

Nonlinear and Nonreciprocal Responses of Noncentrosymmetric Quantum Matters

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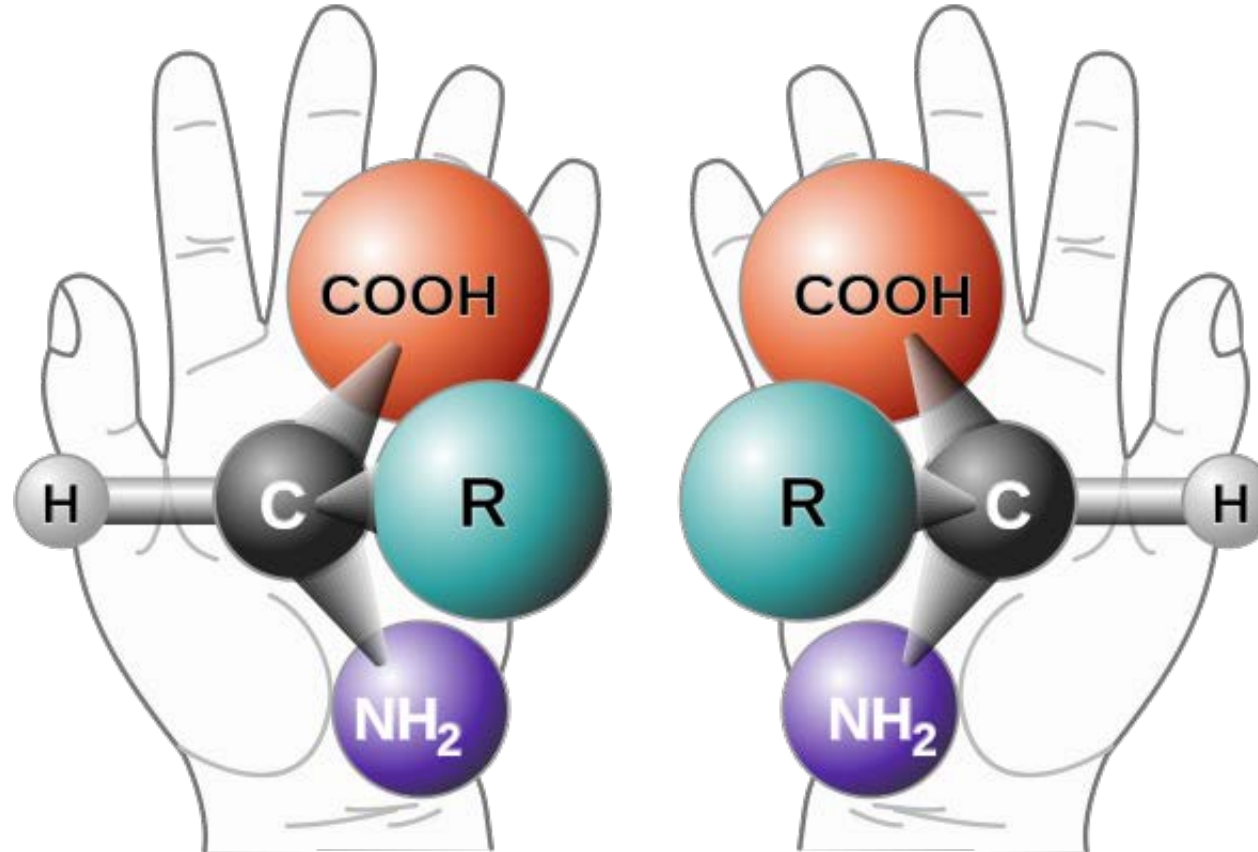
U.C. Berkeley: [Takahiro Morimoto](#)

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Exp: Y. Tokura, Y. Iwasa, M. Kawasaki,
S. Koshikawa, S. Shimizu, Y. Kaneko, Y. Saito, T. Ideue

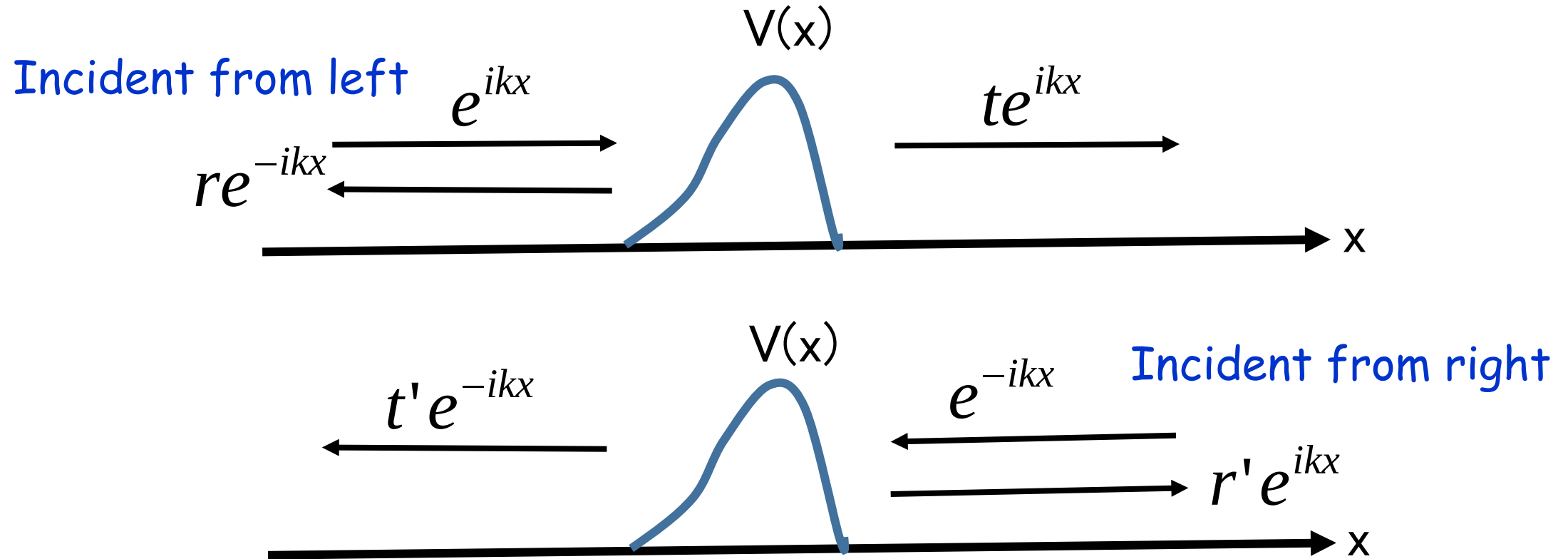
Chirality of structure



No Inversion I
No Mirror M

From Wikipedia

Right and Left directions of flow



$$|t'|^2 = |t|^2 \quad |r'|^2 = |r|^2$$

$$t' = t$$

Unitary nature of S matrix: conservation of prob.

Time-reversal symmetry T : $S = S^{\text{Transpose}}$

Asymmetry between t and $-t$

1. Microscopic time-reversal symmetry breaking

external magnetic field B
magnetic ordering M

2. Macroscopic irreversibility

dissipation of energy
diffusion

Non-reciprocal transport in non-centrosymmetric system

Time-reversal symmetry of microscopic dynamics vs irreversibility

$$\sigma_{\mu\nu}(k, \omega, B) = \sigma_{\nu\mu}(-k, \omega, -B)$$

Onsager's reciprocal relation for linear response

Magneto-chiral optical effect
directional dichroism

$$\varepsilon_{\mu\mu}(k, \omega, B) = \varepsilon_0 + \alpha k \cdot B$$

e.g. electromagnon in multiferroics
A. Loidl, Y. Tokura

$k \rightarrow I$ G. L. J. A. Rikken (2001)

