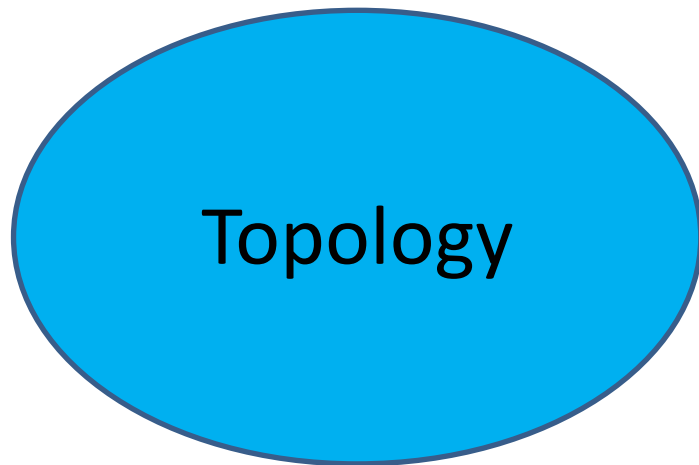


# Floquet topological phases protected by dynamical symmetry

Takahiro Morimoto

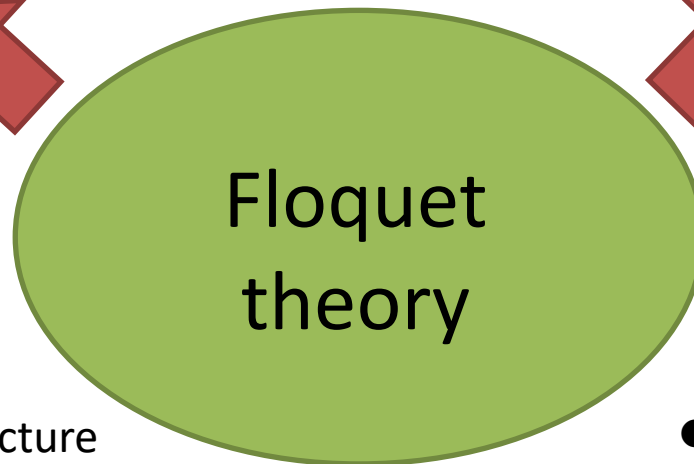
UC Berkeley



- Quantum Hall effect
- Topological insulators



- Driven systems
- Pump-probe experiment
- Cold atoms



- Effective band structure

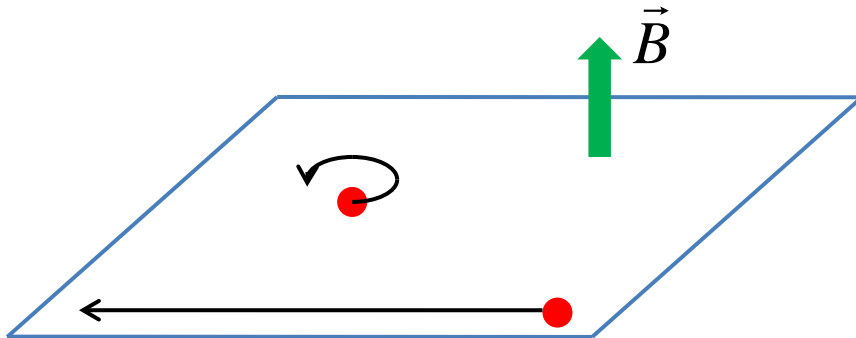
- Periodically driven systems

# Plan of this talk

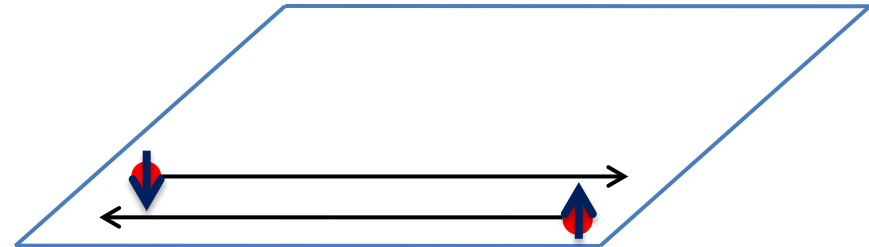
- Introduction
  - Floquet topological phases
  - Anomalous Hall state without Chern number
- Floquet topological phases in noninteracting systems
  - Time glide symmetry
  - Ten-fold way classification Morimoto, Po, Vishwanath, PRB (2017)
- Floquet topological phases in interacting systems
  - Group cohomology classification
  - 1D and 2D models Potter, Morimoto, Vishwanath, PRX (2016)

# Topological phases

Quantum Hall effect



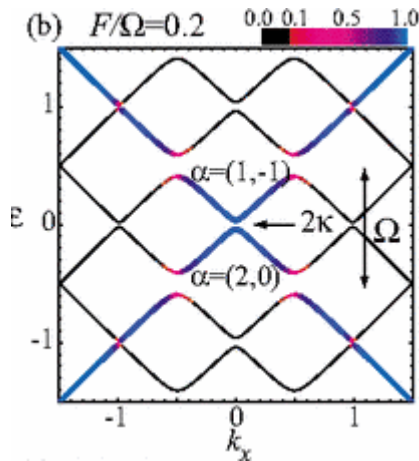
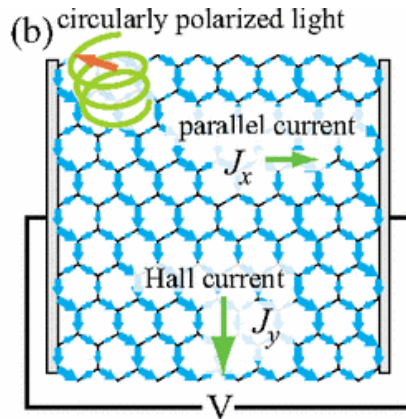
2D topological insulator



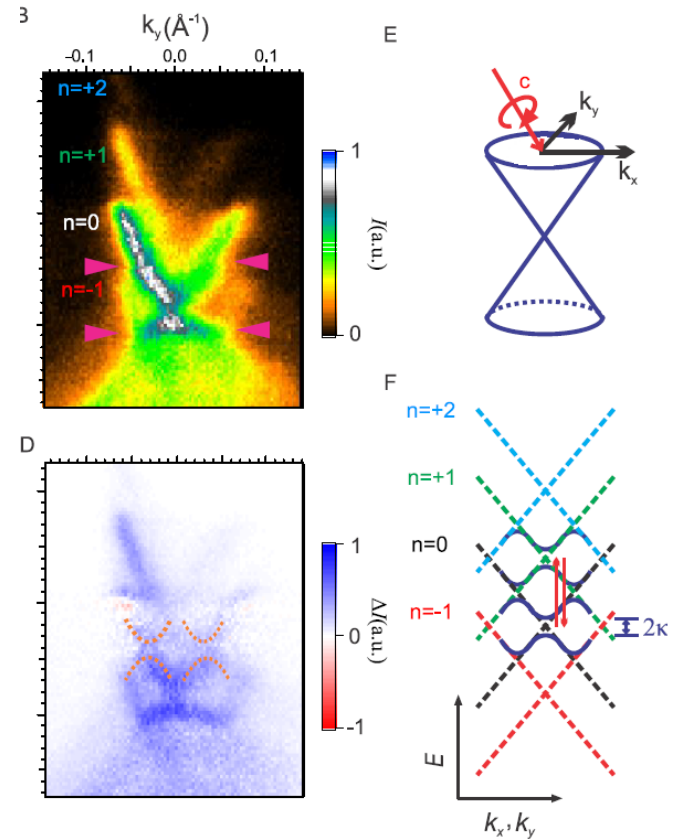
- Ground states with
  - Bulk excitation gap
  - Nontrivial gapless surface state

Topological phases in nonequilibrium states?

# Dynamical Chern insulator with circularly polarized light



Oka, Aoki, PRB (2009)



Wang et al, Science (2013)

# Floquet theory

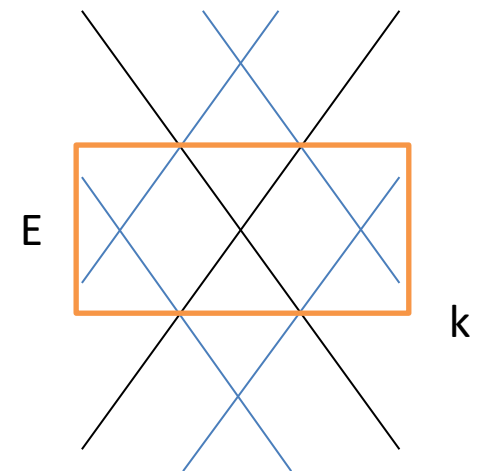
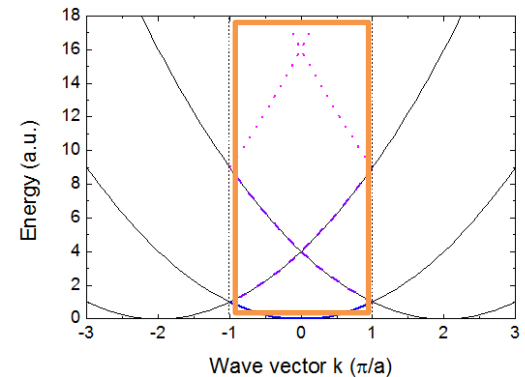
- Time direction analog of Bloch theorem

- Bloch:  $H(x+L) = H(x)$

$$\psi(x) = e^{ikx} u(x), \quad u(x+a) = u(x),$$

- Floquet:  $H(t+T) = H(t)$

$$\psi(t) = e^{-i\epsilon t/\hbar} \phi(t), \quad \phi(t+T) = \phi(t),$$



# Floquet theory

- Time dependent Schroedinger equation

$$i\hbar\partial_t\psi(t) = H_0(t)\psi(t)$$

$$\psi(t) = e^{-i\epsilon t/\hbar}\phi(t), \quad \Rightarrow \quad (i\hbar\partial_t + \epsilon)\phi(t) = H_0(t)\phi(t).$$

$$\phi(t) = \sum_m e^{-im\Omega t}\phi_m, \quad \Rightarrow \quad \begin{aligned} (m\hbar\Omega + \epsilon)\phi_m &= \widetilde{H}_{0mn}\phi_n, \\ \widetilde{H}_{0mn} &= \frac{1}{T} \int_0^T dt e^{i(m-n)\Omega t} H_0(t). \end{aligned}$$

