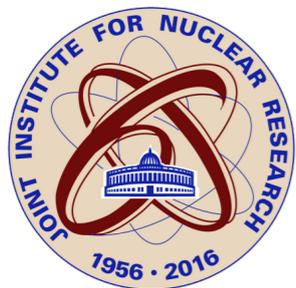


*Probing Majorana modes using entanglement
measures and
fractional ac Josephson effect*

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*Novel Quantum States in Condensed Matter
20th November 2017*

Plan of the talk

- *Dynamics of unconventional Josephson junctions using RCSJ model*
 - *presence of odd Shapiro steps*
 - *presence of additional steps in the devil's staircase structure*
- *Entanglement measures in the Kitaev model on the honeycomb lattice*
 - *qualitative behaviour of the entanglement entropy*
 - *Schmidt gap is dependent on the presence of gapless edge modes*

*Recent experiments detecting
presence of Majorana modes*

Recent proposal using one-dimensional nanowire

- Proximity induced effective p-wave pairing amplitude
- Main ingredients:
 - a) Strong spin-orbit (SO) coupling.
 - b) spin polarization.
 - c) proximity induced superconductivity.
- Semiconductor nanowires

$$\mathcal{H}(q_z) = \frac{\hbar^2 q_z^2}{2m_{eff}} + \alpha \hat{\mathbf{n}} \cdot (\boldsymbol{\sigma} \times \mathbf{q})$$

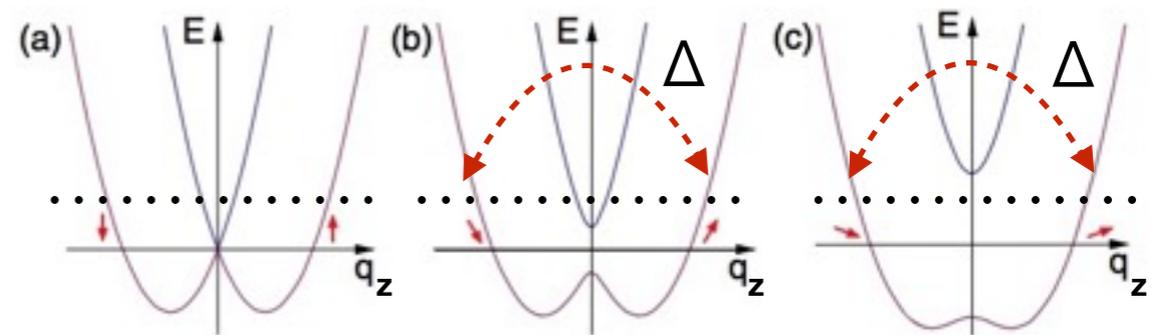
↑ strength of SO coupling

↖ direction of SO coupling

$$\epsilon(q_z) = \frac{\hbar^2 q_z^2}{2m_{eff}} \pm \alpha q_z$$

- Introduce in-plane magnetic field \mathbf{B} opens a gap at $q_z = 0$

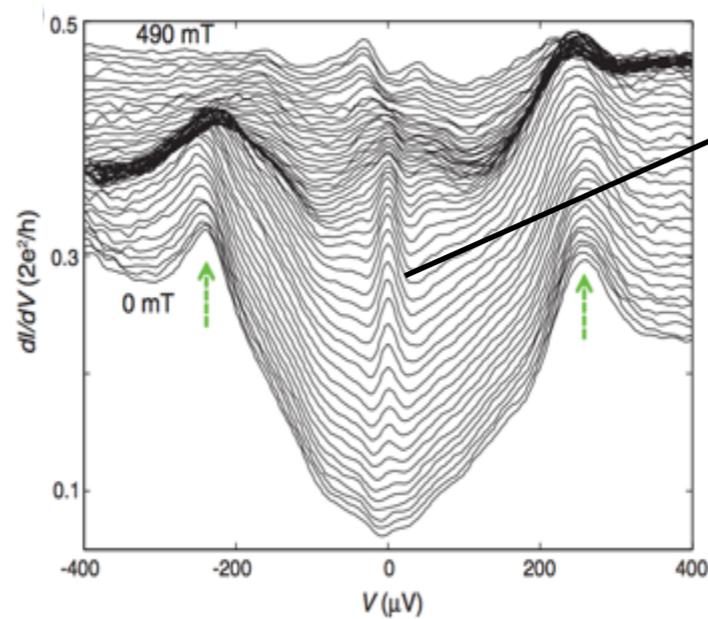
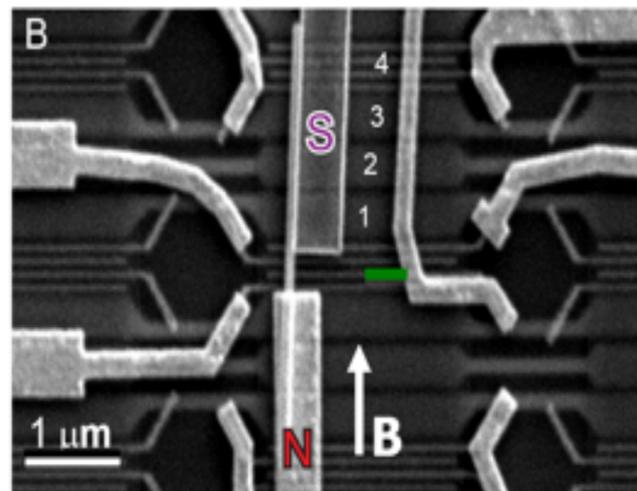
$$\epsilon(q_z) = \frac{\hbar^2 q_z^2}{2m_{eff}} \pm \sqrt{\alpha^2 q_z^2 + B_z^2}$$



- Condition for topological phase hosting Majorana fermions:

$$\sqrt{\mu^2 + \Delta^2} < B_z$$

Mid-gap zero-bias states using 1-d nanowire



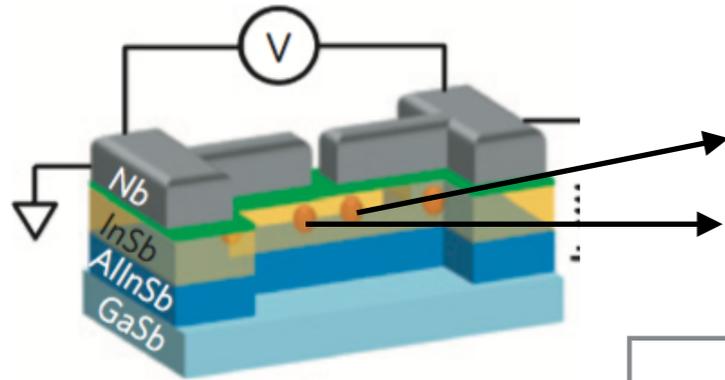
Position of the zero bias peaks

☆ *Mourik et. al Science (2012)*

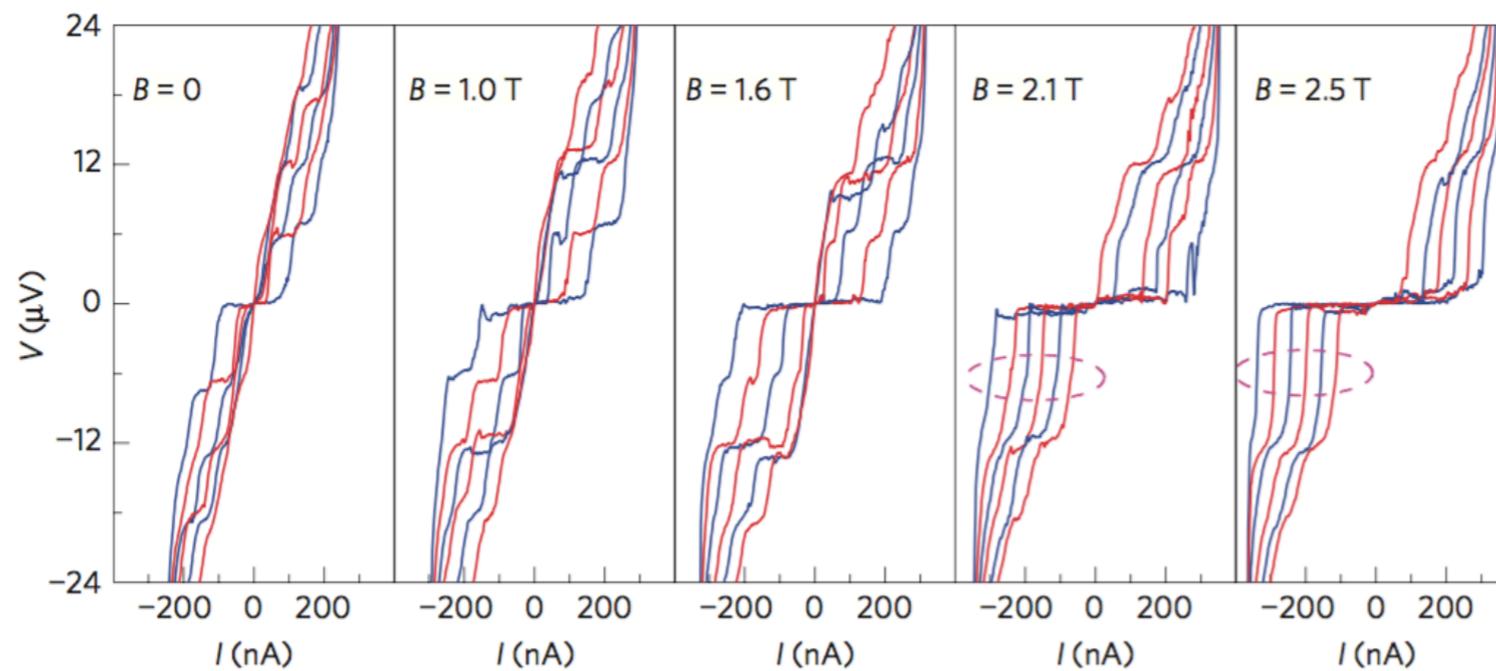
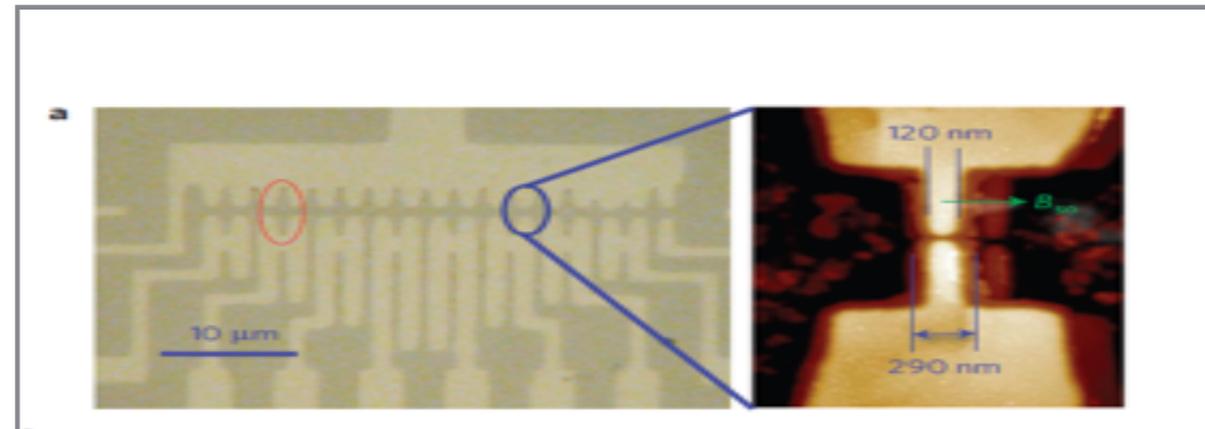
- ☆ *Das et. al Nat. Phys (2012)*
- ☆ *Deng et. al Nano Lett. (2012)*
- ☆ *Finck et. al PRL (2013)*