"dichroism" of the electric current magneto-chiral anisotropy

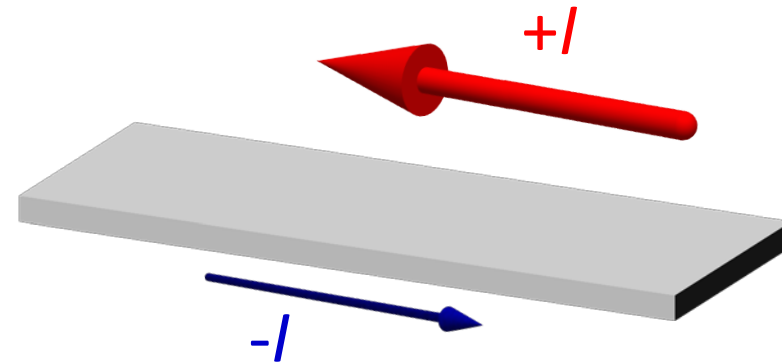
$$R(+I) \neq R(-I)$$

$$R = R_0 (1 + \beta B^2 + \boxed{\gamma BI})$$

Broken inversion symmetry $\Leftrightarrow \gamma \neq 0$

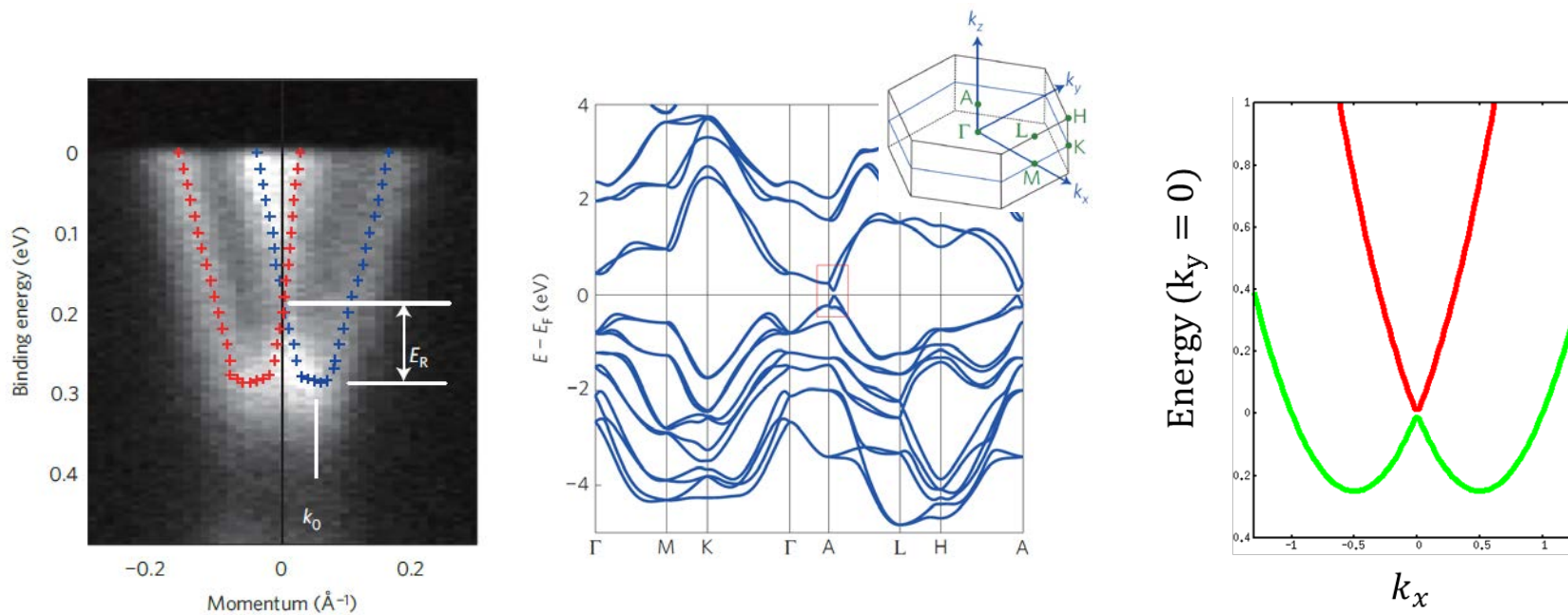
Nonlinear response

$$J = \sigma E + \alpha B E^2$$

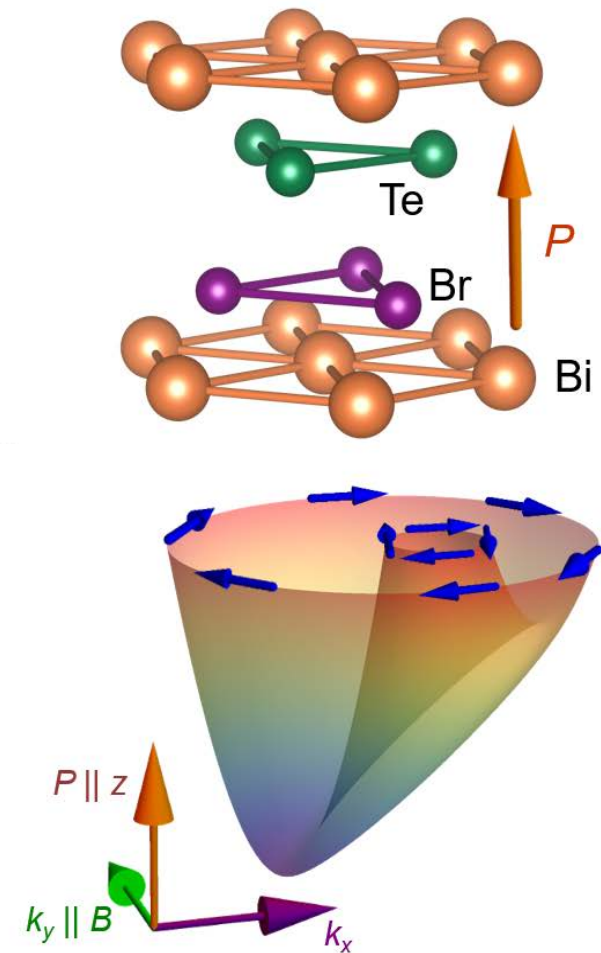


Band structure in noncentrosymmetric crystal

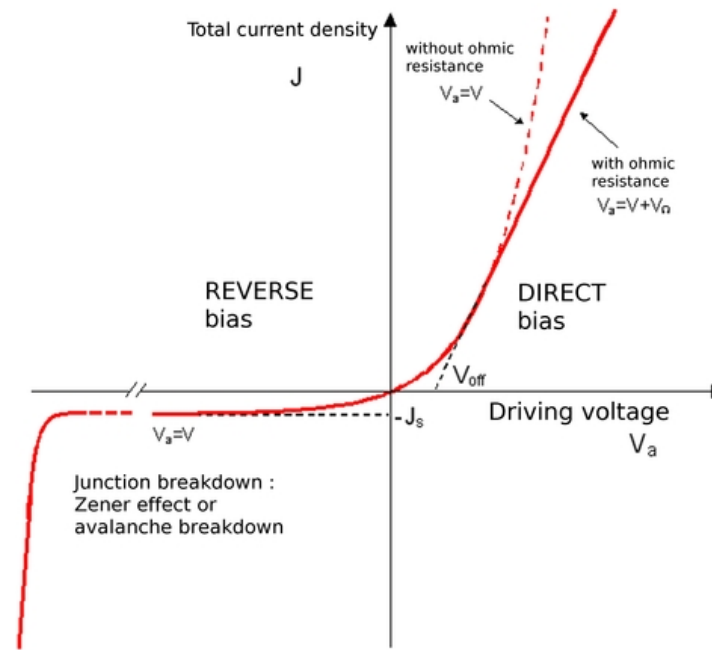
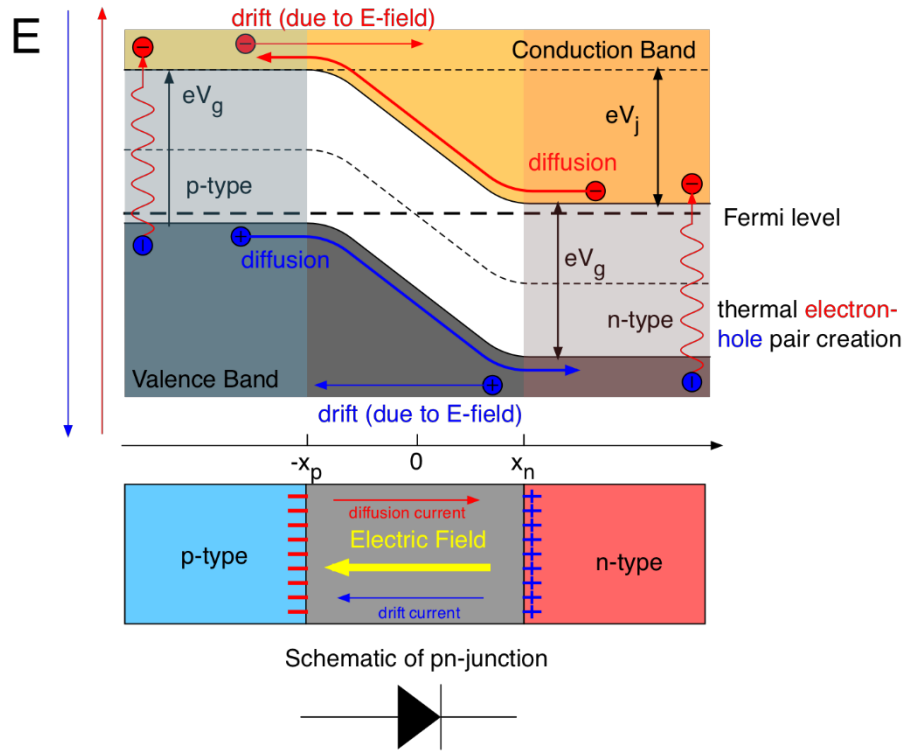
Time-reversal symmetry $\rightarrow \varepsilon_{\uparrow}(k) = \varepsilon_{\downarrow}(-k)$



K. Ishizaka et al. *Nat. Mater.* **10**, 521 (2011).



pn junction



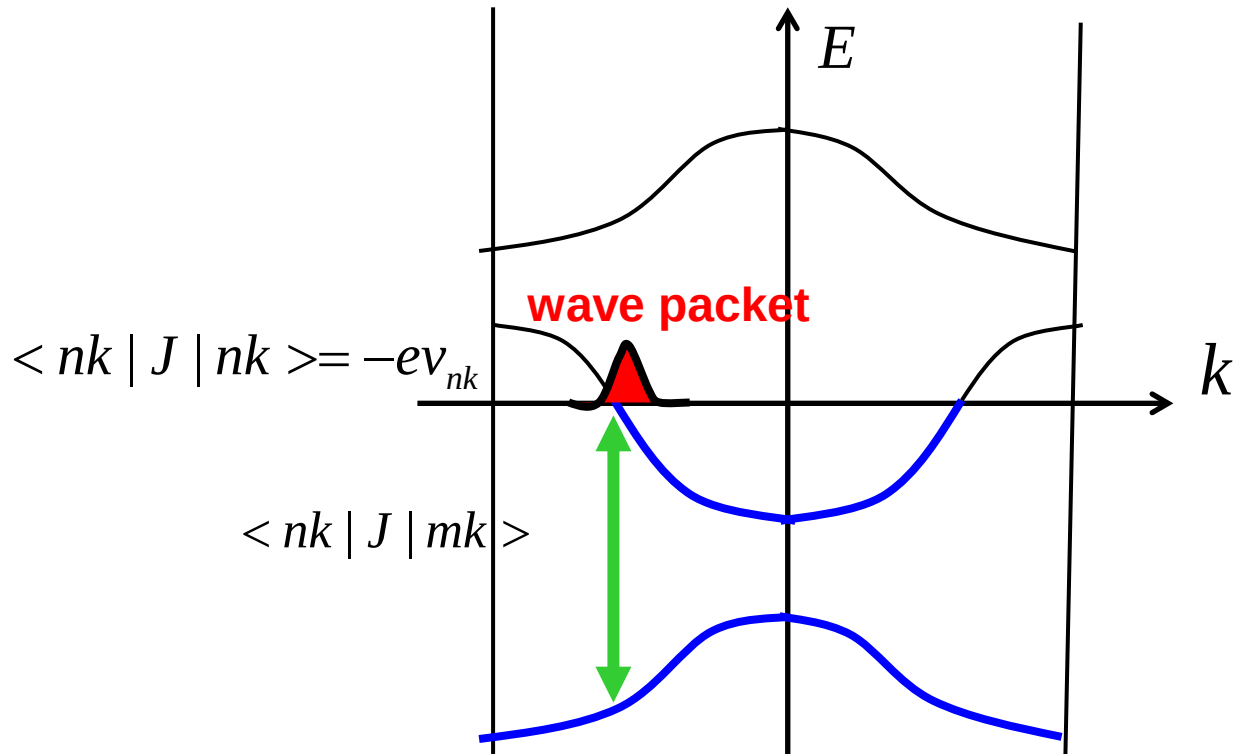
<http://quantumwise.com/publications/tutorials/item/828-silicon-p-n-junction>

http://www.optique-ingenieur.org/en/courses/OPI_ang_M05_C02/co/Contenu_09.html

Nonreciprocal Response	Linear Response	Nonlinear Response
Time-reversal Unbroken	Forbidden	Shift current Nonlinear Hall effect pn junction
Time-reversal Broken	Optical ME effect Magnetochiral effect Nonreciprocal magnon	Nonreciprocal nonlinear optical effect Electric magnetochiral effect Inverse Edelstein effect Magnetochiral anisotropy

Table 1

Intra- and Inter-band matrix elements of current



Wavefunction matters !!

Of-diagonal matrix elements

- Distort the band structure by E
- Additional current

Even a filled band can support current
e.g., polarization current
quantum Hall current

Time-reversal $b(k) = -b(-k)$

Inversion $b(k) = b(-k)$

$\Rightarrow b(k) = 0$

$$\langle nk | J | nk \rangle = -e \langle nk | \frac{\partial H(k)}{\partial k} | nk \rangle = -e \frac{\partial \varepsilon_n(k)}{\partial k}$$

$$\langle nk | J | mk \rangle = -e \langle nk | \frac{\partial H(k)}{\partial k} | mk \rangle = -e(\varepsilon_{nk} - \varepsilon_{mk}) \langle nk | \frac{\partial}{\partial k} | mk \rangle$$

Berry Phase Curvature in k-space

$$\psi_{nk}(r) = e^{ikr} u_{nk}(r)$$

Bloch wavefunction

$$a_n(k) = -i \langle u_{nk} | \nabla_k | u_{nk} \rangle$$

Berry phase connection in k-space

$$x_i = r_i + a_n(k) = i \partial_{k_i} + a_n(k)$$

covariant derivative

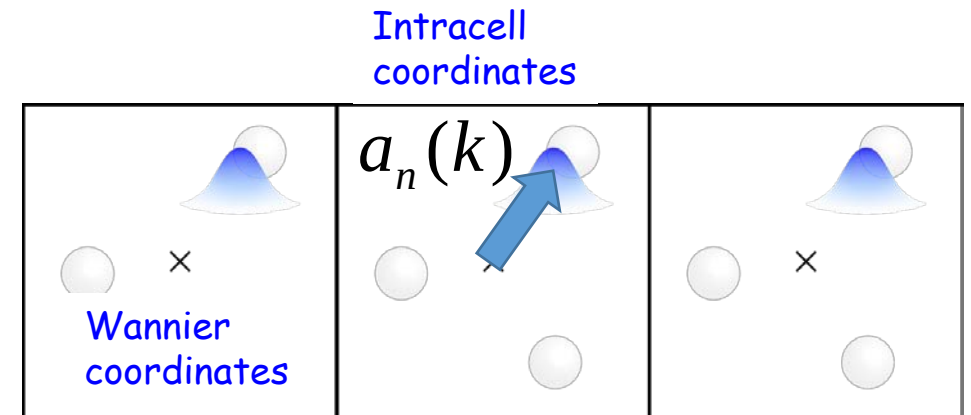
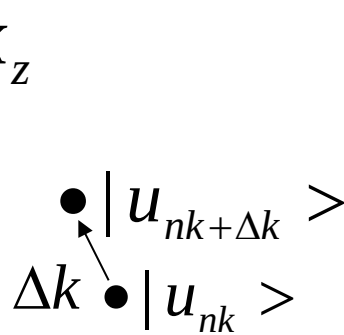
$$[x, y] = i(\partial_{k_x} a_{ny}(k) - \partial_{k_y} a_{nx}(k)) = i b_{nz}(k)$$

Curvature in k-space

$$\frac{dx(t)}{dt} = -i[x, H] = \frac{k_x}{m} - i[x, y] \frac{\partial V}{\partial y} = \frac{k_x}{m} + b_{nz}(k) \frac{\partial V}{\partial y}$$

Anomalous Velocity and Anomalous Hall Effect

$$\langle u_{nk} | u_{nk+\Delta k} \rangle = e^{ia_n(k)}$$



DC nonreciprocal responses

$$\underline{\hat{G}}(\pi) = \underline{\hat{G}}_0(\pi) \left[1 + \left(\underline{\hat{\Sigma}}(\pi) - \underline{\hat{\Sigma}}_0(\pi) \right) \underline{\hat{G}}(\pi) - \sum_{n=1}^{\infty} \frac{1}{n!} \left(\pi^0 - \hat{H}_0(\pi) - \underline{\hat{\Sigma}}(\pi) \right) \left(\prod_{i=1}^n \frac{i\hbar q F^{\mu_i \nu_i}}{2} \overleftarrow{\partial}_{\pi^{\mu_i}} \overrightarrow{\partial}_{\pi^{\nu_i}} \right) \underline{\hat{G}}(\pi) \right]$$

$\pi = p + eA \Rightarrow$ Expansion of Keldysh Green's function in constant E and B.
Multiband effect fully taken into account.

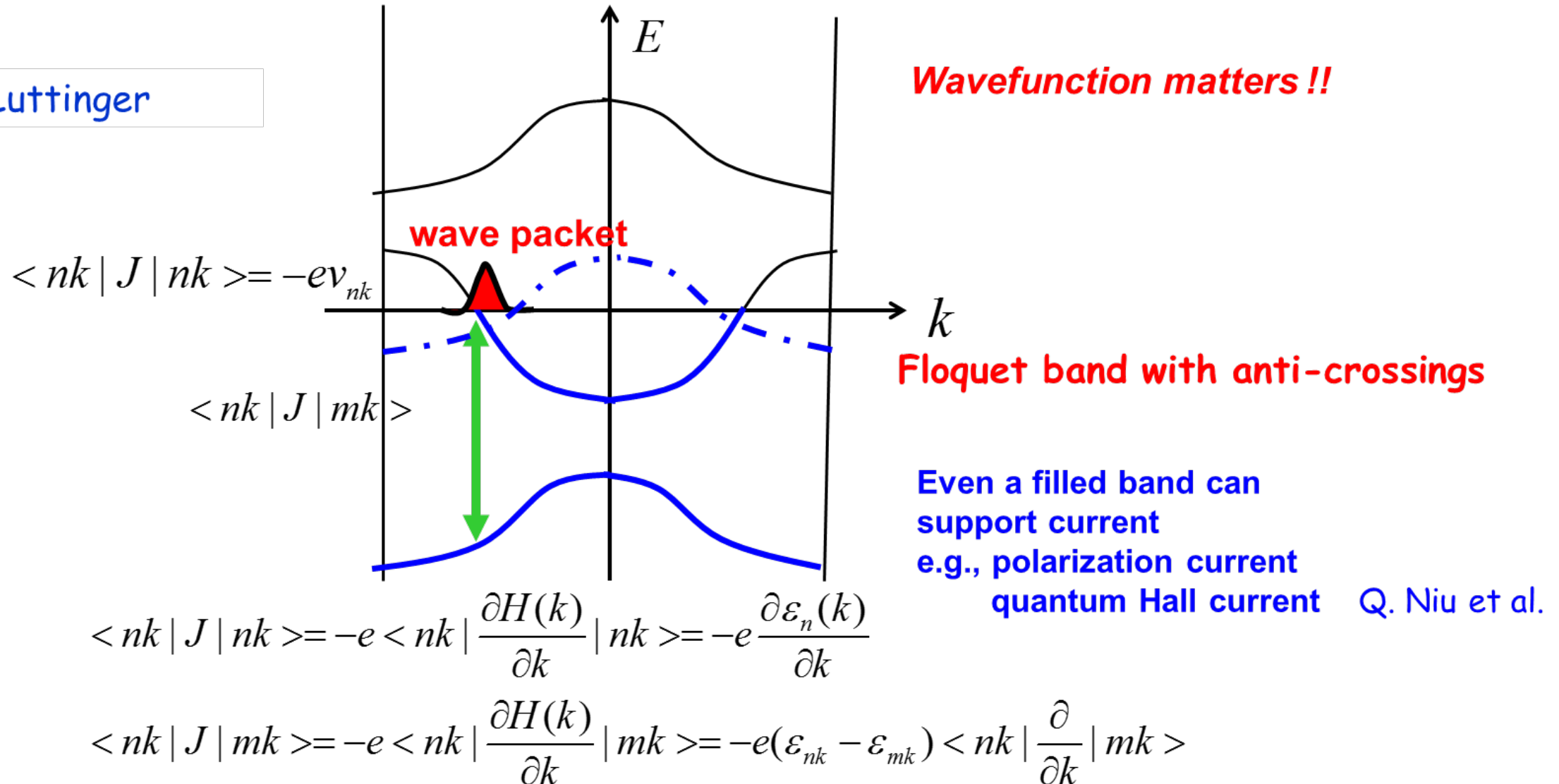
Non-interacting case
No E-dependence of Σ

