

Swampland Conjectures

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PPP 2019, Aug/2/2019, YITP Kyoto

Swampland : recently very popular

1. Distance and de Sitter Conjectures on the Swampland

Hiroshi Ooguri (Caltech & Tokyo U., IPMU), Eran Palti (Munich, Max Planck Inst.), Gary Shiu (Wisconsin U., Madison), Cumrun Vafa (Harvard U., Phys. Dept.). Oct 12, 2018. 5 pp.

Published in **Phys.Lett. B788 (2019) 180-184**

DOI: [10.1016/j.physletb.2018.11.018](https://doi.org/10.1016/j.physletb.2018.11.018)

e-Print: [arXiv:1810.05506](https://arxiv.org/abs/1810.05506) [hep-th] | [PDF](#)

[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)

[ADS Abstract Service](#); [Link to Article from SCOAP3](#)

[Detailed record](#) - [Cited by 138 records](#) 100+

2. De Sitter Space and the Swampland

Georges Obied (Harvard U., Phys. Dept.), Hiroshi Ooguri (Caltech & Tokyo U., IPMU), Lev Spodyneiko (Caltech), Cumrun Vafa (Harvard U., Phys. Dept.). Jun 21, 2018. 21 pp.

CALT-TH-2018-020, IPMU18-0100

e-Print: [arXiv:1806.08362](https://arxiv.org/abs/1806.08362) [hep-th] | [PDF](#)

[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)

[ADS Abstract Service](#)

[Detailed record](#) - [Cited by 245 records](#) 100+

But not just fashion!

Fundamental questions / ideas
on QG

But not just fashion!

Fundamental questions / ideas

on QG

... and our Universe

myself:

phenomenological constraints / implications

on swampland conjectures

"Pheno / String Collaboration"

村山 - 柳田 - MY 1809.00478

福田 - 斉藤 - 白井 - MY 1810.06532

伊部 - 柳田 - MY 1811.04664

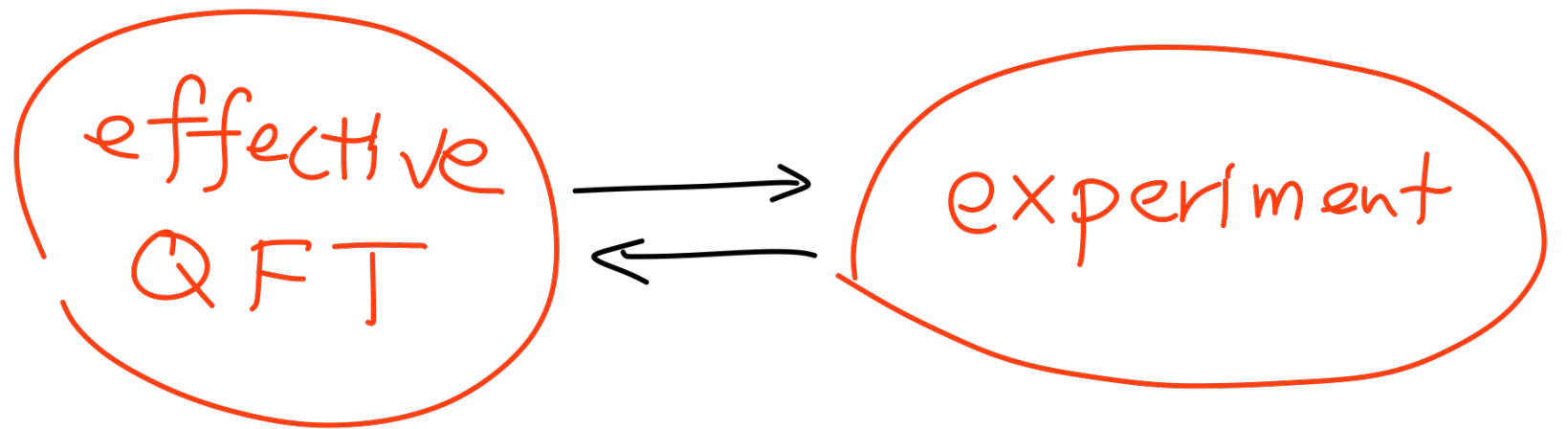
MY 1904.053576 ← Moriond proceeding

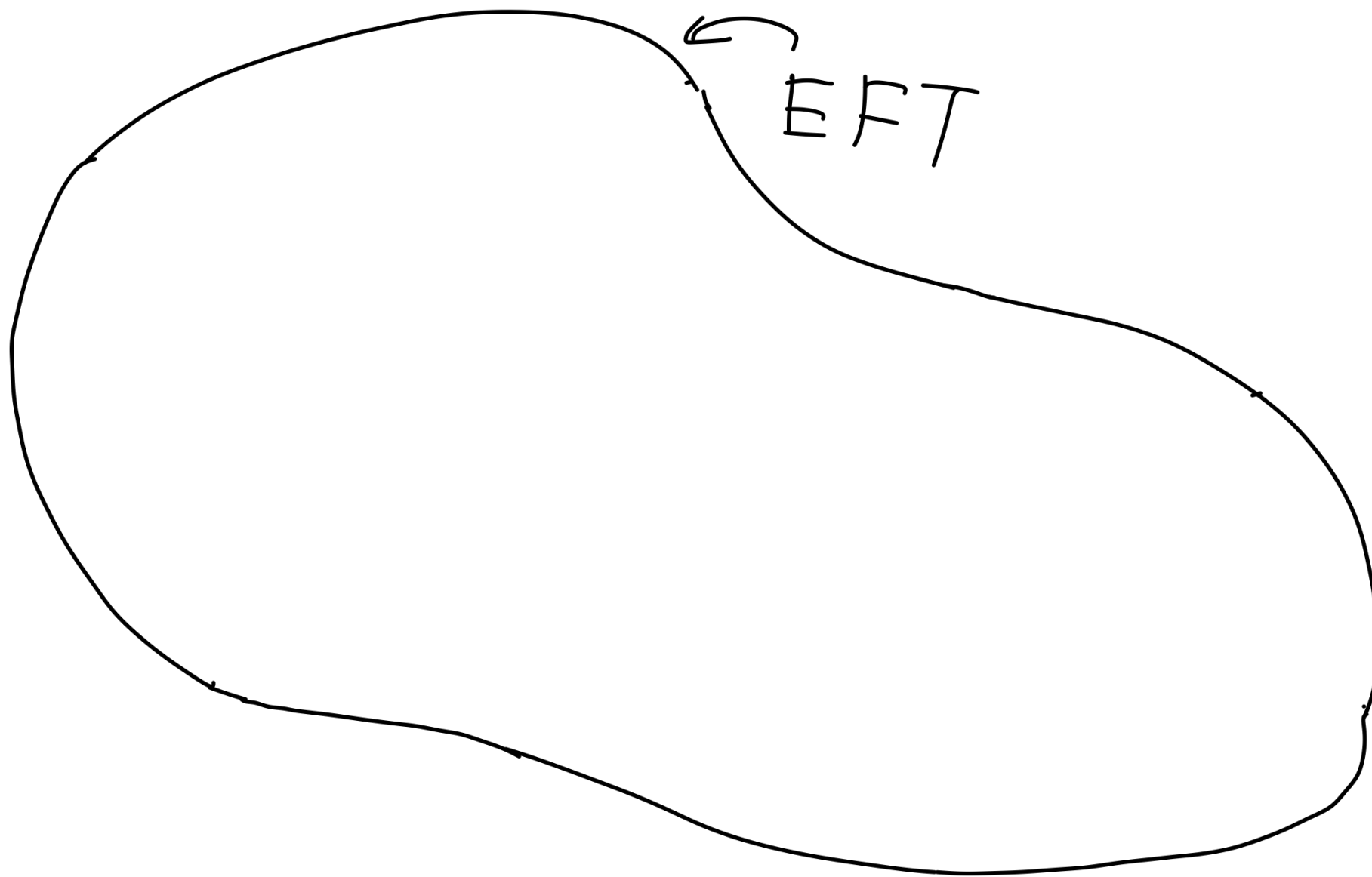
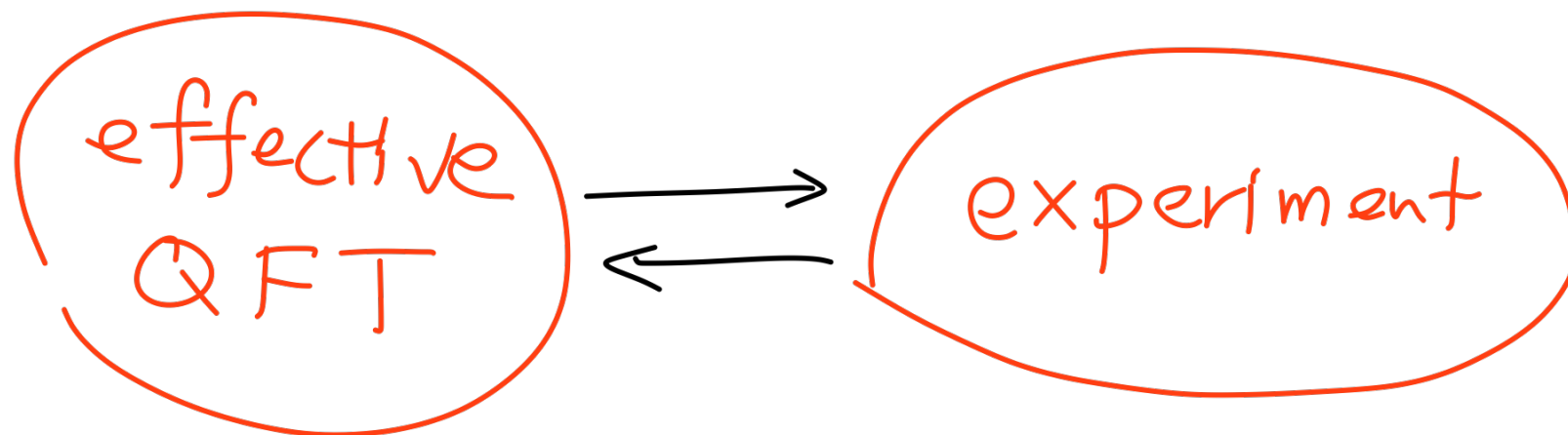
白井 - MY 1904.10577

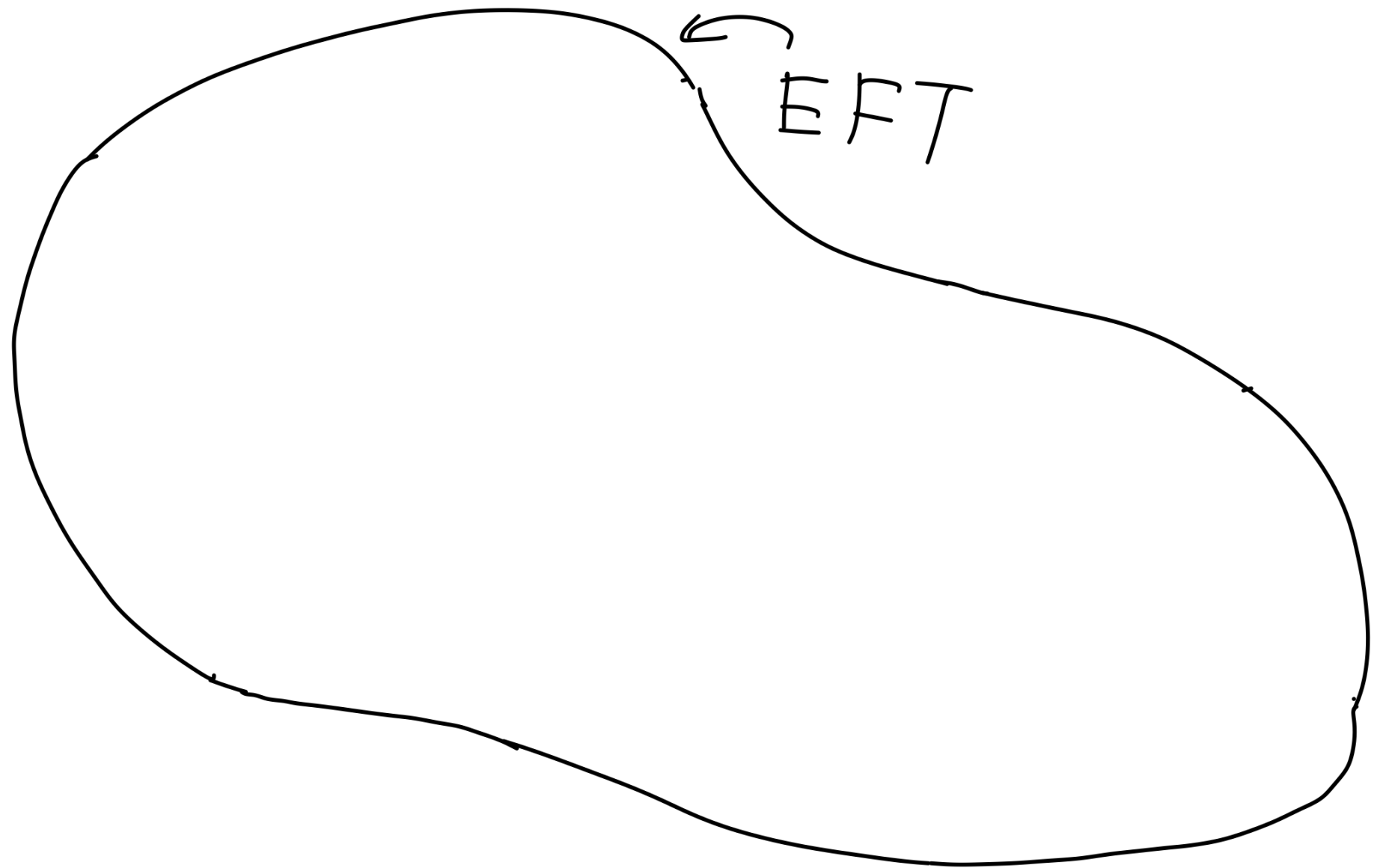
4.5 pages + ref.