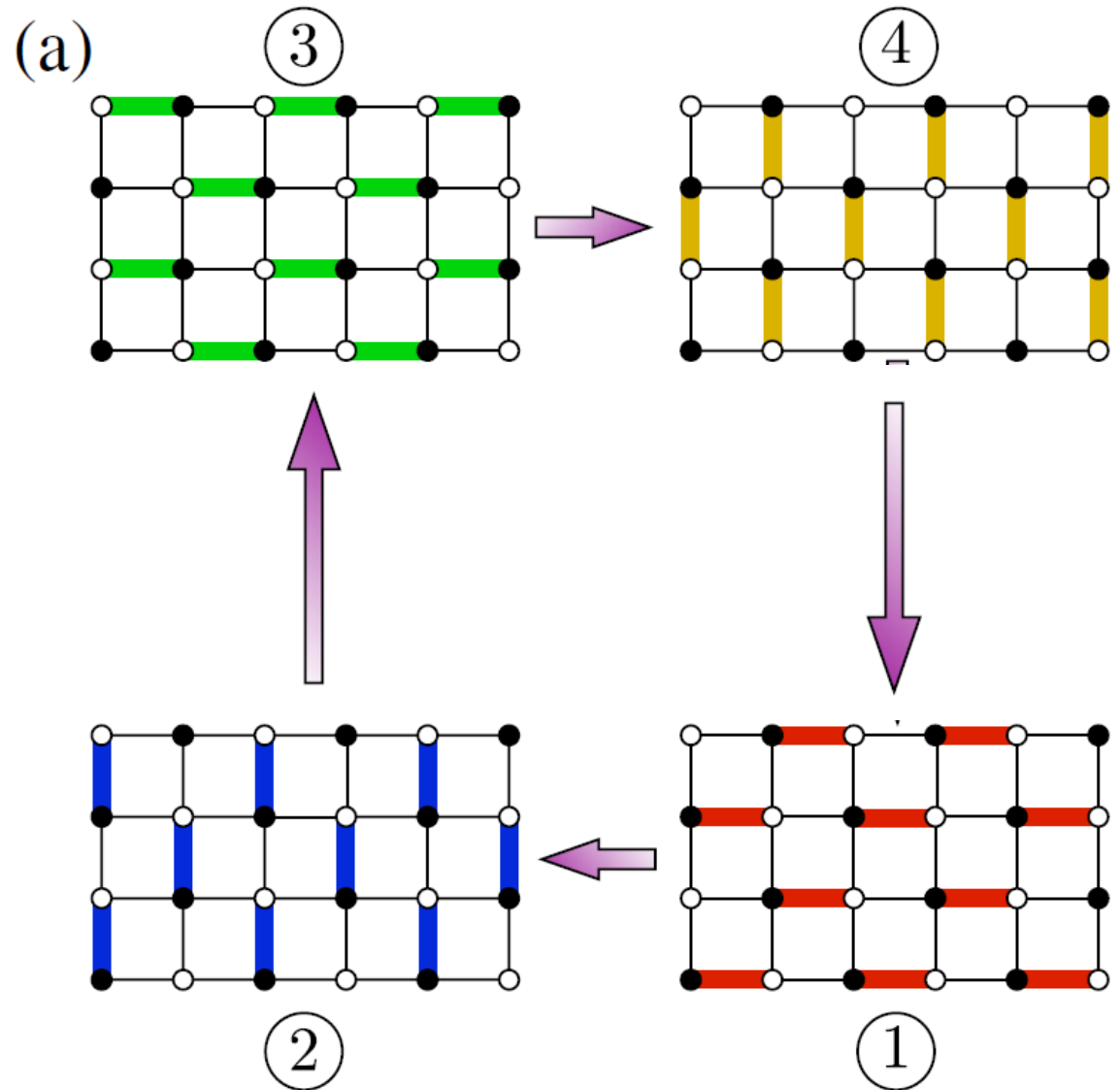
- Floquet Hamiltonian:  $H_F\phi = \epsilon\phi,$

$$(H_F)_{mn} = \frac{1}{T} \int_0^T dt e^{i(m-n)\Omega t} H_0(t) - \delta_{mn}m\hbar\Omega.$$

# Floquet anomalous Hall insulator

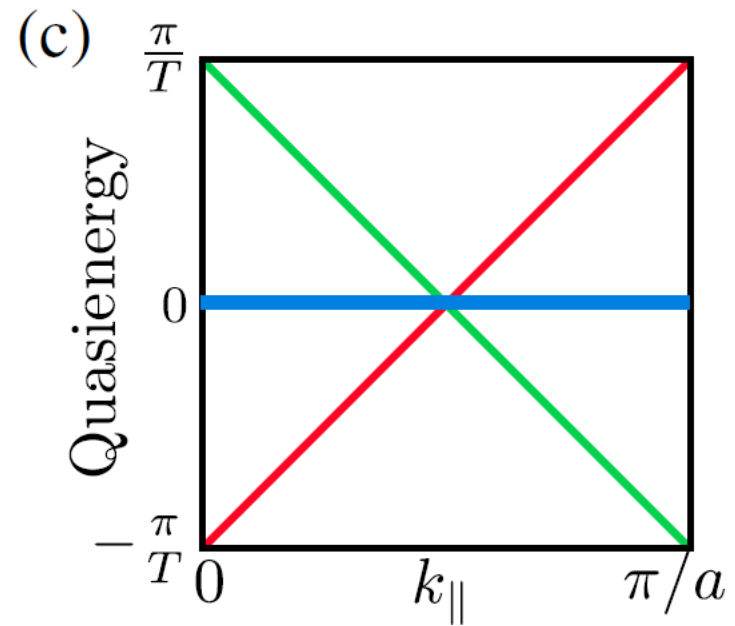
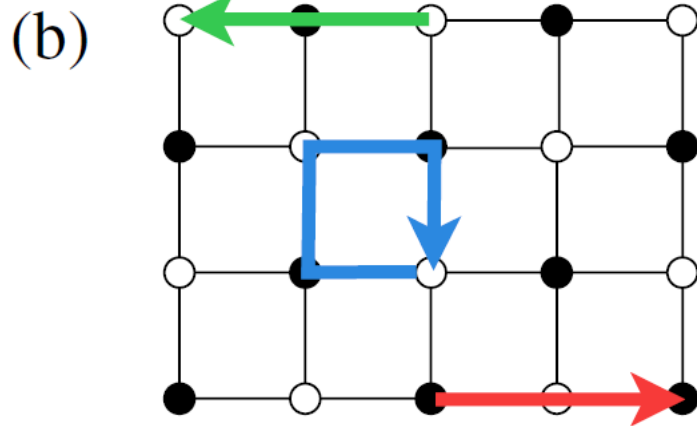
- 4 step drive

$$H(t) = \sum_{ij} t_{ij}(t) c_i^\dagger c_j$$



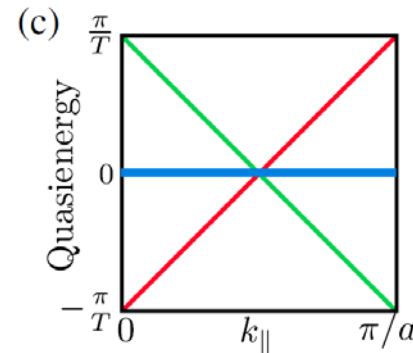


# Floquet anomalous Hall insulator



# Topological number?

- No Chern number
  - An alternative way to obtain Floquet Hamiltonian:  
 $H_F = i \log U(0 \rightarrow T)$
  - $U=1$  in the bulk  $\rightarrow H_F=0$
  - Trivial bulk band
- Protection of edge states does not come from  $H_F$ . Instead, it originates from  $t$  dependence of  $U(t)$ .



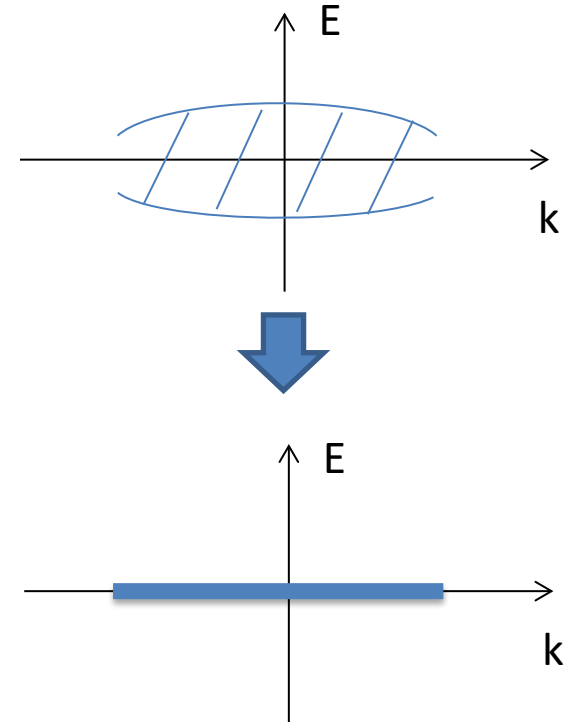
# Winding number of U

- Spectral flattening

$$\tilde{H}(t) = \begin{cases} H(t), & 0 < t < T \\ -H_F, & T < t < 2T \end{cases}$$

$$\tilde{U}(t) = \mathcal{T} \exp\left[-i \int_0^t H(t') dt'\right]$$

—  $U(t=T)=U(t=0)=1$ , periodic for  $(t,k)$



- Winding number  $\pi_3(U(N))=Z$

$$W = \frac{\epsilon_{ab}}{8\pi^2} \int dt dk d\lambda \text{tr}[(\tilde{U}^\dagger \partial_t \tilde{U})(\tilde{U}^\dagger \partial_a \tilde{U})(\tilde{U}^\dagger \partial_b \tilde{U})],$$