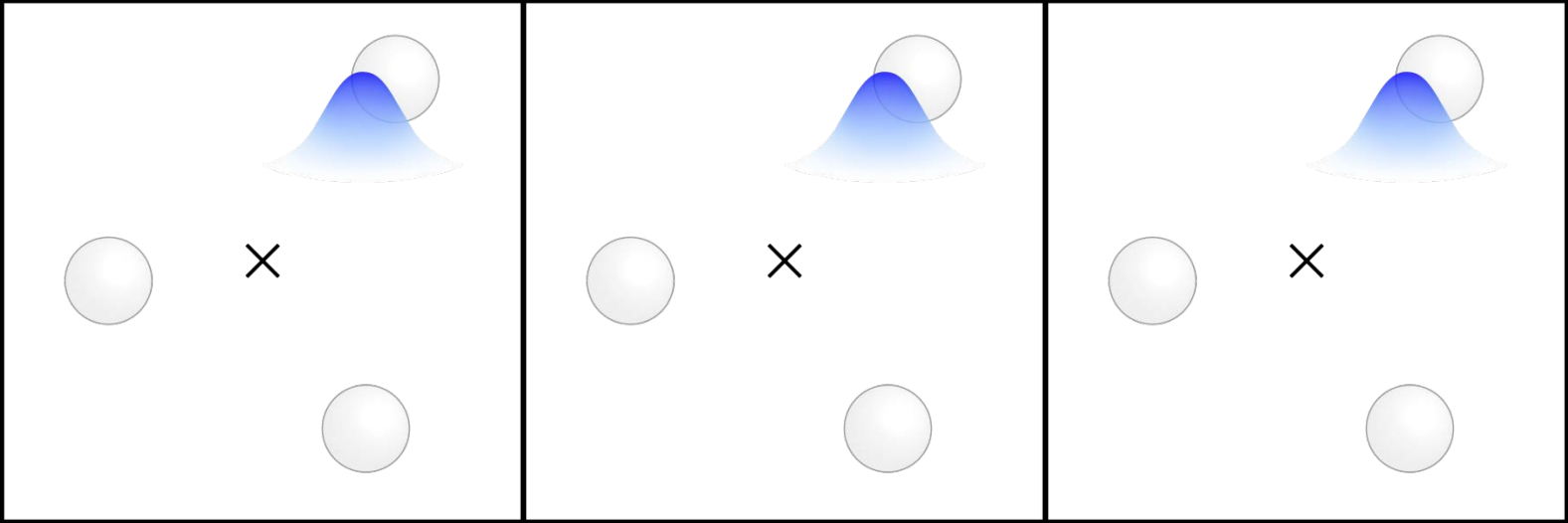


$$G_{E^2}^< = -\frac{i}{2} G_0 [\partial_\omega G_0^{-1} \partial_k G_E - \partial_k G_0^{-1} \partial_\omega G_E]^< + \frac{1}{4} G_0 [\partial_\omega^2 G_0^{-1} \partial_k^2 G_0 + \partial_k^2 G_0^{-1} \partial_\omega^2 G_0]^<.$$

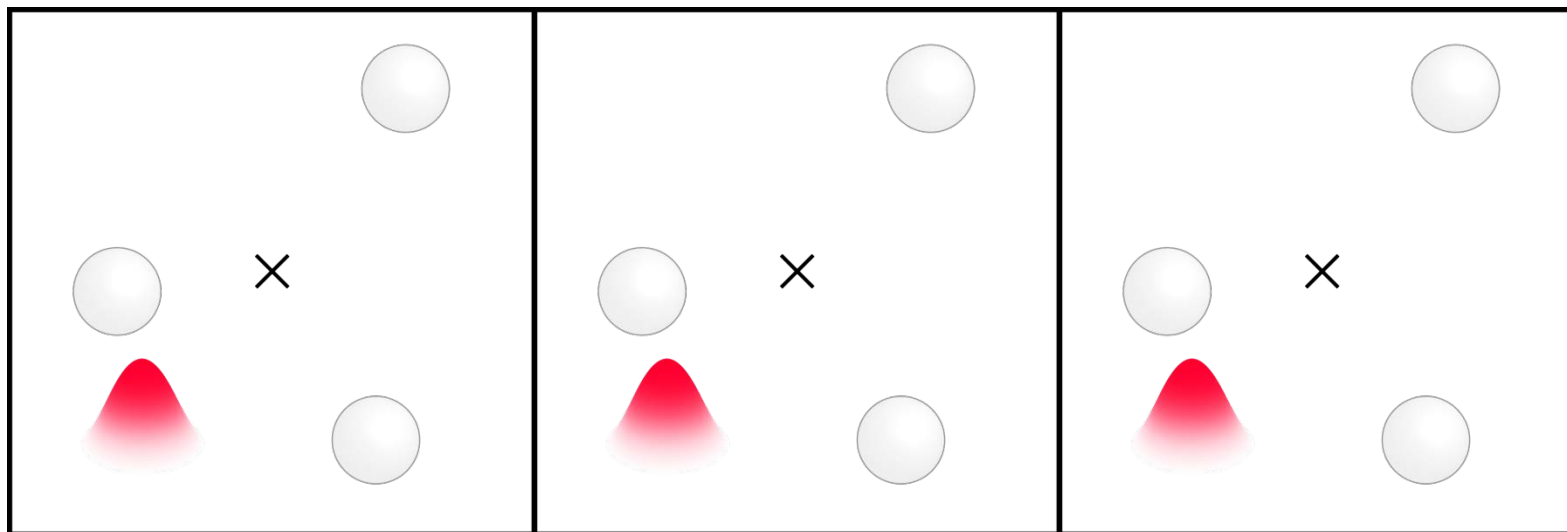
AC nonreciprocal response - Shift current

Kurplus-Luttinger





← electron flow



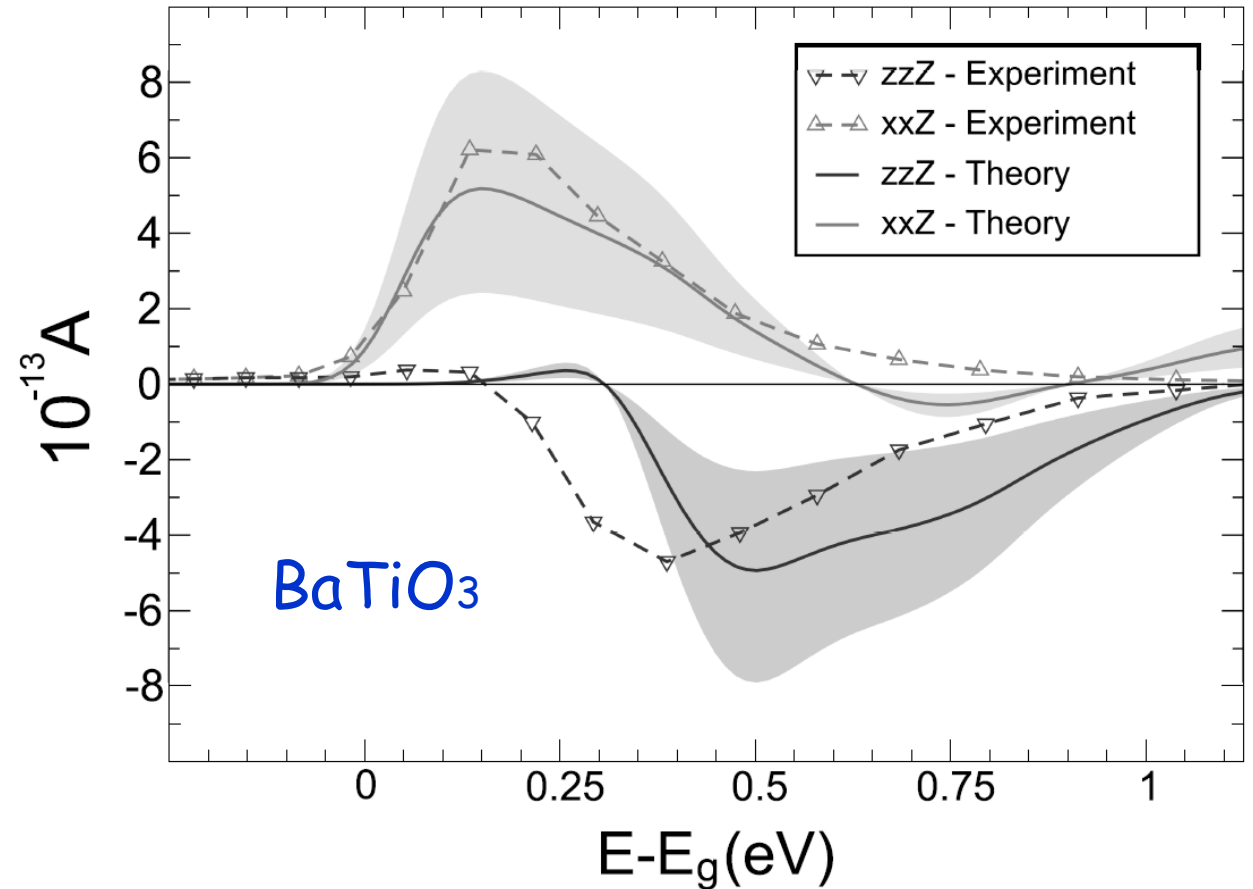
First-principles calculation of shift current

Steve M. Young and Andrew M. Rappe, Phys. Rev. Lett. 109, 116601 (2012)

$$J_q = \sigma_q^{rs} E_r E_s$$
$$\sigma_q^{rs}(\omega) = \pi e \left(\frac{e}{m\hbar\omega} \right)^2 \sum_{n', n''} \int d\mathbf{k} (f[n''\mathbf{k}] - f[n'\mathbf{k}])$$
$$\times \langle n'\mathbf{k} | \hat{P}_r | n''\mathbf{k} \rangle \langle n''\mathbf{k} | \hat{P}_s | n'\mathbf{k} \rangle$$
$$\times \left(-\frac{\partial \phi_{n'n''}(\mathbf{k}, \mathbf{k})}{\partial k_q} - [\chi_{n''q}(\mathbf{k}) - \chi_{n'q}(\mathbf{k})] \right)$$
$$\times \delta(\omega_{n''}(\mathbf{k}) - \omega_{n'}(\mathbf{k}) \pm \omega)$$

$\phi_{nn'}$ phase of the transition matrix element

χ_n Berry connection (vector potential)



R. von Baltz & W. Kraut, PRB1981 J.E. Sipe & A.I. Shkrebtii, PRB2000

Topological nature of Floquet bands in Keldysh formalism

T. Morimoto, NN Science Adv. 2016

$$h = \begin{pmatrix} \epsilon_1^0 & iFv_{12}^0 \\ -iFv_{21}^0 & \epsilon_2^0 \end{pmatrix} \equiv \epsilon + d \cdot \sigma$$

Conduction and valence bands
are coupled to particle bath
Independently

$$G^< = \frac{(\omega - \epsilon - i\Gamma + d \cdot \sigma) \Sigma^< (\omega - \epsilon + i\Gamma + d \cdot \sigma)}{[(\omega - \epsilon - i\Gamma)^2 - d^2][(\omega - \epsilon + i\Gamma)^2 - d^2]}$$

$$J = \frac{\Gamma(d_x b_y - d_y b_x)}{2(d^2 + \Gamma^2)} + \frac{d_z^2 b_z}{4(d^2 + \Gamma^2)} + \frac{b_z}{4} \equiv J_1 + J_2 + J_3.$$

$b = \partial d / \partial k$ Current

$$J_1 = F^2 \frac{\Gamma}{2(d_z^2 + F^2 |v_{12}^0|^2 + \Gamma^2)} |v_{12}^0|^2 R_k.$$

$$R_k = \left[\frac{\partial}{\partial k} \text{Im}(\log v_{21}^0) + a_{22} - a_{11} \right]$$

$$= \frac{\pi E^2}{2\Omega^2} \frac{\Gamma}{\sqrt{\frac{E^2}{\Omega^2} + \Gamma^2}} \delta(d_z) |v_{12}^0|^2 R_k.$$

Shift-current
Even order response to E

See also A.M. Cook et al., Nature Commun. 2017

Exciton formation

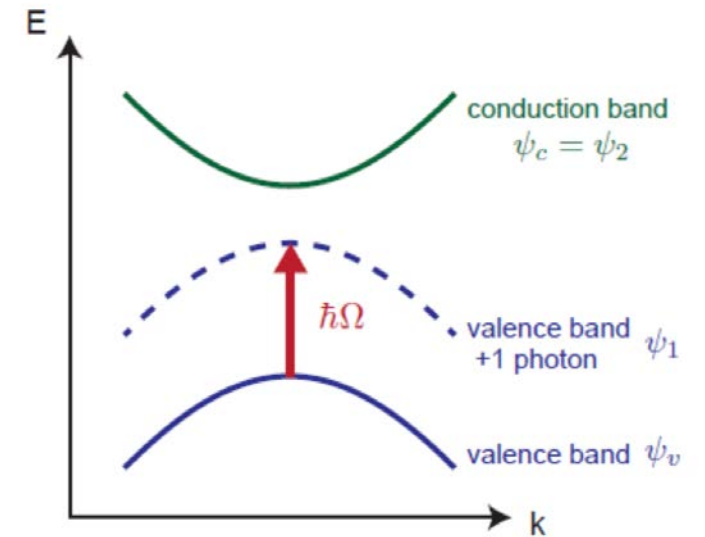
$$Av'(\mathbf{k}) = - \int d\mathbf{k}' V_{\mathbf{k}\mathbf{k}'} \Delta(\mathbf{k}') \quad \Delta(\mathbf{k}) = \langle \psi_{2,\mathbf{k}}^\dagger \psi_{1,\mathbf{k}} \rangle$$