Fractional ac Josephson effect: Doubling of Shapiro steps

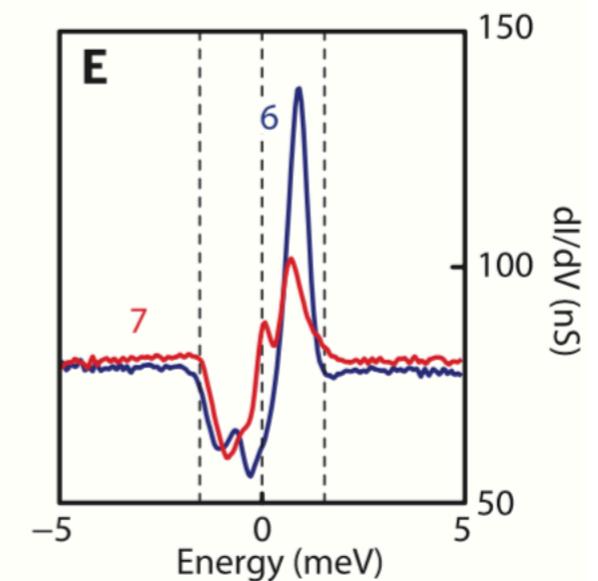
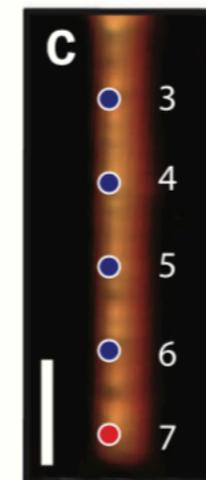
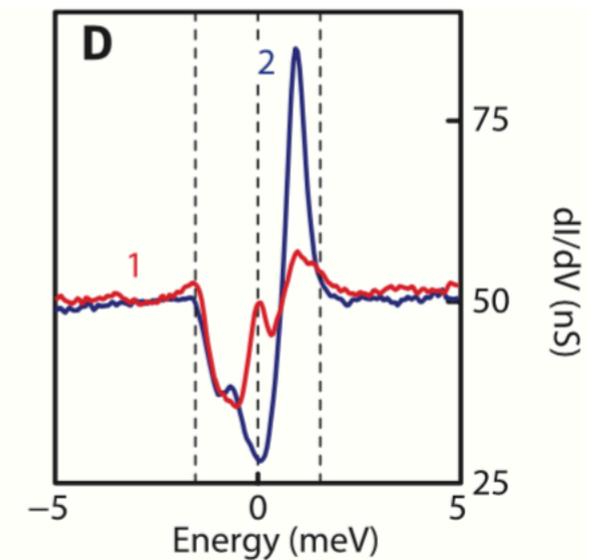
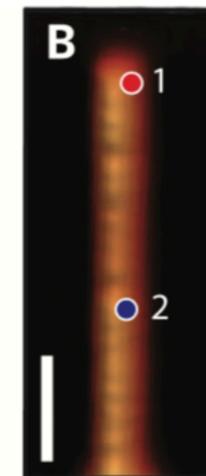
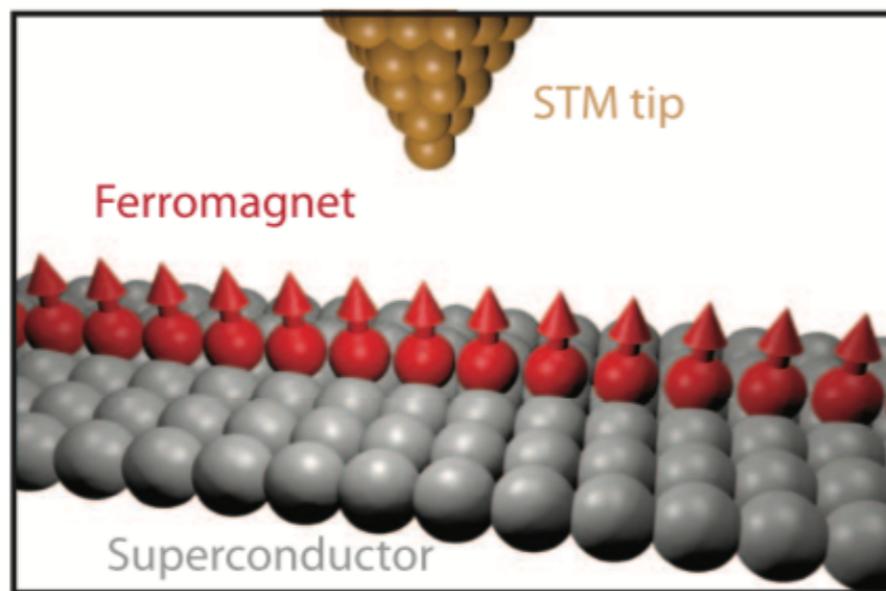
☆ Rokhinson et. al Nat. Phys. (2012)



Position of the zero-bias Majorana modes



Localised edge states on ferromagnetic atomic chains atop Pb superconductor



☆ *Nadj-Perge et. al Science (2013)*

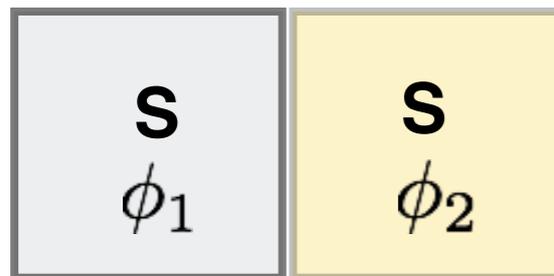
fractional Josephson effect

Josephson effect in conventional junctions

- *Junctions with a layer of non-superconducting material sandwiched between two superconducting layers.*

- Systems with s-wave pairing amplitude, e.g Nb, Al, Pb etc

$$\Delta = \Delta_0 \exp(i\phi)$$



superconducting current in the absence of any external bias :

$$I_J \sim \sin(\phi)$$

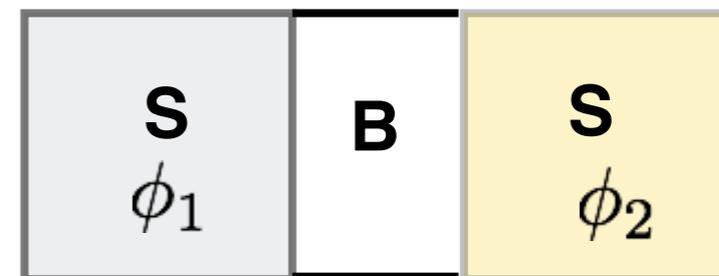
$$\phi = \phi_1 \sim \phi_2$$

☆ *Josephson (1962)*

Weak links



Tunnel junctions



Superconducting current is due to

proximity effect

$$I_J \sim \sin(\phi)$$

Superconducting current is due to

Andreev bound states

Josephson effect in unconventional junctions

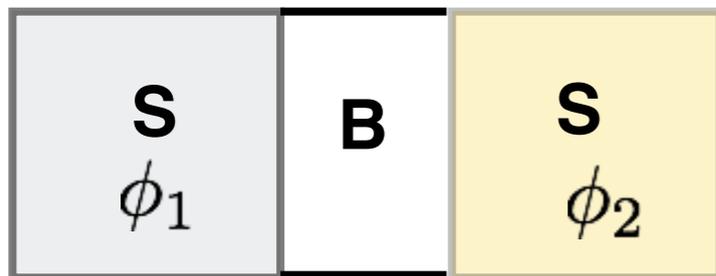
$$\Delta(k_F) = \Delta_0 g(k_F) \exp(i\phi)$$

$g(k_F)$: variation around the Fermi surface

ϕ : global phase factor

- **Systems with unconventional pairing amplitude:**

$$g(\mathbf{k}) = (k_x + ik_y)/k_F$$



$$I_J \sim \sin(\phi/2)$$

$$\phi = (\phi_1 \sim \phi_2)$$

The current phase relation changes from $I_J \sim \sin(\phi)$ to $I_J \sim \sin(\phi/2)$

Doubling of the periodicity of the phase in the current-phase relation!

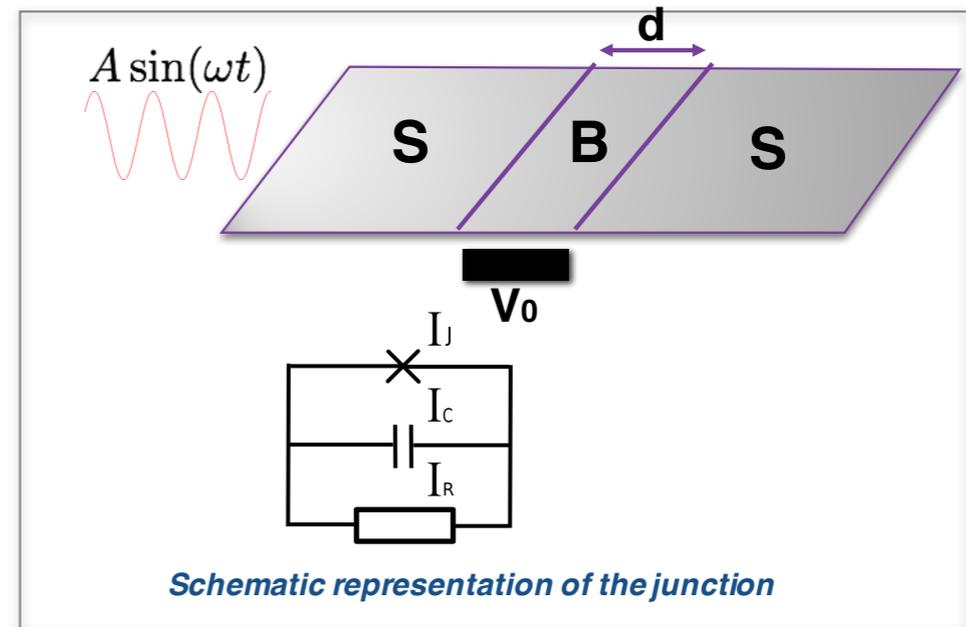
*Dynamics of unconventional
Josephson junctions*

The resistively and capacitively shunted Josephson junction

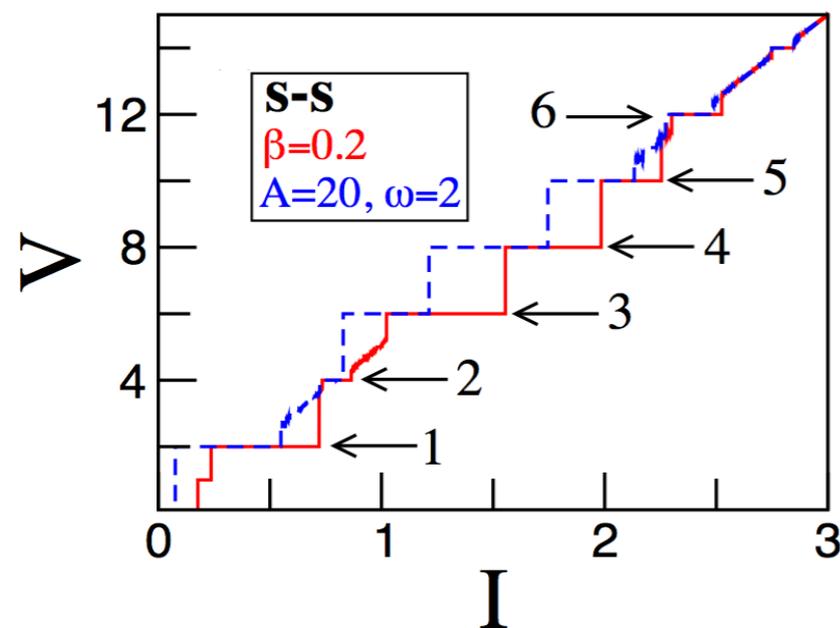
$$I + A \sin \omega t = I_J + C_0 \frac{\Phi_0}{2\pi} \frac{d^2 \phi}{dt^2} + \frac{\Phi_0}{2\pi R} \frac{d\phi}{dt}$$

- Phase ϕ has time dependence in presence of external radiation

$$\frac{d\phi}{dt} = 2eV/h$$



Current-Voltage characteristics



Dissipation parameter $\beta = \sqrt{\frac{\hbar}{2eR^2C_0}}$

$\beta \lesseqgtr 1$ (underdamped/overdamped)

$$V = n\hbar\omega/2e$$

• *Shapiro steps*

$$V = \omega, 2\omega, 3\omega..$$

Shapiro steps in Josephson junction

- *Shapiro step structures are predicted to be different for Josephson junctions with $\sin(\phi)$ and $\sin(\phi/2)$ current phase relations.*
- *4π periodic Josephson effect or appearance of Shapiro steps at even multiples of frequency of external radiation i.e*

$$V = 2\omega, 4\omega, 6\omega..$$

- *Recent theoretical works in the **overdamped regions** for $\sin(\phi/2)$ current-phase relations using junctions of unconventional superconductors.*

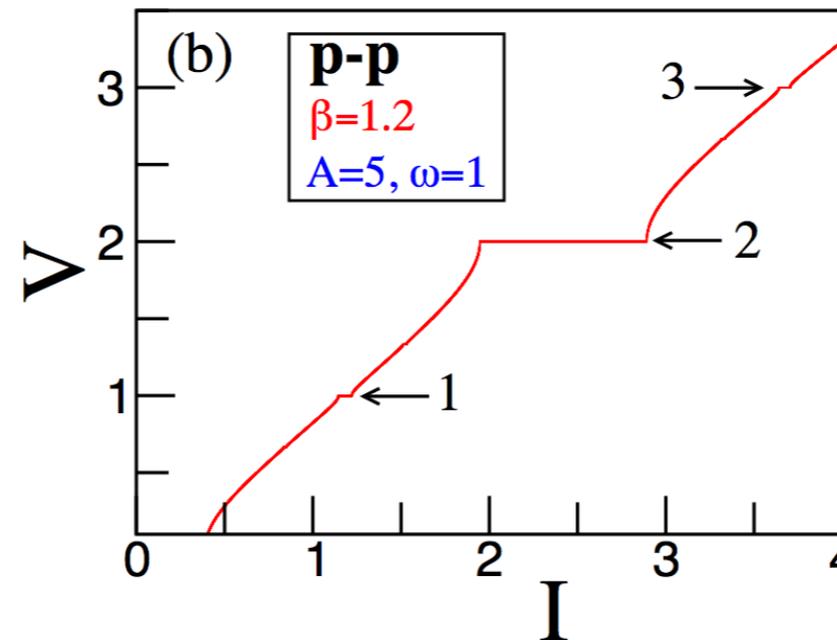
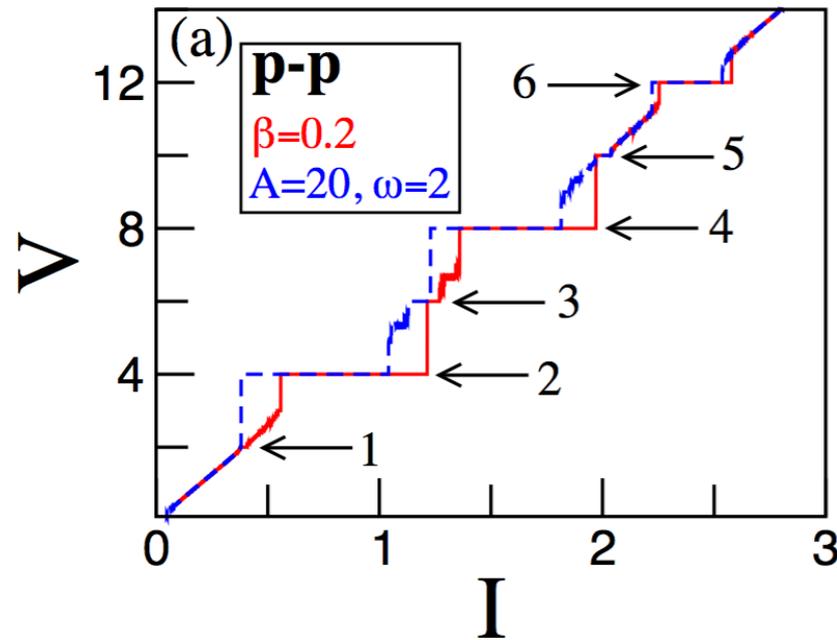
☆ Domnguez et. al. PRB (2012)
☆ Houzet et. al PRL (2013)

- *Effect of including capacitance?*



Appearance of odd Shapiro steps!