$a,b=kx,ky$

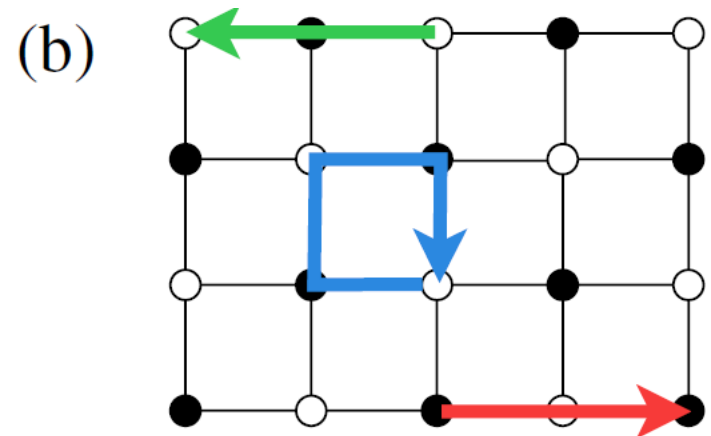
# Meaning of W

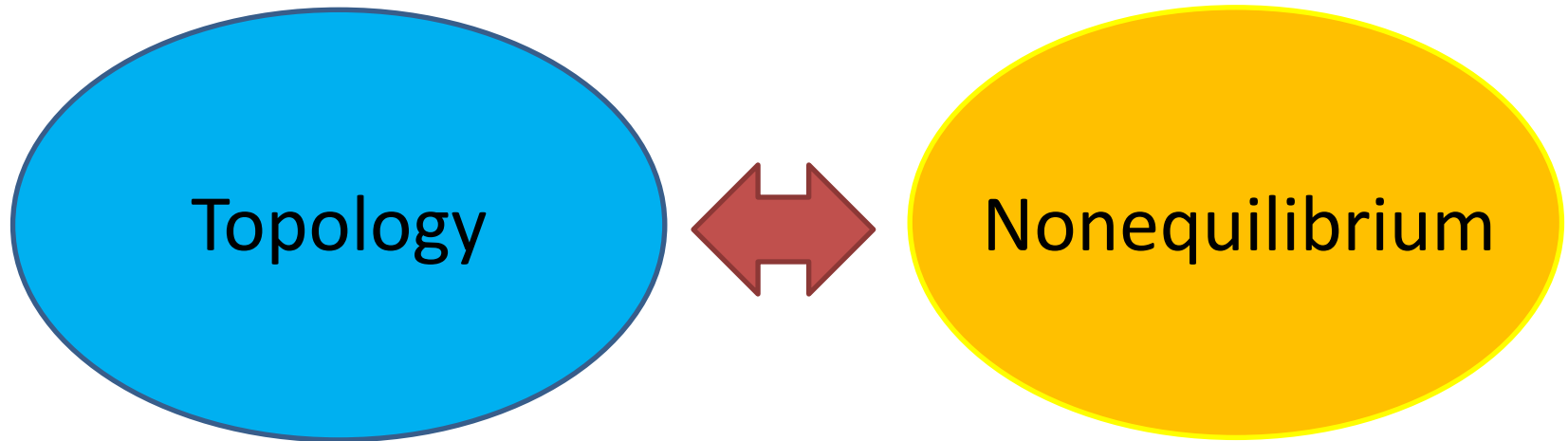
$$W = \frac{\epsilon_{ab}}{8\pi^2} \int dt dk d\lambda \text{tr}[(\tilde{U}^\dagger \partial_t \tilde{U})(\tilde{U}^\dagger \partial_a \tilde{U})(\tilde{U}^\dagger \partial_b \tilde{U})],$$

a,b=kx,ky

Integrand  $\sim i[H,x] y - i[H,y] x \sim p_x y - p_y x$   
 $\sim$  orbital magnetization

- Quantized magnetization in the bulk





- Floquet topological phases  
protected by time glide symmetry



Adrian Po



Ashvin Vishwanath

Morimoto, Po, Vishwanath, PRB (2017)

# Symmetries that protect TIs

- Ten fold way

- Time reversal symmetry
- Particle-hole symmetry
- Chiral symmetry

$$gH(t)g^\dagger = H(t)$$

- Topological crystalline symmetry

- Reflection symmetry
- Rotation symmetry

$$gH(t, k)g^\dagger = H(t, g(k))$$

- Static symmetries:

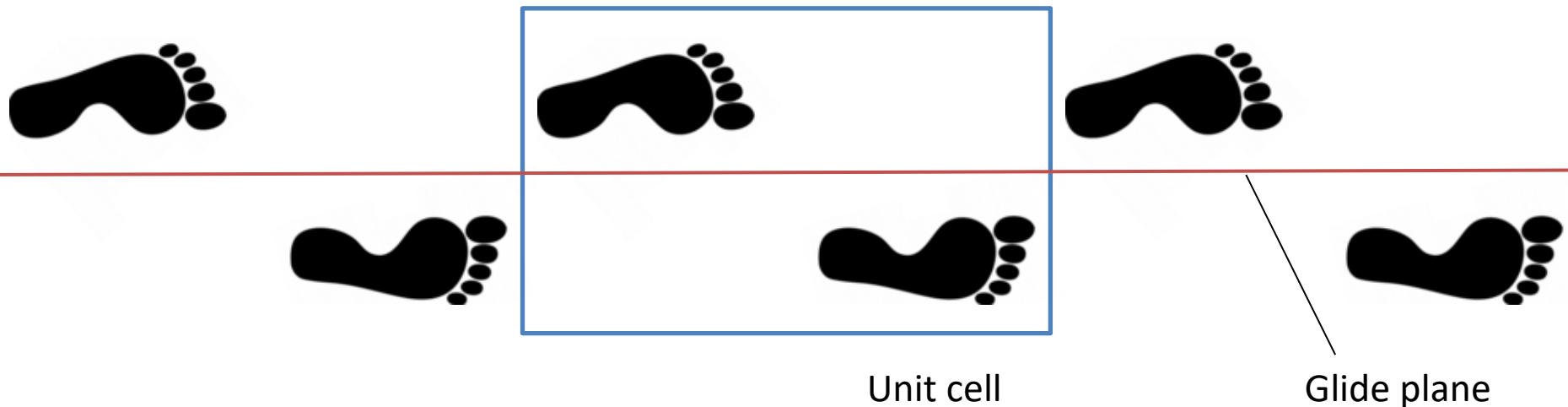
$$g: \mathbf{t} \rightarrow \mathbf{t}$$

# Symmetry that only appears in dynamical systems?

$$gH(t, k)g^\dagger = H(g(t), g(k))$$

- Partial time translation:  $g(t)=t+t_0$ 
  - Time nonsymmorphic symmetry

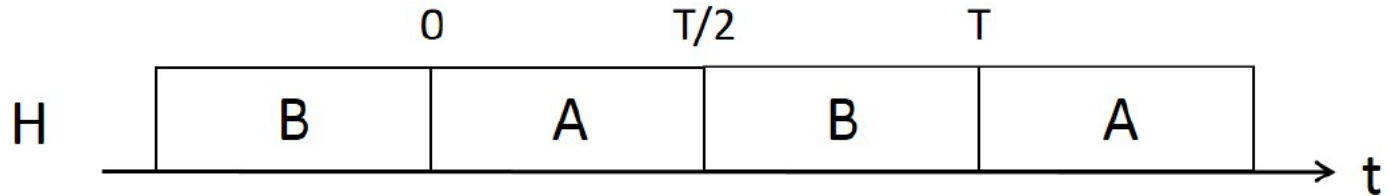
# Nonsymmorphic symmetry: glide symmetry



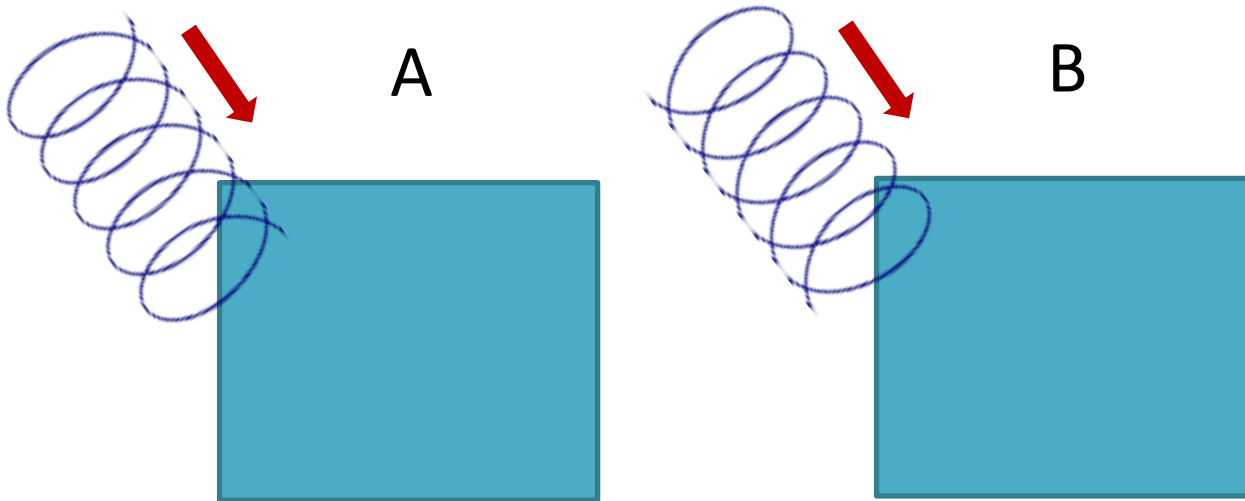
$$gH(x, y)g^\dagger = H\left(x + \frac{L}{2}, -y\right)$$