Bethe-Salpeter equation for exciton formation

$$\Delta(\mathbf{k}) = Av_{12} \frac{d_z - i\frac{\Gamma}{2}}{2(d^2 + \frac{\Gamma^2}{4})} - \frac{d_z - i\frac{\Gamma}{2}}{2(d^2 + \frac{\Gamma^2}{4})} \int d\mathbf{k}' V_{\mathbf{k}\mathbf{k}'} \Delta(\mathbf{k}')$$

$$J \cong A^2 \frac{V'}{2|d_z(0)|} \frac{\Gamma}{[2d_z(0) + V']^2 + \Gamma^2} |v_{12}(0)|^2 R_2(0)$$

T. Morimoto, NN PRB 2016

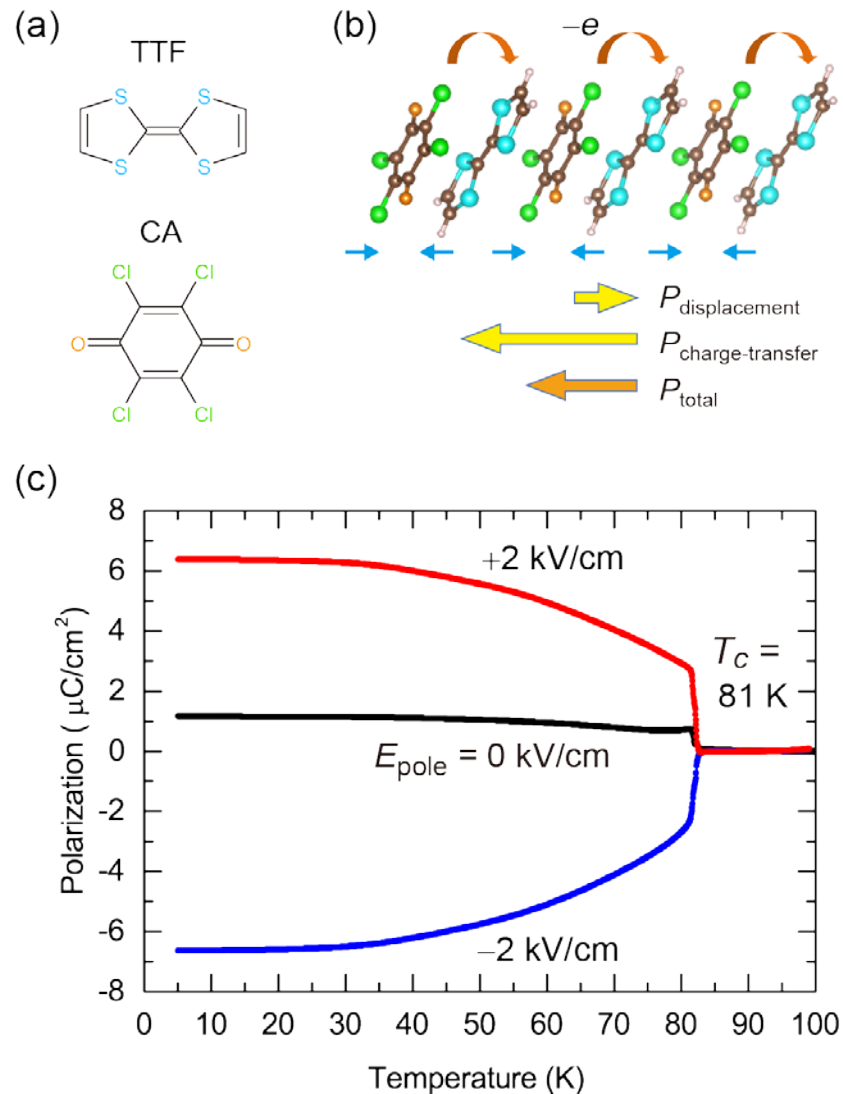


$$\Sigma_{\alpha,\alpha'}^{\text{HFB}} = \begin{array}{c} \text{---} \tau_s \text{---} \\ \beta \cdot \beta' \\ \vdots \\ \alpha \cdot \alpha' \\ \text{---} \tau_{s'} \text{---} \end{array} - U \delta_{s,-s'}$$

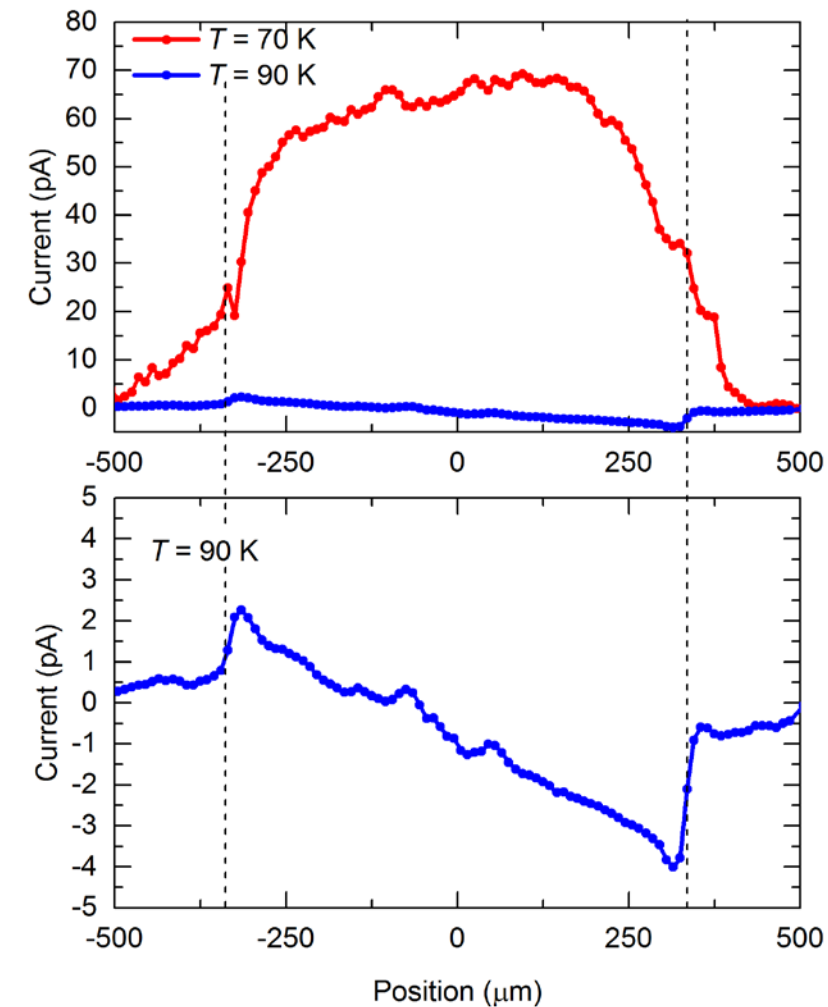
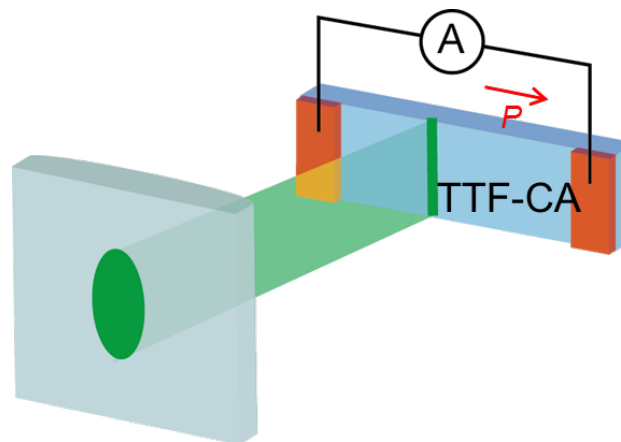
Hanai-Littlewood-Ohashi

Nonlocal nature of shift current

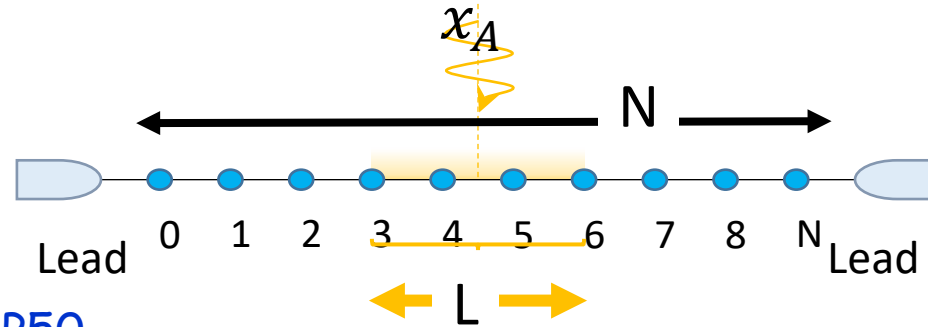
Y. Nakamura et al.



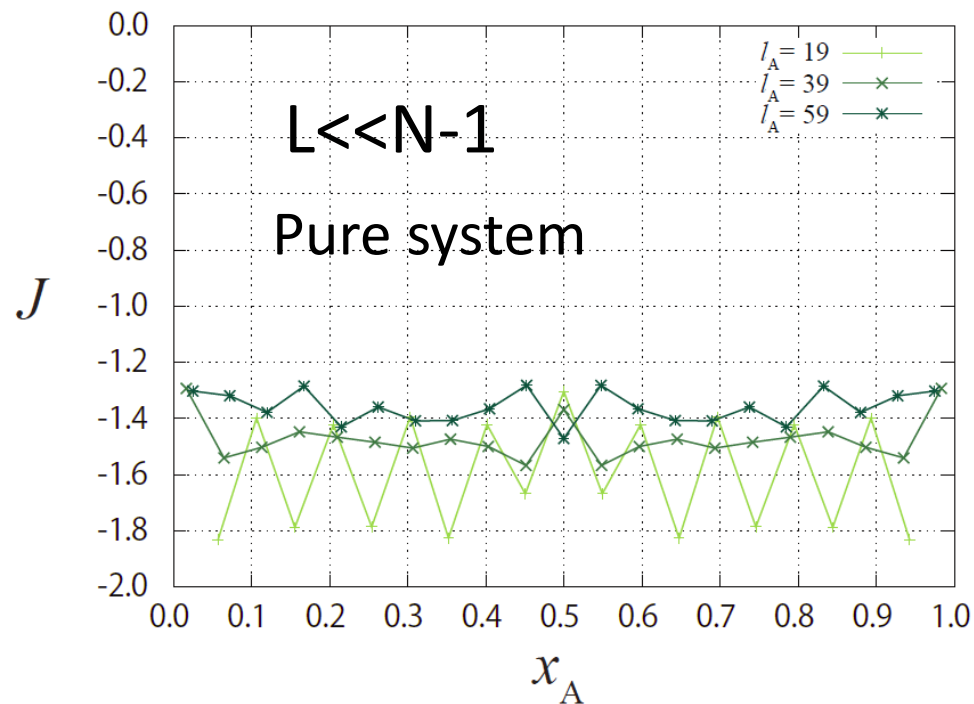
Position dependence
Nakamura et al.



