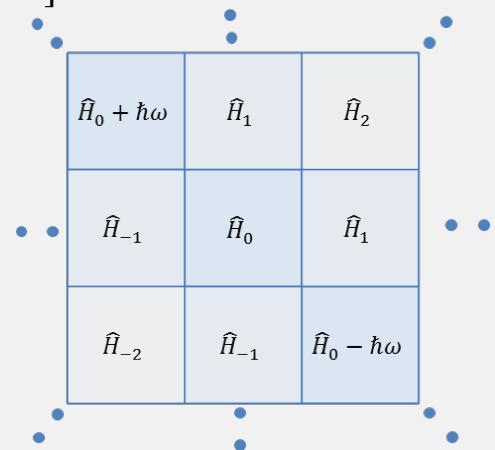
Eigenvalue problem of hermitian **quasienergy operator**  $Q$  [Sambe 73]

$$\underbrace{[H(t) - i\hbar\partial_t]}_Q |u_{nm}\rangle = \varepsilon_{nm} |u_{nm}\rangle$$

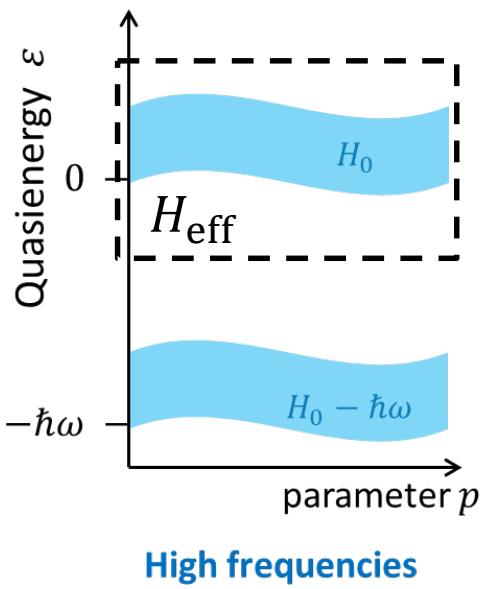
in **Floquet space** (space of time-periodic states):

basis  $|\alpha m\rangle\rangle$ :  $|\alpha\rangle e^{im\omega t}$

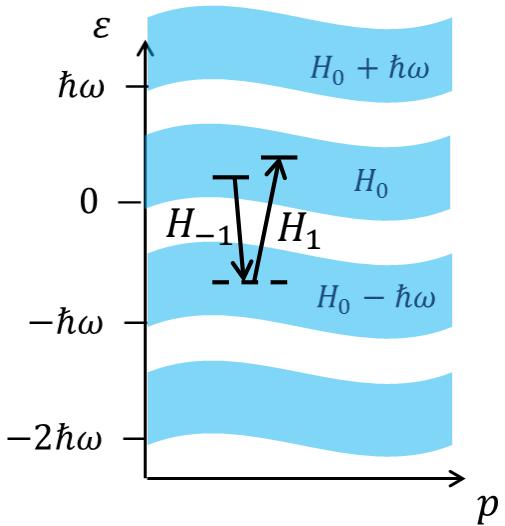
$$\langle\langle \alpha' m' | Q | \alpha m \rangle\rangle = \langle \alpha' | H_{m-m'} | \alpha \rangle + \delta_{m'm} \delta_{\alpha'\alpha} m \hbar \omega$$



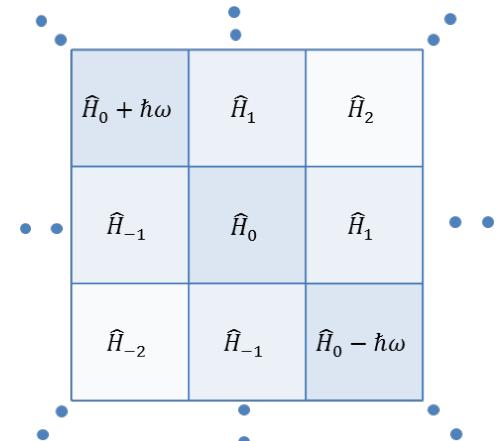
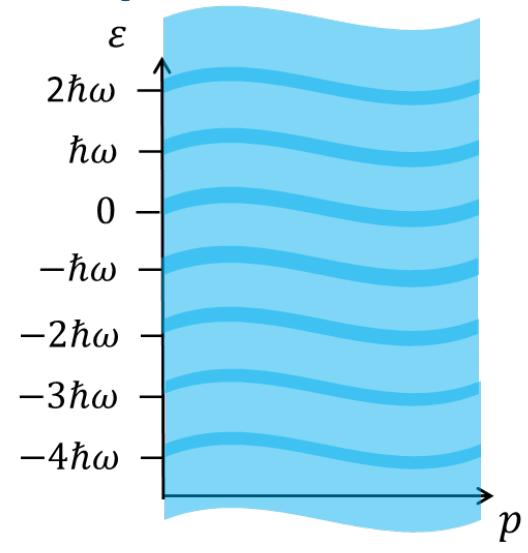
# Single-particle finite-band picture



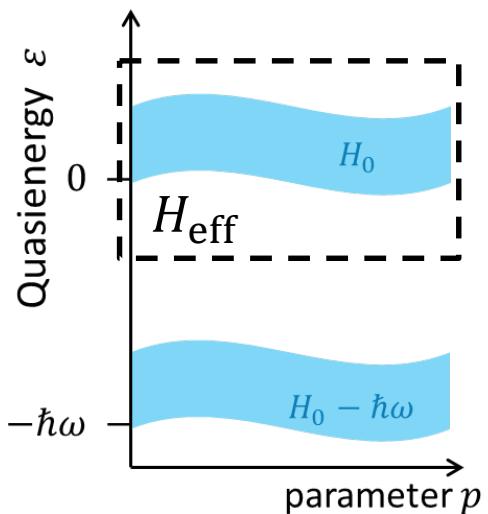
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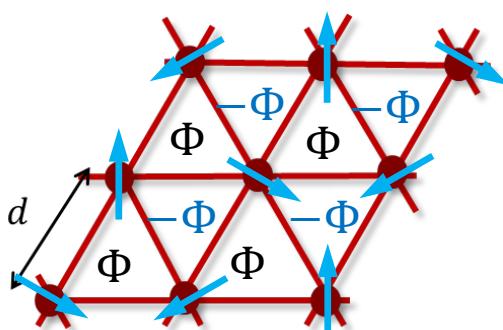


# Shaken optical lattice



High frequencies

$$H_{\text{eff}} \simeq H_0 = \frac{1}{T} \int_0^T dt H(t) = - \sum_{\langle ij \rangle} J_{\text{eff}} a_i^\dagger a_j$$



Inertial force via circular lattice shaking

$$\begin{aligned} \mathbf{F}(t) &= F_0 [\cos(\omega t) \mathbf{e}_x + \sin(\omega t) \mathbf{e}_y] \\ &+ \delta F_0 \sin(2\omega t) \mathbf{e}_y \end{aligned}$$

$$H(t) = - \sum_{\langle ij \rangle} J e^{i\alpha \sin(\omega t - \varphi_{ij})} a_i^\dagger a_j$$

$$\alpha = \frac{F_0 d}{\hbar \omega}$$

Dynamic localization (coherent destruction of tunneling):  $J_{\text{eff}} = 0$

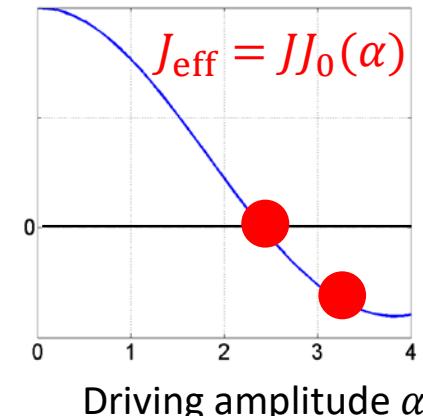
Dunlap & Kenkre 1986, Großmann & Hänggi 1991, Holthaus 1992

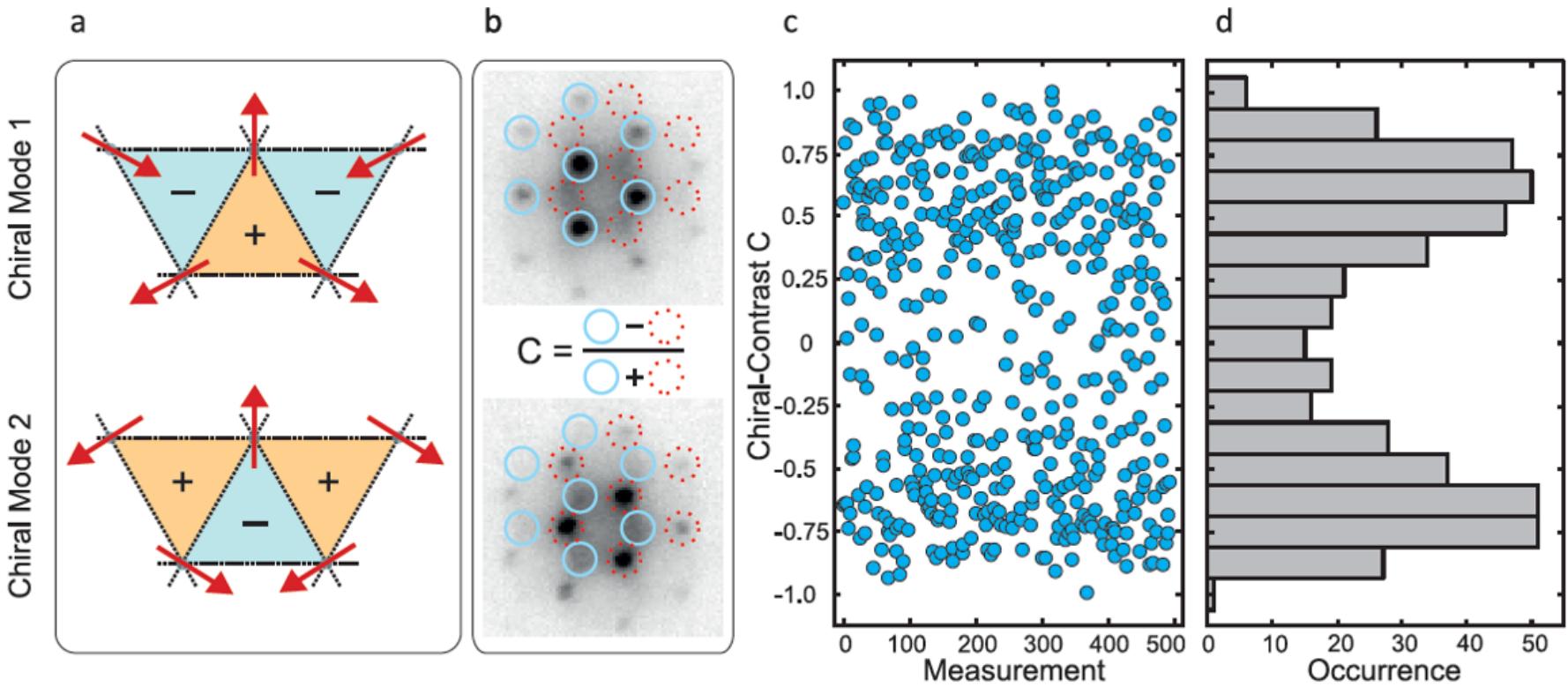
Kinetic Frustration:  $J_{\text{eff}} < 0$  in non-bipartite lattice