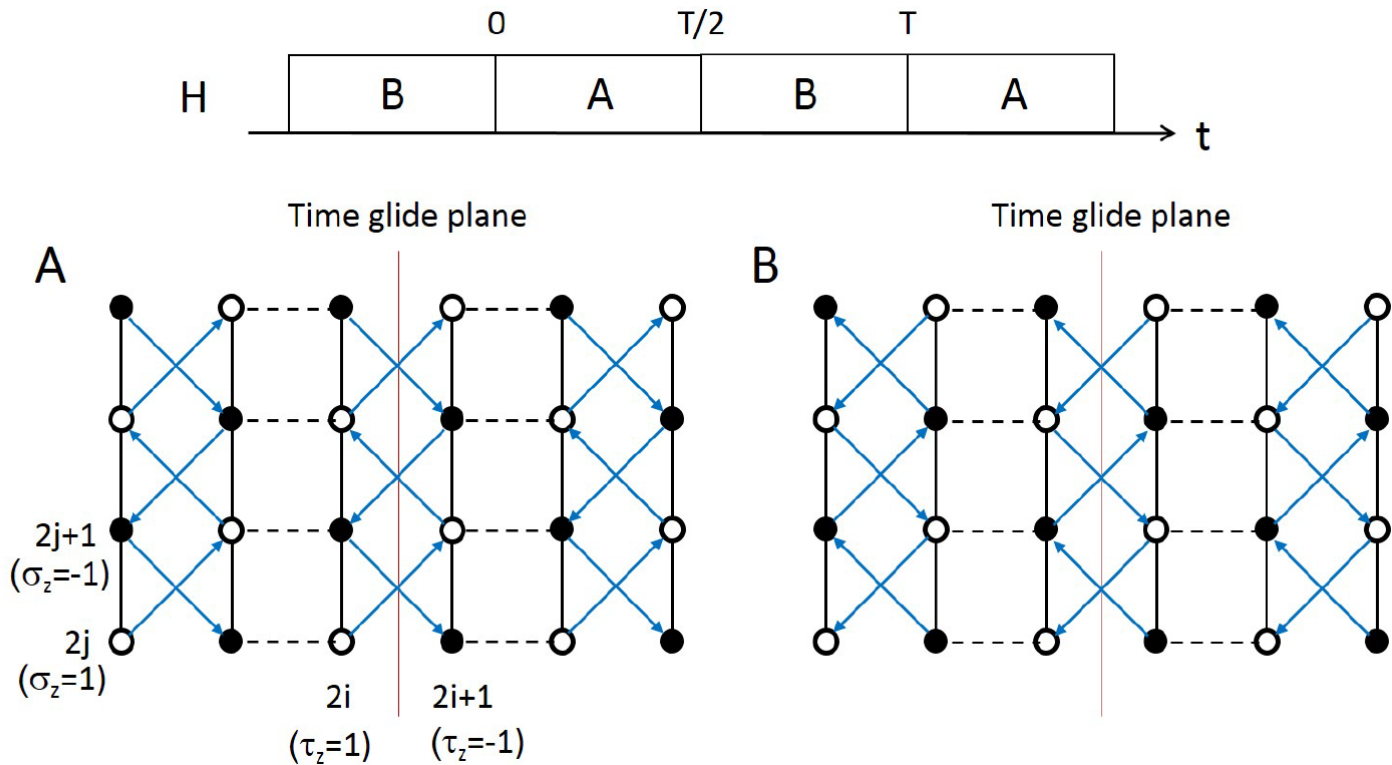
# Time glide symmetry



$$gH(t, k_x, k_y)g^\dagger = H(t + \frac{T}{2}, -k_x, k_y)$$



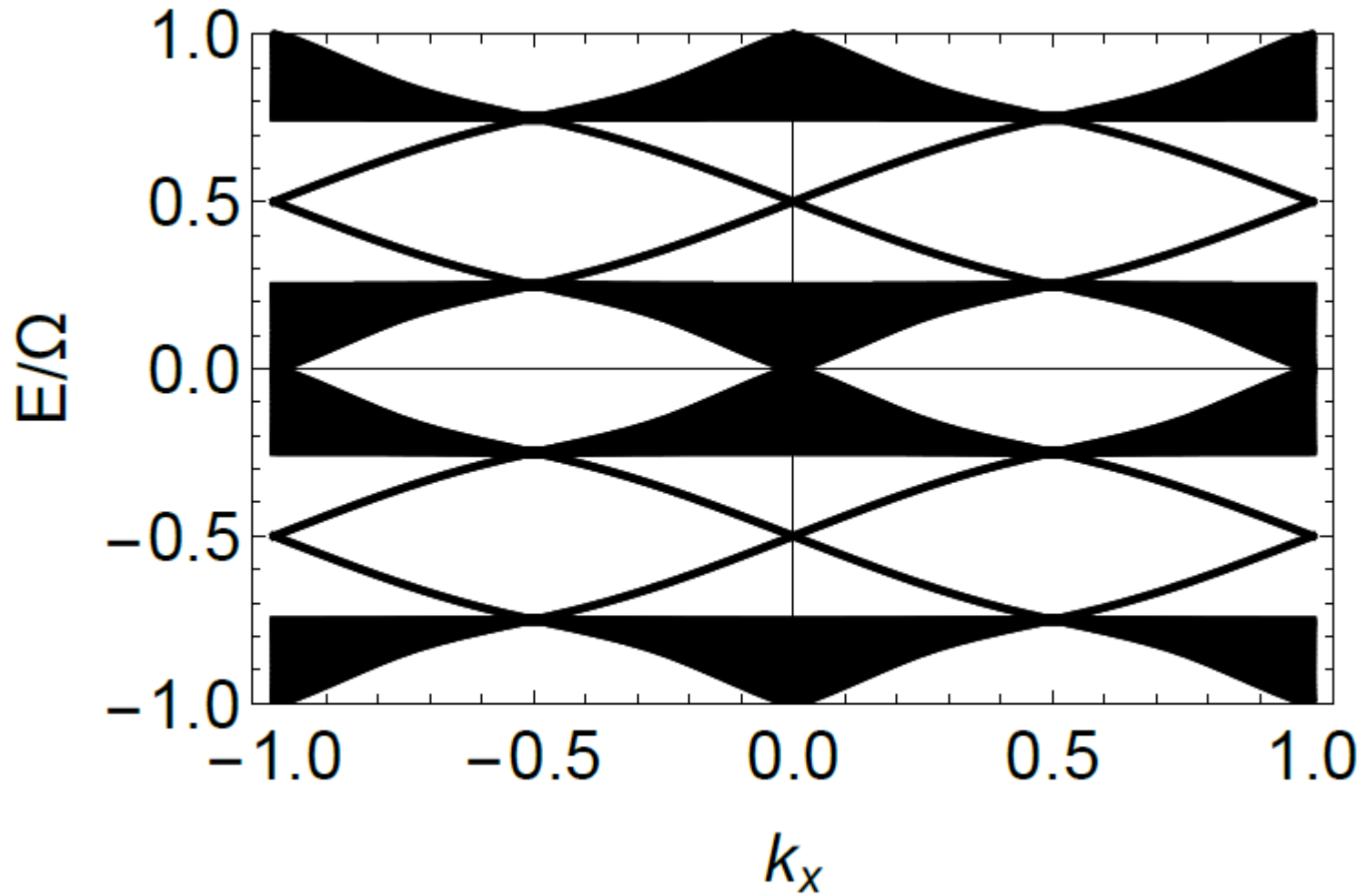
# 2D toy model: time glide + sublattice symmetry



$$H(\mathbf{k}, t) = 2t\sigma_x \cos \frac{k_y}{2} + 2t\eta(t)\sigma_y\tau_y \sin \frac{k_y}{2} + t'\tau_x \cos k_x + t'\tau_y \sin k_x,$$

$$\eta(t) = \begin{cases} +1, & (0 \leq t < \frac{T}{2}) \\ -1, & (\frac{T}{2} \leq t < T) \end{cases}$$

# Quasienergy spectrum




# Topological number for 1D class AIII

- Action of chiral symmetry:  $\Gamma H(t)\Gamma = -H(-t),$

$$\Gamma U(k, 0 \rightarrow T/2)\Gamma = U^\dagger(k, T/2 \rightarrow T).$$

- When  $U(0 \rightarrow T)=1$  (with spectral flattening),

$$U(k, 0 \rightarrow T/2) = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad \text{and} \quad \Gamma = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \text{commute}$$

  $b = c = 0.$

- We can define winding number as

$$\nu_\pi = \nu[d], \quad \nu[g(k)] = \frac{1}{2\pi i} \int dk \operatorname{tr} \left( g^\dagger \frac{dg}{dk} \right),$$

# Topological number for time glide symmetric 2D model

- Focus on glide invariant plane (at  $k_x=0$ )

$$M_T H(0, k_y, t) M_T^{-1} = H\left(0, k_y, t + \frac{T}{2}\right).$$

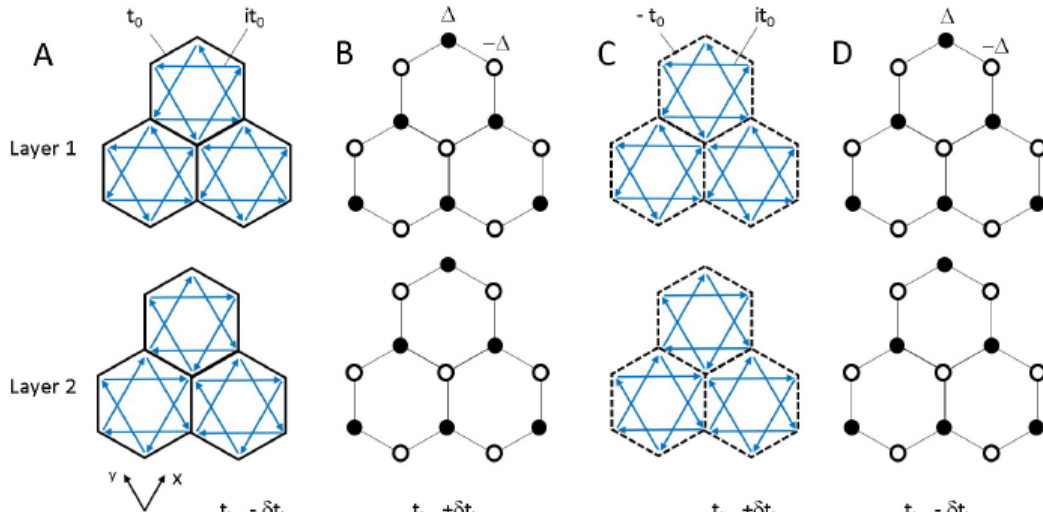
$$\Gamma M_T H(0, k_y, t) (\Gamma M_T)^{-1} = -H(0, k_y, T/2 - t),$$

$$\Gamma M_T U\left(0, -\frac{T}{4} \rightarrow \frac{T}{4}\right) (\Gamma M_T)^{-1} = U^\dagger\left(0, -\frac{T}{4} \rightarrow \frac{T}{4}\right)$$

$$U\left(0, -\frac{T}{4} \rightarrow \frac{T}{4}\right) = \begin{pmatrix} a' & b' \\ c' & d' \end{pmatrix}, \quad \Gamma M_T = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