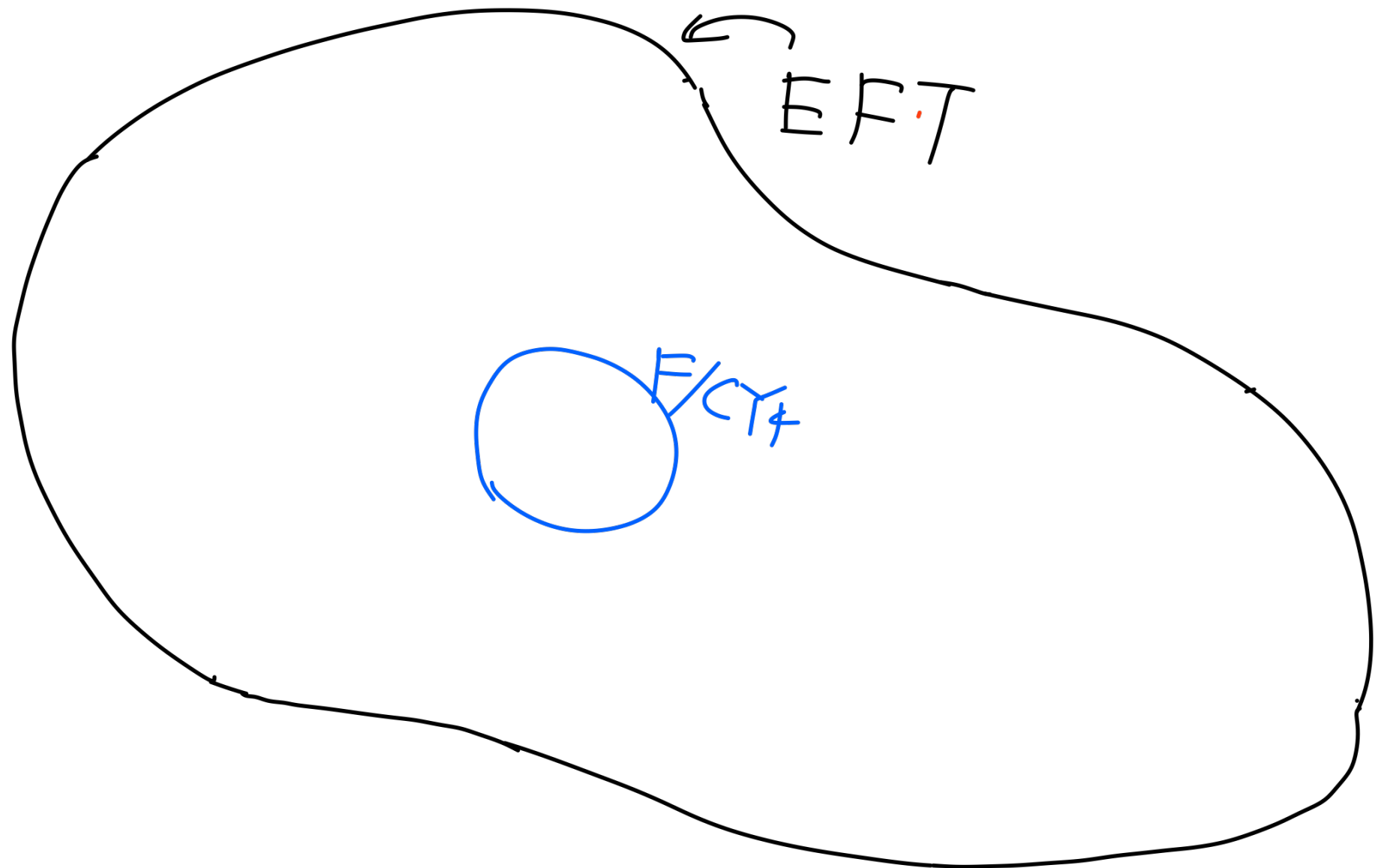
Why Swampland?

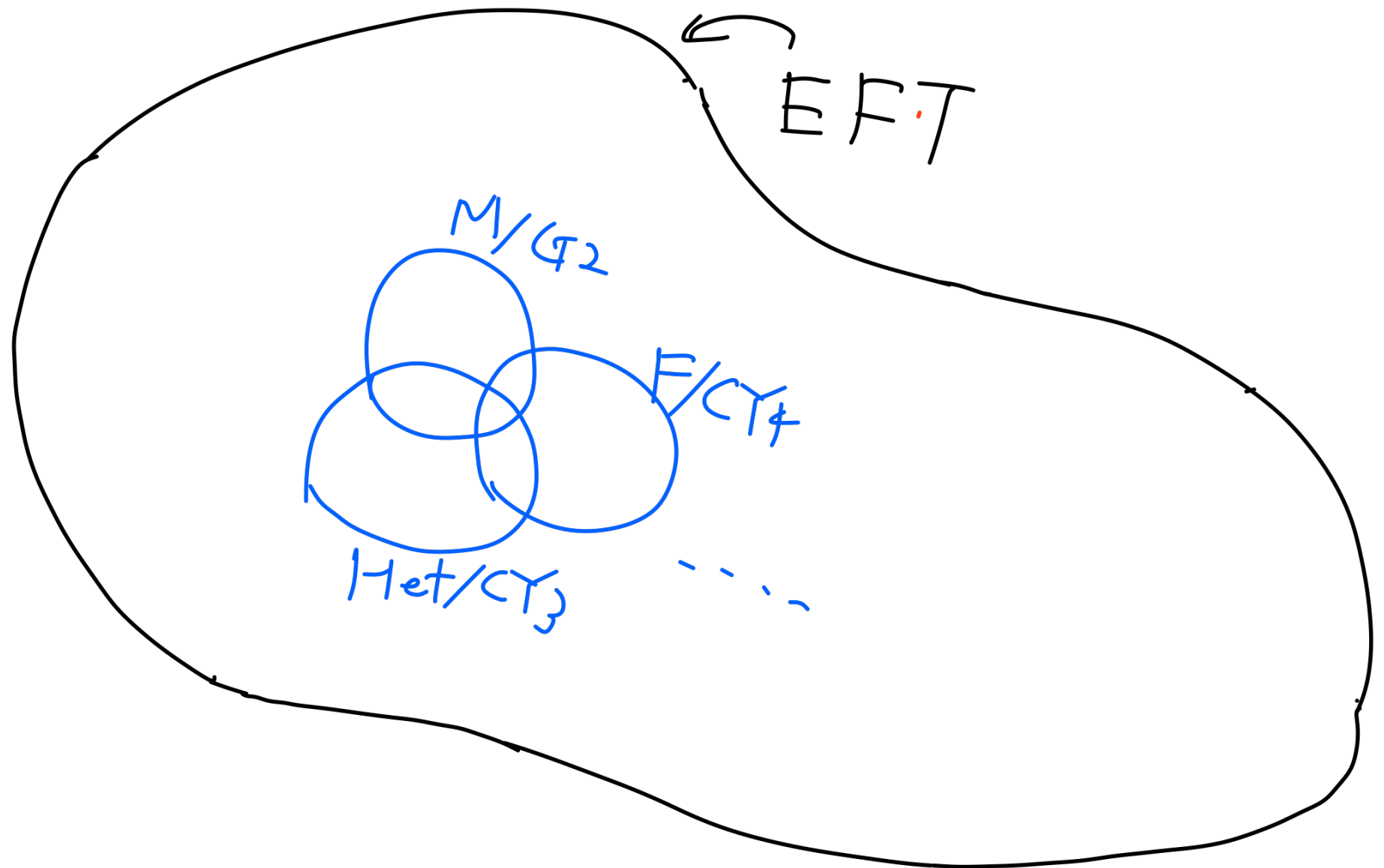
experiment

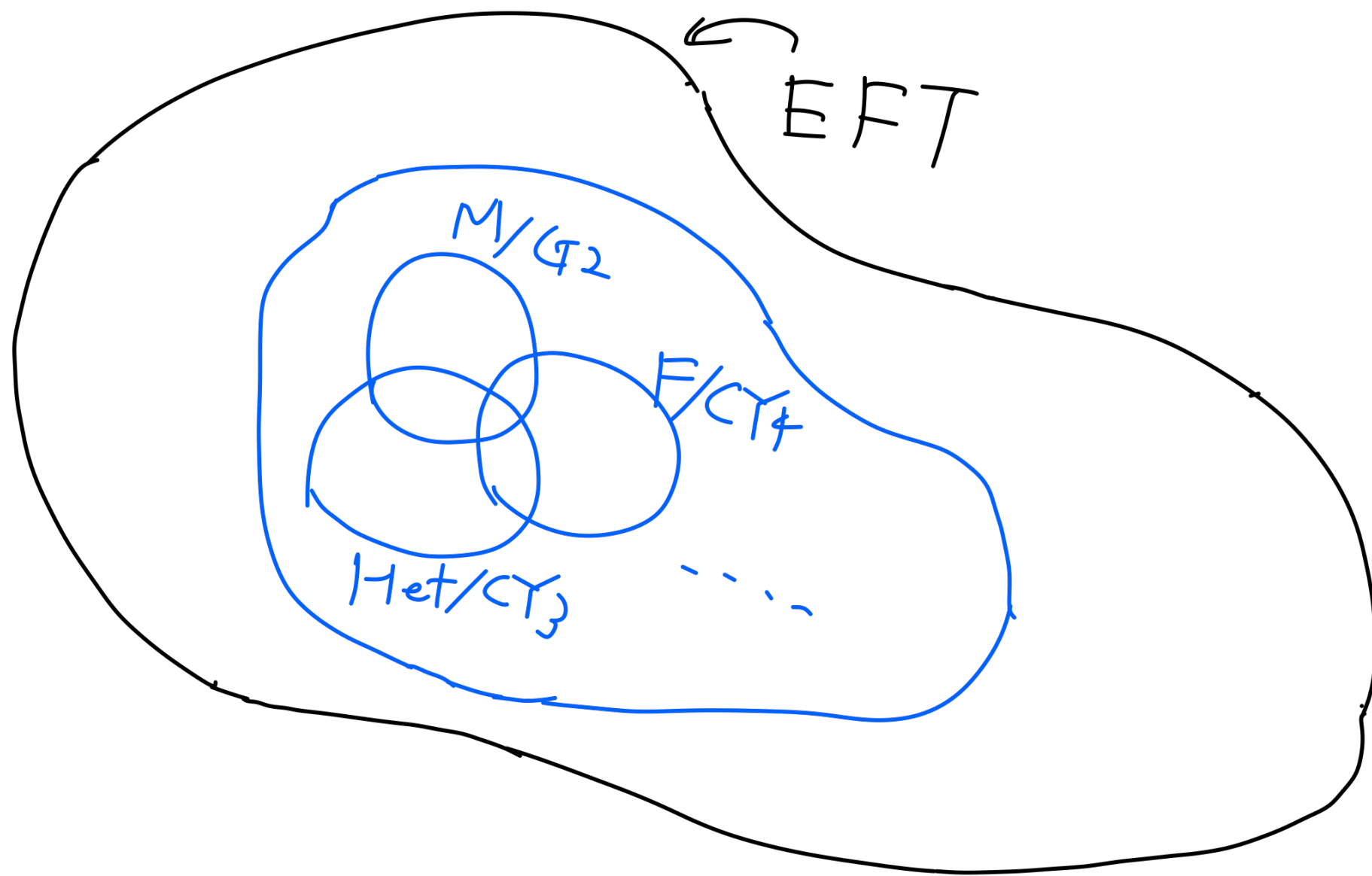
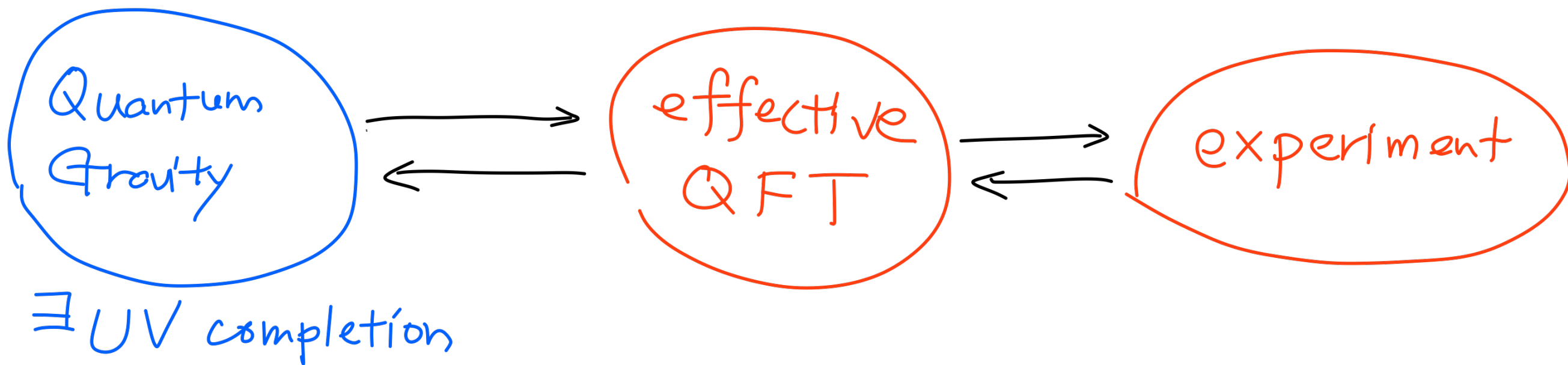