☆ PRB 92, 224501 (2015)



$D=0.4, A=20, \beta=0.2$

$$I_J = \frac{e\Delta_0}{\hbar} \sqrt{D} \sin(\phi/2)$$

- Appearance of **both** odd and even steps in the current-voltage (I-V) characteristics. **This is in contrast to the recent studies where only even steps are observed in the I-V characteristics.**

$$D = 1 / (1 + (2V_0 / \hbar v_F k_F)^2 / 4)$$

dimensionless barrier strength

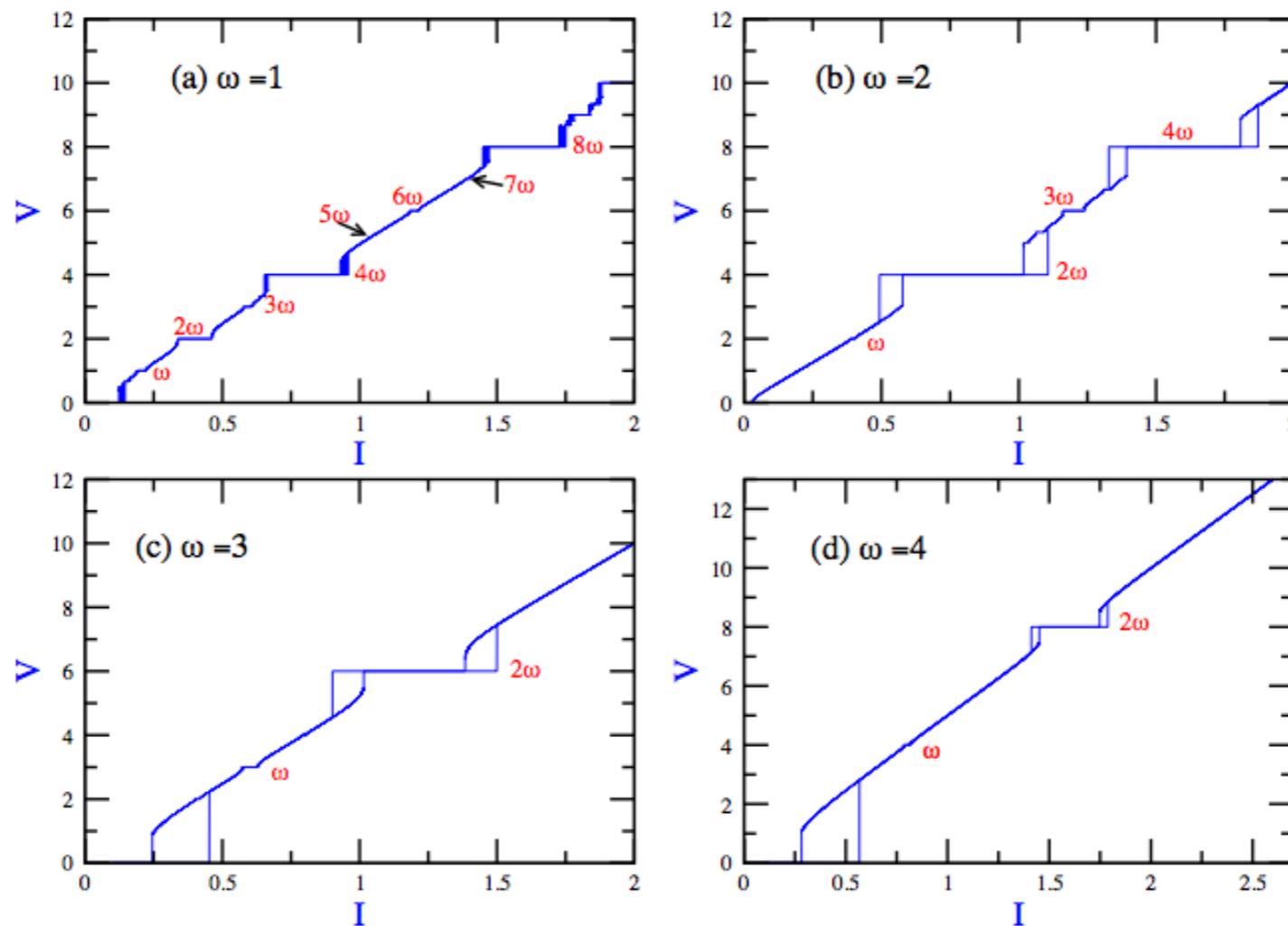
$$\eta = W_{\text{even}}(2\omega) / W_{\text{odd}}(\omega)$$

- Even steps are *enhanced* compared to the odd steps for a significant range of coupling \sim the width of the odd steps are decreases gradually in the resistive junctions.

$W_{\text{even(odd)}}$: Width of Shapiro steps

Appearance of odd Shapiro steps!

C-V characteristics variation with frequency of external radiation:



Perturbative analysis

- In the regime $\beta\omega, \omega, A \gg 1$, perturbative analysis of the non-linear term.

$$\phi = \sum_n \epsilon^n \phi_n, \quad I = \sum_n \epsilon^n I_n \quad \star \text{Kornev et. al, J Phys. Conf. Ser., 43, 1105 (2006)}$$

- $I_0 \sim$ applied current, $I_{n>0} \sim$ determined from $\langle \dot{\phi}_{n>0} \rangle = 0$

- For $n < 2$ $\ddot{\phi}_n + \beta\dot{\phi}_n = f_n(t) + I_n$

where $f_0 = A \sin(\omega t)$
 $f_1 = -\sin(\phi_0/2)$

- In first order, $\phi_0(t) = \phi' + I_0 t / \beta + \frac{A}{\omega\gamma} \sin(\omega t + \alpha_0)$

$$\alpha_0 = \arccos(\omega/\gamma),$$

$$\gamma = \sqrt{\beta^2 + \omega^2}$$

$$I_s^{(0)} \sim \sin(\phi_0(t)/2)$$

$$= \text{Im} \sum_{n=-\infty}^{\infty} J_n(A/2\gamma\omega) e^{i[I_0/(2\beta) + n\omega]t + n\alpha_0 + \phi'/2}$$

Condition for Shapiro steps

$$I_0 = 2|n|\omega\beta$$

$$\Delta I_s^{\text{even}} = 2J_n\left(\frac{A}{2\omega\sqrt{\beta^2 + \omega^2}}\right)$$

~ contribution from the harmonics

• $n=1$

$$\phi_1 = \sum_{n=-\infty}^{\infty} J_n(x) (\gamma_n \omega_n)^{-1} \cos(\omega_n t + n\alpha_0 + \delta_0 + n\phi'/2)$$

$$\omega_n = I_0/(2\beta) + n\omega, \delta_n = \arccos(\omega_n/\gamma_n), \gamma_n = \sqrt{\omega_n^2 + \beta^2}$$

$$\begin{aligned} I_s^{(1)} &\sim \frac{1}{2} \phi_1(t) \cos(\phi_0(t)/2) \\ &= \sum_{n_1, n_2} J_{n_1}(x) J_{n_2}(x) (4\gamma_{n_1} \omega_{n_1})^{-1} \\ &\times \left[\sin([\omega_{n_1} + \omega_{n_2}]t + [n_1 + n_2](\alpha_0 + \phi'/2) + \delta_{n_1}) + \sin([\omega_{n_1} - \omega_{n_2}]t + [n_1 - n_2](\alpha_0 + \phi'/2) + \delta_{n_1}) \right] \end{aligned}$$

Condition for Shapiro steps

$$I_0 = |n_1 + n_2| \omega \beta$$

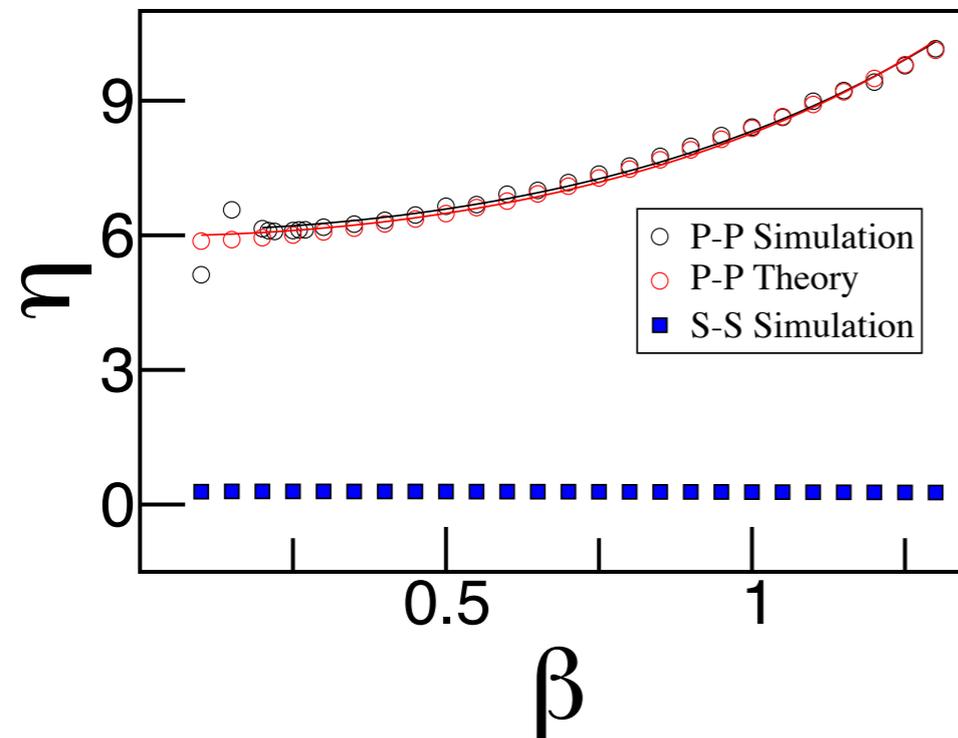
$$(n_1 + n_2) = 2m + 1$$

$$\Delta I_s^{odd} = \sum_{n > m} \frac{J_n\left(\frac{A}{2\omega\sqrt{\beta^2 + \omega^2}}\right) J_{2m+1-n}\left(\frac{A}{2\omega\sqrt{\beta^2 + \omega^2}}\right)}{2(\beta^2 + (2m+1-2n)^2\omega^2/4)}$$

~ contribution from the sub-harmonics

$$\eta = \frac{\Delta I_s^{even}}{\Delta I_s^{odd}}$$

Plot of the ratio of the step width η as a function of dissipation parameter β :



$$\eta = \alpha_0 \exp(\alpha_1 \beta^2)$$

Simulation:

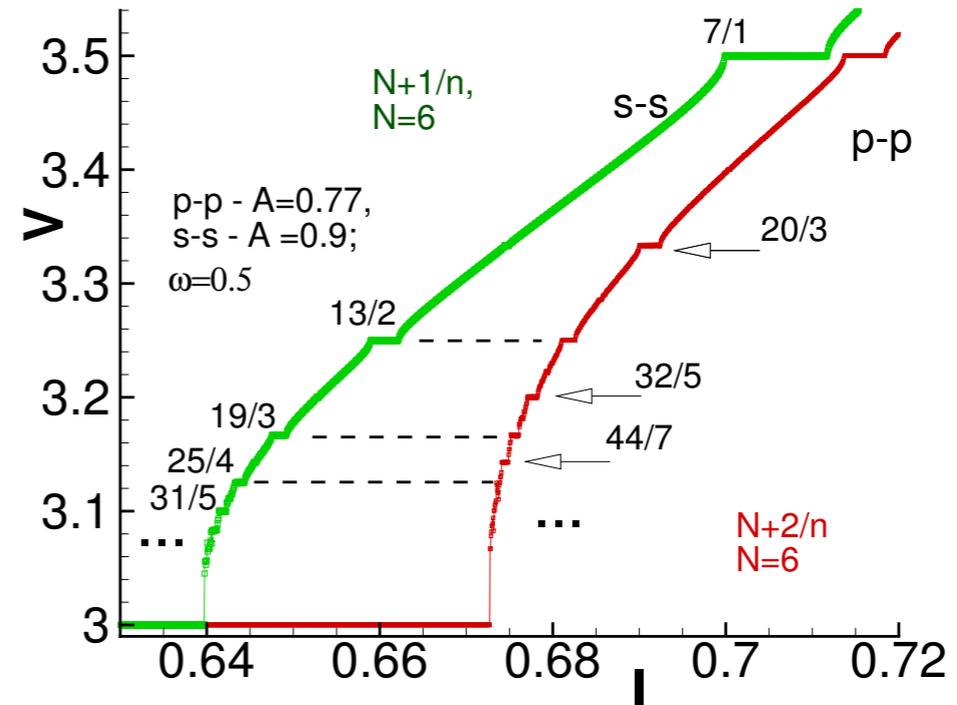
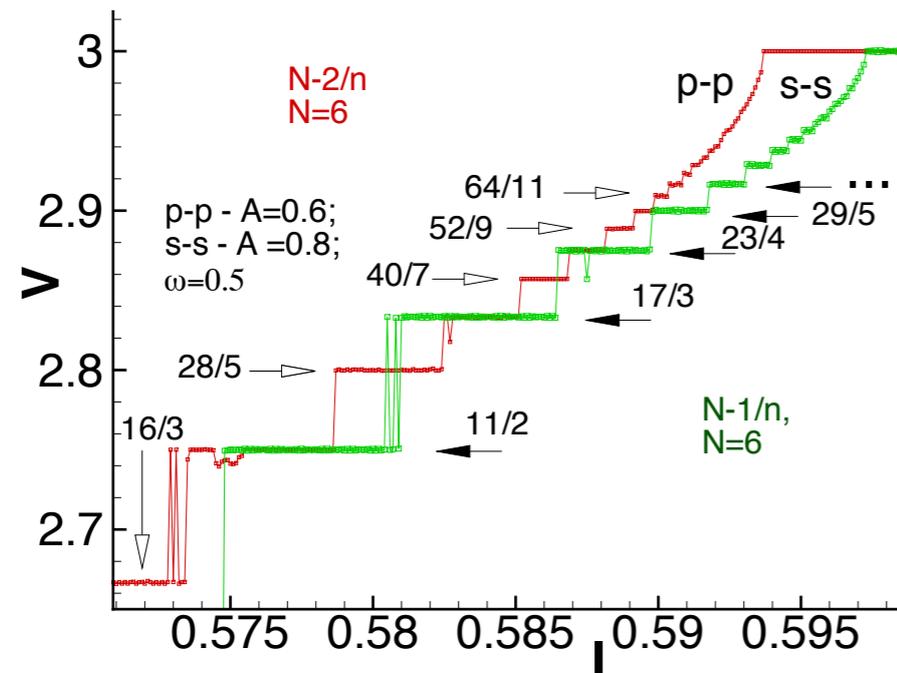
$$\alpha_0 = 6.09, \alpha_1 = 0.31$$

Theory:

$$\alpha_0 = 5.98, \alpha_1 = 0.32$$

- For p-wave junction η has exponential dependence on the junction capacitance $C_0 \sim$ *presence of odd Shapiro steps do not signify absence of Majorana fermions.*
- **This provides a universal *phase sensitive signature* for the presence of Majorana fermions.**

Devil's staircase structure:



s-wave junctions $V = (N \pm 1/n)\omega$

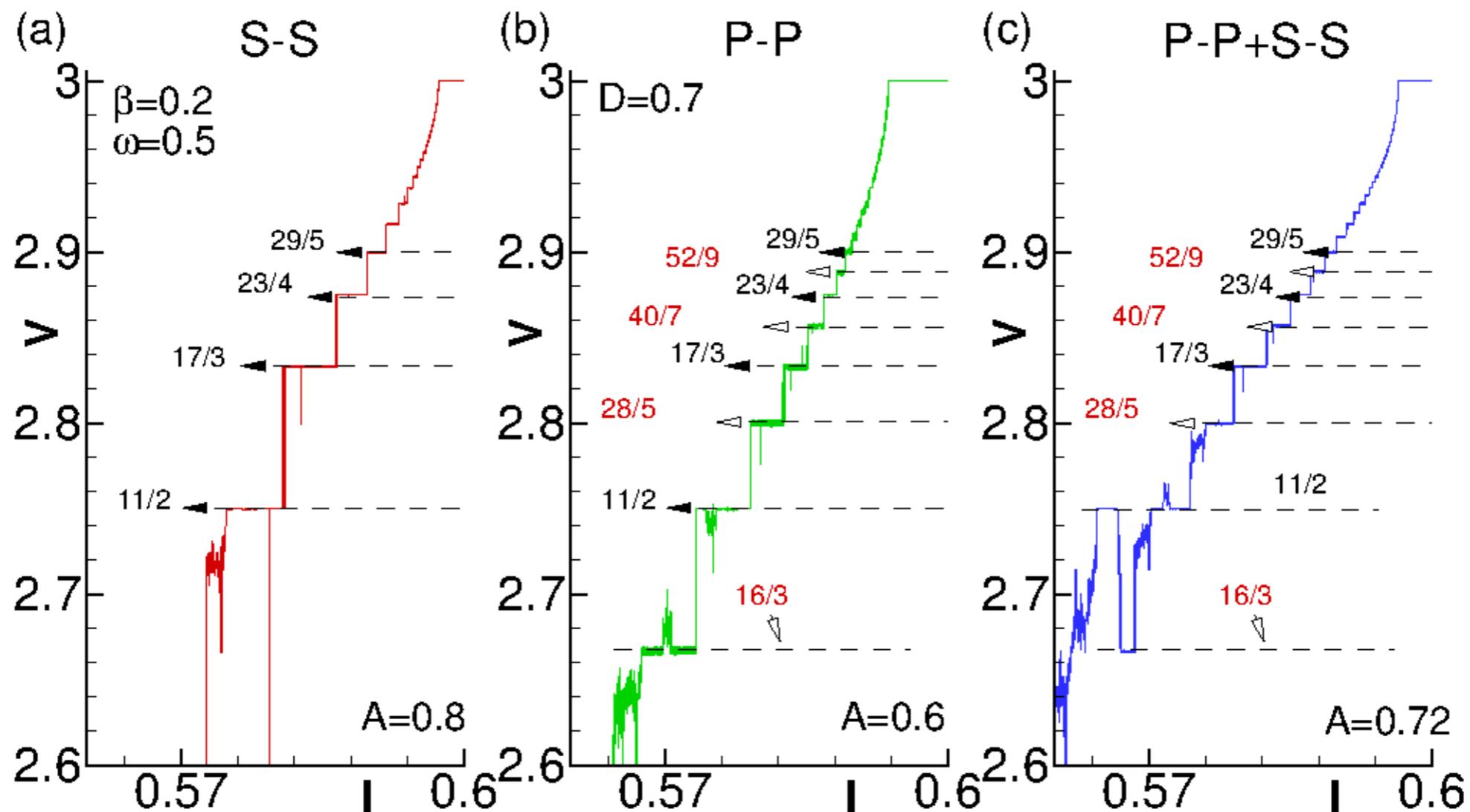
p-wave junctions $V = (N \pm 2/n)\omega$

Experimental proposal:

- Measurement of η as a function of β in the RCSJ model \sim exponential dependence of η with β .
- Additional steps in the CV-characteristics for Josephson junctions hosting Majorana fermions

$$I_J = \sqrt{(D)} \sin(\phi/2) + \sin(\phi)$$

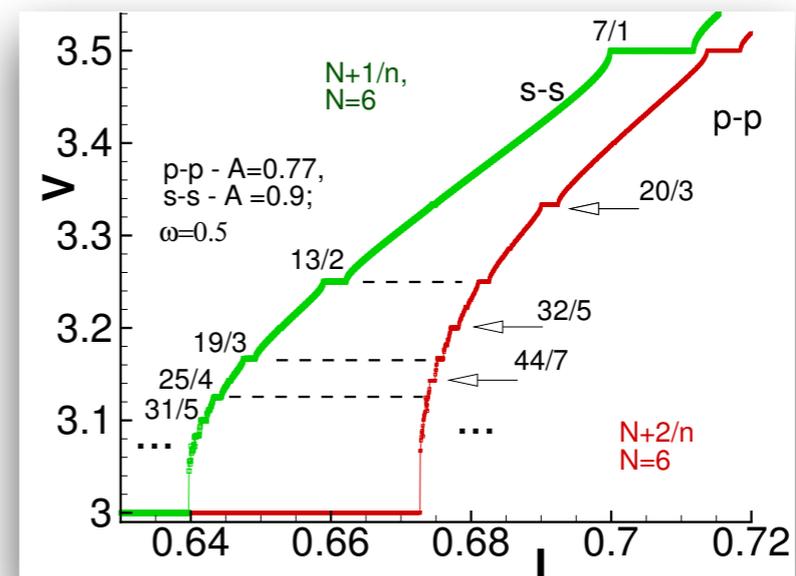
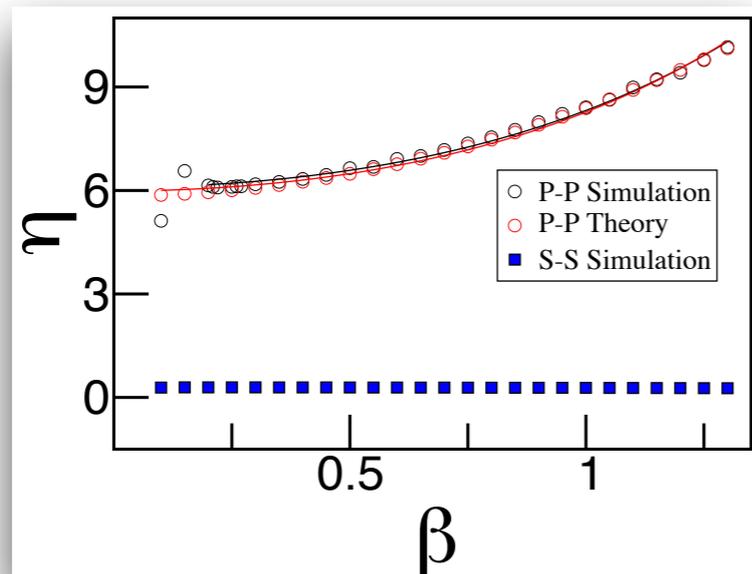
☆ Kulikov et.al, accepted for publication in JETP (2017)



The step structures of the 4π periodic current prevails! $V = (N \pm 2/n)\omega$

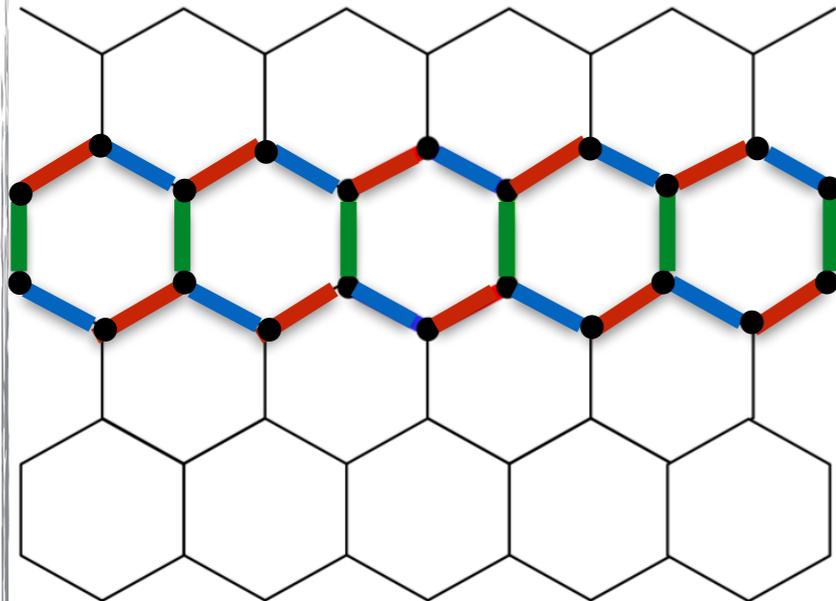
Summary - I

- *Unconventional Josephson junctions Majorana quasiparticles subjected to external radiation \sim phase sensitive detectors.*
- *The current-voltage characteristics of junctions with p-wave pairing symmetry shows presence of both odd and even steps in the Shapiro step structures. The origin of the odd Shapiro steps in the current-voltage characteristics are essentially of different origin and is shown to exist due to the sub-harmonics.*
- *Presence of additional step sequences in the Devil- staircase structure.*



entanglement in the Kitaev model

Kitaev model



- A two dimensional quantum spin model which is exactly solvable

$$H = - \sum_{\langle j,k \rangle_\alpha} J_{\alpha jk} \sigma_j^\alpha \sigma_k^\alpha \quad (\alpha = x, y, z)$$

J_α Dimensionless coupling constant
 σ_α^k α component of Pauli matrices

- Following Kitaev's prescription, we introduce a set of four Majorana fermions: $\{b_k^x, b_k^y, b_k^z, c_k\}$

$$\tilde{H} = \frac{i}{2} \sum_{\langle j,k \rangle_\alpha} J_{\alpha jk} \hat{u}_{jk} c_j c_k$$

