



NATIONAL SCIENCE CENTRE
POLAND



UNIVERSITY
OF WARSAW

On the phenomenology of sphaleron-induced processes at the LHC and beyond

Kazuki Sakurai

(University of Warsaw)

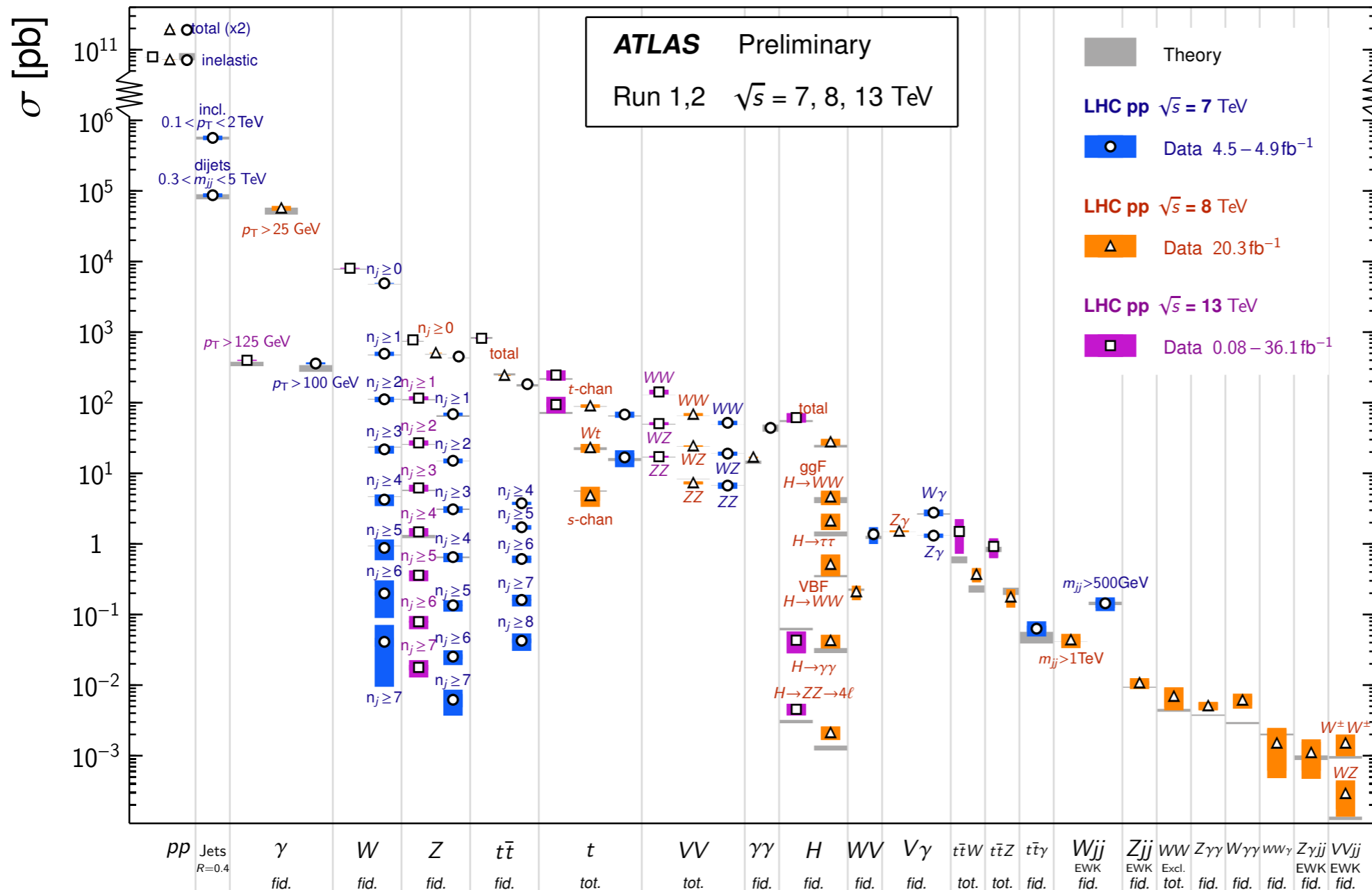
10/9/2021 @ PPP2021

Perturbative sector of EW theory is very well tested!

- Remarkable agreement between experimental results and perturbative calculations.

Standard Model Production Cross Section Measurements

Status: May 2017

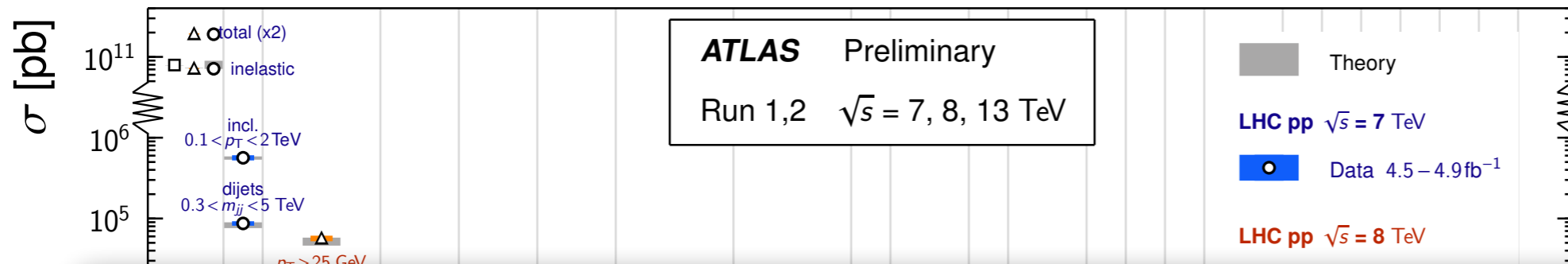


Perturbative sector of EW theory is very well tested!

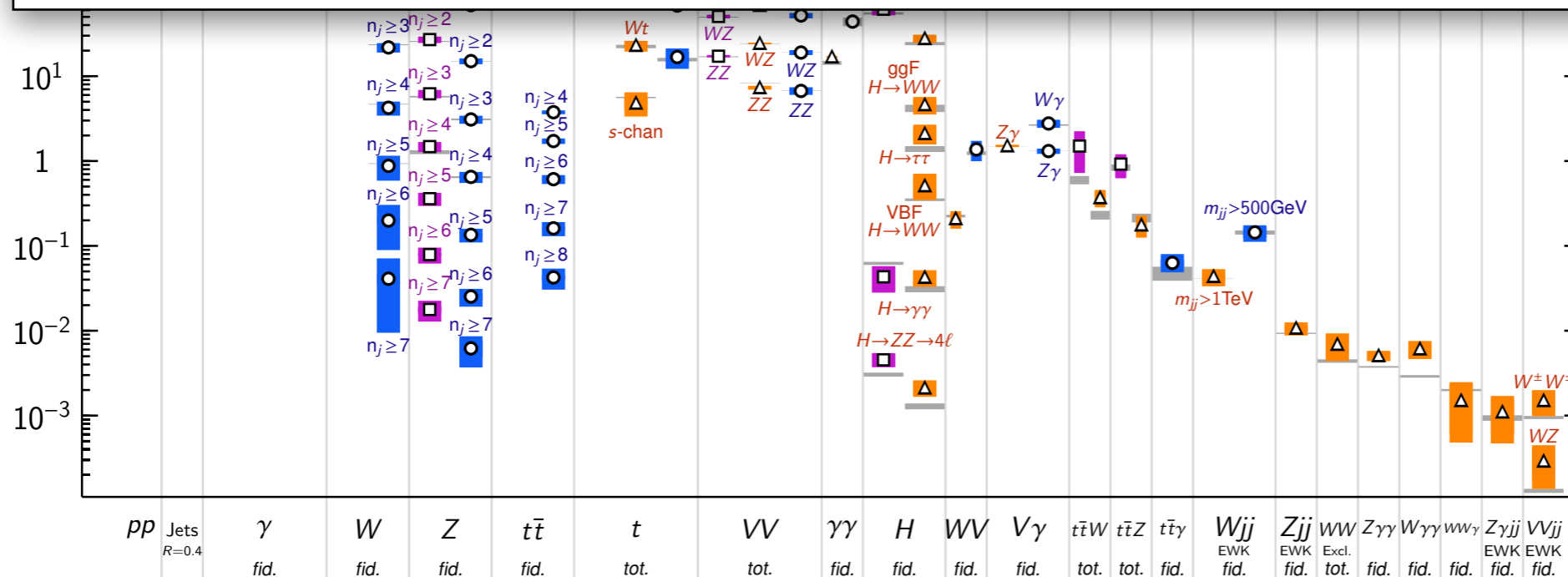
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Standard Model Production Cross Section Measurements

Status: May 2017



How about *non-perturbative* sector?



Vacua of EW theory

action: $S_{\text{EW}} = -\frac{1}{2g^2} \int d^4x \text{tr} [F_{\mu\nu} F^{\mu\nu}]$ $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - i[A_\mu, A_\nu]$

gauge trans.: $A_\mu \rightarrow U^\dagger [A_\mu + i\partial_\mu] U$

a vacuum: $A_\mu = 0 \iff A_\mu = U^\dagger \partial_\mu U$

- There are as many vacua as $U_{ij}(\mathbf{x})$

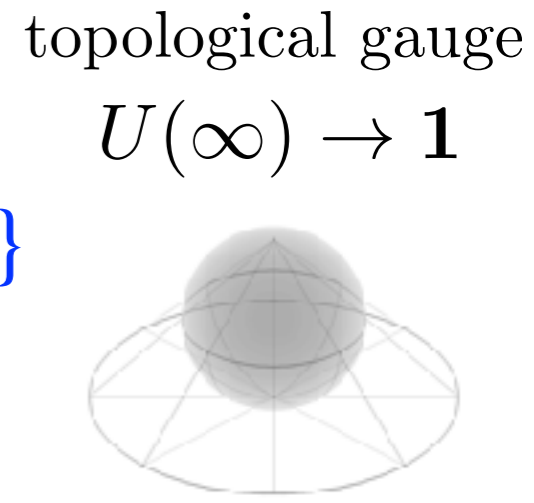
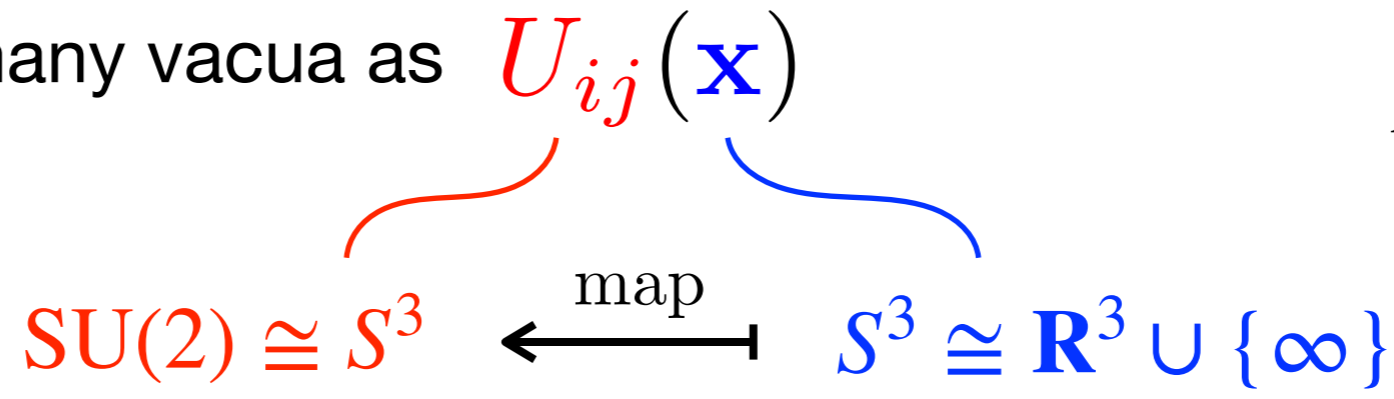
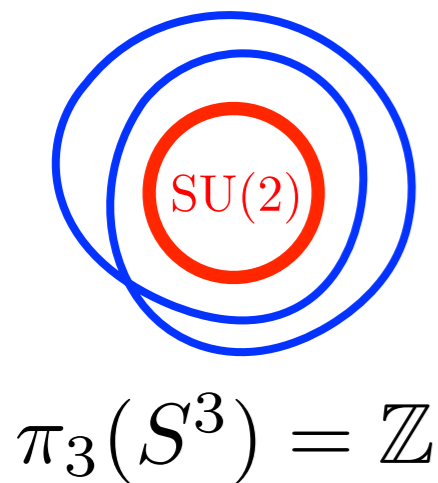
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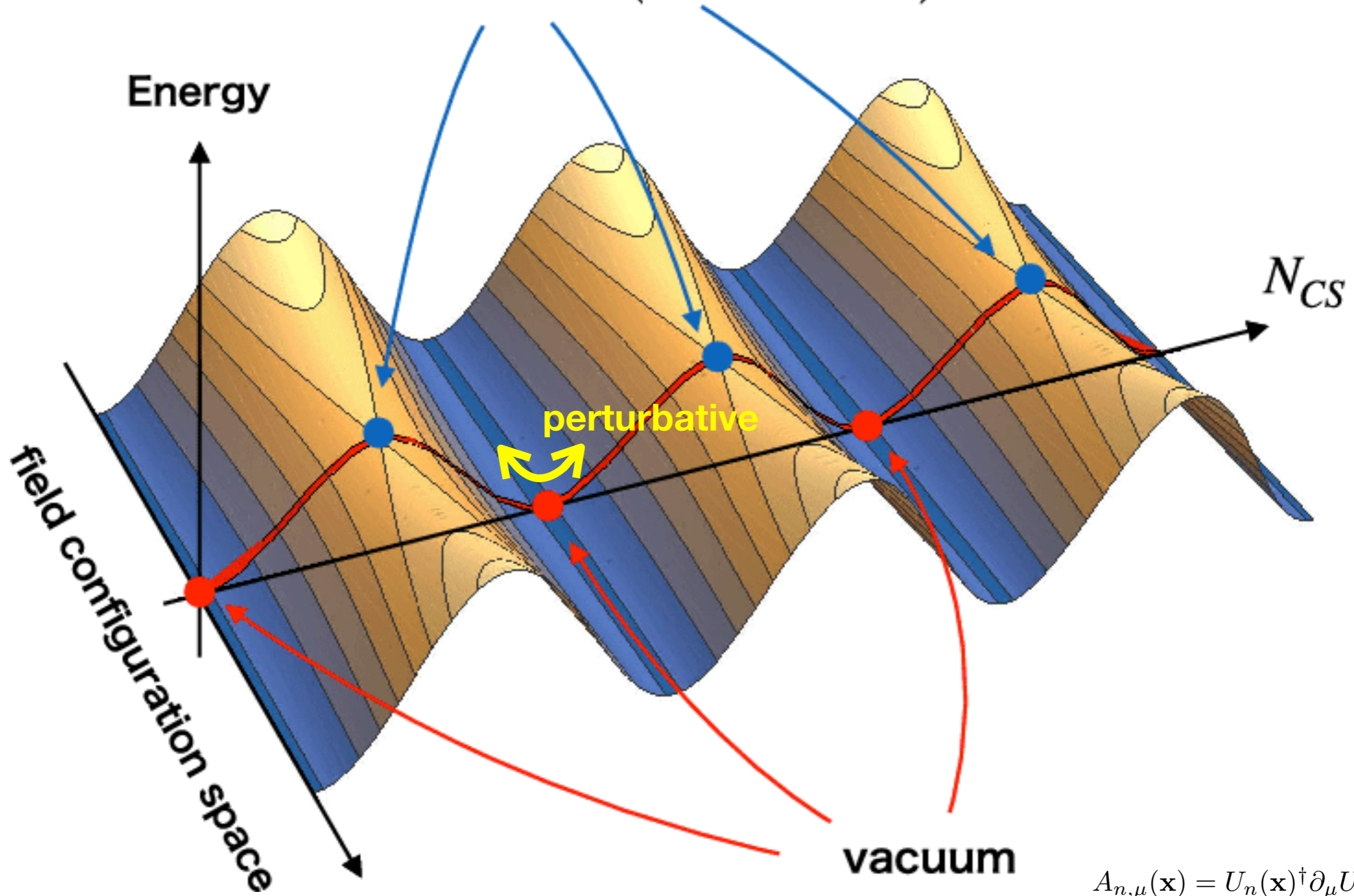
a vacuum: $A_\mu = 0 \iff A_\mu = U^\dagger \partial_\mu U$ $SU(2) \ni U = a + i(\mathbf{b} \cdot \boldsymbol{\sigma})$
 $a^2 + \mathbf{b}^2 = 1$

- There are as many vacua as $U_{ij}(\mathbf{x})$



The map has distinctive sectors classified by the winding number!

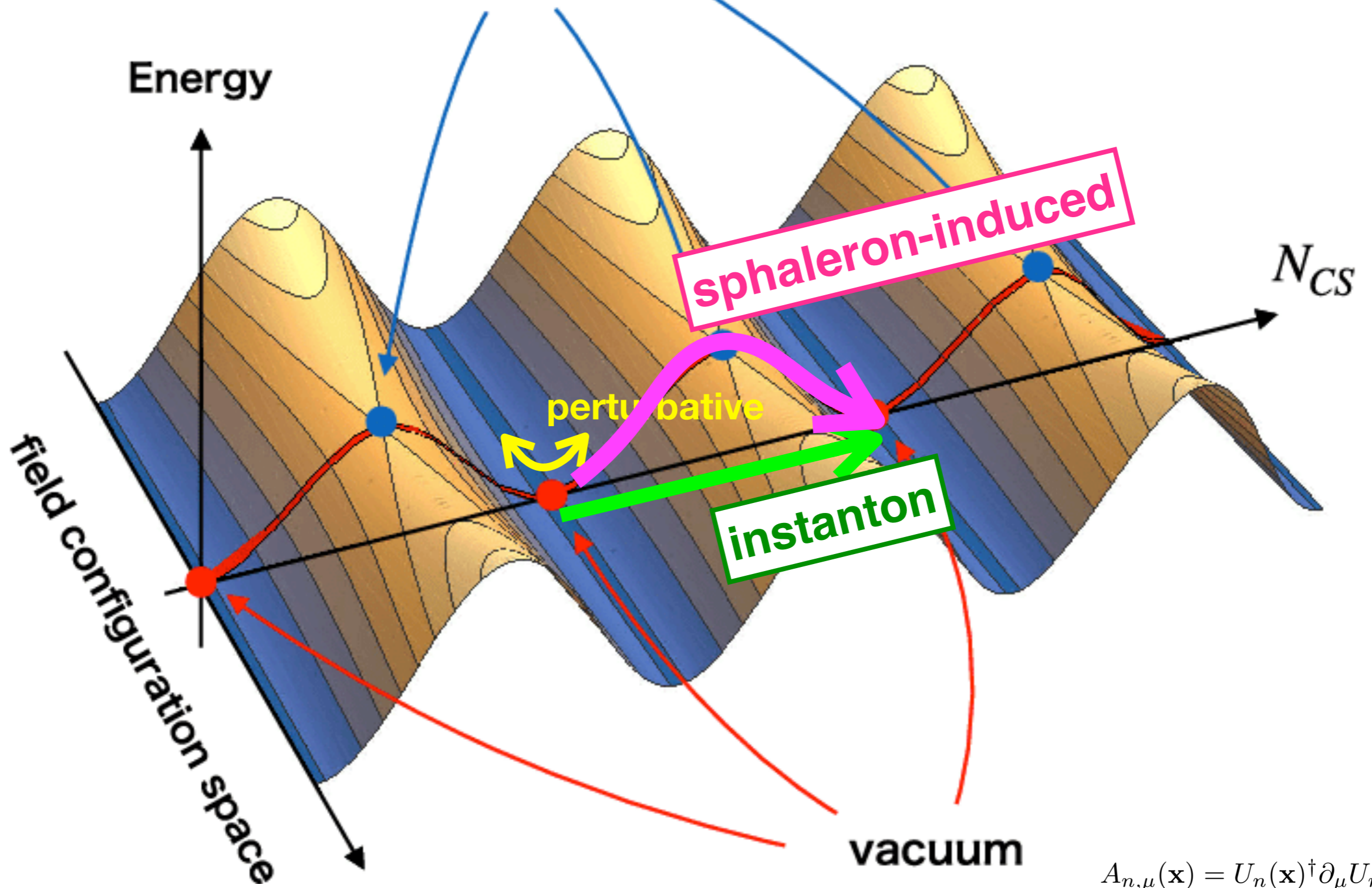
sphaleron $\left(N_{CS} = \frac{1}{2}, \frac{3}{2}, \dots \right)$



$$A_{n,\mu}(\mathbf{x}) = U_n(\mathbf{x})^\dagger \partial_\mu U_n(\mathbf{x})$$

$$U_n(\mathbf{x}) = \exp\left(in\pi \frac{\mathbf{x} \cdot \boldsymbol{\sigma}}{\sqrt{\mathbf{x}^2 - \rho^2}} \right)$$

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$$U_n(\mathbf{x}) = \exp\left(in\pi \frac{\mathbf{x} \cdot \boldsymbol{\sigma}}{\sqrt{\mathbf{x}^2 - \rho^2}} \right)$$

How does it look like?

- A “current” carrying the winding number:

$$K_\mu = \frac{1}{8\pi^2} \epsilon_{\mu\nu\rho\sigma} \text{tr} A^\nu (\partial^\rho A^\sigma + \frac{2}{3} A^\rho A^\sigma)$$

- One can show

$$\int K_0(A_n(\mathbf{x})) d^3x = n, \quad \frac{1}{16\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu} = \partial^\mu K_\mu$$

- This implies

$$\begin{aligned} \int \frac{1}{16\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu} d^4x &= \int \partial^\mu K_\mu d^3x dt = \left[\int K_0(t, \mathbf{x}) d^3x \right]_{t=-\infty}^{t=\infty} \\ &= n(t = \infty) - n(t = -\infty) = \Delta n \end{aligned}$$

How does it look like?

$$\Delta n = \frac{g^2}{16\pi^2} \int \text{Tr} \left[F_{\mu\nu} \tilde{F}^{\mu\nu} \right] d^4x$$

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$$\Delta n = \frac{g^2}{16\pi^2} \int \text{Tr} \left[F_{\mu\nu} \tilde{F}^{\mu\nu} \right] d^4x = \Delta N_F$$

anomaly

SU(2) charged fermion

The diagram shows the equation $\Delta n = \frac{g^2}{16\pi^2} \int \text{Tr} [F_{\mu\nu} \tilde{F}^{\mu\nu}] d^4x = \Delta N_F$. A curly bracket under the integrand is labeled "anomaly". An arrow points from the text "SU(2) charged fermion" to the N_F term in the equation.

How does it look like?

$$\Delta n = \frac{g^2}{16\pi^2} \int \text{Tr} \left[F_{\mu\nu} \tilde{F}^{\mu\nu} \right] d^4x \stackrel{\text{anomaly}}{=} \begin{cases} \Delta N_{q_1^r} \\ \Delta N_{q_1^g} \\ \Delta N_{q_1^b} \\ \Delta N_{\ell_1} \end{cases} \times 3 \text{ flavour}$$

How does it look like?

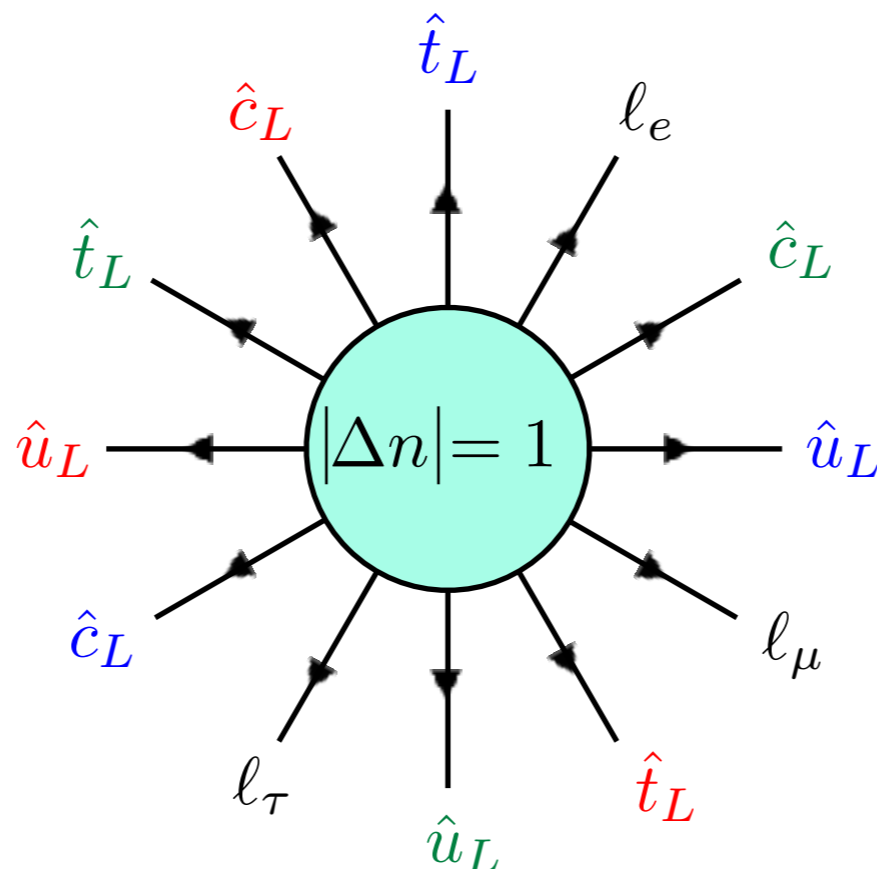
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- ΔN_{CS} is related to the change of SU(2) charged fermion numbers.

$$\Delta B = \Delta L = 3\Delta N_{CS}$$

$$\Delta(B + L) \neq 0$$

$$\Delta(B - L) = 0$$



$|\Delta n| = 1$ transition
creates 12 fermions
altogether!

Party at collider!