A.E. et al.: EPL 2010, Struck et al., Science 2011

Artificial magnetic fields: requires complex  $J_{\text{eff}}$

Struck et al. PRL 2012, Hauke et al. PRL 2012, Struck et al. Nat. Phys. 2013





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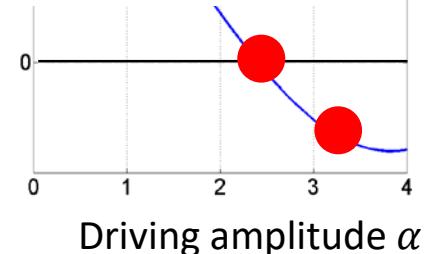
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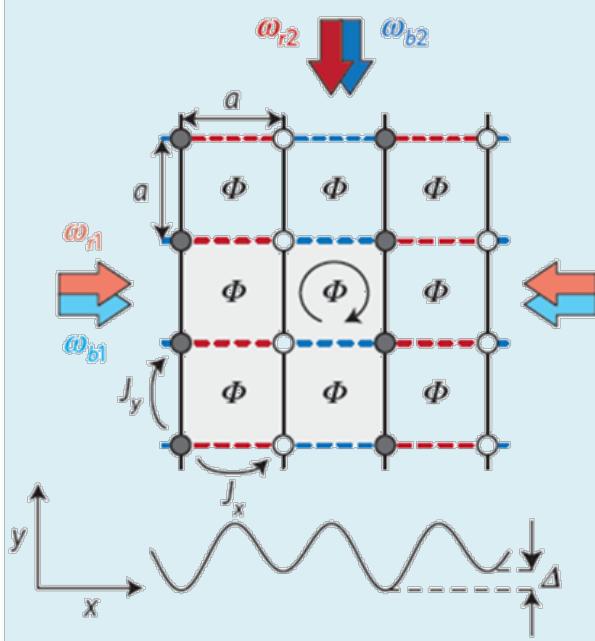
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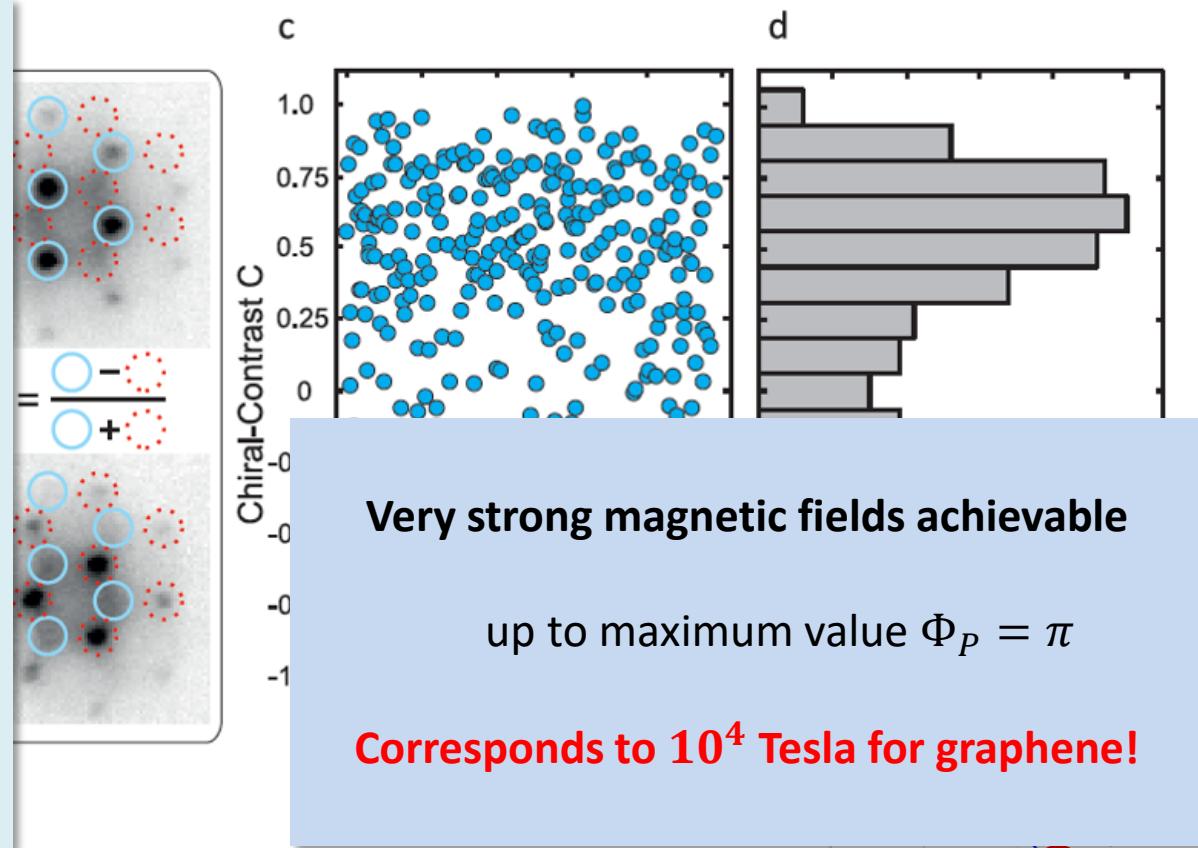
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# Harper model



Bloch/Ketterle/Greiner



Dynamic localization (coherent destruction of tunneling):  $J_{\text{eff}} = 0$

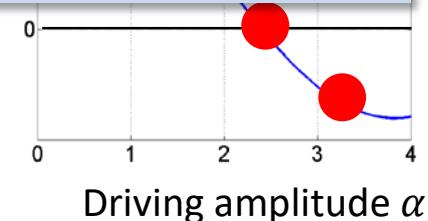
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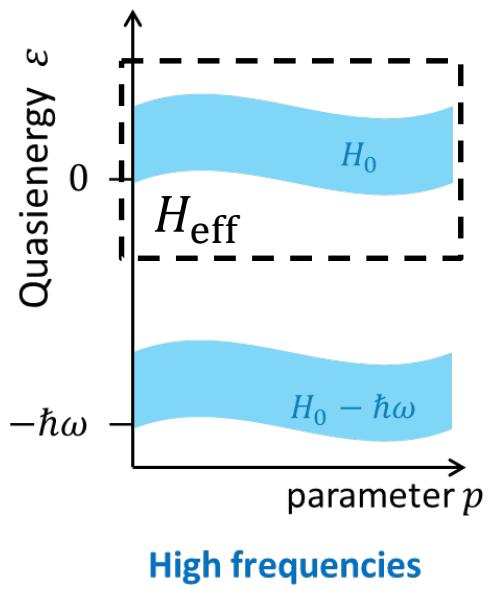
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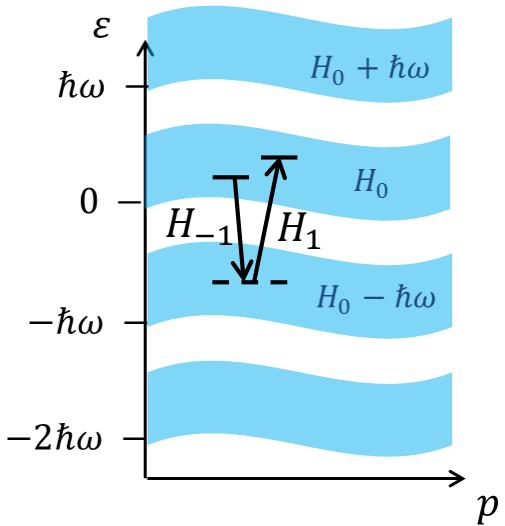
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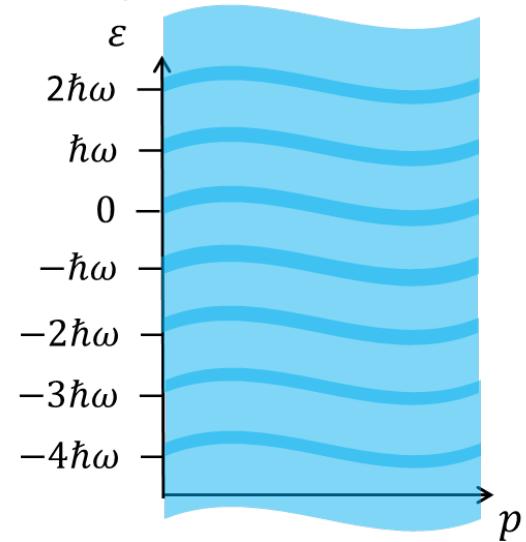
# Single-particle finite-band picture



$$H_{\text{eff}} \simeq H_0 = \frac{1}{T} \int_0^T H(t) dt$$



$$H_{\text{eff}} \simeq H_0 + \sum_{m \neq 0} \frac{H_m H_{-m}}{m \hbar\omega} + \dots$$