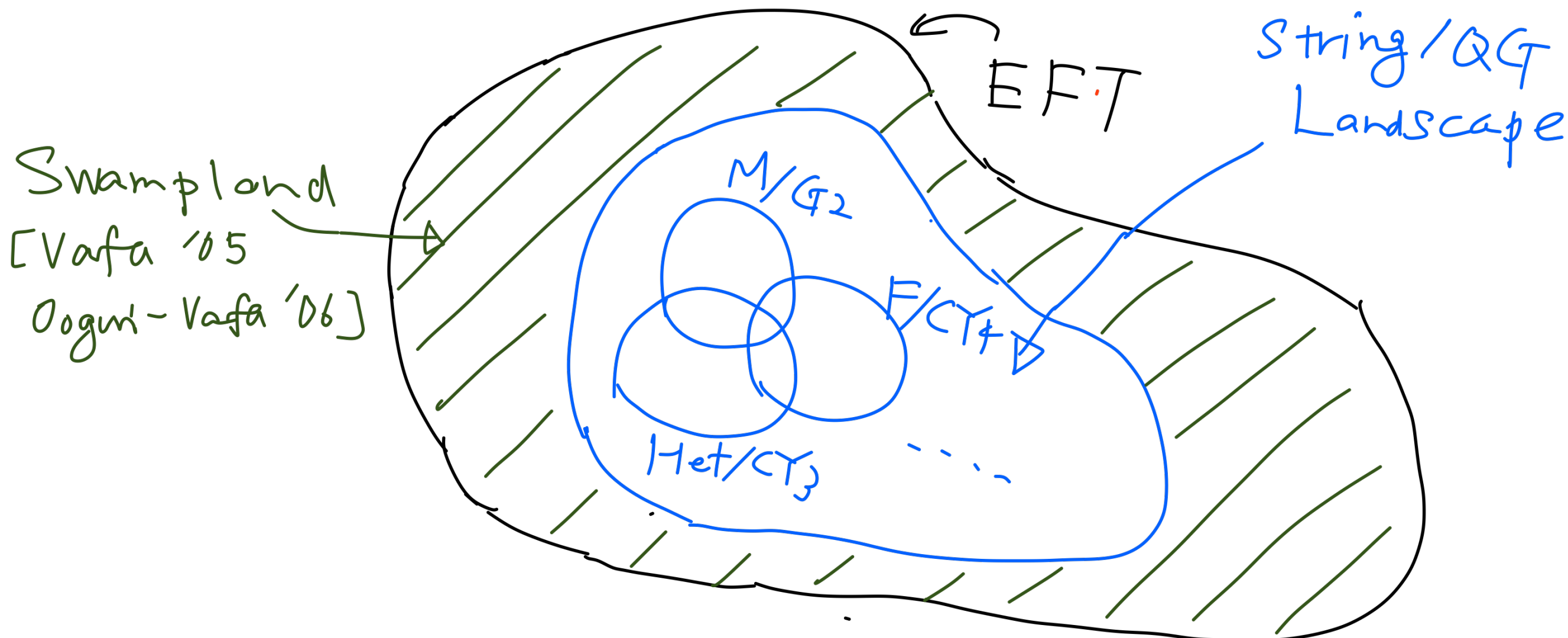








\exists UV completion

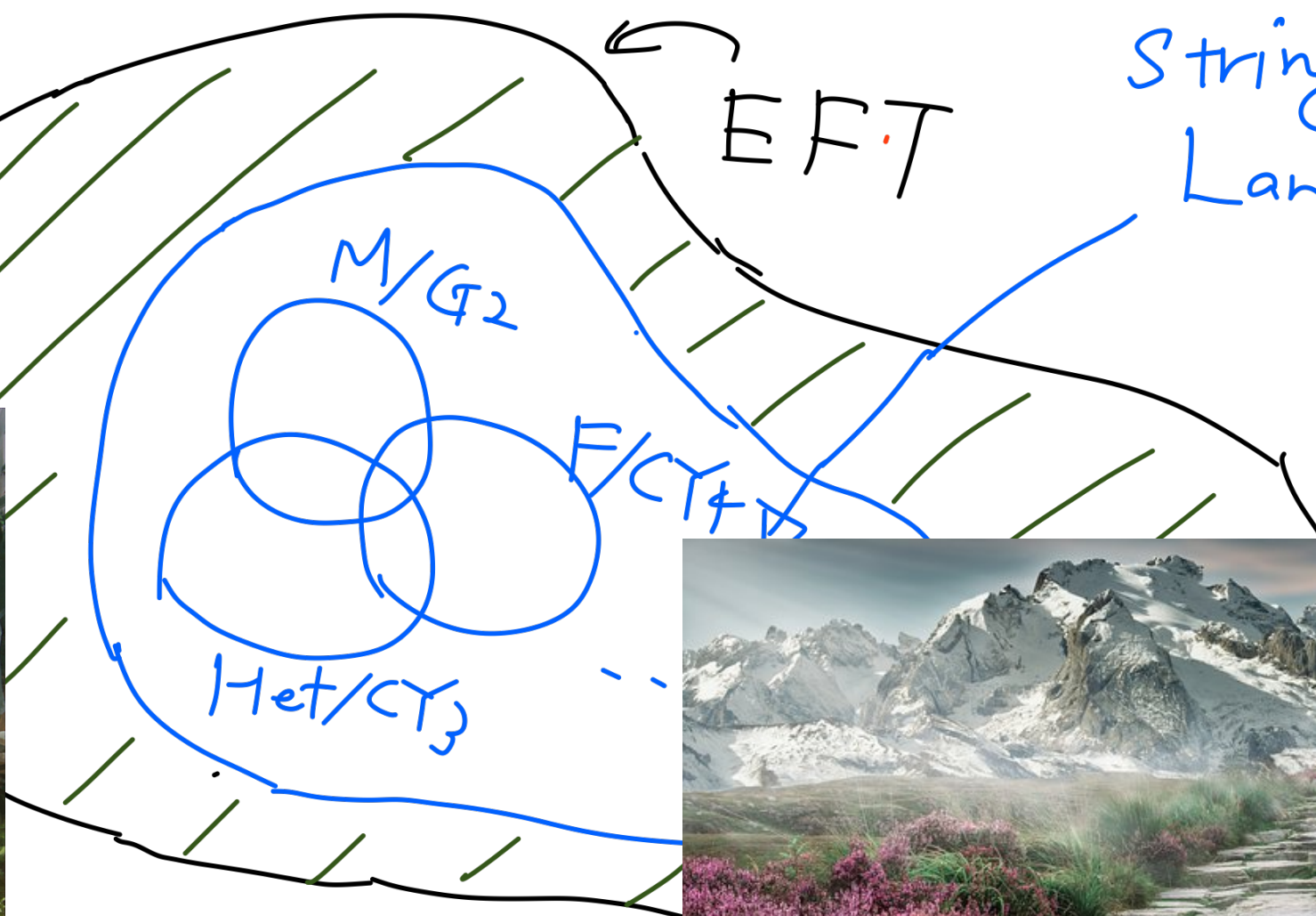
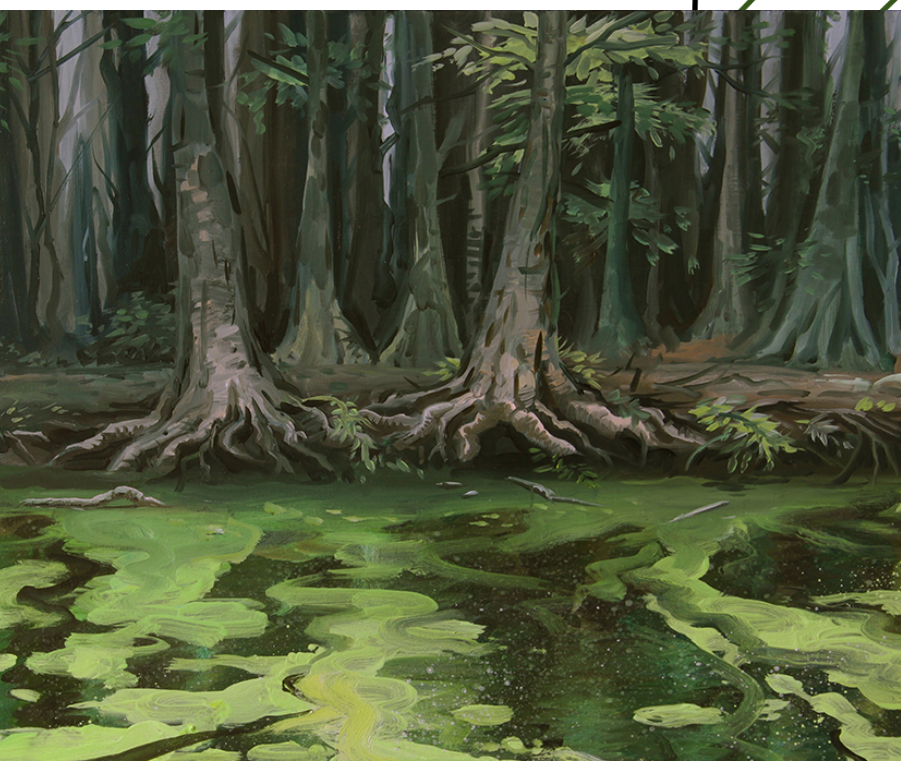




\exists UV completion

[Vafa '05
 Ooguri-Vafa '06]
 Swampland

String/QG
 Landscape



To recap:

given a low-energy EFT

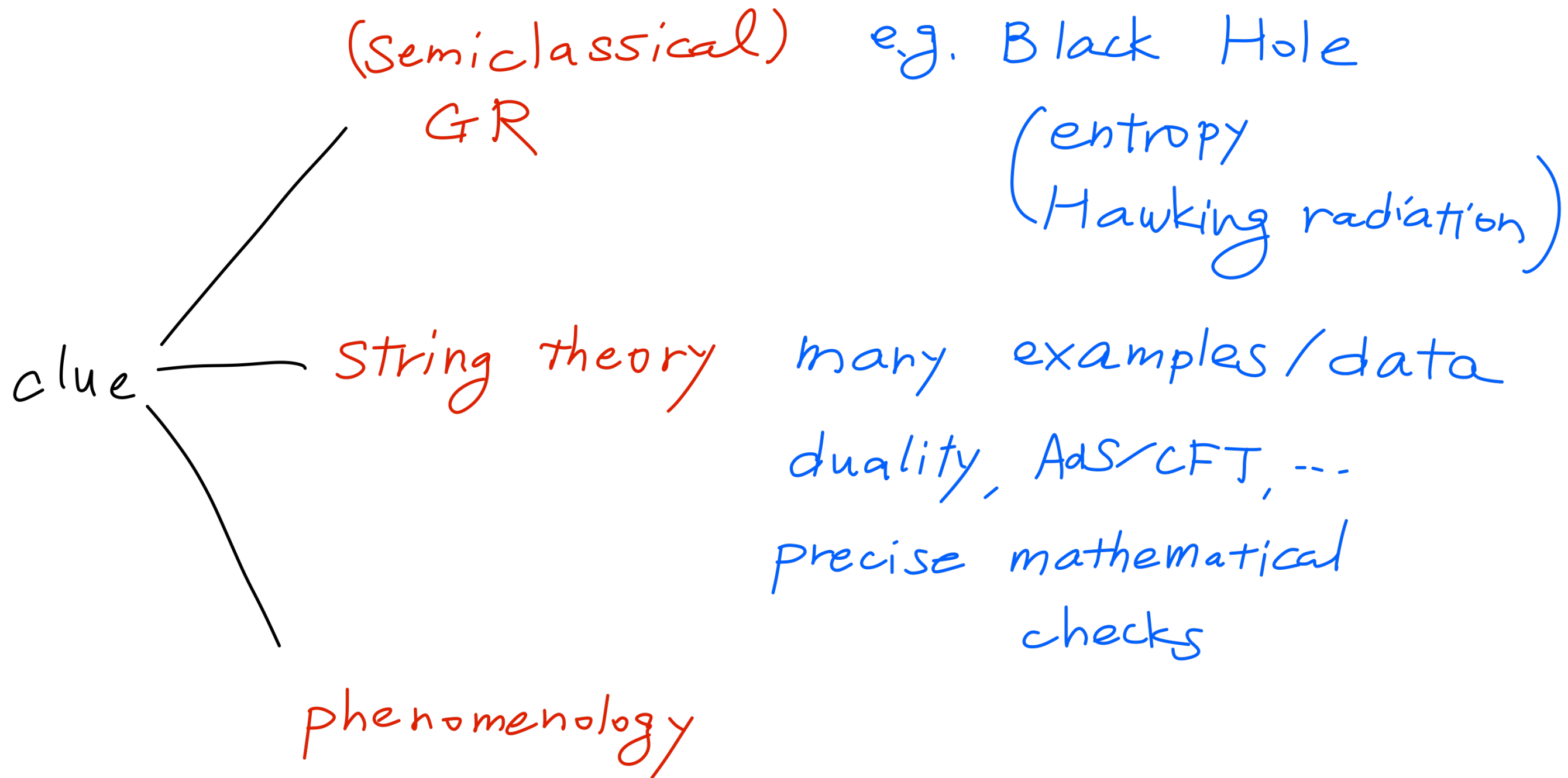
Swampland Conjectures:

Necessary (but NOT sufficient) condition
for existence of UV completion in
QG (such as string theory)

attempts towards universal prediction
from QG

Of course, QG is notoriously difficult

Of course, QG is notoriously difficult



Points to keep in mind

- * Gravity is very crucial (Mpe finite)
- * Often refer to higher-dim.
non-renormalizable operators
- * All swampland conjectures : conjectures/
hypothesis

Points to keep in mind

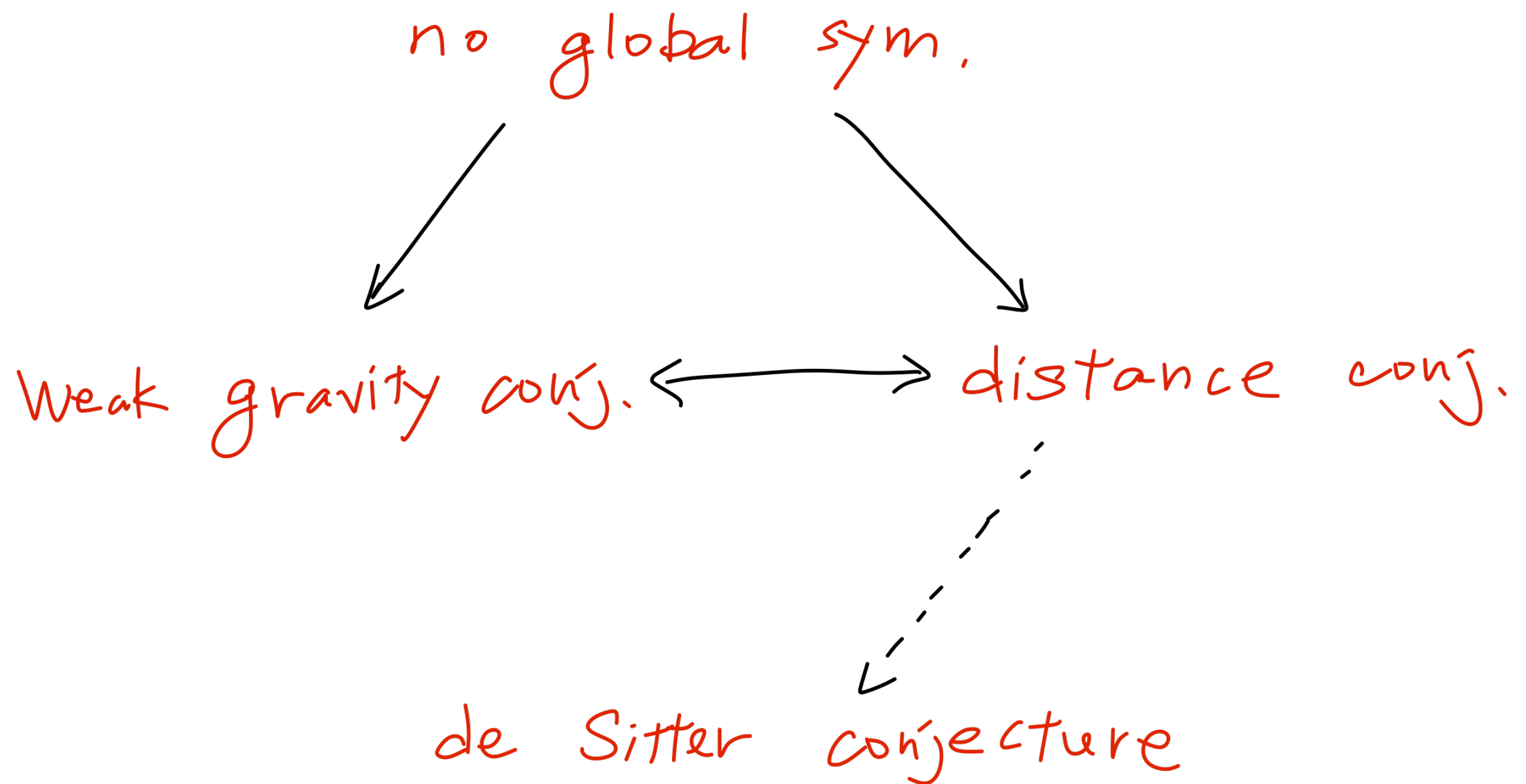
- * Some solid, some speculative
- * Combination / Consistency of conjectures
crucial
- * Some conjectures might not hold
generally, but could still be useful

Swampland Conjectures

: Examples

Many conjectures on the market
[review: Brennan, Costa, Varfa '17]
Palti '19]

today's focus:



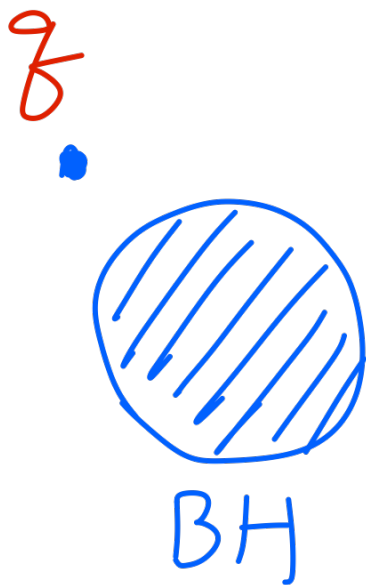
┌ No Global Symmetry ┘

[many many works
Misner - Wheeler '57
Polchinski '03
Banks - Seiberg '10
Horkow - Ooguri '18]

⌈ No exact global sym. in QG_J

argument: Consider $U(1)$ global sym.