Localization and shift current

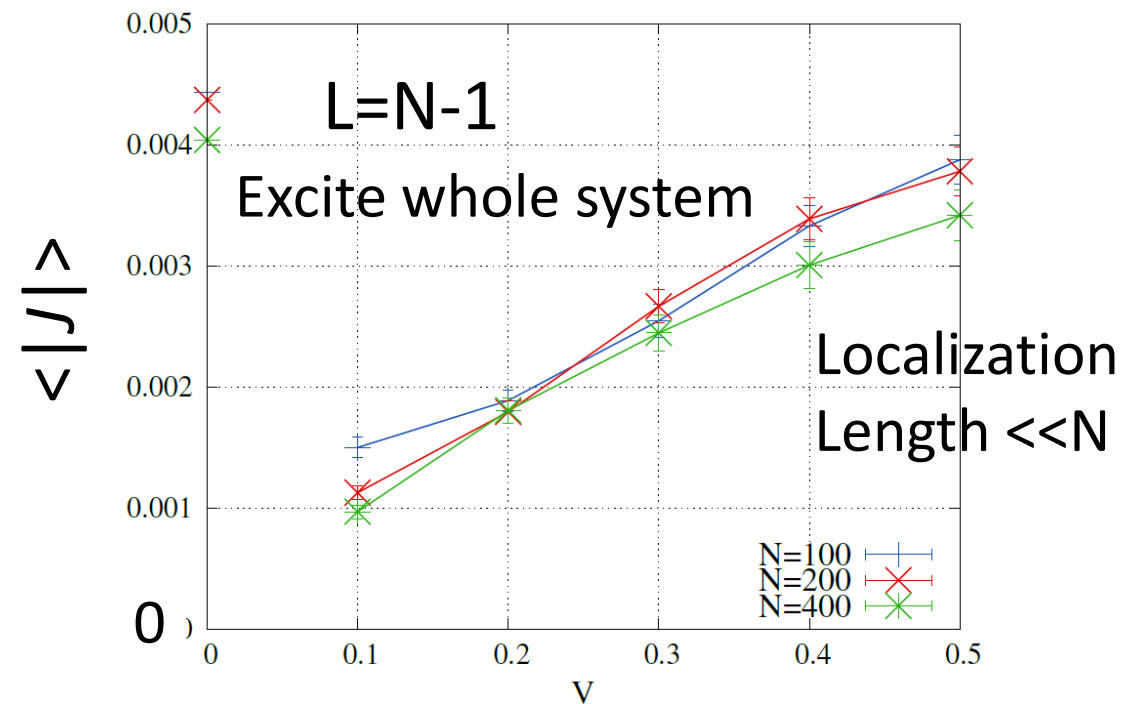


H. Ishizuka, NN NJP 2017 P50



Position of local excitation

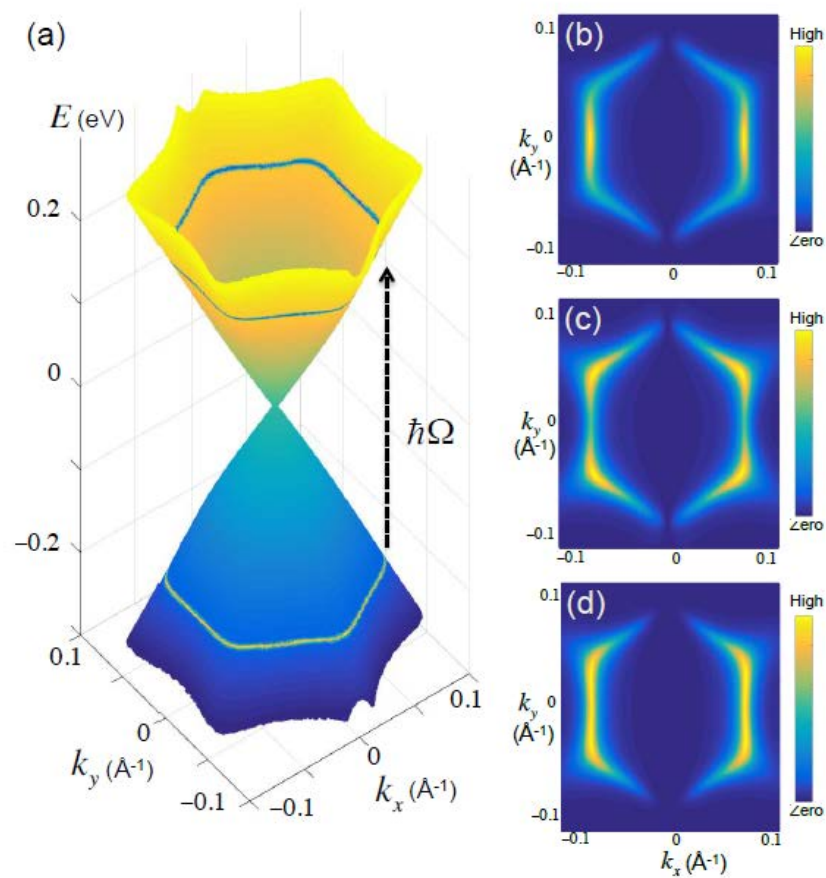
preliminary



Disorder strength

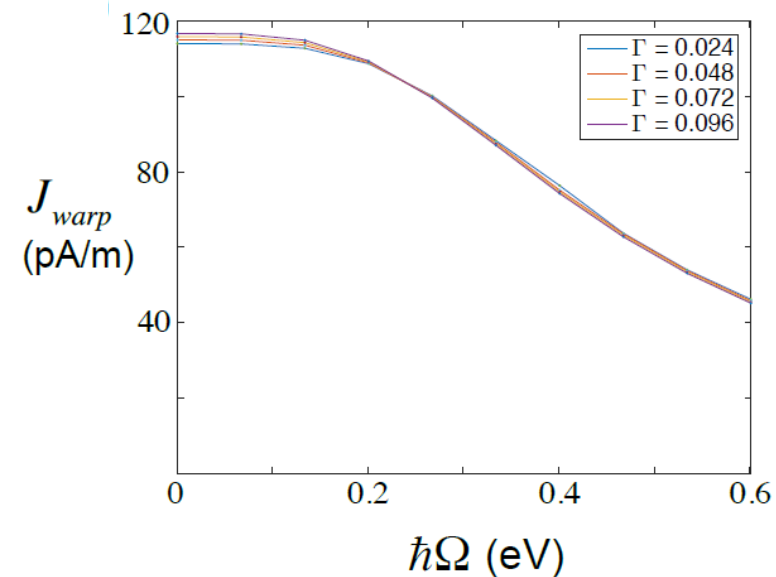
Shift current on the surface of TI

K.W.Kim, T.Morimoto, NN arXiv:1607.03888, PRB 2017



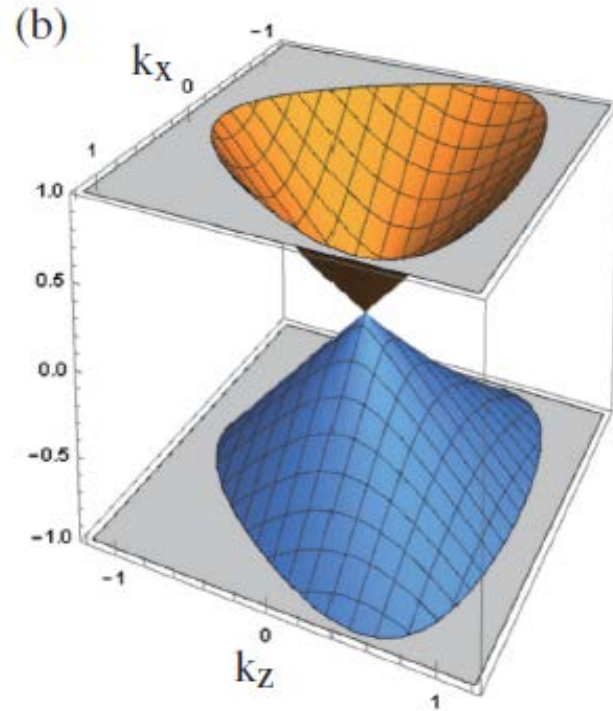
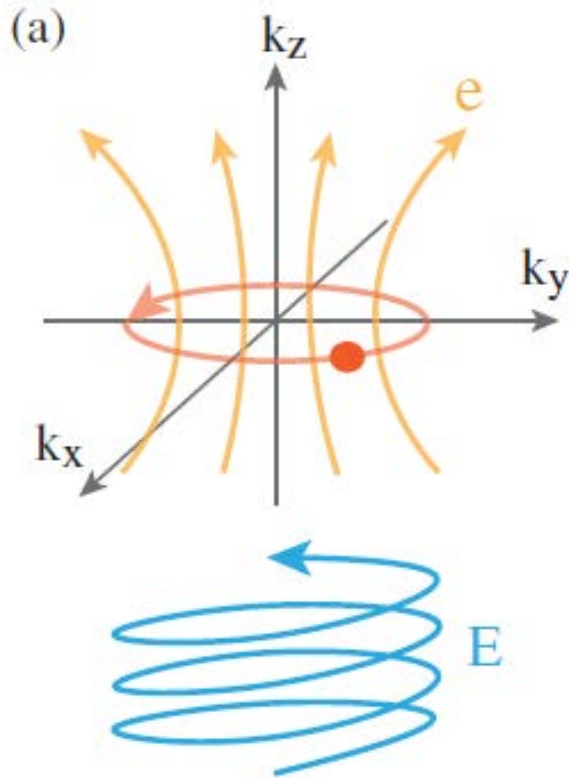
$$J_{warp} = -\frac{3\lambda}{16v_F^2} [E_y^2 \hat{y} - E_x^2 \hat{x} - E_x E_y \hat{x} - E_y E_x \hat{y}]$$

$$= \frac{3\lambda E_0^2}{16v_F^2} [\sin 2\phi \hat{x} + \cos 2\phi \hat{y}]$$



Emergent electric field of 3d Weyl fermion in momentum space

H. Ishizuka et al., PRL 2016



$$H(\vec{k}, t) = \sum_{\nu} \sigma_{\nu} R_{\nu}(\vec{k}, t)$$

$$R_x(\vec{k}) = vk_x + gD_y + \frac{\alpha_2}{2} k_x k_z,$$

$$R_y(\vec{k}) = vk_y - gD_x + \frac{\alpha_2}{2} k_y k_z,$$

$$R_z(\vec{k}) = v_z k_z + \frac{\alpha_1}{2} (k_x^2 + k_y^2 - 2k_z^2),$$

c.f. Moore-Orenstein PRL 2010
Sodeman-Fu PRL 2015

$$\bar{e}_{R,L}^z = \bar{e}_{+R,L}^z = \pm \pi \frac{4(v^2 - 2v_z^2)\alpha_1 - 3vv_z\alpha_2}{30v^5 v_z^3} \times \alpha_1 (\mu g D)^2 \omega \cos(\chi).$$

Theorems

Nonreciprocal dc transport with time-reversal symmetry
in noncentrosymmetric systems:

dc E-field: requires both irreversibility and electron correlation

virtual excitation by interband matrix element of J

ac E-field: the quantum geometry of the multiband structure even
in noninteracting systems

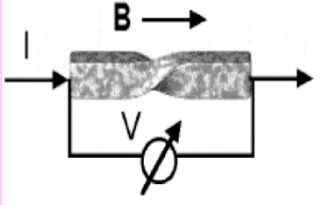
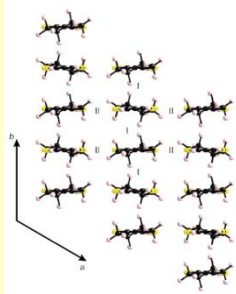
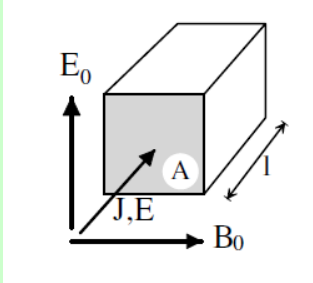
robust against the exciton formation and localization

real excitation by interband matrix element of J

Nonreciprocal Response	Linear Response	Nonlinear Response
Time-reversal Unbroken	Forbidden	Shift current Nonlinear Hall effect pn junction
Time-reversal Broken	Optical ME effect Magnetochiral effect Nonreciprocal magnon	Nonreciprocal nonlinear optical effect Electric magnetochiral effect Inverse Edelstein effect Magnetochiral anisotropy

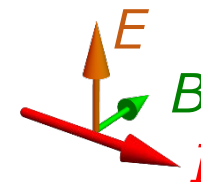
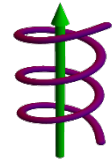
Table 1

Magnetochiral anisotropy - tiny effect

	Bi helices	Organics	Si FET
	 G. L. J. A. Rikken et al. <i>PRL</i> 87, 236602 (2001).	 F. Pop et al. <i>Nat. Commun.</i> 5, 3757 (2014).	 G. L. J. A. Rikken et al. <i>PRL</i> 94, 016601 (2005).
$\gamma (T^{-1}A^{-1})$	10^{-3}	10^{-2}	10^{-1}

$$\gamma \sim 10^4 T^{-1} A^{-1}$$

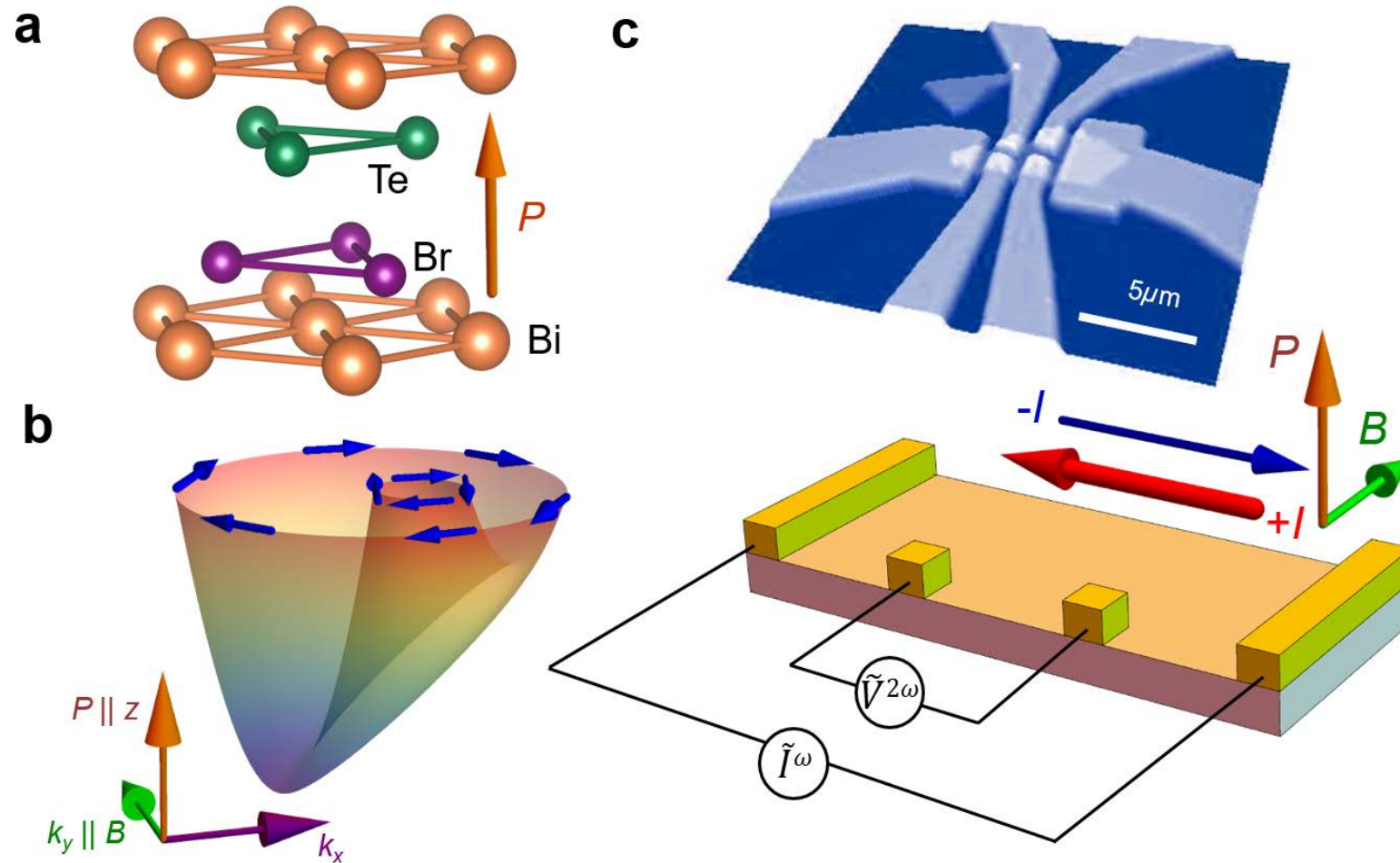
$$R = R_0 (1 + \beta B^2 + \gamma BI)$$



$$\mu_B B, \epsilon_{SOI} \ll \epsilon_F$$

Enhanced magnetochiral anisotropy in BiTeBr

Y. Iwasa *G*, Y. Tokura *G*, N. Nagaoka *G* Nature Phys. 2017



Magnetochiral anisotropy in Rashba model

K. Hamamoto

$$H = \frac{k_z^2}{2m_{\parallel}} + \frac{k_x^2 + k_y^2}{2m_{\perp}} + \lambda(k_x\sigma_y - k_y\sigma_x) - B_y\sigma_y$$

Boltzmann equation

$$-e\mathbf{E} \cdot \frac{\partial f}{\partial \mathbf{k}} = -\frac{1}{\tau}(f - f_0)$$