The tunnelling rate can be estimated using the WKB approximation as

$$\langle n | n + \Delta n \rangle \sim e^{-\hat{S}_E}$$

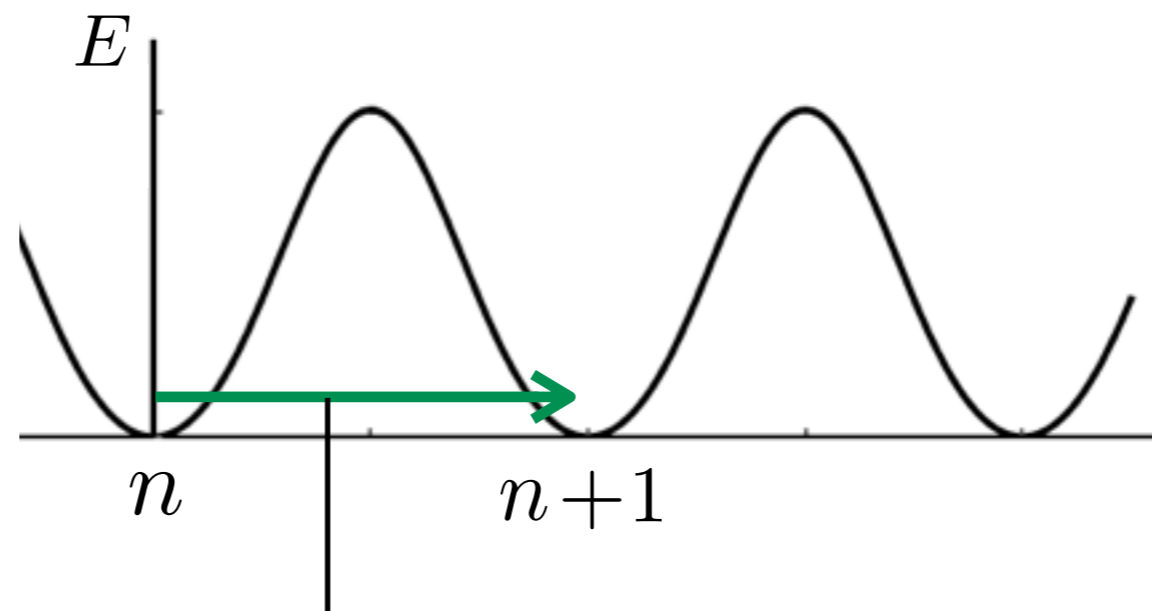
S_E is the Euclidean action at the stationary point, which is given by

$$\begin{aligned} \hat{S}_E &= \frac{1}{2g^2} \int F F d^4 x \\ &= \frac{1}{2g^2} \left| \int F \tilde{F} d^4 x \right| \\ &= \frac{8\pi^2}{g^2} |\Delta n| \end{aligned}$$

Note that:

$$\int (F \pm \tilde{F})^2 d^4 x \geq 0$$

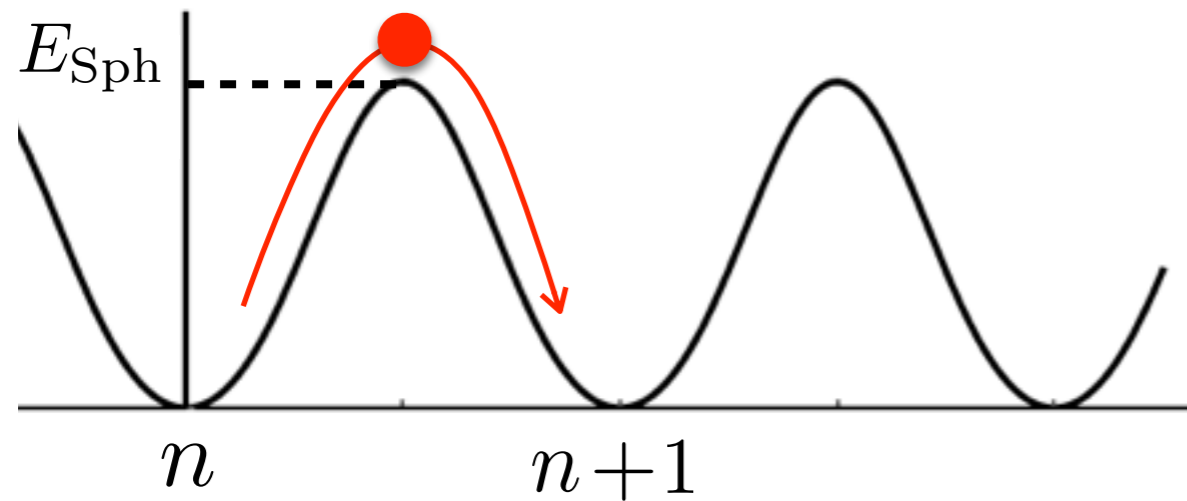
$$\implies \int F F d^4 x \geq \left| \int F \tilde{F} d^4 x \right|$$



$$e^{-\frac{4\pi}{\alpha_W}} \sim 10^{-170}$$

The tunnelling rate is unobservably small

The barrier height was calculated by
F.R.Klinkhamer and N.S.Manton (1984)



$$E_{\text{Sph}} = \frac{2m_W}{\alpha_W} B\left(\frac{m_H}{m_W}\right)$$
$$\simeq 9 \text{ TeV} \quad (\text{for } m_H = 125 \text{ GeV})$$

- At high temperature, the sphaleron rate may be unsuppressed.

$$\Gamma \propto \exp\left(-\frac{E_{\text{Sph}}(T)}{T}\right)$$

It plays an important role in baryo(lepto)genesis.

What happens for the high energy (zero temperature) case?

Cross-section estimate

LSZ formula:

$$\langle f | S | i \rangle = \left[i \int d^4 x_1 e^{-ip_1 x_1} (\square_1 + m_1^2) \right] \cdots \left[i \int d^4 x_n e^{-ip_n x_n} (\square_n + m_n^2) \right] \cdot \langle \Omega | T \{ \phi_1(x_1) \cdots \phi_n(x_n) \} | \Omega \rangle$$

Path-integral:

$$\langle \Omega | T \{ \phi_1(x_1) \cdots \phi_n(x_n) \} | \Omega \rangle = \frac{\int \mathcal{D}\phi \phi_1(x_1) \cdots \phi_n(x_n) e^{iS[\phi]}}{\int \mathcal{D}\phi e^{iS[\phi]}}$$

- Matrix elements for $\Delta n = 1$ processes may be obtained by

$$i\mathcal{M}(\Delta n = 1) = \frac{\int \mathcal{D}\phi \Big|_{\Delta n=1} \phi_1(x_1) \cdots \phi_1(x_n) e^{iS}}{\int \mathcal{D}\phi e^{iS}} \Bigg|_{\text{LSZ}}$$

$$\sim \frac{\int \mathcal{D}\delta\phi \tilde{\phi}_1(x_1) \cdots \tilde{\phi}_1(x_n) e^{iS(\tilde{\phi} + \delta\phi)}}{\int \mathcal{D}\phi e^{iS}} \Bigg|_{\text{LSZ}}$$

stationary configuration
with $\Delta n = 1$



$$\phi_i = \tilde{\phi}_i + \delta\phi_i$$

- The LO Matrix Element in the *instanton background*

$$i\mathcal{M} \sim \int \mathcal{D}q \mathcal{D}W \mathcal{D}\phi q(x_1) \cdots q(x_{12}) W(y_1) \cdots W(y_{n_W}) \phi(z_1) \cdots \phi(z_{n_h}) \exp(-S_E) \Big|_{\text{LSZ}}$$

[Ringwald '90, Espinosa '90]

- The LO Matrix Element in the *instanton background*

$$i\mathcal{M} \sim \int \mathcal{D}q \mathcal{D}W \mathcal{D}\phi \, q(x_1) \cdots q(x_{n_q}) W(y_1) \cdots W(y_{n_W}) \phi(z_1) \cdots \phi(z_{n_h}) \exp(-S_E) \Big|_{\text{LSZ}}$$

- Evaluate it at the instanton configuration:

[Ringwald '90, Espinosa '90]

$$W_{\text{inst}}^{\mu a} \simeq \frac{2\rho^2}{g} U_{ab} \frac{\bar{\eta}_{b\mu\nu} (x - x_0)_\nu}{(x - x_0)^2 [(x - x_0)^2 + \rho^2]} \quad \phi_{\text{inst}}(x) \simeq v \left[\frac{(x - x_0)^2}{(x - x_0)^2 + \rho^2} \right]^{1/2}$$

orientation
position
size

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- Integration over orientation, position, size and phase-space:

$$\sigma(n_W, n_h) \sim \int |\mathcal{M}|^2 \cdot d\Phi_{\text{PS}}$$

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- Result

[Ringwald '90, Espinosa '90]

$$\sigma_{\text{LO}}(n_W, n_h) \sim \mathcal{G}^2 2^{n_W} v^{-2n} \left[\frac{\Gamma(n + 103/12)}{\Gamma(103/12)} \right]^2 \frac{1}{n_B! n_H!} \quad \mathcal{G} = 1.6 \times 10^{-101} \text{ GeV}^{-14}$$

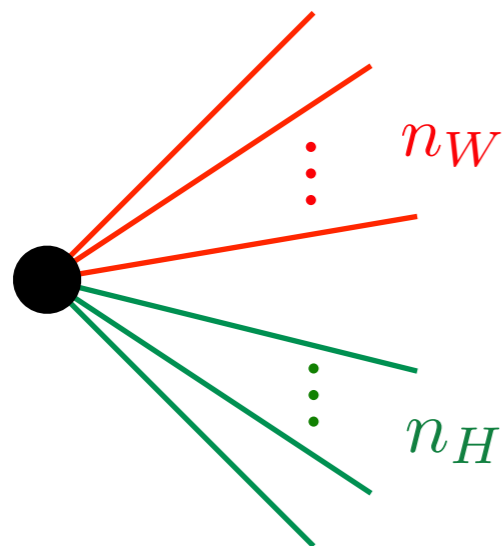
$$\times \int \prod_{i=1}^{10} \frac{d^3 p_i}{(2\pi)^3 2E_i} E_i \prod_{j=1}^{n_B} \frac{d^3 p_j}{(2\pi)^3 2E_j} \frac{2(4E_j^2 - m_W^2)}{m_W^2} \prod_{k=1}^{n_H} \frac{d^3 p_k}{(2\pi)^3 2E_k} (2\pi)^4 \delta^{(4)} \left(P_{\text{in}} - \sum_{i=1}^{10} p_i - \sum_{j=1}^{n_B} p_j - \sum_{k=1}^{n_H} p_k \right)$$

The cross-section grows with energy and the number of final state bosons.

$$i\mathcal{M} \sim \int \mathcal{D}q \mathcal{D}W \mathcal{D}\phi q(x_1) \cdots q(x_{12}) W(y_1) \cdots W(y_{n_W}) \phi(z_1) \cdots \phi(z_{n_H}) \exp(-S_E) \Big|_{\text{LSZ}}$$

$$\begin{array}{c}
 \text{FT} \\
 \downarrow \\
 A_{\mu}^{\text{inst } a}(x_i) \rightarrow \frac{4i\pi^2 \rho^2}{g} \frac{\bar{\eta}_{\mu\nu}^a p_i^\nu}{p_i^2 (p_i^2 + m_W^2)} e^{ip_i x_0} \xrightarrow{\text{LSZ}} \boxed{\frac{4i\pi^2 \rho^2}{g} \frac{\bar{\eta}_{\mu\nu}^a p_i^\nu}{p_i^2} e^{ip_i x_0}} \\
 \\
 H^{\text{inst}}(x_j) \rightarrow -\frac{2\pi^2 \rho^2 v}{(p_j^2 + m_H^2)} e^{ip_j x_0} \rightarrow \boxed{-2\pi^2 \rho^2 v e^{ip_j x_0}}
 \end{array}$$

- Multi-particle interaction under the instanton BG is (almost) a point-like vertex



$$i\mathcal{M} \sim \left[\frac{4i\pi^2 \rho^2}{g} \frac{\bar{\eta}_{\mu\nu}^a p_i^\nu}{p_i^2} e^{ip_i x_0} \right]^{n_W} \left[-2\pi^2 \rho^2 v e^{ip_j x_0} \right]^{n_H}$$

$$\Phi_n(Q) \sim (Q^2)^{n-2}$$

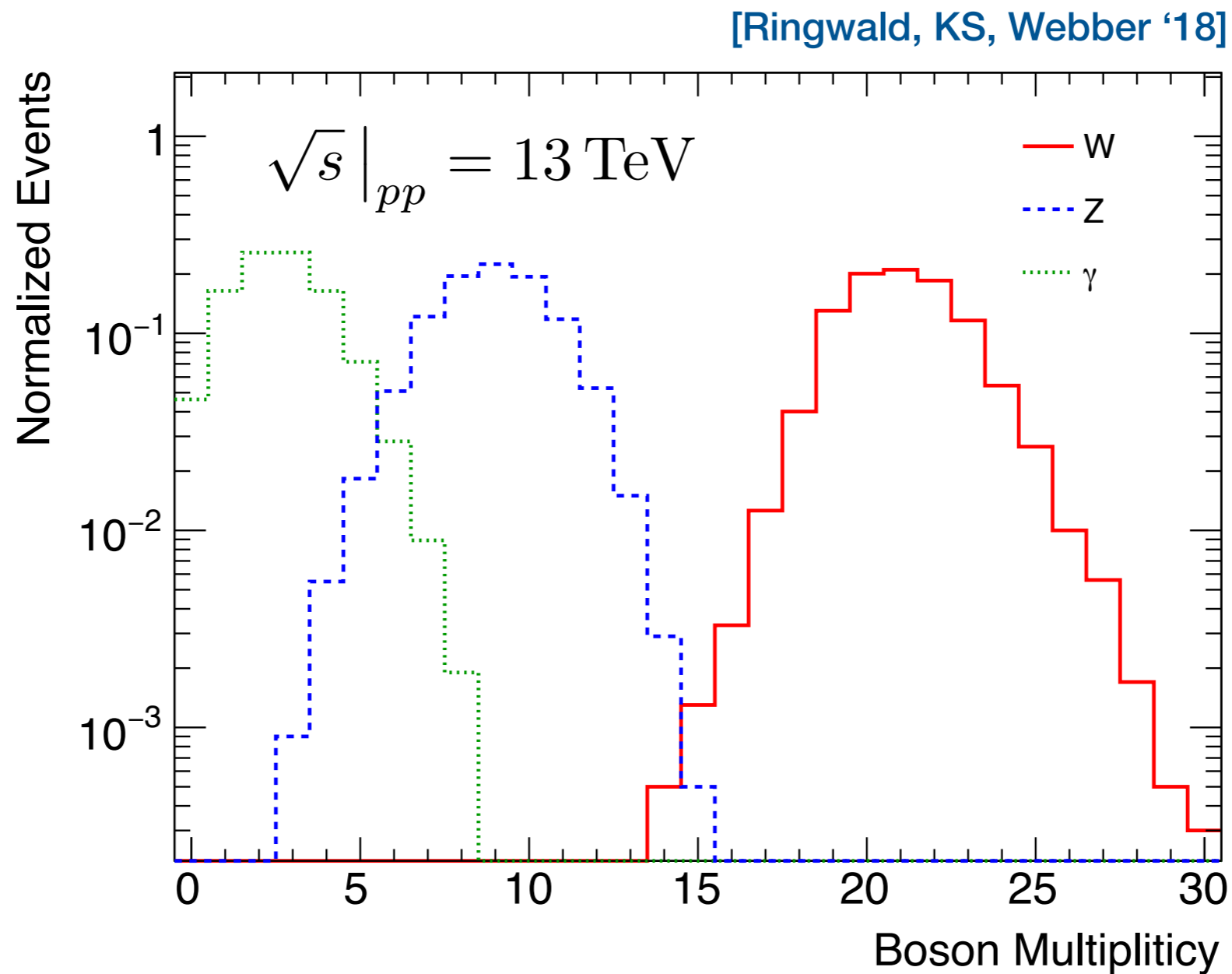


n-body phase-space

Such a vertex is highly unrenormalisable and high energy behaviour is not regulated.

Enhancement at large n_W and n_H .

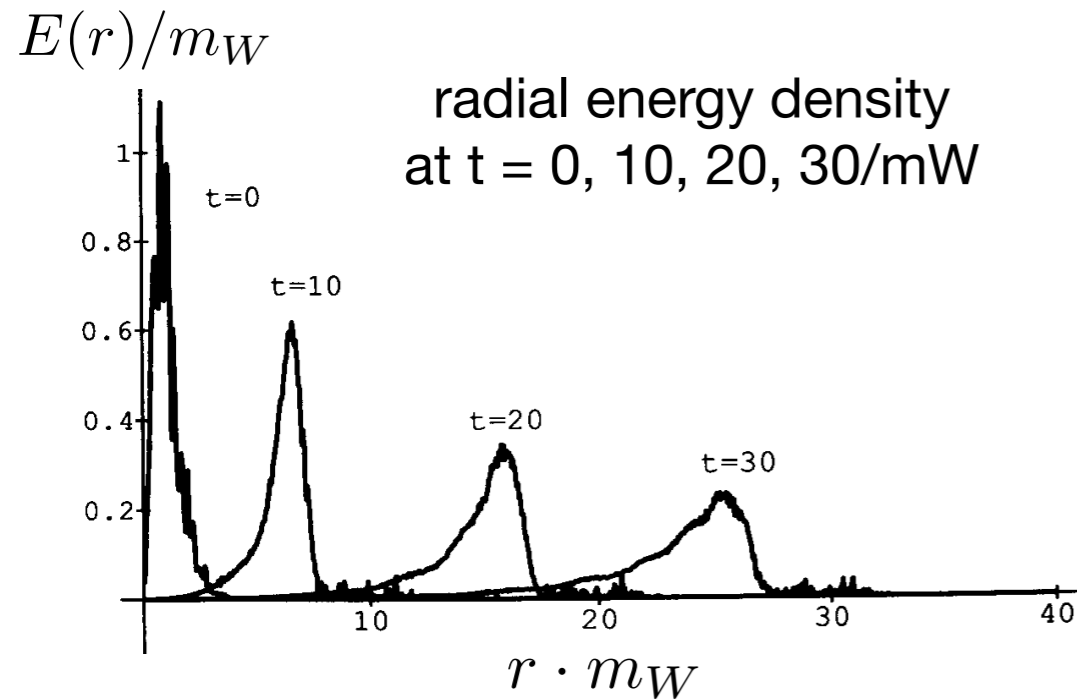
- The MC Event Generator (**HERBVI**) using the LO ME formula:
[Gibbs, Webber '95]



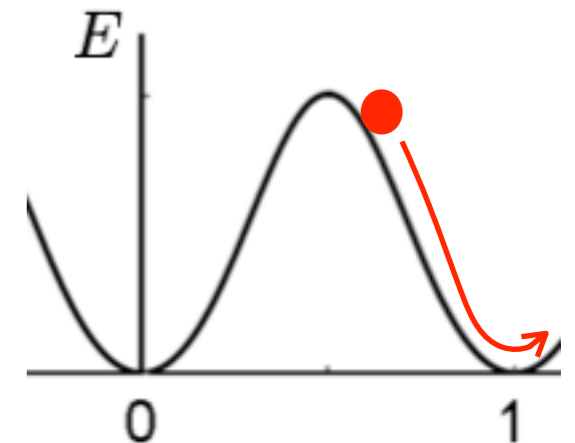
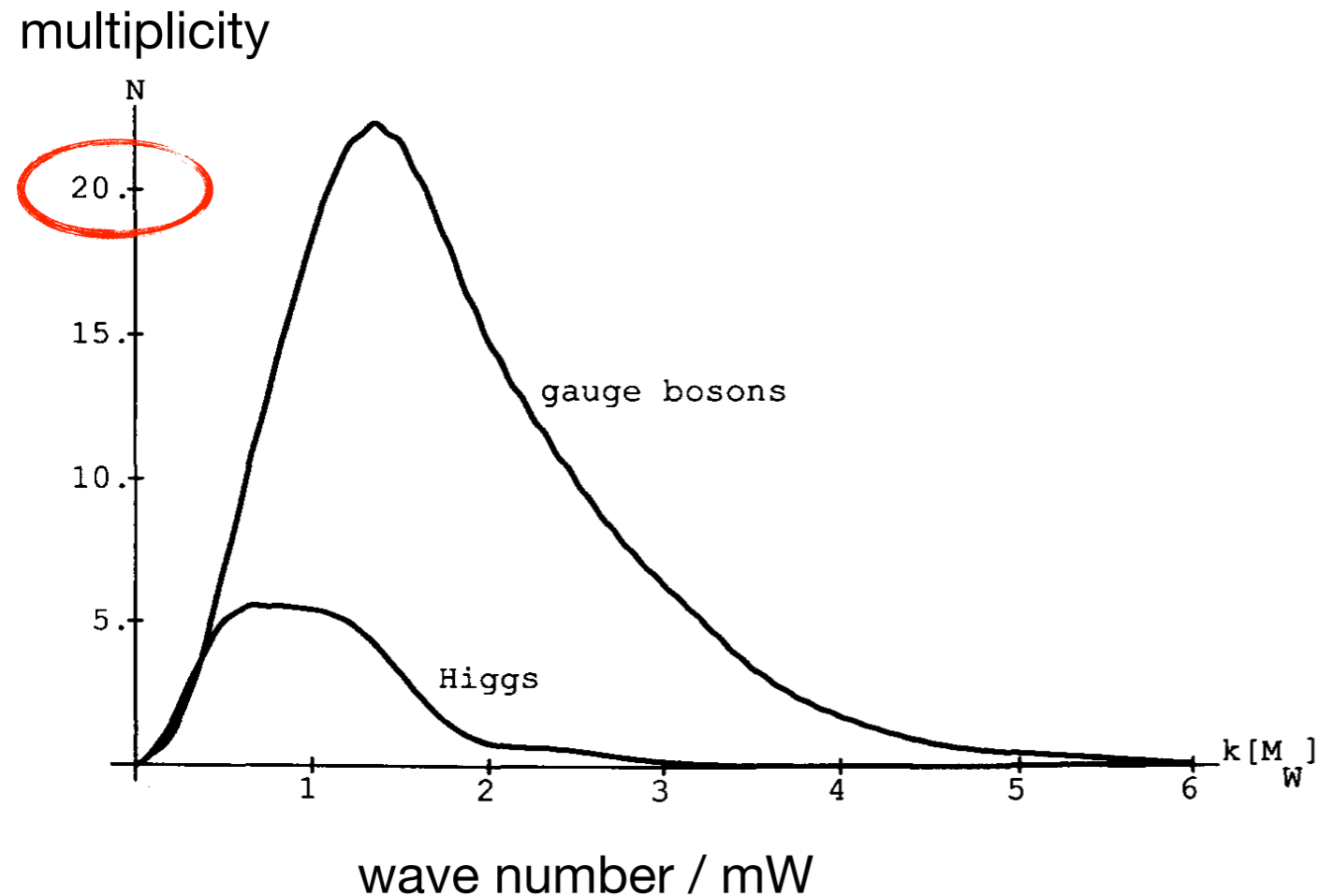
O(30) EW gauge bosons are produced!

Festival at collider!

Real time evolution [Heilmund, Kripfganz '91]



- Prepare an *almost* sphaleron configuration, deviated slightly to the unstable direction.
- Evolve it with EoM and observe the field rump dissipates.
- Fourier expand (expansion in terms of free particle modes) the final state and count the number of W and H bosons.



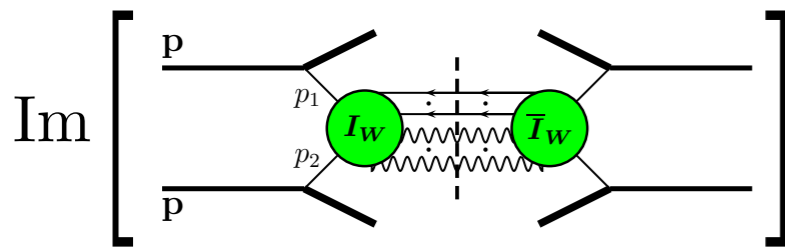
Cross-section Estimate

$$\hat{\sigma}_{\text{incl}}^{\text{BV}} \equiv \sum_{n_W, n_h} \hat{\sigma}_{n_W, n_h}^{\text{BV}} = \frac{P(\epsilon)}{m_W^2} \exp \left[-\frac{4\pi}{\alpha_W} F(\epsilon) \right]$$

$$\epsilon \equiv E \cdot \frac{\alpha_W}{4\pi m_W}$$

- **Optical theorem**

[Khoze, Ringwald '91], ...



- **Semi-Classical method**

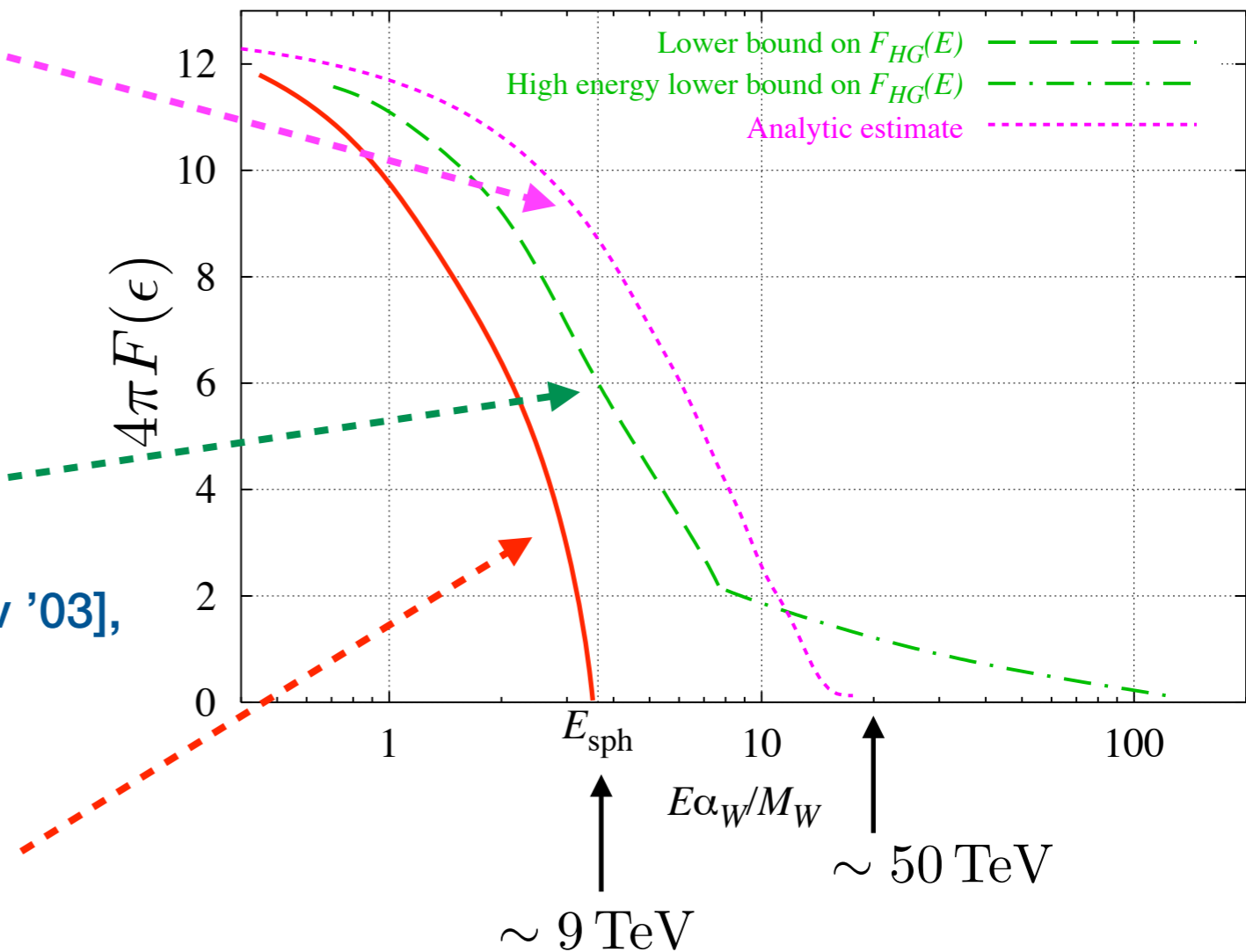
[Bezrukov, Levkov, Rebbi, Rubakov, Tinyakov '03],

[Rubakov, Tinyakov '92], ...

