

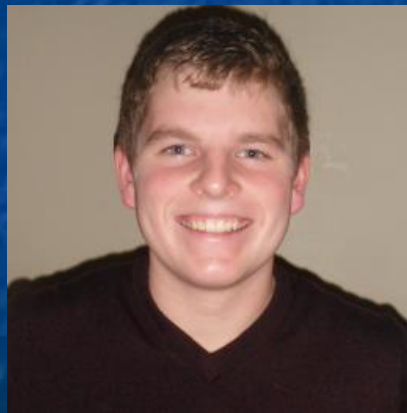
Generalization of the Haldane conjecture to $SU(3)$ chains

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Generalization of the Haldane conjecture to $SU(3)$ chains

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Scope

- Brief review of **Haldane's conjecture** for SU(2)
- **SU(3) chain**: definition and early results
- Field theory approach
 - 3 coupled **CP² theories** with a **topological term**
 - **Strong coupling** analysis
 - **Monte Carlo** simulations
 - Phase diagram
- Conclusions/perspectives

Haldane's conjecture (1983)


$$\mathcal{H} = \sum_i \vec{S}_i \cdot \vec{S}_{i+1}$$

Topological term
 $\theta \times \text{integer}$



$$S = \int dx d\tau \left(\frac{1}{2g} (\partial_\mu \vec{m})^2 + i \frac{\theta}{8\pi} \varepsilon_{\mu\nu} \vec{m} \cdot (\partial_\mu \vec{m} \times \partial_\nu \vec{m}) \right)$$

\vec{m} : staggered magnetization

$$\theta = 2\pi S$$

S integer: $\theta = 0 \text{ mod. } 2\pi$

S half-integer: $\theta = \pi \text{ mod. } 2\pi$

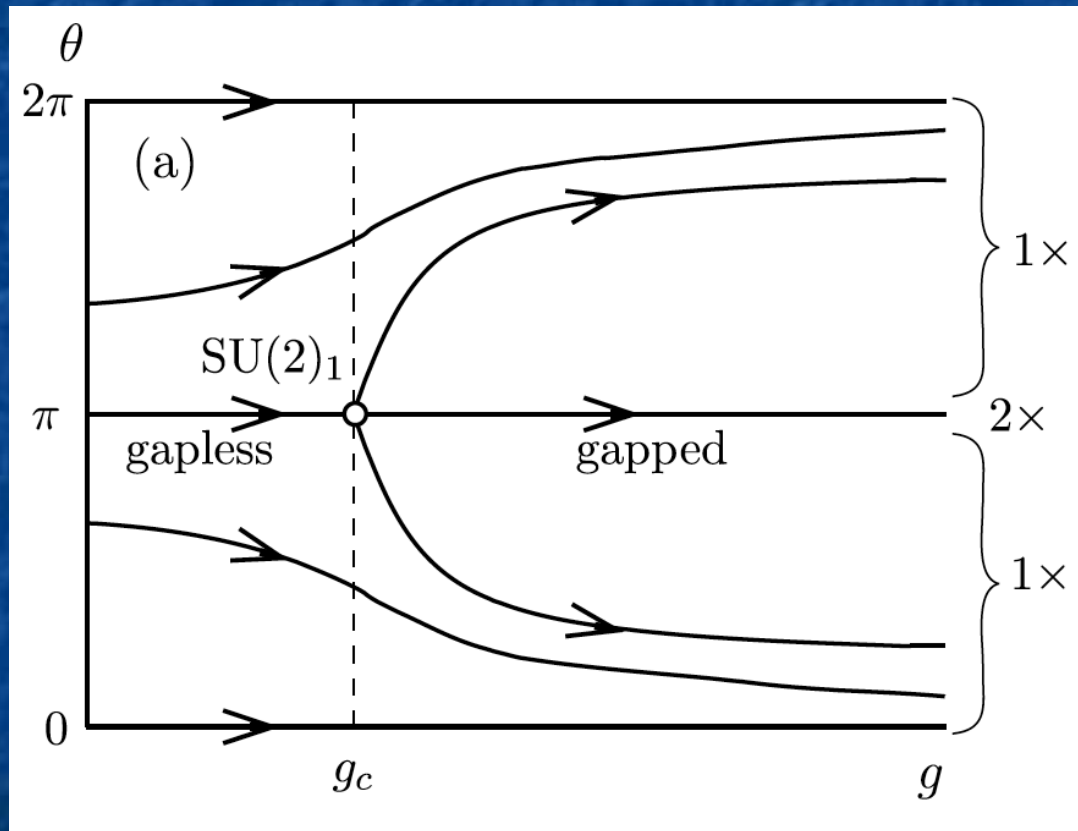
CP¹ formulation

Toy model of quantum chromodynamics in (1+1) D

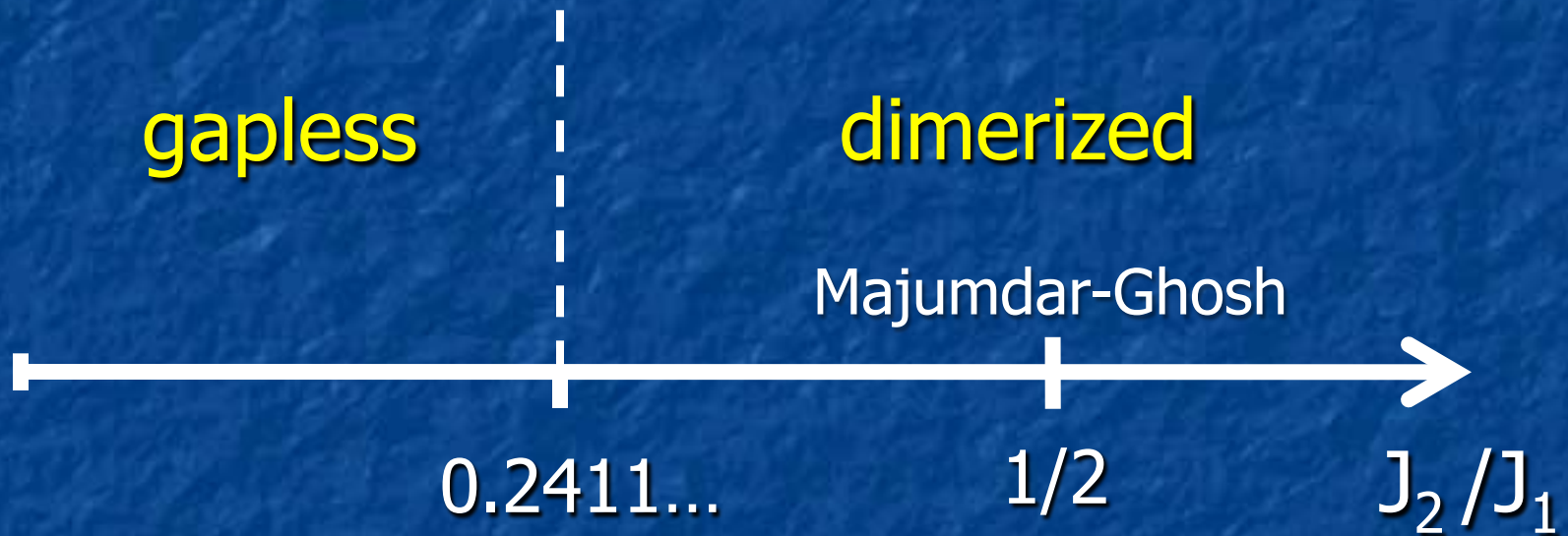
$$\mathcal{S} = \int dx d\tau \left(\frac{2}{g} \left(|\partial_\mu \vec{\phi}_1|^2 + (\vec{\phi}_1^* \cdot \partial_\mu \vec{\phi}_1)^2 \right) + i \frac{\theta}{2\pi i} \varepsilon_{\mu\nu} \partial_\mu \vec{\phi}_1 \cdot \partial_\nu \vec{\phi}_1^* \right)$$