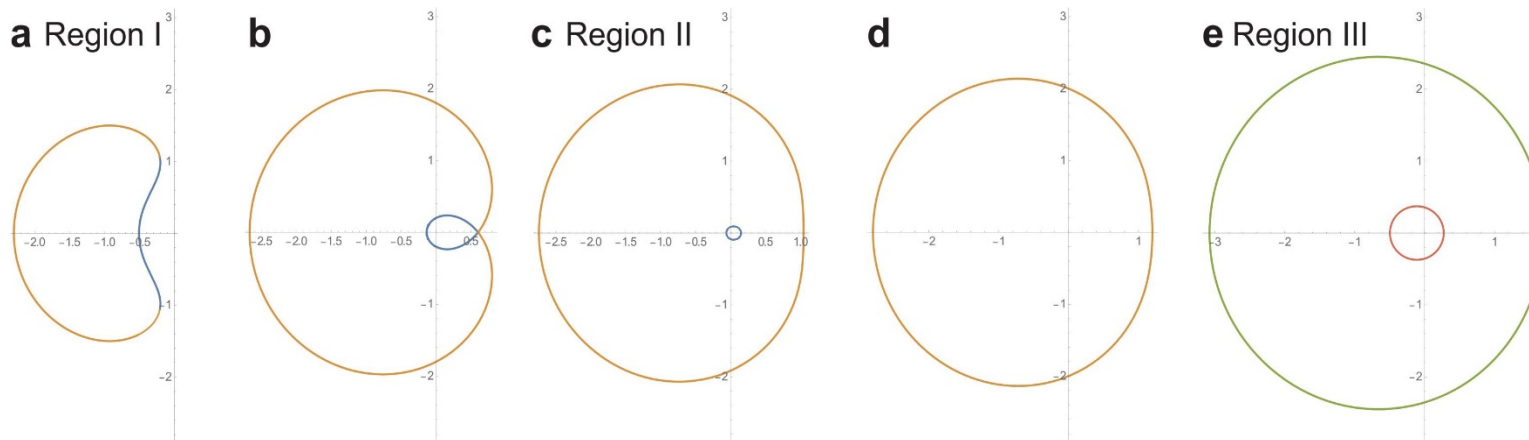
Expand in E

$$f = f_0 + f_1 + f_2 + \dots,$$

$$J_x = J_x^{(1)} + J_x^{(2)} = \sigma_1 E_x + \sigma_2 E_x^2$$

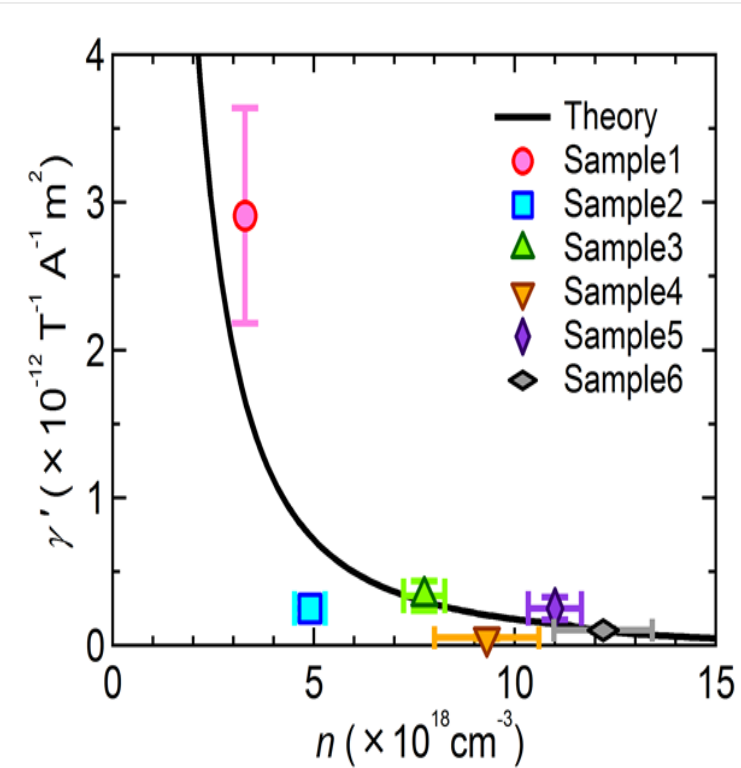
γ is independent of τ in the single relaxation time approx. like Hall coefficient

γ diverges as $n \rightarrow 0$ increasing Fermi energy γ is zero when two FSs coexist



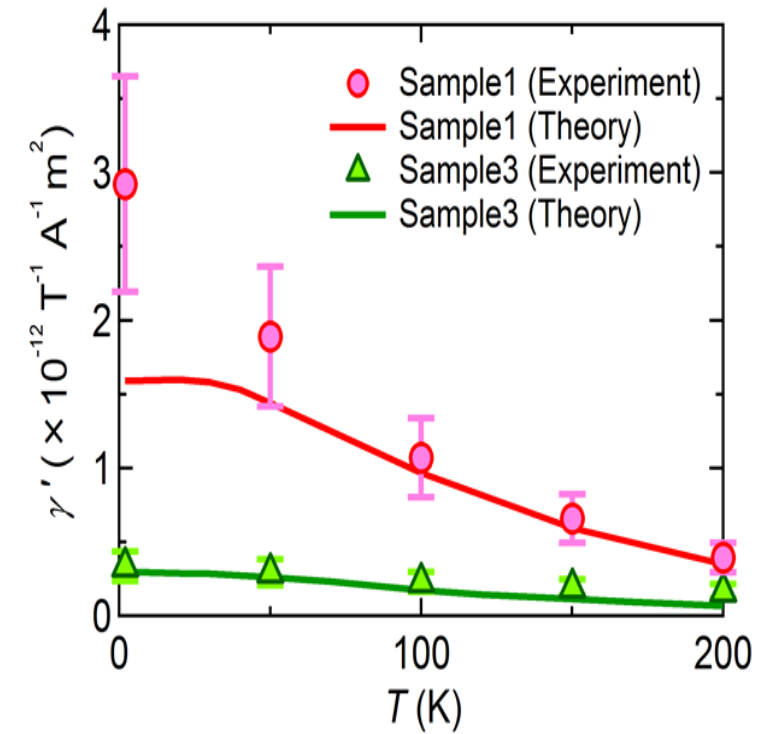
No fitting parameters !

a



$$\gamma' = \gamma A$$

b

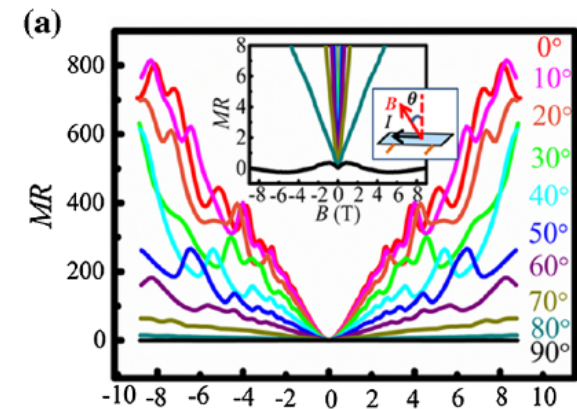
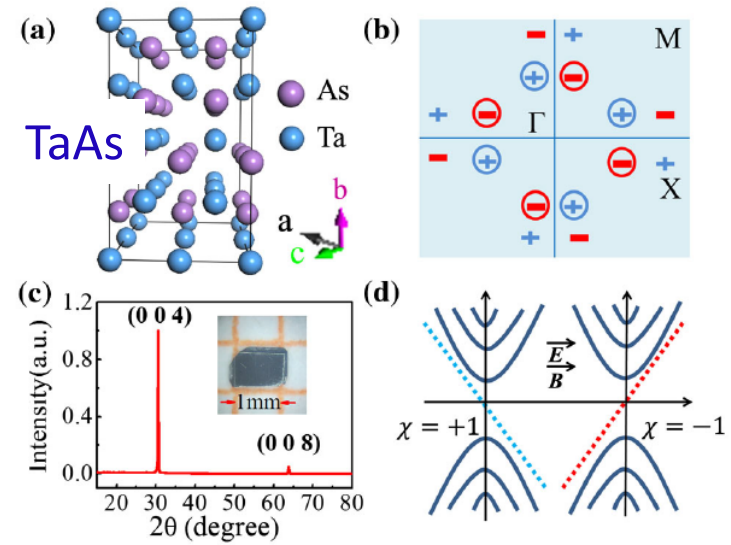
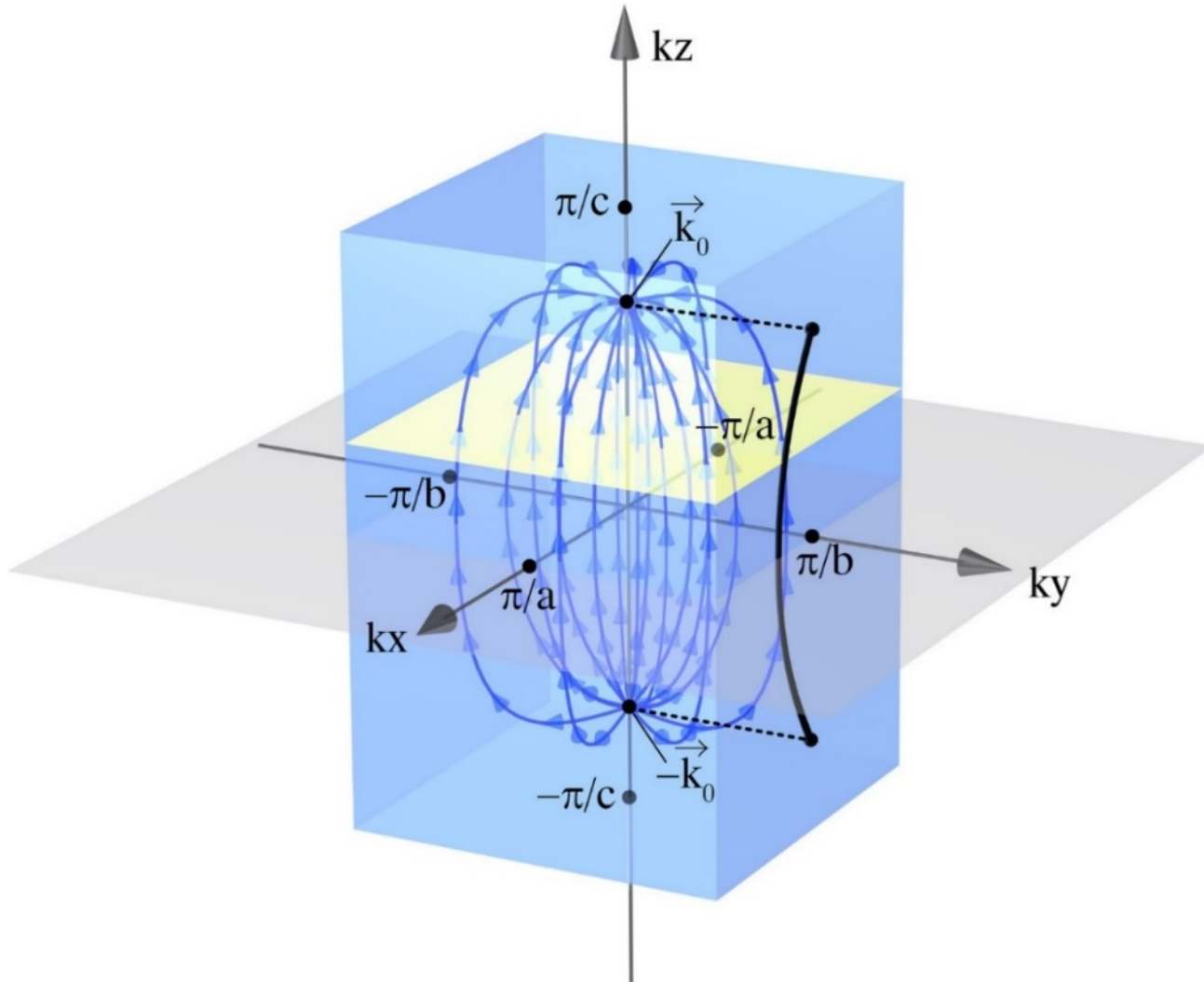


A cross section of the sample

$$\gamma \sim 1T^{-1}A^{-1}$$

Weyl semimetals

X. Huang et al., PRX 2015



negative magnetoresistance
due to chiral anomaly

Chiral anomaly in Weyl semimetals

X. Huang et al., PRX 2015

$$\frac{dQ^5}{dt} = \frac{2\nu}{(2\pi)^2} \frac{e^2}{\hbar^2} \mathbf{E} \cdot \mathbf{B}$$