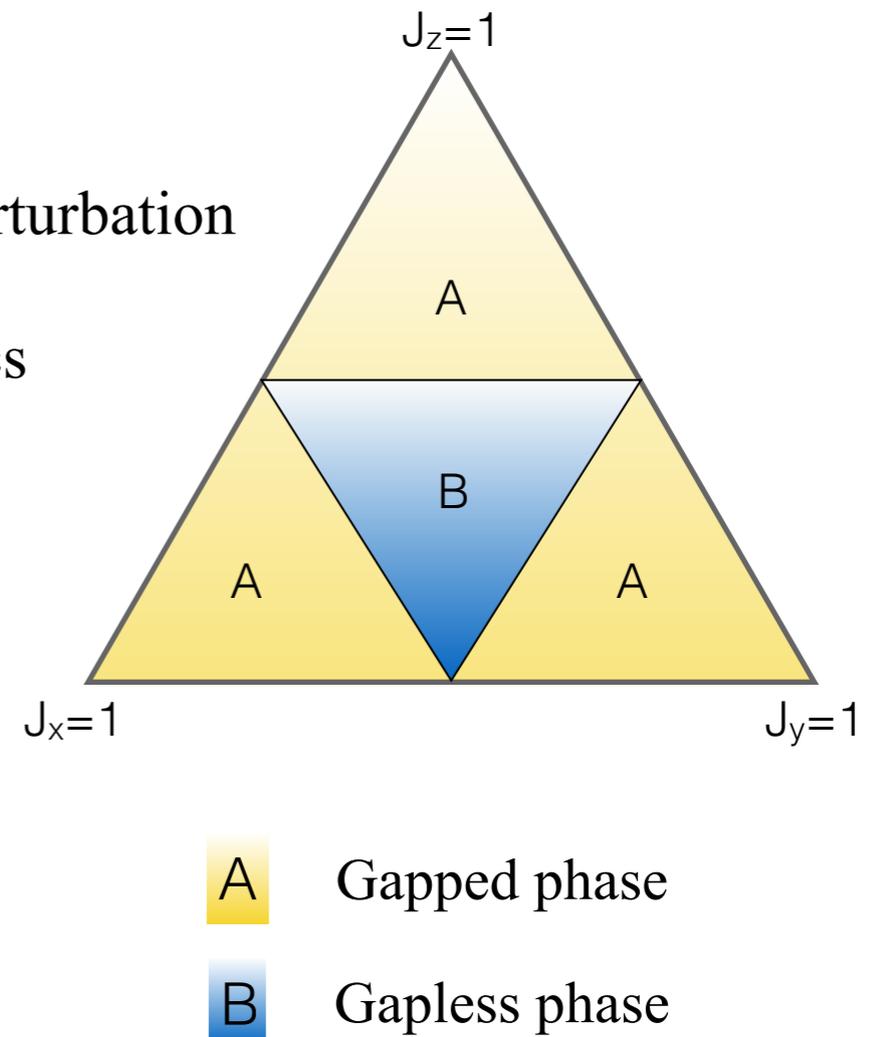
$$\hat{u}_{jk} = i b_j^{\alpha jk} b_k^{\alpha jk}$$

link operators defined on a given link $\langle jk \rangle$

Kitaev model

- Gapped quantum phases robust to any small (local) perturbation
quasiparticle excitations which obey fractional statistics

topological entanglement entropy γ : leading order correction to the universal area law



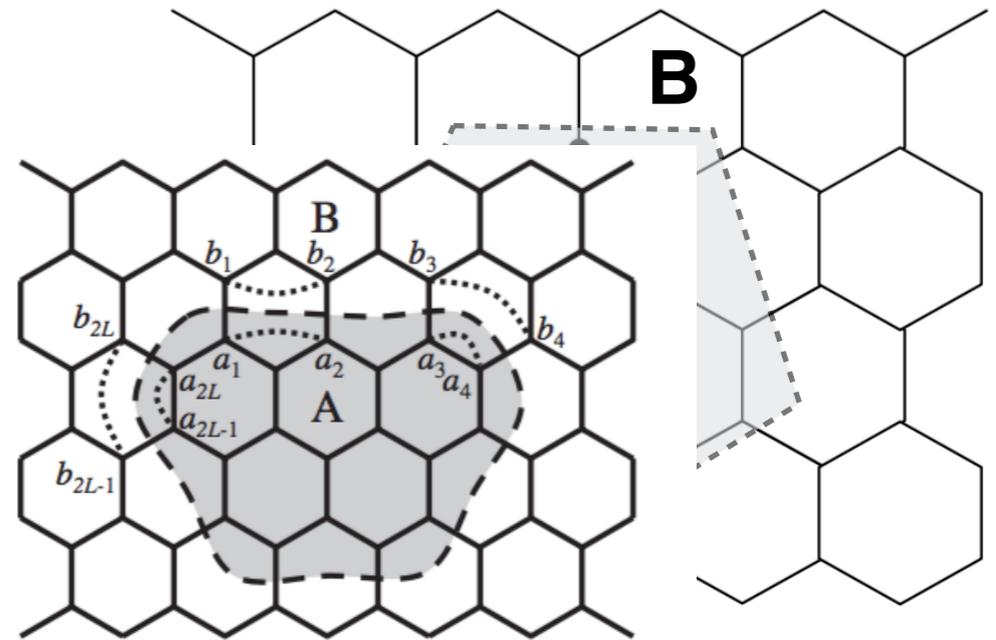
Entanglement entropy

$$S_A = S_{A,F} + S_{A,G} - \ln 2$$

$S_{A,F}$ Contribution from the Majorana fermions

$S_{A,G}$ Contribution from the Z_2 gauge field

$\ln 2$ topological entanglement entropy



☆ Yao et. al. 2010 PRL

$$S_{A,F} = -\text{Tr}[\rho_{A,F} \ln \rho_{A,F}]$$

reduced density matrix with eigenvalues ϵ_k

$$\zeta_k = (\exp(\epsilon_k) + 1)^{-1}$$

☆ Peschel (2002) JPhys A: Math Gen.

$$S_{A,F} = \sum_{i=1}^{N_A} \frac{1 + \zeta_i}{2} \ln \frac{1 + \zeta_i}{2} + \frac{1 - \zeta_i}{2} \ln \frac{1 - \zeta_i}{2}$$

Entanglement spectra

Eigenvalues of the reduced density matrix

$$\Gamma = \prod_{i=1}^{N_A} \frac{(1 + \zeta_i)}{2} \frac{(1 - \zeta_i)}{2}$$

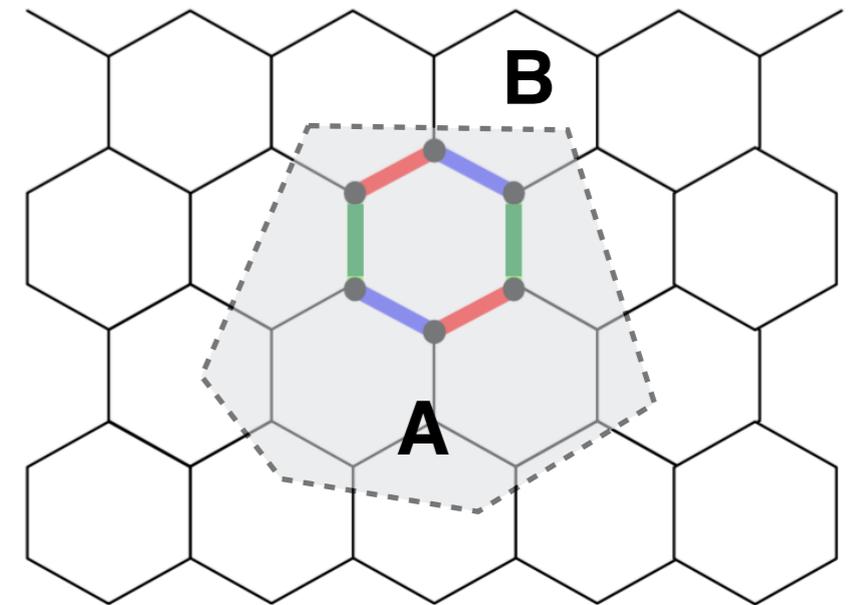
Entanglement (Schmidt) gap

$$\Delta_A = -(\ln \Gamma_M - \ln \Gamma_{M'})$$

largest
eigenvalue

second largest
eigenvalue

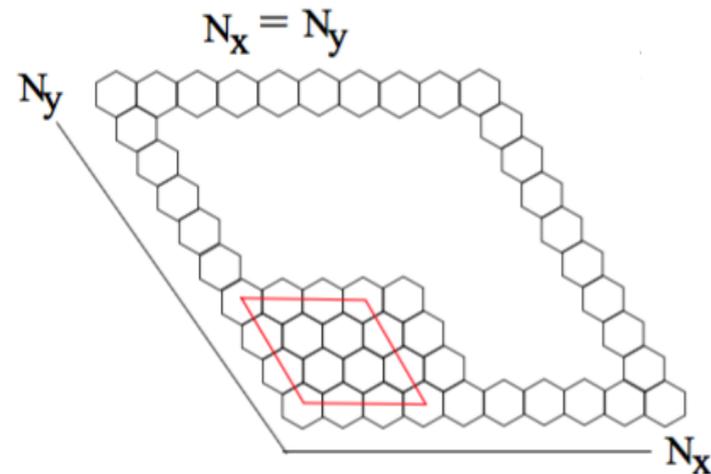
$$\Delta_A = \ln \frac{(1 + |\zeta|_{\min})}{(1 - |\zeta|_{\min})}$$



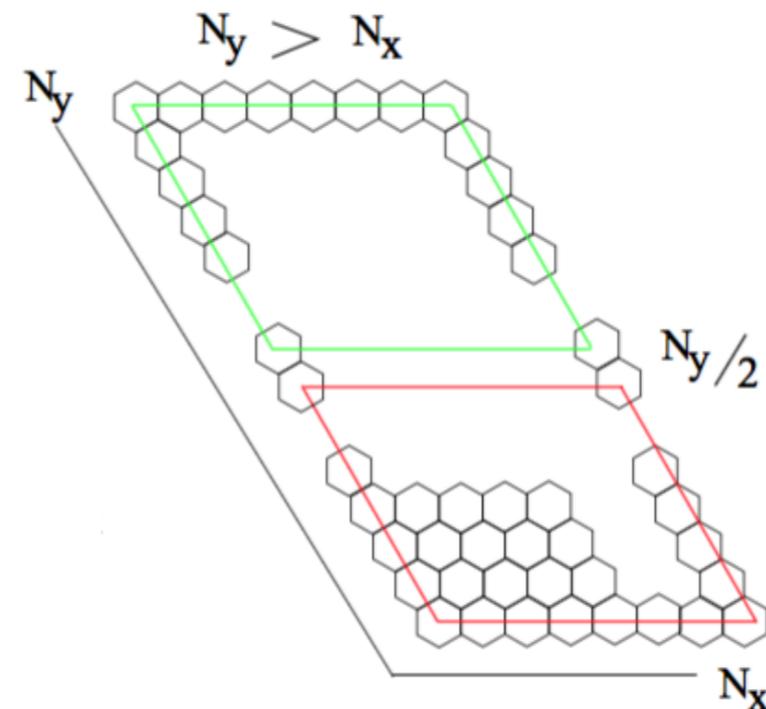
$$\zeta_k = (\exp(\epsilon_k) + 1)^{-1}$$

☆ Li et. al. 2008 PRL

Sub-systems we consider:



Square/rectangular region



Half-region

- *Impose periodic boundary conditions*

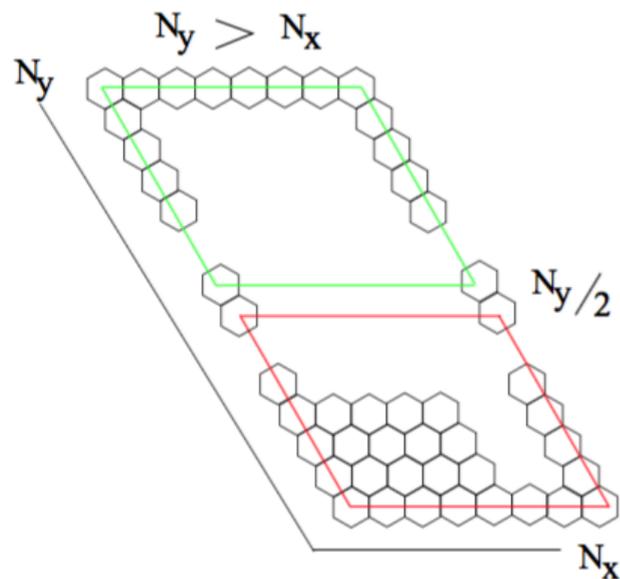
- *Numerically analyse the entanglement entropy and the entanglement spectrum and corroborate with perturbative analysis.*