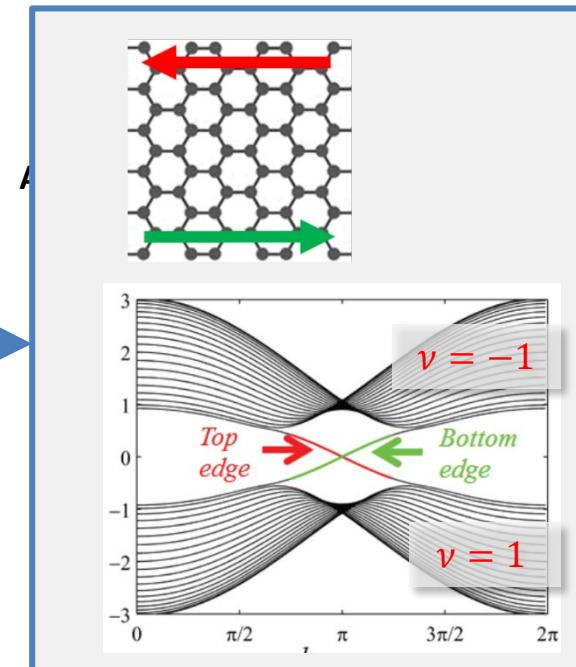
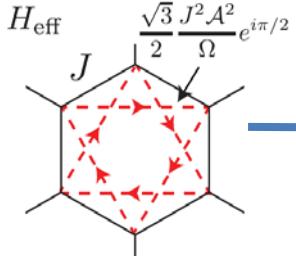
**Common strategy: control tunneling**

$$H(t) = - \sum_{\langle ij \rangle} J e^{i\theta_{ij}(t)} a_i^\dagger a_j$$

conservative forcing

$$H_{\text{eff}} \simeq - \sum_{\langle ij \rangle} J_{ij}^{\text{eff}} e^{i\theta_{ij}^{\text{eff}}} a_i^\dagger a_j$$

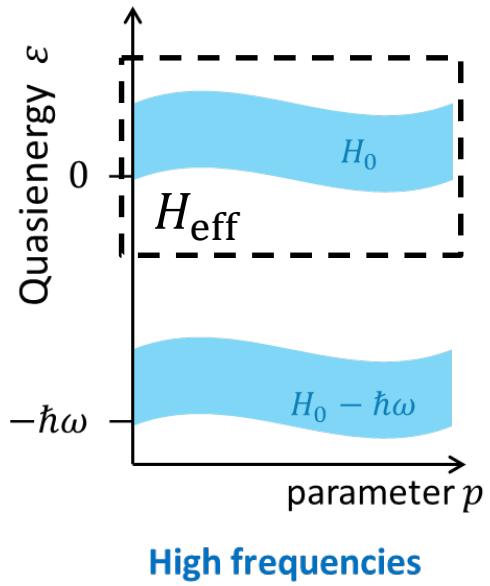
**Floquet topological insulator**



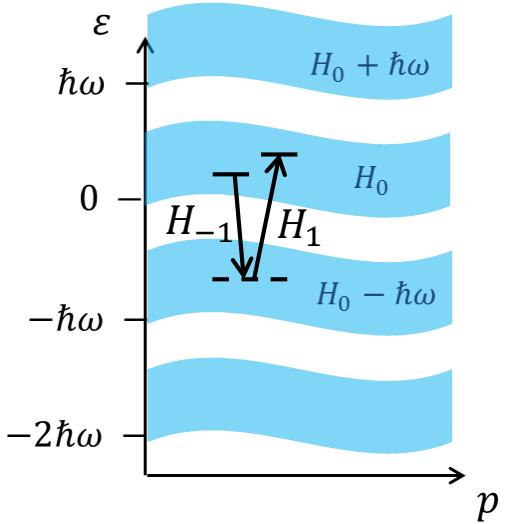
Oka & Aoki 2009, Kitagawa et. al. 2010/’11,  
Lindner et al. 2011, ...  
Cold-atom experiment: Jotzu et al. 2014  
wave guides: Rechtsman et al. 2013

Quantum-gas experiments: Arimondo, Tino, Sengstock, Nägerl, Bloch, Ketterle, Greiner

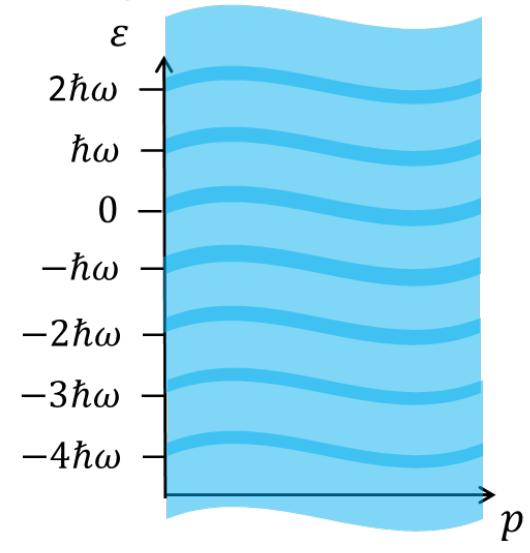
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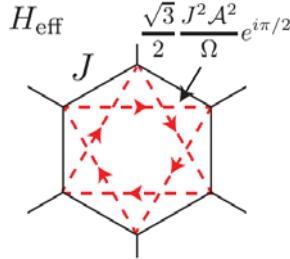
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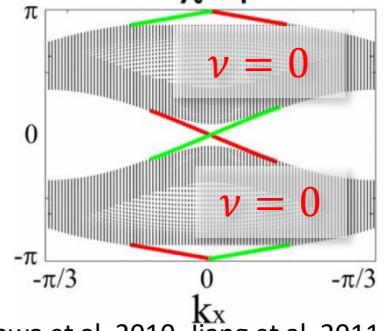
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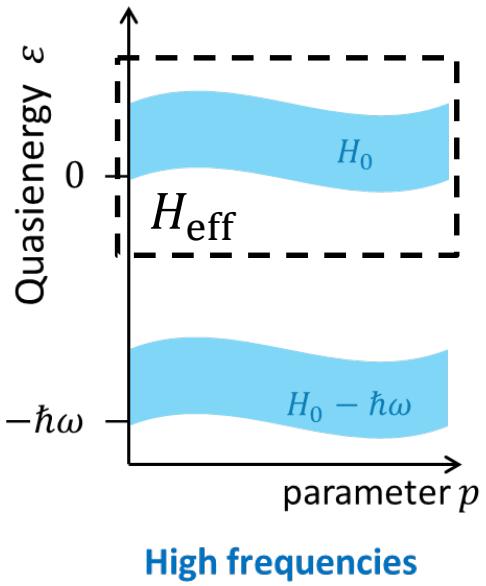
Oka & Aoki 2009, Kitagawa et. al. 2010/’11, Lindner et al. 2011, ...  
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**Anomalous topological edge states**

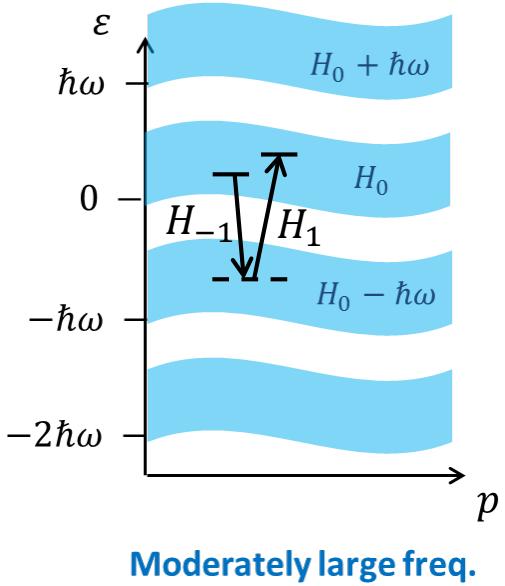


Kitagawa et al. 2010, Jiang et al. 2011, Rudner et al 2013, ...  
Wave-guides: Mukherjee et al. 2015, Maczewesky et al. 2016

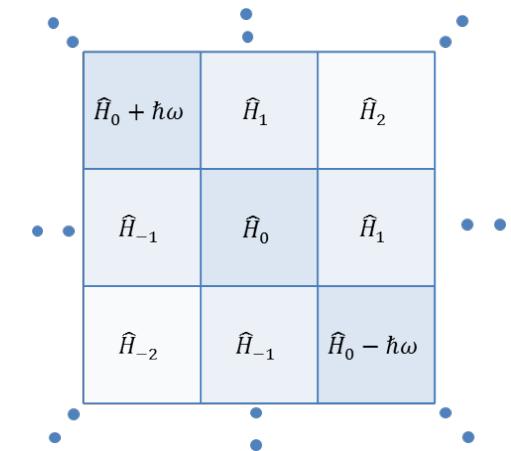
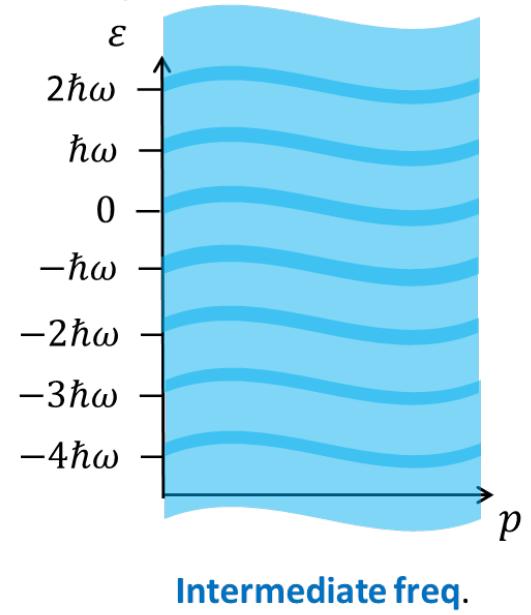
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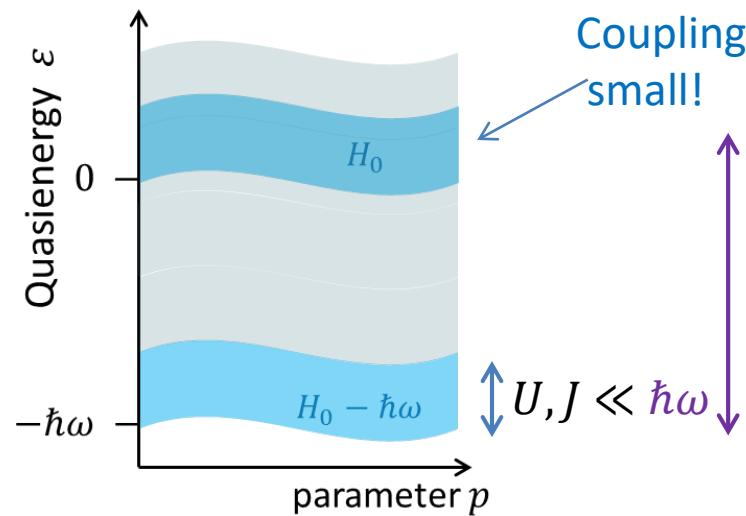
$$H_{\text{eff}} \simeq H_0 + \sum_{m \neq 0} \frac{H_m H_{-m}}{m \hbar\omega} + \dots$$