$\vec{\phi}_1$: complex vector field with 2 components

Phase diagram



Spin-1/2 J_1 - J_2 chain



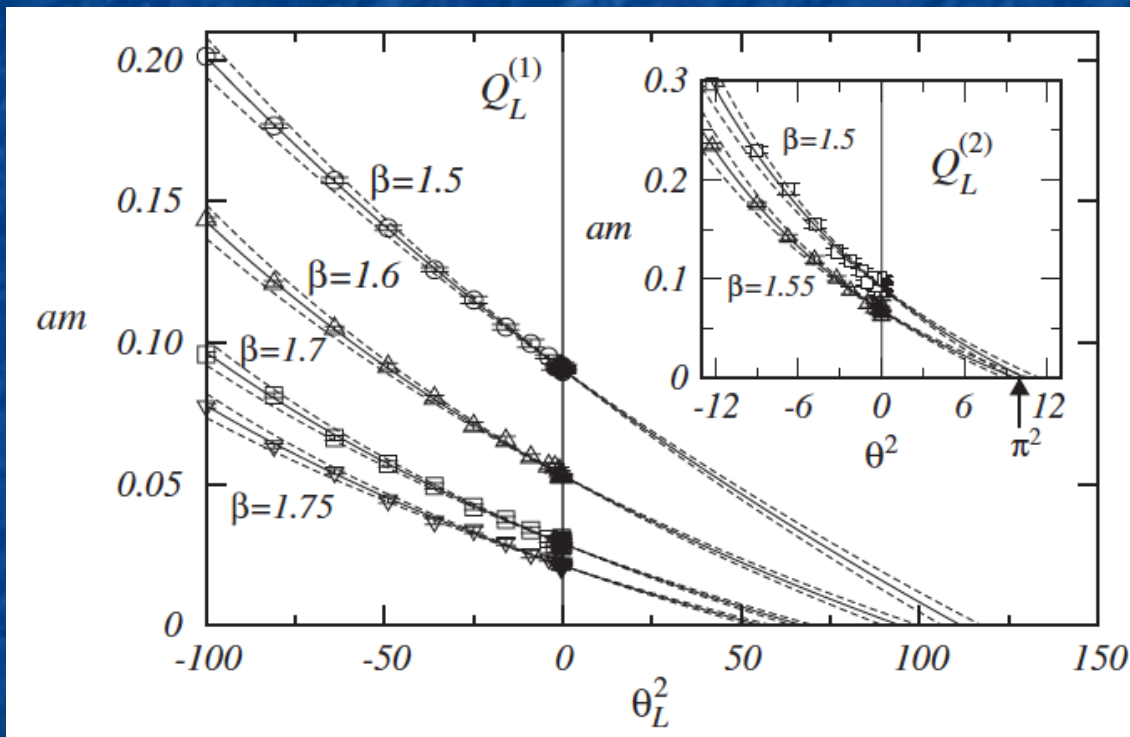
Spontaneous dimerization

Monte Carlo simulations

- θ real: topological term imaginary
 - complex Boltzmann weights
- Imaginary θ
 - real action
 - no minus sign problem
 - Extrapolate from negative to positive θ^2

