

Thermal transport in the Kitaev model

Joji Nasu

Department of Physics, Tokyo Institute of Technology

Collaborators: Yukitoshi Motome, Junki Yoshitake (UTokyo)

J. Nasu, J. Yoshitake, and Y. Motome, Phys. Rev. Lett. **119**, 127204 (2017).





Contents

- Introduction
- Method
- Thermal transport w/o magnetic field
- Thermal transport w/ magnetic field
- Summary



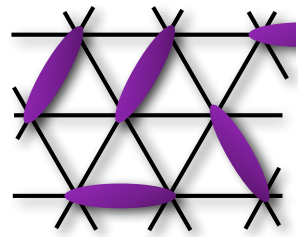
Contents

- Introduction
- Method
- Thermal transport w/o magnetic field
- Thermal transport w/ magnetic field
- Summary



Tokyo Tech

Quantum spin liquid (QSL)

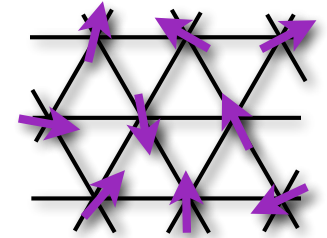


QSL

Paramagnet



Quantum fluctuation disturbs orderings.



Quantum spin liquid (QSL):

- No singularity in C_v or χ
- No apparent symmetry breakings down to low T

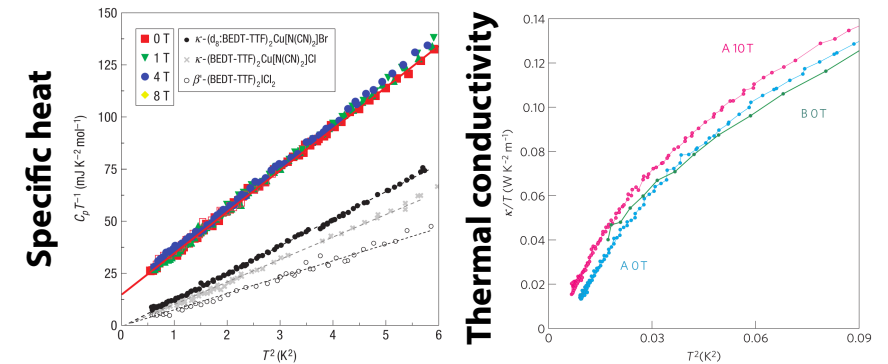
- **Fractional** excitations



Characterization of QSLs with *emergent fermions*

- Low- T behavior of C_v (*T-linear*)
- **Dynamical response (continuum)**
- **Thermal transport**

S. Yamashita et al., Nat. Phys. **4**, 459 (2008). M. Yamashita et al., Nat. Phys. **5**, 44 (2009).

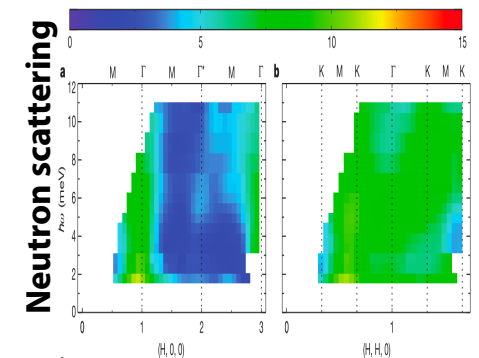
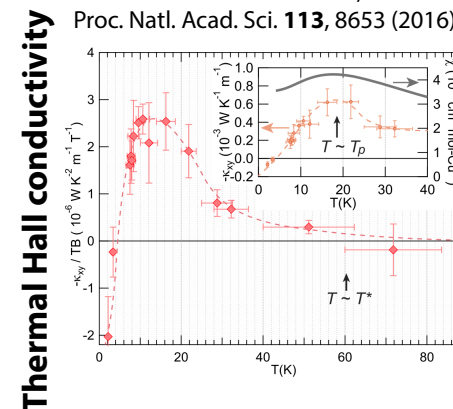


Kagomé volborthite

herbertsmithite

D. Watanabe et al., Proc. Natl. Acad. Sci. **113**, 8653 (2016).

T.-H. Han et al., Nature **492**, 406 (2012).

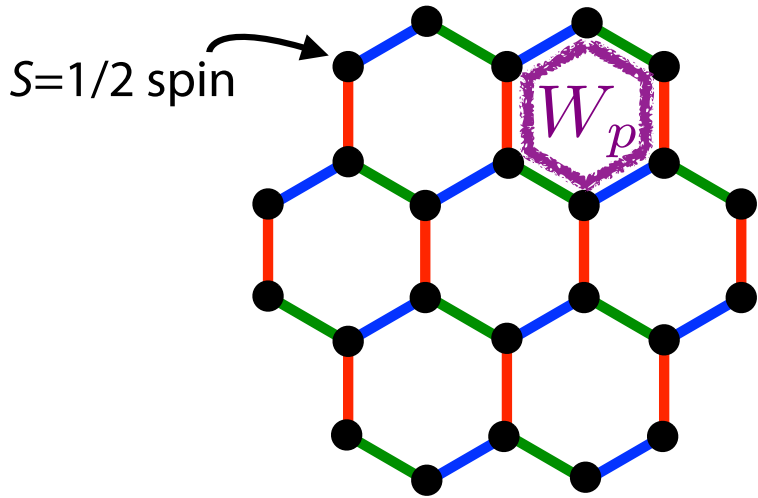




Kitaev model

$$\mathcal{H} = -J_x \sum_{\langle ij \rangle_x} S_i^x S_j^x - J_y \sum_{\langle ij \rangle_y} S_i^y S_j^y - J_z \sum_{\langle ij \rangle_z} S_i^z S_j^z$$

A. Kitaev, Annals of Physics **321**, 2 (2006).



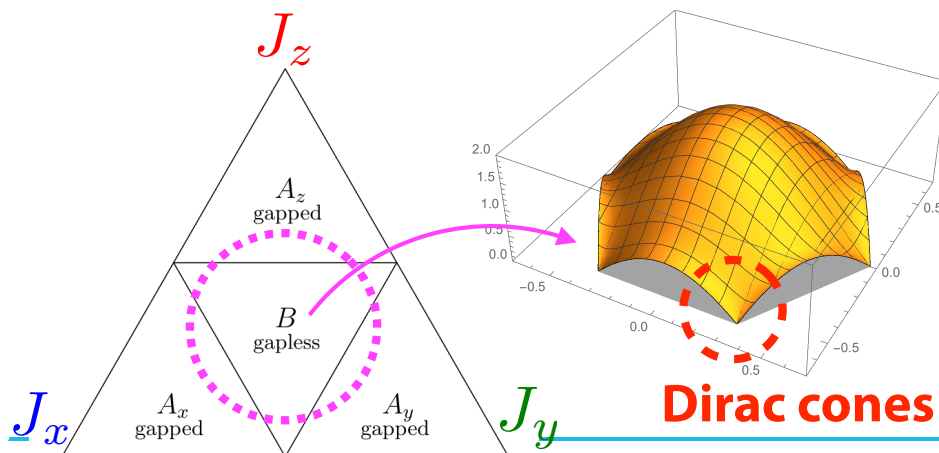
☑ Bond-dependent interactions

➔ **frustration**

☑ Z_2 flux (conserved quantity) W_p on each plaquette

➔ ground state: **quantum spin liquid**
(Only **NN** interactions are finite)

Assuming $W_p=+1$ ➔ $\mathcal{H} = -\frac{iJ_\gamma}{4} \sum_{\langle ij \rangle_\gamma} c_i c_j$ $\{c_i\}$: Majorana fermions emerging from spins



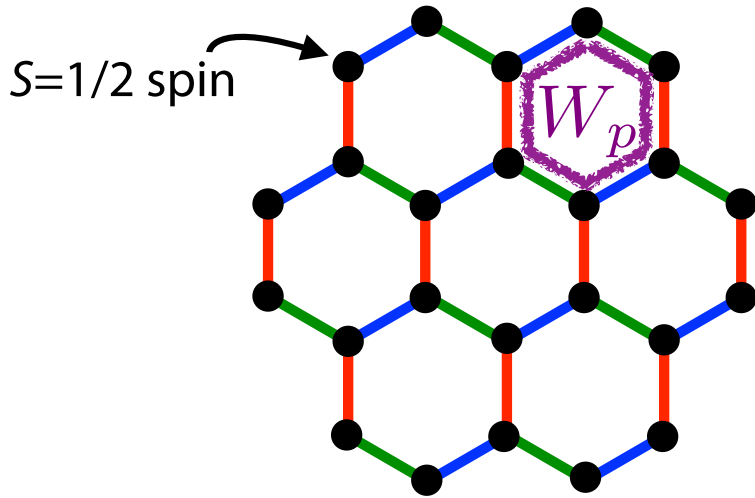
Free Majorana fermions on a honeycomb lattice; analogous to graphene



Kitaev model

$$\mathcal{H} = -J_x \sum_{\langle ij \rangle_x} S_i^x S_j^x - J_y \sum_{\langle ij \rangle_y} S_i^y S_j^y - J_z \sum_{\langle ij \rangle_z} S_i^z S_j^z$$

A. Kitaev, Annals of Physics **321**, 2 (2006).



☑ Bond-dependent interactions

➔ **frustration**

☑ Z_2 flux (conserved quantity) W_p on each plaquette

➔ ground state: **quantum spin liquid**
(Only NN interactions are finite)