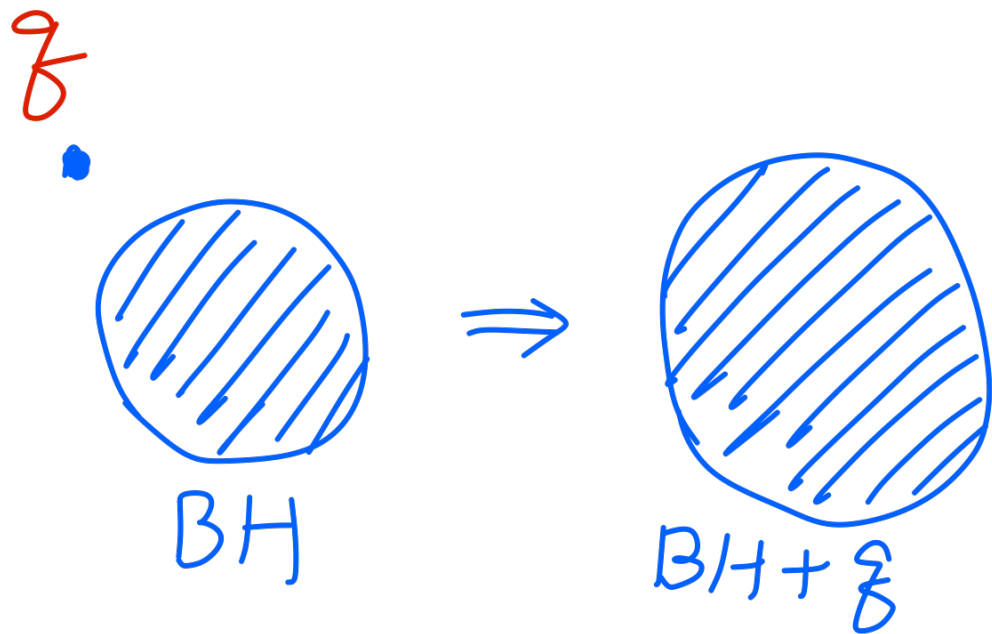
\exists a state w/ charge $q \gg 1$



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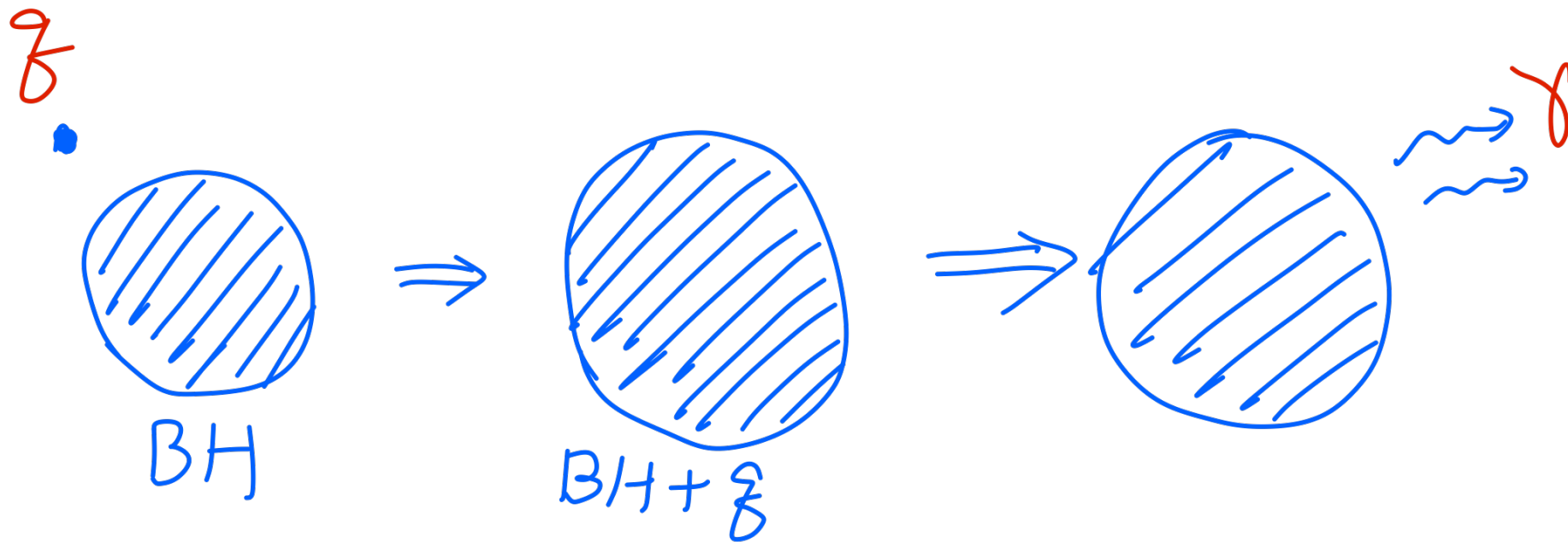
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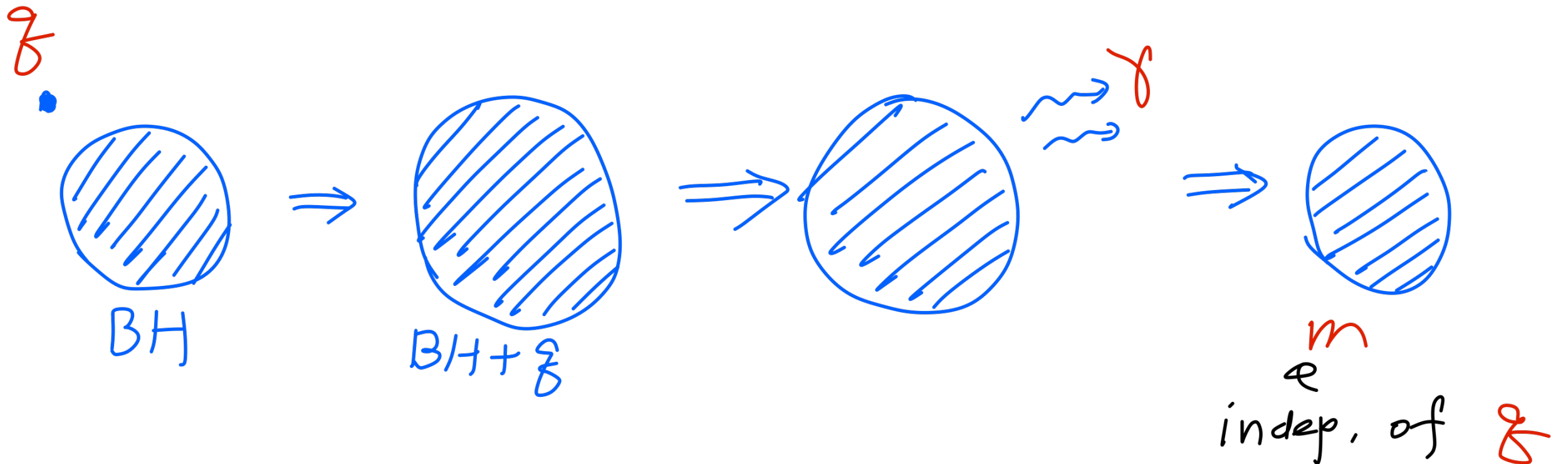
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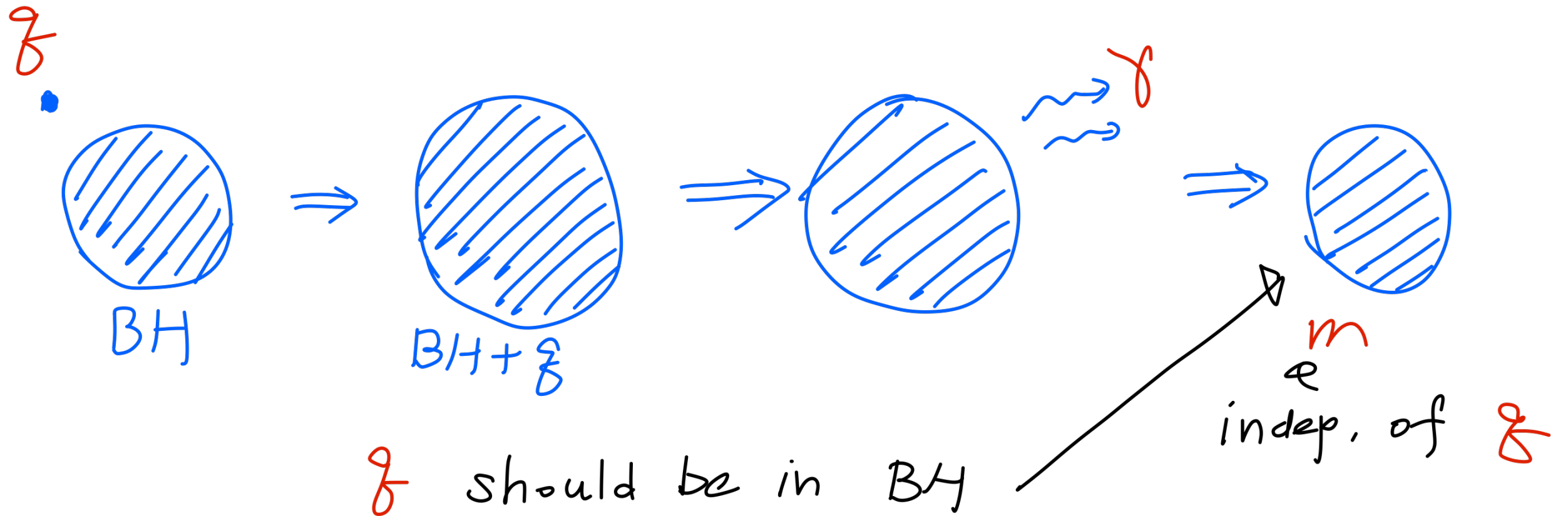
\exists a state w/ charge $q \gg 1$



⌈ No exact global sym. in QG_J

argument: Consider $U(1)$ global sym.

\exists a state w/ charge $q \gg 1$



but S_{BH} finite \Rightarrow contradiction
for q very large

⌈ No exact global sym. in QG_J

e.g. $U(1)_{B-L}$ in SM must be broken

by higher-dim. operator e.g. $\textcircled{\bullet} \frac{\delta\delta\delta\ell}{\Lambda^2}$

no constraint (if $\neq 0$)

⌈ No exact global sym. in QG_J

e.g. $U(1)_{B-L}$ in SM must be broken

by higher-dim. operator e.g. $\text{---} \frac{\delta\delta\delta\ell}{\Lambda^2}$

no constraint (if $\neq 0$)

* approximate global sym. > OK
exact gauge sym.

global sym. = ∞ fine-tuning No free lunch!

⌈ No exact global sym. in QG_J

* BH argument does not apply to
discrete sym. (such as \mathbb{Z}_2)

but holography argument does
[Harlow-Ooguri '18]

* even applies to p-form sym.

[Córdova-Ohmori-Rudelius]

┌
Weak Gravity Conjecture└

[Arkani-Hamed, Motl, Nicolis, Vafa '06]

Global Sym.

$$e \rightarrow 0$$

NOT ALLOWED

Gauge Sym.	\longrightarrow	Global Sym.
e : finite	limit	$e \rightarrow 0$
ALLOWED		NOT ALLOWED

Q: Can we choose e to be
arbitrary small?

WGC:

\exists a particle w/ charge g
mass m

s.t.

$$eg \geq \sqrt{2} \frac{m}{M_{pe}}$$

WGC:

\exists a particle w/ charge g
mass m

s.t.

$$eg \geq \sqrt{2} \frac{m}{M_{Pl}}$$

"Gravity as weakest force"

$$F_{\text{gauge}} = \frac{(eg)^2}{4\pi r^2} > F_{\text{gravity}} = \frac{m^2}{8\pi M_{Pl}^2}$$

* original argument: decay of extremal BH
[AMNV '06]

* checks in string theory compactifications

* many subsequent works, e.g.

connection with

cosmic censorship [Crisford-Horowitz
- Santos '17]

holography [e.g. Nakayama-Nomura '15
Montero '19]

Tower/Sublattice WGC [Heidenreich-Rudelius-Reece '15] [Montero-Shiu-Soler '16, ...]

(Roughly Speaking)

\exists ∞ -many charges g_1, g_2, \dots s.t.

\exists a particle w/ charge g_i
mass m_i

s.t.

$$e g_i \geq \sqrt{2} \frac{m_i}{M_{\text{Pl}}}$$

for $\forall i$

Distance Conjecture

[Doguri - Varfa '06
Baume - Palti '16
:
]

Distance Conjecture

Example of global sym. i

shift sym.

$$\phi \rightarrow \phi + c.$$

[e.g. many moduli in SUSY]

Can we quantify QG-breaking of
shift sym?

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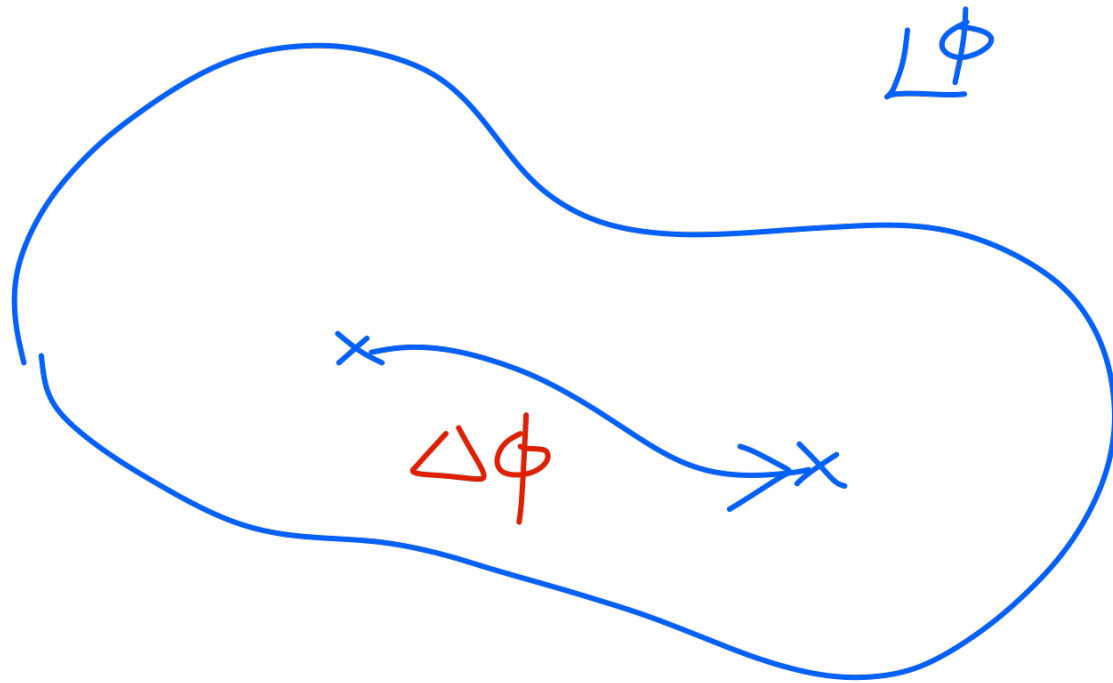
shift sym?