B. Allés, A. Papa, Phys. Rev. D 77 (2008)

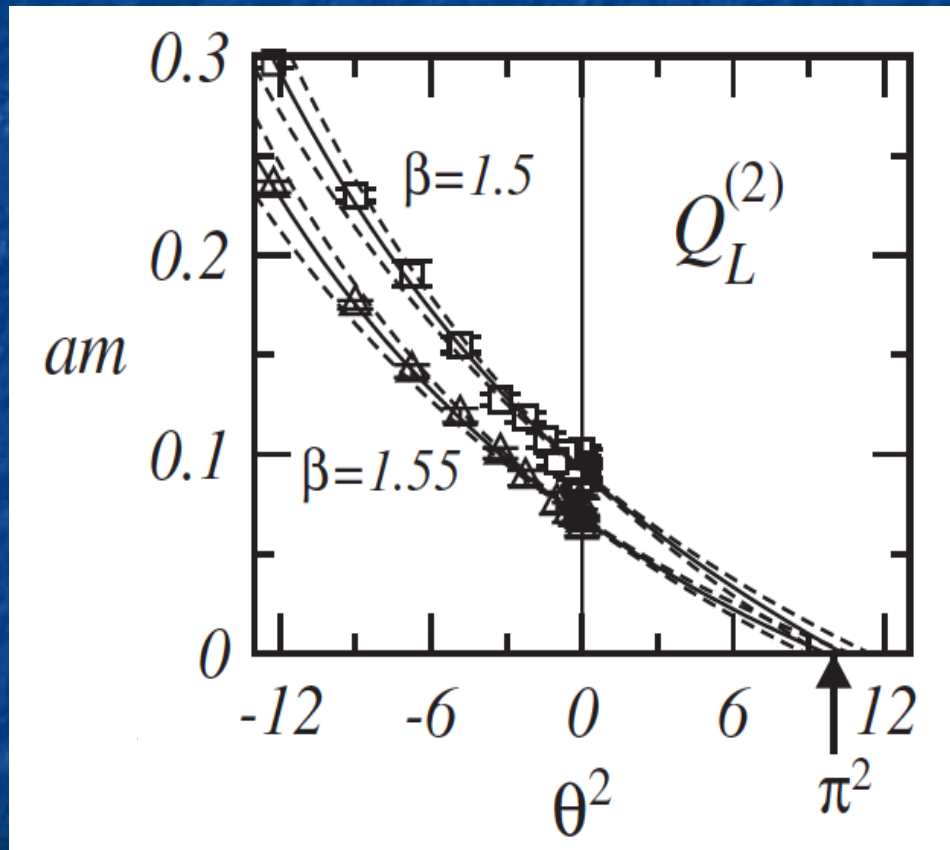
Mass gap from extrapolation



Gap vanishes
at $\theta^2 = \pi^2$

B. Allés, A. Papa, Phys. Rev. D 77 (2008)

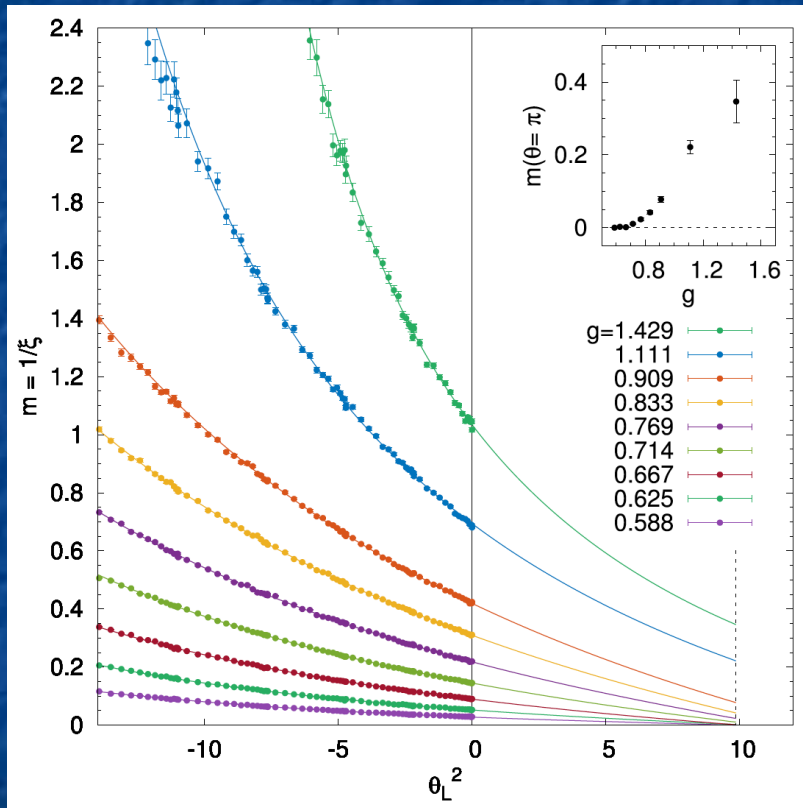
Mass gap from extrapolation



Gap vanishes
at $\theta^2 = \pi^2$

B. Allés, A. Papa, Phys. Rev. D 77 (2008)

Critical point at $\theta = \pi$



$g_c \approx 0.7$

M. Lajko, K. Wamer, FM, I. Affleck, Nucl. Phys. B (2017)

Numerical simulations of spin models

- Clear evidence of a gap for $S=1, 2$ and 3 from DMRG and QMC
- The gap scales as $\exp(-\pi S)$

S	ξ_x	Δ
1	6.0153(3)	0.41048(6)
2	49.49(1)	0.08917(4)
3	637(1)	0.01002(3)

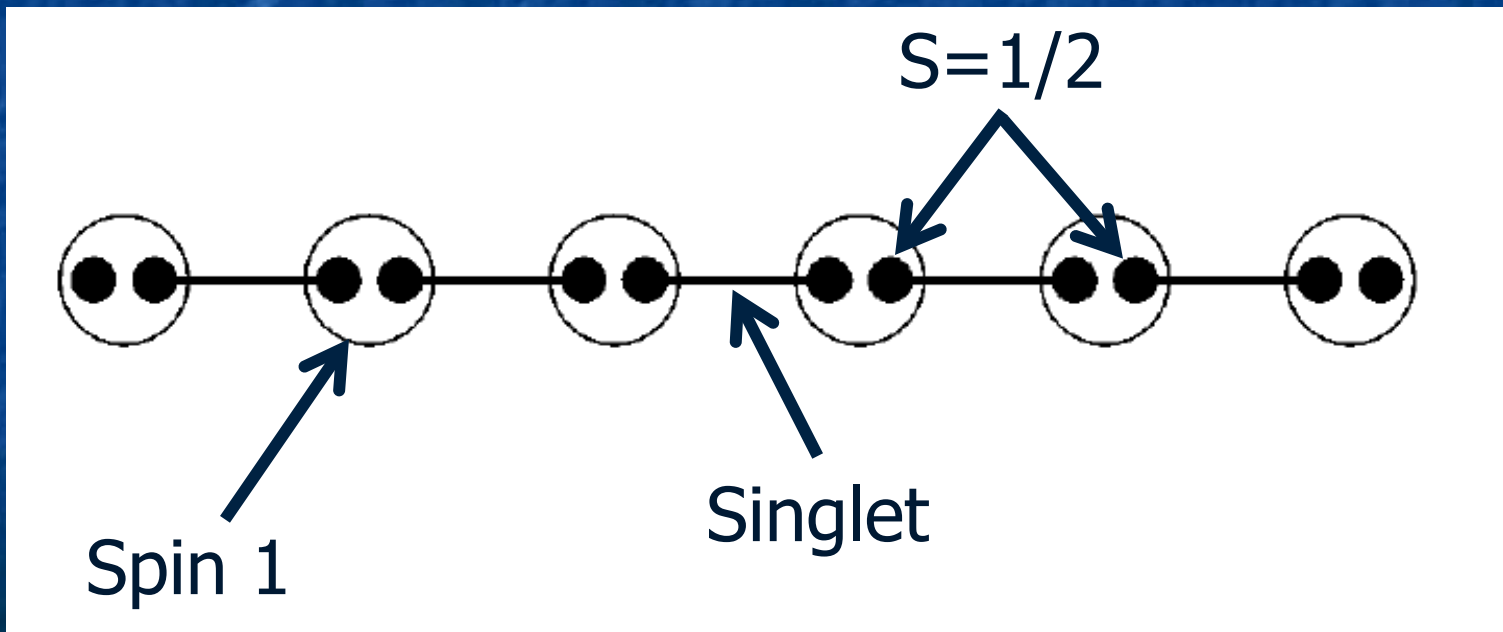
Todo and Kato,
PRL 2001

$S=4$ $\Delta=0.000799(5)$ $\xi=10400(70)$ Matsuo and Todo, 2013

Valence-bond picture

AKLT point

$$\mathcal{H} = \sum_i \left(\vec{S}_i \cdot \vec{S}_{i+1} + \frac{1}{3} (\vec{S}_i \cdot \vec{S}_{i+1})^2 \right)$$