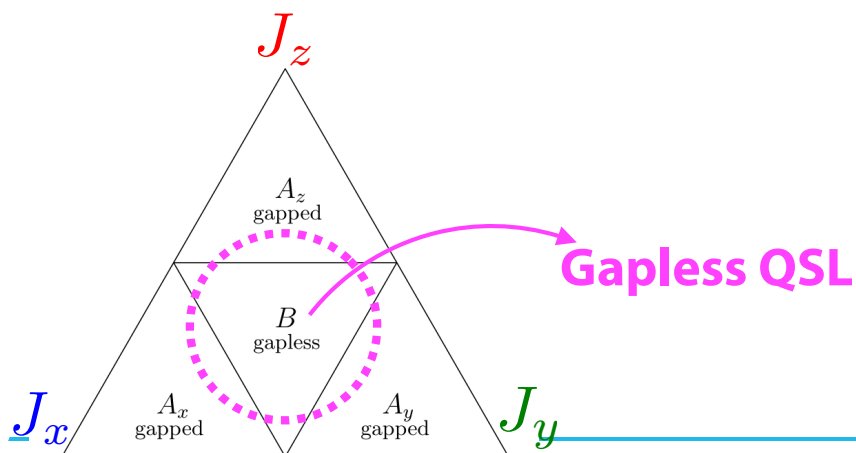
☑ Fractional fermionic excitations

➔ **Emergent fermions may carry heat.**

☑ Majorana Chern insulator

by applying magnetic field in gapless phase

➔ **Thermal Hall effect**





Tokyo Tech

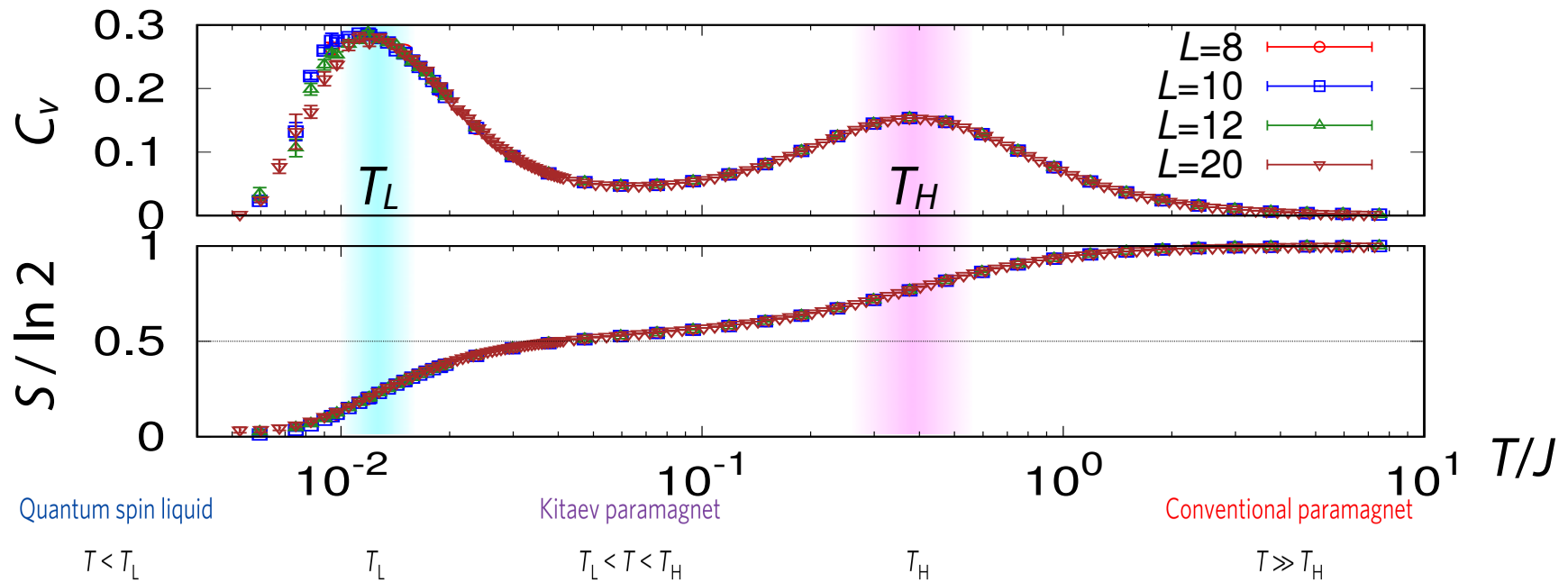
Kitaev spin liquid: fractionalization

Thermal fractionalization

JN, M. Udagawa, and Y. Motome, Phys. Rev. Lett. **113**, 197205 (2014).

JN, M. Udagawa, and Y. Motome, Phys. Rev. B **92**, 115122 (2015).

S_i $\begin{cases} \text{Itinerant Majorana fermions (IMF)} \\ \text{Localized Majorana fermions (LMF)} \end{cases}$



Quantum spin liquid

Kitaev paramagnet

Conventional paramagnet

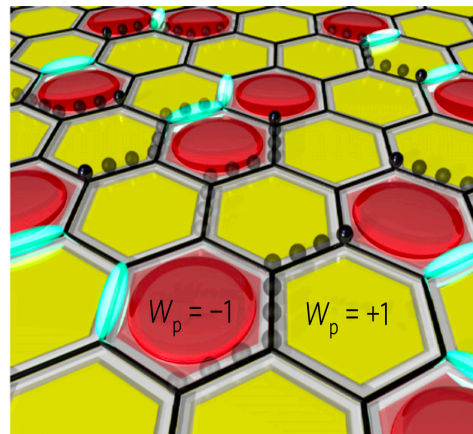
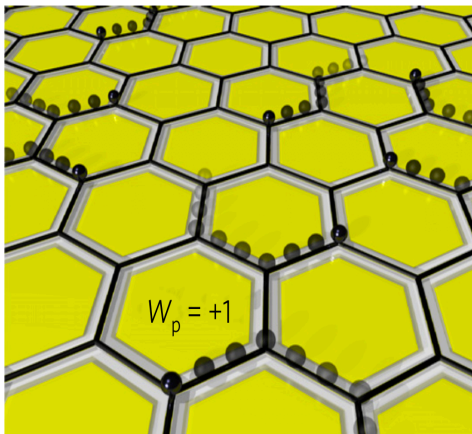
$T < T_L$

T_L



$T_L < T < T_H$


T_H

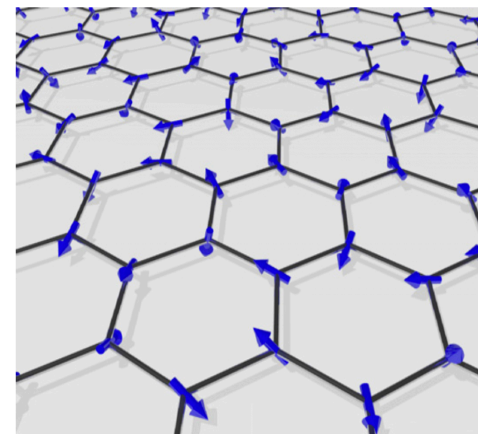
$T \gg T_H$



Spin fractionalization

 IMF
 LMF

 Spin flip σ_i^y



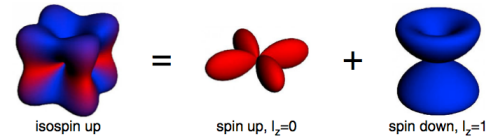
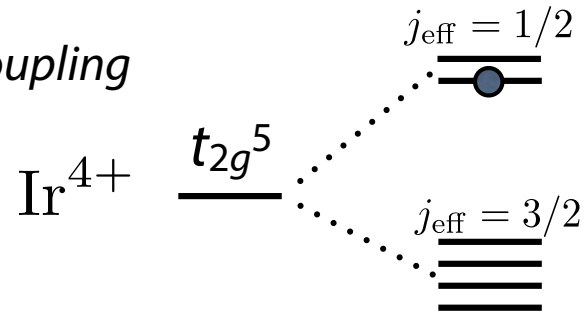
S.-H. Do et al., Nat. Phys. (2017).



Tokyo Tech

Realization of Kitaev QSLs

Strong spin-orbit coupling



$j_{\text{eff}}=1/2$ localized spin

G. Jackeli and G. Khaliullin, Phys. Rev. Lett. **102**, 017205 (2009)

Kitaev-Heisenberg model

$$\mathcal{H} = -J_x \sum_{\langle ij \rangle_x} S_i^x S_j^x - J_y \sum_{\langle ij \rangle_y} S_i^y S_j^y - J_z \sum_{\langle ij \rangle_z} S_i^z S_j^z + J_H \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$$

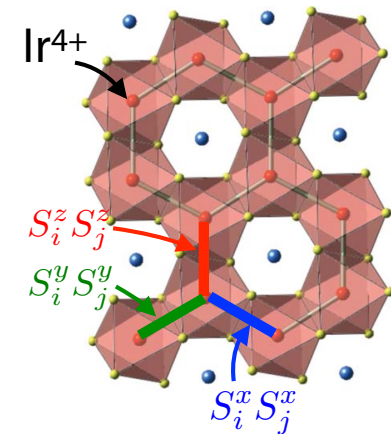
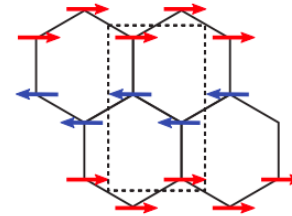
Magnetic order

✓ A_2IrO_3 (A=Li,Na)

$\text{Ir}^{4+} 5d^5$

$T_c \sim 10\text{K}$

Y. Singh and P. Gegenwart, Phys. Rev. B **82**, 064412 (2010).
 Y. Singh et. al., Phys. Rev. Lett. **108**, 127203 (2012).
 R. Comin et. al., Phys. Rev. Lett. **109**, 266406 (2012).
 S. K. Choi et. al., Phys. Rev. Lett. **108**, 127204 (2012).



✓ $\alpha\text{-RuCl}_3$

$\text{Ru}^{3+} 4d^5$

$T_c \sim 10\text{K}$

K. W. Plumb et al., Phys. Rev. B **90**, 041112 (2014).
 Y. Kubota et al., Phys. Rev. B **91**, 094422 (2015).
 L. J. Sandilands et al., Phys. Rev. Lett. **114**, 147201 (2015).
 J. A. Sears, M. Songvilay et al., Phys. Rev. B **91**, 144420 (2015).
 M. Majumder et al., Phys. Rev. B **91**, 180401(R) (2015).

Kitaev term plays a dominant role.

Y. Yamaji et al., Phys. Rev. Lett. **113**, 107201 (2014).
 K. Foyevtsova et al., Phys. Rev. B **88**, 035107 (2013).
 A. Banerjee et al., Nat. Mater. **15**, 733 (2016).