N_x : Number of sites along the x-axis

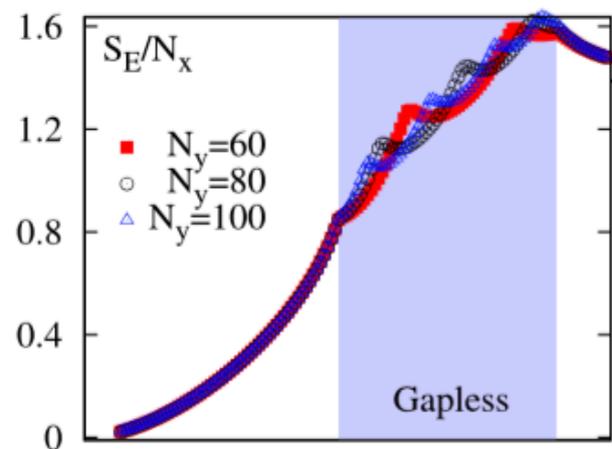
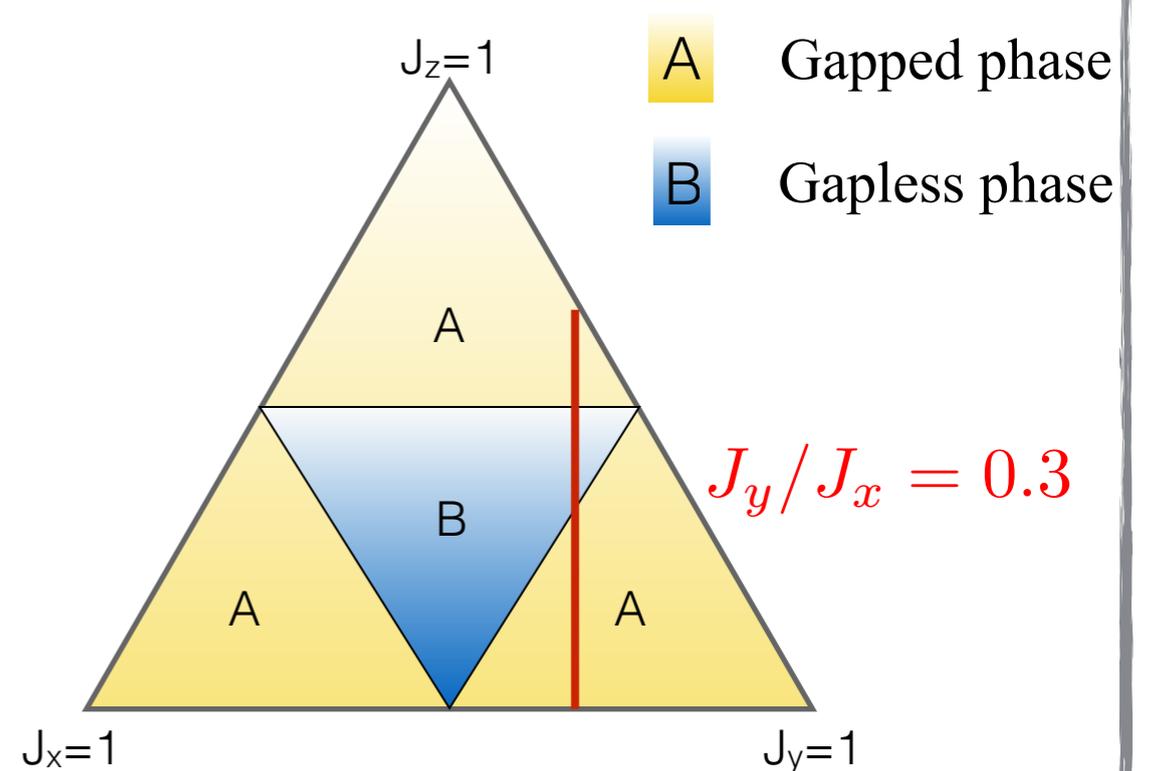
N_y : Number of sites along the y-axis

Results

Half-region

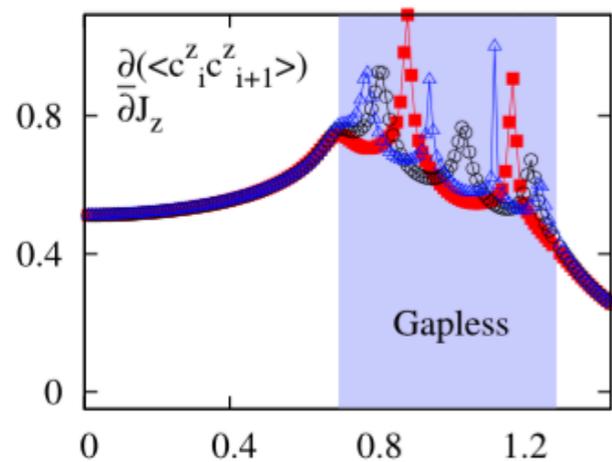


- N_y coupled one-dimensional chains
- Aspect ratio $N_y/N_x = 10$



(a) Plot of entanglement entropy as function of coupling strength J_z

Prominent cusps at $J_z = J_x + J_y$: Transition from gapless to gapped phase; Non-monotonic behaviour in the gapless region.

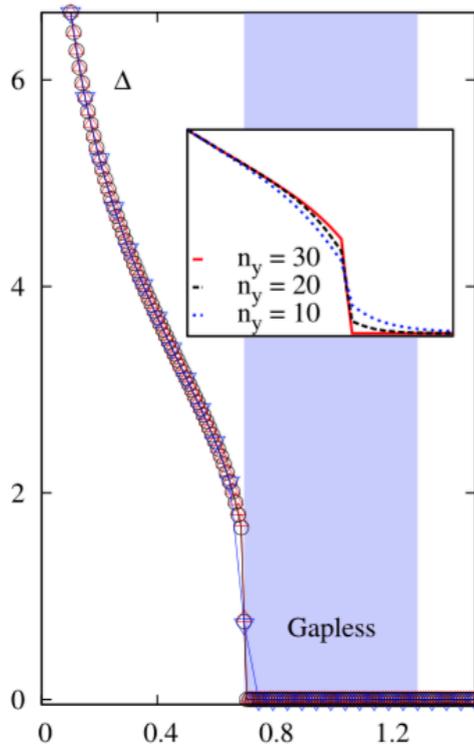
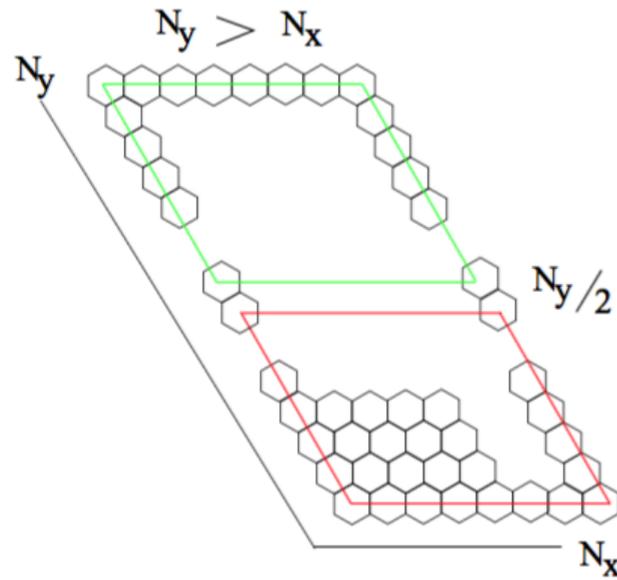


(b) Plot of derivative of the correlation functions (obtained analytically) as function of coupling strength J_z

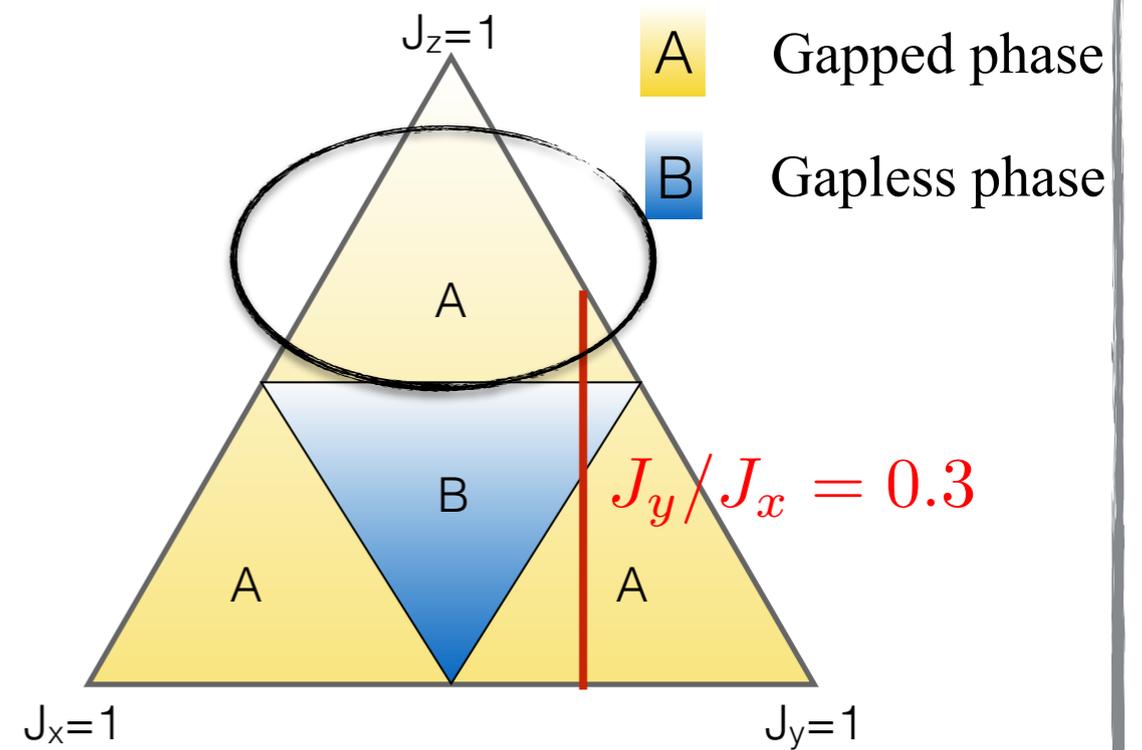
Oscillations in the two-point correlation function corresponding to those in entanglement entropy

$$C_{zz} = \frac{\text{Re}[J_x e^{ik_x} + J_y e^{ik_y} + J_z]}{|J_x e^{ik_x} + J_y e^{ik_y} + J_z|}$$

Half-region

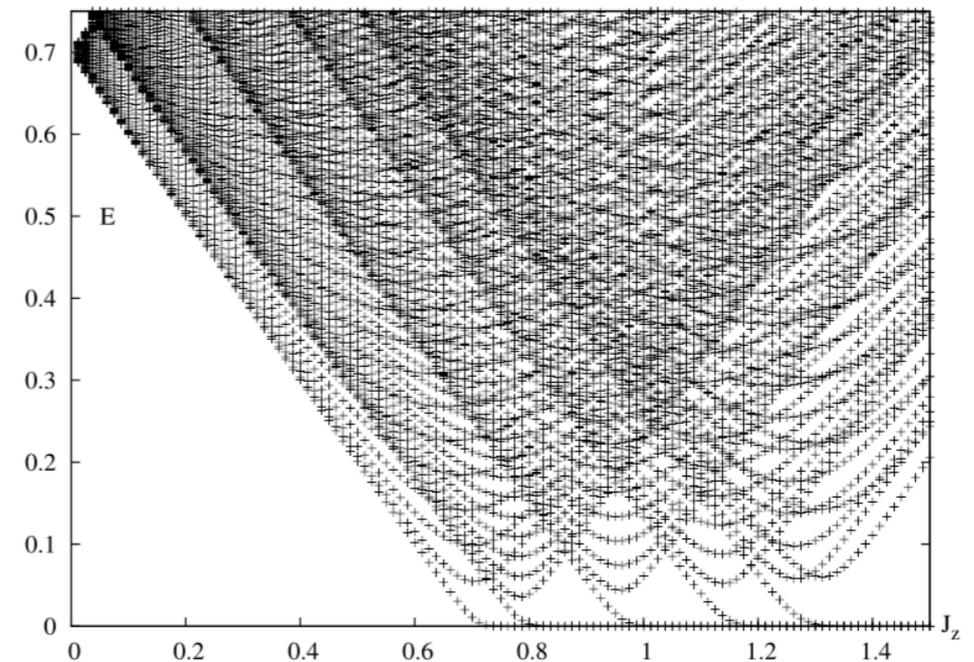


Presence of zero energy edge modes in the gapless and in the large J_z limit

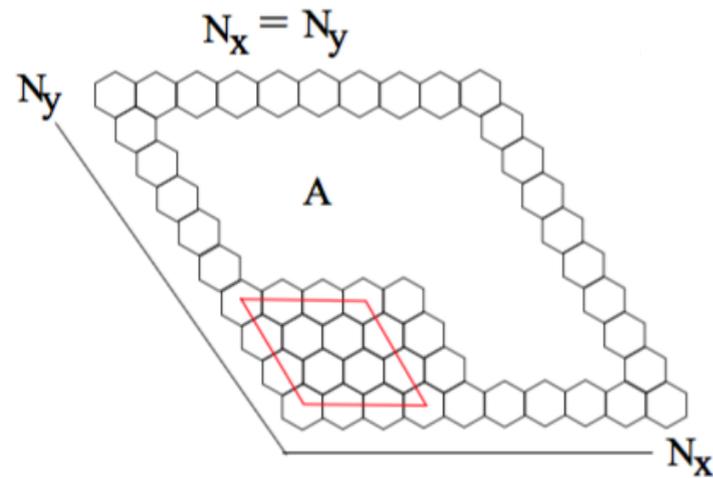


(a) Plot of entanglement gap as function of coupling strength J_z

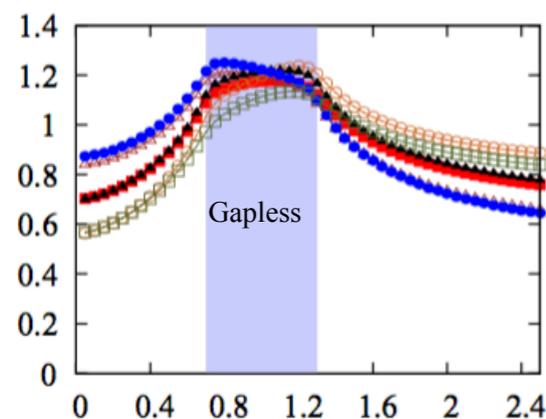
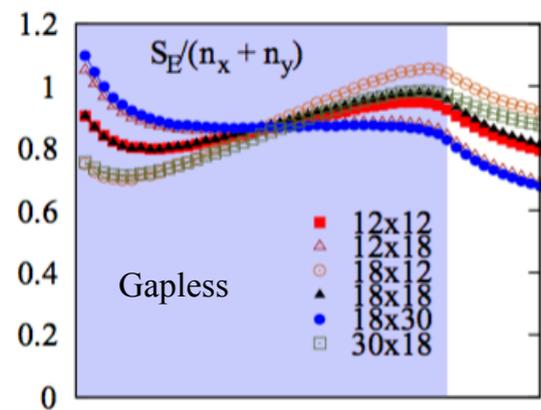
Entanglement gap is finite in the gapped phase and zero in the gapless phase. However, it still remains zero even in the large J_z limit!