(cf. monodromy infl.)
relaxion

Claim:

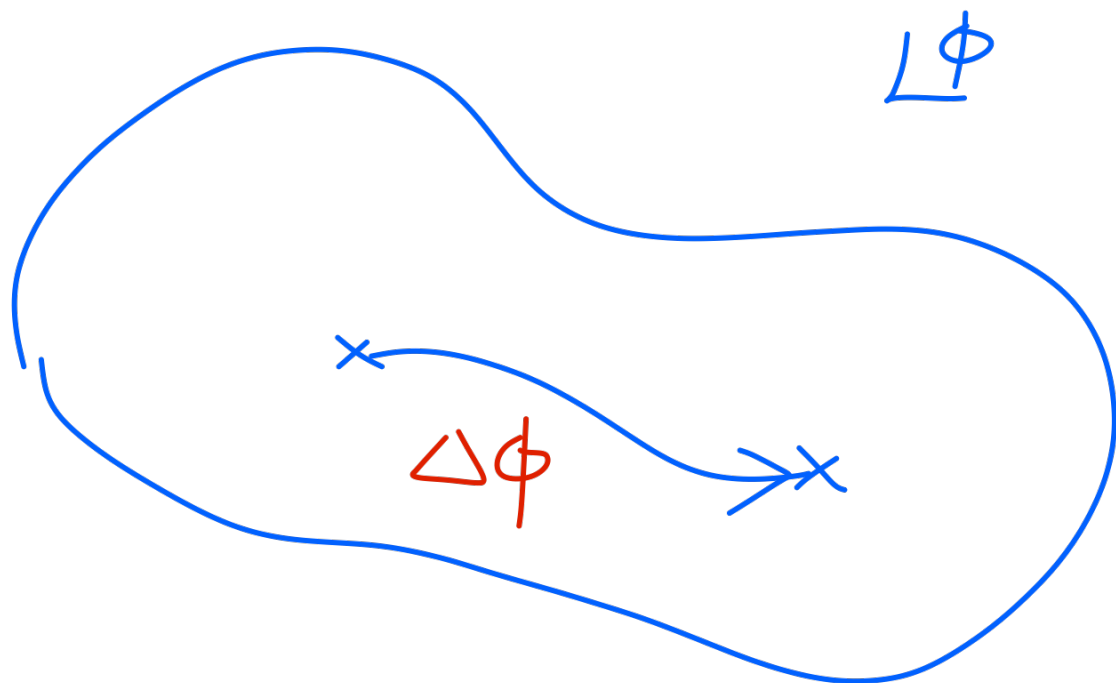
$$\Delta \phi \lesssim \theta(1) M_{Pl}$$

Distance Conjecture



field range $\Delta\phi$ large

Distance Conjecture



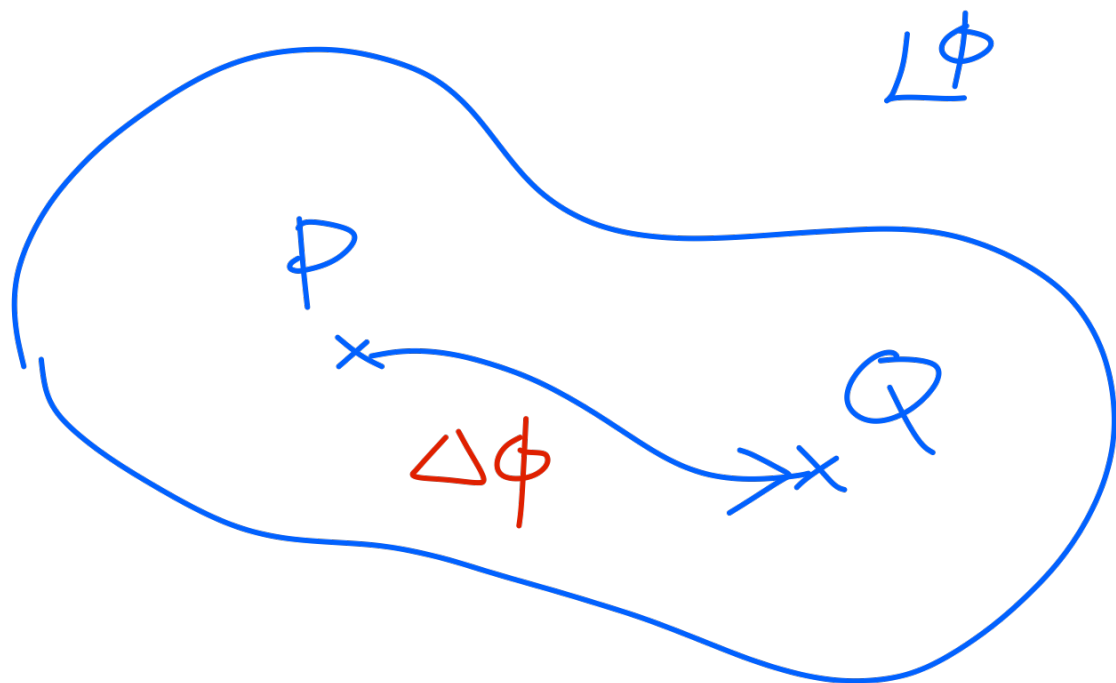
field range $\Delta\phi$ large

(particles
string
⋮
states)

→ an infinite tower of massless states

$$m_n \sim n M_{\text{Pl}} e^{-\Theta(1) \frac{\Delta\phi}{M_{\text{Pl}}}} \quad (n=1, 2, \dots)$$

Distance Conjecture



field range $\Delta\phi$ large

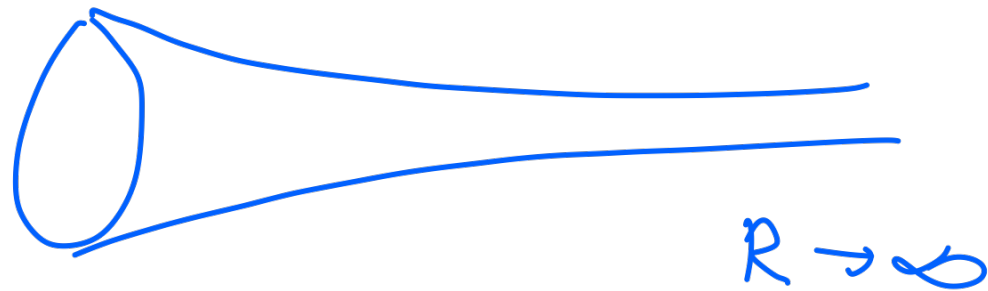
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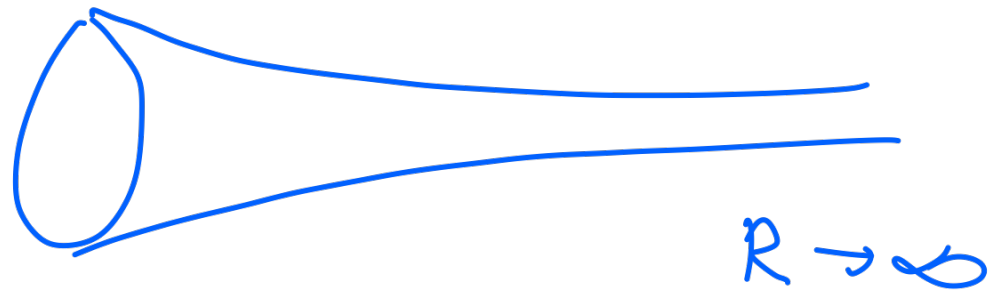
→ breakdown of EFT at $\Delta\phi \sim M_{\text{Pl}}$

e.g.: Compactify on S^1 with size R
Can we take $R \rightarrow \infty$? $\underbrace{\hspace{1cm}}_{\text{modulus}}$



$$ds^2 = \left(\frac{dR}{R} \right)^2$$

e.g.: Compactify on S^1 with size R
Can we take $R \rightarrow \infty$? modulus

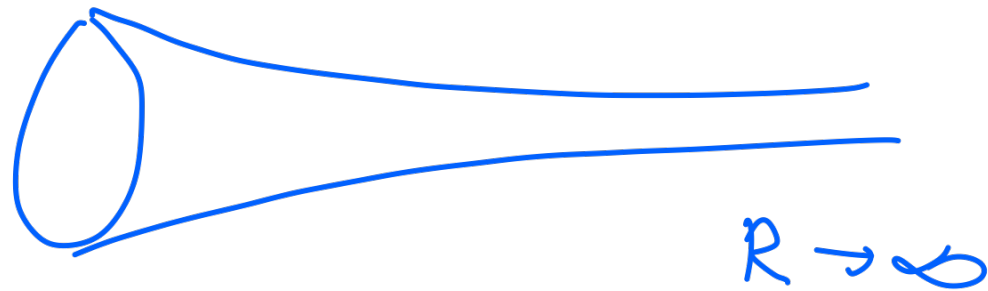


$$ds^2 = \left(\frac{dR}{R} \right)^2$$

Answer: $R \rightarrow \infty$ then KK modes light:

$$m_n \sim \frac{n}{R} \rightarrow 0$$

e.g.: Compactify on S^1 with size R
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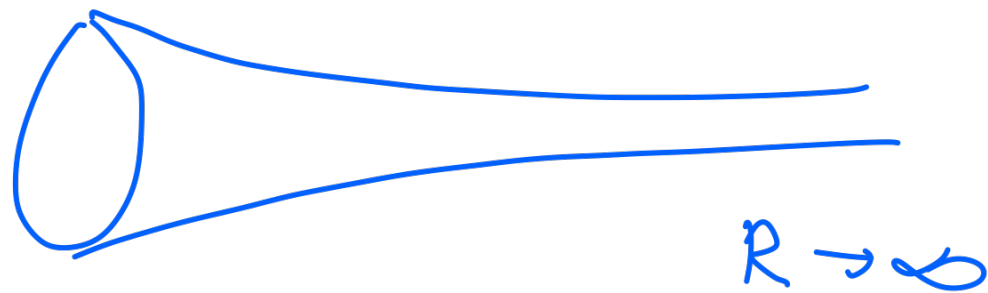
$$ds^2 = \left(\frac{dR}{R} \right)^2 = dt^2$$

\uparrow
 $R = e^{\tau}$

Answer: $R \rightarrow \infty$ then KK modes light:

$$m_n \sim \frac{n}{R} = n e^{-\tau} \rightarrow 0$$

e.g.: Compactify on S^1 with size R
Can we take $R \rightarrow \infty$? modulus



$$ds^2 = \left(\frac{dR}{R} \right)^2 = d\tau^2$$

\uparrow
 $R = e^{-\tau}$

Answer: $R \rightarrow \infty$ then KK modes light:


$$m_n \sim \frac{n}{R} = n e^{-\tau} \rightarrow 0$$


(\times $R \rightarrow 0$: winding strings become light)

Distance Conjecture is  originally string-motivated

related with  Weak Gravity Conjecture
 originally gravity-motivated
 Tower

$$m_n \lesssim n M_{\text{Pl}} e^{-\mathcal{O}(1) \Delta \phi}$$

 (lattice WGC)
 w/ $q_n = n$

 $\frac{1}{e^2} \sim e^{\mathcal{O}(1) \Delta \phi}$
 (gauge coupling: VEV of ϕ)

today's focus:

No global sym.

Weak gravity conj. \longleftrightarrow distance conj.

de Sitter conjecture

de Sitter Swampland

Conjecture

例: de Sitter swampland conjecture
[Obied-Ooguri-Spodyneiko-Vafa ('18)]

$$M_{Pl} |\nabla V| \geq c V$$

例: de Sitter swampland conjecture

[Obied-Ooguri-Spodyneiko-Vafa ('18)]

$2 \times 10^{18} \text{ GeV}$

scalar potential

$$M_{\text{Pl}} \left| \nabla V \right|$$

size of gradient

$$\sqrt{\sum_{i,j} g^{ij} \partial_i V \partial_j V}$$

\geq

$\frac{c}{\ell}$

V

$\mathcal{O}(1)$ positive constant

scalar potential

* dS vacua excluded ($\nabla V = 0$, $V > 0$)

* no constraint for $V < 0$

(many known (SUSY) AdS vacua in
string theory)

Idea : e.g. 11D SUGRA

$$\mathcal{L} \sim \int \sqrt{-g} \left(\underset{\substack{\uparrow \\ g_{\mu\nu} \\ \text{metric}}}{R} + \underset{\substack{\uparrow \\ C_3 \text{ (d}C_3 = G_4\text{)} \\ \text{3-form}}}{|G_4|^2} \right) + \dots$$

Idea: e.g. 11D SUGRA

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compactify on manifold X w/ overall modulus τ

$$dS_{11}^2 = dS_d^2 + e^{2\tau} dS_{11-d}^2$$

Idea: e.g. 11D SUGRA

$$\mathcal{L} \sim \int \sqrt{-g} \left(R + |G_4|^2 \right) + \dots$$

\uparrow
 $g_{\mu\nu}$
metric

\uparrow
 C_3 ($dC_3 = G_4$)
3-form

compactify on manifold X w/ overall modulus τ

$$dS_{11}^2 = dS_d^2 + e^{2\tau} dS_{11-d}^2$$

$$\leadsto V(\hat{\tau}) = V_R e^{-\lambda_1 \hat{\tau}} + V_G e^{-\lambda_2 \hat{\tau}} \quad (\lambda_1 < \lambda_2)$$

\downarrow
 V_0

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\downarrow
 V_0

$$\leadsto \frac{|\partial_{\hat{\tau}} V|}{V} \geq \min(\lambda_1, \lambda_2) = \lambda_1 = \sqrt{\frac{6}{(d-2)(11-d)}}$$

Assumption

- GR (no α'/g_s correction)
- extra dimension

(cf. dS no-go thm [Maldacena-Nunez '00]
[Steinhardt-Wesley '08]
no-go on slow-roll inflation
[Hertzberg-Kachru-Taylor-Tegmark '07, ...])

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no-go on slow-roll inflation
[Hertzberg-Kachru-Taylor-Tegmark '07, ...])

[OOSV] claimed this holds generally/anywhere

even when various corrections are important

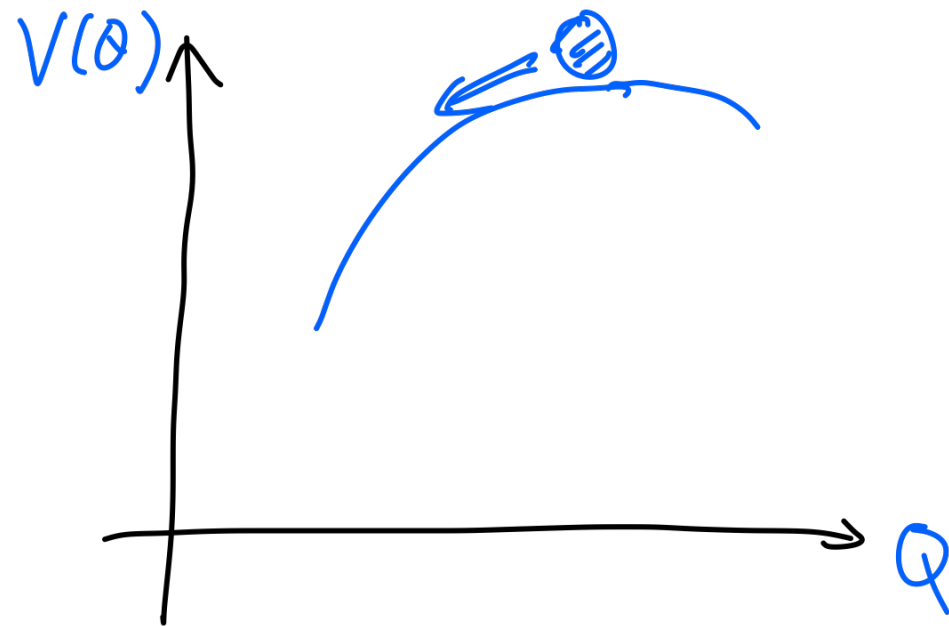
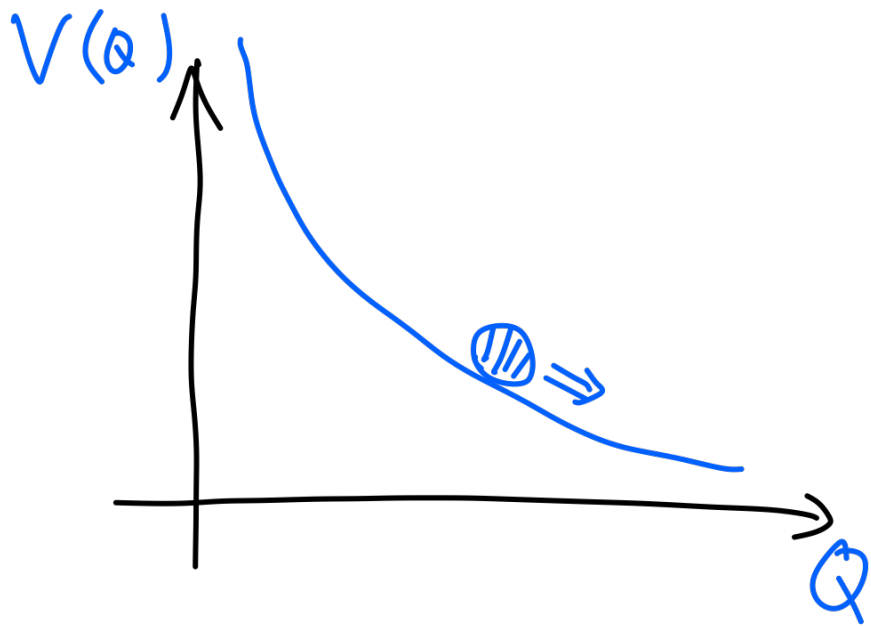
If true, dS conjecture has dramatic consequences

* multiverse gone? [cf. Takahashi-Matsui '18
Kinney '18 Redelius '19]
eternal inflation

If true, dS conjecture has dramatic consequences

* multiverse gone? [cf. Takahashi-Matsui '18
Kinney '18 Redelius '19]
} eternal inflation

* quintessence? [Ratra-Peebles '88, Wetterich '88
Caldwell-Dave-Steinhardt '97]



Future observation (e.g. Euclid/WFIRST/LSST, ...)