- **Treating Ncs as a dynamical variable**

[Tye, Wong '15 '16]

[Bezrukov, Levkov, Rebbi, Rubakov, Tinyakov '03]



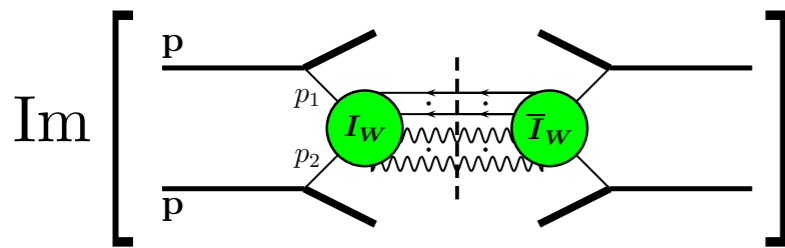
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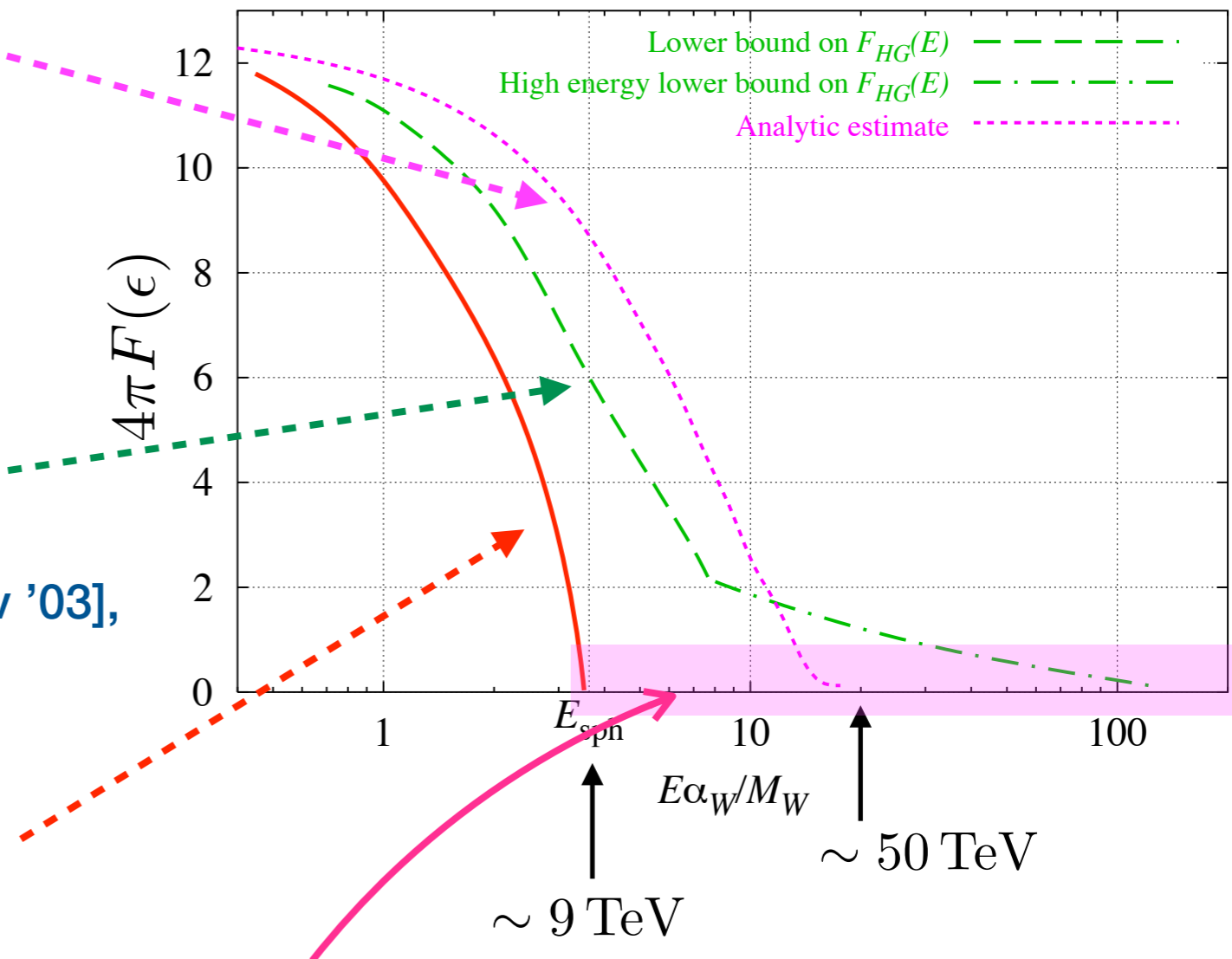
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- **Treating Ncs as a dynamical variable**

[Tye, Wong '15 '16]

[Bezrukov, Levkov, Rebbi, Rubakov, Tinyakov '03]



huge theo. unc. on the energy at which σ turns on

Phenomenological parametrization

partonic:

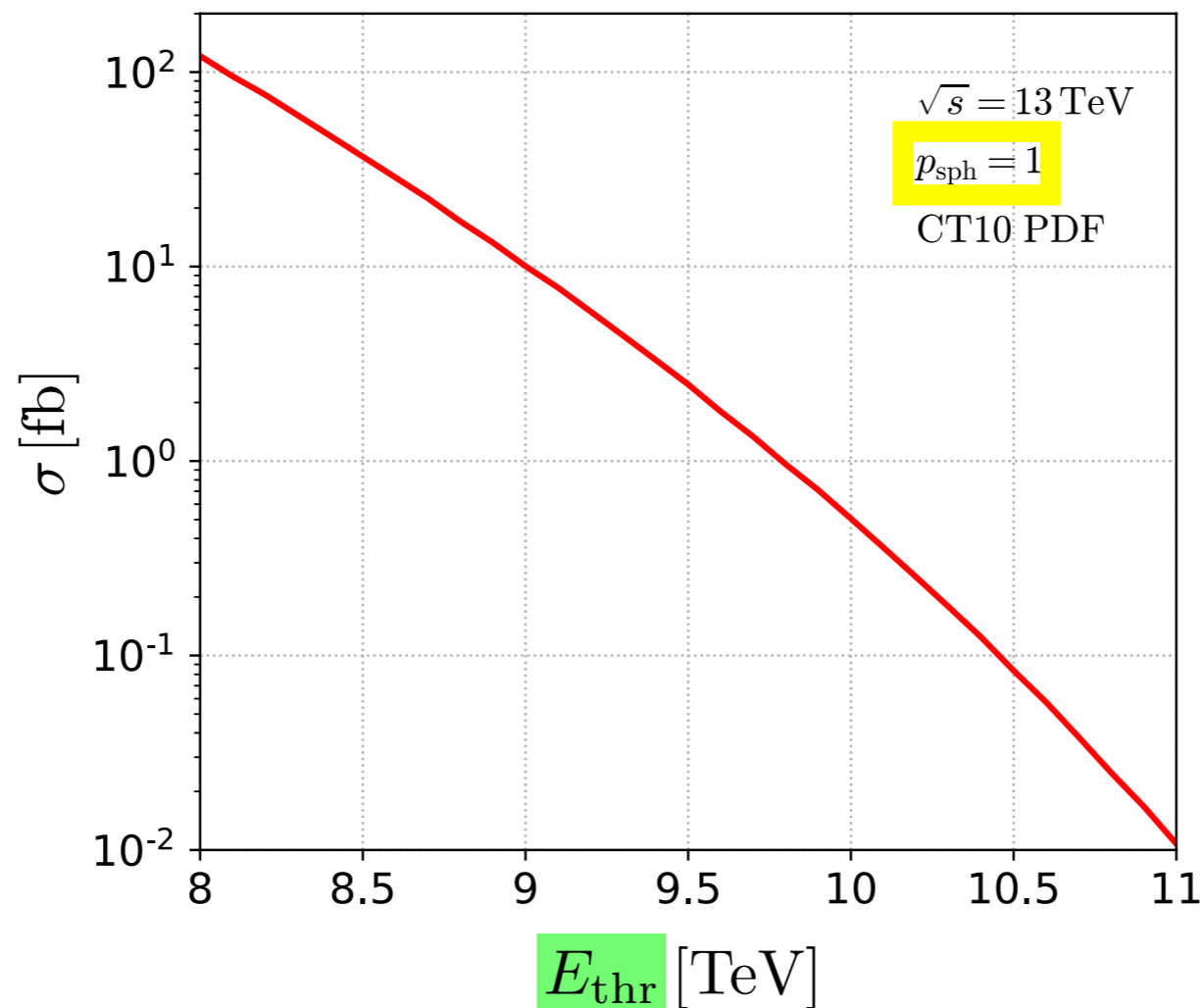
$$\hat{\sigma}_0(\sqrt{\hat{s}}) = \frac{p_{\text{sph}}}{m_W^2} \Theta(\sqrt{\hat{s}} - E_{\text{thr}})$$

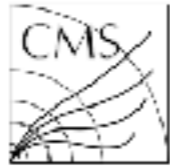
$$\hat{\sigma}_{\text{incl}}^{\text{BV}} \equiv \sum_{n_W, n_h} \hat{\sigma}_{n_W, n_h}^{\text{BV}} = \frac{P(\epsilon)}{m_W^2} \exp\left[-\frac{4\pi}{\alpha_W} F(\epsilon)\right]$$

hadronic:

$$\sigma_{pp}(\sqrt{s}) \sim \sum_{ab} \left(\frac{1}{2}\right)^2 \int dx_1 dx_2 f_a(x_1) f_b(x_2) \hat{\sigma}_0(\sqrt{s x_1 x_2})$$

[Ellis, KS, 1601.03654]





CMS-EXO-17-023



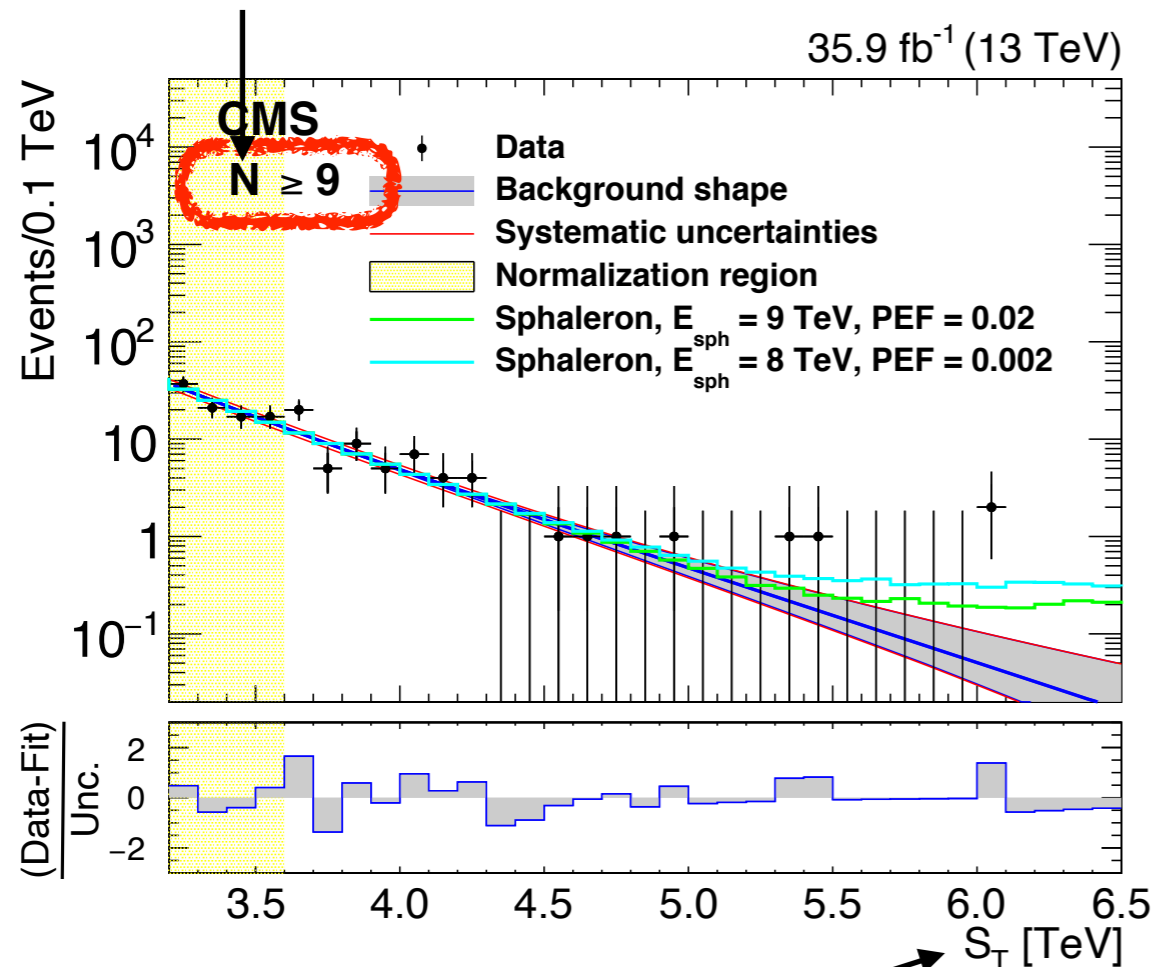
CERN-EP-2018-093
2018/11/16

[1805.06013]

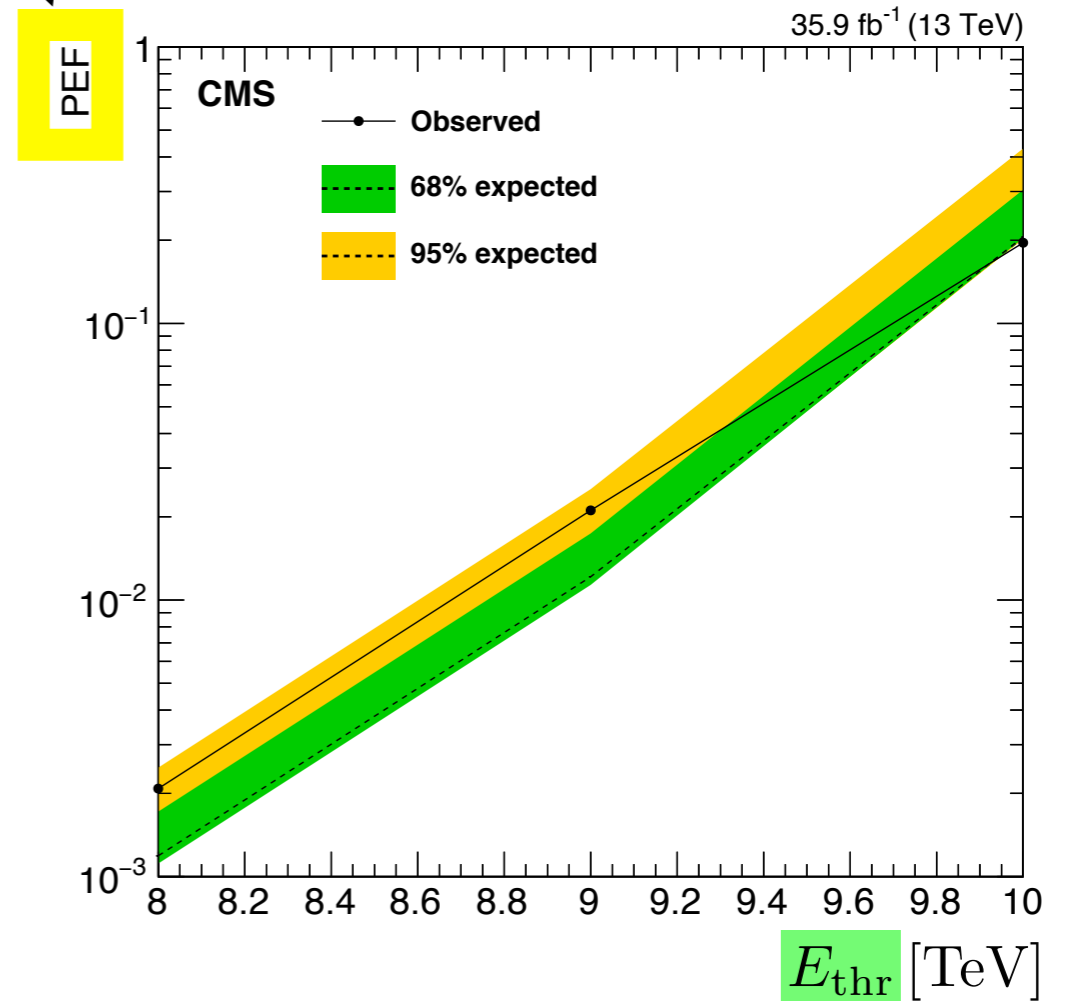
Search for black holes and sphalerons in high-multiplicity final states in proton-proton collisions at $\sqrt{s} = 13$ TeV

$$\hat{\sigma}(\sqrt{\hat{s}}) = \frac{p_{\text{sph}}}{m_W^2} \Theta(\sqrt{\hat{s}} - E_{\text{thr}})$$

of jets + leptons + photons



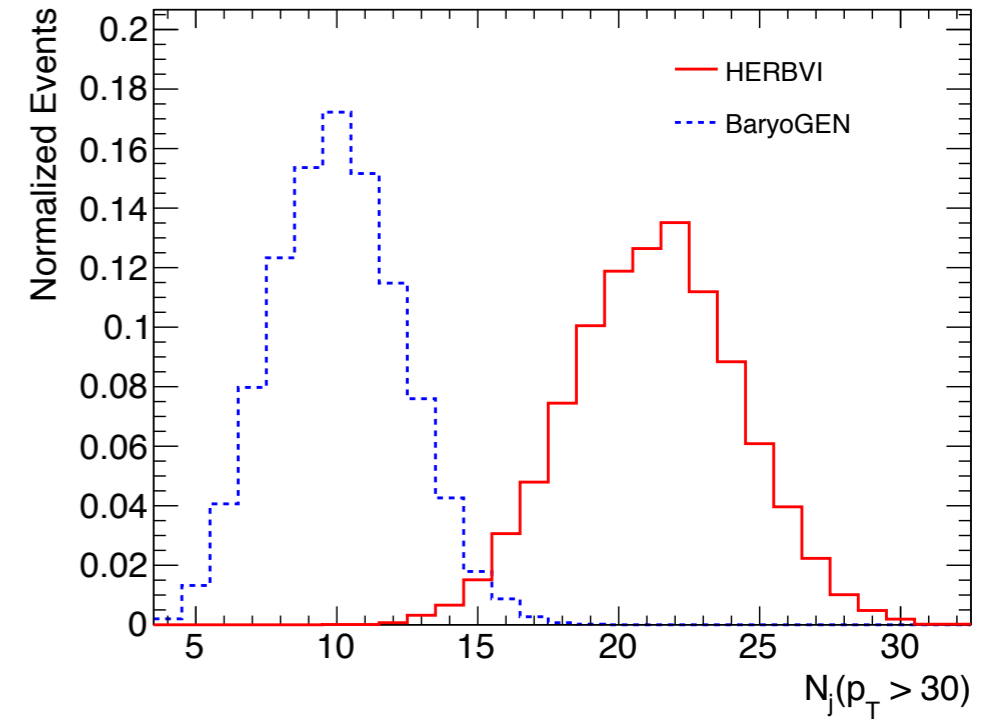
Sum of all pT in the final state



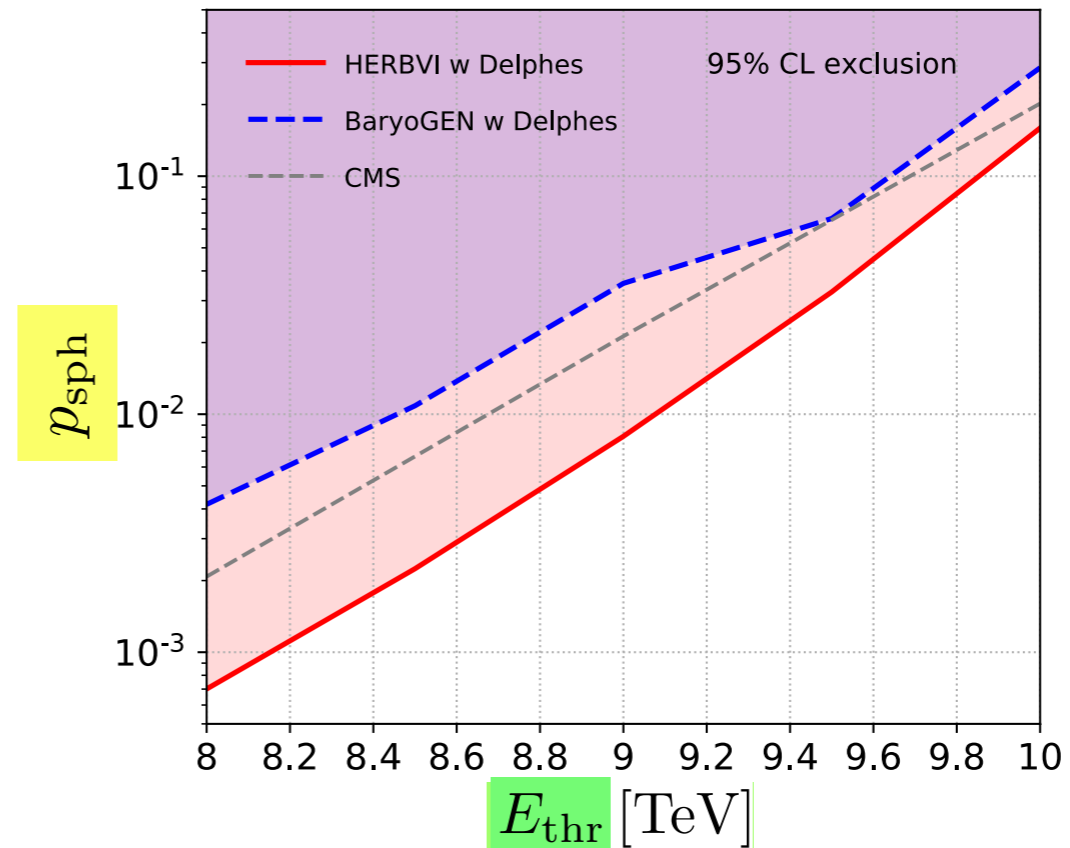
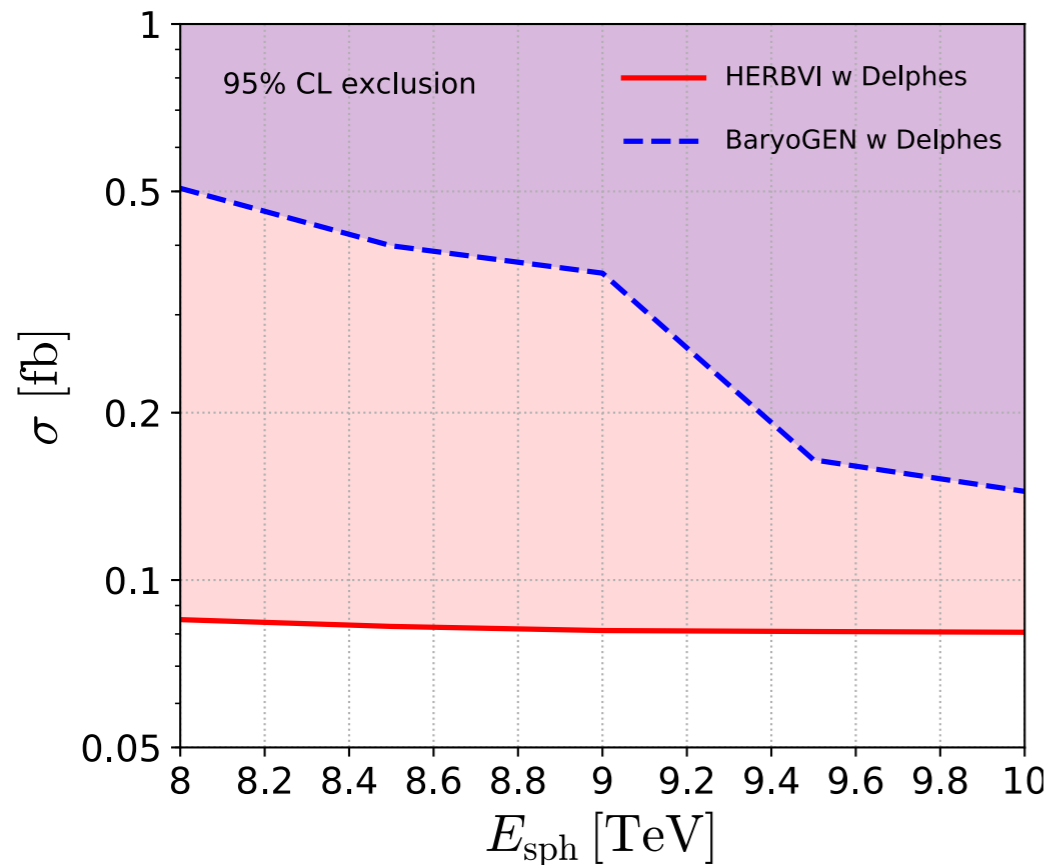
- CMS analysis assumes sphaleron final states **DO NOT** involve any EW bosons.

$$qq \rightarrow \begin{cases} n_q q + 3\ell & \text{[BaryoGEN]} \\ 7q + 3\ell + \sum n_B B & \text{[HERBVI]} \end{cases}$$

jet multiplicity



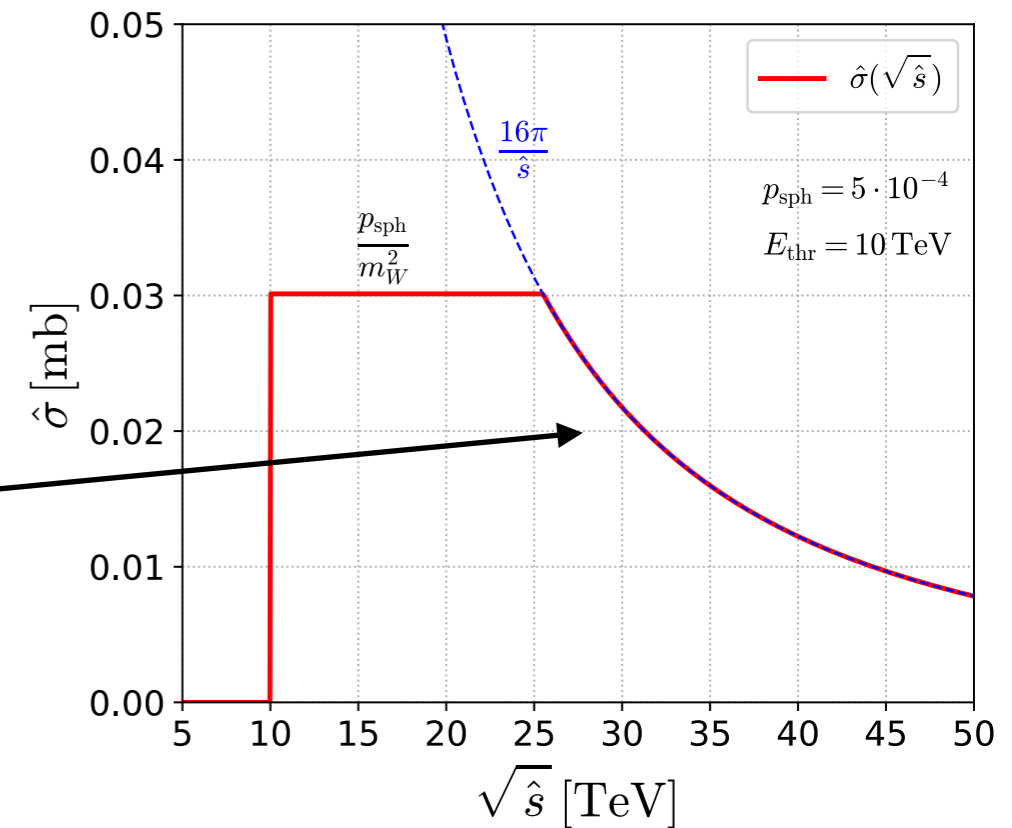
[Ringwald, KS, Webber 1809.10833]



MC Event Generators

	written in	Multi-Boson	Unitarity
HERBVI	Fortran	LO	No (default)
BaryoGEN	C++	No	No
HERWIG7	C++	LO + E_{freeze}	Yes

[A.Papaefstathiou, S.Plätzer, KS 1910.04761]

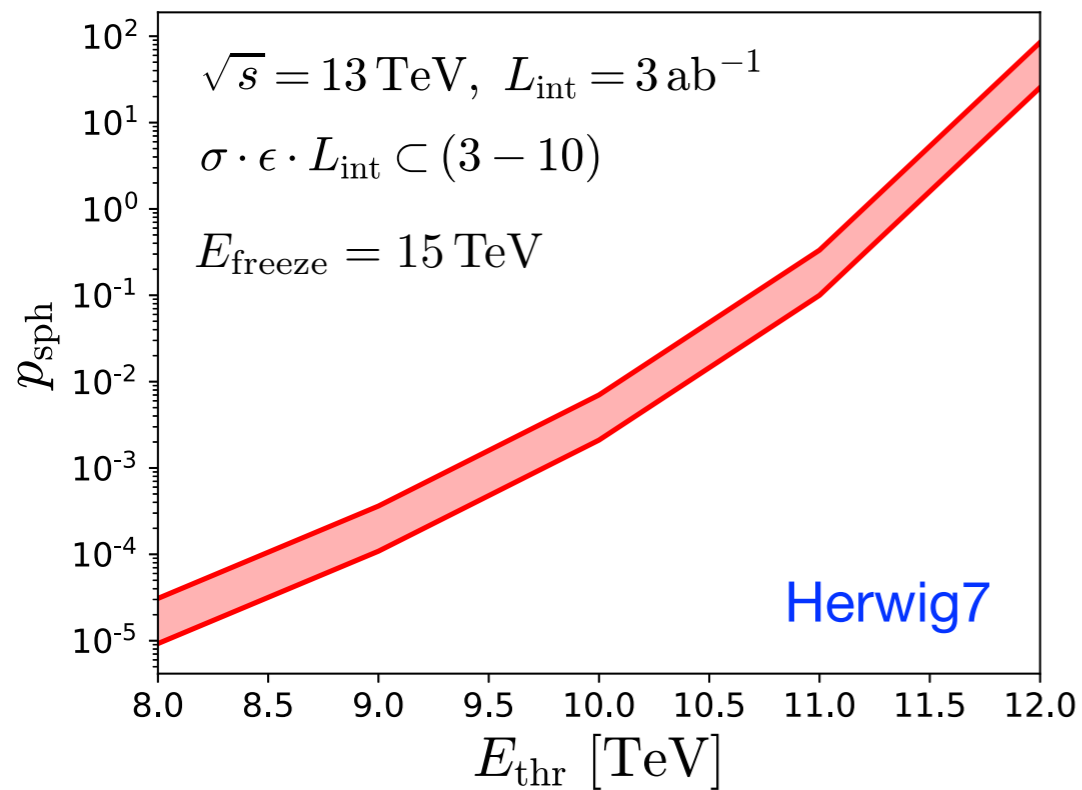


LO prediction cannot be trusted for $\sqrt{s} > \sim E_{\text{sph}}$.