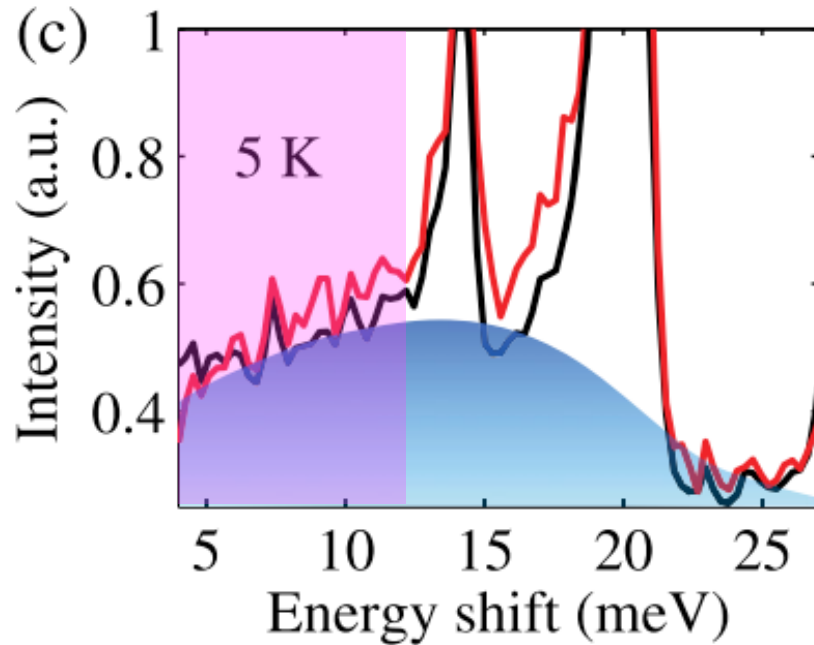


Tokyo Tech

Dynamical response

● Raman scattering in RuCl_3

L. J. Sandilands et al., Phys. Rev. Lett. **114**, 147201 (2015).

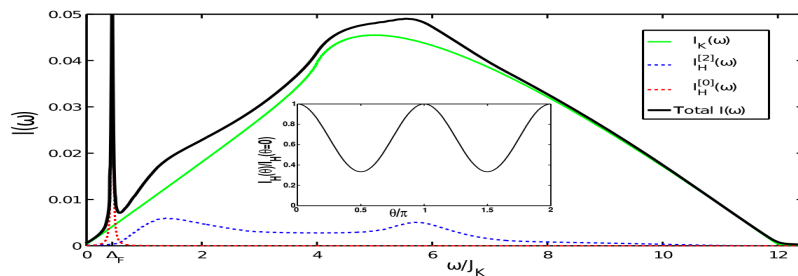


● Raman scattering in β -, γ - Li_2IrO_3

A. Glamazda et al., Nat. Commun. **7**, 12286 (2016).

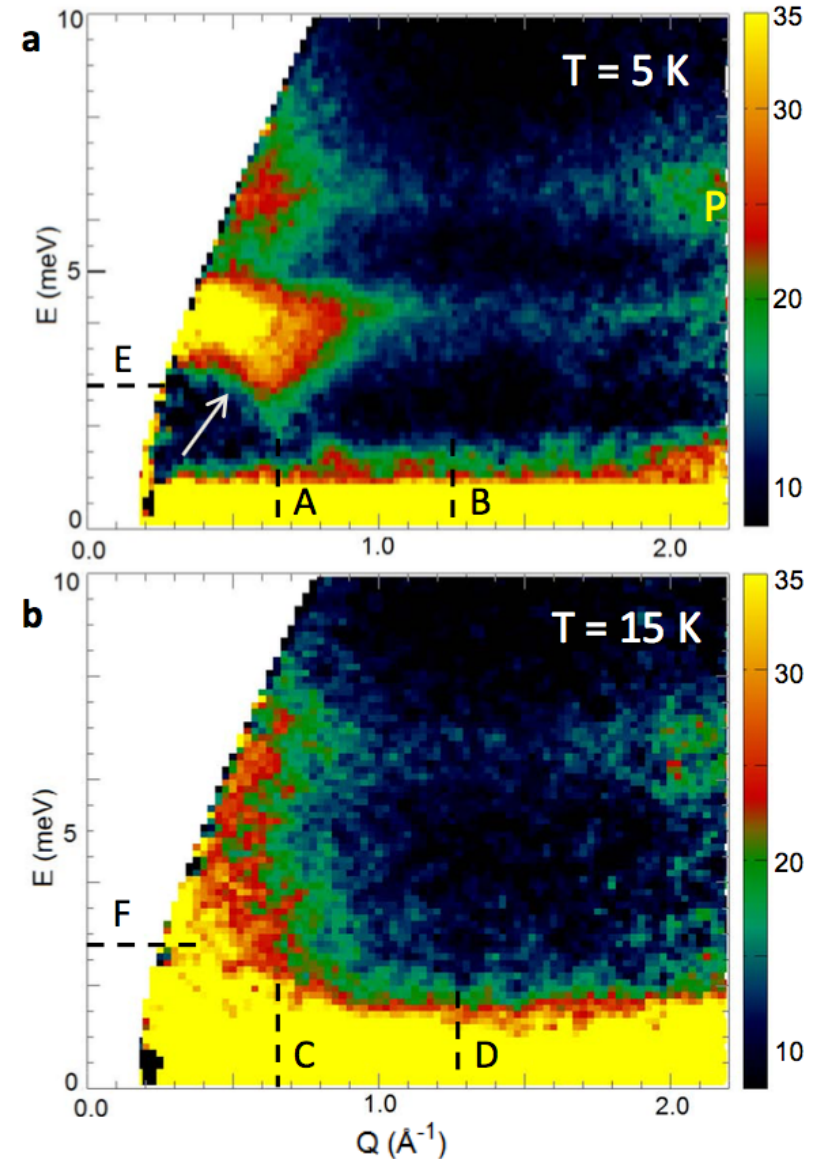
● Theory

J. Knolle et al., Phys. Rev. Lett. **113**, 187201 (2014).



● Inelastic neutron scattering in RuCl_3

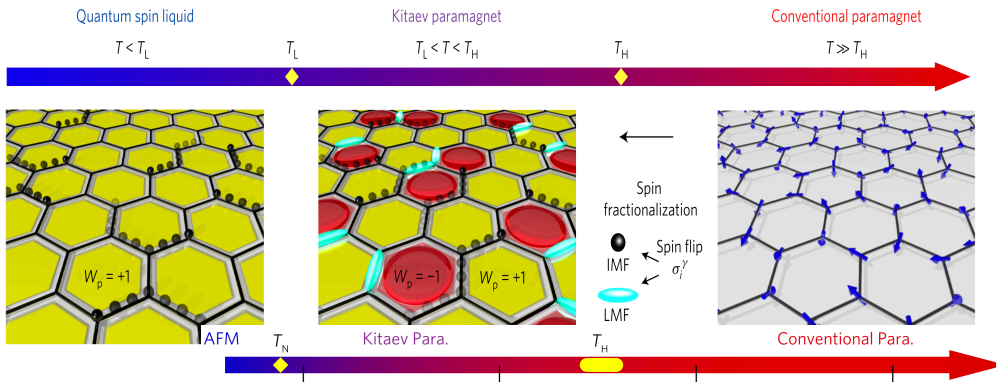
A. Banerjee et al., Nat. Mater., Nat. Mater. **15**, 733 (2016).



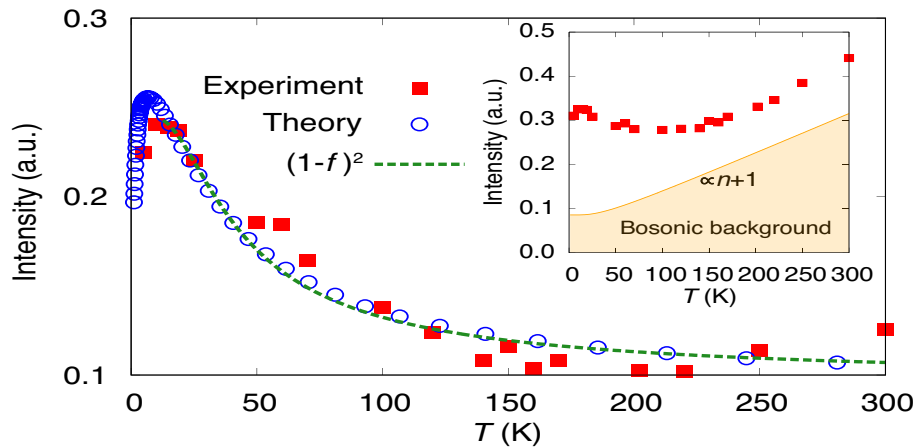


Tokyo Tech

Comparison of experiment & theory



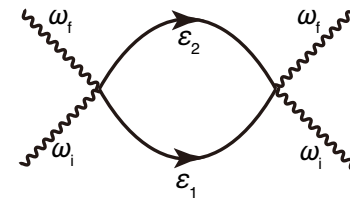
Raman scattering



- Magnetic order occurs at $\sim 10\text{K}$ in $\alpha\text{-RuCl}_3$.
- Good agreement between the present theory and experimental results

L. J. Sandilands et al., Phys. Rev. Lett. **114**, 147201 (2015).

JN, J. Knolle, D. L. Kovrizhin, Y. Motome, R. Moessner, Nat. Phys., 12, 912 (2016).

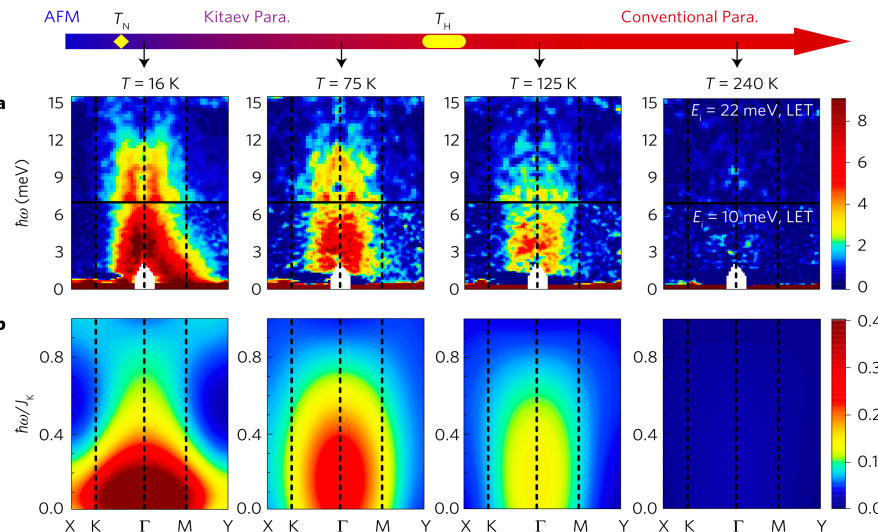


$$\propto [1-f(\epsilon_1)][1-f(\epsilon_2)]$$

Two-fermion excitation

- Fermionic T dependence appears around 100K.

Dynamical spin correlation



- High-energy features are consistent.

S.-H. Do et al., Nat. Phys. (2017).