"Controversy"

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String Theory May Create Far Fewer Universes Than Thought

Some physicists claim the popular landscape of universes in string theory may not exist

By Clara Moskowitz on July 30, 2018 [عرض هذا باللغة العربية](#)



LATEST NEWS



Sing Solo For Higher Fide



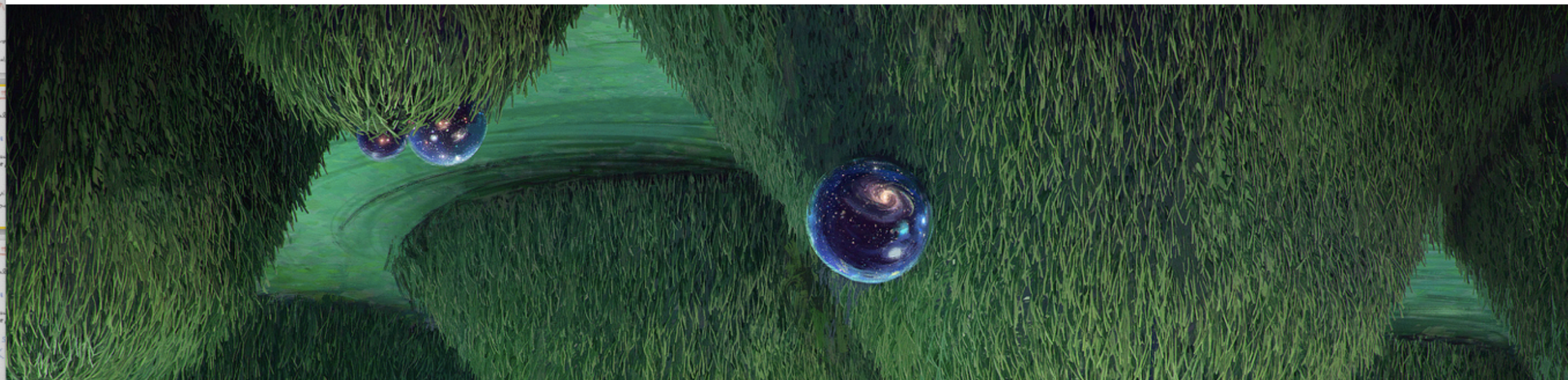
Are Some Fruits More

THEORETICAL PHYSICS

Dark Energy May Be Incompatible With String Theory

 130 | 

A controversial new paper argues that universes with dark energy profiles like ours do not exist in the “landscape” of universes allowed by string theory.



dS conjecture is in sharp tension w/

claimed construction of dS vacua
metastable

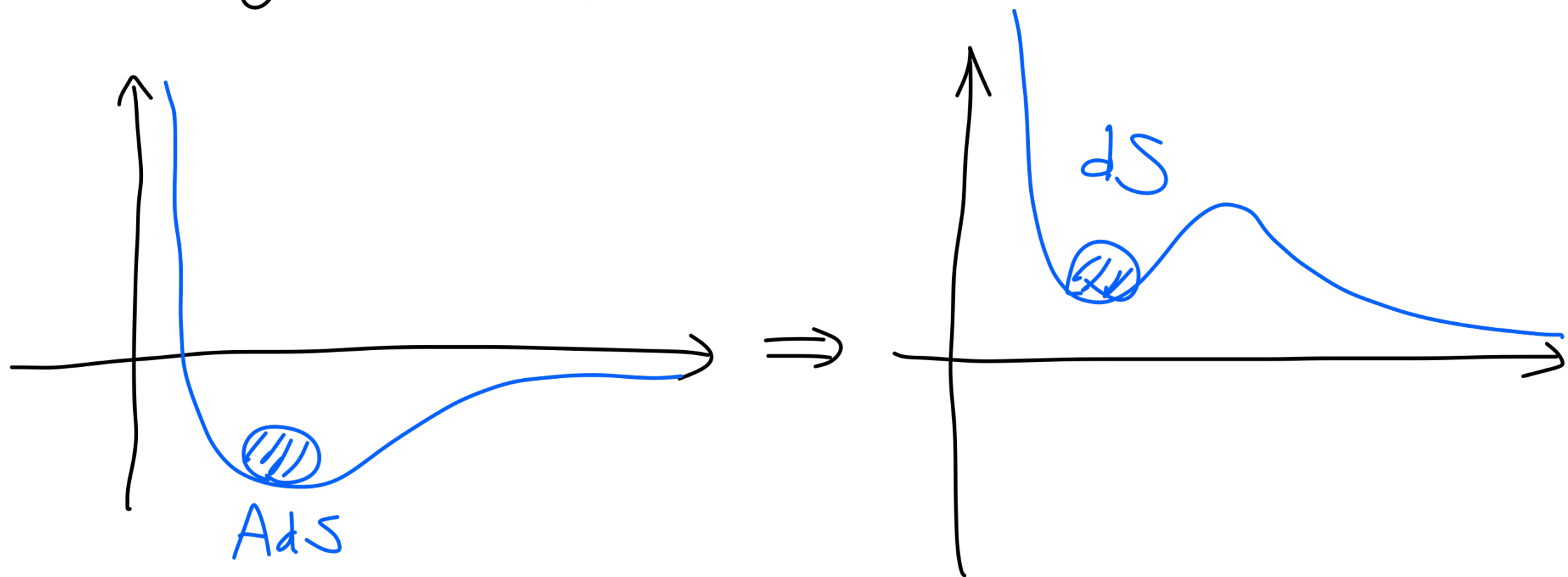
e.g. [Kachru - Kallosh - Linde - Trivedi '03]

dS conjecture is in sharp tension w/
claimed construction of dS vacua
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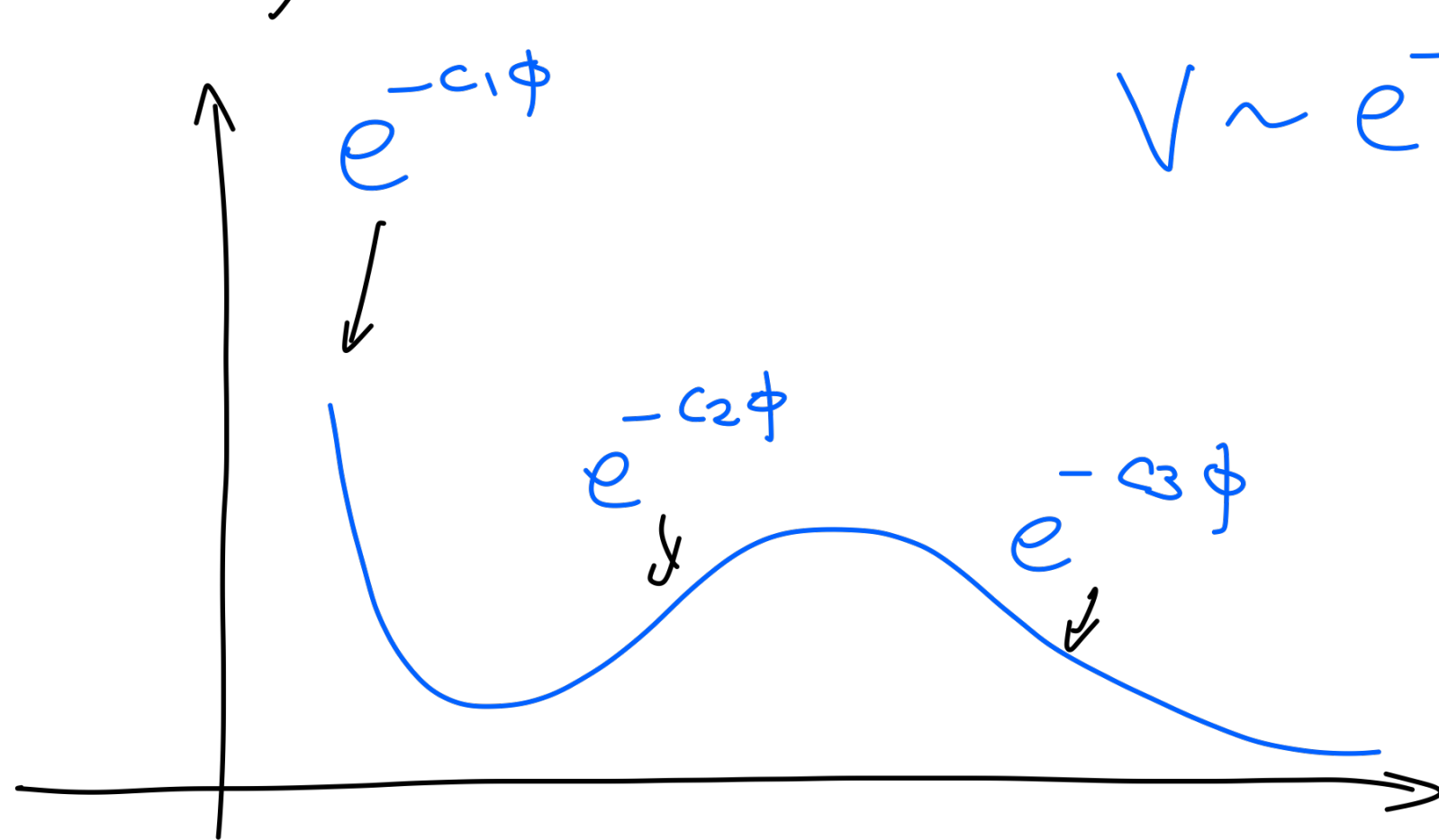
e.g. [Kachru-Kallosh-Linde-Trivedi '03]

It is true that KKLT has many subtle parts

e.g. $\overline{D3}$ uplift / ~~SUSY~~ (no SUSY dS)



Technically: difficult to control corrections



$$V \sim e^{-c_1\phi} + e^{-c_2\phi} + e^{-c_3\phi} + \dots$$

same order?

[Dine-Serberg '85]

(~~✗~~ Proposal of classical dS in IIA + 08
[Córdova-De Luca-Tomasiello '18]
seem to be removed by Maldacena-Nunez-type no-go
[Cribiori-Junghans '19])

I myself do not see sharp-enough argument
against metastable vacua

dS conjecture as a general statement
rather speculative

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against metastable vacua

dS conjecture as a general statement
rather speculative

... but can still be useful in
asymptotic/weak coupling corner of
QG landscape

[I prefer a positive approach:
an opportunity to learn something]

Bottom-up constraints

Higgs

$$V_H = \lambda (H^2 - v^2)^2$$

has local maximum @ $H=0$

$$\partial_H V_H = 0 \quad V > 0$$

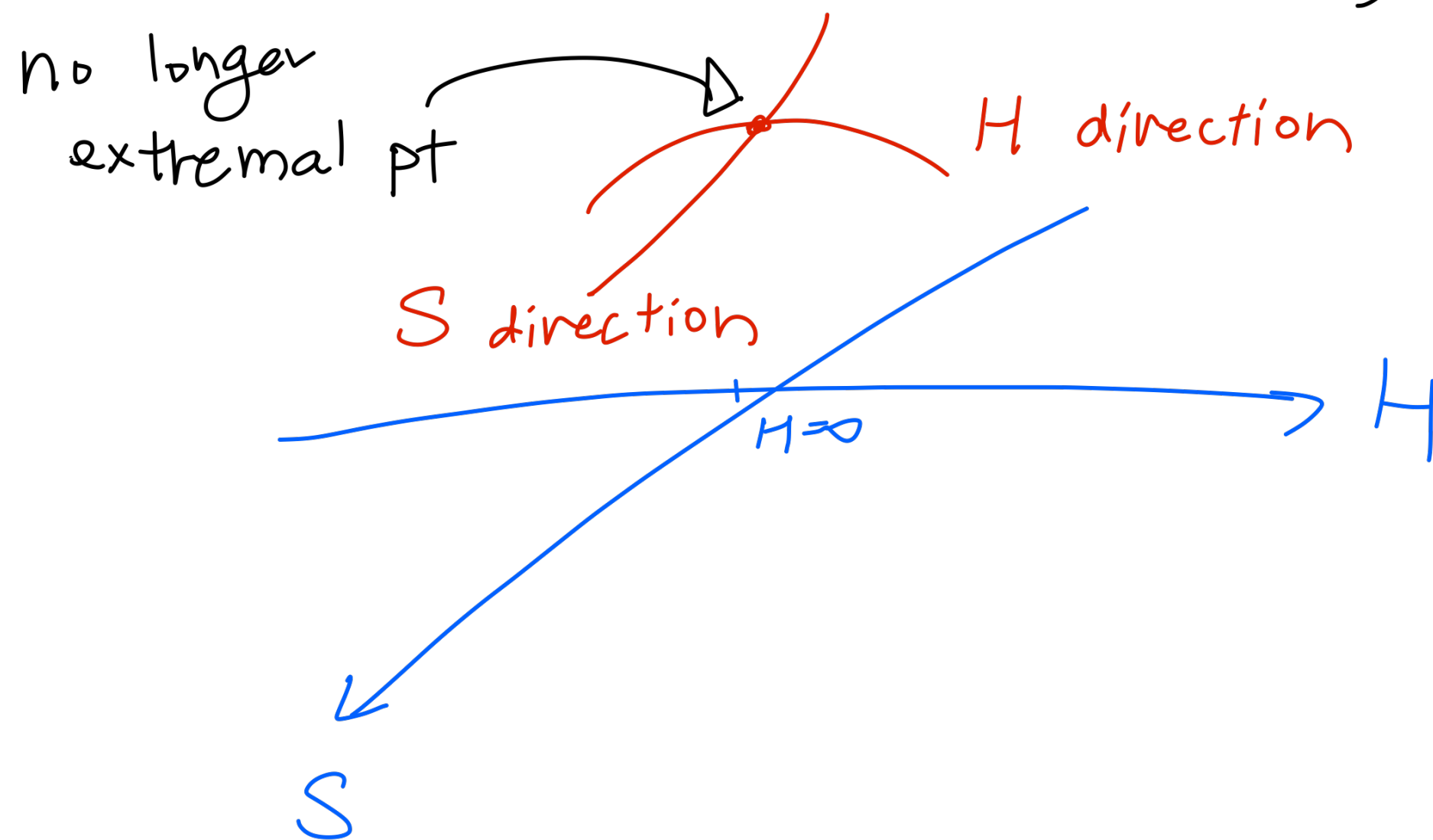
already in tension with dS conjecture

[Denef-Hebecker-Wrase '18]