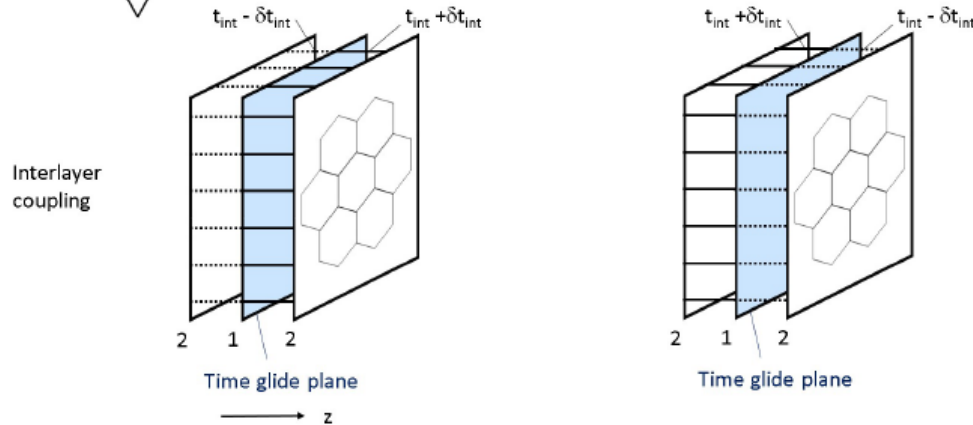
- Topological number:  $\nu[d']$

# 3D model with time glide



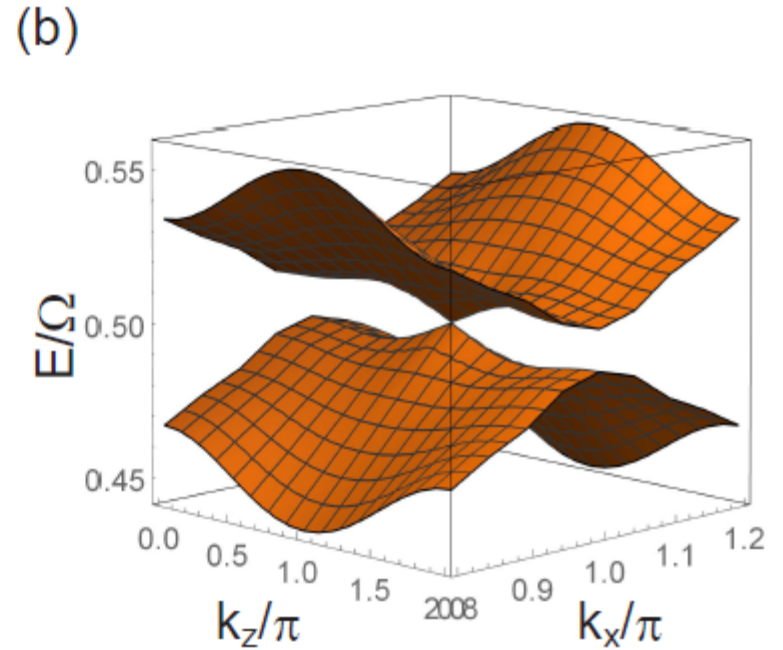
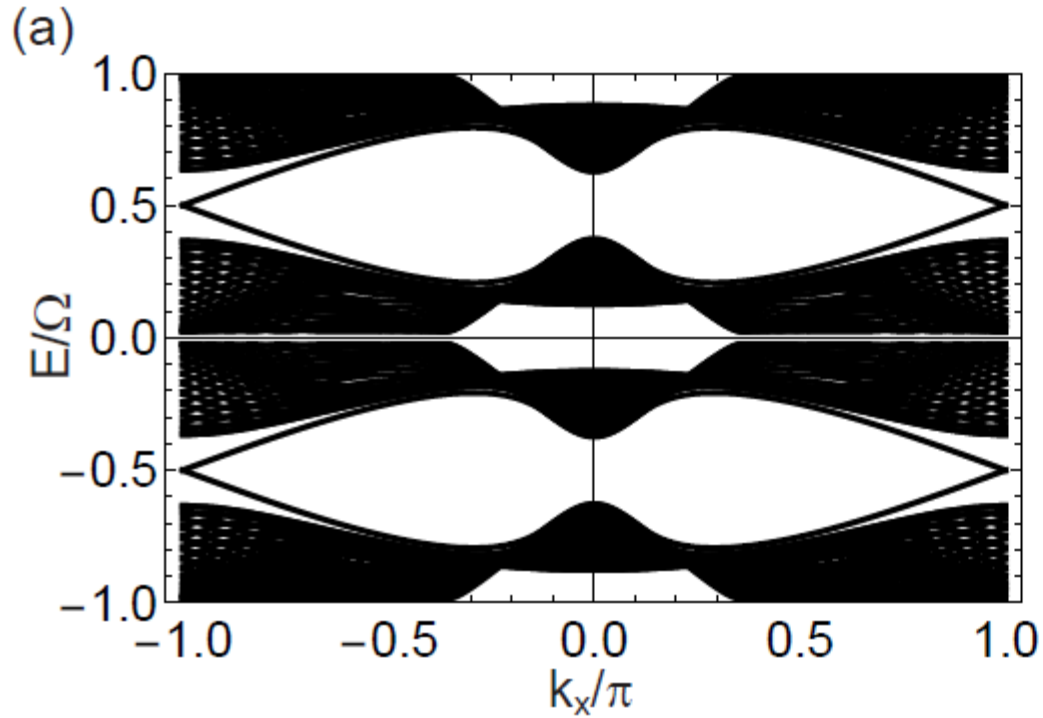
$$H_{\text{intra}} = \begin{cases} t_0 \mathbf{d}_H \cdot \boldsymbol{\sigma}, & (0 \leq t < \frac{T}{4}) \\ \Delta \sigma_z, & (\frac{T}{4} \leq t < \frac{T}{2}) \\ t_0 \sigma_z (\mathbf{d}_H \cdot \boldsymbol{\sigma}) \sigma_z, & (\frac{T}{2} \leq t < \frac{3T}{4}) \\ \Delta \sigma_z, & (\frac{3T}{4} \leq t < T) \end{cases}$$

$$\mathbf{d}_H = (1 + \cos k_x + \cos k_y, \sin k_x + \sin k_y, [-2 \sin k_x + 2 \sin k_y + 2 \sin(k_x - k_y)] \tau_z)$$



$$H_{\text{inter}} = \begin{cases} (t_{\text{int}} + \delta t_{\text{int}} \cos k_z) \tau_x + \delta t_{\text{int}} \sin k_z \tau_y, & (0 \leq t < \frac{T}{2}) \\ (\delta t_{\text{int}} + t_{\text{int}} \cos k_z) \tau_x - t_{\text{int}} \sin k_z \tau_y, & (\frac{T}{2} \leq t < T) \end{cases}$$

# Band structure



# Topological number

- With time glide symmetry,

$$M^T H(k_x, k_y, k_z^0, t) (M^T)^{-1} = H(k_x, k_y, k_z^0, t + T/2).$$

we can write  $U(0 \rightarrow T)$  with half period evolution operator  $U_h$  as

$$U(k_x, k_y, 0 \rightarrow T) = g_T U_h g_T U_h, \quad U_h(k_x, k_y) = U\left(k_x, k_y, 0 \rightarrow \frac{T}{2}\right).$$

- When  $U(0 \rightarrow T) = 1$ ,  $g_T U_h$  becomes hermitian:

$$g_T U_h = (g_T U_h)^\dagger,$$

- We can define a Chern number for  $g_T U_h(k_x, k_y)$

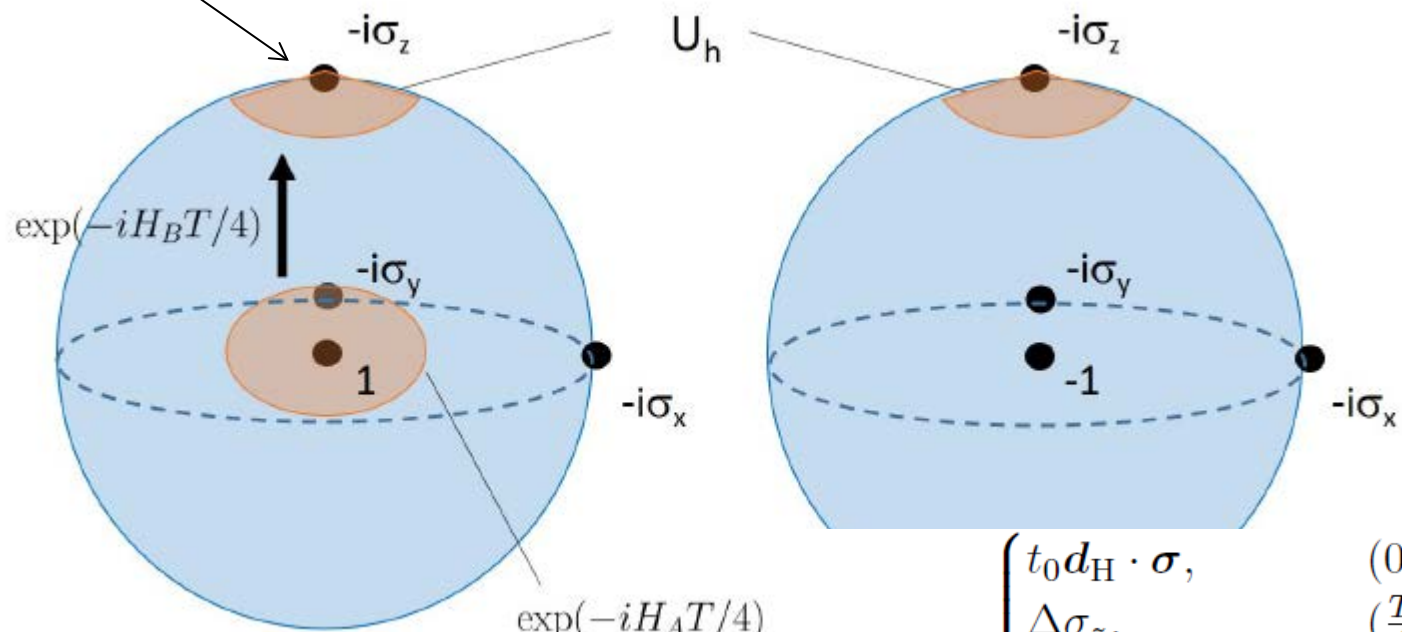


# Topological characterization

- $U_h$  belongs to  $SU(2)$  and defines a point in  $S^3$

$$U(0 \rightarrow T) = (g_T U_h)^2$$

$\pi$  gap closing at  $i gT$   
(suppose  $gT = \sigma_z$ )



$$H_{\text{intra}} = \begin{cases} t_0 d_H \cdot \sigma, & (0 \leq t < \frac{T}{4}) \\ \Delta \sigma_z, & (\frac{T}{4} \leq t < \frac{T}{2}) \\ t_0 \sigma_z (d_H \cdot \sigma) \sigma_z, & (\frac{T}{2} \leq t < \frac{3T}{4}) \\ \Delta \sigma_z, & (\frac{3T}{4} \leq t < T) \end{cases}$$

General classification of Floquet TIs?

# Ten fold way in the equilibrium

Cartan	$d$												
	0	1	2	3	4	5	6	7	8	9	10	11	...
<i>Complex case:</i>													
A	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	period $d = 2$
AIII	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	
<i>Real case:</i>													
AI	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	...
BDI	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	...
D	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	period $d = 8$
DIII	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	
AII	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	...
CII	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	...
C	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	0	...
CI	0	0	0	$2\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$2\mathbb{Z}$	...

- Tenfold way for Floquet topological phases?