SU(N) Heisenberg model

$$H = \sum_{\langle i,j \rangle} S_{\alpha}^{\beta}(i) S_{\beta}^{\alpha}(j)$$

$$S_{\alpha}^{\beta}$$

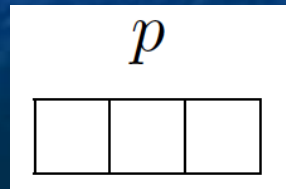
generators of SU(N)

$$[S_{\alpha}^{\beta}, S_{\mu}^{\nu}] = \delta_{\beta\mu} S_{\alpha}^{\nu} - \delta_{\alpha\nu} S_{\mu}^{\beta}$$

Symmetric irrep with p boxes

→ Schwinger boson representation

$$S_{\alpha}^{\beta} = b_{\alpha}^{\dagger} b_{\beta} - \frac{p}{N} \delta_{\alpha\beta}$$



Fundamental representation

- 1D: Bethe Ansatz

→ algebraic correlations with N-site periodicity

Sutherland, 1975

- Equivalent of SU(2) dimer singlet: N sites

$$|S\rangle = \frac{1}{\sqrt{N!}} \sum_P (-1)^P |\sigma_{P(1)} \dots \sigma_{P(N)}\rangle \quad \text{with} \quad \{\sigma_1, \dots, \sigma_N\} = \{1, \dots, N\}$$

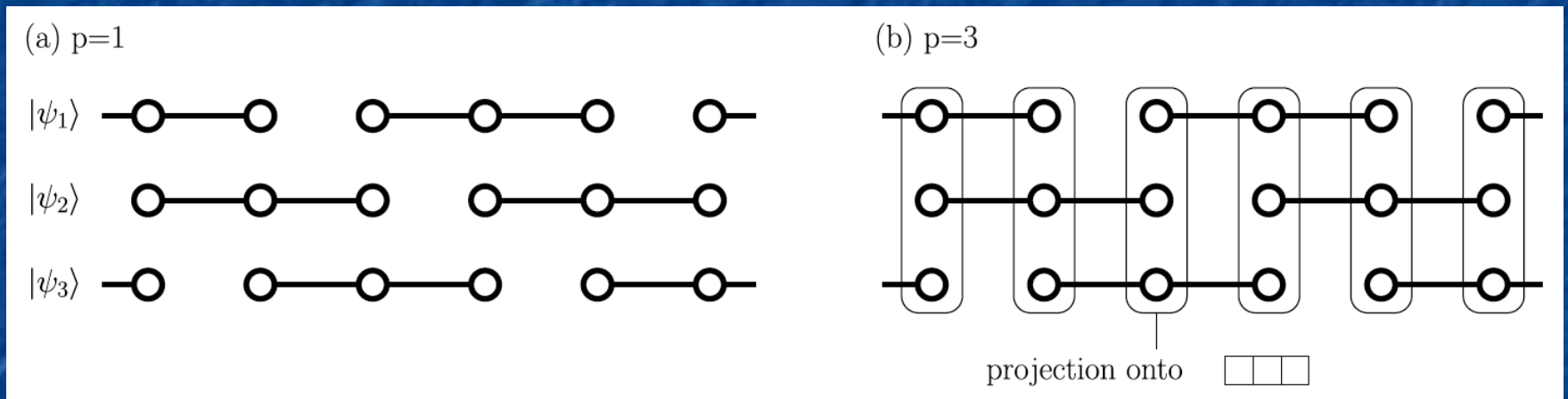
Li, Ma, Shi, Zhang, PRL'98

Symmetric representations of SU(3)

Lieb-Schulz-Mattis-Affleck theorem (1D)

- If the number of boxes $p=3m\pm 1$, either the spectrum is **gapless** or the ground state is **3-fold degenerate** in the thermodynamic limit.
- If $p=3m$, the spectrum can be **gapped** with a **unique ground state**

Valence-bond construction



Non-degenerate and translation
invariant ground state for $p=3$

Greiter et al, 2007

Field theory approach

- Semiclassical approach around $p=+\infty$
- Path integral formulation with spin coherent states
- Field theory for slow modes
- Strong coupling + numerical analysis of field theory

M. Lajko, K. Wamer, FM, I. Affleck, Nucl. Phys. B 924, 508 (2017)

Semiclassical starting point

- **Classical limit** ($p \rightarrow +\infty$)
 - orthogonal wave-functions
 - GS **infinitely degenerate**:
ABABAB..., ABCABC..., ABACABAC,...
- **Zero-point fluctuations** (linear flavour-wave theory)
 - select the **ABCABC...** state

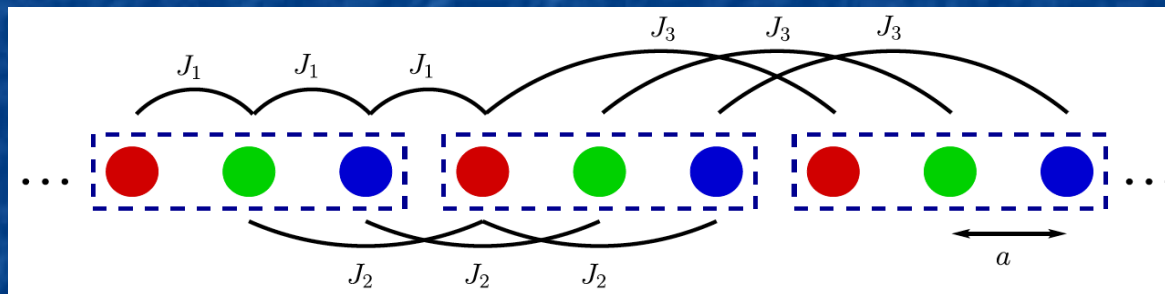
Zero modes

- At the **harmonic level**, the flavour-wave spectrum is completely flat with **only zero-energy modes**
- Higher-order corrections are expected to lift this degeneracy
 - include **additional coupling constants** to lift the degeneracy

J_1 - J_2 - J_3 model

$$\mathcal{H} = \sum_i (J_1 \mathcal{H}_{i,i+1} + J_2 \mathcal{H}_{i,i+2} - J_3 \mathcal{H}_{i,i+3})$$

$$J_1, J_2, J_3 > 0$$



$$\omega(k) = p \sqrt{\left(J_1 + J_2 + 2[1 - \cos(3ka)] J_3 \right)^2 - \left(J_1^2 + J_2^2 + 2J_1 J_2 \cos(3ka) \right)}$$

$$\omega(k) \simeq 3p \sqrt{J_1 J_2 + 2J_1 J_3 + 2J_2 J_3} ka$$

Field theory I

- Spin-coherent state path integral approach

$$|\vec{\Phi}\rangle = \frac{1}{\sqrt{p!}} (\Phi^\mu b_\mu^\dagger)^p |0\rangle$$

- Low-energy configurations around ABCABC...