J. Yoshitake, JN, and Y. Motome, Phys. Rev. Lett. **117**, 157203 (2016)

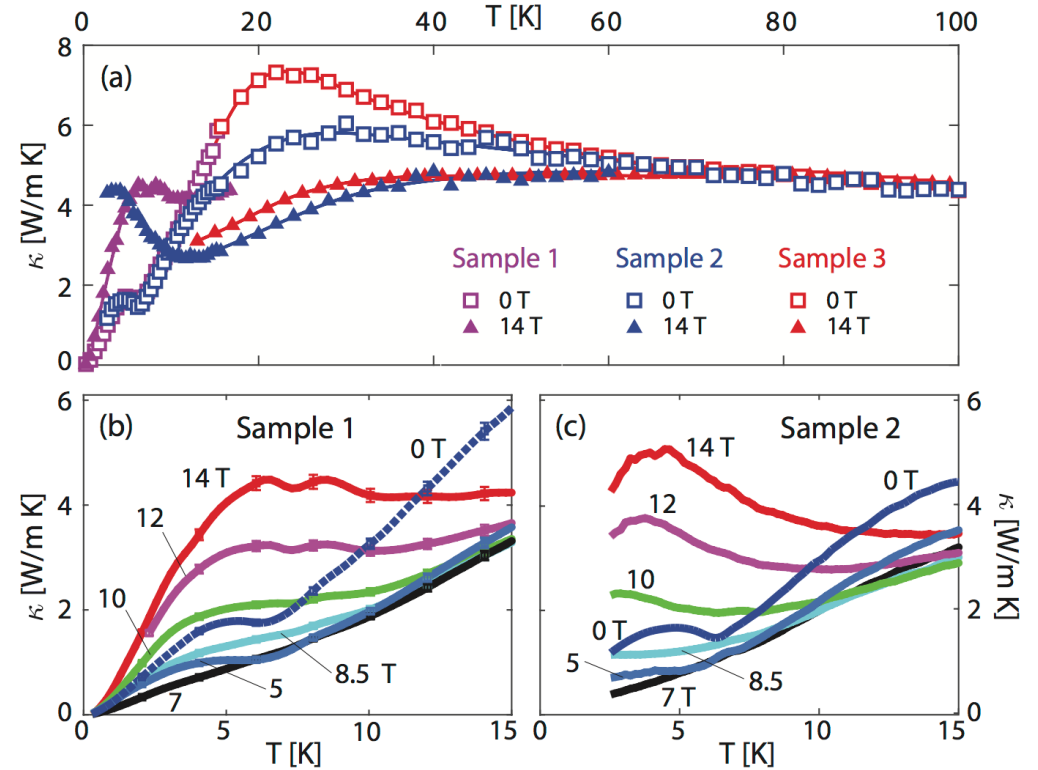
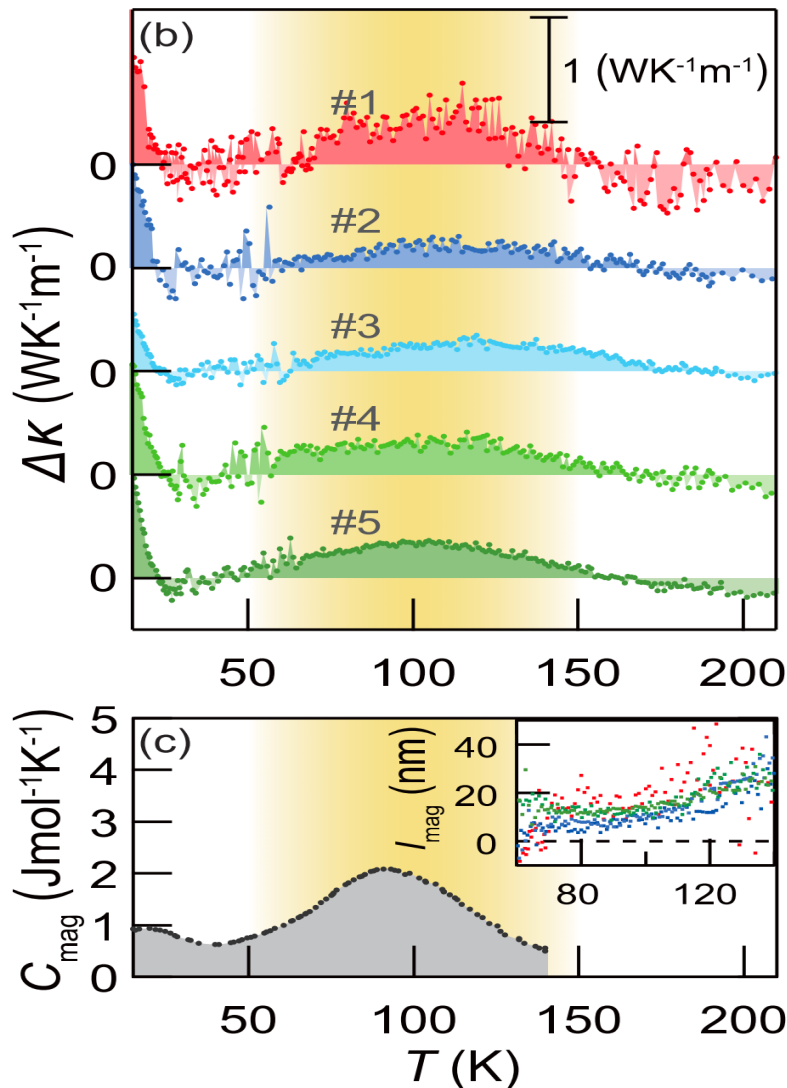
J. Yoshitake, JN, Y. Kato, and Y. Motome, Phys. Rev. B **96**, 024438 (2017)

J. Yoshitake, JN, and Y. Motome, Phys. Rev. B **96**, 064433 (2017),



Tokyo Tech

Thermal transport in α -RuCl₃



I. A. Leahy et al., Phys. Rev. Lett. **118**, 187203 (2017).

κ is enhanced in low- T
 whereas it is suppressed in intermediate- T
 by applying magnetic field.

D. Hirobe, M. Sato, Y. Shiomi, H. Tanaka, and E. Saitoh, Phys. Rev. B **95**, 241112 (2017).

κ Longitudinal thermal conductivity κ exhibits a peak
 at a peak in specific heat

κ Another study for κ in RuCl₃

R. Hentrich et al., arXiv:1703.08623 (2017).



Purpose

📌 Candidates of Kitaev materials → *Magnetic order at low T*

Two stances: Cooperation effect of the Kitaev and Heisenberg interactions

What is the Kitaev QSL? How should it be observed?

Our starting point

Precursor of Kitaev QSL (***fractionalization***) above T_c ($\sim 10\text{K}$)

📌 What occurs in the pure Kitaev limit at finite temperature?

- Fractionalization of spins into Majorana fermions
- Topological nature with magnetic field

Heat transport



Contents

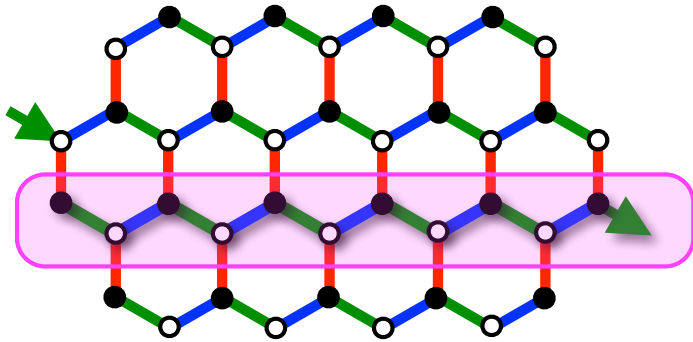
- Introduction
- **Method**
- Thermal transport w/o magnetic field
- Thermal transport w/ magnetic field
- Summary



Jordan-Wigner transformation

$$\mathcal{H} = -J_x \sum_{\langle ij \rangle_x} S_i^x S_j^x - J_y \sum_{\langle ij \rangle_y} S_i^y S_j^y - J_z \sum_{\langle ij \rangle_z} S_i^z S_j^z$$

Honeycomb lattice: a zigzag xy chain connected by z-bonds



Fermions: a_i, a_i^\dagger

Introducing Majorana fermions

$$c_i = a_i + a_i^\dagger$$

$$\bar{c}_i = (a_i - a_i^\dagger)/i$$

$$[\bar{c}_i \bar{c}_j, \mathcal{H}] = 0$$

$\eta_r \equiv i\bar{c}_i \bar{c}_j$: local conserved quantity

Jordan-Wigner transformation

regarding the honeycomb lattice as one open chain

$$S_i^+ = (S_i^-)^\dagger = \prod_{i'=1}^{i-1} (1 - 2n_{i'}) a_i^\dagger \quad S_i^z = a_i^\dagger a_i - \frac{1}{2}$$

H.-D. Chen and J. Hu, Phys. Rev. B 76, 193101 (2007).

X. Y. Feng, G.-M. Zhang, and T. Xiang, Phys. Rev. Lett. 98, 087204 (2007).

H.-D. Chen and Z. Nussinov, J. Phys. A Math. Theor. 41, 075001 (2008).

$$\mathcal{H} = \frac{iJ_x}{4} \sum_{\langle ij \rangle_x} c_i c_j - \frac{iJ_y}{4} \sum_{\langle ij \rangle_y} c_i c_j + \frac{J_z}{4} \sum_{\langle ij \rangle_z} \bar{c}_i \bar{c}_j c_i c_j$$

$$\mathcal{H} = \frac{iJ_x}{4} \sum_{\langle ij \rangle_x} c_i c_j - \frac{iJ_y}{4} \sum_{\langle ij \rangle_y} c_i c_j - \frac{iJ_z}{4} \sum_{\langle ij \rangle_z} \eta_r c_i c_j$$



Method

Quantum spin model

$$\mathcal{H} = -J_x \sum_{\langle ij \rangle_x} S_i^x S_j^x - J_y \sum_{\langle ij \rangle_y} S_i^y S_j^y - J_z \sum_{\langle ij \rangle_z} S_i^z S_j^z$$

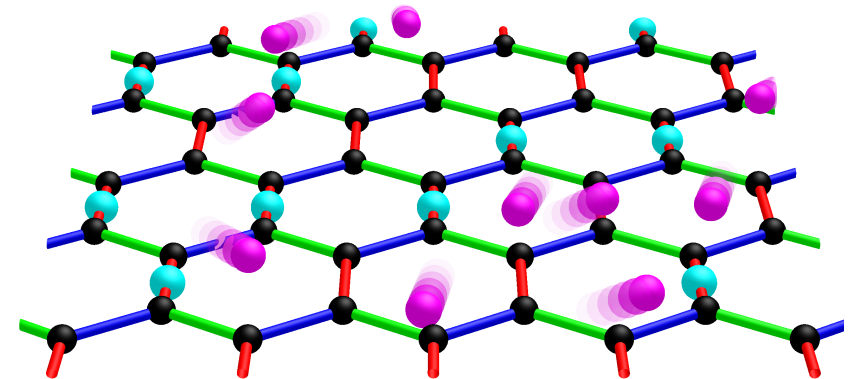
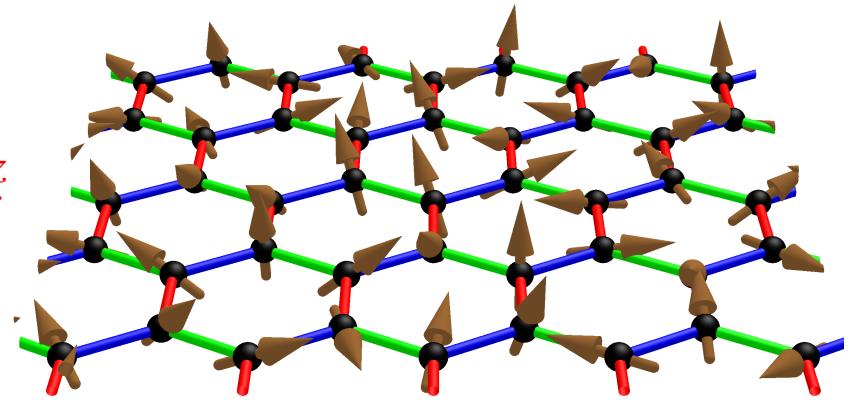


Jordan-Wigner transformation

Itinerant fermion model

$$\mathcal{H} = \frac{iJ_x}{4} \sum_{\langle ij \rangle_x} c_i c_j - \frac{iJ_y}{4} \sum_{\langle ij \rangle_y} c_i c_j - \frac{iJ_z}{4} \sum_{\langle ij \rangle_z} \eta_r c_i c_j$$

$$S_i \begin{cases} \text{pink arrow} & c_i : \text{Itinerant Majorana} \\ \text{blue arrow} & \bar{c}_i : \text{Localized Majorana} \end{cases} \quad \eta_r = i\bar{c}_i \bar{c}_j$$



Free Majorana fermion system with thermally fluctuating fluxes $W_p = \eta_r \eta_{r'}$

- Sign problem-free “Quantum” Monte Carlo simulations

Quantum nature of $S=1/2$ spins is fully taken into account!