## Role of Interactions?

- **Heating**
- Interplay with modified kinetics
- Modification of interactions

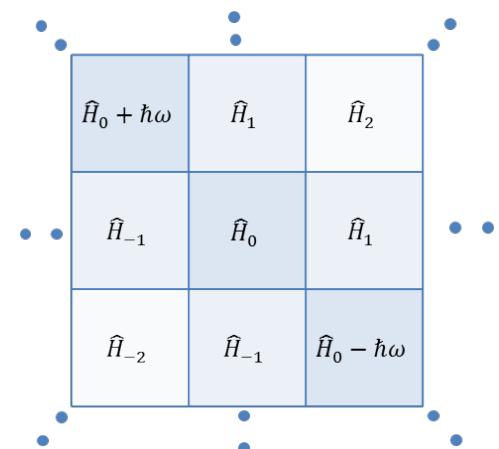
# Heating



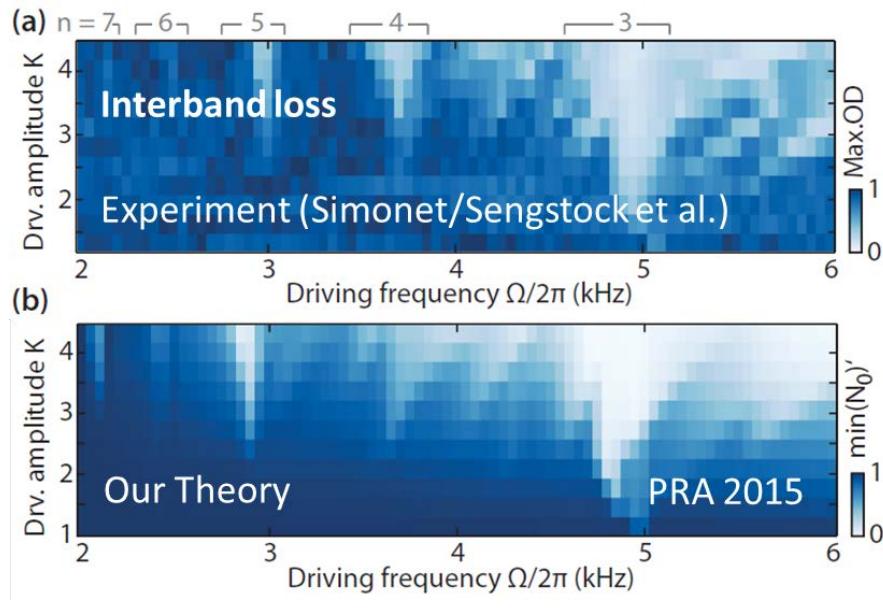
$H_{\text{eff}} \approx H_0$  before heating sets in on time scale  $t_h$  [A.E. et al. 2005]

**Exponential growth of  $t_h$  with  $\omega$ :**

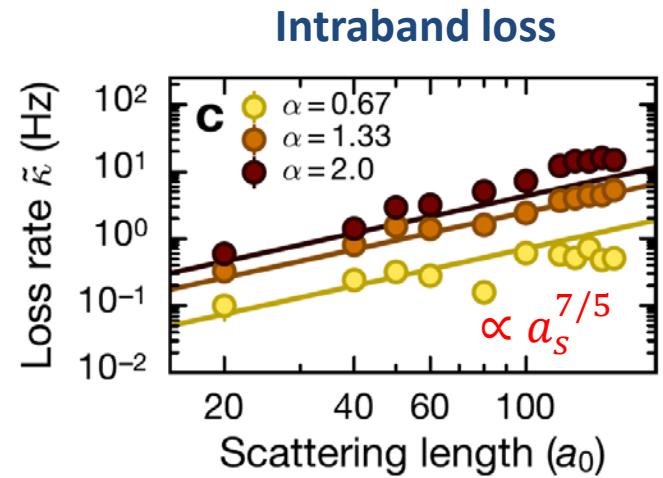
- Perturbation theory for bosonic Mott state [A.E., Holthaus 2008]
- Proof for spin systems [Abanin et al. 2016, Kwahara et al. 2016]



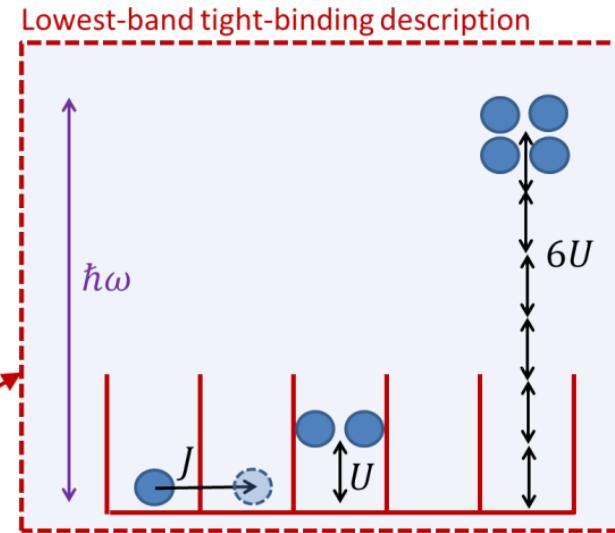
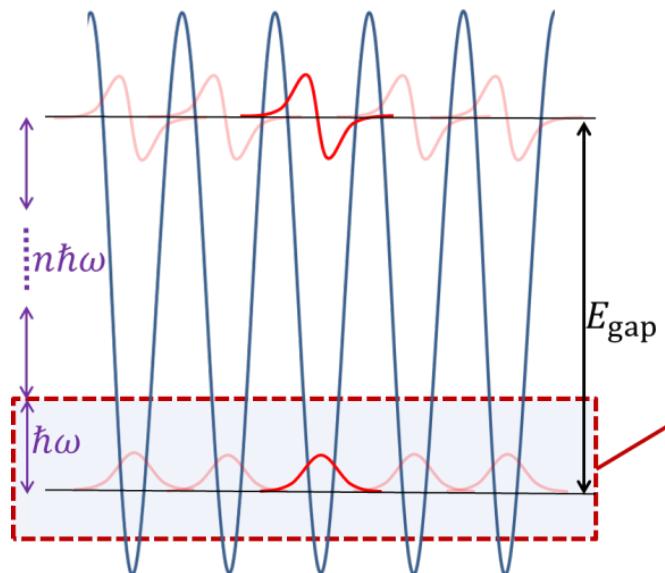
# Heating



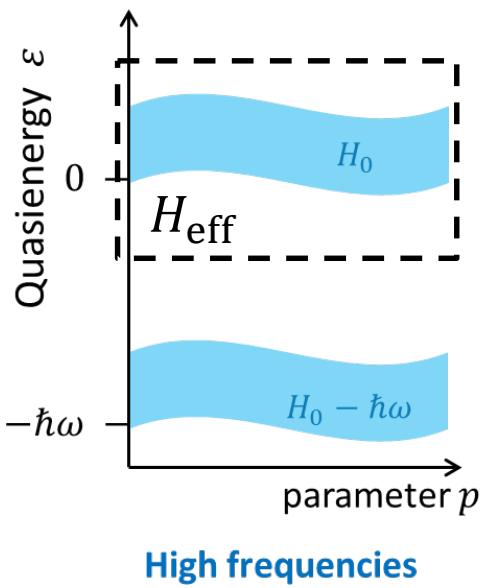
also Sträter & A. E., Z. Naturforsch. 2016



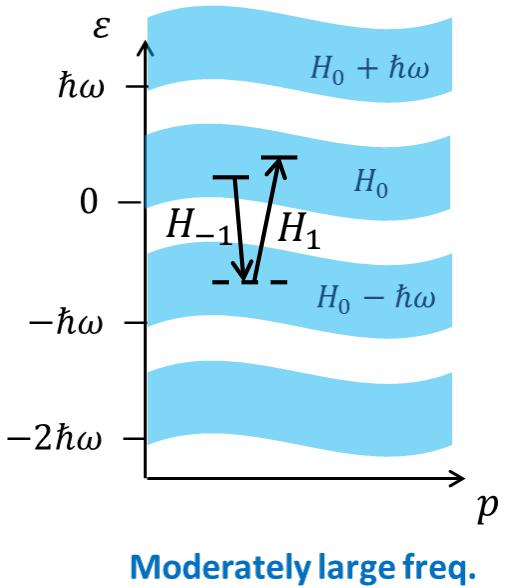
Experiment (Schneider/Bloch) & Our Theory  
[arXiv:1706.04819]