EW modification? [Murrayama-Yanagida-Y 18]

e.g. real scalar S in addition to H

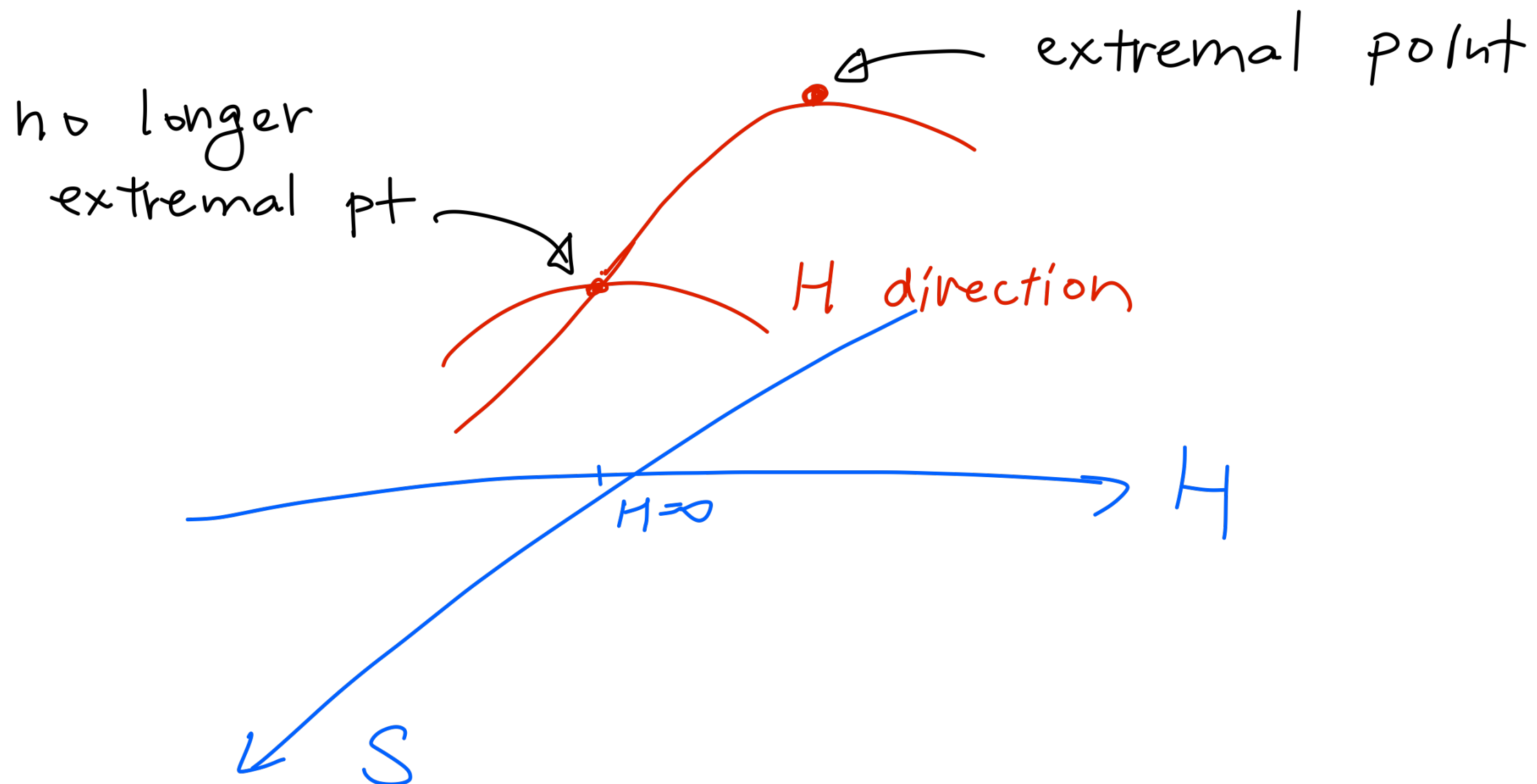
$$V_{H,S} = \lambda(H^2 - v^2)^2 + \underbrace{K(S - a)(H^2 - w^2)}_{(2sV \neq 0 \text{ @ } H=0)} + \frac{m^2}{2}S^2 + \Lambda_S^4$$



EW modification? [Murrayama-Yanagida-Y '8]

e.g. real scalar S in addition to H

$$V_{H,S} = \lambda(H^2 - v^2)^2 + \underbrace{K(S - a)(H^2 - w^2)}_{(2sV \neq 0 \text{ @ } H=0)} + \frac{m^2}{2}S^2 + \Lambda_S^4$$



More generally *no-go thm*
against EW modification
even for multiple fields

[Murayama-Yanagida-T '18]

(~~∴~~ still contrived loopholes, but unlikely)

Coupling to Quintessence : [Denef-Hebecker-Wrase '18]

$$V_{H,Q}(H,Q) = e^{-c \frac{Q}{M_{\text{Pl}}}} V_H(H)$$



always rolls in Q -direction

Coupling to Quintessence : [Denef-Hebecker-Wrase '18]

$$V_{H,Q}(H,Q) = e^{-c \frac{Q}{M_{\text{Pl}}}} V_H(H)$$

always rolls in Q -direction

But then Higgs VEV depends on Q

and hence time-dependent

quantum correction

(m_p/m_e time variation)
(fifth force searches)

[Ibe-Hamaguchi-Moroi '18]

\Rightarrow original dS conjecture "excluded"

Refined dS Conjecture

Modify the condition s.t.

$$V > 0, \quad \nabla V = 0, \quad \nabla^2 V < 0 \quad \text{allowed}$$

Refined dS Conjecture

Modify the condition s.t.

$$V > 0, \quad \nabla V = 0, \quad \nabla^2 V < 0 \quad \text{allowed}$$

* [Garg-Krishnan, Ooguri-Palti-Shiu-Vafa '18]

$$|\nabla V| \geq c V \quad \text{or} \quad \min(\nabla_i \nabla_j V) \geq -c' V$$

(c, c' : positive $\mathcal{O}(1)$)

$\left. \vphantom{\begin{matrix} |\nabla V| \geq c V \\ \min(\nabla_i \nabla_j V) \geq -c' V \end{matrix}} \right\} (c' = 0)$

* [Murayama-Yanagida-Y '18]

$$|\nabla V| \geq c V \quad \text{when} \quad \nabla^2 V \geq 0$$

Distance Conj. \longrightarrow dS Conj. ?

* distance conjecture required for dS conj

$$\left(\begin{array}{l} V(\phi) = m^2 \phi^2 \\ \partial_\phi V > V \end{array} \right) \rightsquigarrow \phi \lesssim M_{Pl}$$

Argument by [Ooguri-Palti-Shiu-Vafa '18]

Consider quasi-dS

$$\partial_\phi V \sim c V$$

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dS entropy

Argument by [Ooguri-Palti-Shiu-Vafa '18]

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$$\partial_\phi V \sim c V \quad (c \ll 1)$$

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dS entropy

$$S = S(N, R) \sim N^p R^q$$

of
species

dS
Radius

$$N, R \gg 1$$

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of species

dS Radius

$$N, R \gg 1$$

When $\Delta\phi$ large, tower of states $\frac{m_n}{M_{\text{Pl}}} \sim n e^{-b\Delta\phi}$

$$\rightarrow N \sim \frac{\Lambda_{\text{cutoff}}}{e^{-b\Delta\phi}} \sim e^{b\Delta\phi}$$

[~~✗~~ assume light states dominates
(a fraction of) entropy]

Argument by [Ooguri-Palti-Shiu-Vafa '18]

Consider quasi-dS

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dS entropy $\left\{ \begin{array}{l} S \leq S_{\text{GH}} \sim R^2 \sim \frac{1}{H^2} \sim \frac{1}{V} \end{array} \right.$

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$$N \sim e^{b \Delta \phi}$$

$$V \leq e^{-c \Delta \phi}$$

$$\left(c \sim \frac{2bp}{2-q} \right)$$

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$$N \sim e^{b \Delta \phi}$$

$$V \leq e^{-c \Delta \phi}$$

For absence of tachyons

$$\left(c \sim \frac{2bp}{2-q} \right)$$

$$\min(\nabla^2 V) \geq - \frac{\mathcal{O}(1)}{R^2} \sim -c' V$$

\hookrightarrow curvature coupling

* Since we use distance conjecture,

asymptotic region $\Delta\phi \sim M_{\text{Pl}}$

* Inequality:

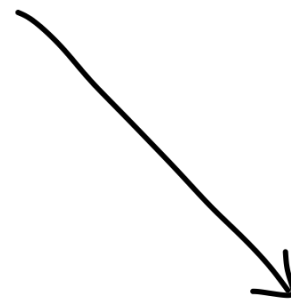
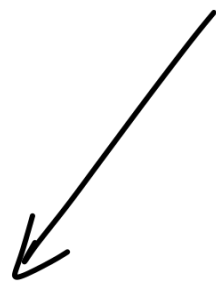
$$V \lesssim e^{-\alpha(1)\Delta\phi}$$

[cf. Dine-Selberg]

↑
saturated?

today's focus:

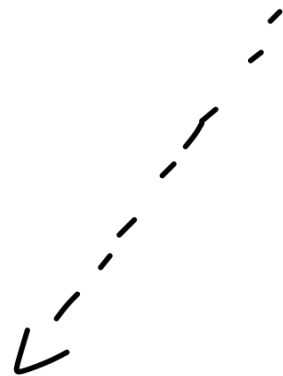
No global sym. 😊😊😊



Weak gravity conj.



distance conj.



de Sitter conjecture ??? 😊

In refined version

no constraint on Higgs/axion/SSB

Inflation: $(\epsilon_V \geq c^2/2 \text{ or } \eta_V \leq -c')$

[Fukuda-Saito-Shirai-Y 18, ...]

* e -folding OK (concave region)

* n_s, r difficult for single-field (canonical kinetic term)

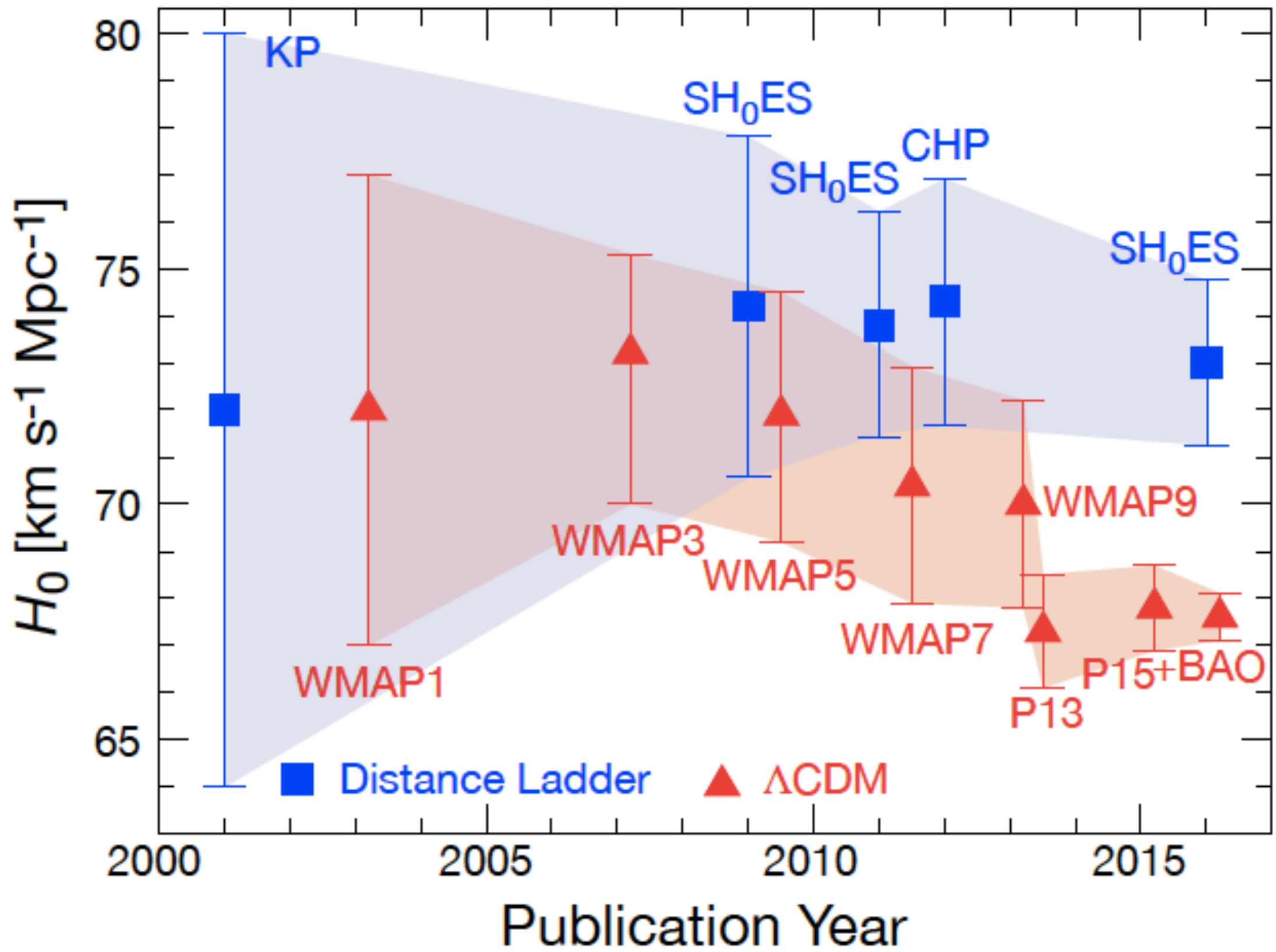
$$r = 16\epsilon \leq 0.064$$

$$n_s - 1 \approx -6\epsilon + 2\eta \approx 0.03 - 0.04 \quad \rightsquigarrow \quad \eta \approx -0.01 \quad (c' \approx 0.01)$$

* $c, c' \sim 1$ OK for multi-field (eg. curvaton)

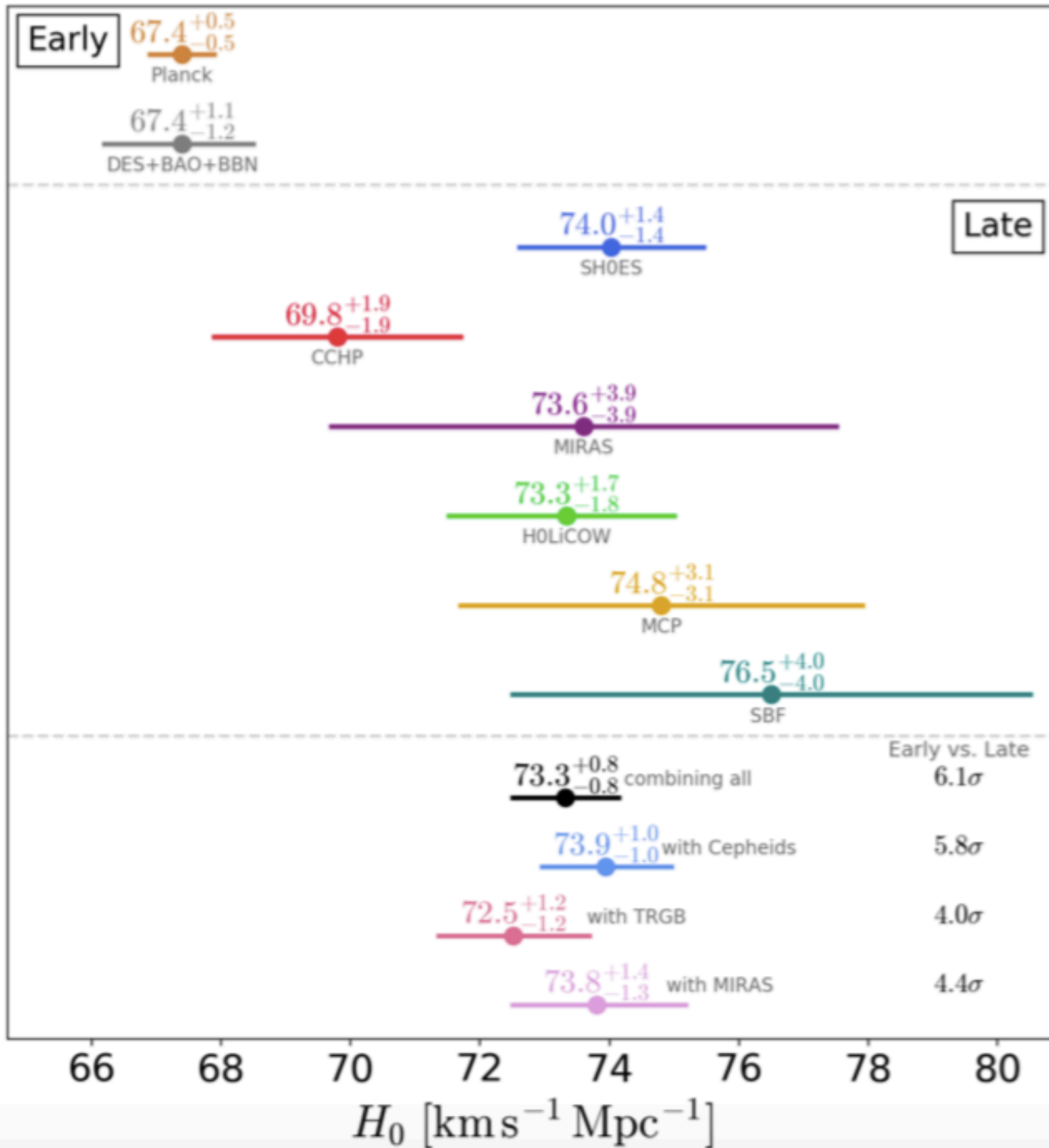
H_0 Tension





(Freedman '17)

flat – Λ CDM



[Verda-Treu-Kress '19]

Is H_0 tension

consequence of distance conj.??