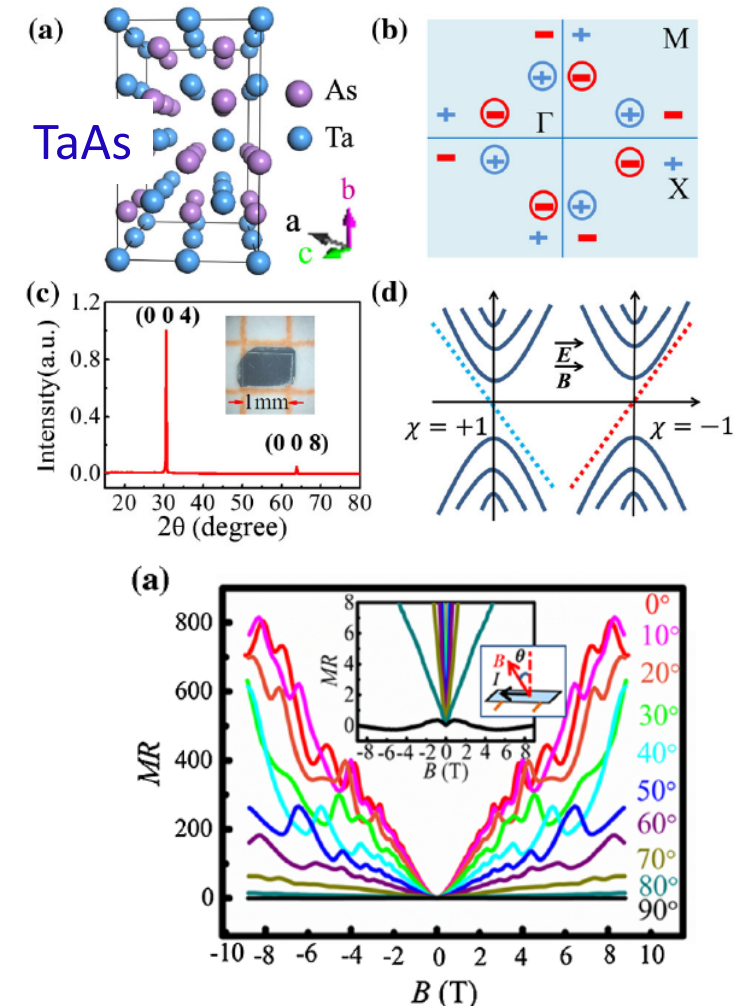
$$Q^5 = \frac{\nu e^2 \tau}{4\pi^2 \hbar^2} \mathbf{E} \cdot \mathbf{B}$$

$$\mathbf{J} = -(e^2/h^2)\mu^5 \mathbf{B}$$

μ_5 chemical potential difference
in non-equilibrium steady state

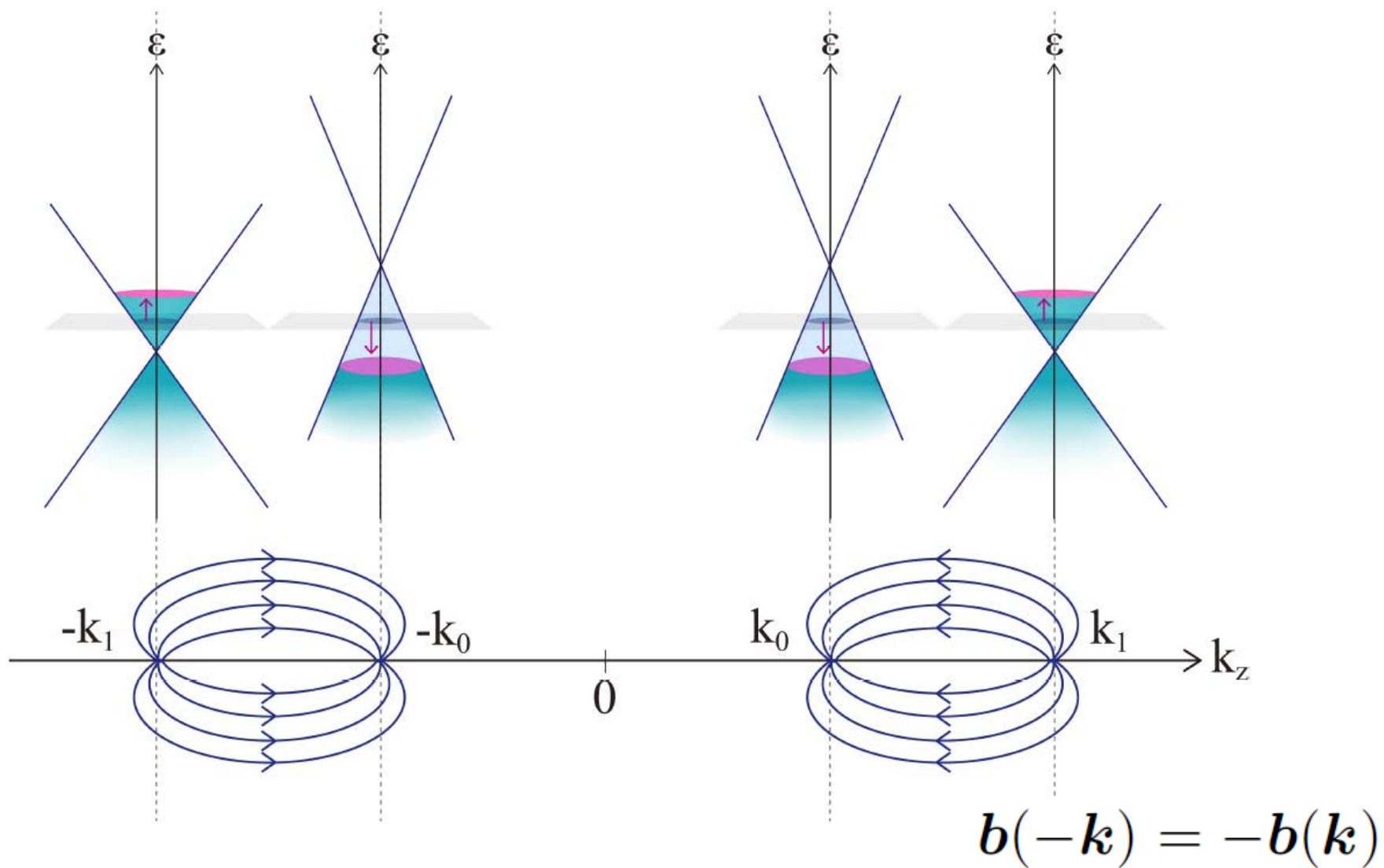
$$\Delta J \propto \tau(\mathbf{B} \cdot \mathbf{E})B$$

K. Fukushima, D. Kharzeev



negative magnetoresistance
due to chiral anomaly

Weyl fermions in noncentrosymmetric semimetals



Magnetochiral anisotropy in noncentrosymmetric Weyl semimetals

T. Morimoto, NN PRL2016

$$\Delta\sigma_{\pm} = \frac{1}{3}e^2v_{\pm}^2\tau\frac{dD_{\pm}(\epsilon)}{d\epsilon}\Delta\epsilon_{\pm}$$

$$\Delta\sigma_{\pm} = \pm\nu\frac{e^4}{6\pi^2\hbar^2}\frac{v_{\pm}^2\tau^2}{\epsilon_{\pm}}\mathbf{E}\cdot\mathbf{B}$$

$$\rho = \rho_0(1 + \gamma\mathbf{I}\cdot\mathbf{B})$$

$$\gamma' = -\frac{2\delta\sigma/(\mathbf{E}\cdot\mathbf{B})}{\sigma^2}$$

$$\gamma' = \gamma A$$

$$= -\frac{12\pi^2\hbar^4}{\nu}\left(\frac{v_+^2}{\epsilon_+} - \frac{v_-^2}{\epsilon_-}\right)\left(\frac{\epsilon_+^2}{v_+} + \frac{\epsilon_-^2}{v_-}\right)^{-2}$$

$$v \sim 4 \times 10^5 \text{ m/sec} \quad |\epsilon_{\pm}| \sim 10 \text{ meV} \quad \Rightarrow \quad \gamma' \simeq 3 \times 10^{-8} \times \text{m}^2 \text{T}^{-1} \text{A}^{-1}$$

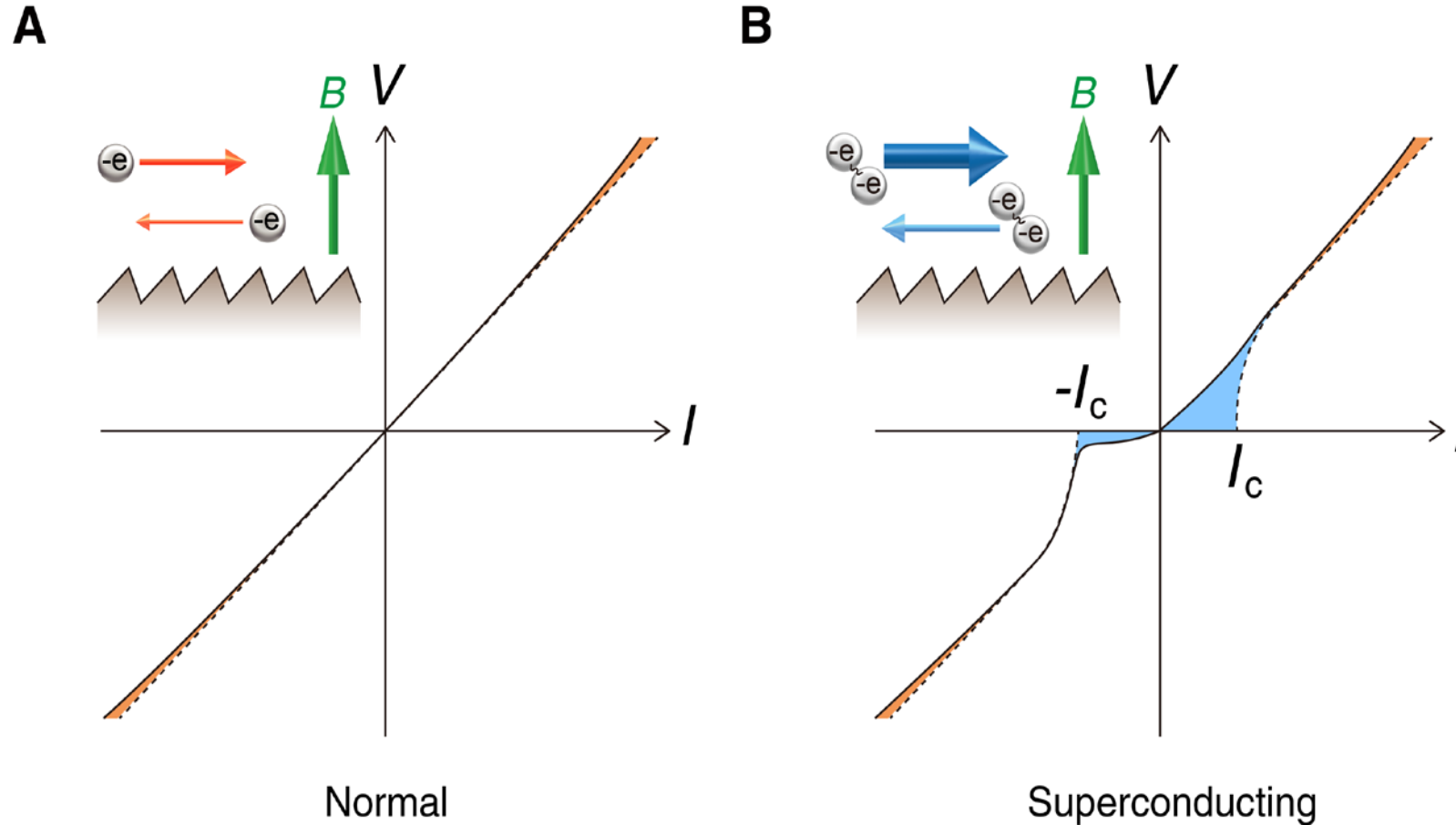
$$\gamma \sim 10 \text{T}^{-1} \text{A}^{-1}$$

TaAs

$$\gamma' \mathbf{J} \cdot \mathbf{B} \simeq 3 \times 10^{-4} \times (J/(1 \text{ A/cm}^2))(B/1 \text{ T})$$

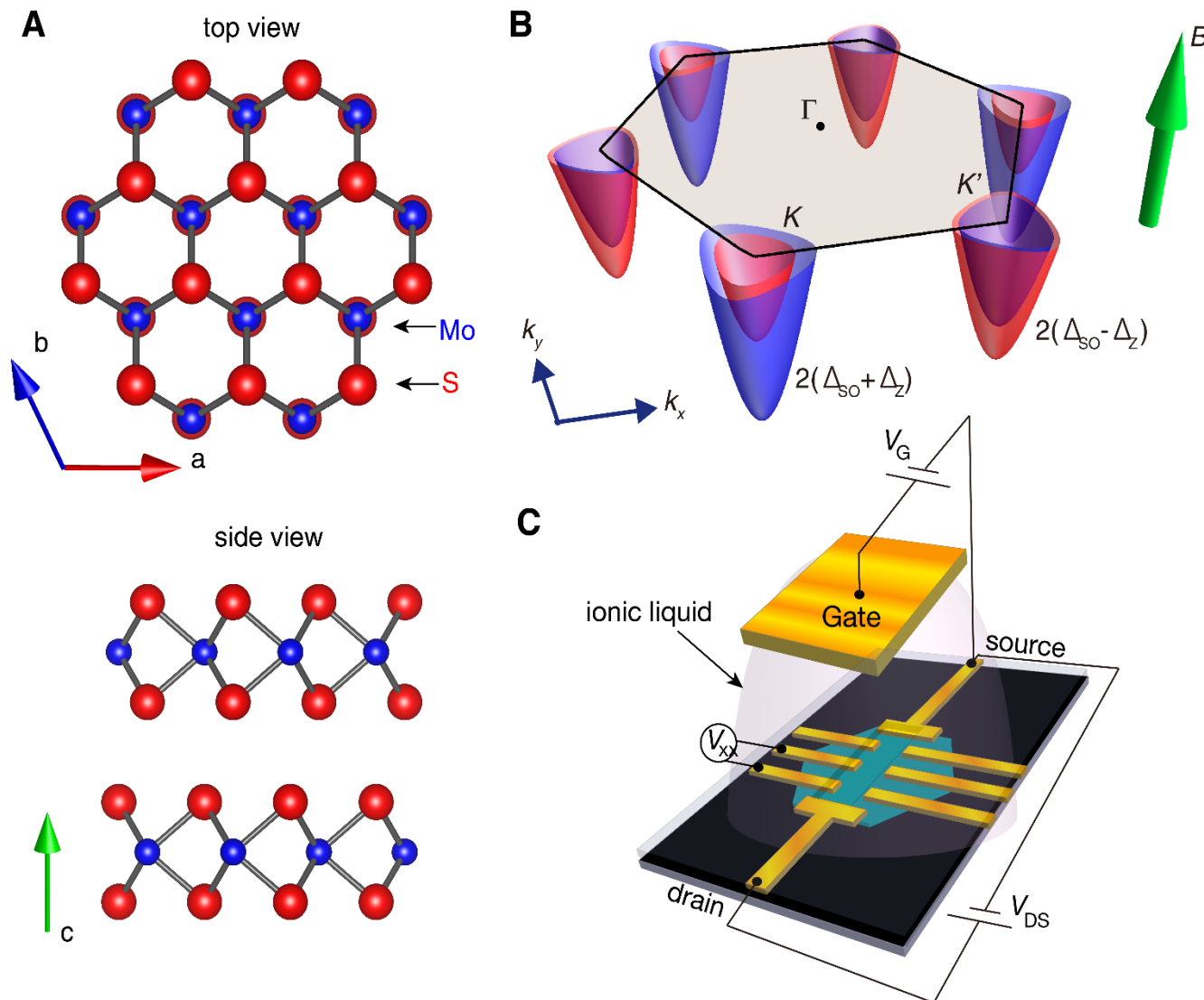
Giant enhancement of non-reciprocal response in superconductor