→ 3-site unit cell

$$\begin{pmatrix} \vec{\Phi}_1^T(j, \tau) \\ \vec{\Phi}_2^T(j, \tau) \\ \vec{\Phi}_3^T(j, \tau) \end{pmatrix} = L(j, \tau)U(j, \tau)$$

Field theory II

- **Transverse fluctuations** (which make the spins non orthogonal inside a unit cell):

$$L(j, \tau)$$

- **Rigid rotations of triplets of spins** from one unit cell to the next

$$U(j, \tau) = \begin{pmatrix} \vec{\phi}_1^T(j, \tau) \\ \vec{\phi}_2(j, \tau) \\ \vec{\phi}_3(j, \tau) \end{pmatrix}$$

Field theory III

Integrate over the fast modes $L(j, \tau)$

$$S[U] = \int dx d\tau \left(\sum_{n=1}^3 \frac{1}{g} \left[v \operatorname{tr} \left[\Lambda_{n-1} U \partial_x U^\dagger \Lambda_n \partial_x U U^\dagger \right] + \frac{1}{v} \operatorname{tr} \left[\Lambda_{n-1} U \partial_\tau U^\dagger \Lambda_n \partial_\tau U U^\dagger \right] \right] \right. \\ \left. + i \sum_{n=1}^3 \frac{\theta_n}{2\pi i} \varepsilon_{\mu\nu} \operatorname{tr} \left[\Lambda_n \partial_\mu U \partial_\nu U^\dagger \right] + i \frac{\lambda}{2\pi i} \varepsilon_{\mu\nu} \sum_{n=1}^3 \operatorname{tr} \left[\Lambda_{n-1} U \partial_\mu U^\dagger \Lambda_n \partial_\nu U U^\dagger \right] \right)$$

$$\Lambda_1 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \Lambda_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \Lambda_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$1/g = p \sqrt{J_1 J_2 + 2J_3 J_1 + 2J_3 J_2} / (J_1 + J_2)$$

$$v = 3ap \sqrt{J_1 J_2 + 2J_3 J_1 + 2J_3 J_2}$$

Gauge invariance

$$U(x, \tau) \rightarrow D(x, \tau)U(x, \tau)$$

$$D(x, \tau) = \begin{pmatrix} e^{i\vartheta_1(x, \tau)} & 0 & 0 \\ 0 & e^{i\vartheta_2(x, \tau)} & 0 \\ 0 & 0 & e^{i\vartheta_3(x, \tau)} \end{pmatrix}$$

$$\sum_i \vartheta_i(x, \tau) = 0$$

$SU(3)/[U(1) \times U(1)]$ non-linear σ – model

Flag manifold

$$\pi_2[SU(3)/[U(1) \times U(1)]] = \mathbb{Z} \times \mathbb{Z} \rightarrow 2 \text{ topological invariants}$$

H. T Ueda, Y. Akagi, N. Shannon, PRA (2016)

CP² formulation

$$\begin{aligned} S = & \int dx d\tau \left(\sum_{n=1}^3 \frac{1}{2g} \left(\left| \partial_\mu \vec{\phi}_n \right|^2 - \left| \vec{\phi}_n^* \cdot \partial_\mu \vec{\phi}_n \right|^2 \right) \right. \\ & + i \sum_{n=1}^3 \frac{\theta_n}{2\pi i} \varepsilon_{\mu\nu} \left(\partial_\mu \vec{\phi}_n \cdot \partial_\nu \vec{\phi}_n^* \right) \\ & \left. + i \frac{\lambda}{2\pi i} \varepsilon_{\mu\nu} \sum_{n=1}^3 \left(\vec{\phi}_{n+1}^* \cdot \partial_\mu \vec{\phi}_n \right) \left(\vec{\phi}_{n+1} \cdot \partial_\nu \vec{\phi}_n^* \right) \right) \end{aligned}$$

$\vec{\phi}_1, \vec{\phi}_2, \vec{\phi}_3$: orthogonal complex vector fields with 3 components

Topological term

$$i\theta_1 Q_1 + i\theta_2 Q_2 + i\theta_3 Q_3 \quad Q_1, Q_2, Q_3 \text{ integers}$$

- **Orthogonal fields** $\rightarrow Q_1 + Q_2 + Q_3 = 0$
 - \rightarrow one can shift all θ_i by a constant
 - \rightarrow choose $\theta_2 = 0$
- Hamiltonian with **mirror symmetry**
 - $\rightarrow \theta_1 = -\theta_3 = \theta$
- Translational invariance: $\theta = 2p\pi/3$

λ - term

- Non universal:

$$\lambda = p \frac{2\pi}{3} \frac{2J_2 - J_1}{J_1 + J_2}$$

- Non topological: not an integer
- Irrelevant

$$\beta_\lambda(\lambda, g) = \frac{9g\lambda}{4\pi}$$

$$\beta_g(\lambda, g) = -\frac{5g^2}{4\pi}$$

Strong coupling analysis

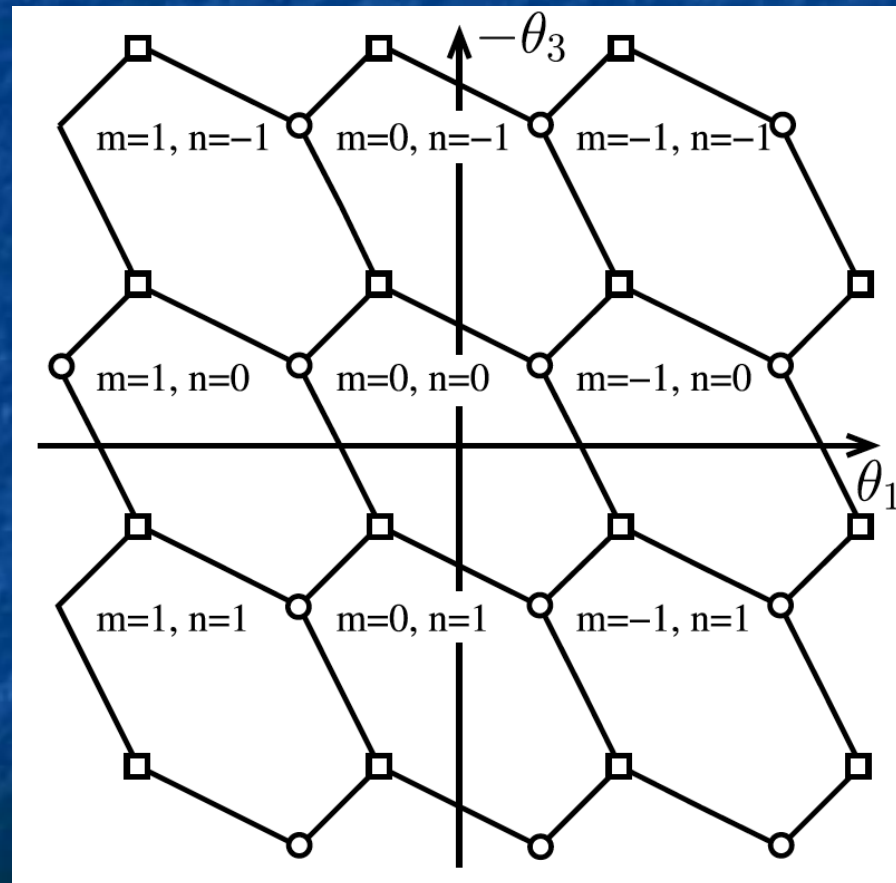
Only topological θ term

$$Z(\theta_1, \theta_3, g \rightarrow \infty) = \sum_{m_1, m_3 \in \mathbb{Z}} z(\theta_1 + 2\pi m_1, \theta_3 + 2\pi m_3)^V$$

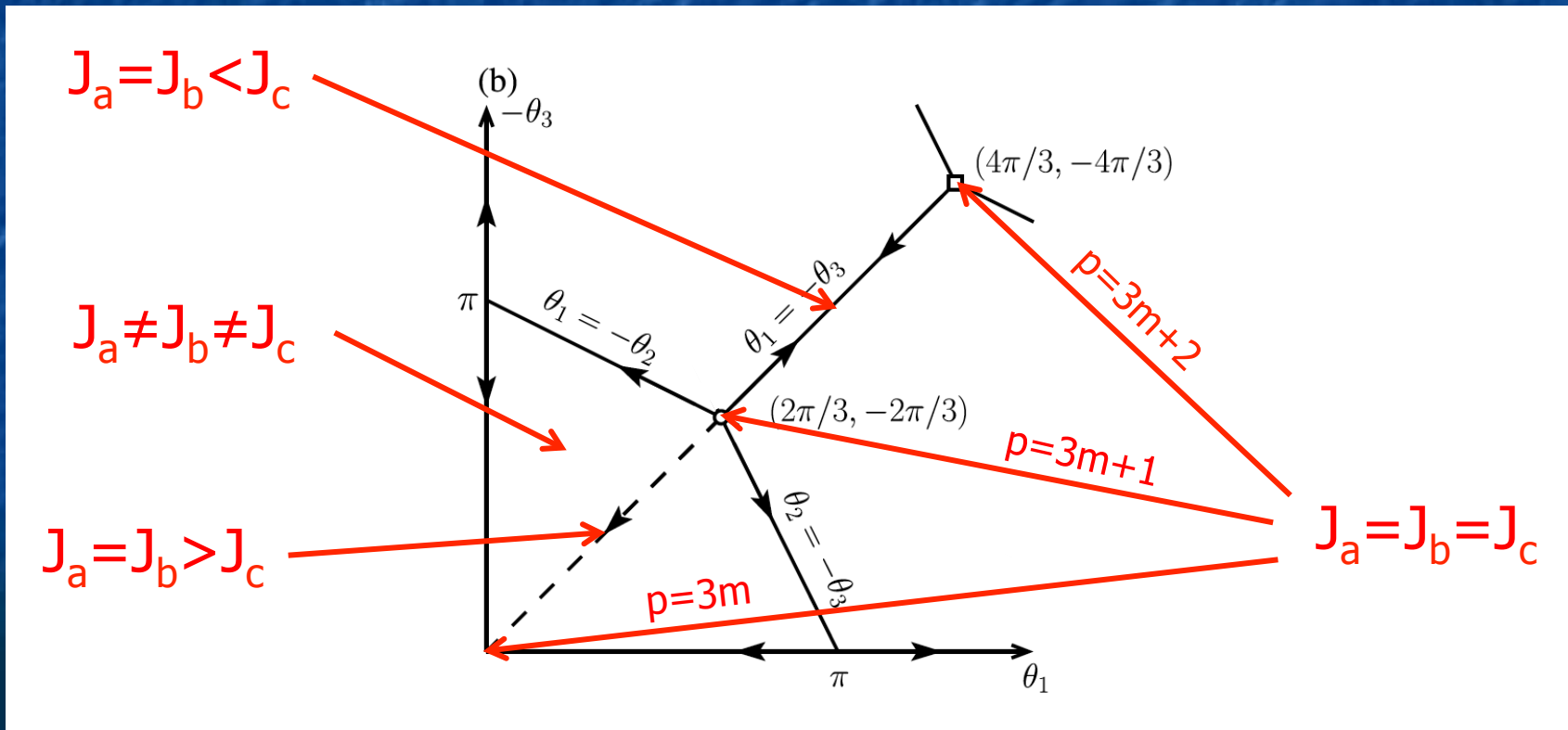
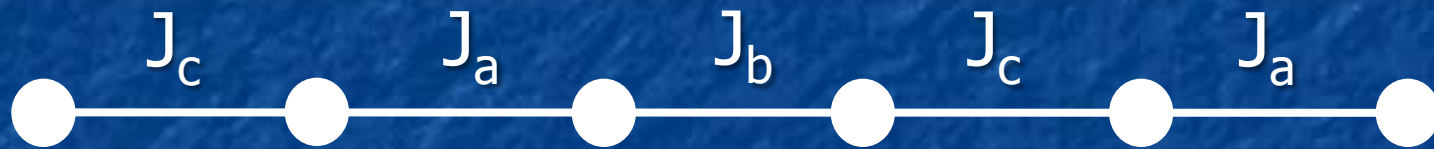
$$z(\theta_1, \theta_3) = \frac{2((\theta_1 - \theta_3) \cos\left(\frac{\theta_1 - \theta_3}{2}\right) - \theta_1 \cos\left(\frac{\theta_1}{2}\right) + \theta_3 \cos\left(\frac{\theta_3}{2}\right))}{\theta_1 \theta_3 (\theta_1 - \theta_3)}$$

$$f(\theta_1, \theta_3, g \rightarrow \infty) = -\log \left(\max_{m, n} z(\theta_1 + 2\pi m, \theta_3 + 2\pi n) \right)$$