Square/rectangular region

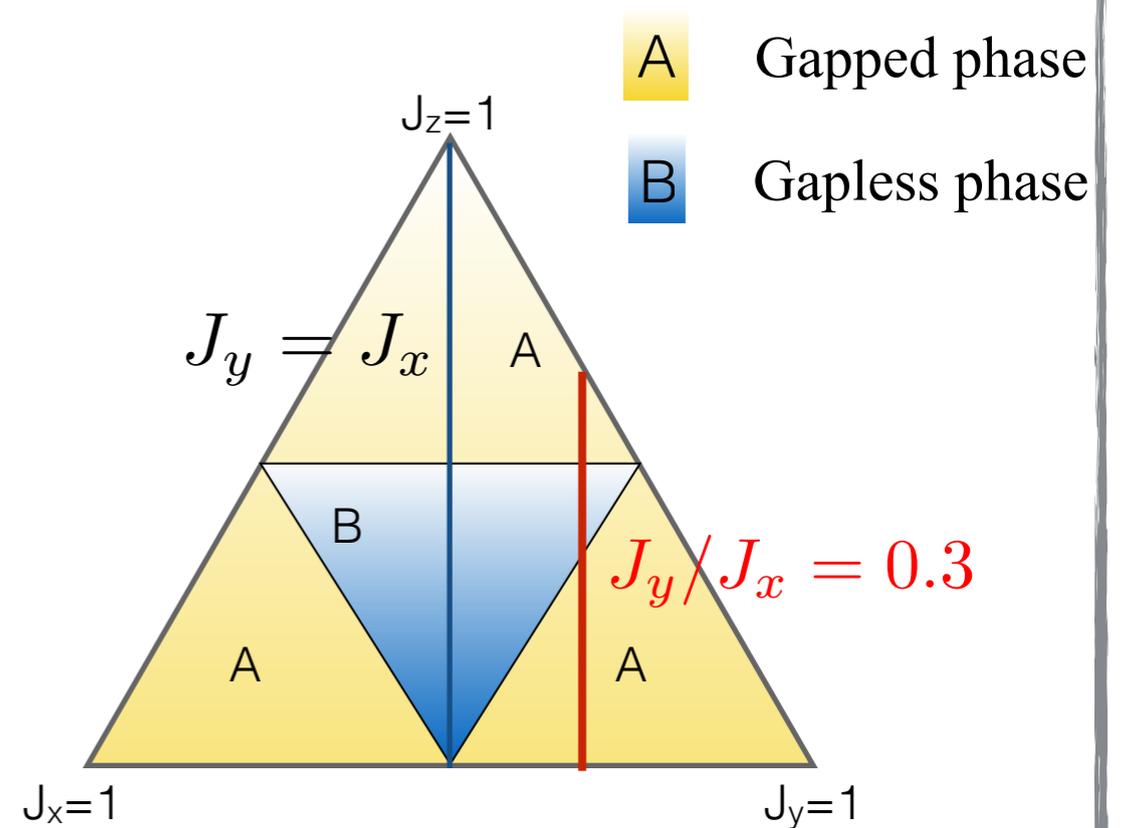


- N_y coupled one-dimensional chains
- Aspect ratio $N_y/N_x = 10$

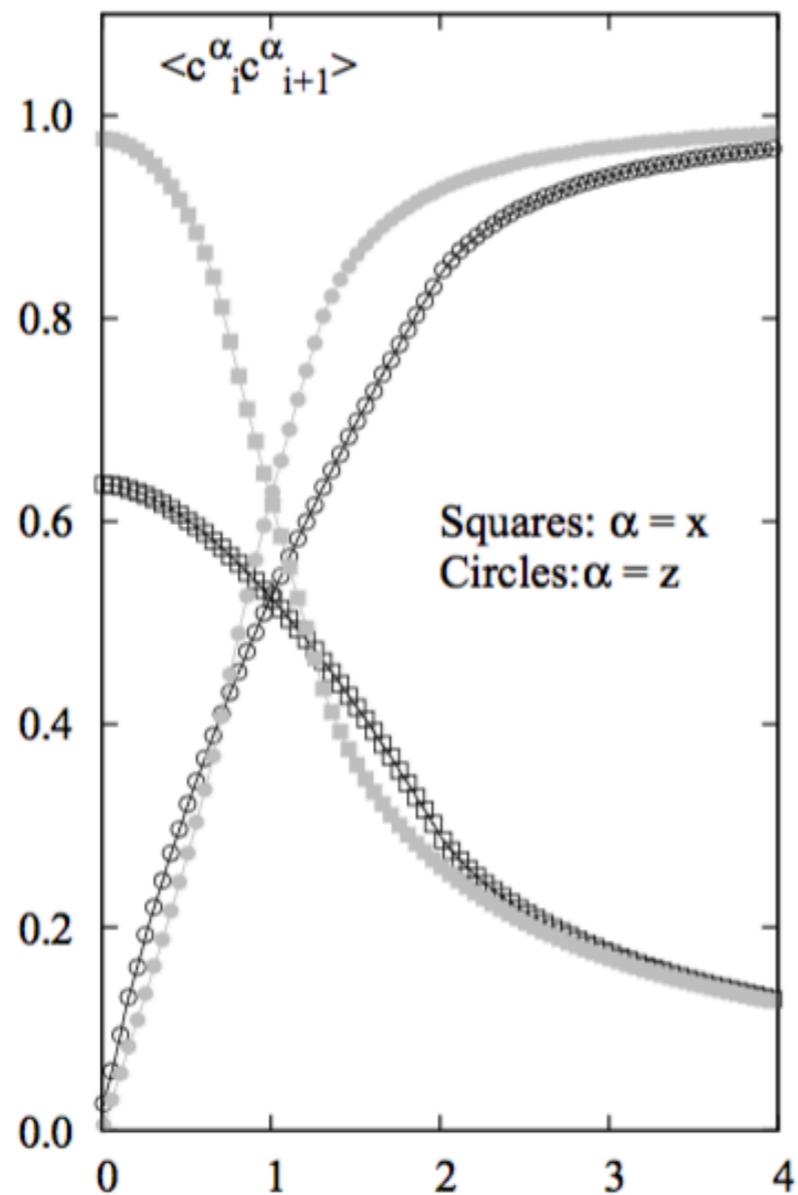


(a) Plot of entanglement entropy as function of coupling strength J_z

The qualitative behaviour of the entanglement entropy (non-monotonic/monotonic) in the gapless region depends on system parameters (transverse coupling, geometry).



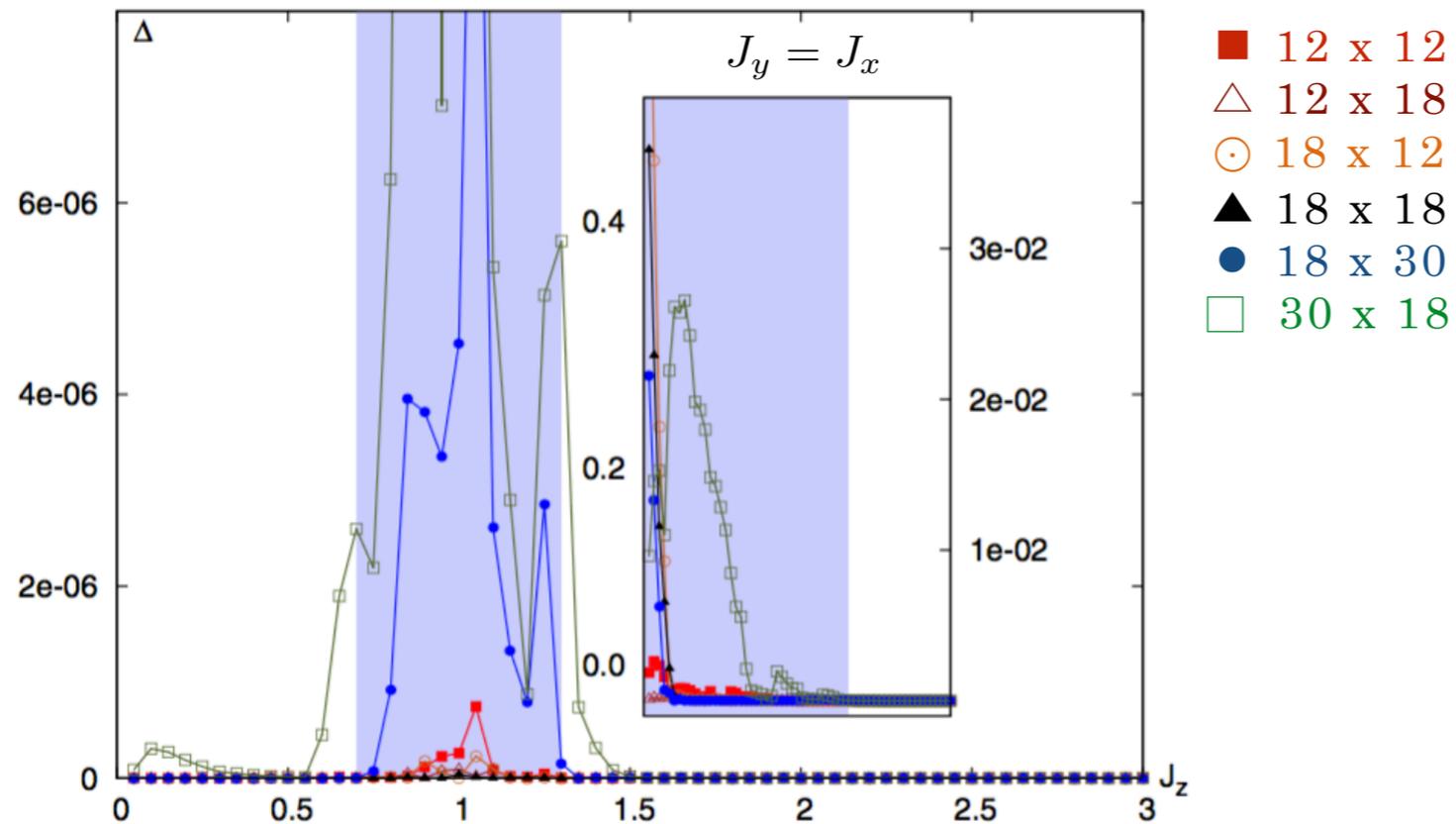
(b) Plot of nearest-neighbour correlation functions with varying J_z



Non-monotonic behaviour of different correlation functions - ratio of the x- and z-bonds depends on system size.

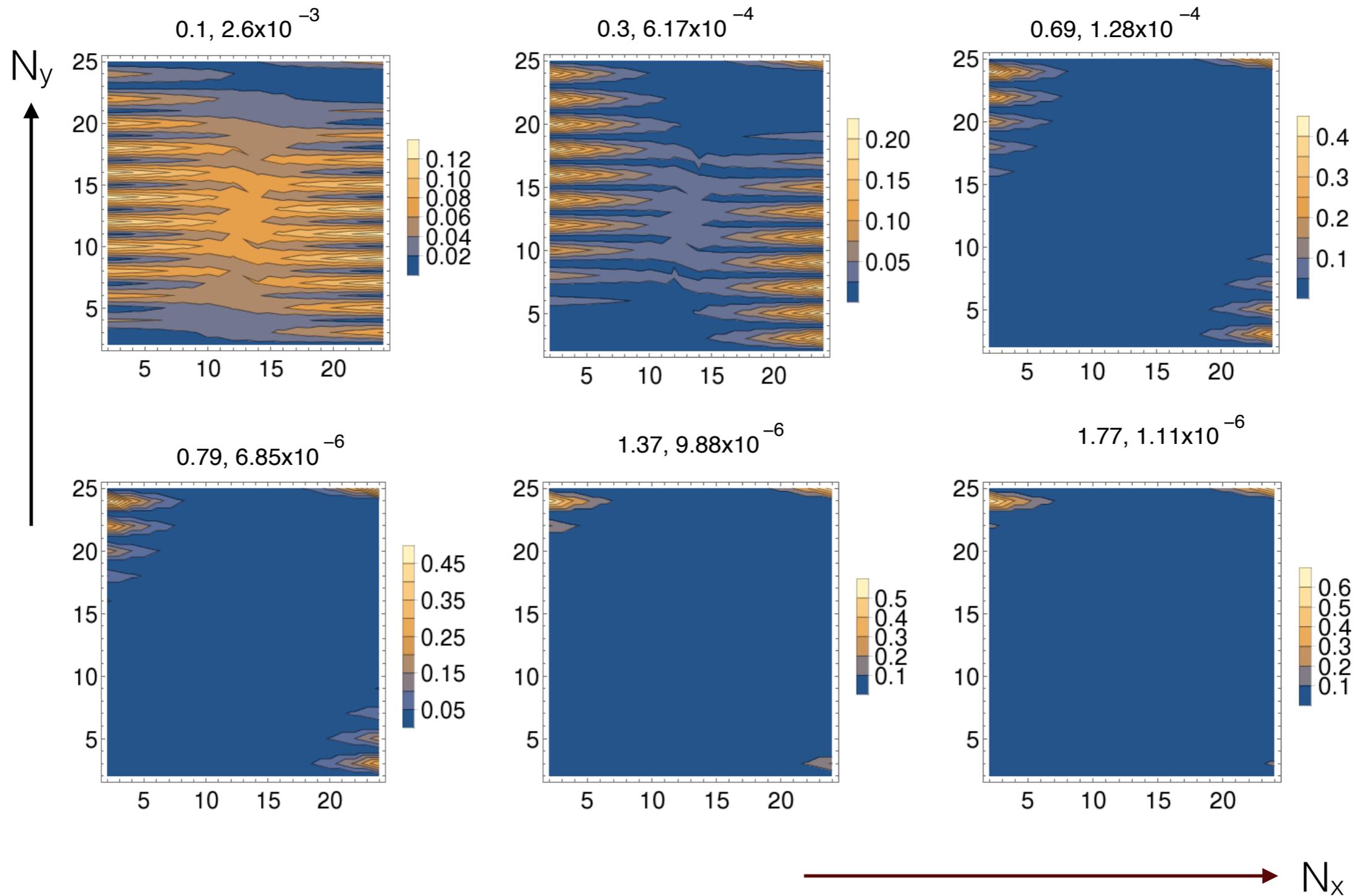
Open: $J_y/J_x=1$
Solid: $J_y/J_x=0.3$

(c) Plot of entanglement gap with varying J_z



- *Non-monotonic behaviour of entanglement gap within the gapless region.*
- *Localised gapless edge modes for small and large J_z values with small extensions in the bulk.*