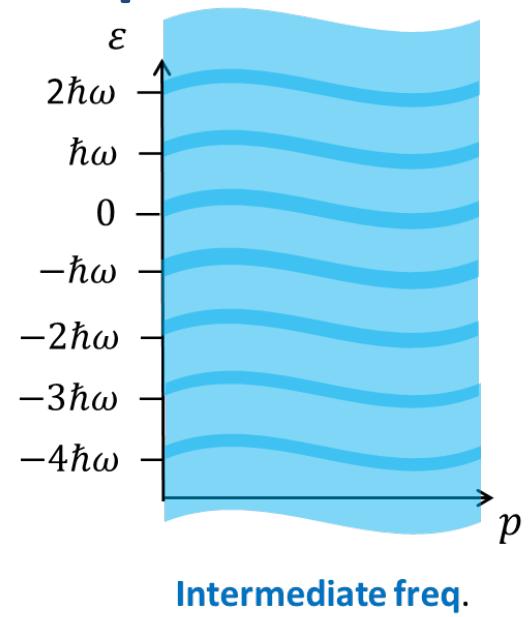
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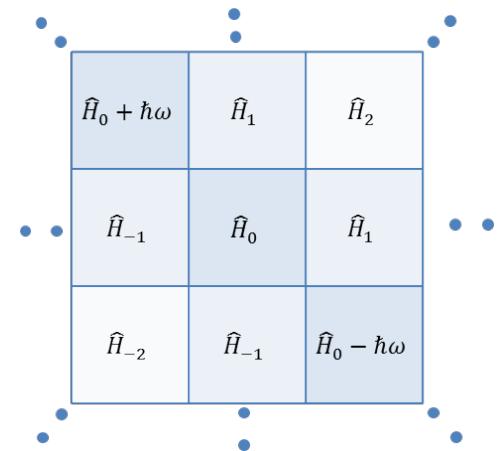


$$H_{\text{eff}} \simeq H_0 + \sum_{m \neq 0} \frac{H_m H_{-m}}{m \hbar\omega} + \dots$$

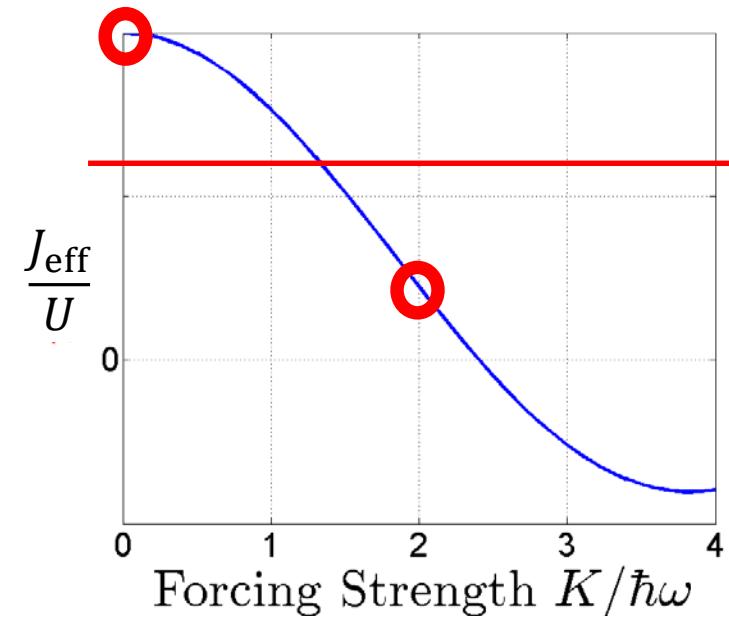
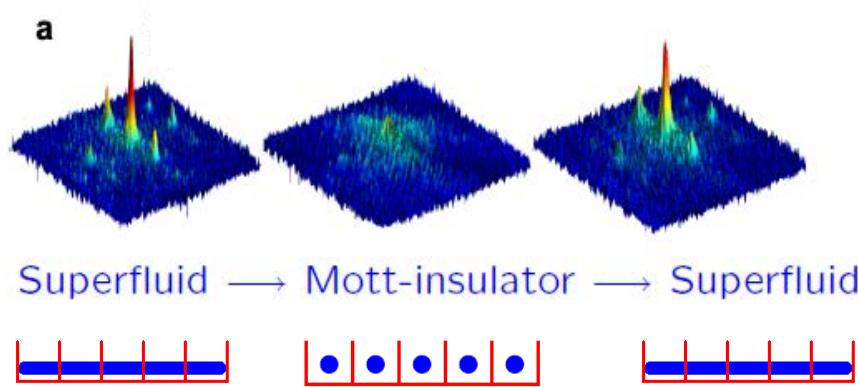


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- Heating
- **Interplay with modified kinetics**
- Modification of interactions



# Dynamically induced quantum phase transition



experiment: Zenesini et al., PRL (2009)  
proposal: A.E. et al., PRL (2005)

# Mimic quantum antiferromagnetism

$$H_{\text{eff}} \simeq +|J_{\text{eff}}| \sum_{\langle ij \rangle} a_i^\dagger a_j + \frac{U}{2} \sum_i n_i(n_i - 1)$$

Hard-core bosons ( $U \gg J$ ) map to spin-1/2 model:

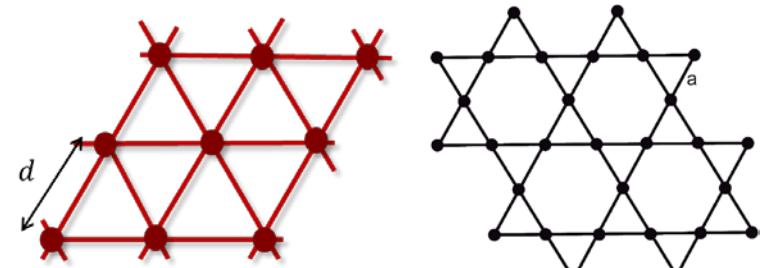
$$\begin{aligned} n_i = 1: \quad S_i^z &= \uparrow \\ n_i = 0: \quad S_i^z &= \downarrow \end{aligned}$$

$$a_j \rightarrow S_j^+ \quad a_j^\dagger \rightarrow S_j^-$$

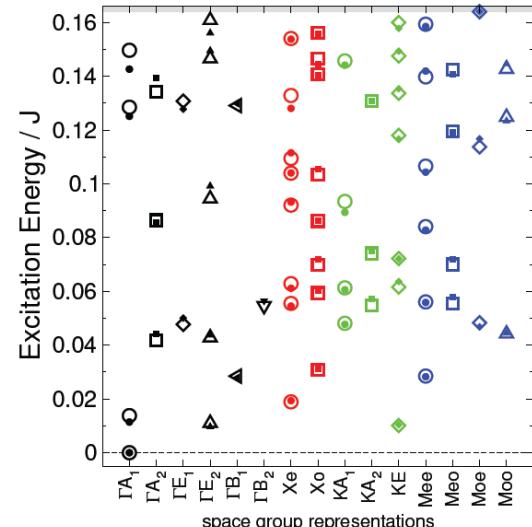
$$H_{\text{eff}} \simeq +|J_{\text{eff}}| \sum_{\langle ij \rangle} \left( S_i^x S_j^x + S_i^y S_j^y \right)$$

$\frac{|J_{\text{eff}}|}{\text{Temperature}}$  might be larger than for Heisenberg magnetism in Mott insulator of fermionic atoms.

A.E. et al. EPL 2010

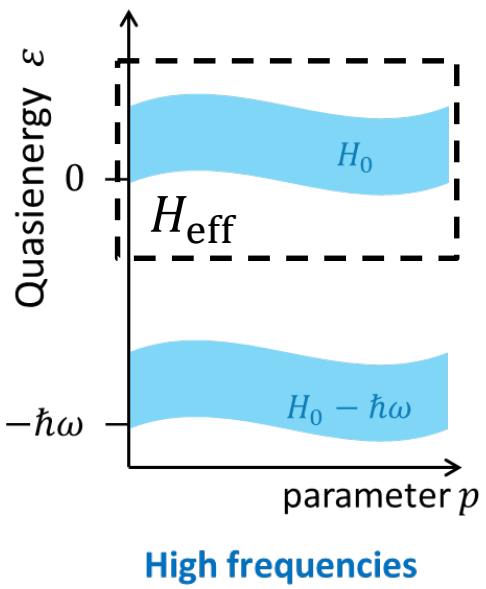


Interesting observation:  
Frustrated XY and Heisenberg models can share low-energy properties:

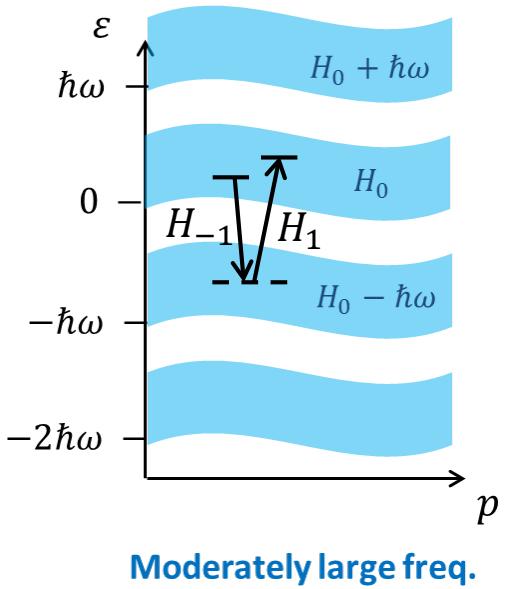


Heisenberg vs. XY for Kagomé lattice  
[Läuchli & Moessner arXiv:1504.04380]

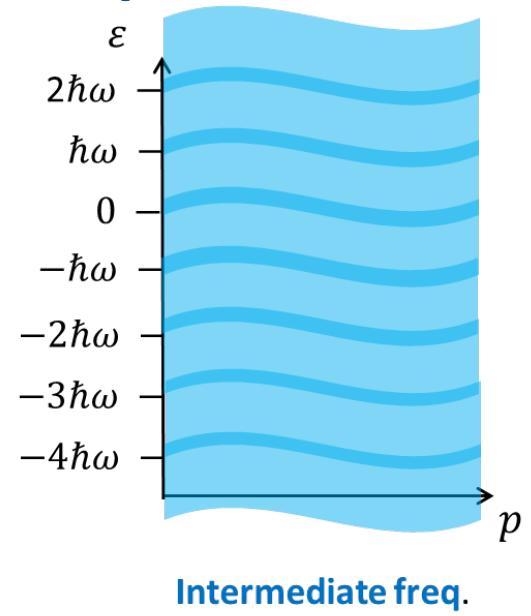
# Single-particle finite-band picture



$$H_{\text{eff}} \simeq H_0 = \frac{1}{T} \int_0^T H(t) dt$$



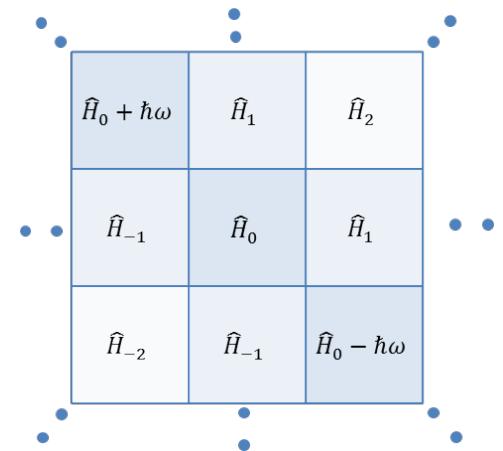
$$H_{\text{eff}} \simeq H_0 + \sum_{m \neq 0} \frac{H_m H_{-m}}{m \hbar\omega} + \dots$$



$$H_{\text{eff}} \simeq H_0 + \sum_{m \neq 0} \frac{H_m H_{-m}}{m \hbar\omega} + \dots$$