Wakatsuki, Saito et al. Science Adv. 2017



$$\Delta_{SC} \sim \mu_B B, \quad \varepsilon_{SOI} \ll \varepsilon_F$$

Band structure and spin splitting in MoS₂



Paraconductivity due to SC fluctuation in noncentrosymmetric MoS2

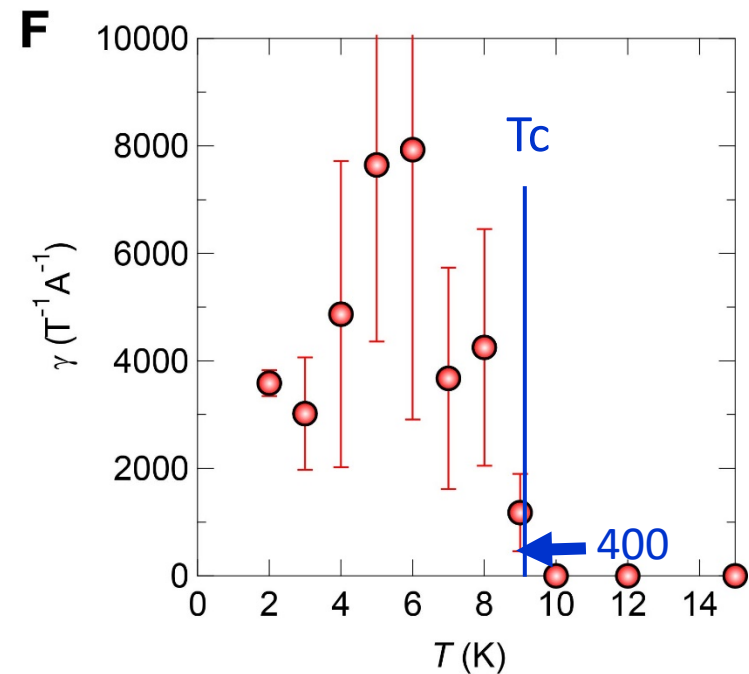
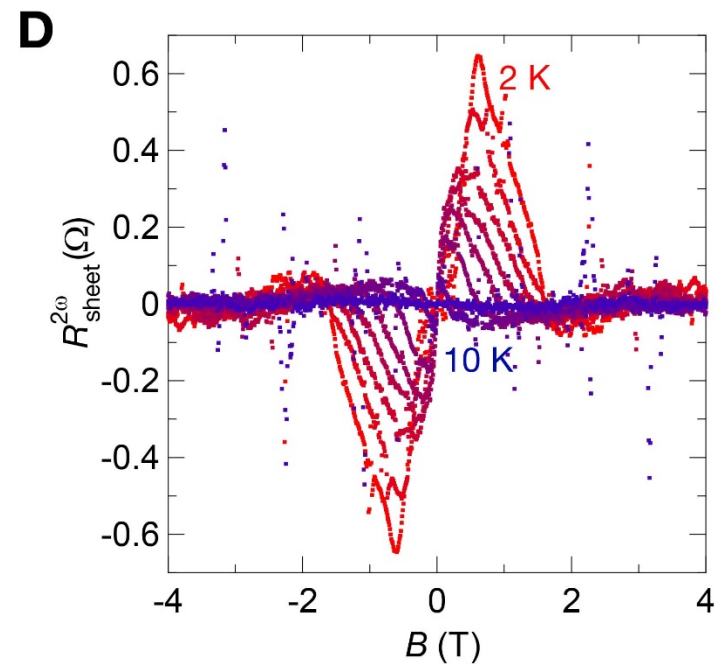
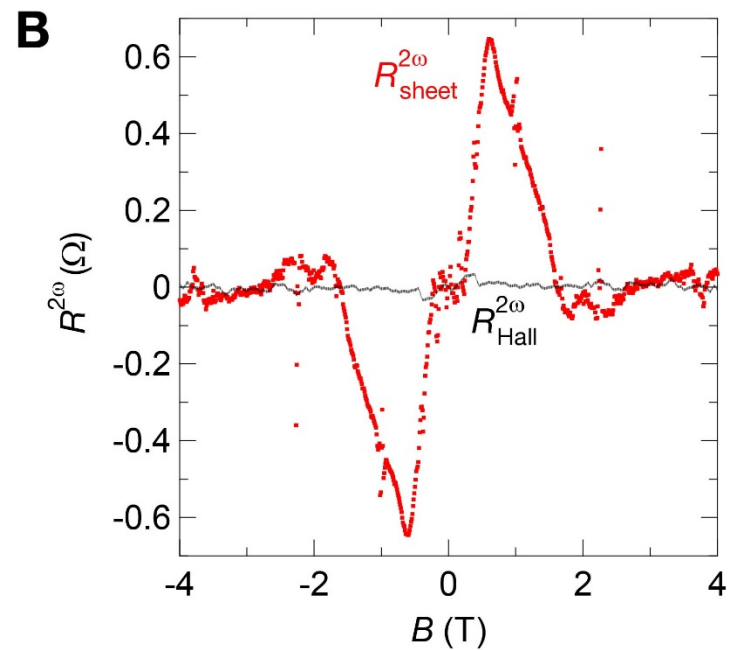
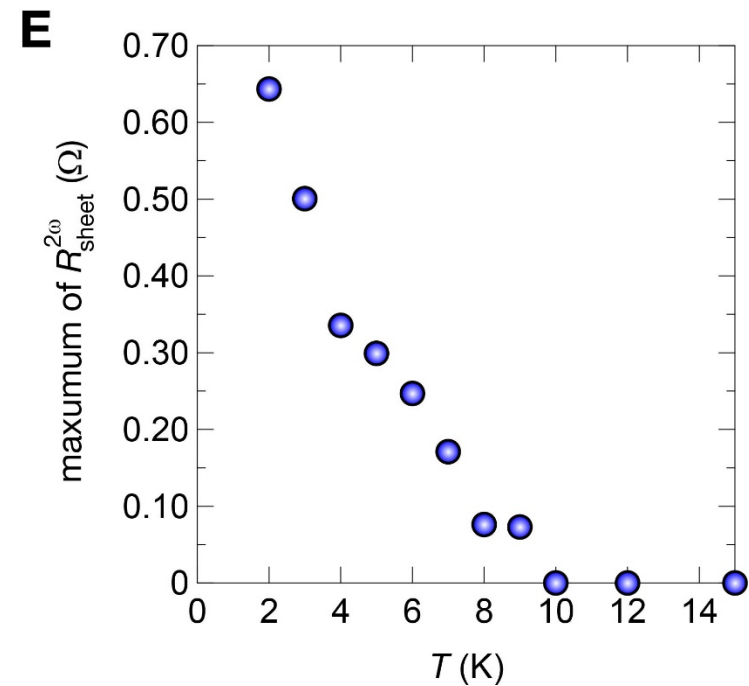
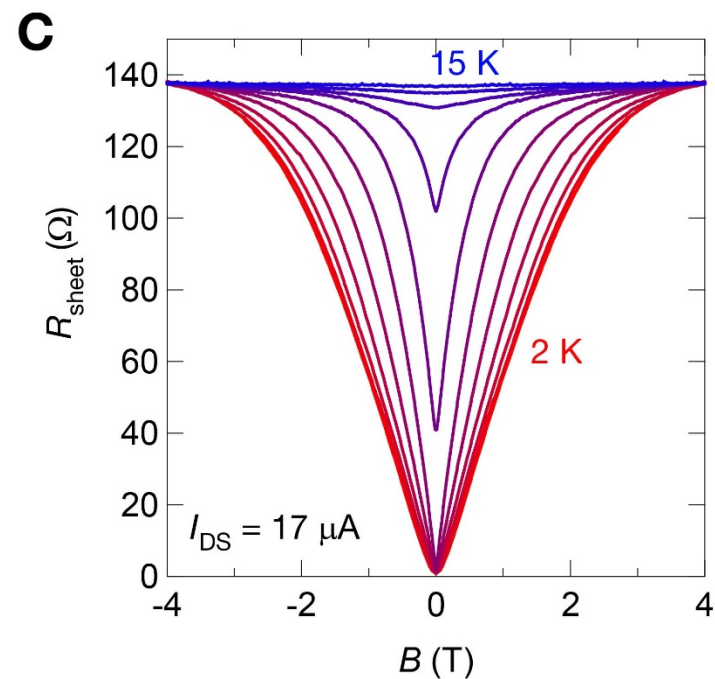
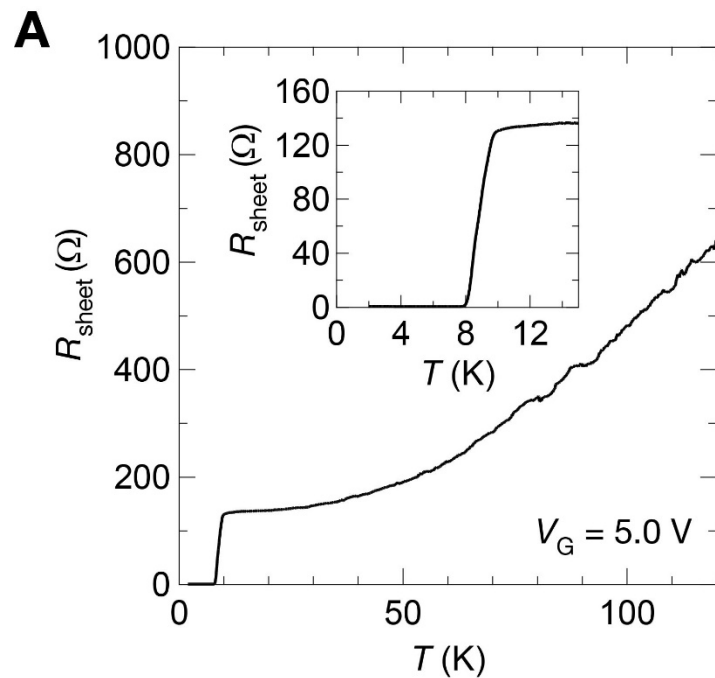
$$H_{k\sigma\tau} = \frac{\hbar^2 k^2}{2m} + \tau_z \lambda k_x (k_x^2 - 3k_y^2) - \Delta_z \sigma_z - \Delta_{SO} \sigma_z \tau_z,$$

$$F = \int d\mathbf{r} \Psi^* \left[a + \frac{\mathbf{p}^2}{4m} + \frac{\Lambda B}{\hbar^3} (p_x^3 - 3p_x p_y^2) \right] \Psi + \frac{b}{2} \int d\mathbf{r} |\Psi(\mathbf{r})|^4,$$

$$\Lambda = \frac{93\zeta(5)}{28\zeta(3)} \frac{g\mu_B \Delta_{SO} \lambda}{(\pi k_B T_c)^2}$$

$$\mathbf{j} = \frac{e^2}{16\hbar} \epsilon^{-1} \mathbf{E} - \frac{\pi e^3 m \Lambda B}{64 \hbar^3 k_B T_c} \epsilon^{-2} \mathbf{F}(\mathbf{E}) \quad \longrightarrow \quad \frac{\gamma_S}{\gamma_N} \sim \left(\frac{\epsilon_F}{k_B T_c} \right)^3$$

$$\epsilon = \frac{T - T_c}{T_c} \quad \mathbf{F}(\mathbf{E}) = (E_x^2 - E_y^2, -2E_x E_y)$$



Rashba superconductor

$$H = \sum_k \psi_k^\dagger (\xi_k + \lambda \vec{k}_{2D} \cdot \vec{\sigma}) \psi_k + \Delta_s \psi_k^\dagger i \sigma_y \psi_{-k}^\dagger + \Delta_p \psi_k^\dagger i (\vec{d}(\vec{k}) \cdot \vec{\sigma}) \sigma_y \psi_{-k}^\dagger + h.c.$$

Chiral base $\psi_{k\uparrow} = \frac{1}{\sqrt{2}}(c_{k+} + e^{-i\theta_k} c_{k-}), \quad \psi_{k\downarrow} = \frac{1}{\sqrt{2}}(e^{i\theta_k} c_{k+} - c_{k-})$

$$H = \sum_k (\xi_k \pm \lambda |\vec{k}|) c_{k\pm}^\dagger c_{k\pm} + (-\Delta_s + \Delta_p) e^{-i\theta_k} c_{k+}^\dagger c_{-k+}^\dagger + (\Delta_s + \Delta_p) e^{i\theta_k} c_{k-}^\dagger c_{-k-}^\dagger + h.c.$$

+ and - bands are p+ip superconductor

Frigeri et al. 2004

Fu-Kane, 2008

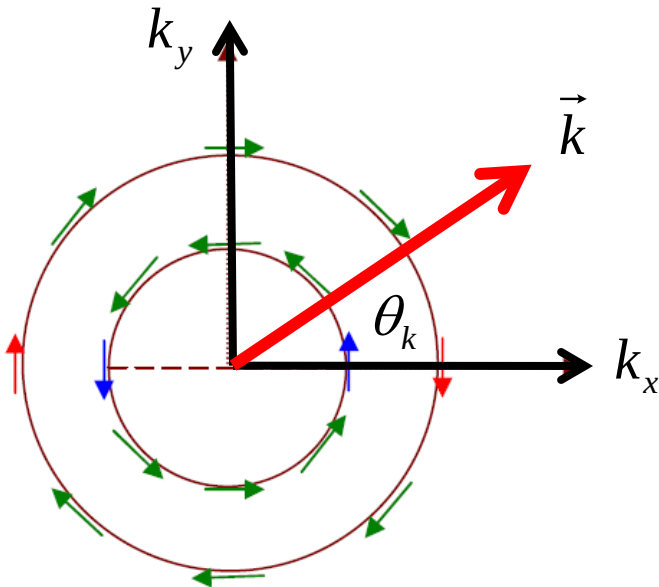
Proximity effect of 3D topological insulator and s-wave SC

Z2 classification of DIII in 2D

$|\Delta_s| > |\Delta_p|$ Non-topological

$|\Delta_s| < |\Delta_p|$ Topological $\rightarrow$ helical Majorana

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Summary

- Non-linear and non-reciprocal responses in nontrosymmetric systems contain rich physics
- Time-reversal symmetry plays an important role
- Nonreciprocal response without T-breaking is related to "interband current" and "quantum geometry"
 - Electron correlation gives the dc nonreciprocal response
 - Shift current in photovoltaic effect from Berry phase
- Enhancement of Magnetochiral anisotropy
 - Polar Rashba semiconductor
 - Weyl semimetals due to chiral anomaly
 - Bosonic transport due to Cooper pairing