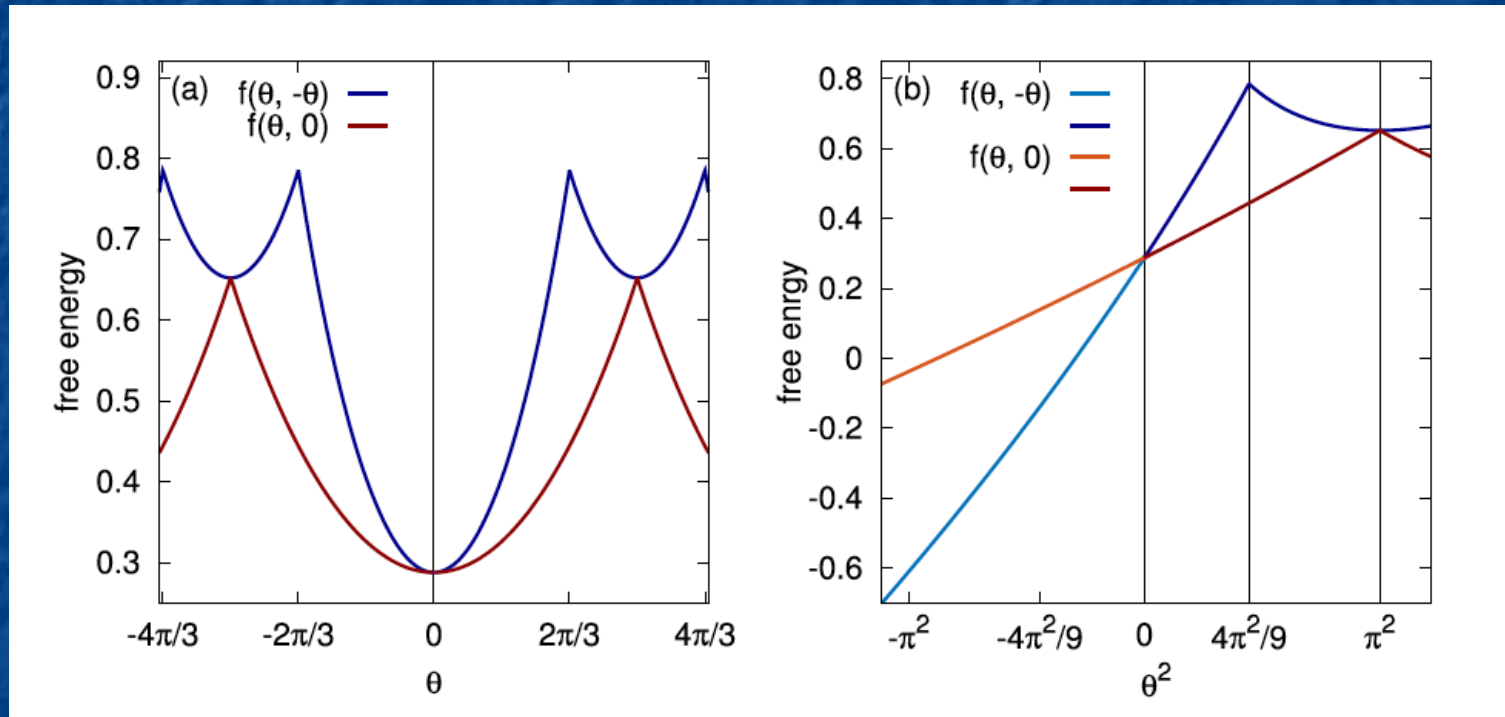
Strong coupling phase diagram



Connection to spin models

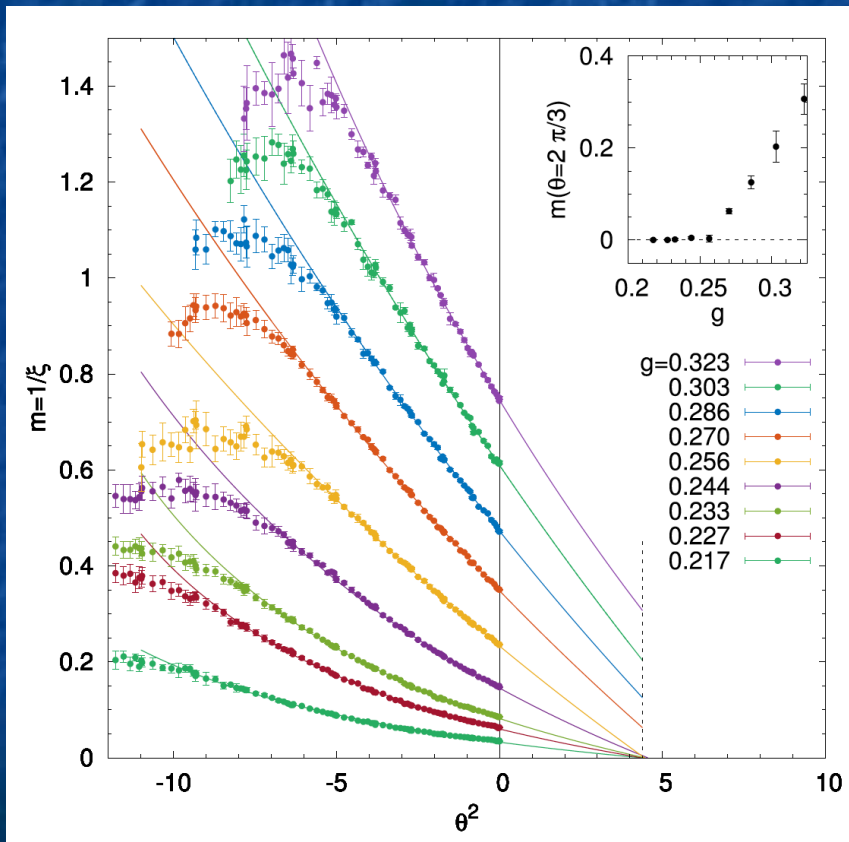


Free energy (strong coupling)