## Role of Interactions?

- Heating
- Interplay with modified kinetics
- **Modification of interactions**

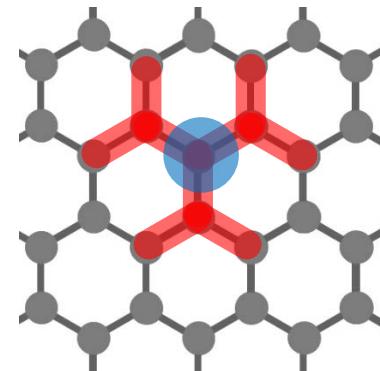
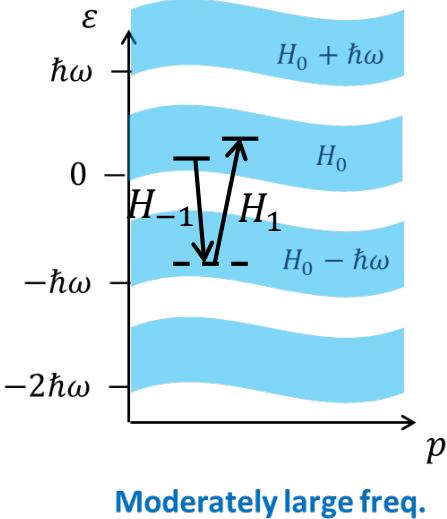


# **NON-LOCAL INTERACTIONS FROM REAL-SPACE MICROMOTION AND THEIR IMPACT ON A FRACTIONAL CHERN INSULATOR**

# Floquet Fractional Chern insulator

Impact on stabilization of Fractional Chern insulator?

Bosonic case?



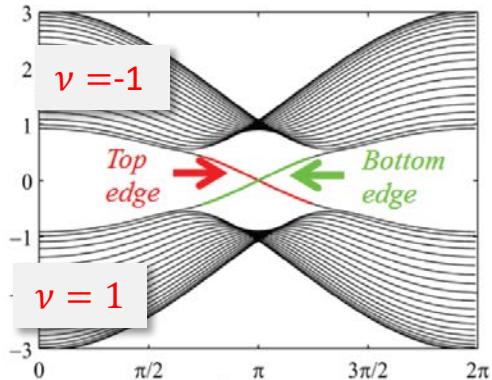
Bosons with on-site interactions

$$H_{\text{eff}} \simeq H_0 + \sum_{m \neq 0} \frac{H_m H_{-m}}{m \hbar \omega} + H_{\text{int}}$$

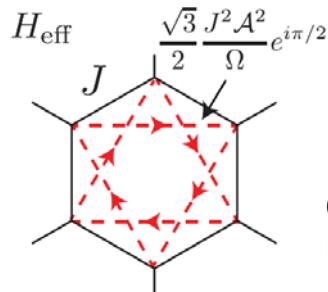
$$+ \sum_{m \neq 0} \frac{[H_{-m}, [H_{\text{int}}, H_m]]}{2(m \hbar \omega)^2}$$

Interaction corrections

A.E. & Anisimovas NJP 2015



Chern insulator



Oka & Aoki PRB 2009  
Kitagawa et al. 2011:

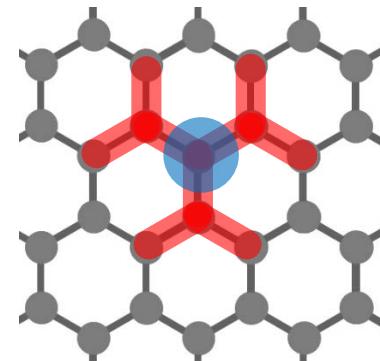
Fermionic fractional Chern insulator ( $\nu = 1/3$ )  
(without interaction corrections)  
Grushin et al. 2014:

# Floquet Fractional Chern insulator

Impact on stabilization of  
Fractional Chern insulator?

Bosonic case?

$$H_{\text{eff}} \simeq H_0 + \sum_{m \neq 0} \frac{H_m H_{-m}}{m \hbar \omega} + H_{\text{int}} + \sum_{m \neq 0} \frac{[H_{-m}, [H_{\text{int}}, H_m]]}{2(m \hbar \omega)^2}$$



Bosons with on-site interactions

E.g. for spinless bosons:

$$\begin{aligned} \sum_{m \neq 0} \frac{[H_{-m}, [H_{\text{int}}, H_m]]}{2(m \hbar \omega)^2} = & 8W_a \left[ -z \sum_{\ell} \frac{1}{2} n_{\ell} (n_{\ell} - 1) + \sum_{\langle \ell' \ell \rangle} n_{\ell'} n_{\ell} \right] + W_b \sum_{\langle \ell' \ell \rangle} a_{\ell'}^{\dagger} a_{\ell'}^{\dagger} a_{\ell} a_{\ell} \\ & - W_c \sum_{\langle \ell' k \ell \rangle} a_{\ell'}^{\dagger} (4n_k - n_{\ell'} - n_{\ell}) a_{\ell} - W_d \sum_{\langle \ell' k \ell \rangle} (a_{\ell'}^{\dagger} a_{\ell}^{\dagger} a_k a_k + h.c.) \end{aligned}$$

$$W_x = \frac{UJ^2}{2\hbar\omega} J_1^2 \left( \frac{K}{\hbar\omega} \right) + O \left( \left( \frac{K}{\hbar\omega} \right)^4 \right)$$

8 bosons,  $\nu = 1/2$ ,

from exact diagonalization + band projection

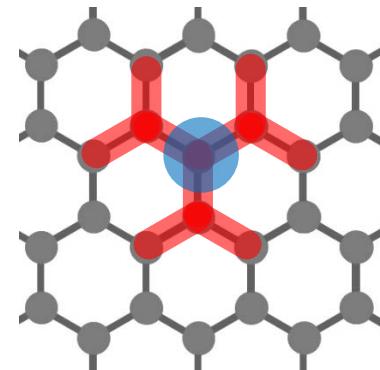
A.E. & Anisimovas NJP 2015

# Floquet Fractional Chern insulator

Impact on stabilization of  
Fractional Chern insulator?

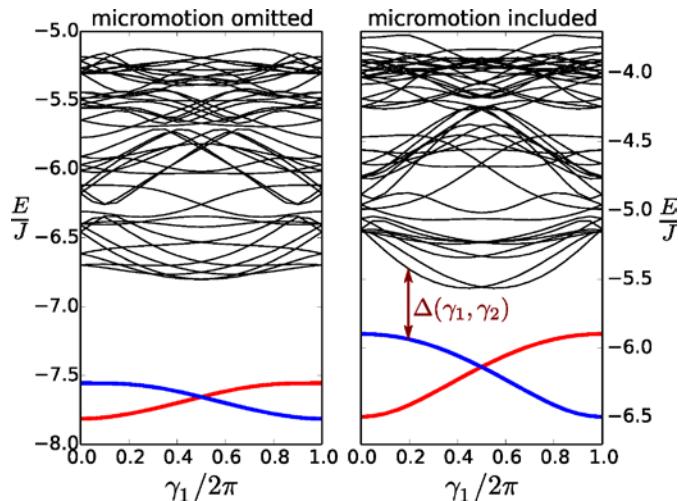
Bosonic case?

$$H_{\text{eff}} \simeq H_0 + \sum_{m \neq 0} \frac{H_m H_{-m}}{m \hbar \omega} + H_{\text{int}} + \sum_{m \neq 0} \frac{[H_{-m}, [H_{\text{int}}, H_m]]}{2(m \hbar \omega)^2}$$

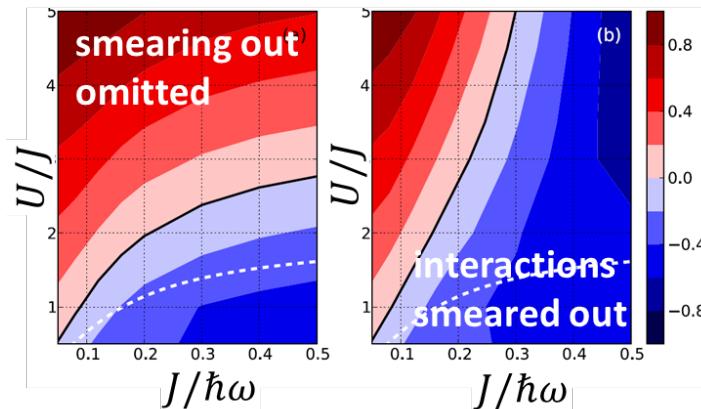


Bosons with on-site interactions

Spectrum and spectral flow



Topological gap



**6 bosons,  $\nu = 1/2$ ,**  
from exact diagonal. + band projection

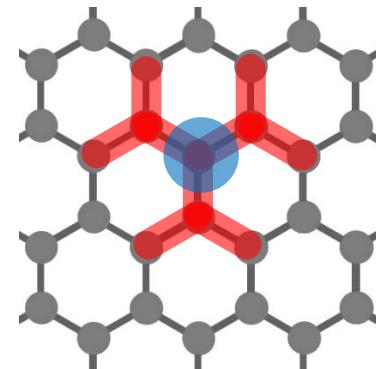
**8 bosons,  $\nu = 1/2$ ,**  
from exact diagonalization + band projection

# Floquet Fractional Chern insulator

Impact on stabilization of  
Fractional Chern insulator?