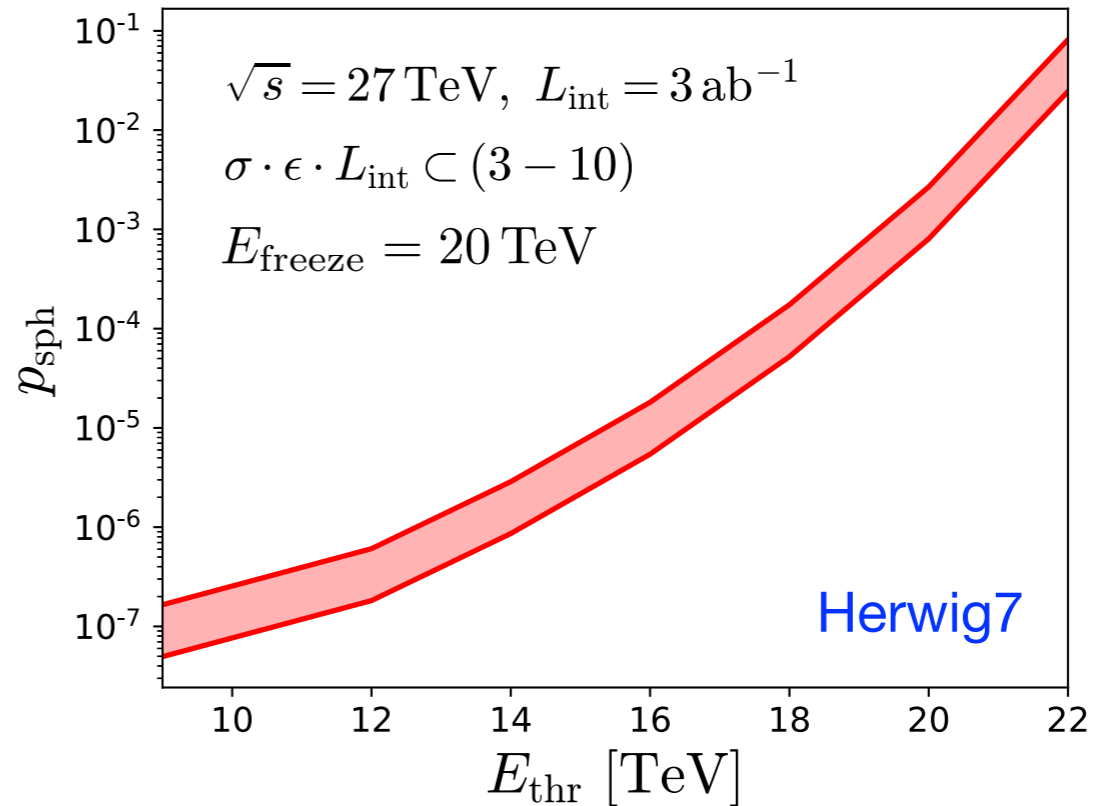
For $\sqrt{s} > E_{\text{freeze}}$, the LO Boson multiplicity distribution is calculated with $\sqrt{s} = E_{\text{freeze}}$.

Future Colliders

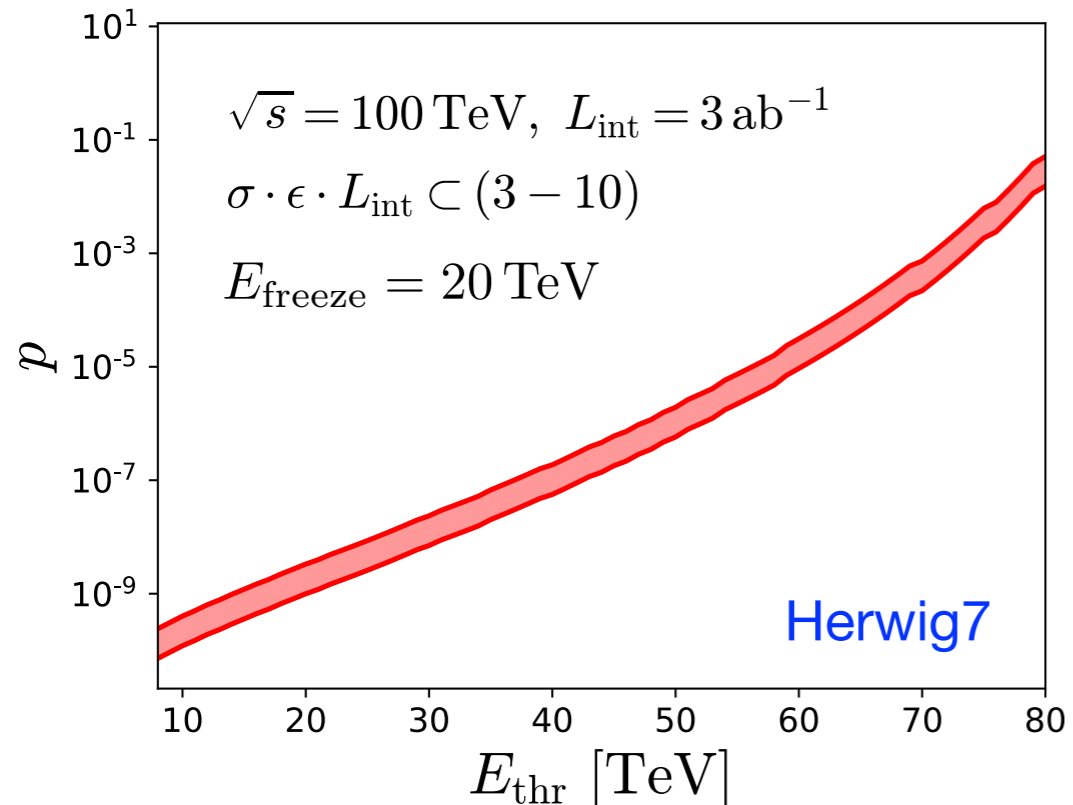
HL-LHC



HE-LHC



FCC₁₀₀



- Event selection

$$\left\{ \begin{array}{l} N(p_T > 100) \geq 11, S_T^{100} > 4 \text{ TeV} \dots \text{HL-LHC} \\ N(p_T > 100) \geq 15, S_T^{100} > 7 \text{ TeV} \dots \text{HE-LHC, FCC100} \end{array} \right.$$

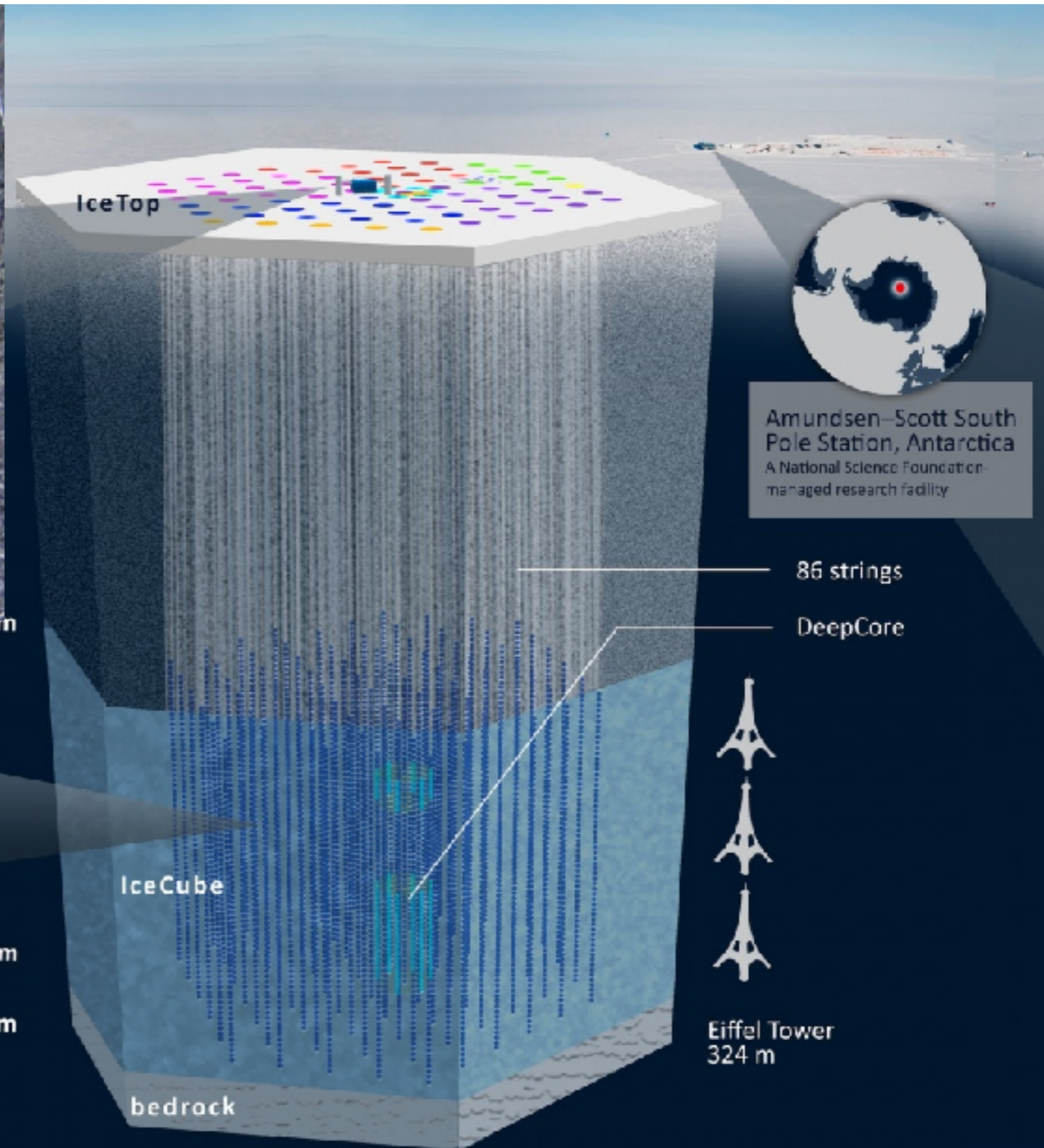
$N(p_T > 100)$: # of visible objects with $p_T > 100 \text{ GeV}$

S_T^{100} : scalar p_T sum of visible objects with $p_T > 100 \text{ GeV}$

Sphalerons @ IceCube



2450 m



Amundsen-Scott South Pole Station, Antarctica
A National Science Foundation managed research facility

86 strings

DeepCore



Eiffel Tower
324 m



Digital Optical Module (DOM)
5,160 DOMs deployed
in the ice

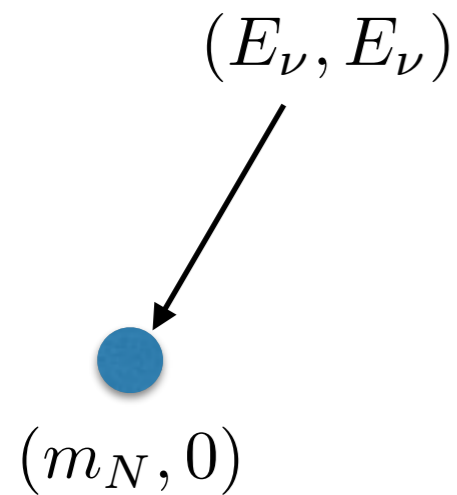
2450 m

2820 m

IceCube

bedrock

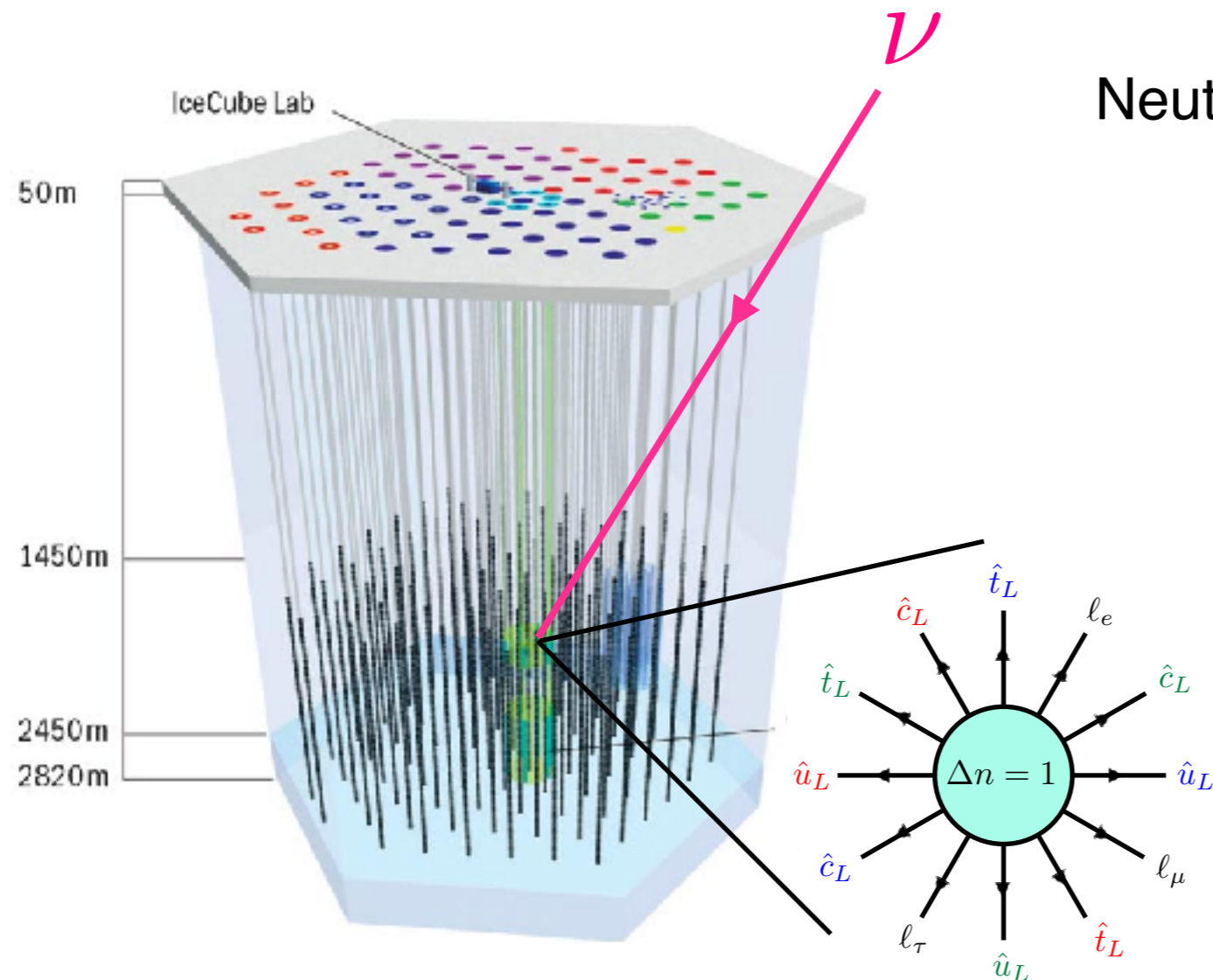
What neutrino energy is required to create a sphaleron?



$$s_{N\nu} = E^2 - p^2 = (m_N + E_N)^2 - E_N^2 \simeq 2m_N E_\nu$$

$$s_{q\nu} \simeq 2xm_N E_\nu \quad (x = E_q/E_N)$$

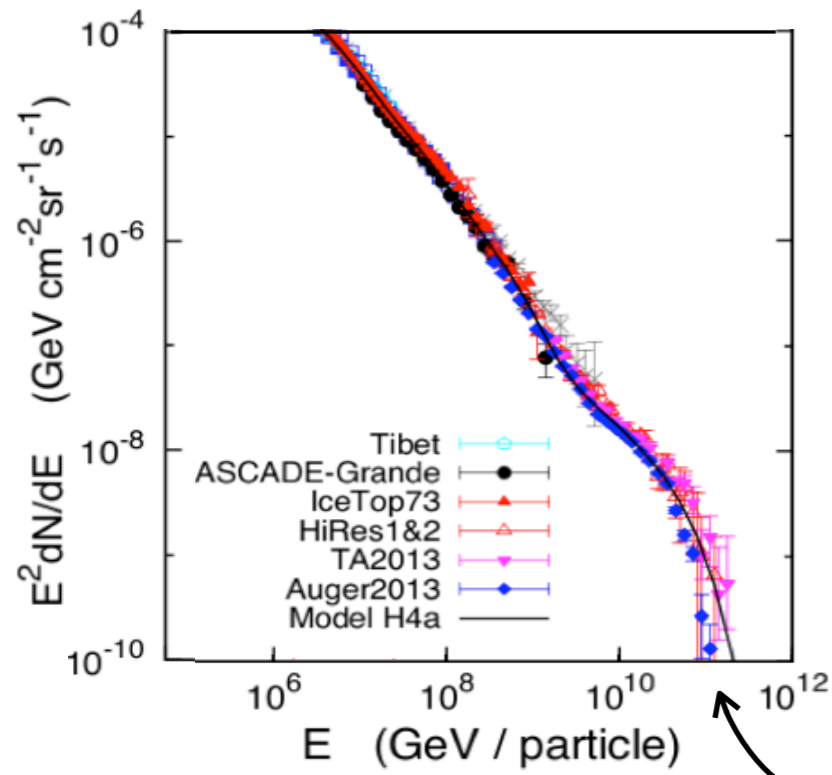
$$E_\nu \geq \frac{E_{\text{Sph}}^2}{2xm_N} \simeq \frac{(9 \text{ TeV})^2}{2x(0.94 \text{ GeV})} \simeq \frac{4 \cdot 10^7}{x} \text{ GeV}$$



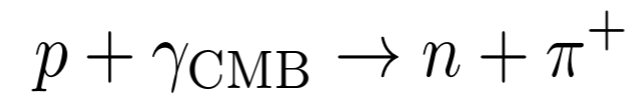
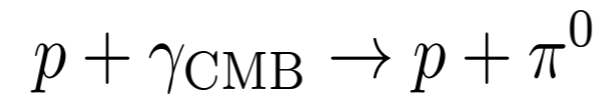
Neutrino energy needed to create sphalerons:

$$E_\nu \gtrsim 10^{8-10} \text{ GeV}$$

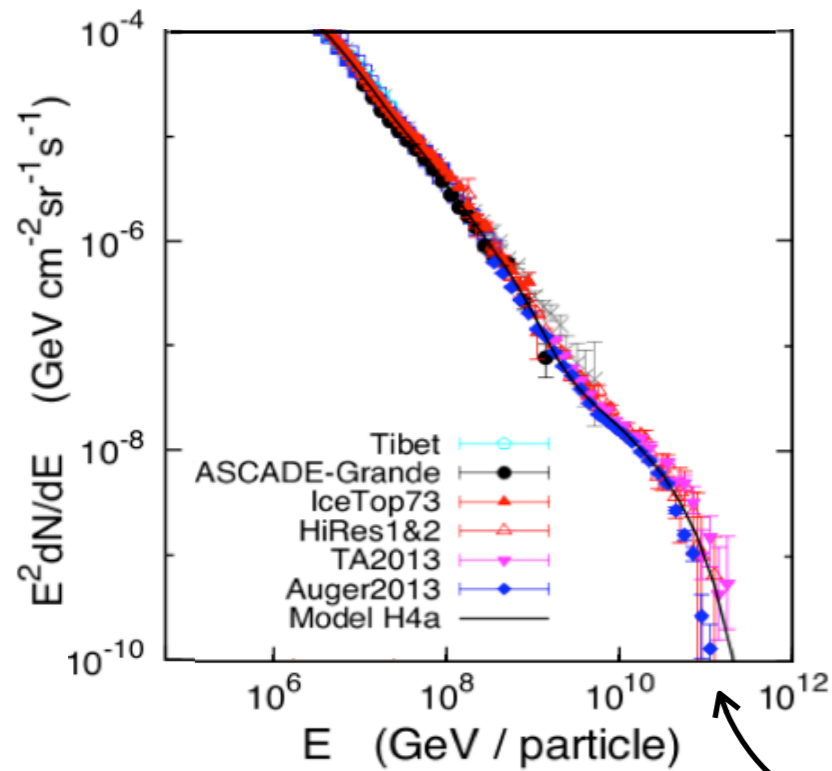
$$(\text{for } 10^{-3} \lesssim x \lesssim 10^{-1})$$



Greisen–Zatsepin–Kuzmin (GZK) processes:



GZK cutoff energy: $E_p \sim 3 \cdot 10^{11} \text{ GeV}$



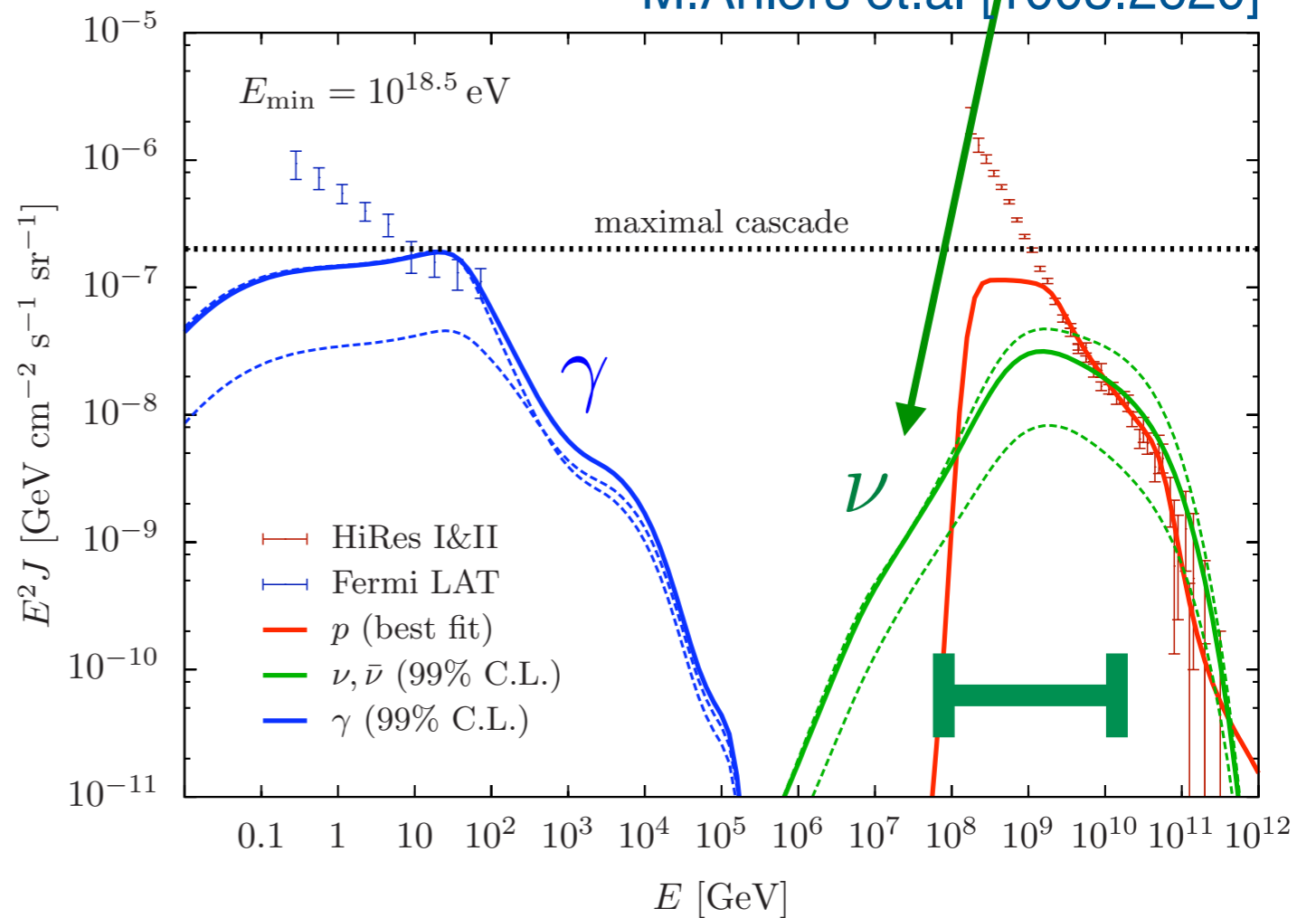
Greisen–Zatsepin–Kuzmin (GZK) processes:

$$p + \gamma_{\text{CMB}} \rightarrow p + \pi^0 : \pi^0 \rightarrow \gamma\gamma$$

$$p + \gamma_{\text{CMB}} \rightarrow n + \pi^+ : \pi^+ \rightarrow \mu^+ \nu_\mu \rightarrow e^+ \nu_e \bar{\nu}_\mu \nu_\mu$$

GZK cutoff energy: $E_p \sim 3 \cdot 10^{11}$ GeV

M.Ahlers et.al [1005.2620]