Early-time solution

「Early Dark Energy」 [Poulin-Smith-Kerwal
- Kamionkowski '18]

- Behaves as DE to raise CMB H_0
- then decays rapidly (faster than radiation)
@ $z \sim 5000$

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e.g. $V(\phi) \sim \left(1 - \cos \frac{\phi}{f}\right)^n \leftarrow (\text{e.g. } n=3)$
 $\sim \phi^{2n}$ near $\phi \sim 0$

$\left[\text{X: matter } V(\phi) \sim \phi^2 \text{ has } \Gamma \ll m \sim H_{z=5000} \right]$

Consider instead ultralight axion [Kaloper '19]
 \uparrow
 $f \sim M_{\text{Pl}}$ (WGC $f \lesssim M_{\text{Pl}}$)

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* $\Delta\phi \sim M_{\text{Pl}}$ \leadsto light modes χ
distance conj. $(\mathcal{L} \supset e^{-\Delta\phi} \chi\chi)$

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* Enhancement by $N_\chi \sim e^{\Delta\phi}$

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$\leadsto \Gamma \sim H_{z=5000}$

Late-time solution

distance conjecture for

Quintessence Dark Energy Q

tower of states = Dark Matter

$$\rho_{DM}(Q) \sim \rho_{DM} e^{-Q}$$

Late-time solution

distance conjecture for

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* fifth-force constraint marginal

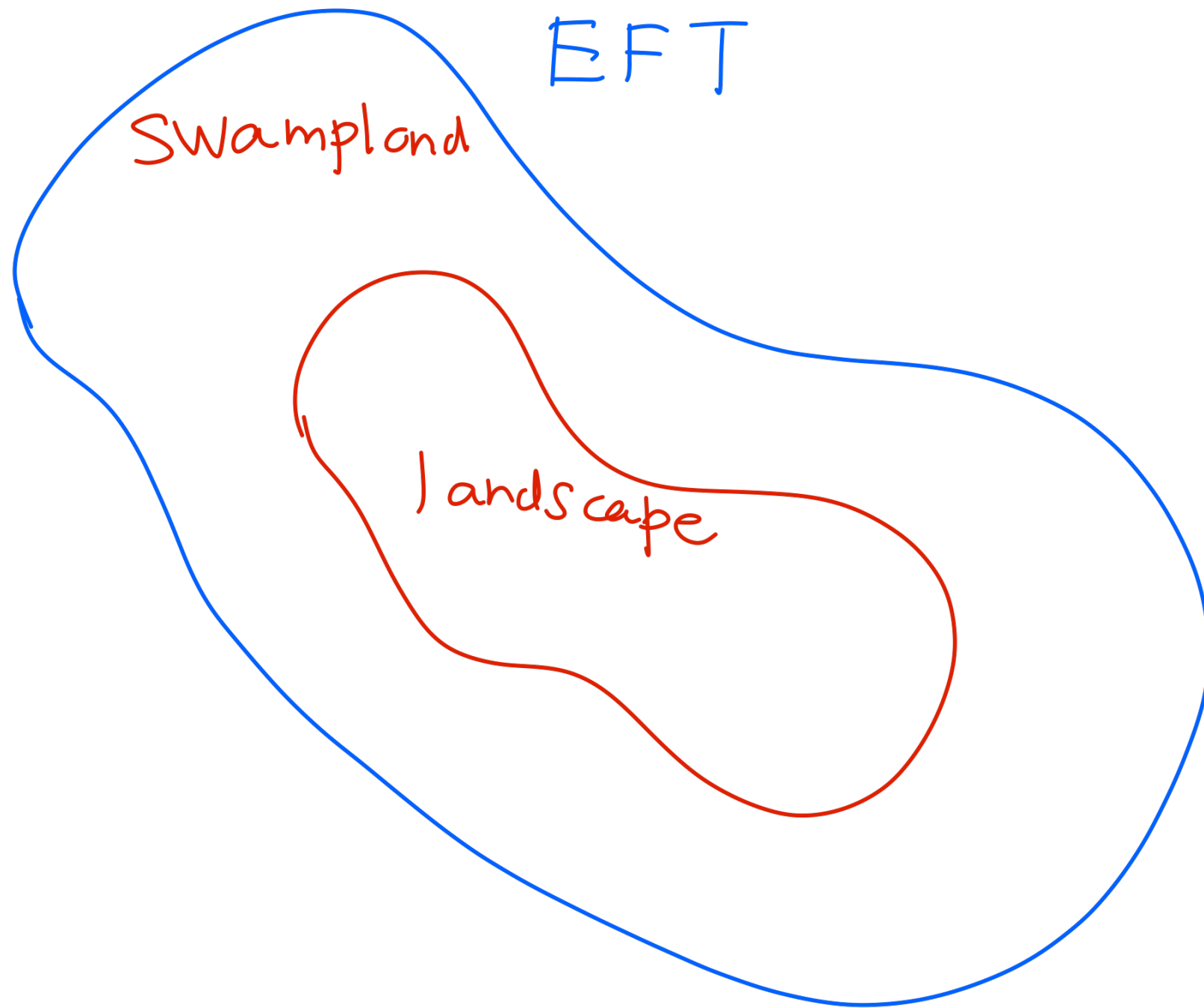
* improves H_0 tension

[Agrawal-Obied-Vafa '19]

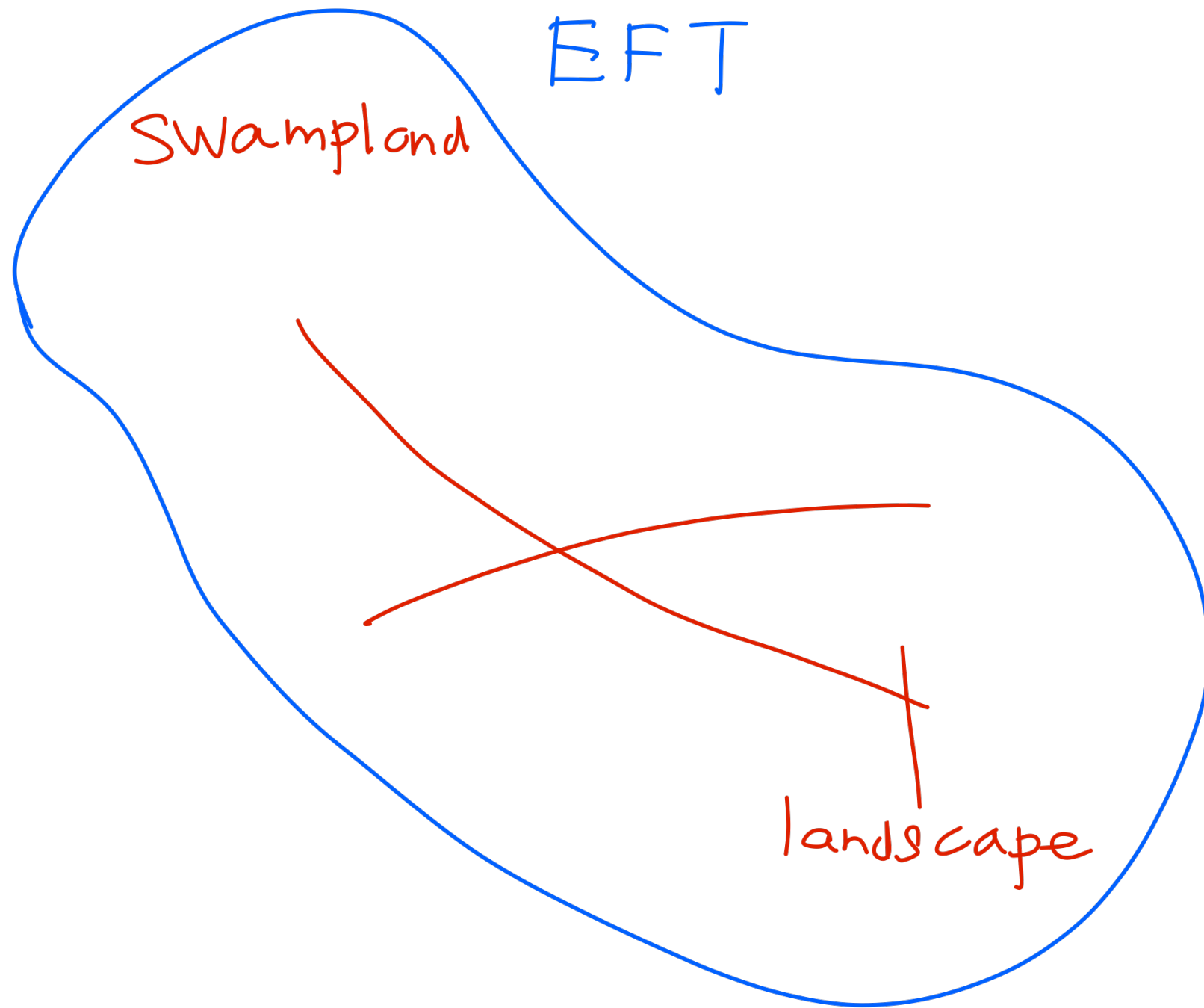
Naturalness?



naturalness needs to be revisited:



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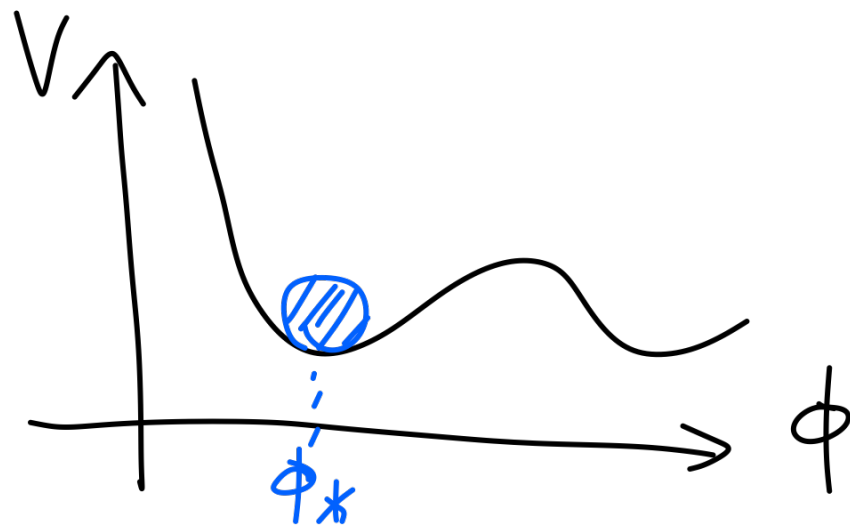
??

「No Free Parameter in QG」

Any parameter (e.g. γ_{quark} , θ_{QCD} , ...):

VEV of moduli (e.g. axion)

dynamically determined



(or fixed by some (QG) consistency)

Finite # of Moduli in \mathcal{QG}

(typically $\sim \mathcal{O}(100)$)

\Rightarrow ∞ - relations for higher-dim. op.

$$\mathcal{L} = \mathcal{L}_0 + \sum_i \lambda_i \frac{\mathcal{O}_i}{M_{\text{Pl}}^{\Delta_{\mathcal{O}_i} - 4}}$$

only finite independent

[cf. Heckman - Varfa '19]

Finite # of Moduli in \mathcal{M}_G

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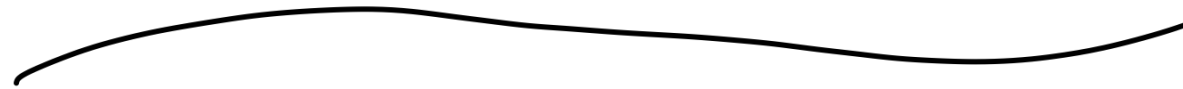
$$\mathcal{L} = \mathcal{L}_0 + \sum_i \lambda_i \frac{\mathcal{O}_i}{M_{Pl}^{\Delta\mathcal{O}_i - 4}}$$

only finite independent

No global sym, but "fine-tuned"

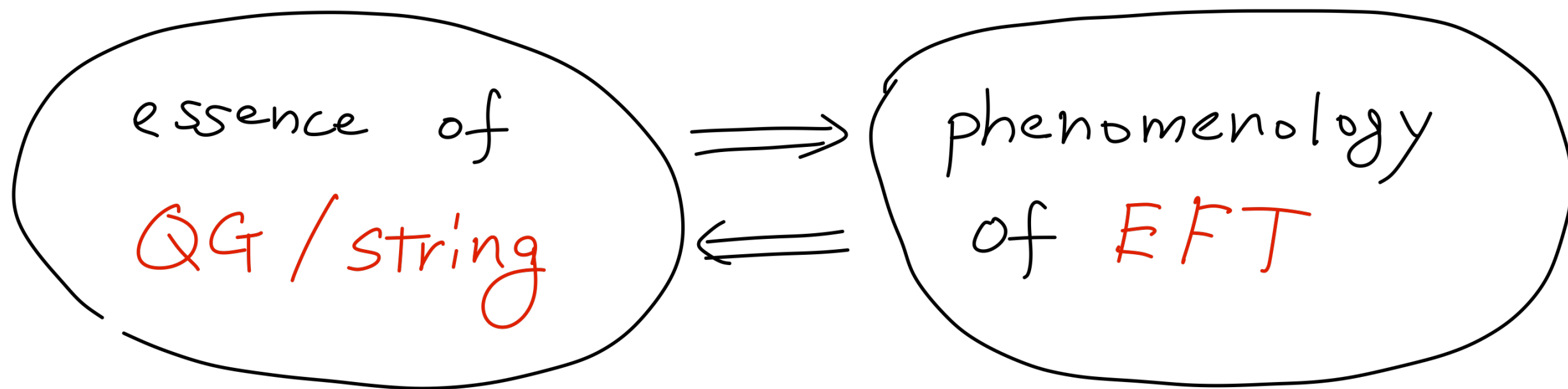
There IS free lunch!

Summary



Swampland Conjectures:

QG constraints on low-energy physics



Please do use the conjectures
in your next paper!!

(esp. young folks!)

緊急告知!!

* 名古屋大学にて 集中講義

「沼地予想とその現象論」

2019年 9月30日(月) ~ 10月2日(水)

($4 \times 3 \simeq 12$ h)

(※ 詳細は追って名大物理 HPより)

* 科研費研究員公募(予定)!!

(予定) 2019年秋公募

2020年4月以降 ~ 2023年3月 (3年)

IPMU 1人, KEK 1人

KEK

北野



hep-ph

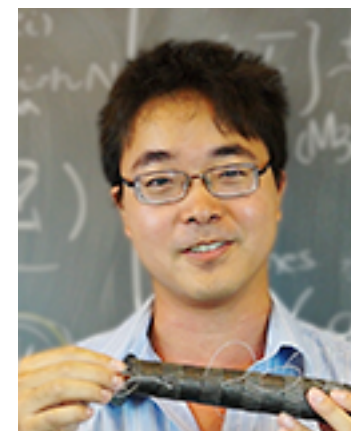
山田



hep-lat

IPMU

山崎



hep-th