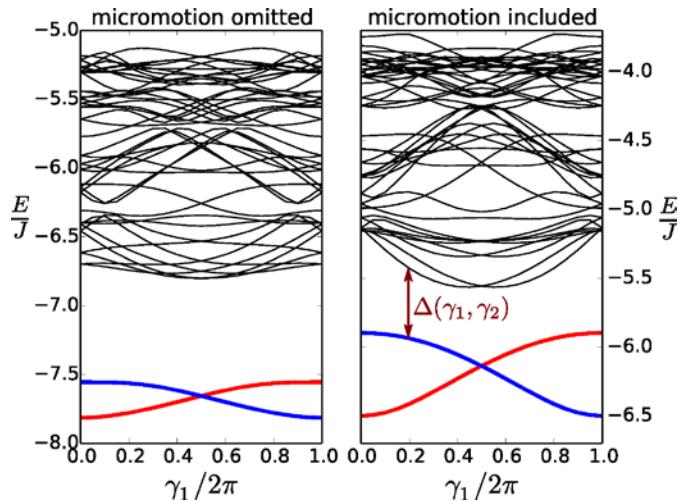
Bosonic case?

$$H_{\text{eff}} \simeq H_0 + \sum_{m \neq 0} \frac{H_m H_{-m}}{m \hbar \omega} + H_{\text{int}} + \sum_{m \neq 0} \frac{[H_{-m}, [H_{\text{int}}, H_m]]}{2(m \hbar \omega)^2}$$



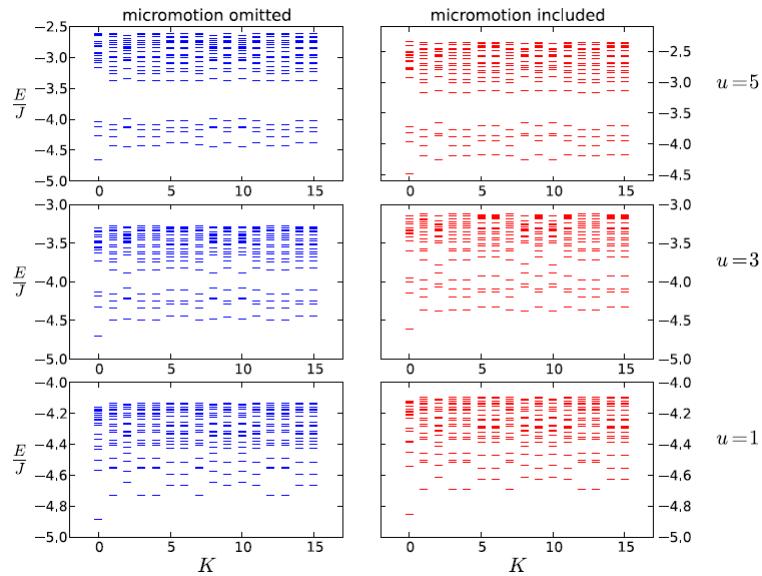
Bosons with on-site interactions

Spectrum and spectral flow



**6 bosons,  $\nu = 1/2$ ,**  
from exact diagonal. + band projection

Quasihole spectrum



**8 bosons,  $\nu = 1/2$ ,**  
from exact diagonalization + band projection

# **REALIZATION AND SIGNATURES OF 1D ANYONS**

# 1D anyons on a lattice

Keilmann, Lanzmich, Mc Culloch, Roncaglia, Nat. Comm. 2011

## Tight-binding chain

$$H = -J \sum_{j=2}^M (a_j^\dagger a_{j-1} + \text{h. c.})$$

### Bosons

$$a_j a_i^\dagger - a_i^\dagger a_k = \delta_{kj}$$

$$a_j a_i - a_i a_k = 0$$

### 1D Anyons

$$a_j a_k^\dagger - e^{i\theta \text{sgn}(k-j)} a_k^\dagger a_j = \delta_{kj}$$

$$a_j a_k - e^{i\theta \text{sgn}(k-j)} a_k a_j = 0$$

### Fermions

$$a_j a_k^\dagger + a_k^\dagger a_j = \delta_{kj}$$

$$a_j a_k + a_k a_j = 0$$

interpolate between  
Bosons ( $\theta = 0$ ) &  
Pseudo-Fermions ( $\theta = \pi$ )

1D anyons represented by bosons  $b_j$  with number-dependent tunneling:

Jordan-Wigner transformation  $a_j = b_j \exp(i\theta \sum_{i>k} n_i)$

$$H = -J \sum_{j=2}^M (b_j^\dagger b_{j-1} e^{i\theta n_j} + \text{h. c.})$$

# How to realize 1D anyons?

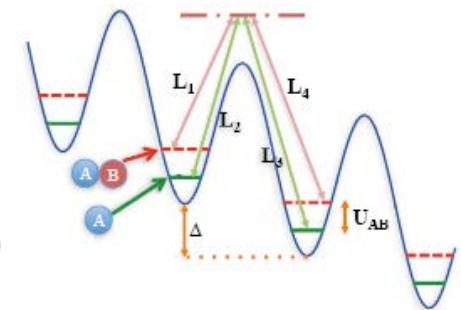
Bosonic representation of anyonic Hubbard model

$$H = -J \sum_{j=2}^M (b_j^\dagger b_{j-1} e^{i\theta n_j} + \text{h. c.}) + \frac{U}{2} \sum_j n_j(n_j - 1)$$

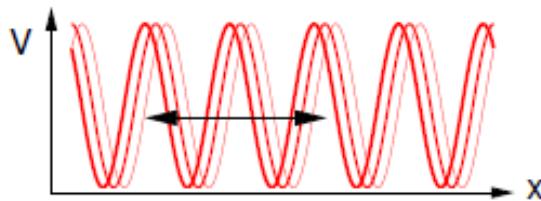
Proposals relying on Raman-assisted tunneling

[Keilmann et al. 2011, Greschner & Santos 2015]

experimentally involved (require additional lasers)



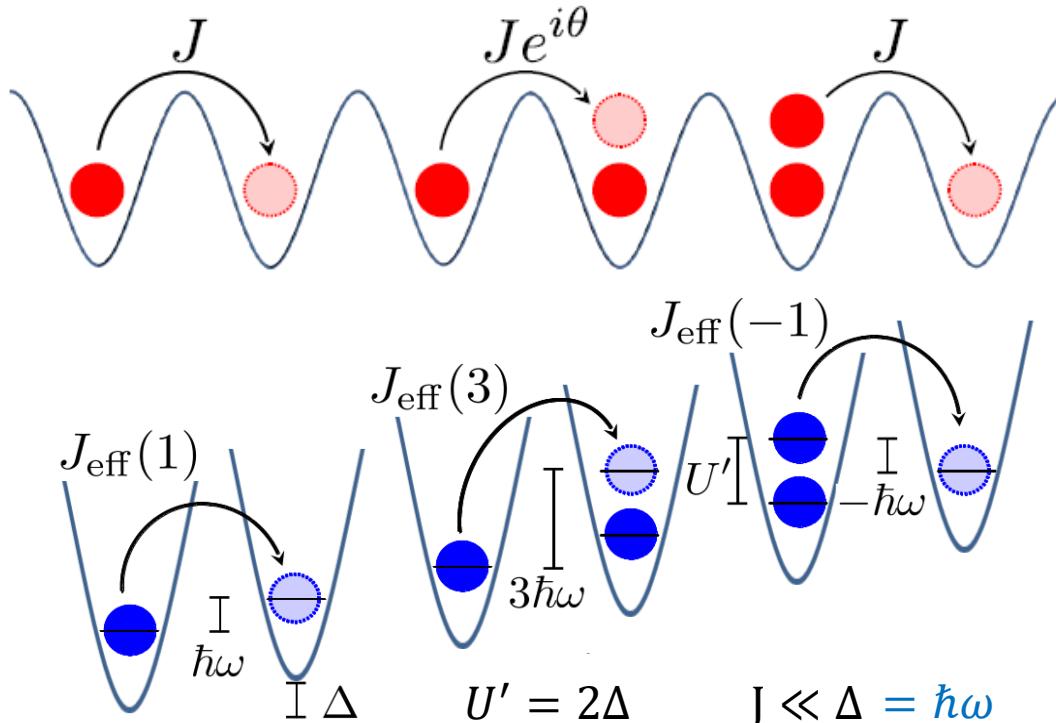
Here: implementation based on simple lattice-shaking



Sträter, Srivastava, A.E. PRL **117**, 205303 (2016)

See also scheme based on modulation of lattice depth.  
Cardarelli, Greschner & Santos PRA 2016

# Realization



Coherent tunneling via **resonant lattice shaking**

$$H(t) = -J \sum_{j=2}^M (\hat{b}_j^\dagger \hat{b}_{j-1} e^{i\omega t \hat{v}_{j,j-1} - i\chi(t)} + \text{h. c.})$$

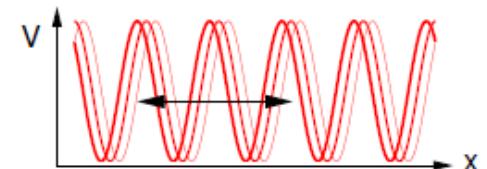
$$H_{\text{eff}} \approx H_0 = - \sum_{j=2}^M (\hat{b}_j^\dagger \hat{b}_{j-1} J_{\text{eff}}(\hat{v}_{j,j-1}) + \text{h. c.})$$