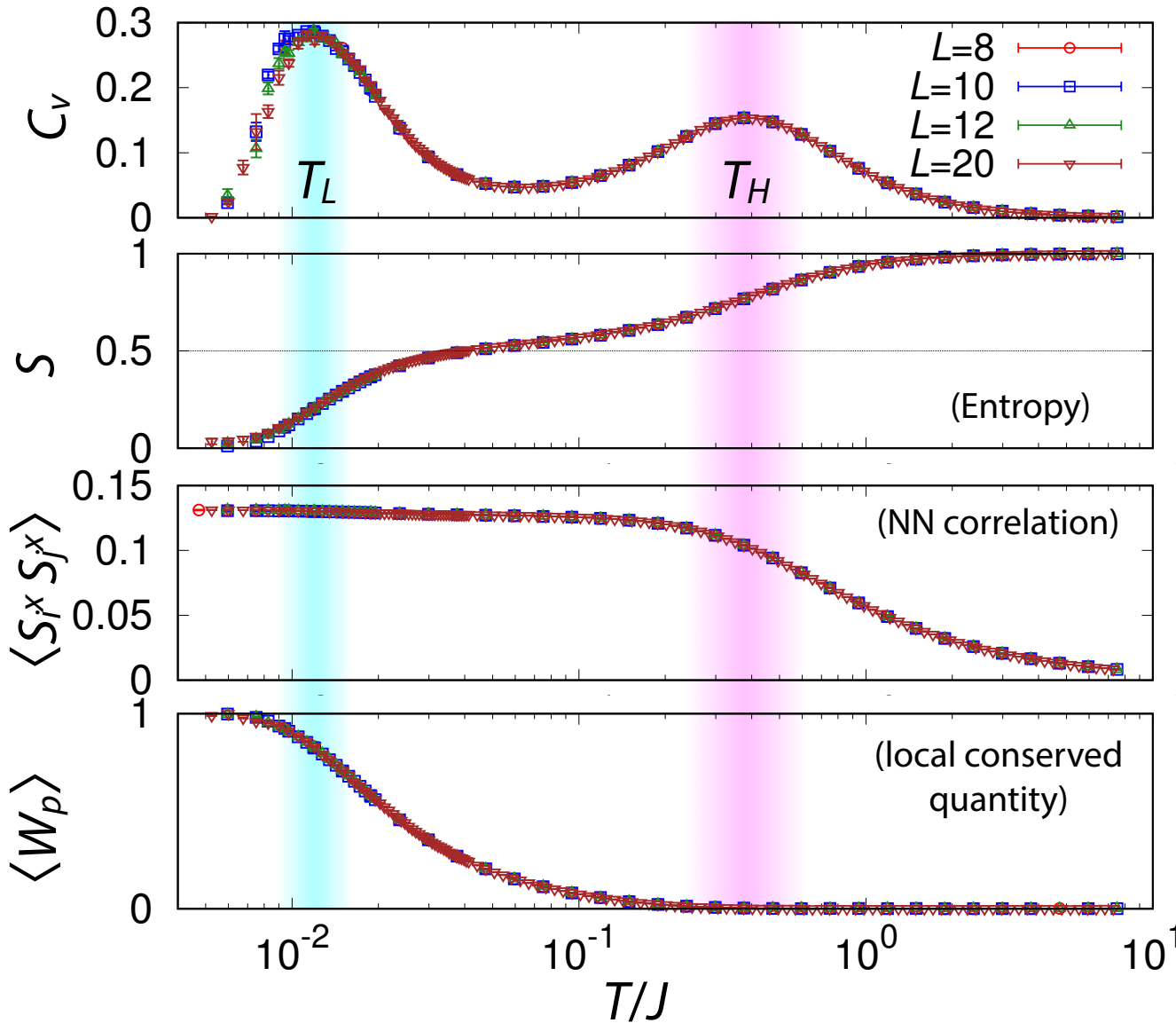
- Simulations are *classical* and done for flipping Ising variables η_r .

$$J_x = J_y = J_z = J$$



Tokyo Tech

Specific heat and entropy



Double peak structure

Release of a half of entropy at each crossover

NN x bond $S_i^x S_j^x = -\frac{i}{4} c_i c_j$

C_i : itinerant Majorana
 (matter Majorana)

Local conserved quantity

$$W_p = \prod_{r \in p} \eta_r \quad \eta_r = i \bar{c}_i \bar{c}_j$$

\bar{C}_i : localized Majorana
 (flux Majorana)





Contents

- Introduction
- Method
- **Thermal transport w/o magnetic field**
- Thermal transport w/ magnetic field
- Summary

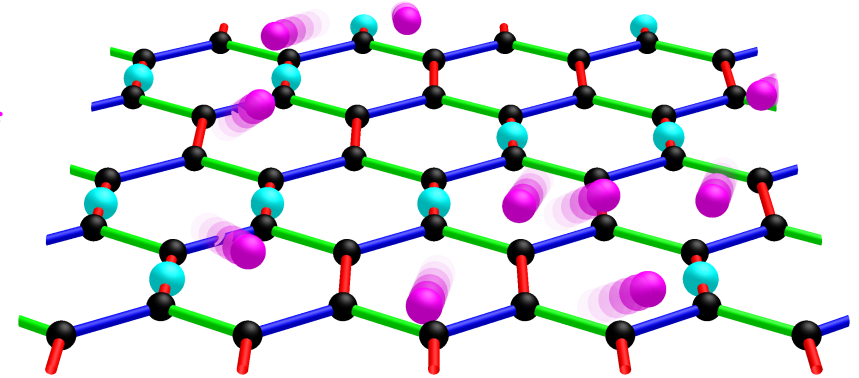


Thermal conductivity

Itinerant fermion model

$$\mathcal{H} = \frac{iJ_x}{4} \sum_{\langle ij \rangle_x} c_i c_j - \frac{iJ_y}{4} \sum_{\langle ij \rangle_y} c_i c_j - \frac{iJ_z}{4} \sum_{\langle ij \rangle_z} \eta_r c_i c_j$$

$$S_i \begin{cases} \rightarrow c_i & \text{Itinerant Majorana} \\ \rightarrow \bar{c}_i & \text{Localized Majorana} \end{cases} \quad \eta_r = i\bar{c}_i \bar{c}_j$$



Itinerant Majoranas carry thermal current: $J_Q^\gamma = -K^{\gamma\gamma'} \partial_{\gamma'} T$

Energy polarization:
$$P_E = - \sum_{\langle ij \rangle_\gamma} \frac{r_i + r_j}{2} J_\gamma S_i^\gamma S_j^\gamma$$

Energy current: $J_E = i[\mathcal{H}, P_E]$ which is written by **itinerant Majoranas**

Thermal current: $J_Q = J_E$ (zero chemical potential for Majorana fermions)

Kubo formula + “gravitomagnetic energy magnetization”

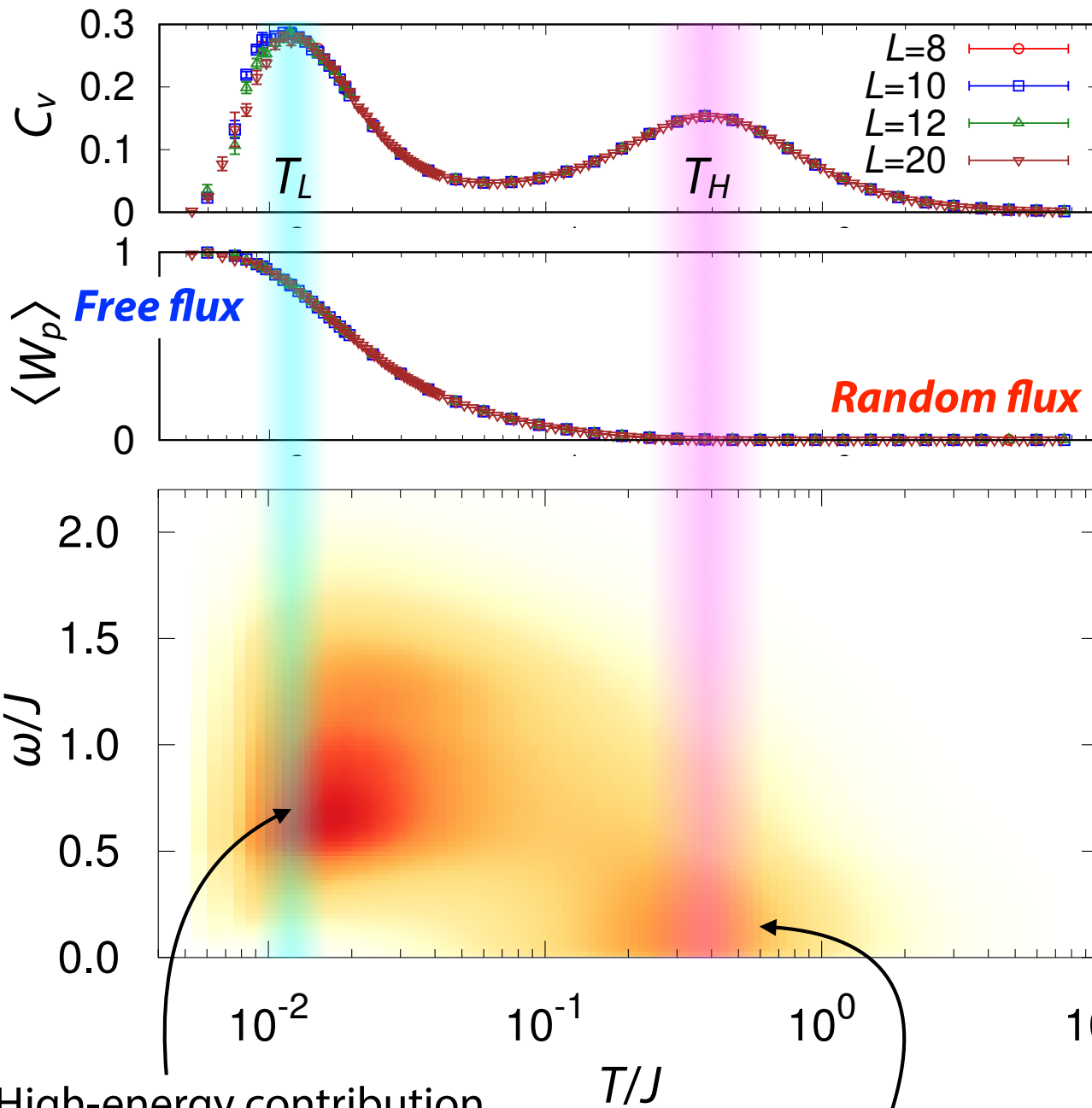
K. Nomura, S. Ryu, A. Furusaki, and N. Nagaosa, Phys. Rev. Lett. **108**, 26802 (2012), H. Sumiyoshi and S. Fujimoto, JPSJ **82**, 023602 (2013).

Isotropic case $J_x = J_y = J_z = J \rightarrow K^{xx} = K^{yy}$



Tokyo Tech

AC thermal conductivity



AC part of thermal conductivity vanishes in the **free-flux case**.

$$W_p = +1$$

$$[\mathcal{H}, J_Q] = 0 \text{ with } W_p = +1$$

Fluctuation of fluxes yields finite value of $\kappa^{xx}(\omega)$.



Finite- ω contribution detects **flux fluctuations**.