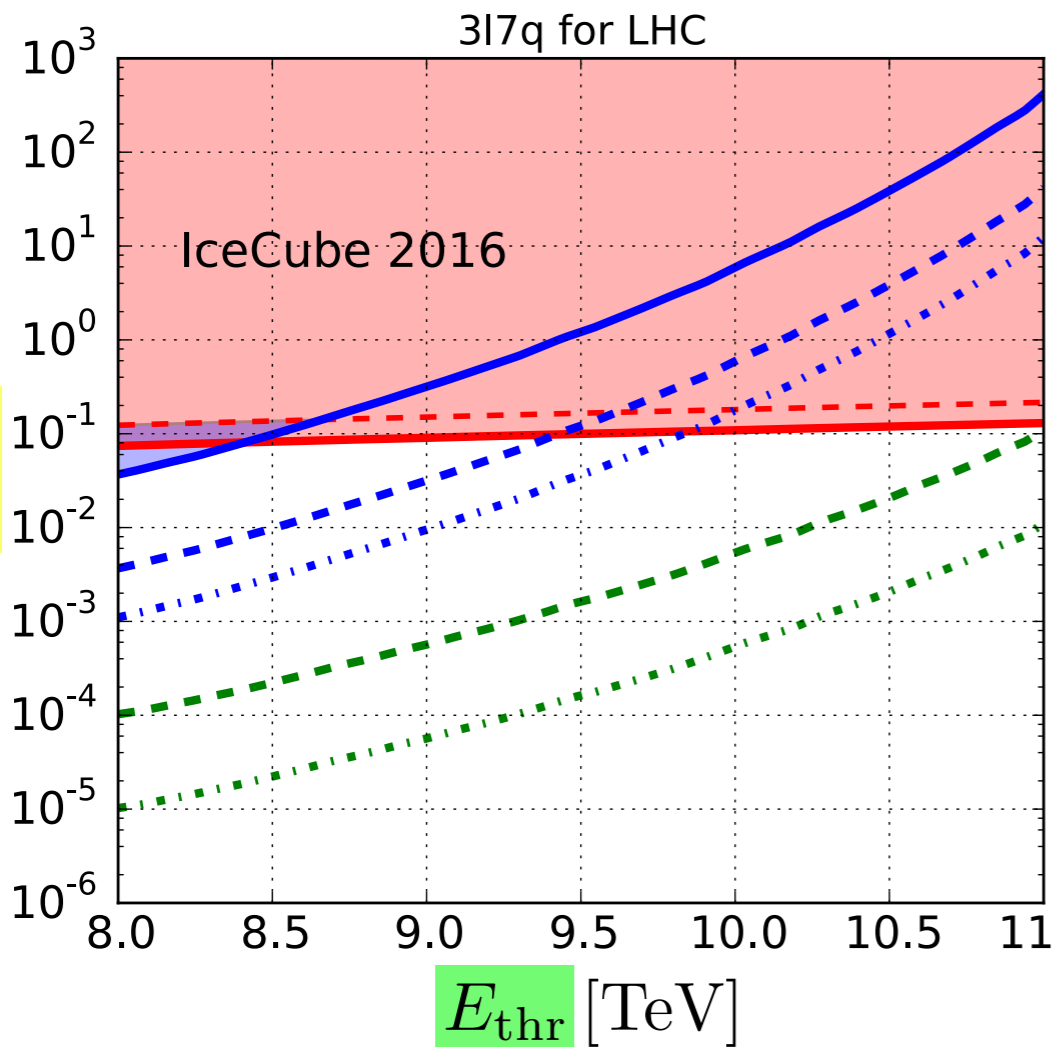
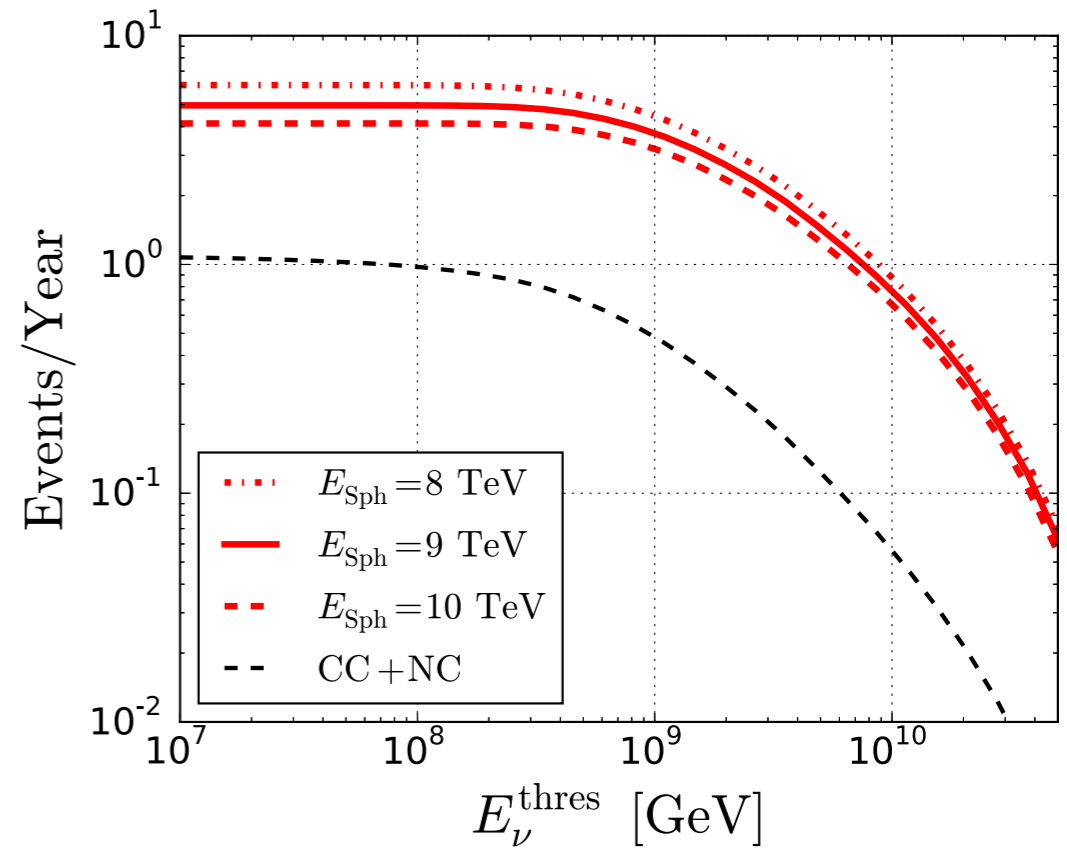


$$E_\nu^{\text{Sph}} \gtrsim 10^{8-10} \text{ GeV}$$

[Ellis, KS, Spannowsky 1603.06573]

$$\frac{dN_{\text{Sph}}}{dt} = \int_{E_{\text{thre}}} dE_{\nu} \frac{\sigma_{\nu N}^{\text{Sph}}(E_{\nu})}{\sigma_{\nu N}^{\text{CC/NC}}(E_{\nu})} A_{\text{eff}}(E_{\nu}) \frac{d^2\Phi}{dE_{\nu}dt}$$

$$\hat{\sigma}(\sqrt{\hat{s}}) = \frac{p_{\text{sph}}}{m_W^2} \Theta(\sqrt{\hat{s}} - E_{\text{thr}})$$



EW instantons/sphalerons at cosmic ray air showers:

[Brooijmans, Schichtel, Spannowsky 1602.00647]

[Y.Jho, S.Chan.Park 1806.03063]

Conclusions

- The rate of zero-temperature high-energy instanton-induced processes is still an open question.
- Some studies suggest that the rate of such processes might be observably large at (future) colliders but the current estimate suffers from very large uncertainties.
- It is important to tackle this issue from the experimental side and to set limits on the EW instanton processes.
- The LHC can probe the region $E_{\text{thr}} \sim 9\text{TeV}$, while 100TeV collider can probe the realistic region up to $E_{\text{thr}} \sim 80\text{TeV}$.
- More theoretical understanding on the cross-section and final state multiplicity is necessary to fully exploit the power of future high-energy colliders.
- Experiments exploiting ultra high-energy cosmic rays may also be useful to probe the EW instanton processes.

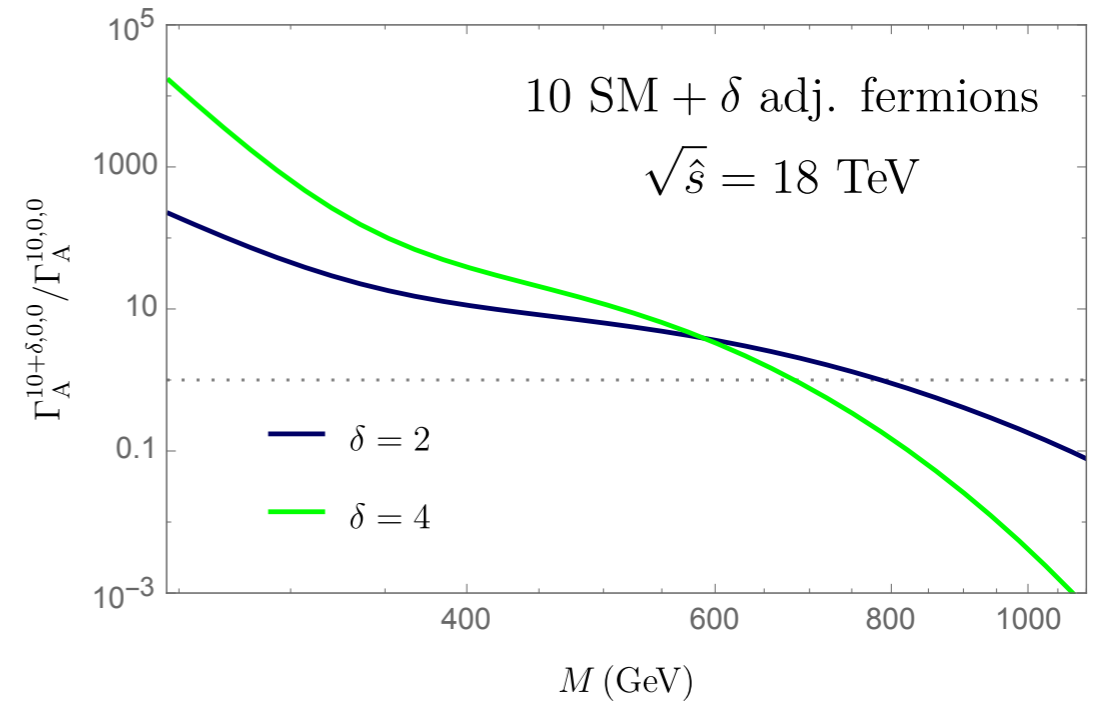
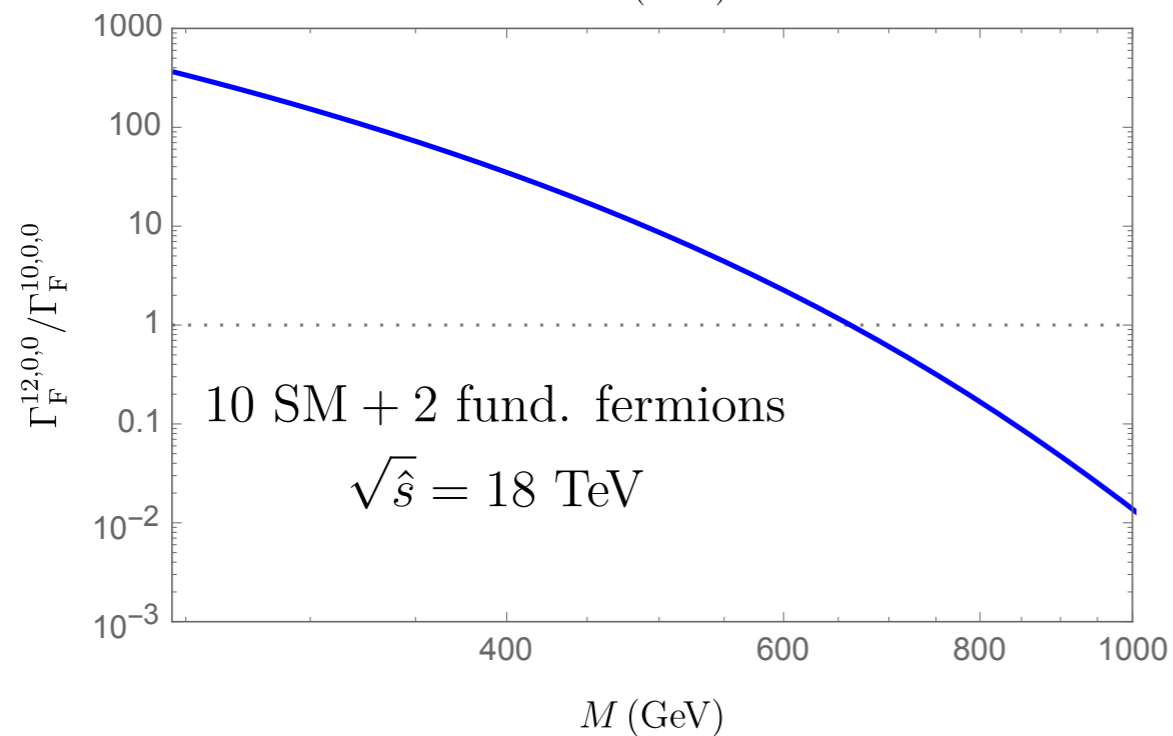
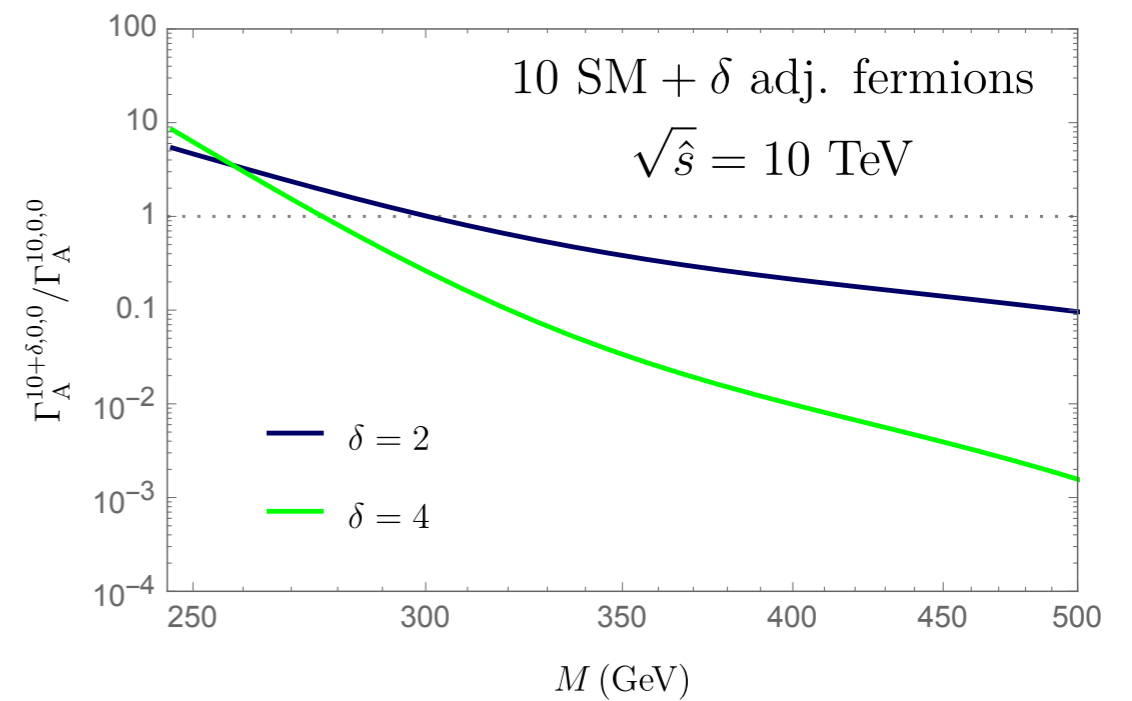
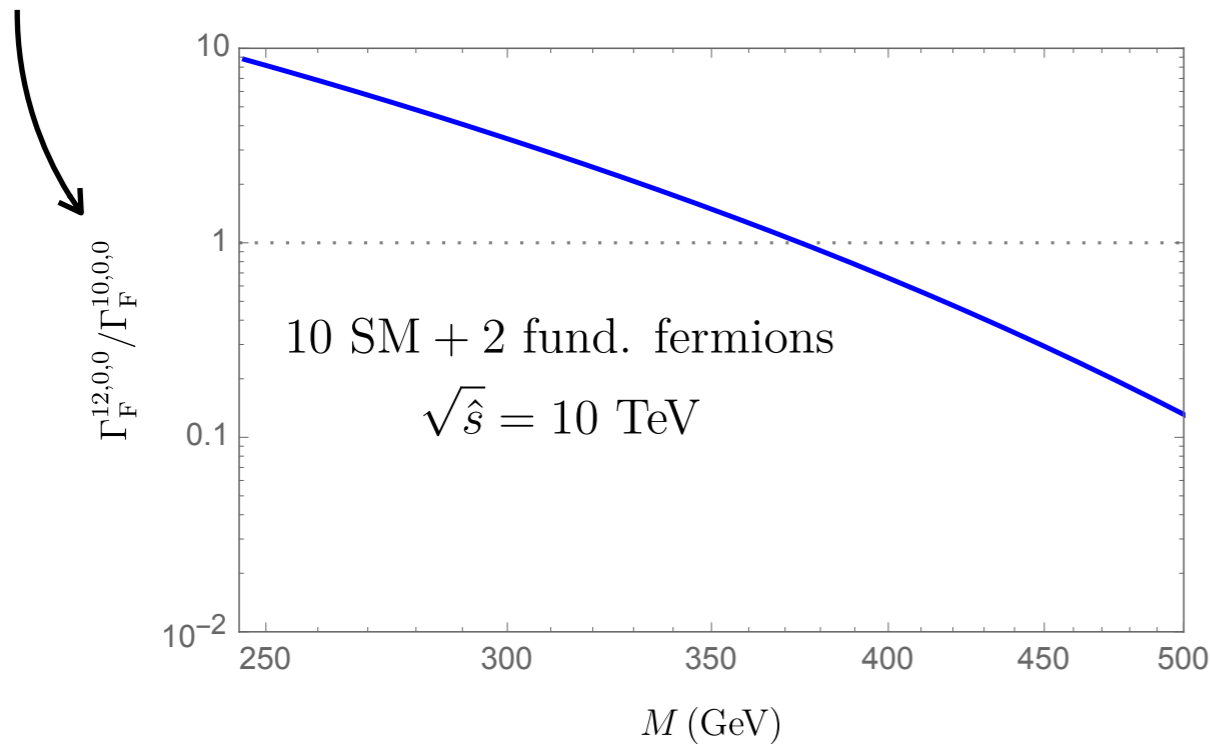
Backup

Effect of BSM fermions

[Cerden, Reimitzb, KS, Tamaritd 1908.00065]

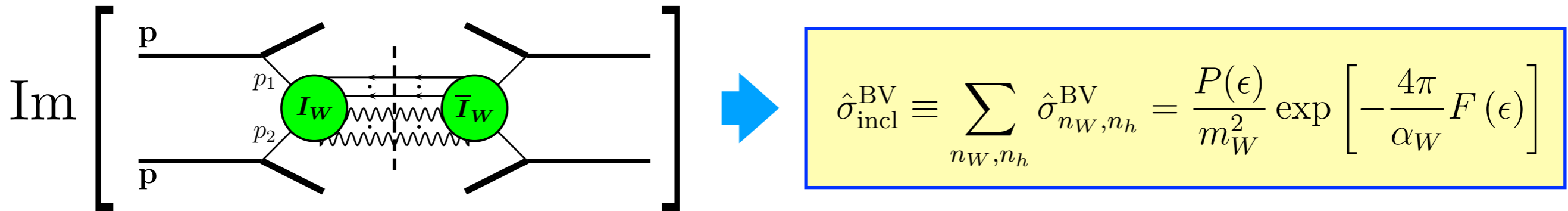
LO Matrix Element: $qq \rightarrow N$ fermions

enhancement over SM



- The inclusive cross-section can be estimated using the dispersion relations (optical theorem).

[Khoze, Ringwald '91]



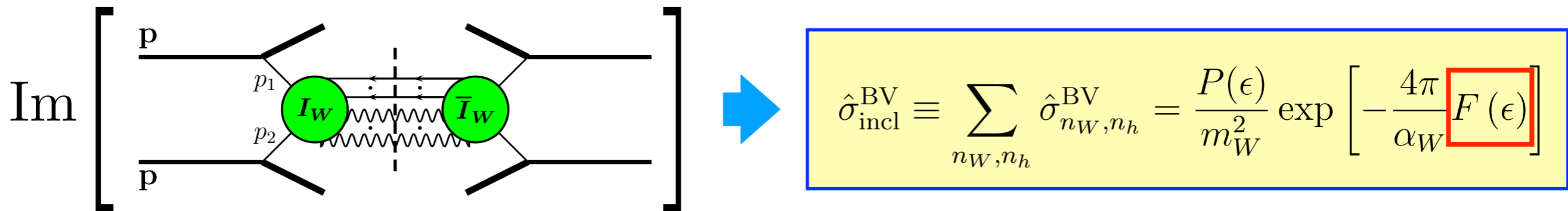
$$F(\epsilon) = 1 - \frac{9}{8}\epsilon^{4/3} + \frac{9}{16}\epsilon^2 - \frac{3}{32} \left(4 - 3\frac{m_h^2}{m_W^2} \right) \epsilon^{8/3} \ln \frac{1}{\epsilon} + \mathcal{O}(\epsilon^{8/3} \cdot \text{const})$$

$$P(\epsilon) = \frac{\pi^{15/2}}{1024} \left(\frac{3}{2} \right)^{2/3} d^2 \left(\frac{4\pi}{\alpha_W} \right)^{7/2} \epsilon^{74/9} [1 + \mathcal{O}(\epsilon^{2/3})] \quad d \simeq 0.15$$

$$\epsilon \equiv \frac{\sqrt{\hat{s}}}{M_0} \quad M_0 \equiv \sqrt{6}\pi \frac{m_W}{\alpha_W} \simeq 18.3 \text{ TeV}$$

- The inclusive cross-section can be estimated using the dispersion relations (optical theorem).

[Khoze, Ringwald '91]



'Holy grail' function

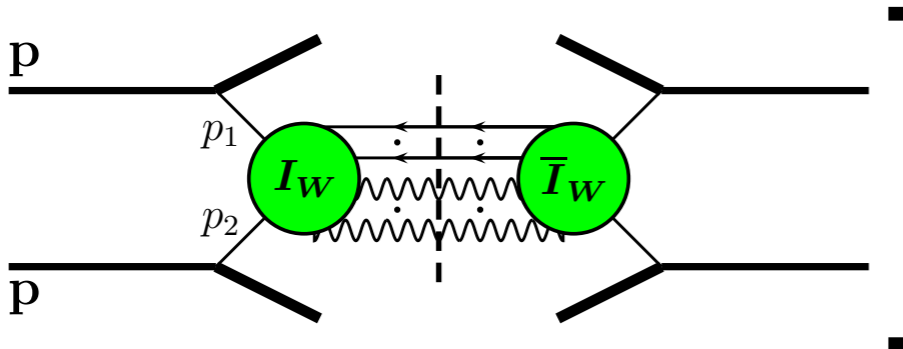
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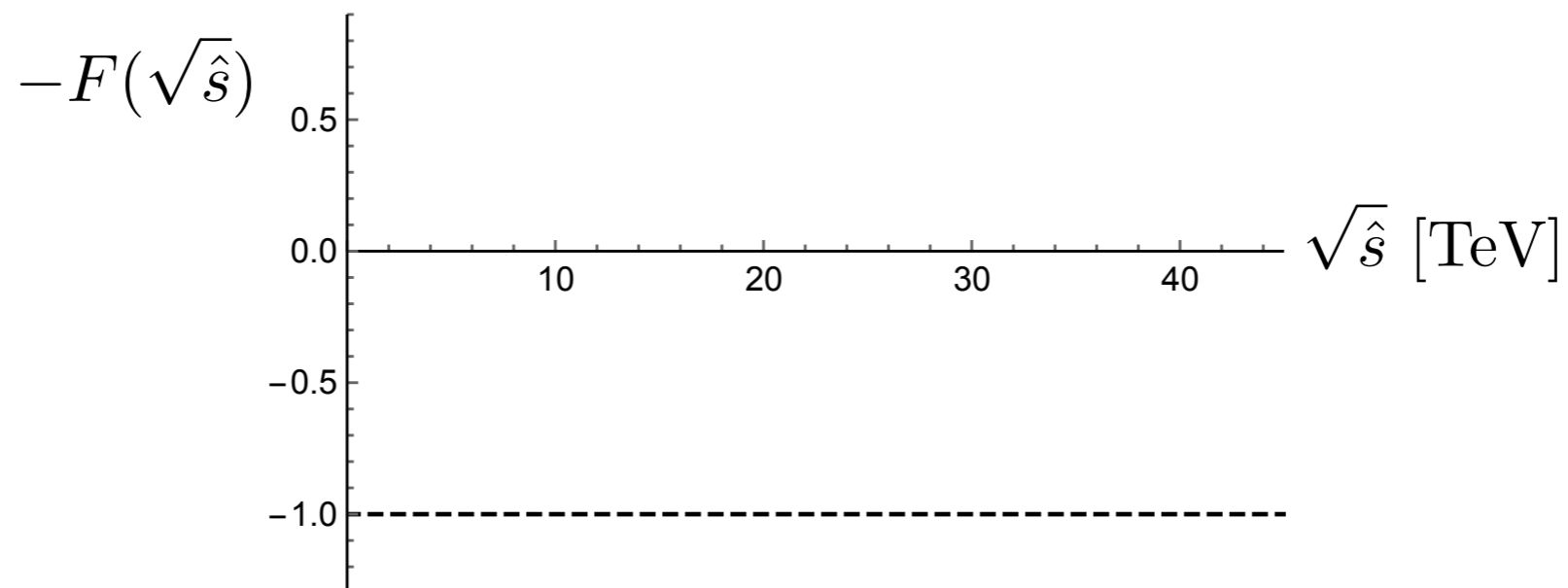
Im $\left[\begin{array}{c} \text{p} \\ \text{p}_1 \\ \text{p}_2 \\ \text{p} \end{array} \right]$  \rightarrow
$$\hat{\sigma}_{\text{incl}}^{\text{BV}} \equiv \sum_{n_W, n_h} \hat{\sigma}_{n_W, n_h}^{\text{BV}} = \frac{P(\epsilon)}{m_W^2} \exp \left[-\frac{4\pi}{\alpha_W} F(\epsilon) \right]$$

'Holy grail' function

$$F(\epsilon) = 1 - \frac{9}{8}\epsilon^{4/3} + \frac{9}{16}\epsilon^2 - \frac{3}{32} \left(4 - 3\frac{m_h^2}{m_W^2} \right) \epsilon^{8/3} \ln \frac{1}{\epsilon} + \mathcal{O}(\epsilon^{8/3} \cdot \text{const})$$

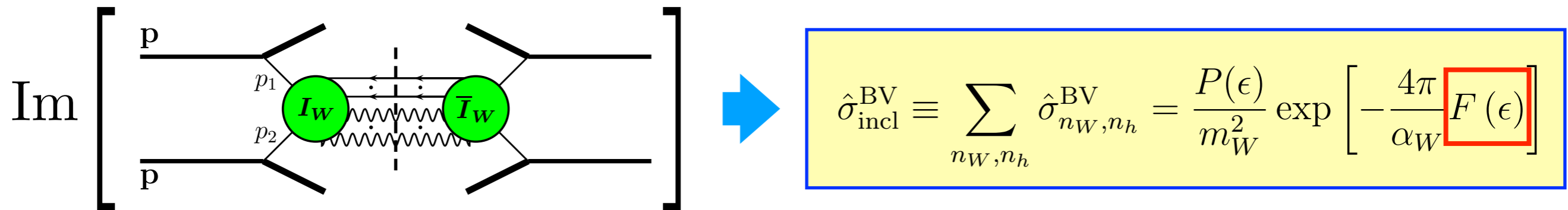
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[Khoze, Ringwald '91]

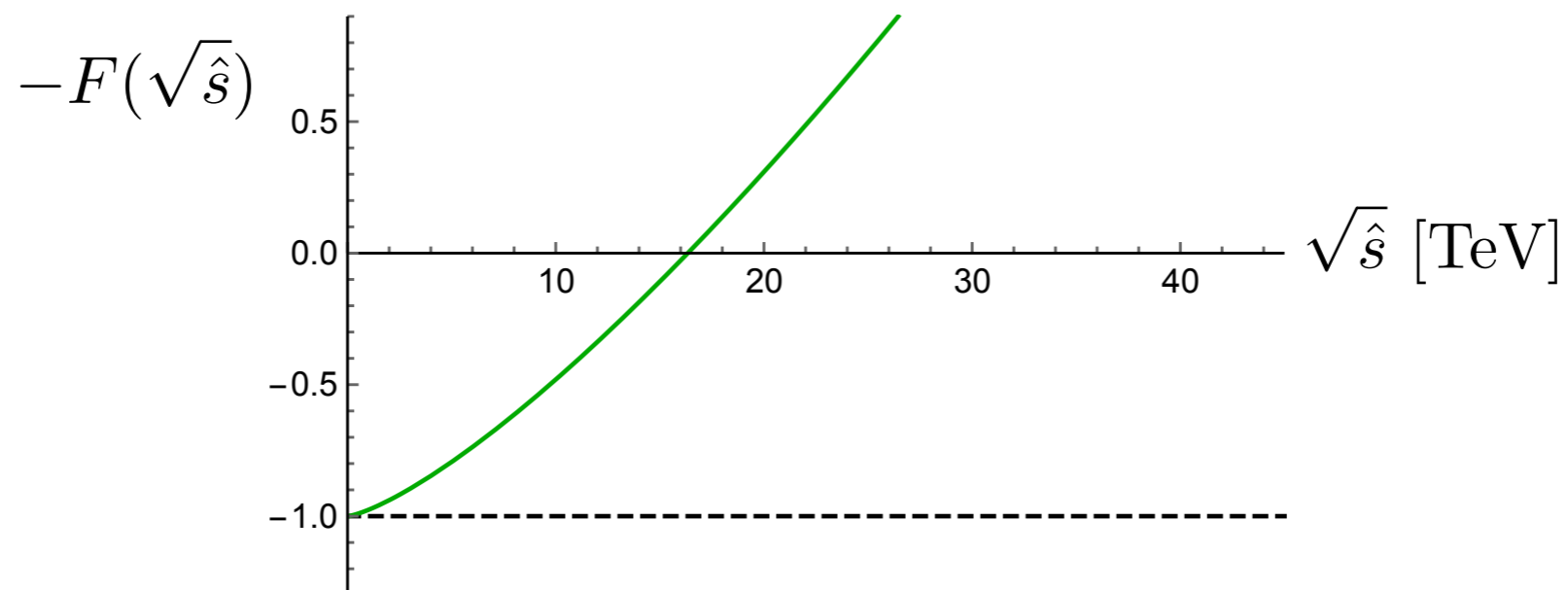


'Holy grail' function

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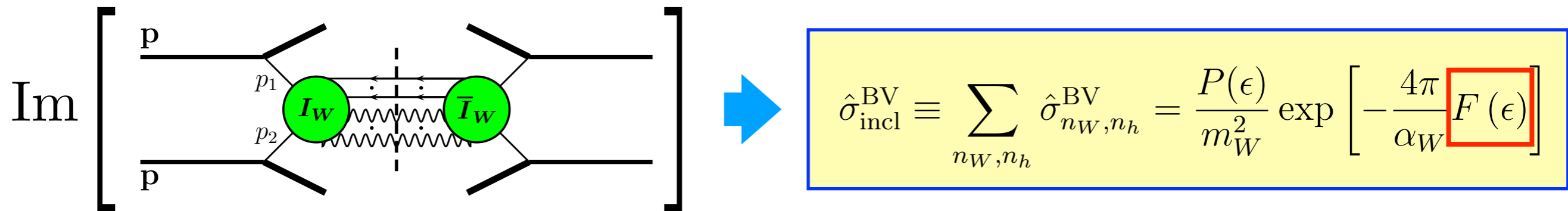
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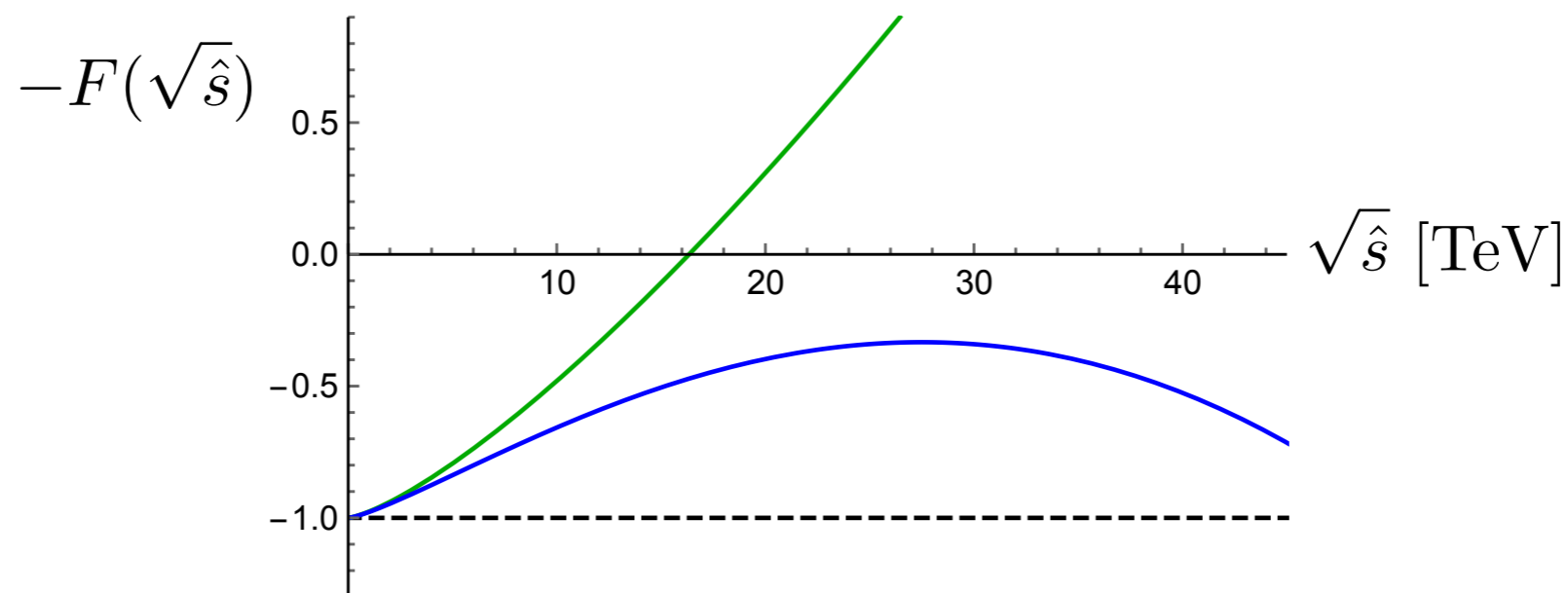