# Classification scheme with U

- Define an effective Hamiltonian H from U and classify H

$$U_S(\mathbf{k}, t) = \mathcal{T} \exp \left[ -i \int_{\frac{T-t}{2}}^{\frac{T+t}{2}} dt' H(\mathbf{k}, t') \right]$$

$$H_S(\mathbf{k}, t) = \begin{pmatrix} 0 & U_S(\mathbf{k}, t) \\ U_S^\dagger(\mathbf{k}, t) & 0 \end{pmatrix},$$

- Gapped Hamiltonian  $E = \pm 1$
- Periodic in  $\mathbf{k}$  and  $t \in \mathbb{T}^{d+1}$

# Symmetry actions

$$H_S(\mathbf{k}, t) = \begin{pmatrix} 0 & U_S(\mathbf{k}, t) \\ U_S^\dagger(\mathbf{k}, t) & 0 \end{pmatrix},$$

- Symmetries of H leads to

$$T' H_S(\mathbf{k}, t) T'^{-1} = H_S(-\mathbf{k}, t), \quad T' = T \otimes \sigma_x,$$

$$C' H_S(\mathbf{k}, t) C'^{-1} = H_S(-\mathbf{k}, t), \quad C' = C \otimes \sigma_0,$$

$$\Gamma' H_S(\mathbf{k}, t) \Gamma'^{-1} = H_S(\mathbf{k}, t), \quad \Gamma' = \Gamma \otimes \sigma_x,$$

- Inherent sublattice symmetry

$$\tilde{\Gamma} H_S(\mathbf{k}, t) \tilde{\Gamma}^{-1} = -H_S(\mathbf{k}, t), \quad \tilde{\Gamma} = 1 \otimes \sigma_x$$

Apply classification method for TIs in the equilibrium

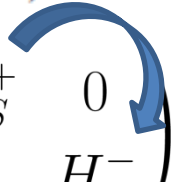
# Case of Class A and AIII

- dD class A systems  $\rightarrow$  d+1D class AIII systems

$$H_S(\mathbf{k}, t) = \begin{pmatrix} 0 & U_S(\mathbf{k}, t) \\ U_S^\dagger(\mathbf{k}, t) & 0 \end{pmatrix}, \quad \tilde{\Gamma} = 1 \otimes \sigma_z.$$

- dD class AIII systems  $\rightarrow$  d+1D class A systems

$$\Gamma' H_S(\mathbf{k}, t) \Gamma'^{-1} = H_S(\mathbf{k}, t), \quad \Gamma' = \Gamma \otimes \sigma_x,$$

$$H_S = \begin{pmatrix} H_S^+ & 0 \\ 0 & H_S^- \end{pmatrix} \quad \tilde{\Gamma} = 1 \otimes \sigma_z.$$


Cartan	d											
	0	1	2	3	4	5	6	7	8	9	10	11
<i>Complex case:</i>												
A	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0
AIII	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$



# Floquet tenfold way

Class	$T$	$C$	$\Gamma$	$d = 0$	$d = 1$	$d = 2$	$d = 3$	$d = 4$	$d = 5$	$d = 6$	$d = 7$
A	0	0	0	$\mathbb{Z}^n$	0	$\mathbb{Z}^n$	0	$\mathbb{Z}^n$	0	$\mathbb{Z}^n$	0
AIII	0	0	1	0	$\mathbb{Z}^2$	0	$\mathbb{Z}^2$	0	$\mathbb{Z}^2$	0	$\mathbb{Z}^2$
AI	+1	0	0	$\mathbb{Z}^n$	0	0	0	$\mathbb{Z}^n$	0	$\mathbb{Z}_2^n$	$\mathbb{Z}_2^n$
BDI	+1	+1	1	$\mathbb{Z}_2^n$	$\mathbb{Z}^2$	0	0	0	$\mathbb{Z}^2$	0	$\mathbb{Z}_2^n$
D	0	+1	0	$\mathbb{Z}_2^n$	$\mathbb{Z}_2^n$	$\mathbb{Z}^2$	0	0	0	$\mathbb{Z}^2$	0
DIII	-1	+1	1	0	$\mathbb{Z}_2^n$	$\mathbb{Z}_2^n$	$\mathbb{Z}^2$	0	0	0	$\mathbb{Z}^2$
AII	-1	0	0	$\mathbb{Z}^n$	0	$\mathbb{Z}_2^n$	$\mathbb{Z}_2^n$	$\mathbb{Z}^n$	0	0	0
CII	-1	-1	1	0	$\mathbb{Z}^2$	0	$\mathbb{Z}_2^n$	$\mathbb{Z}_2^n$	$\mathbb{Z}^2$	0	0
C	0	-1	0	0	0	$\mathbb{Z}^2$	0	$\mathbb{Z}_2^n$	$\mathbb{Z}_2^n$	$\mathbb{Z}^2$	0
CI	+1	-1	1	0	0	0	$\mathbb{Z}^2$	0	$\mathbb{Z}_2^n$	$\mathbb{Z}_2^n$	$\mathbb{Z}^2$

- The same types of topological numbers as in the equilibrium

# Classification of time glide Floquet TIs

- Time glide symmetry gives an additional symmetry constraint on  $H_S$ :

$$M_T H(k_1, k_2, \dots, k_d, t) M_T^{-1} = H\left(-k_1, k_2, \dots, k_d, t + \frac{T}{2}\right).$$

➔  $M'_T H_S(k_1, k_2, \dots, k_d, t) M'^{-1}_T = H_S(-k_1, k_2, \dots, k_d, -t),$   
 $M'_T = M_T \otimes \sigma_x.$

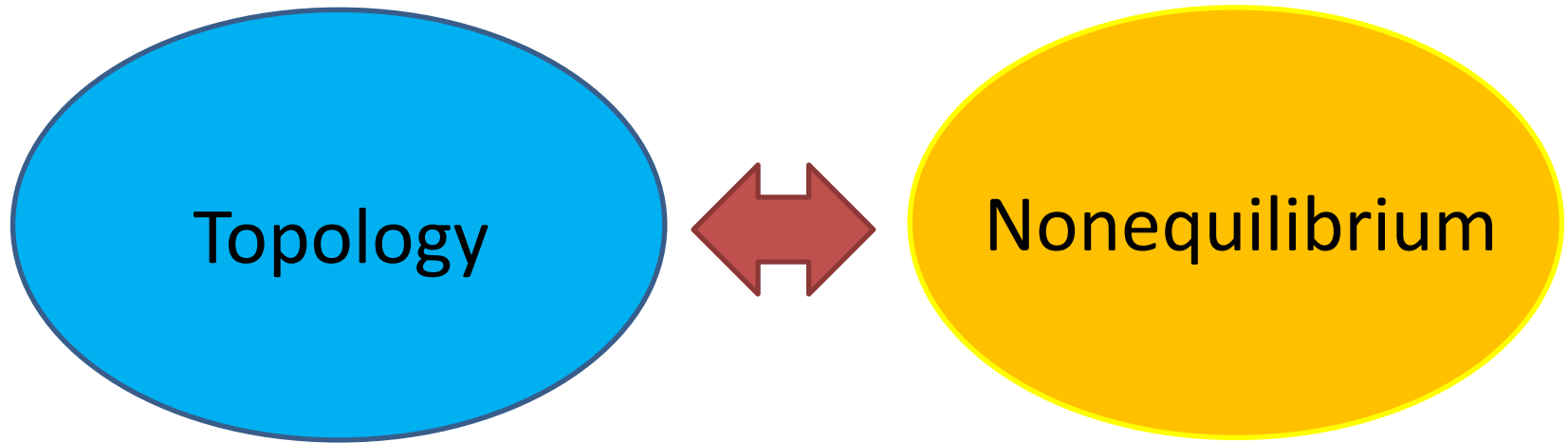
- We apply classification method for topological crystalline insulator with reflection



# Classification of time glide Floquet TIs

$\eta_T, \eta_C, \eta_\Gamma$	Class	$C_q$ or $R_q$	$d=0$	$d=1$	$d=2$	$d=3$	$d=4$	$d=5$	$d=6$	$d=7$
-	A	$C_{d+3}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$
$\eta_\Gamma = +$	AIII	$C_{d+3} \times C_{d+3}$	0	$\mathbb{Z}^2$	0	$\mathbb{Z}^2$	0	$\mathbb{Z}^2$	0	$\mathbb{Z}^2$
$\eta_\Gamma = -$	AIII	$C_{d+4}$	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0
$\eta_T = +$ (AI,AII) $\eta_C = -$ (D,C) $(\eta_T, \eta_C) = (+, -)$ (BDI,DIII,CII,CI)	AI	$R_{1-d}$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$
	BDI	$R_{2-d}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0
	D	$R_{3-d}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$
	DIII	$R_{4-d}$	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
	AII	$R_{5-d}$	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
	CII	$R_{6-d}$	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0
	C	$R_{7-d}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
	CI	$R_{-d}$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
$\eta_T = -$ (AI,AII) $\eta_C = +$ (D,C) $(\eta_T, \eta_C) = (-, +)$ (BDI,DIII,CII,CI)	AI	$R_{-1-d}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
	BDI	$R_{-d}$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
	D	$R_{1-d}$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$
	DIII	$R_{2-d}$	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0
	AII	$R_{3-d}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$
	CII	$R_{4-d}$	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
	C	$R_{5-d}$	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
CI	$R_{6-d}$	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	
$(\eta_T, \eta_C) = (+, +)$	BDI	$R_{1-d} \times R_{1-d}$	$\mathbb{Z}_2^2$	$\mathbb{Z}^2$	0	0	0	$\mathbb{Z}^2$	0	$\mathbb{Z}_2^2$
$(\eta_T, \eta_C) = (-, -)$	DIII	$R_{3-d} \times R_{3-d}$	0	$\mathbb{Z}_2^2$	$\mathbb{Z}_2^2$	$\mathbb{Z}^2$	0	0	0	$\mathbb{Z}^2$
$(\eta_T, \eta_C) = (+, -)$	CII	$R_{-1-d} \times R_{-1-d}$	0	$\mathbb{Z}^2$	0	$\mathbb{Z}^2$	$\mathbb{Z}^2$	$\mathbb{Z}^2$	0	0

Similar to, but different from classification for TCIs



- Floquet symmetry protected topological phases
  - Classification and 1D/2D realizations