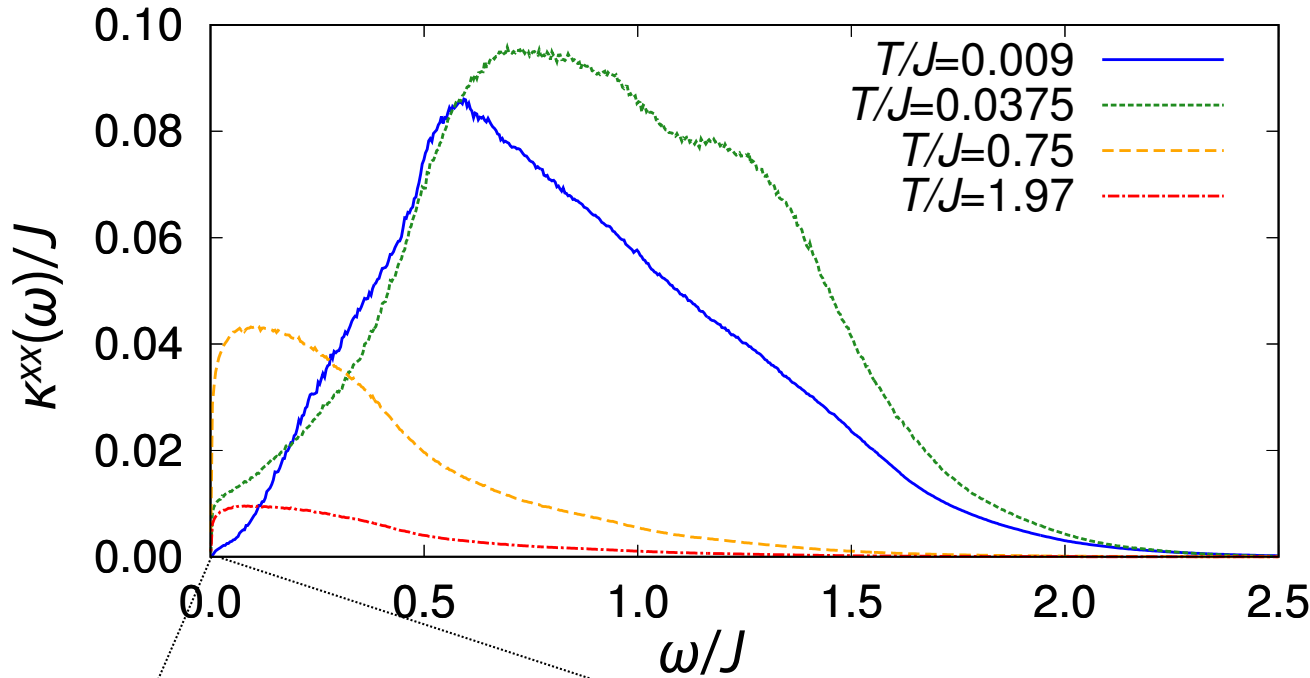
High-energy contribution develops around T_L

Low-energy contribution increases around T_H



Tokyo Tech

AC thermal conductivity

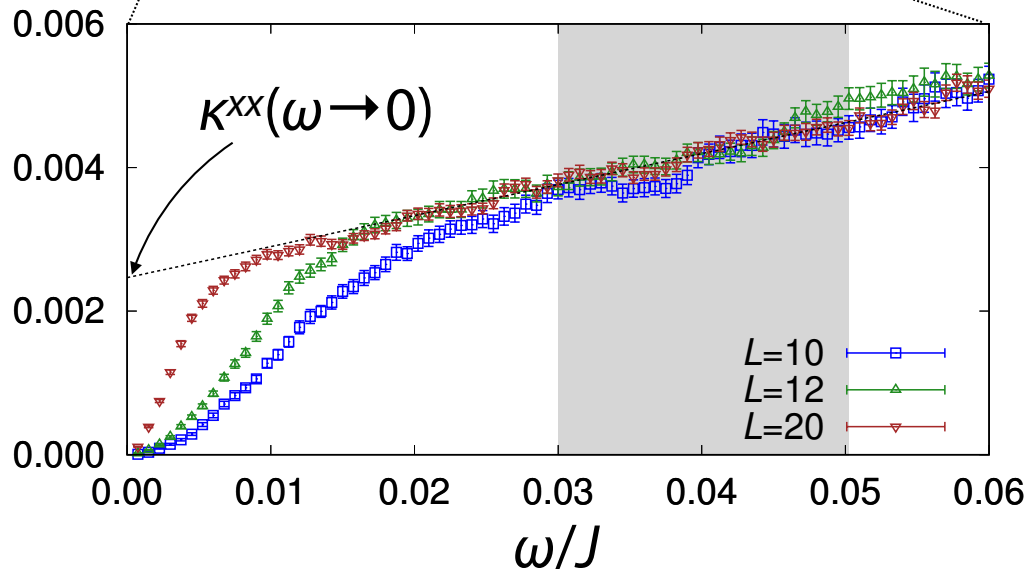


AC part of thermal conductivity vanishes in the **free-flux case**.
 $W_p = +1$

$$[\mathcal{H}, J_Q] = 0 \text{ with } W_p = +1$$

AC component grows with increasing T .

The peak shifts to low- T side and decreases.



Low-energy part shows size dependence.

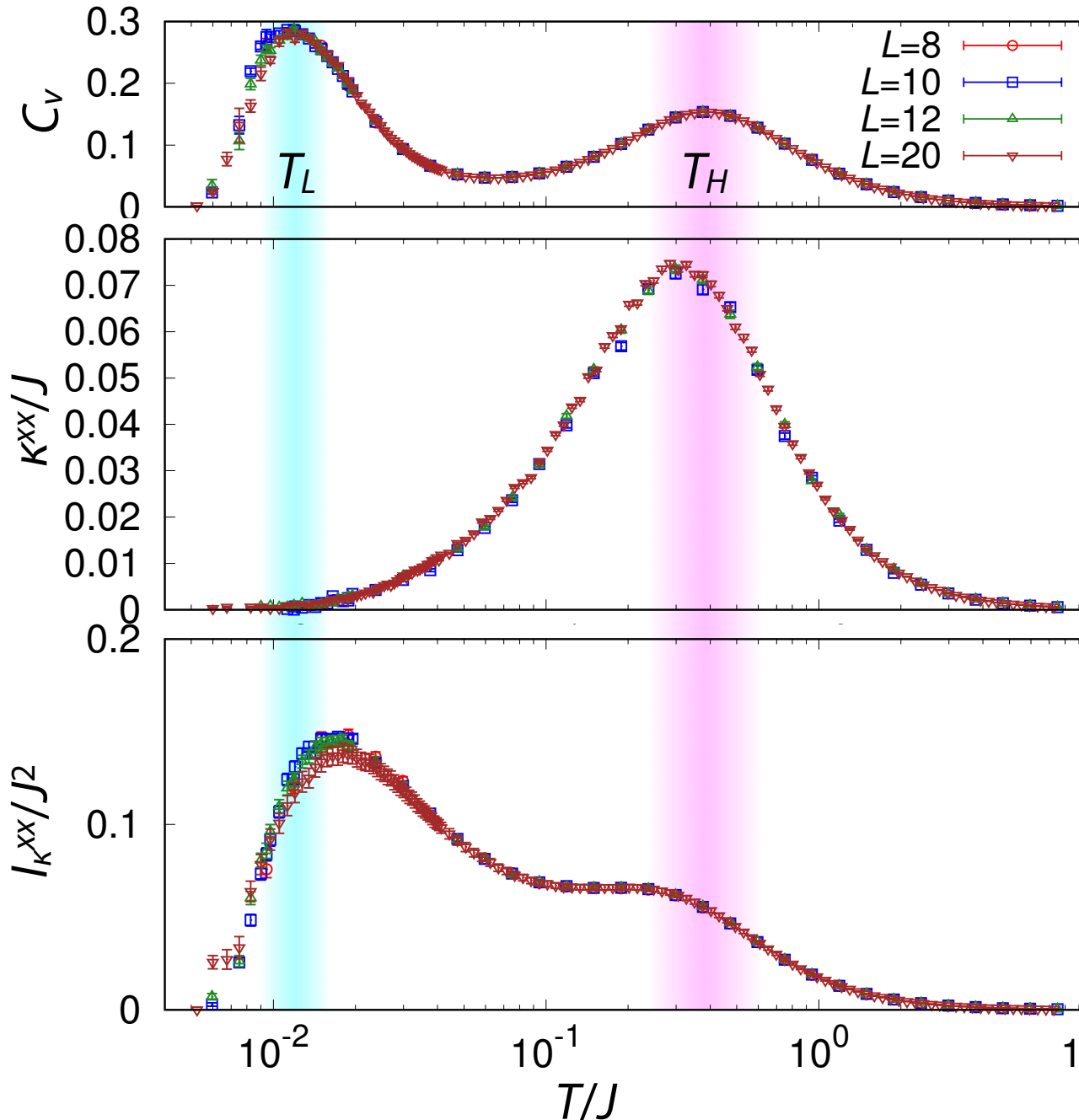
Dip becomes smaller with increase of size.

DC component is obtained by the extrapolation.



Tokyo Tech

Thermal conductivity



- DC component is obtained by extrapolation.

- K^{xx} takes a peak around T_H .

➔ Transport is governed by itinerant Majoranas.

Integrated AC thermal conductivity:

$$I_K^{xx} = \int_0^\infty K^{xx}(\omega) d\omega$$

- Fluctuation of fluxes yields finite value of I_K^{xx} .

➔ I_K^{xx} detects **flux fluctuations**.



Contents

- Introduction
- Method
- Thermal transport w/o magnetic field
- **Thermal transport w/ magnetic field**
- Summary



Introduction of magnetic field

$$\mathcal{H}_K = -J_x \sum_{\langle ij \rangle_x} S_i^x S_j^x - J_y \sum_{\langle ij \rangle_y} S_i^y S_j^y - J_z \sum_{\langle ij \rangle_z} S_i^z S_j^z$$

A. Kitaev, Annals of Physics **321**, 2 (2006).

Magnetic field for the direction **perpendicular** to the honeycomb plane

$$\mathcal{H}_h = -h \sum_i (S_i^x + S_i^y + S_i^z)$$

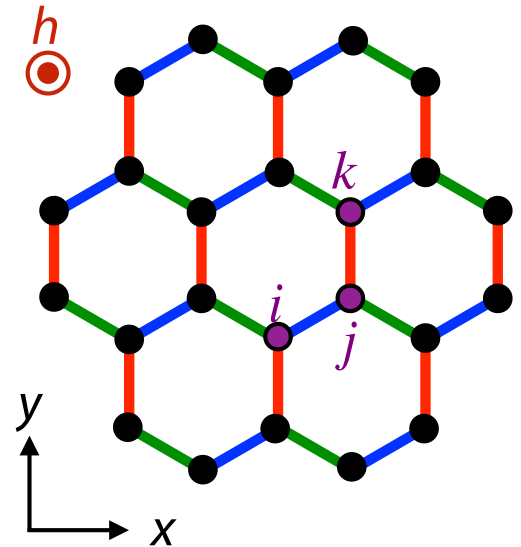
$$\longrightarrow \mathcal{H}_h^{\text{eff}} = -\tilde{h} \sum_{(ijk)} S_i^x S_j^y S_k^z \quad \text{:low-energy effective model}$$

Model Hamiltonian: $\mathcal{H} = \mathcal{H}_K + \mathcal{H}_h^{\text{eff}}$

Effective magnetic field: $\tilde{h} = \lambda h^3 \sim \frac{h^3}{\Delta^2}$ with $\Delta/J \sim 0.1$