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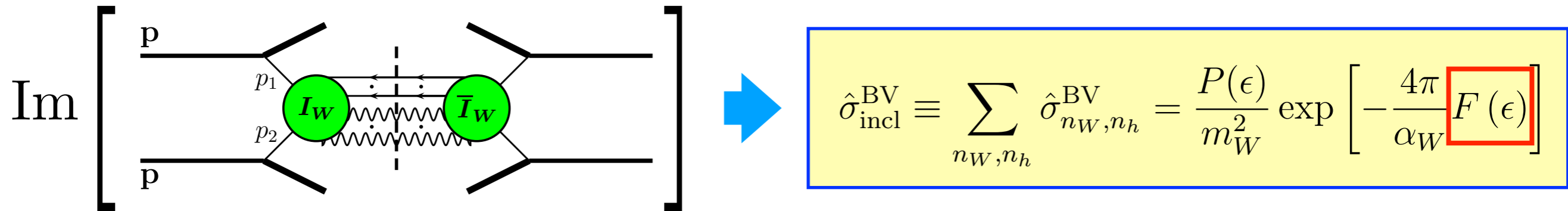
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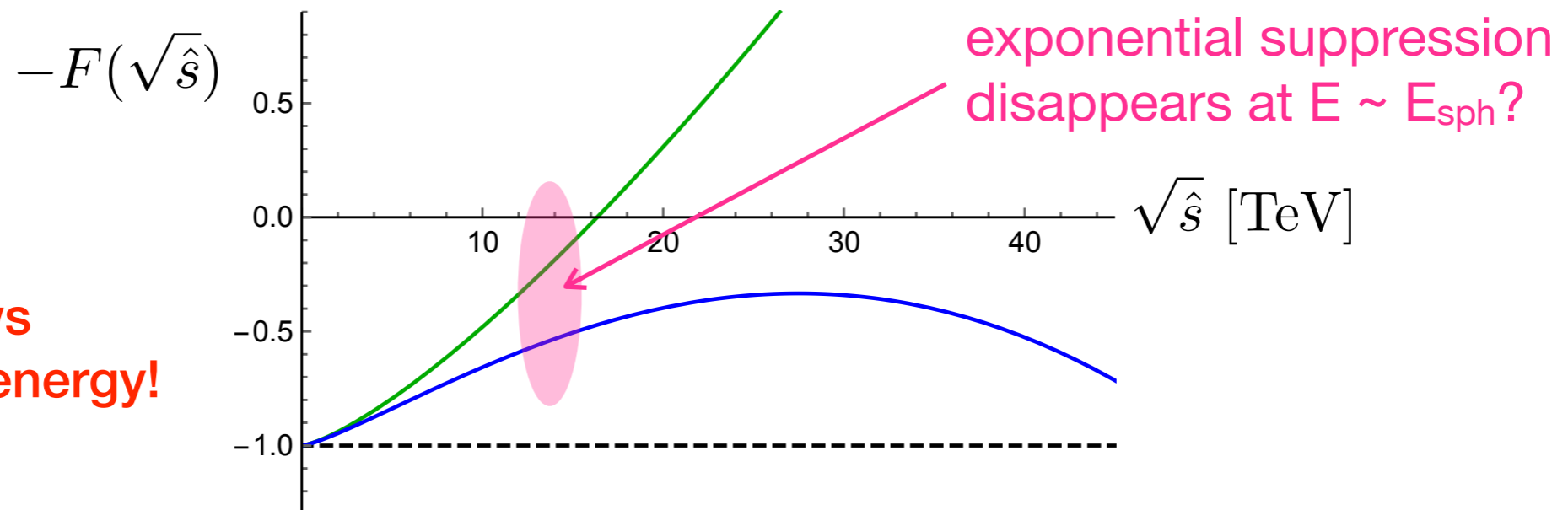


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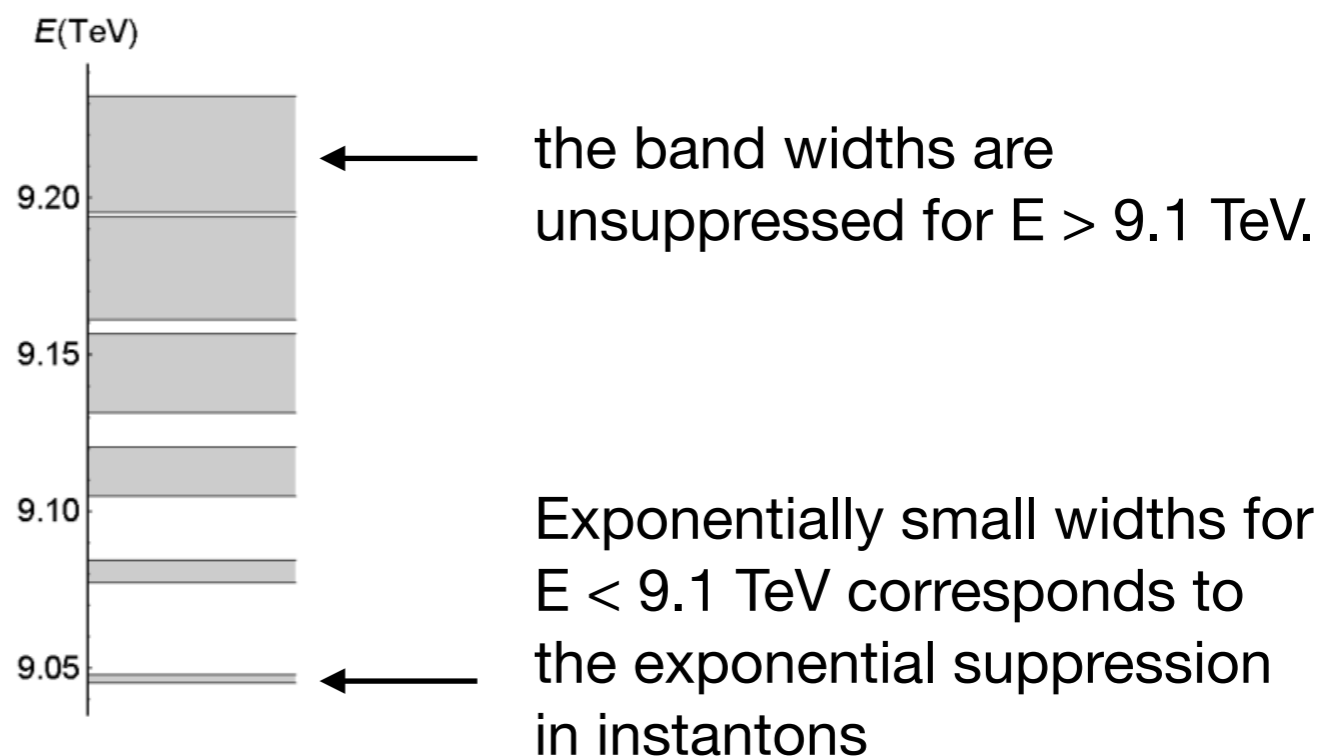
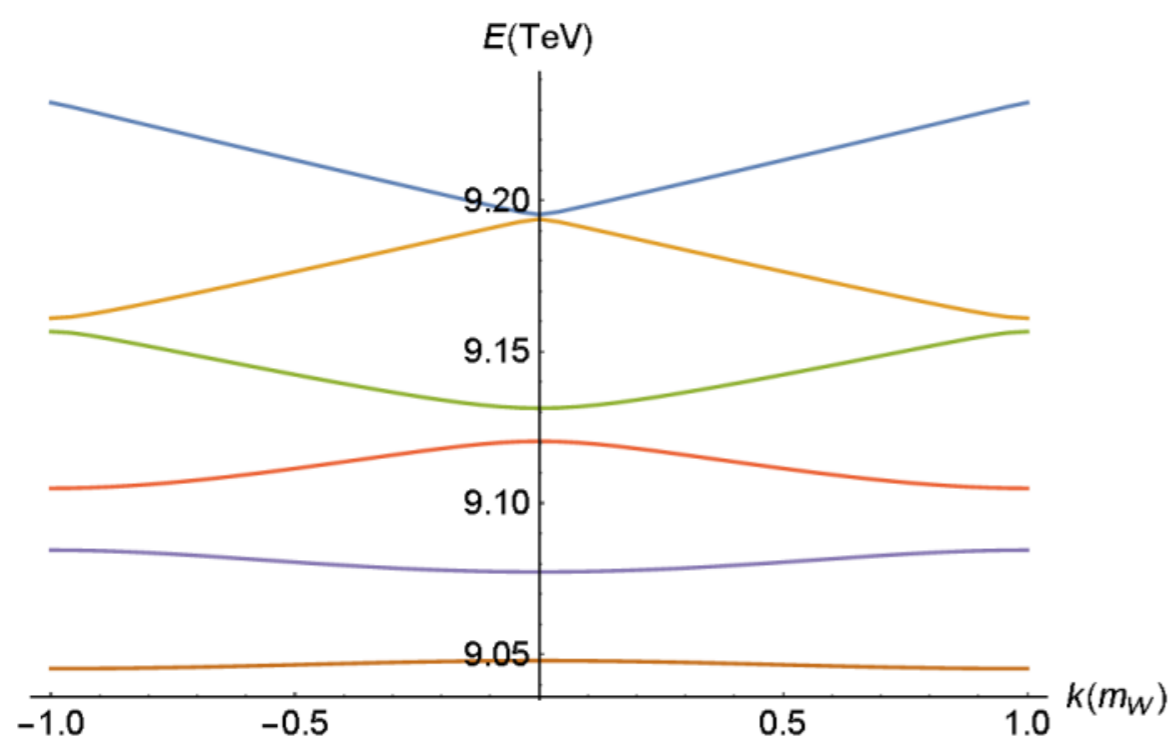
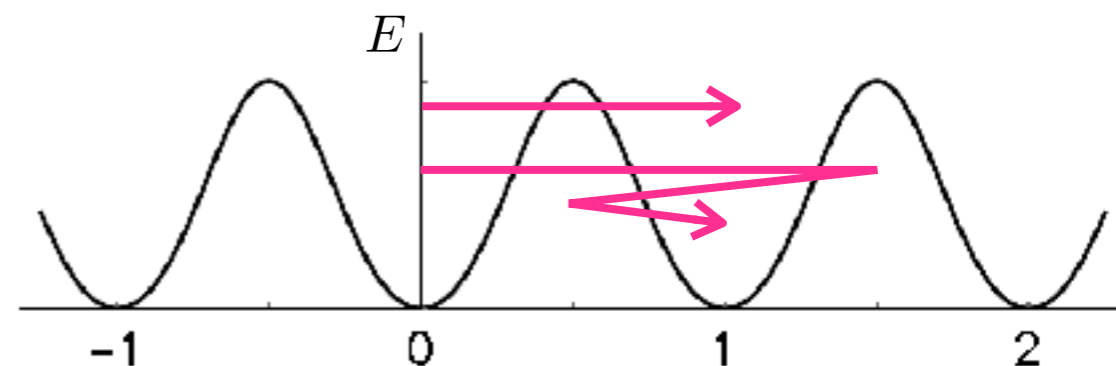
Cross-section grows exponentially with energy!

- More recently (2015), it has been pointed out that at zero temperature instanton rate may be able to overcome the exponential suppression for $E > E_{\text{sph}} \sim 9 \text{ TeV}$, if the periodicity of the EW potential is taken into account, due to *resonant tunnelling*.

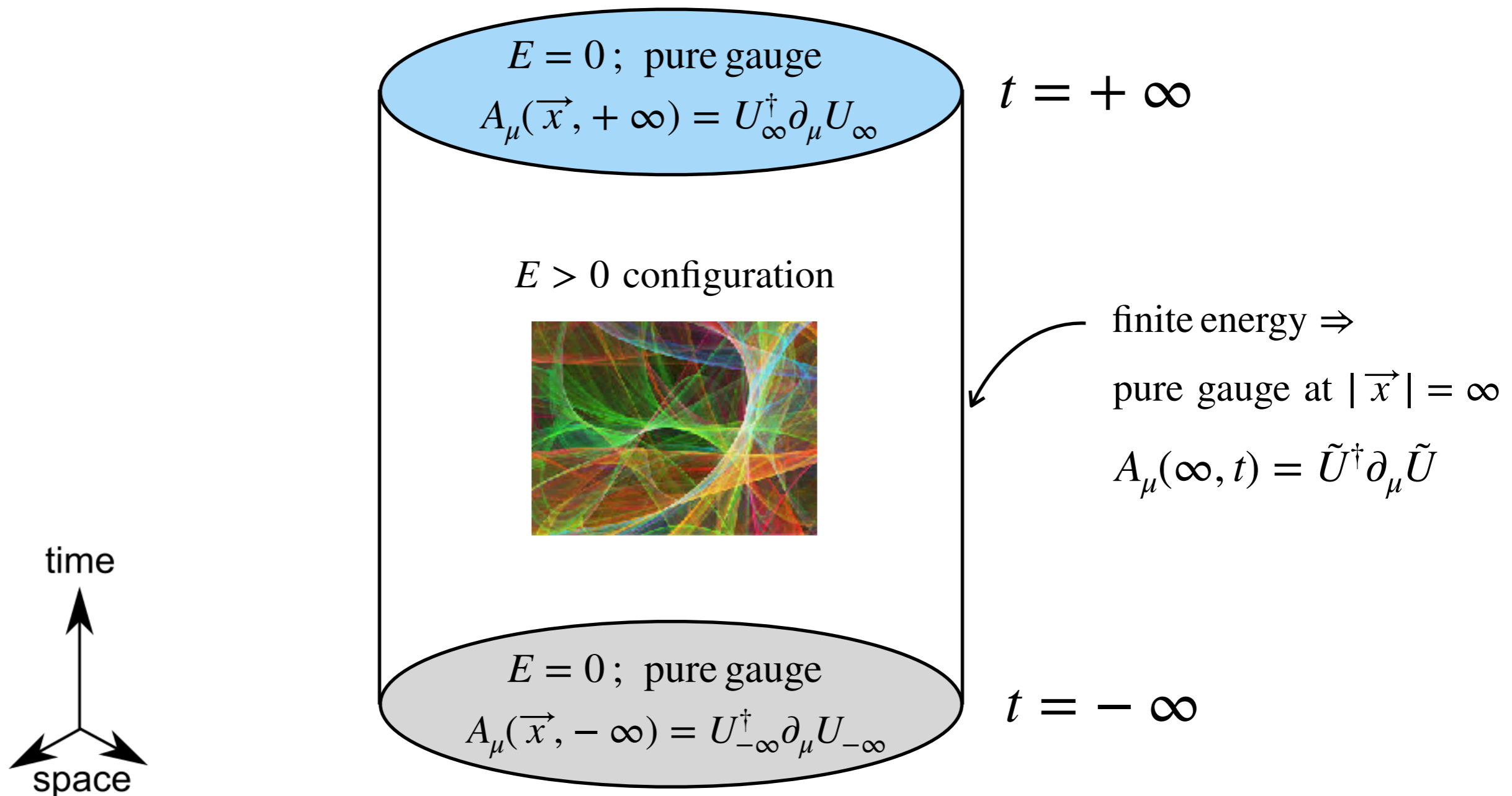
Tye, Wong [1505.03690, 1710.07223]
 Qiu, Tye [1812.07181]

Resonant tunneling:

Different paths coherently interfere at particular energies, forming a conducting band structure



Vacuum-to-vacuum transitions



Vacuum-to-vacuum transitions

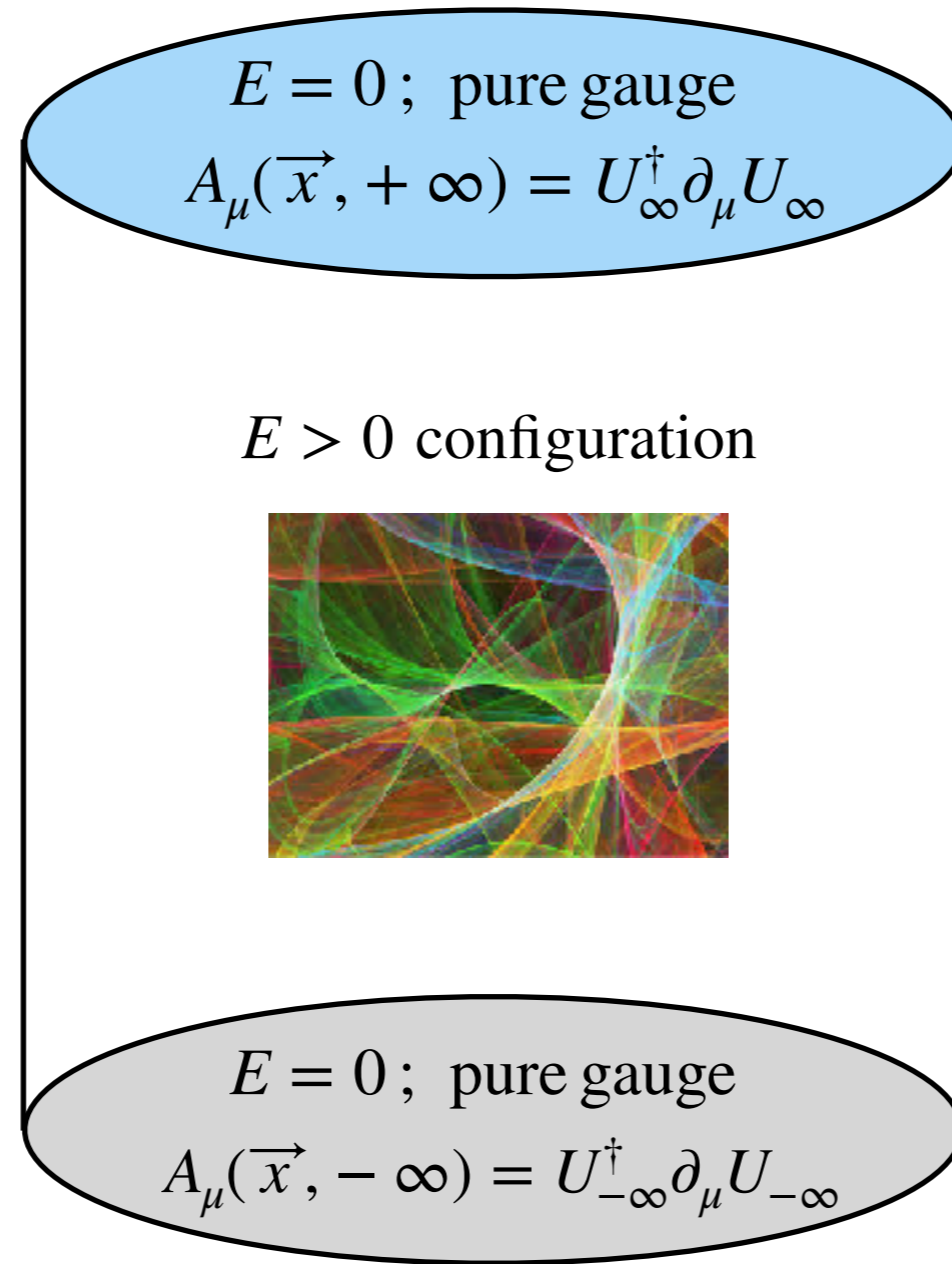
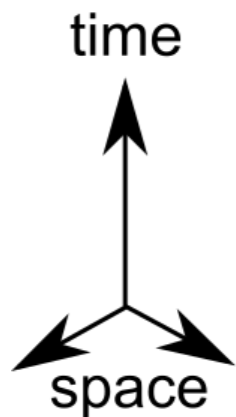
Temporal gauge : $A_0 = 0$

$$\Rightarrow U^\dagger \partial_0 U = 0$$

$$\Rightarrow U(\vec{x}) = t \text{ independent}$$

Fix the rest such that :

$$A_\mu(\vec{x}, -\infty) = 0$$



$t = + \infty$

finite energy \Rightarrow
pure gauge at $|\vec{x}| = \infty$

$$A_\mu(\infty, t) = \tilde{U}^\dagger \partial_\mu \tilde{U}$$

$t = - \infty$

Vacuum-to-vacuum transitions

Temporal gauge : $A_0 = 0$

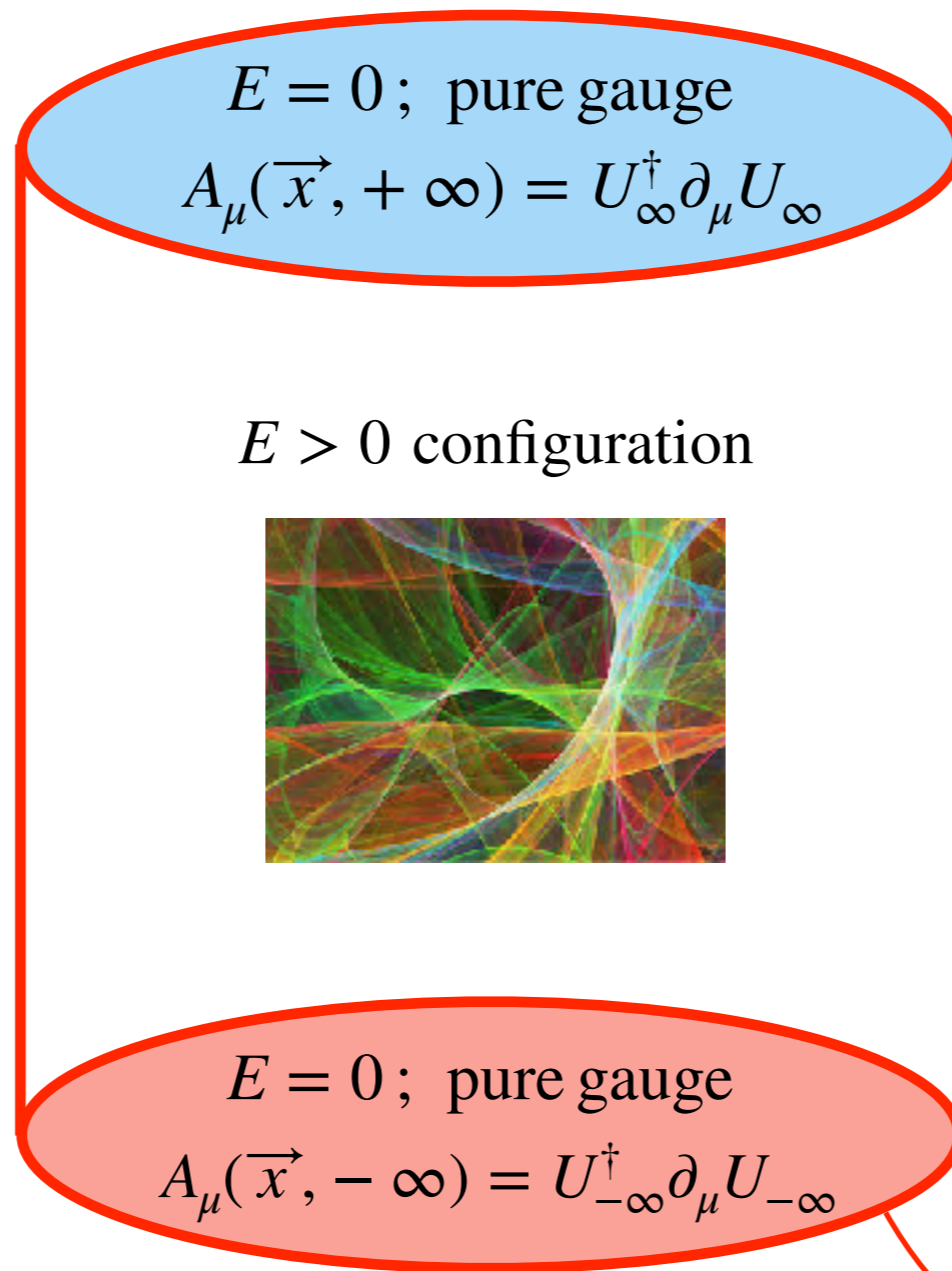
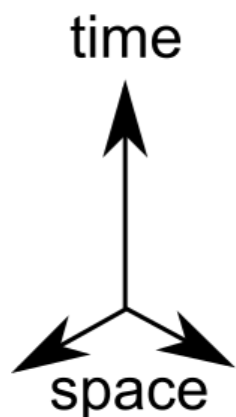
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$$\text{in particular } U_{-\infty} = \mathbf{1}$$