Completely smooth up to boundaries

Monte Carlo results



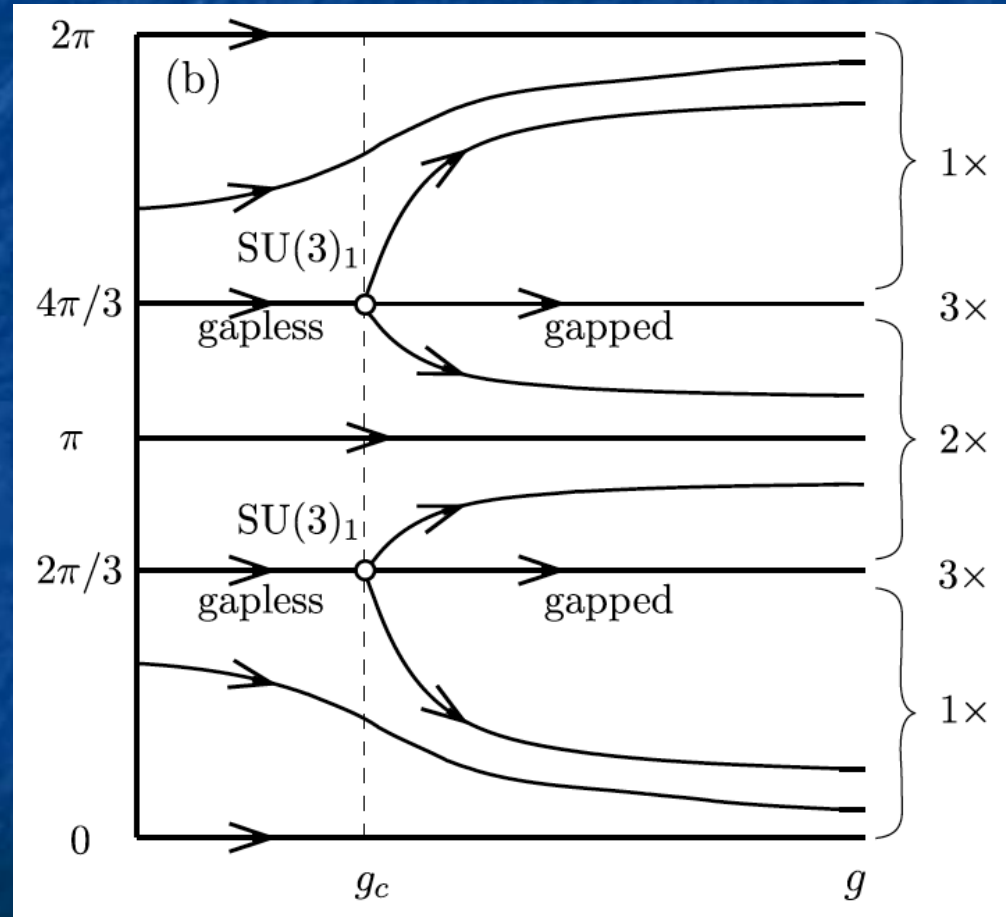
Gapless at $\theta=2\pi/3$
for $g < g_c \approx 0.26$

Phase diagram ($\theta_1 = -\theta_3 = \theta, g$)

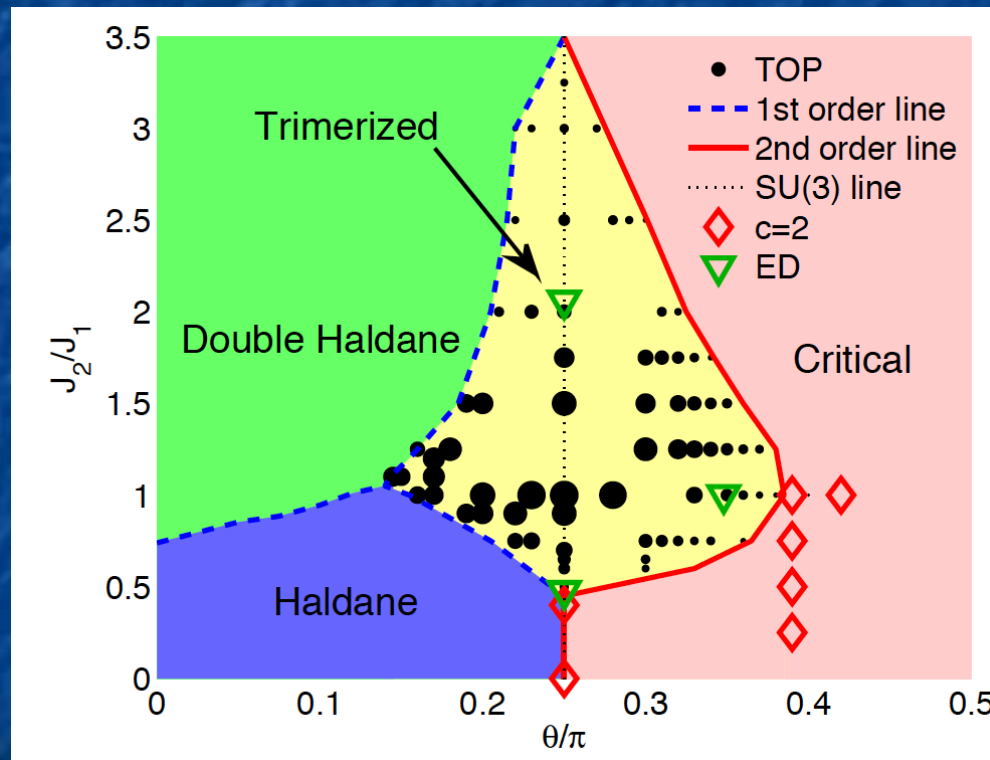
$p=3m-1 \rightarrow$

$p=3m+1 \rightarrow$

$p=3m \rightarrow$



Trimerization for J_1 - J_2 model



P. Corboz, A. Läuchli, K. Totsuka, H. Tsunetsugu, PRB 2007

Conclusions

- SU(3) chain:
 - gapless for $p=3m\pm 1$
 - gapped for $p=3m$
- Next on SU(3) chain:
 - proof that critical point is $SU(3)_1$
 - numerical check directly on SU(3) chain
 - derive effective velocity from higher order flavour wave theory

Perspectives

- **SU(N) chain with $N > 3$:** not a trivial extension!
 - more non-topological terms
 - more than two inequivalent values of p
- **Experimental verification?**
 - $p=2$: ultra-cold atoms (at least in principle)
 - $p > 2$? Not clear in pure chain geometry
 - Ladders?