(c) Plot of edge states with varying J_z (with the value of Schmidt gap)



Perturbative analysis: small J_z limit (weakly coupled chain limit)

- N_y coupled one-dimensional chains with periodic boundary condition

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}'$$

- Unperturbed Hamiltonian of the m^{th} one-dimensional chain.

$$\mathcal{H}_0 = \sum_m^{N_y} \mathcal{H}_0^m, \quad \mathcal{H}_0^m = \sum_n \left(iJ_x c_{n,a}^m c_{n,b}^m + iJ_y c_{n,b}^m c_{n+1,a}^m \right)$$

- Interchain coupling :

$$\mathcal{H}' = \sum_m \mathcal{H}'_{m,m+1}, \quad \mathcal{H}'_{m,m+1} = \sum_n iJ_z c_{n,a}^m c_{n,b}^{m-1}$$

- Diagonalising we get:

$$\mathcal{H}_0^m = \sum |\epsilon_k| \left(\alpha_k^{m\dagger} \alpha_k^m - \beta_k^{m\dagger} \beta_k^m \right),$$

$$\mathcal{H}'_{m,m+1} = \sum_{k \geq 0} \frac{J_z e^{i\theta_k}}{4} \left(\alpha_k^{m\dagger} \alpha_k^{m-1} - \alpha_k^{m\dagger} \beta_k^{m-1} + \beta_k^{m\dagger} \alpha_k^{m-1} - \beta_k^{m\dagger} \beta_k^{m-1} \right) + \text{h.c}$$

$$\begin{pmatrix} c_{k,a}^m \\ c_{k,b}^m \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} ie^{i\theta_k} & ie^{i\theta_k} \\ 1 & -1 \end{pmatrix} \quad \theta_k = \tan^{-1} \left(\frac{J_y \sin k}{J_x + J_y \cos k} \right), \quad \epsilon_k = |J_x + J_y e^{ik}|$$

Perturbative analysis: small J_z limit (weakly coupled chain limit)

- Ground state of the system:

for the unperturbed Hamiltonian

$$|\mathcal{G}\rangle = \prod_{m=1}^{N_y} \prod_k \beta_k^{m\dagger} |0\rangle$$

← ground state of the m^{th} chain

- 1st order corrections:

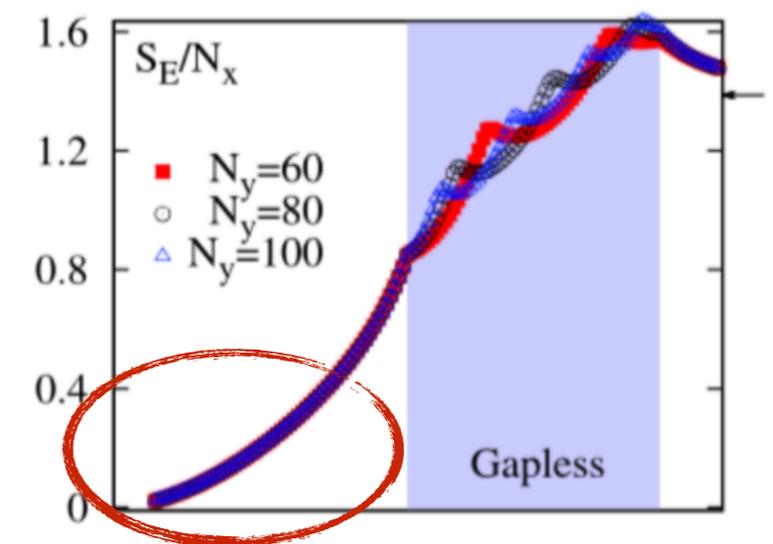
$$|\mathcal{G}_1\rangle = |\mathcal{G}\rangle \left(1 - N_y \sum_k \frac{J_z^2}{64 \epsilon_k^2} \right) - \sum_m \frac{(-1)^{N_y-m} J_z}{8 \epsilon_k} \left(e^{-i\theta_k} |0, 0; m-1\rangle |1, 1; m\rangle |\mathcal{G} : m, m-1\rangle - e^{i\theta_k} |0, 0; m+1\rangle |1, 1; m\rangle |\mathcal{G} : m+1, m\rangle \right)$$

- Eigenvalues of the reduced density matrix

$$\lambda_1 = \lambda_0 + \sum_k \frac{(N' - 1) J_z^2}{32 \epsilon_k^2} \left(\lambda_0 - \frac{J_z^2}{64 \epsilon_k^2} \right)^{-1}$$

$$\lambda_2 = \frac{J_z^2}{64 (J_x - J_y)^2}$$

$$\lambda_0 = 1 - N_y \sum_k \frac{J_z^2}{32 \epsilon_k^2}$$



Perturbative analysis: Large J_z limit

- *Isolated z-bonds*

$$H = \sum_n J_z i c_{n,1} c_{n,2} + \sum_n J_\alpha i c_{n,1} c_{n+\delta_\alpha,2}, \quad \alpha = x, y$$

\swarrow *perturbation: hopping of the Majorana fermions between nearest neighbour dimers*

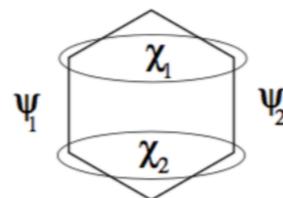
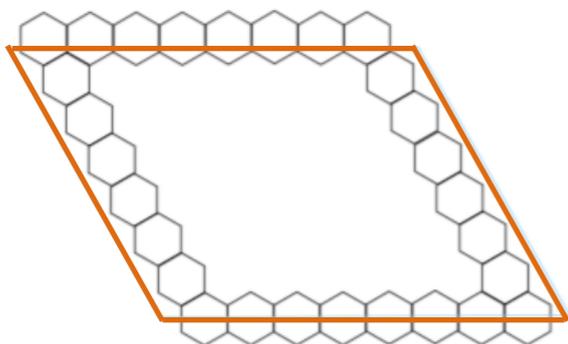
- *1st order corrections to the ground state of the system:*

$$|\mathcal{G}_1\rangle = \left(1 - \tilde{N} \frac{J^2}{8J_z^2}\right) |\mathcal{O}\rangle + \sum_{\langle i, i+\delta_\alpha \rangle} \frac{J_\alpha}{2J_z} |1_n, 1_{n+\delta_\alpha}\rangle$$

\uparrow *unperturbed ground state*

\uparrow *filled states at n^{th} nearest neighbour dimers.*

- *Calculation of the reduced density matrix: ρ_E*



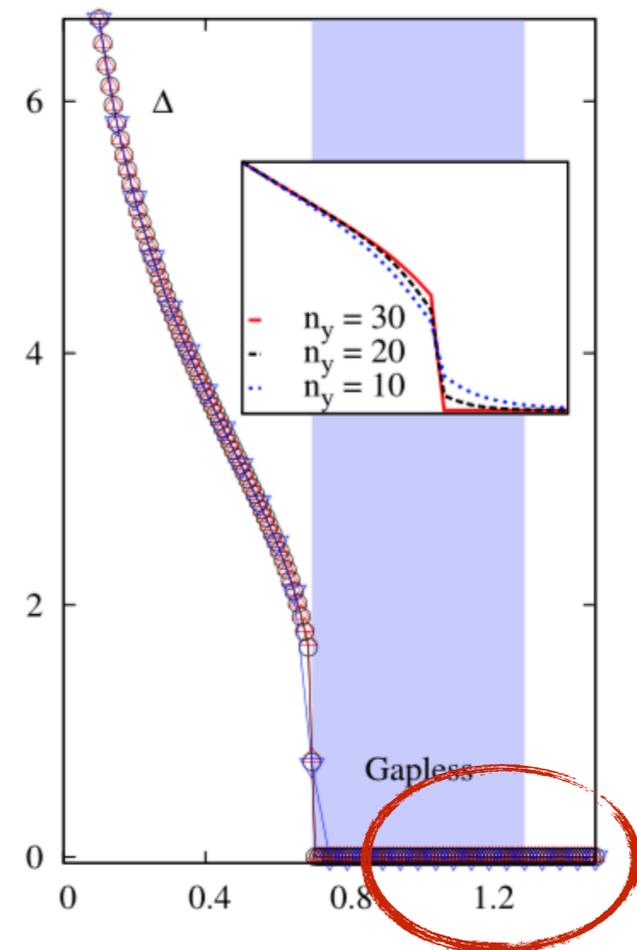
$\psi \sim$ *linear combination of c fermions*

Perturbative analysis: Large J_z limit

$$\rho_E = \left(1 - N \frac{J^2}{4J_z^2}\right) |\mathcal{O}\rangle\langle\mathcal{O}| + \sum_i \left(\frac{J^2}{4J_z^2} |\tilde{\mathcal{O}}, 1_i\rangle\langle\tilde{\mathcal{O}}, 1_i| + \sum_{i,j} \left[\frac{J^2}{4J_z^2} |\tilde{\mathcal{O}}, 1_i, 1_j\rangle\langle\tilde{\mathcal{O}}, 1_i, 1_j| + \frac{J}{2J_z} |\mathcal{O}\rangle\langle\tilde{\mathcal{O}}, 1_i, 1_j| \right] \right)$$

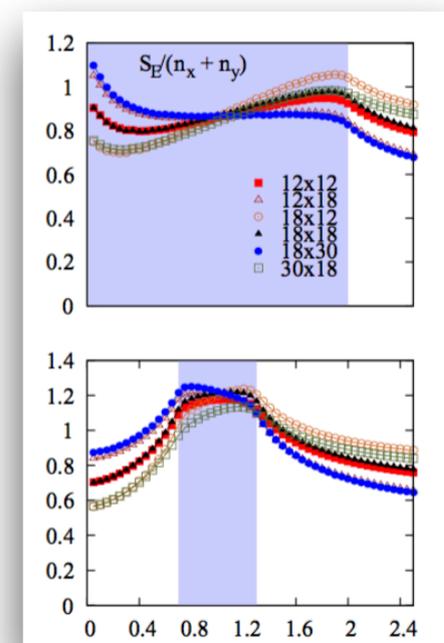
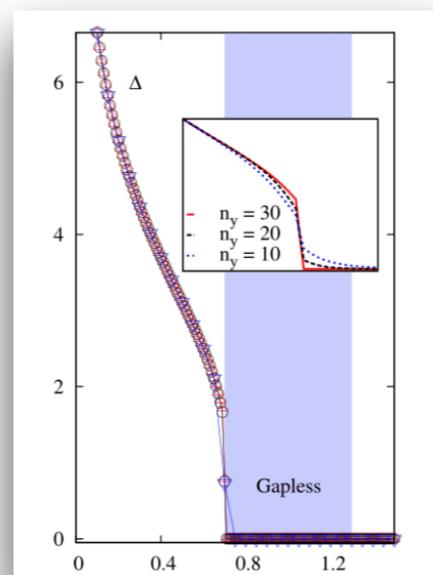
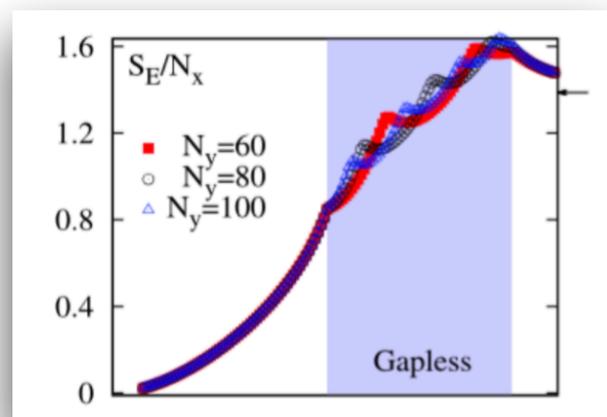
$\Rightarrow N_z$ degenerate values

\Rightarrow Vanishing Schmidt gap



Summary - II

- *The extensive study of the entanglement entropy and spectra for the vortex free ground state of the Kitaev model.*
- *For the half-region, entanglement gap is found to be finite in small coupling limit, while it was shown to be zero in the large coupling limit. Presence of gapless edge modes were attributed.*
- *For the square/rectangular block, non-monotonic behaviour of entanglement entropy attributed to the competition between the correlation functions in different kind of bonds.*



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- *PRB 92 224501 (2015).*
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