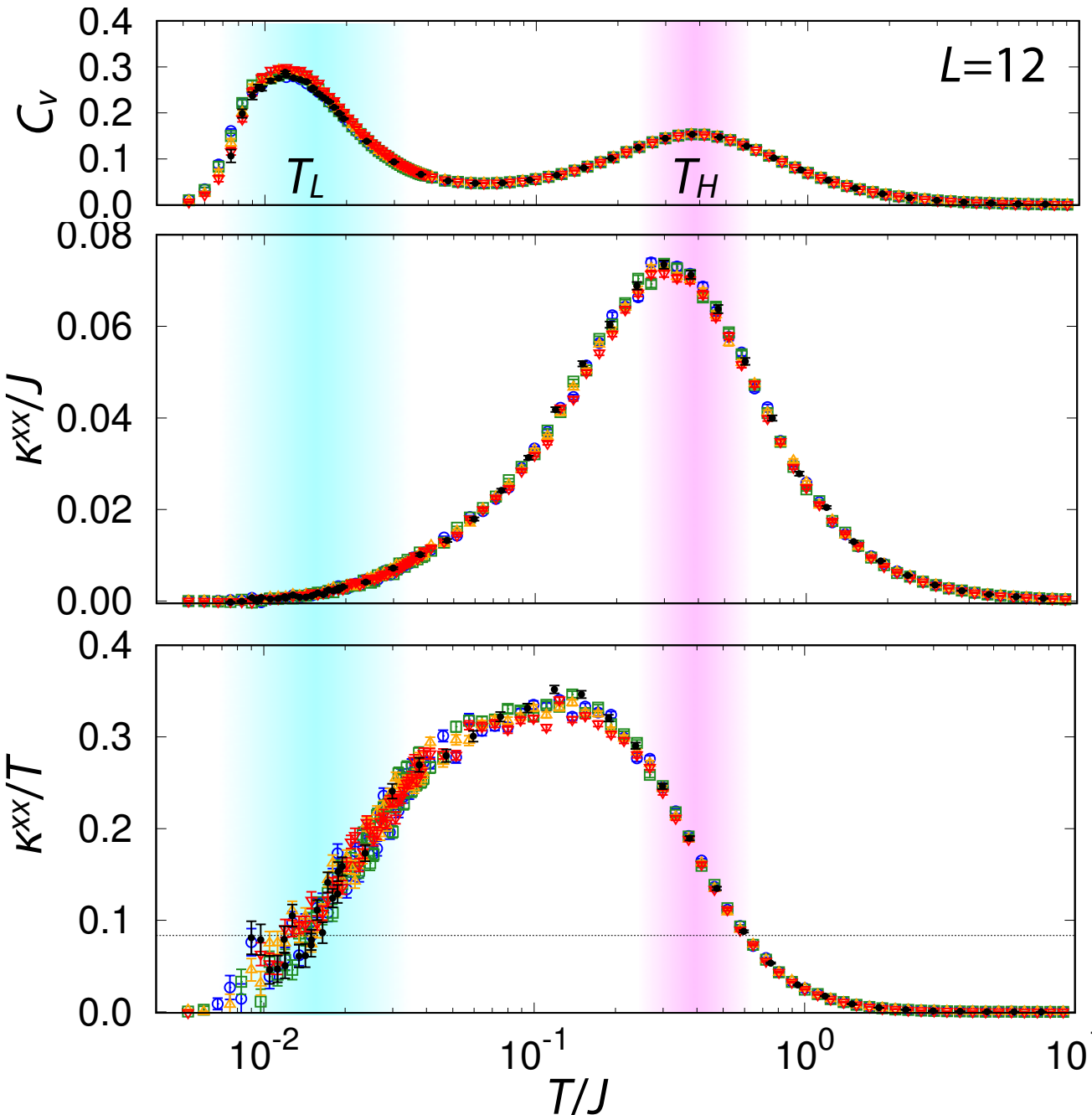
Majorana Chern insulator by applying the Magnetic field

\longrightarrow Chiral edge mode





Longitudinal thermal conductivity



- $\tilde{h}/J=0.012$
- $\tilde{h}/J=0.024$
- $\tilde{h}/J=0.036$
- $\tilde{h}/J=0.048$
- $h/J=0.0$

K^{xx} takes a peak around T_H .

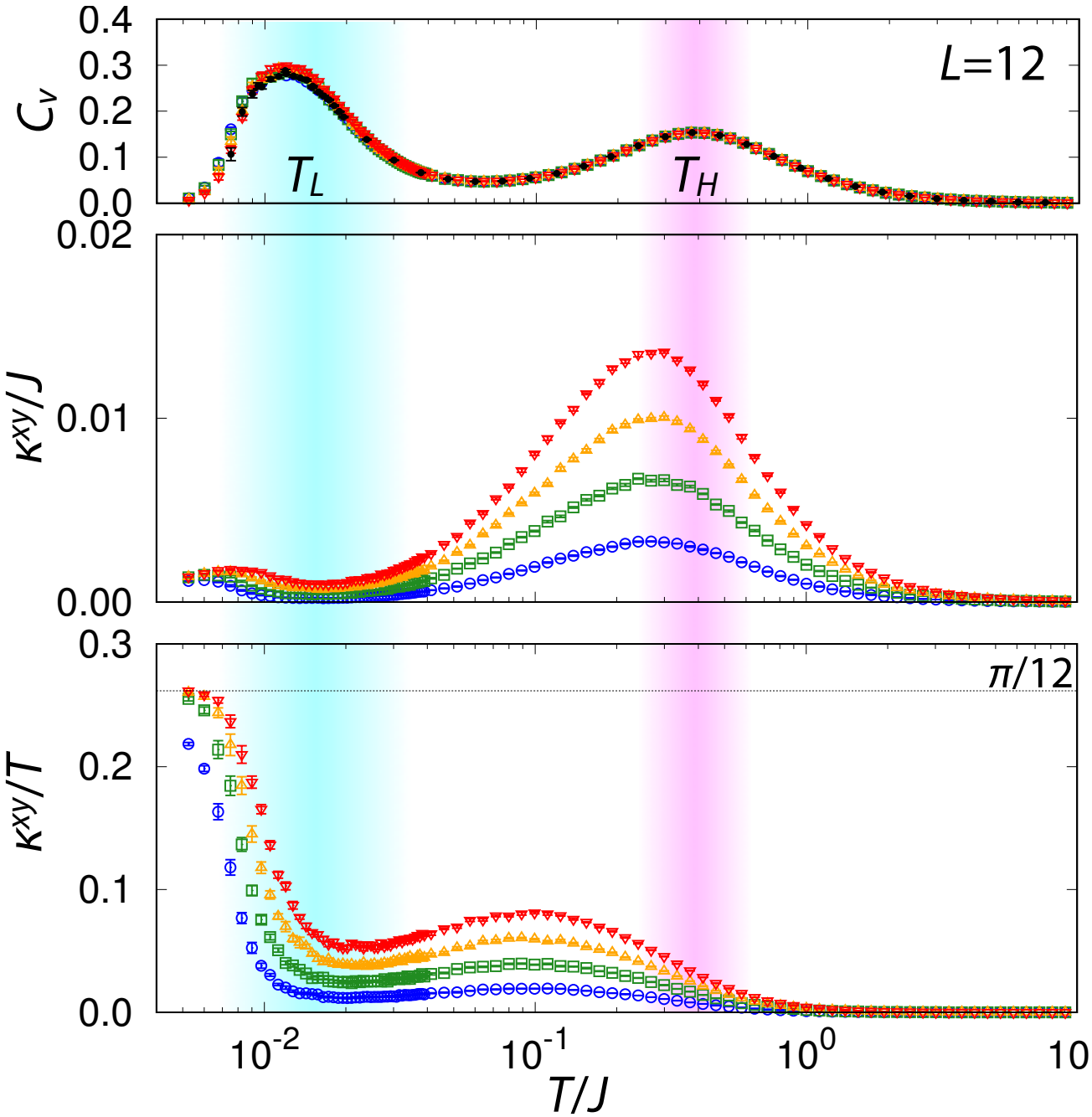
K^{xx} is insensitive to \tilde{h} .

K^{xx}/T at low T limit vanishes due to the gap opening.



Tokyo Tech

Transverse thermal conductivity



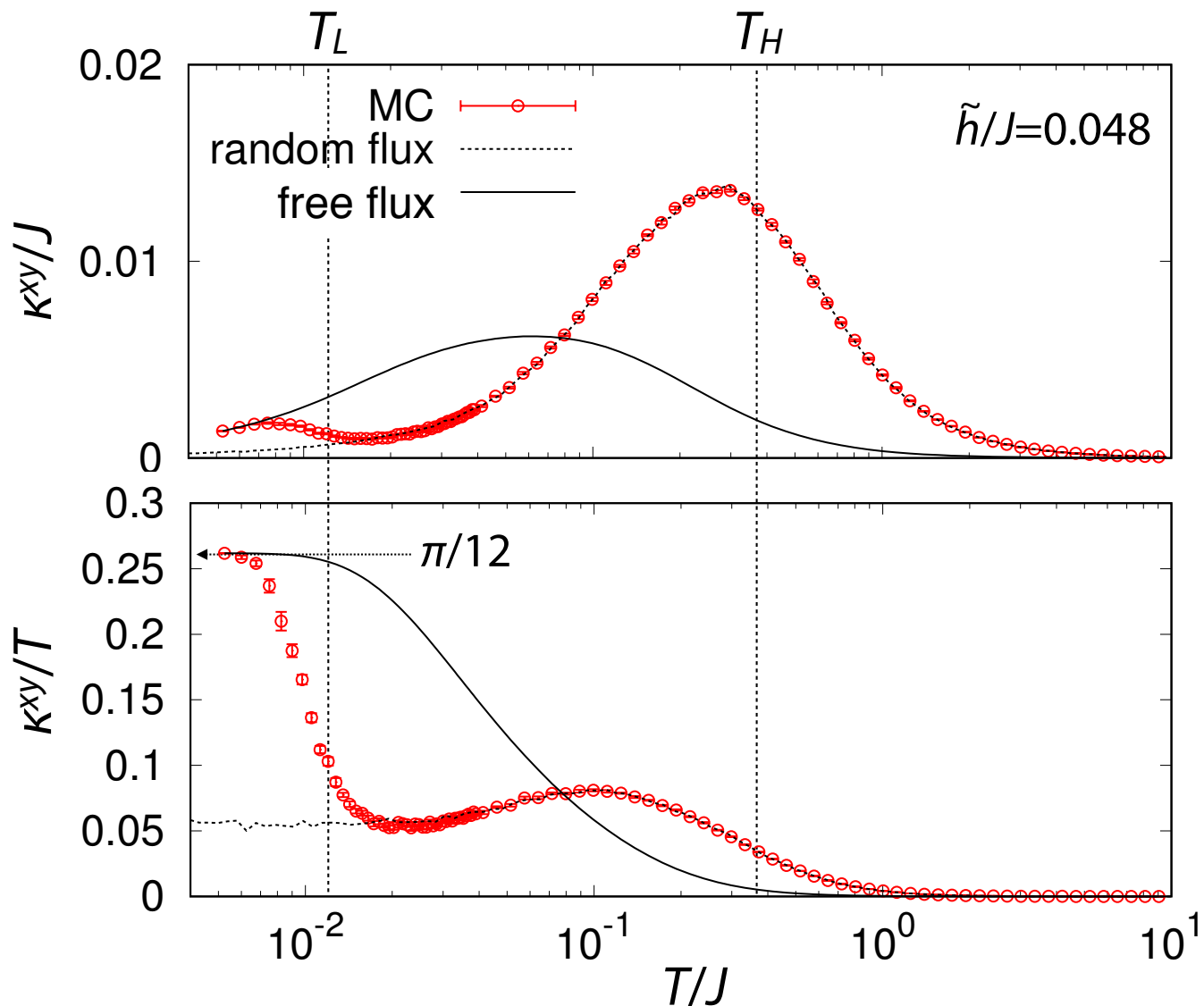
- $\tilde{h}/J=0.012$ —○—
- $\tilde{h}/J=0.024$ —□—
- $\tilde{h}/J=0.036$ —△—
- $\tilde{h}/J=0.048$ —▽—
- $h/J=0.0$ —●—