Andrew Potter



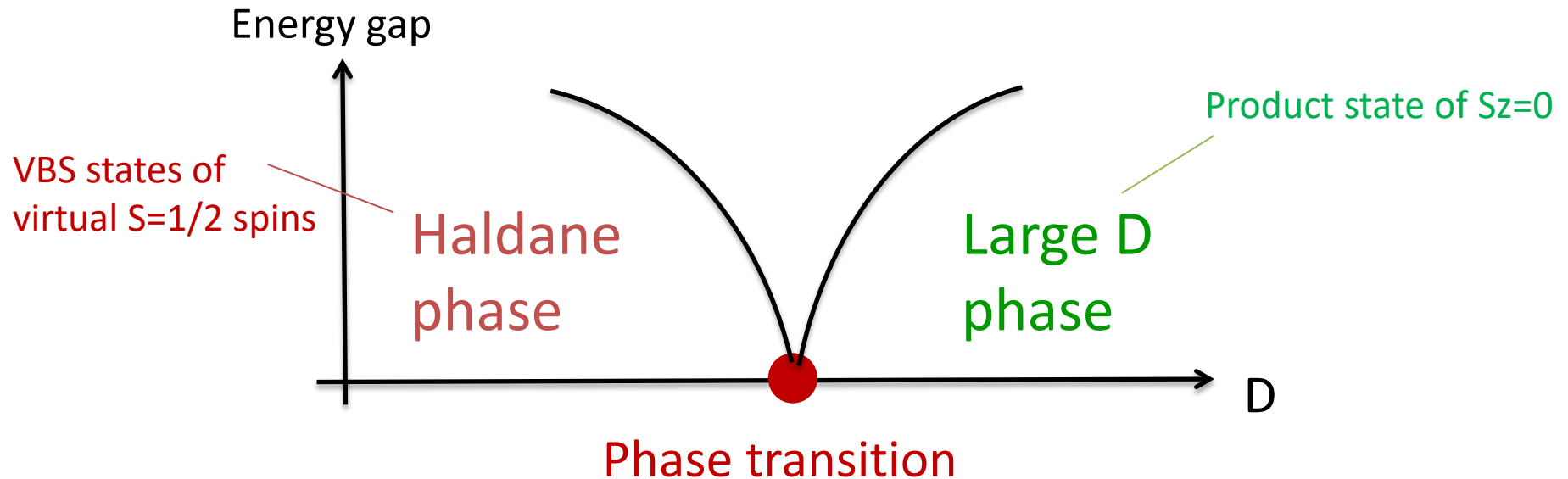
Ashvin Vishwanath

Potter, Morimoto, Vishwanath, PRX (2016)

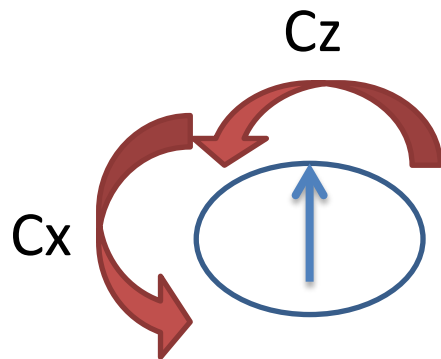
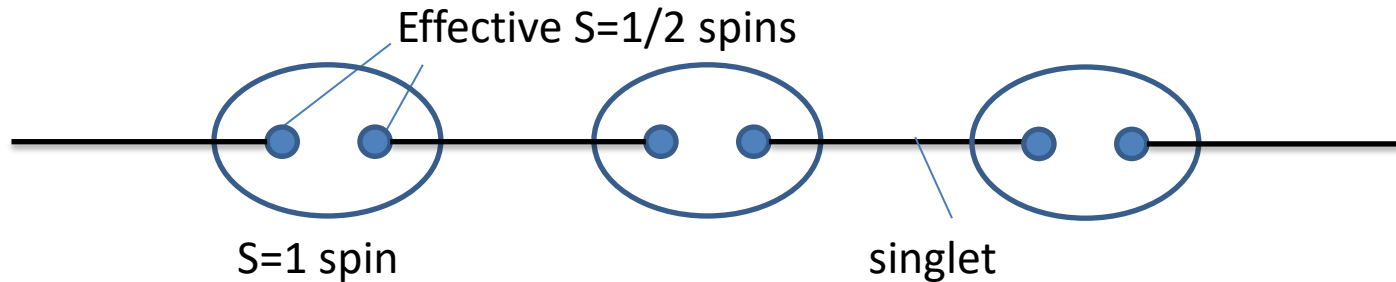
# Haldane phase

- Topological phase of interacting bosons  
“Symmetry-protected topological phases”

S=1 spin chain: 
$$H = J \sum_i \mathbf{S}_i \cdot \mathbf{S}_{i+1} + D \sum_i (S^z)^2$$



# Characterization by projective representation



$$C_x = e^{i\pi S_x} = \begin{pmatrix} & & -1 \\ & -1 & \\ -1 & & \end{pmatrix} \quad C_z = e^{i\pi S_z} = \begin{pmatrix} -1 & & \\ & 1 & \\ & & -1 \end{pmatrix}$$

$$C_x C_z = C_z C_x$$

For edge effective  $\frac{1}{2}$  spins:  $(U_{C_x}, U_{C_z}, U_{C_x C_z}) = (\sigma_x, \sigma_z, i\sigma_y),$

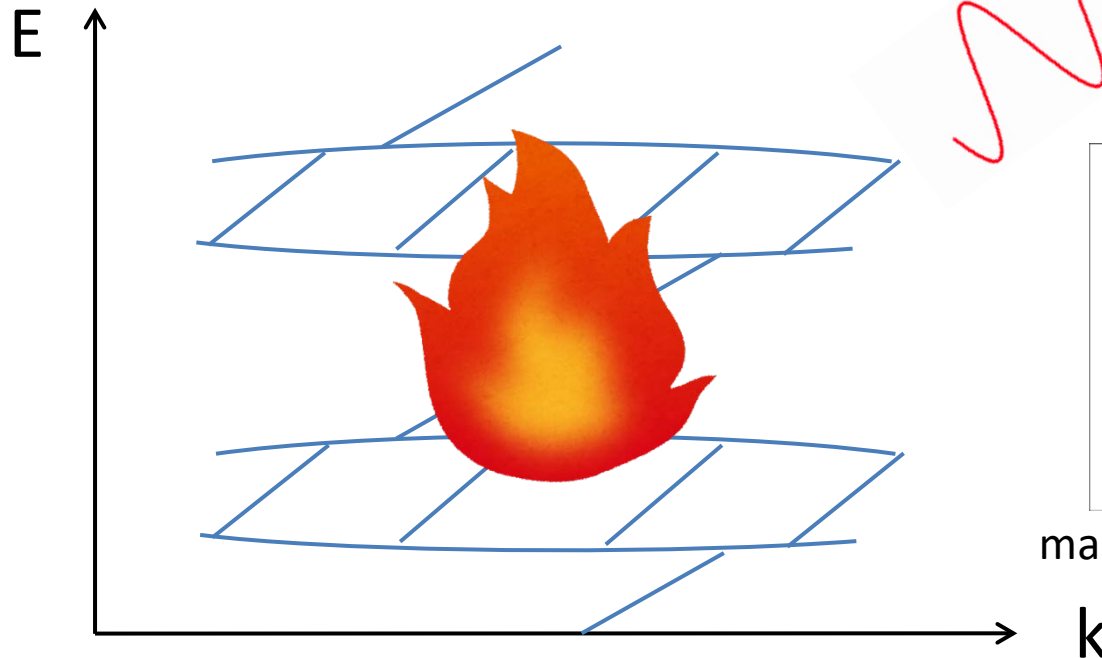
Projective representation:  $U_{C_x} U_{C_z} = -U_{C_z} U_{C_x}$

$\mathfrak{M}$   
Group cohomology:  $H^2(\mathbb{Z}_2 \times \mathbb{Z}_2, U(1)) = \mathbb{Z}_2$

# Floquet version?

Symmetry protected topological phases in  
periodically driven systems

# Avoid heating!

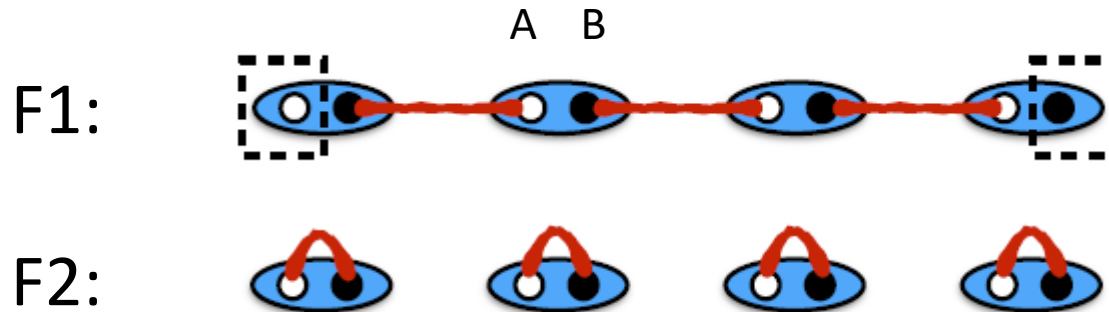


many body localization

- Compatibility with many body localization excludes:
  - Fermions with antiunitary symmetry (T)
  - Bosons with non-Abelian symmetry (SU(2))
- Bosons with Abelian discrete symmetry ( $Z_N$ )

Potter, Vasseur,  
PRB (2016)

# 1D model with Z2 symmetry



Two step drive:

$$F_1 = e^{-iH_{AKLT}} \quad H_{AKLT} = \lambda \sum_{j=1}^{L-1} \sigma_{B,j} \cdot \sigma_{A,j+1}$$

$$F_2 = e^{i\pi/2 \sum_j \sigma_{A,j}^x \sigma_{B,j}^x} = i^L \prod_{j=1}^L \sigma_{A,j}^x \sigma_{B,j}^x$$

Z2 symmetry: 
$$g = \prod_j \sigma_{A,j}^z \sigma_{B,j}^z$$

# Pumping at the edge



$$F2 = i^L \prod_{j=1}^L \sigma_{A,j}^x \sigma_{B,j}^x$$



$$F2 = i^L \prod_{j=1}^L \sigma_{A,j}^x \sigma_{B,j}^x$$



Z2 charge is pumped  
at the edge each cycle

$$g = \prod_j \sigma_{A,j}^z \sigma_{B,j}^z$$



# Classification of FSPT, Kunneth formula

- Assumption: Time translation over the period can be regarded as an additional Z symmetry

$$H^2(G \times Z, U(1))$$

- Kunneth formula

Von Keyserlingk, Sondhi, PRB (2016)

Else, Nayak, PRB (2016)