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finite energy \Rightarrow
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$$A_\mu(\infty, t) = \tilde{U}^\dagger \partial_\mu \tilde{U}$$

$$t = -\infty$$

$$U = \mathbf{1}$$

Vacuum-to-vacuum transitions

Temporal gauge : $A_0 = 0$

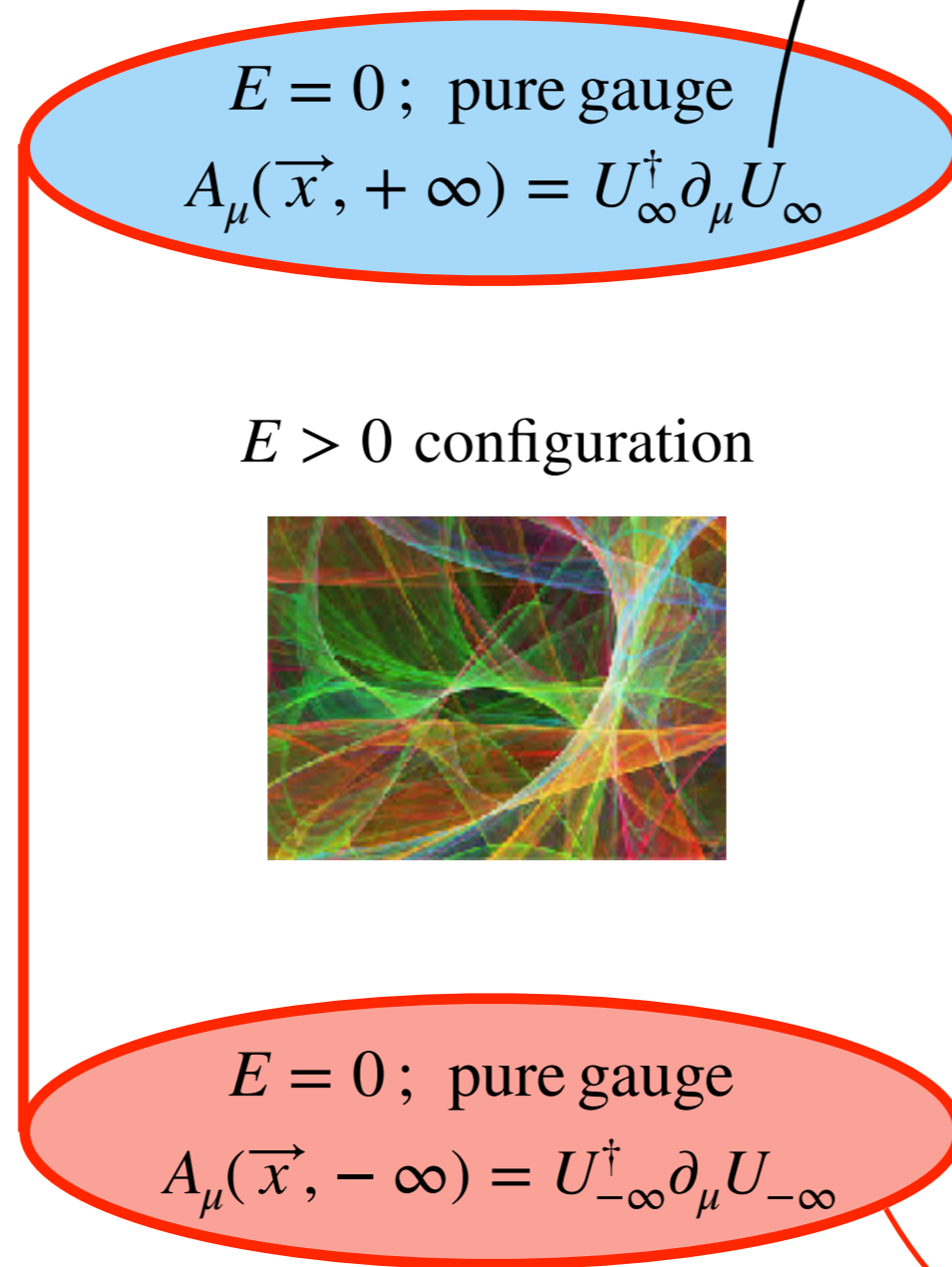
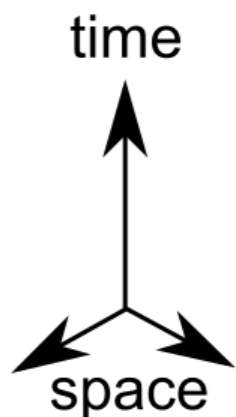
$$\Rightarrow U^\dagger \partial_0 U = 0$$

$$\Rightarrow U(\vec{x}) = t \text{ independent}$$

Fix the rest such that :

$$A_\mu(\vec{x}, -\infty) = 0$$

in particular $U_{-\infty} = \mathbf{1}$



$$U_\infty(\vec{x}) \xrightarrow{|\vec{x}| \rightarrow \infty} \mathbf{1}$$

$$\Rightarrow \mathbf{R}^3 \cup \{\infty\} \cong S^3$$

$$t = +\infty$$

finite energy \Rightarrow
pure gauge at $|\vec{x}| = \infty$

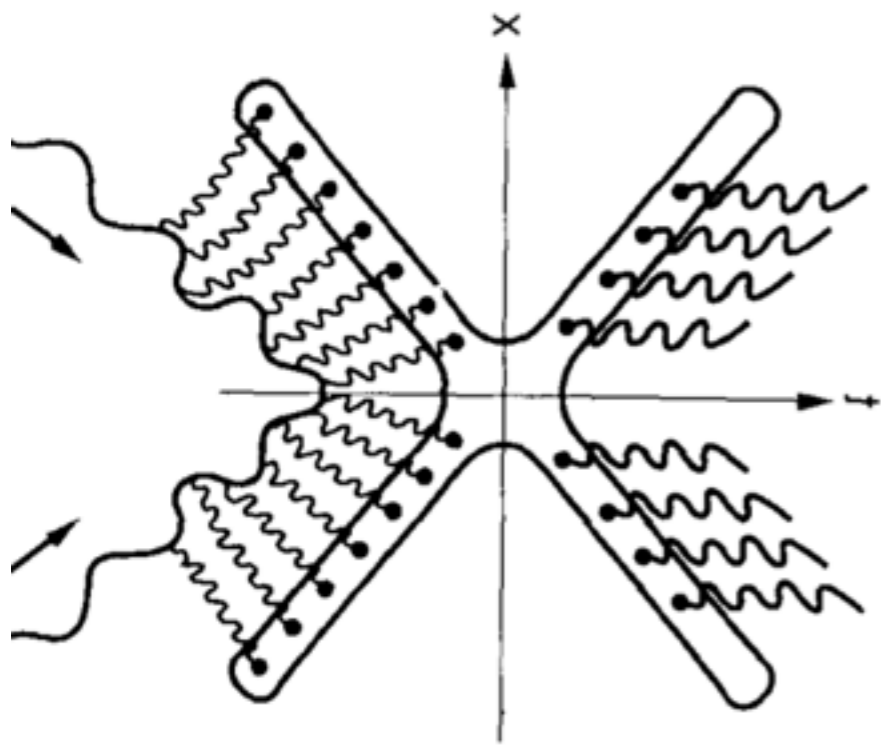
$$A_\mu(\infty, t) = \tilde{U}^\dagger \partial_\mu \tilde{U}$$

$$t = -\infty$$

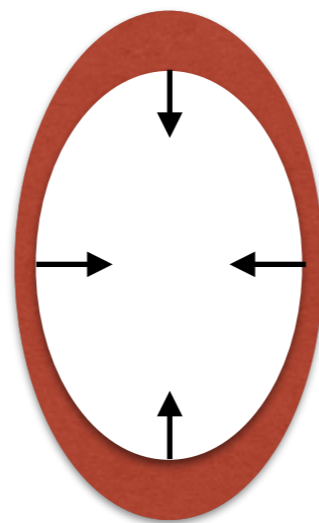
$$U = \mathbf{1}$$

Optimistic view:

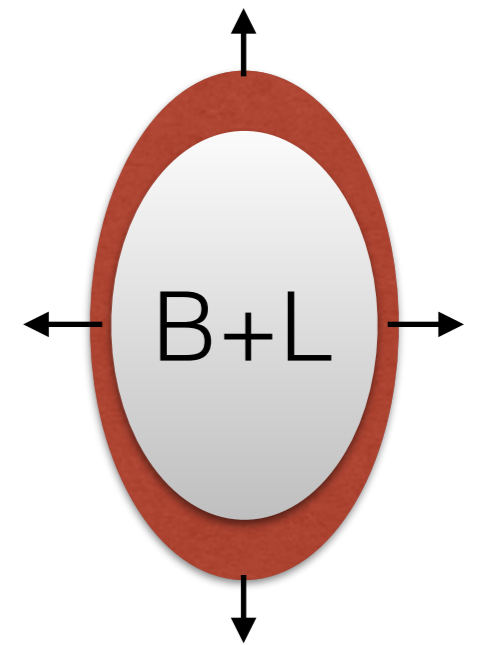
1. It is not the sphaleron which is directly created in the initial collision
2. Instantons in Minkowski space are not point-like configurations; they are localized near the light-cone:



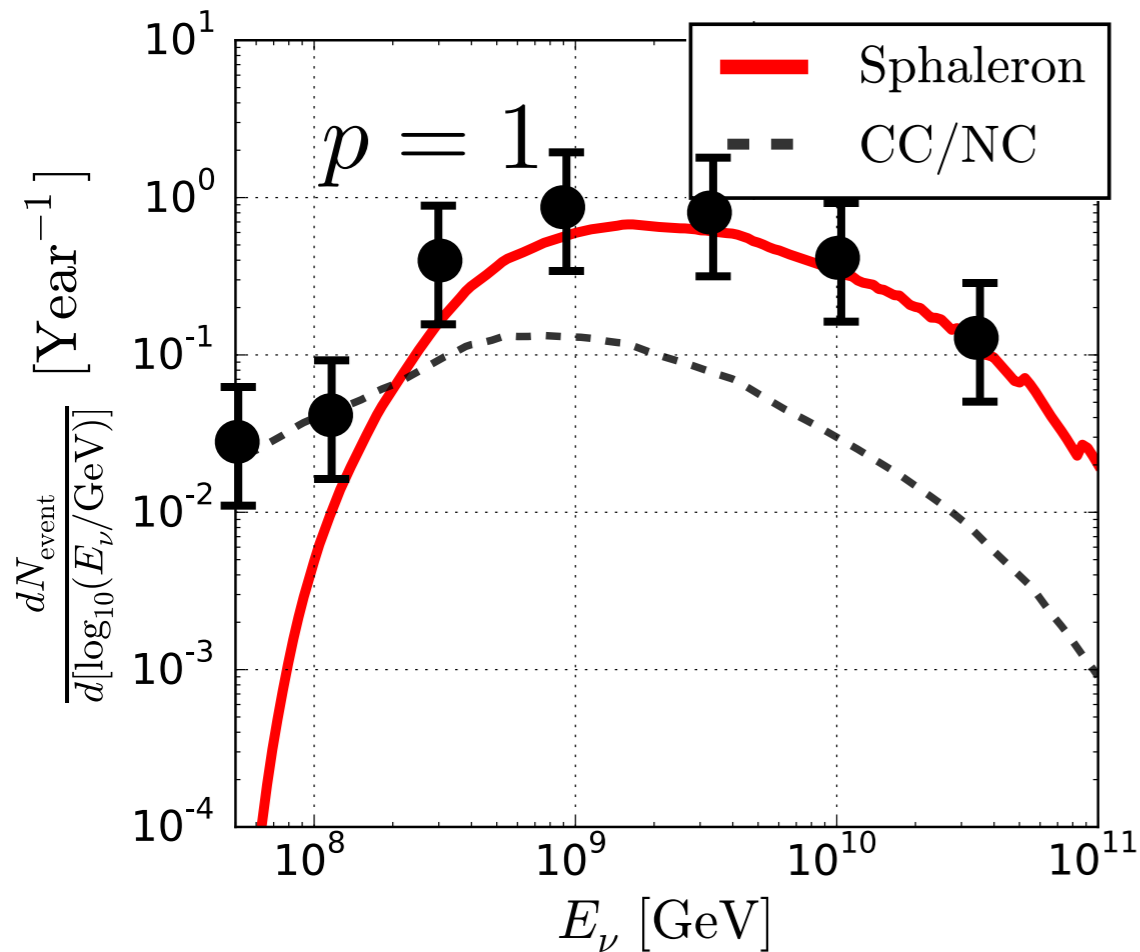
Cartoon of snapshots in time:



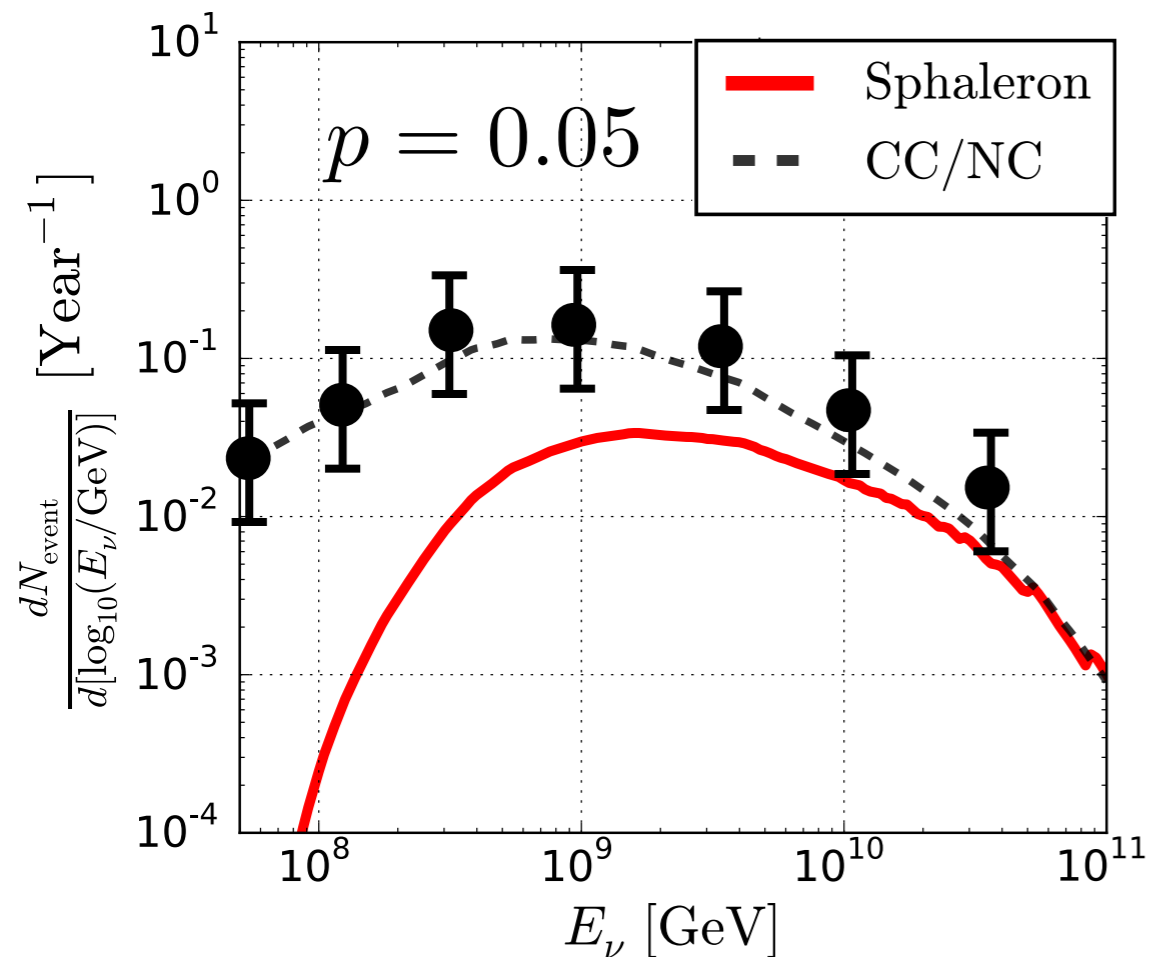
Sphaleron-like
fireball



Taken from Valya K



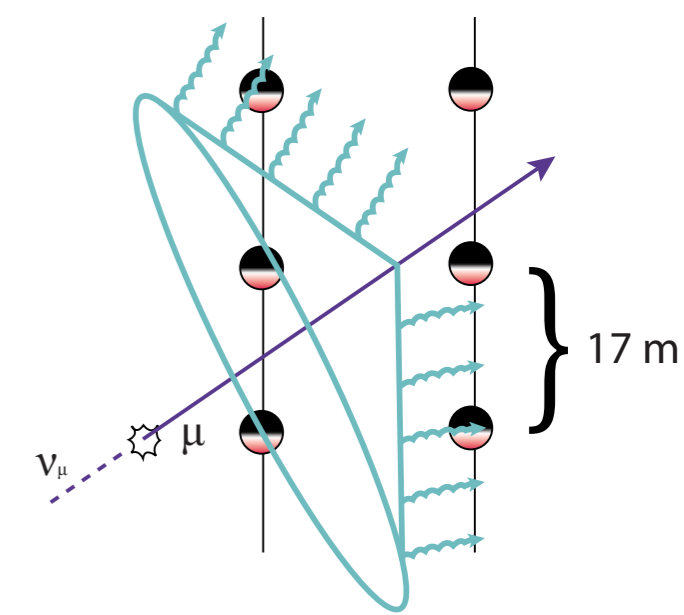
- If unknown pre-factor p is small, the sphaleron events may be hidden in the GZK neutrino events via the ordinary EW interaction.
- In this case, discrimination using the event shape is important.



How do sphaleron events look different from the ordinary neutrino events at IceCube?

IceCube Events:

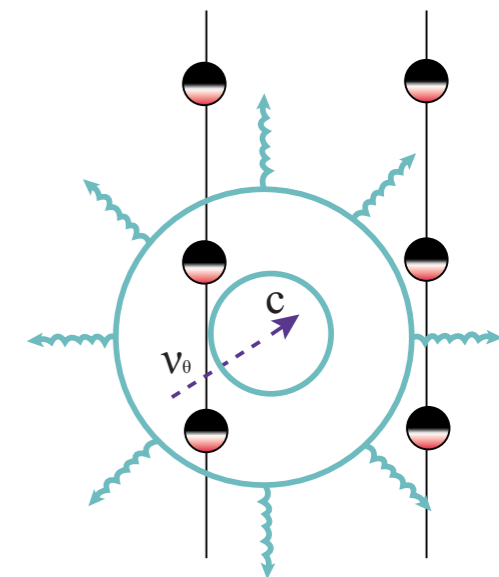
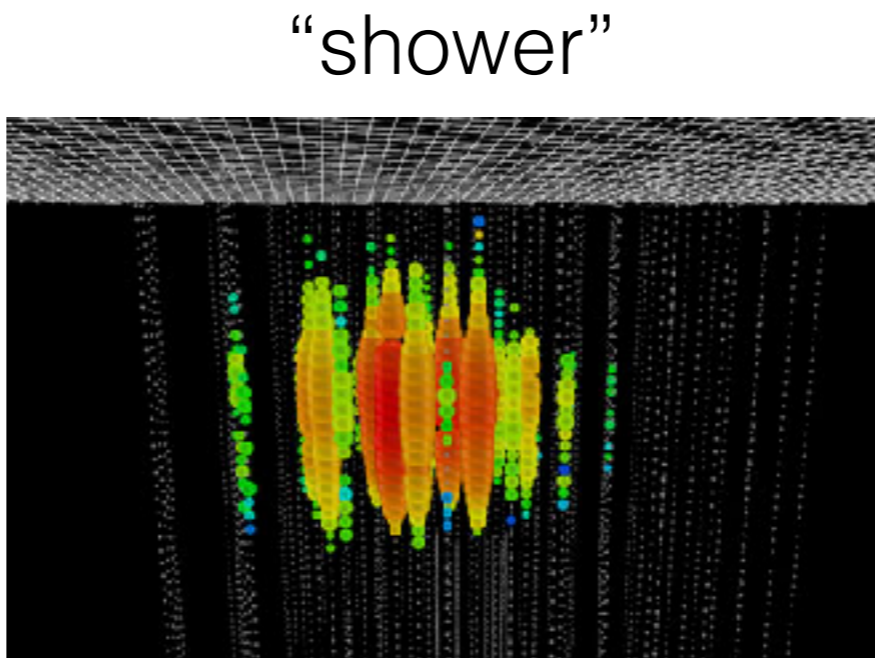
$$\nu_{\mu} N \rightarrow \mu X$$



$$\nu_e N \rightarrow e X$$

$$\nu_{\tau} N \rightarrow \tau X$$

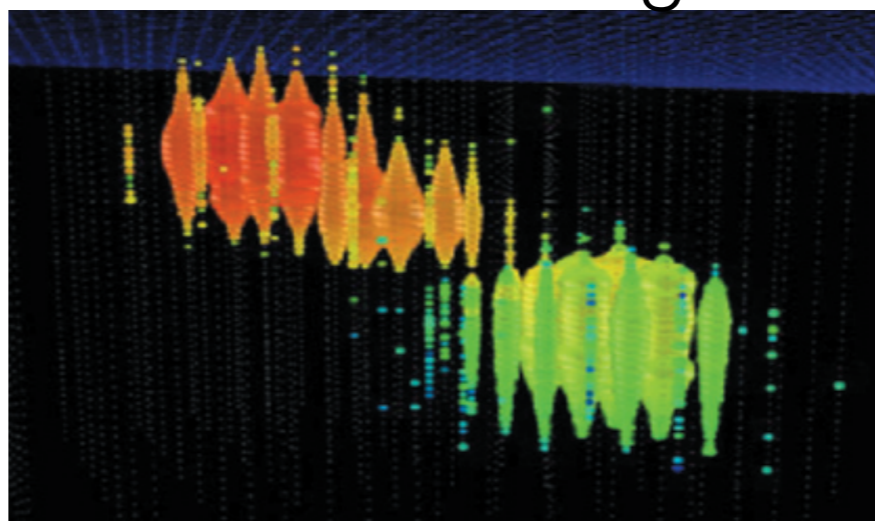
$$\nu_i N \rightarrow \nu_i X$$



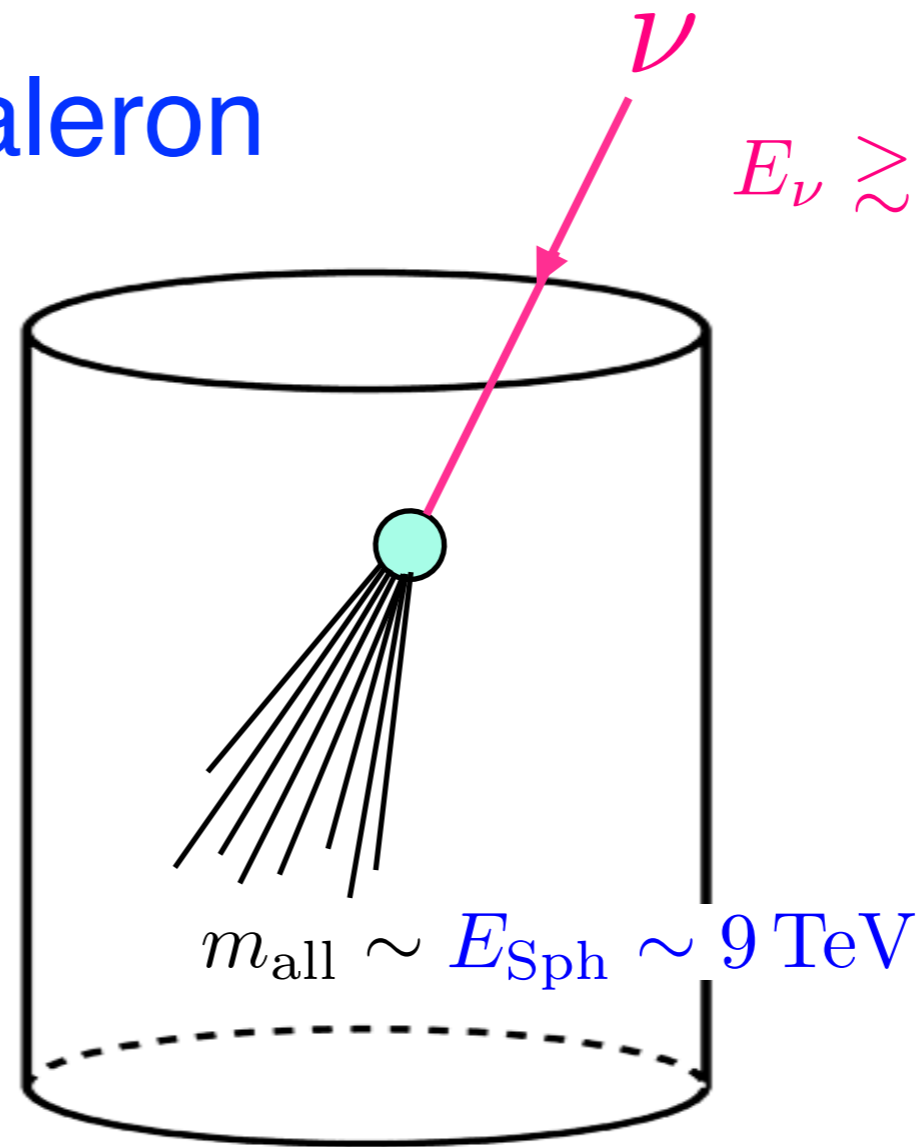
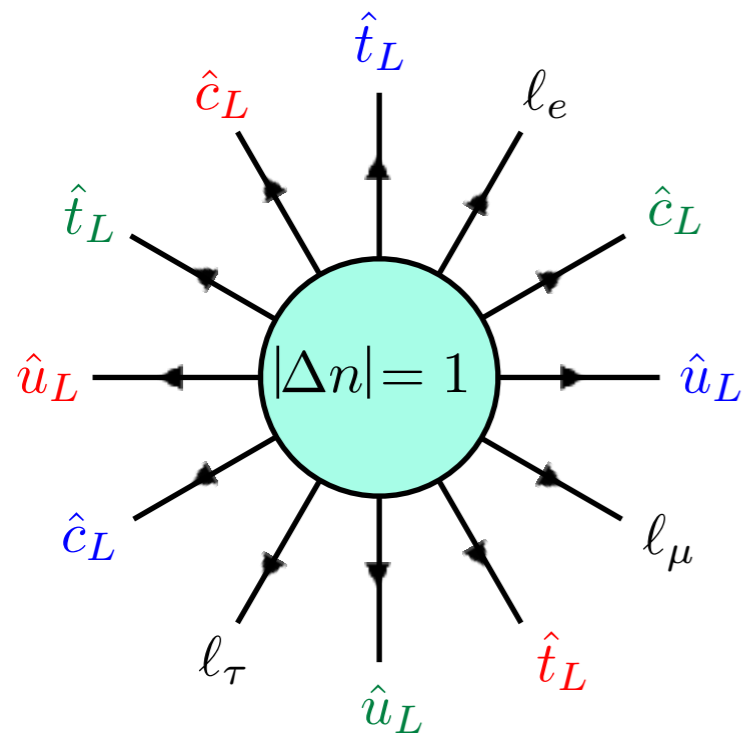
“double bang”

$$\nu_{\tau} N \rightarrow \tau X_1 \rightarrow X_1 \nu_{\tau} X_2$$

$$E_{\tau} \in [10^6, 10^7] \text{ GeV}$$

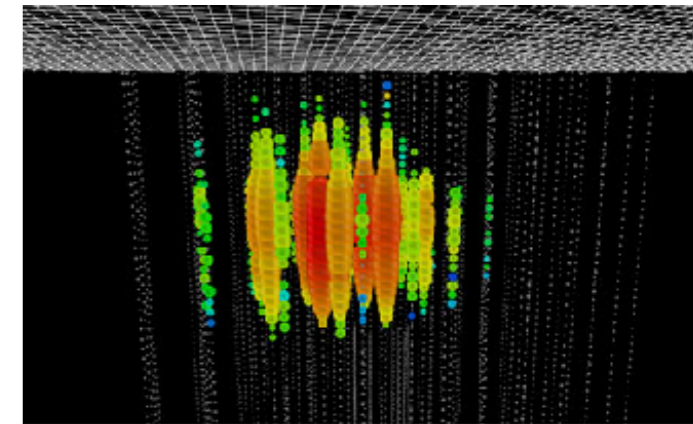


What does the sphaleron event look like?