- K^{xy} takes a peak around T_H .
- K^{xy} increases with increasing \tilde{h} .
- ➔ contrasting behavior to K^{xx}

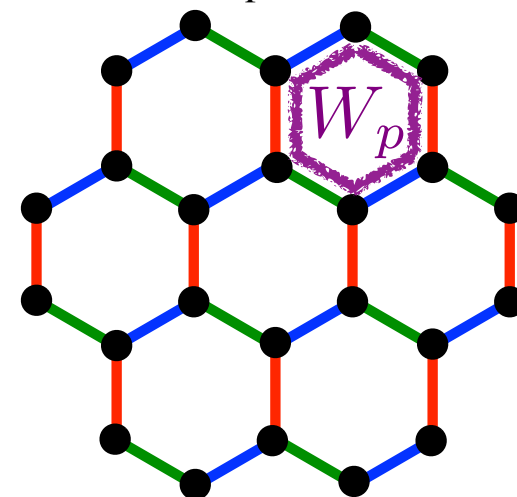
- Quantization at low T
- Deviation from $\pi/12$ around T_L
- **Nonmonotonic behavior**



Effect of flux excitation



$W_p = \pm 1 : Z_2$ flux



$W_p \equiv +1$: ground state
(free flux)

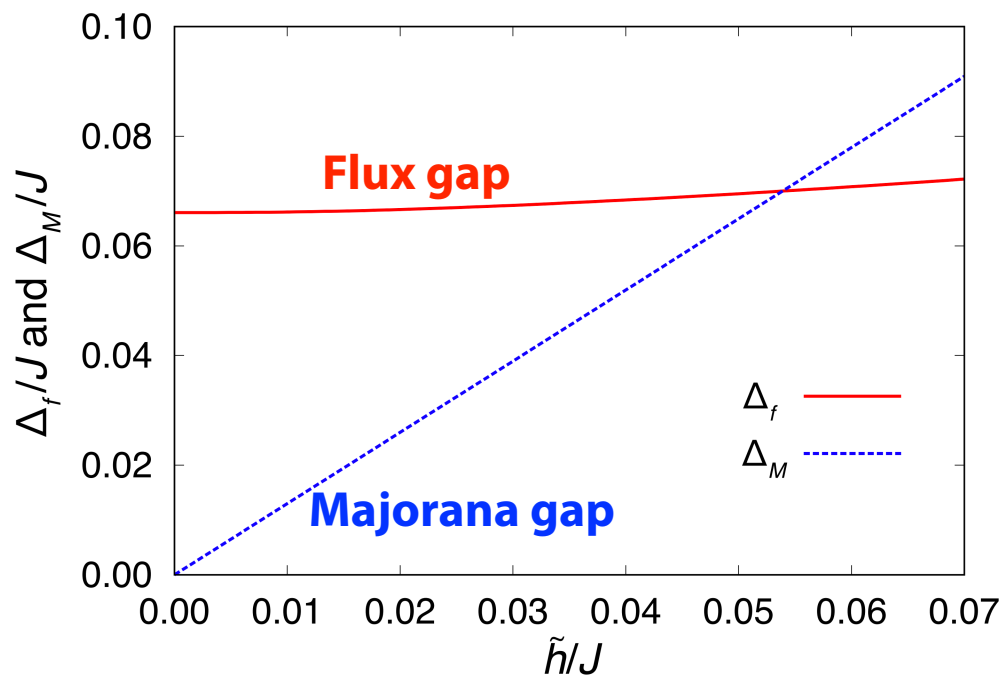
$W_p = -1$: flux excitation

- MC result deviates from zero-flux one around low- T crossover.
- High- T peak is well accounted for by random flux excitation.

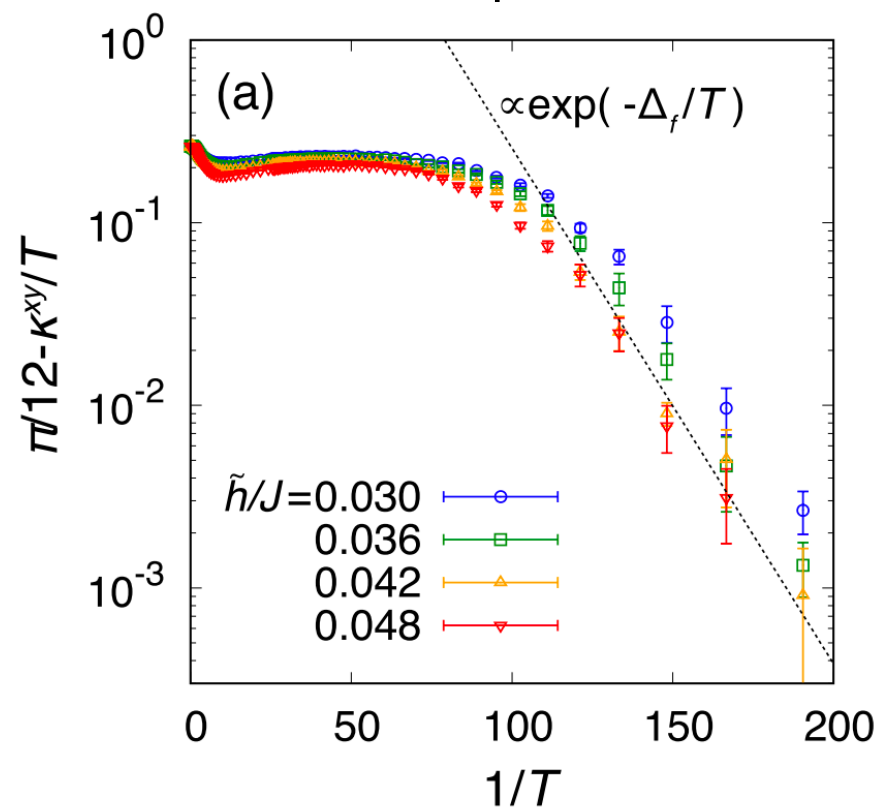


Deviation from Plateau

Magnetic field dependence of gaps



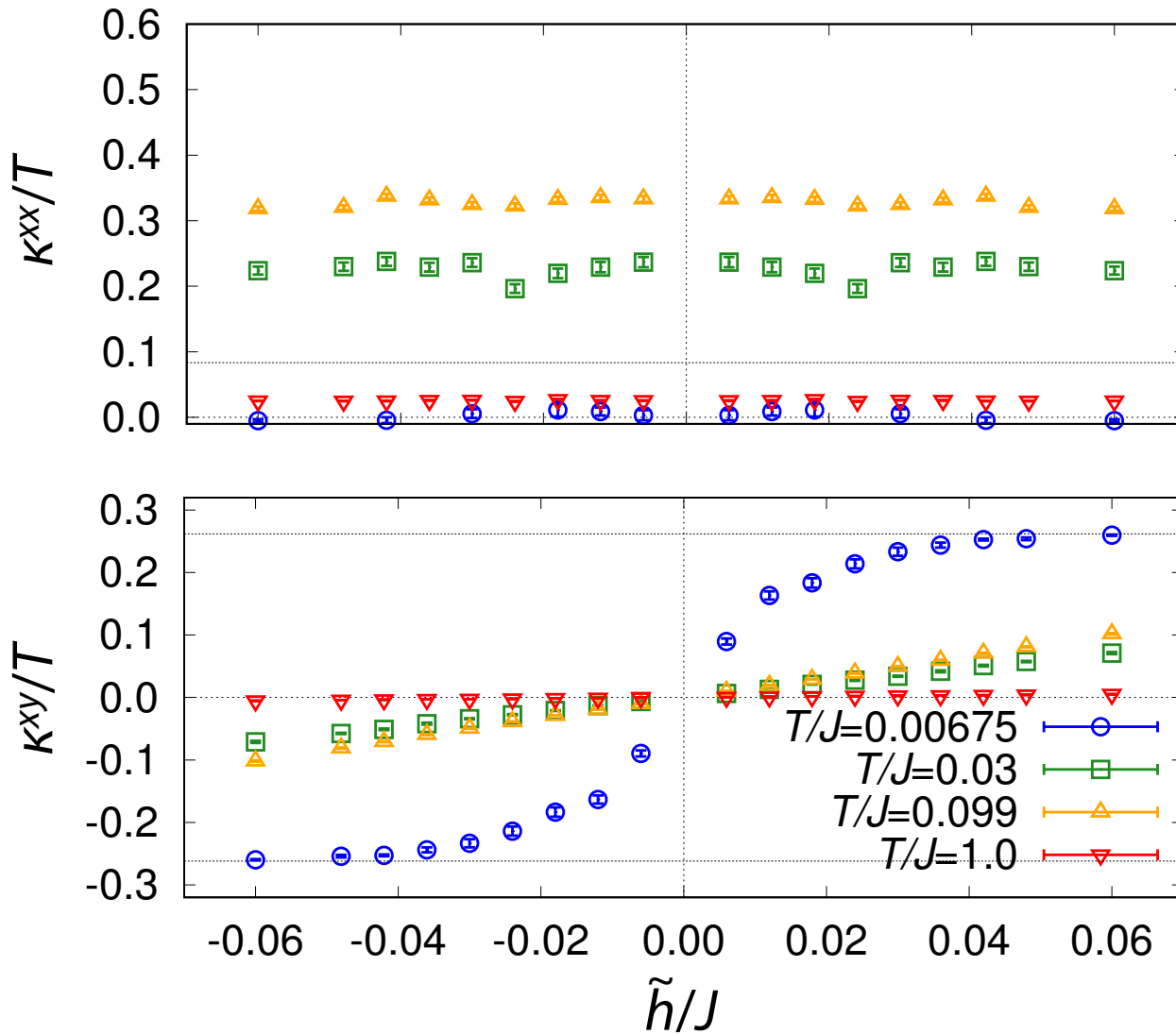
Arrhenius plot for κ^{xy}/T



- Flux gap is almost independent of $\tilde{\hbar}$.
- Majorana gap linearly depend on $\tilde{\hbar}$.
- Deviation of κ^{xy}/T from the quantized value is determined by the **flux gap**.



Magnetic field dependence



Longitudinal component

- Almost zero at low T
- almost unchanged by \tilde{h}

Transverse component

- Enhancement by \tilde{h}
- Linear dependence for \tilde{h} at finite T

➔ κ^{xy} is proportional to h^3 .

$$\tilde{h} \sim \frac{h^3}{\Delta^2}$$

Contrasting magnetic-field dependence between κ^{xx} and κ^{xy} .



Contents

- Introduction
- Method
- Thermal transport w/o magnetic field
- Thermal transport w/ magnetic field
- Summary



Summary

● Kitaev model on a honeycomb lattice

- “Quantum” Monte Carlo simulation in Majorana representation
- Magnetic field is introduced as an effective model.

● Without magnetic field

- Longitudinal thermal conductivity exhibits a peak at high- T crossover
attributed to the *itinerant Majorana fermions*
- Dynamical component detects *flux fluctuation*.

● With magnetic field

- Thermal Hall conductivity is proportional to h^3 .
- Peculiar T dependence of κ^{xy}/T due to the *flux excitations*.