Low-density regime:  
three basic processes

**SUPPRESS TUNNELING:**  
Strong lattice tilt + strong interactions

$$\Delta E_{j,j-1}^{\text{tun}} = \hbar\omega \hat{v}_{j,j-1}$$

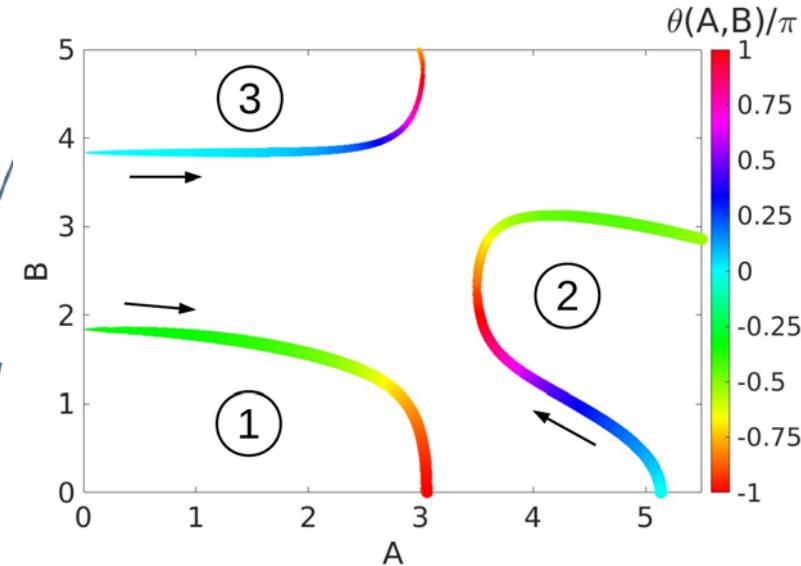
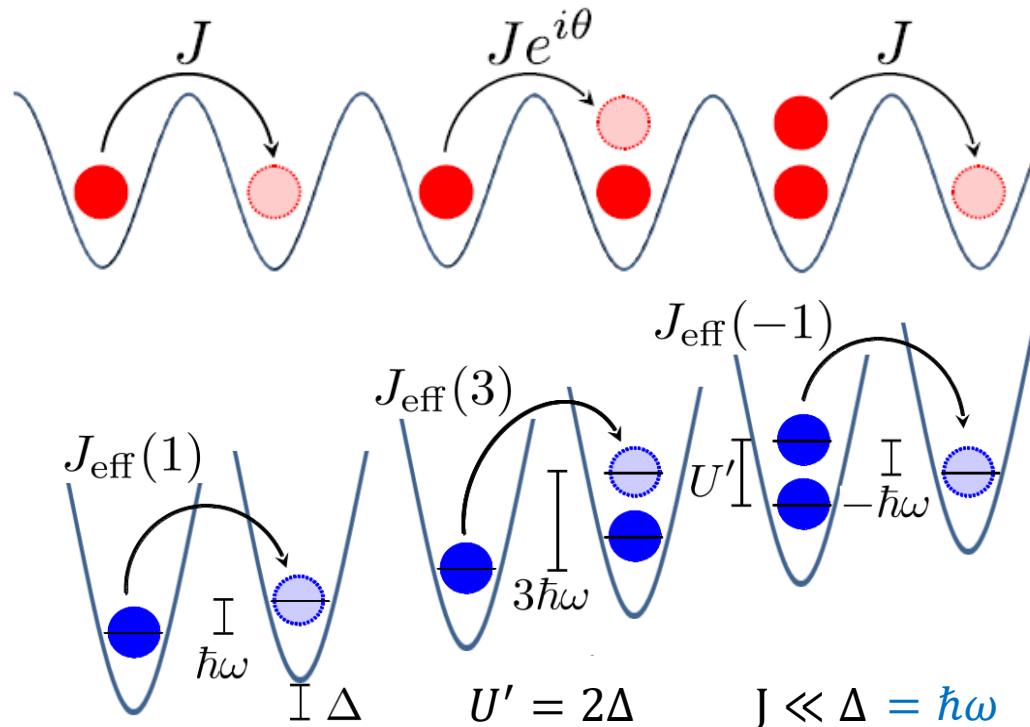
$$\begin{aligned} \hat{v}_{j,j-1} &= 2(\hat{n}_j - \hat{n}_{j-1}) + 3 \\ &= \pm 1, \pm 3, \dots \end{aligned}$$



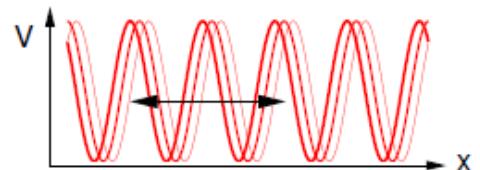
$$\begin{aligned} \chi(t) &= \chi(t + T) \propto \text{lattice velocity} \\ &= A \cos(\omega t) + B \cos(2\omega t) \end{aligned}$$

$$J_{\text{eff}}(v) = \frac{J}{T} \int_0^T dt e^{i\omega t v - i\chi(t)}$$

# Realization



$$\hat{v}_{j,j-1} = 2(\hat{n}_j - \hat{n}_{j-1}) + 3 \\ = \pm 1, \pm 3, \dots$$



$$\chi(t) = \chi(t + T) \propto \text{lattice velocity} \\ = A \cos(\omega t) + B \cos(2\omega t)$$

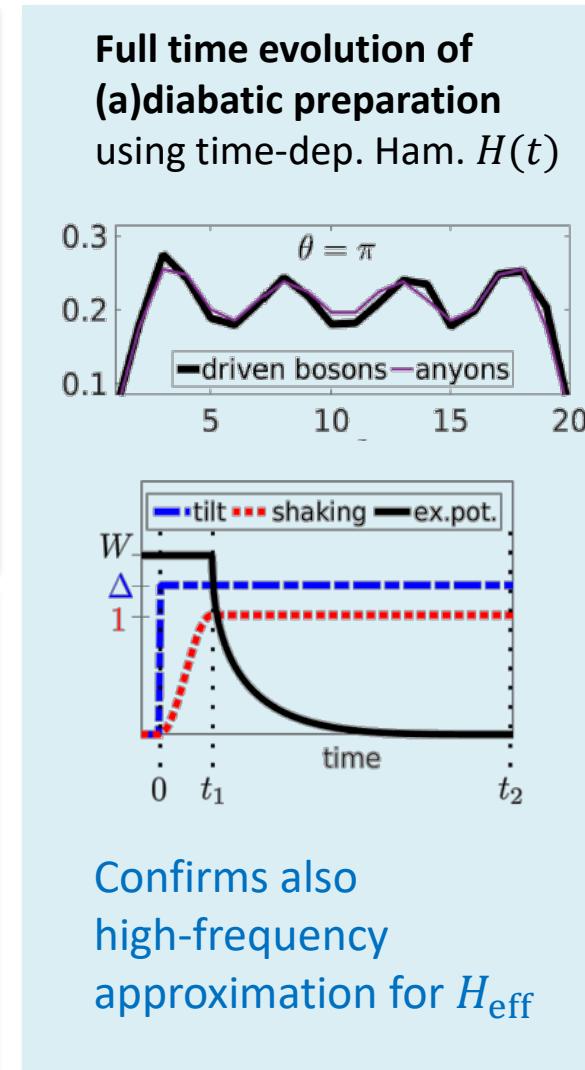
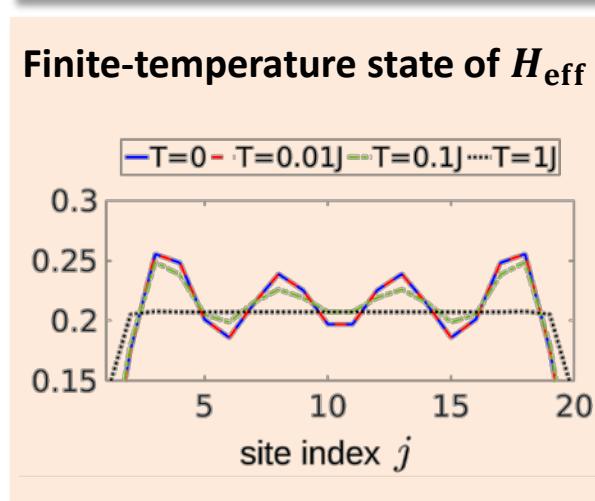
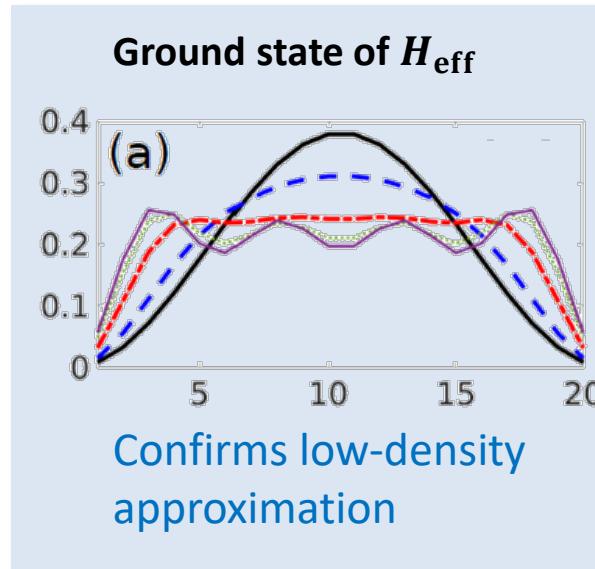
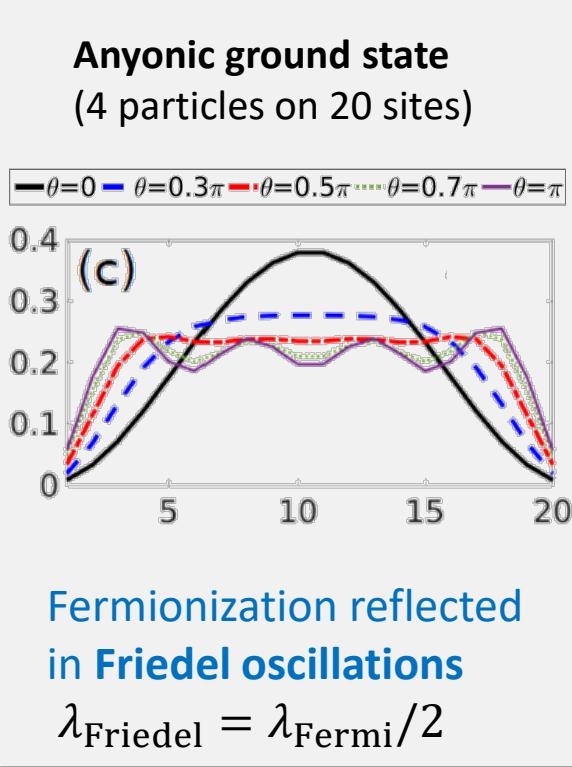
$$H_{\text{eff}} \approx H_0 = - \sum_{j=2}^M (\hat{b}_j^\dagger \hat{b}_{j-1} J_{\text{eff}}(\hat{v}_{j,j-1}) + \text{h. c.})$$

$$J_{\text{eff}}(v) = \frac{J}{T} \int_0^T dt e^{i\omega t v - i\chi(t)}$$

# Signature of smooth fermionization

Anyonic momentum distribution not measurable (not invariant under Jordan-Wigner transf.)

Real-space density (identical for bosons and anyons)



Thank you!