Potter, Morimoto, Vishwanath, PRX (2016)

$$H^2(G \times Z, U(1)) = H^2(G, U(1)) \times H^1(G, U(1))$$

Equilibrium SPT

Floquet SPT

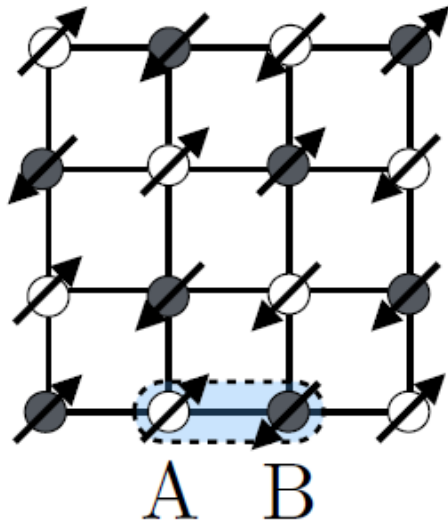
- Symmetry charge pumping at the edge

# Higher dimensions

- Also classified by group cohomology
- Assumption: Low energy effective theory is given by a G-gauge theory
- Classification of SPTs is obtained from that for G-gauge theories

$$H^{d+1}(G, U(1))$$

# 2D model



$$G = \mathbb{Z}_2 \times \mathbb{Z}_2$$

$$g_{A,B} = \prod_{i \in A,B} \sigma_i^x.$$

Target Floquet topological phase:

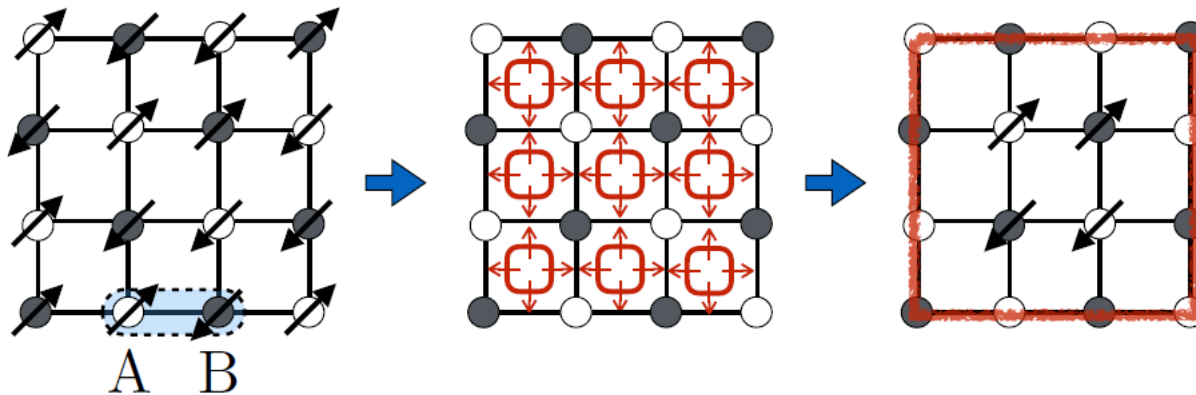
$$H^3(G \times \mathbb{Z}, U(1)) = H^3(G, U(1)) \times H^2(G, U(1))$$

$$H^2(\mathbb{Z}_2 \times \mathbb{Z}_2, U(1)) = \mathbb{Z}_2$$

Pump Haldane phase at the boundary every cycle!

# Reverse engineering

- Pump 1D SPT to boundary every cycle



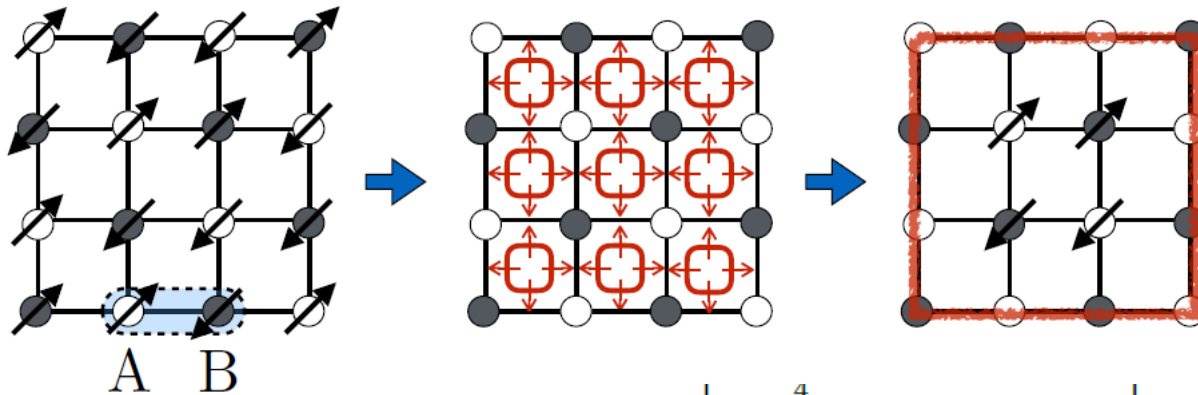
Z2 x Z2 1D-SPT: 
$$H_{1D-SPT} = \sum_i \lambda_i \sigma_{i-1}^z \sigma_i^x \sigma_{i+1}^z$$

$$U_{SPT} = e^{i\frac{\pi}{4} \sum_i (-1)^i \sigma_i^z \sigma_{i+1}^z}$$

Trivial PM: 
$$H_{1D-PM} = \sum_i \lambda_i \sigma_i^x$$

# Reverse engineering

- Pump 1D SPT to boundary every cycle



Unitary for each plaquette:

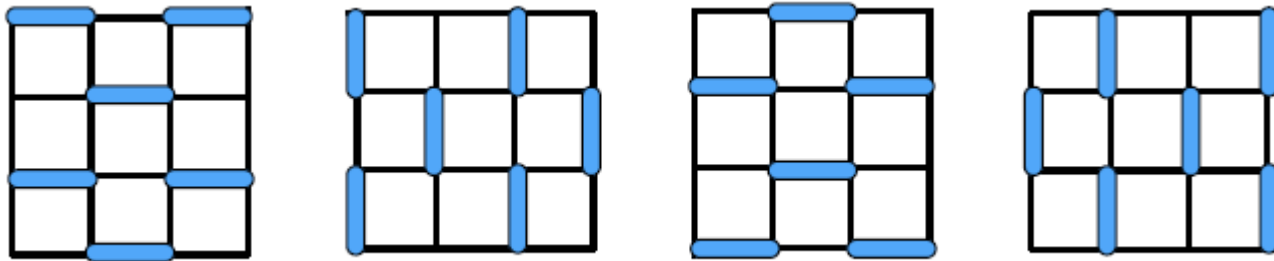
$$\begin{aligned}
 U_{\text{SPT}}^{(1234)} &= \exp \left[ i \frac{\pi}{4} \sum_{i=1}^4 (-1)^i \sigma_i^z \sigma_{i+1 \bmod 4}^z \right] \\
 &= \frac{1}{2} (1 + \sigma_1^z \sigma_2^z \sigma_3^z \sigma_4^z - \sigma_1^z \sigma_3^z - \sigma_2^z \sigma_4^z) \\
 &= \exp \left[ i\pi \underbrace{\left( \frac{1 - \sigma_1^z \sigma_3^z}{2} \right) \left( \frac{1 - \sigma_2^z \sigma_4^z}{2} \right)} \right]
 \end{aligned}$$

Stroboscopic drive:

$$H(t) = \begin{cases} 2H_1 = 2 \sum_P H_{\text{pump}}^{(P)} & 0 \leq t < 1/2 \\ 2H_2 = 2 \sum_i h_i \sigma_i^x & 1/2 \leq t < 1 \end{cases}$$

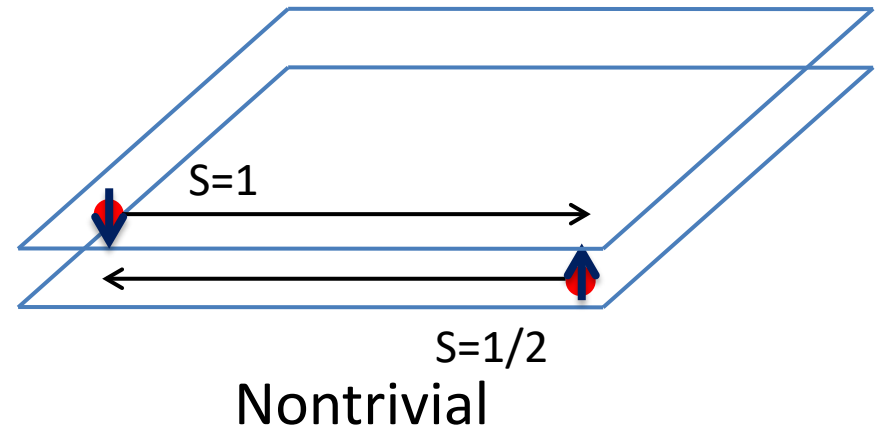
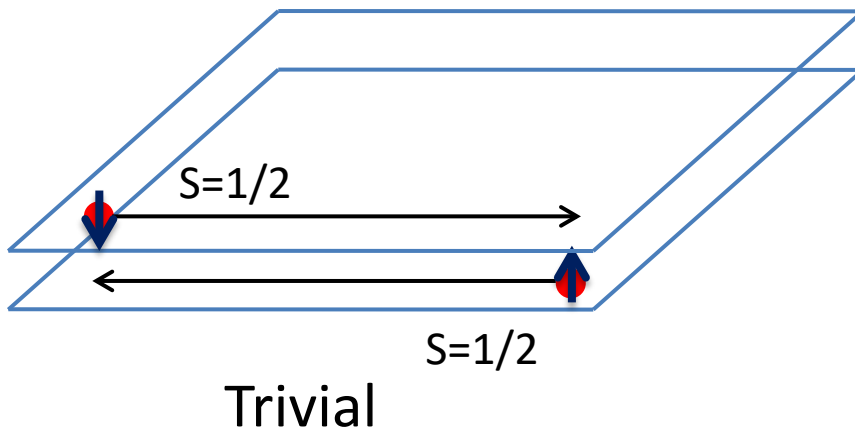
# FSPT beyond cohomology

- Bosons with chiral driving



- Single mode of chiral bosons (e.g.,  $S=1/2$ )
  - cf. at least 8 modes in the equilibrium (E8 state)

# Rational topological number



Topological number =

Dim. of Hilbert space of right mover / Dim. of Hilbert space of left mover  
 $\in \mathbb{Q}$

# Summary

- Noninteracting Floquet topological phases

- Tenfold way classification
- Time glide symmetry

Morimoto, Po, Vishwanath, PRB (2017)

- Interacting Floquet topological phases

- Floquet SPT phases  $\sim$  SPT phases pumped to the boundary every cycle
- 1D and 2D spin models

Potter, Morimoto, Vishwanath, PRX (2016)