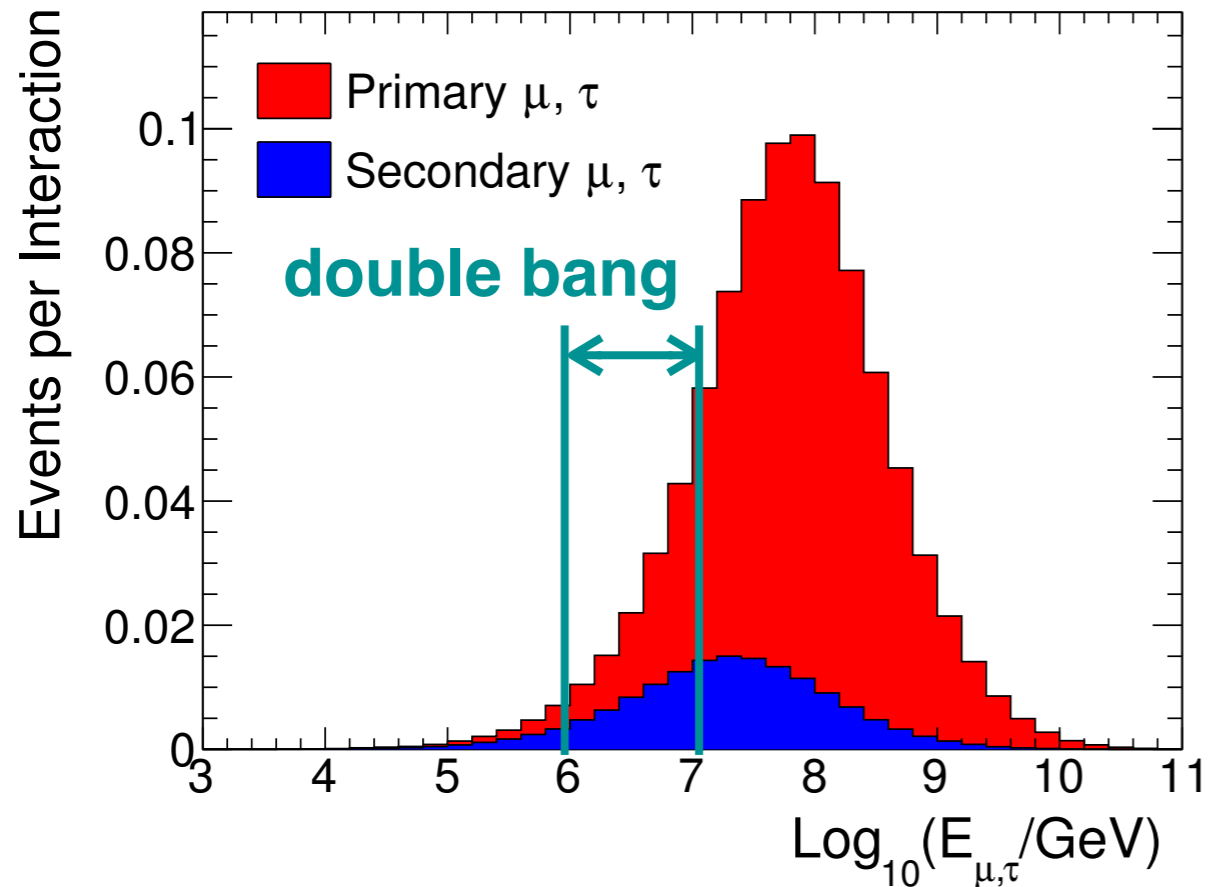


$$E_\nu \gtrsim 10^{8-10} \text{ GeV}$$

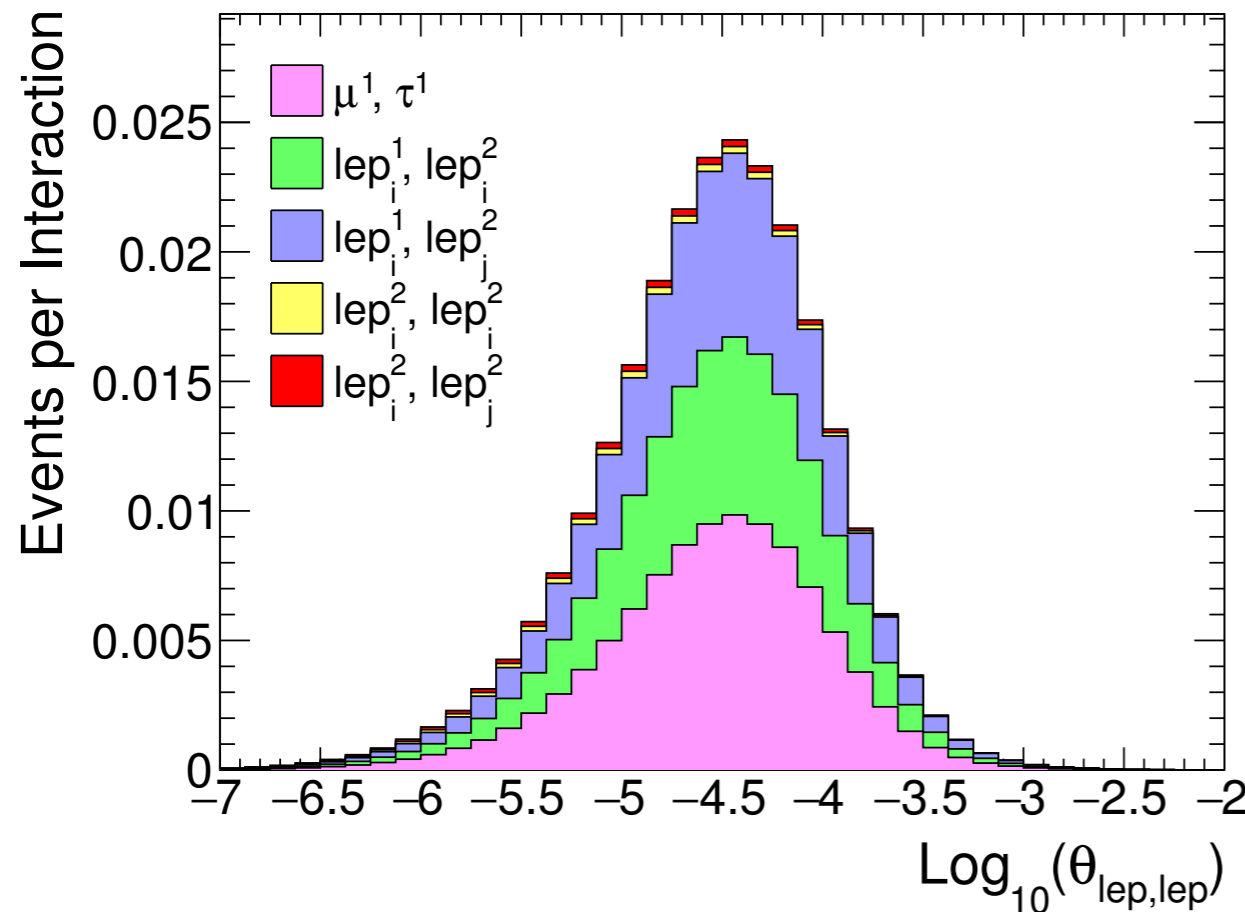
“shower”



- quarks and leptons are stopped in the ice (except for μ). \Rightarrow “shower”
- If μ is produced. \Rightarrow “bundle”
- If τ is produced with $E_\tau \in [10^6, 10^7] \text{ GeV}$. \Rightarrow “double bang”
- If primary μ and a μ from a top-quark decay has an opening angle with $\theta > 10^{-2} \text{ rad} \Rightarrow$ “double bundle”??



Only 5% of the sphaleron-induced events have double bang taus.

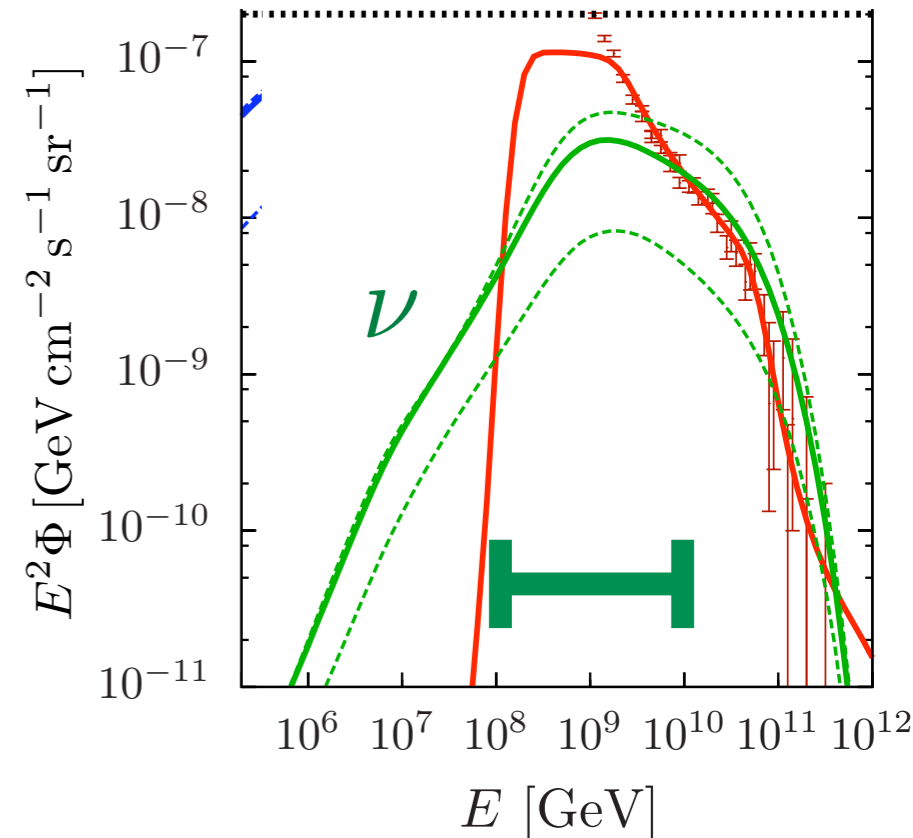
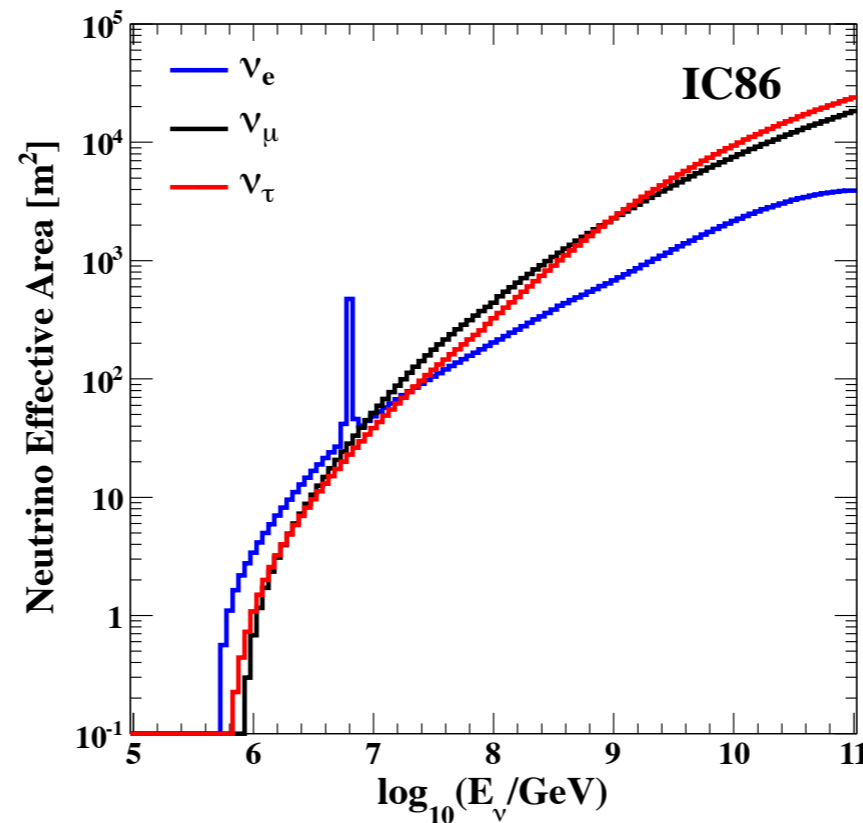
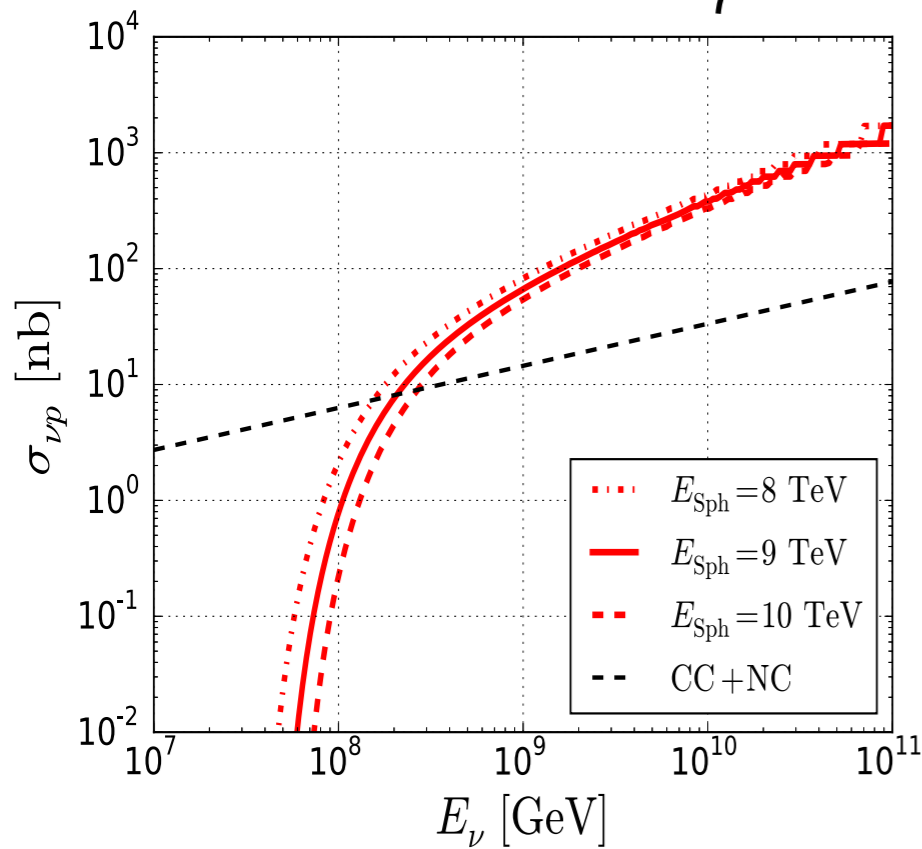
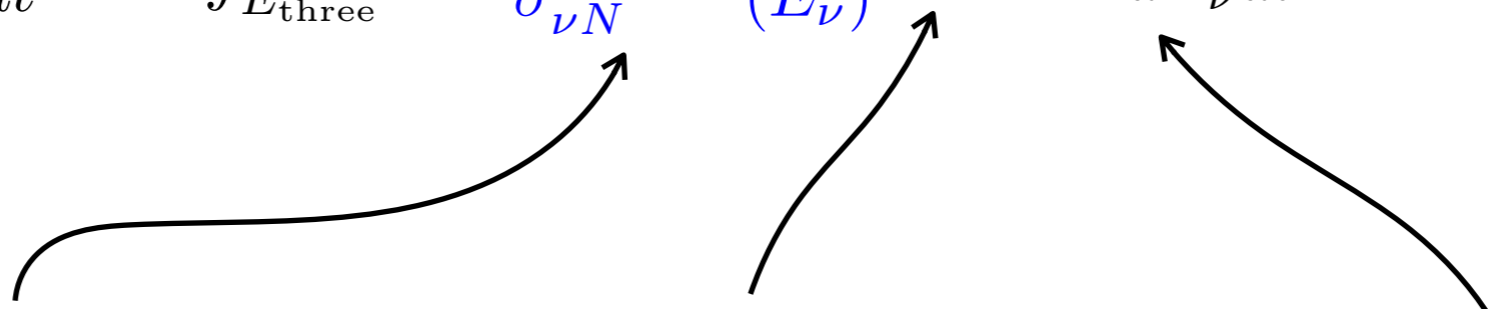


particles are highly collimated and double bundles cannot be expected.

Event rate can be calculated using the energy dependent effective neutrino detection area.

$$\frac{dN_{CC/NC}}{dt} = \int_{E_{\text{thre}}} dE_{\nu} A_{\text{eff}}(E_{\nu}) \frac{d^2\Phi}{dE_{\nu}dt}$$

$$\frac{dN_{\text{Sph}}}{dt} = \int_{E_{\text{thre}}} dE_{\nu} \frac{\sigma_{\nu N}^{\text{Sph}}(E_{\nu})}{\sigma_{\nu N}^{\text{CC/NC}}(E_{\nu})} A_{\text{eff}}(E_{\nu}) \frac{d^2\Phi}{dE_{\nu}dt}$$



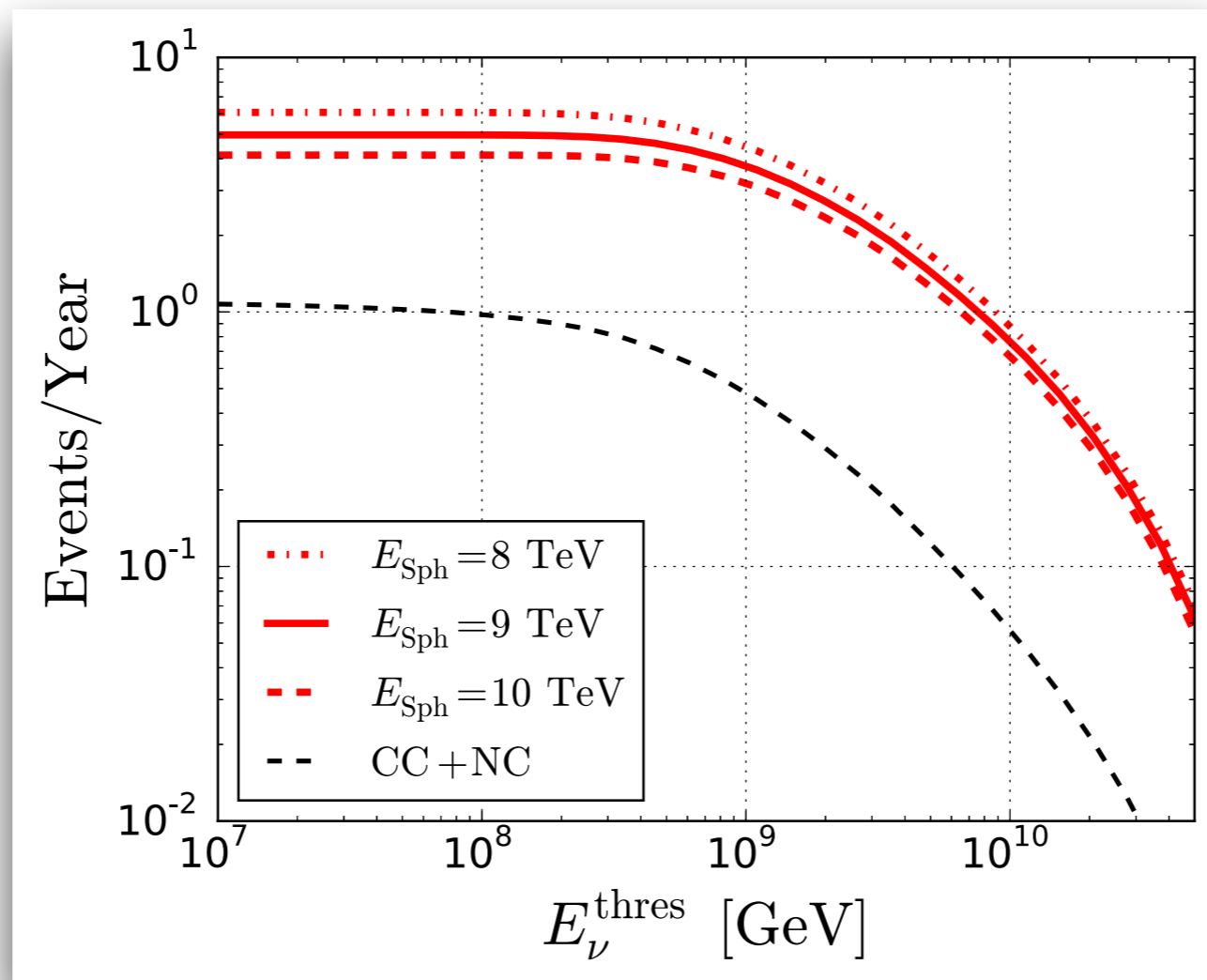
Event rate can be calculated using the energy dependent effective neutrino detection area.

$$\frac{dN_{CC/NC}}{dt} = \int_{E_{\text{thre}}} dE_{\nu} A_{\text{eff}}(E_{\nu}) \frac{d^2\Phi}{dE_{\nu}dt}$$

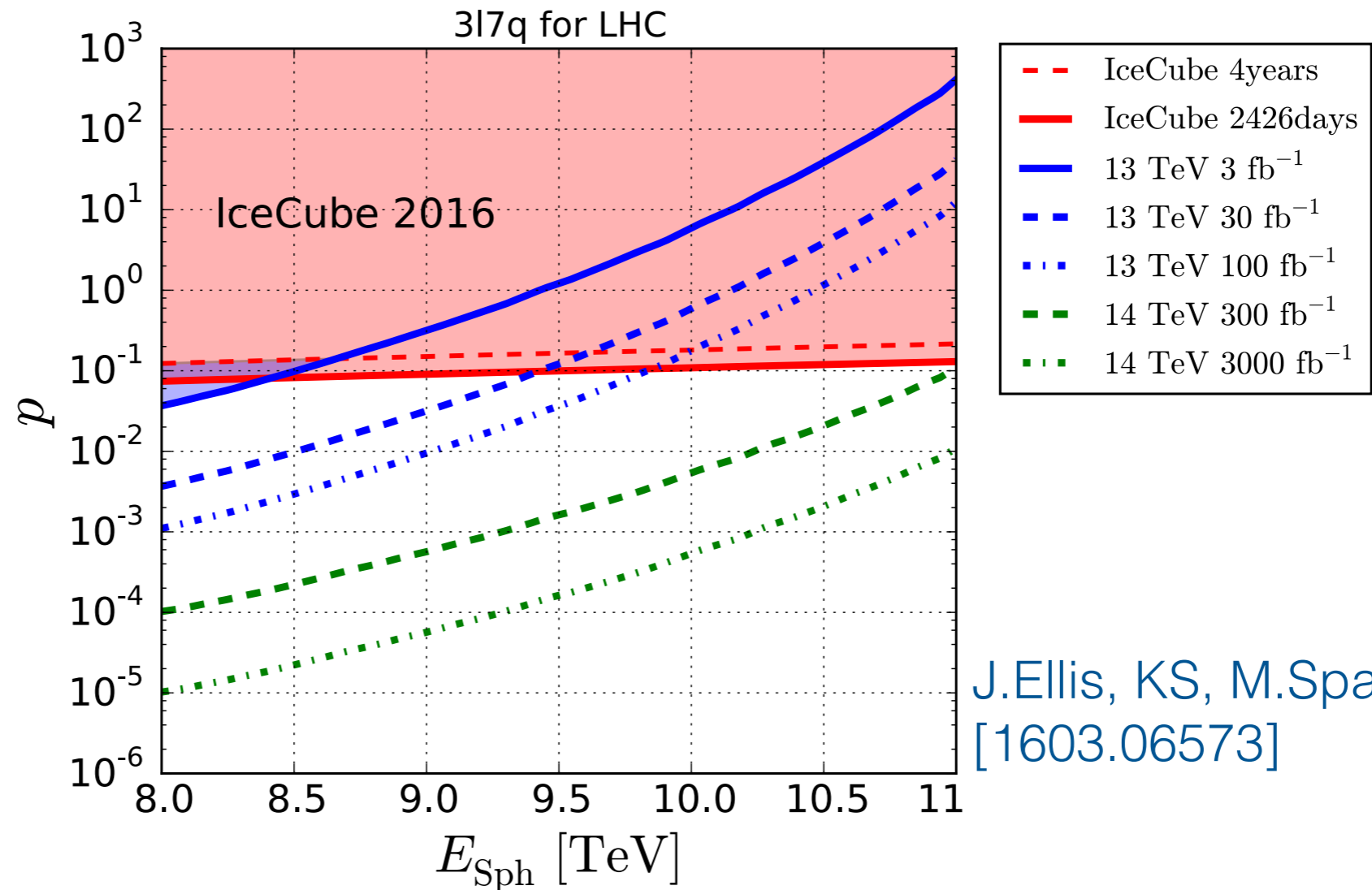
$$\frac{dN_{\text{Sph}}}{dt} = \int_{E_{\text{thre}}} dE_{\nu} \frac{\sigma_{\nu N}^{\text{Sph}}(E_{\nu})}{\sigma_{\nu N}^{\text{CC/NC}}(E_{\nu})} A_{\text{eff}}(E_{\nu}) \frac{d^2\Phi}{dE_{\nu}dt}$$

J.Ellis, KS, M.Spannowsky

[1603.06573]



Sensitivity



J.Ellis, KS, M.Spannowsky
[1603.06573]

- For $E_{\text{Sph}} \sim 9 \text{ TeV}$, IceCube and LHC sensitivities are comparable.
- Good IceCube sensitivity persists for $E > E_{\text{Sph}}$.

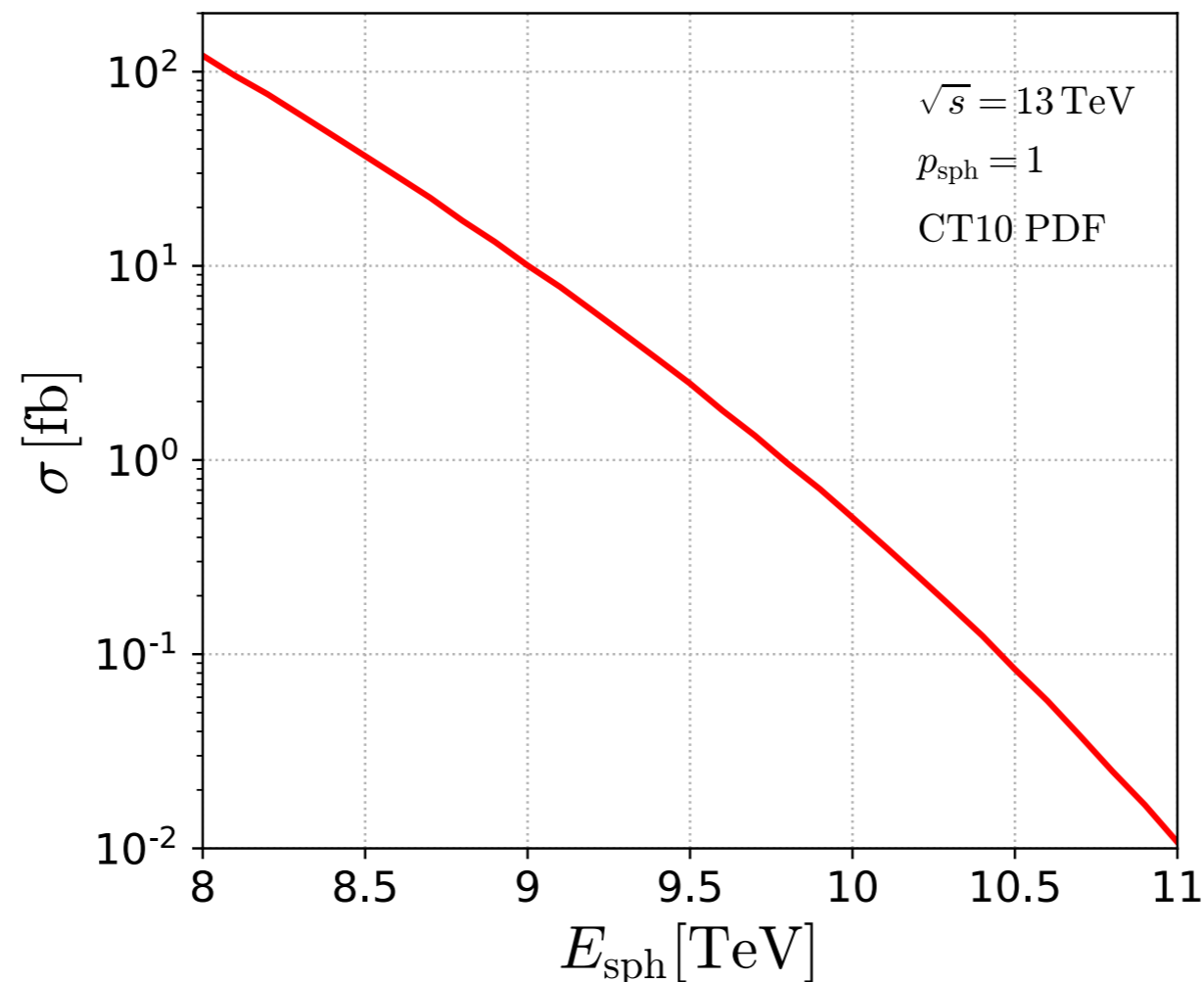
(because the fall of PDF is faster than that of GZK neutrino spectrum)

Phenomenological parametrisation for cross-section:

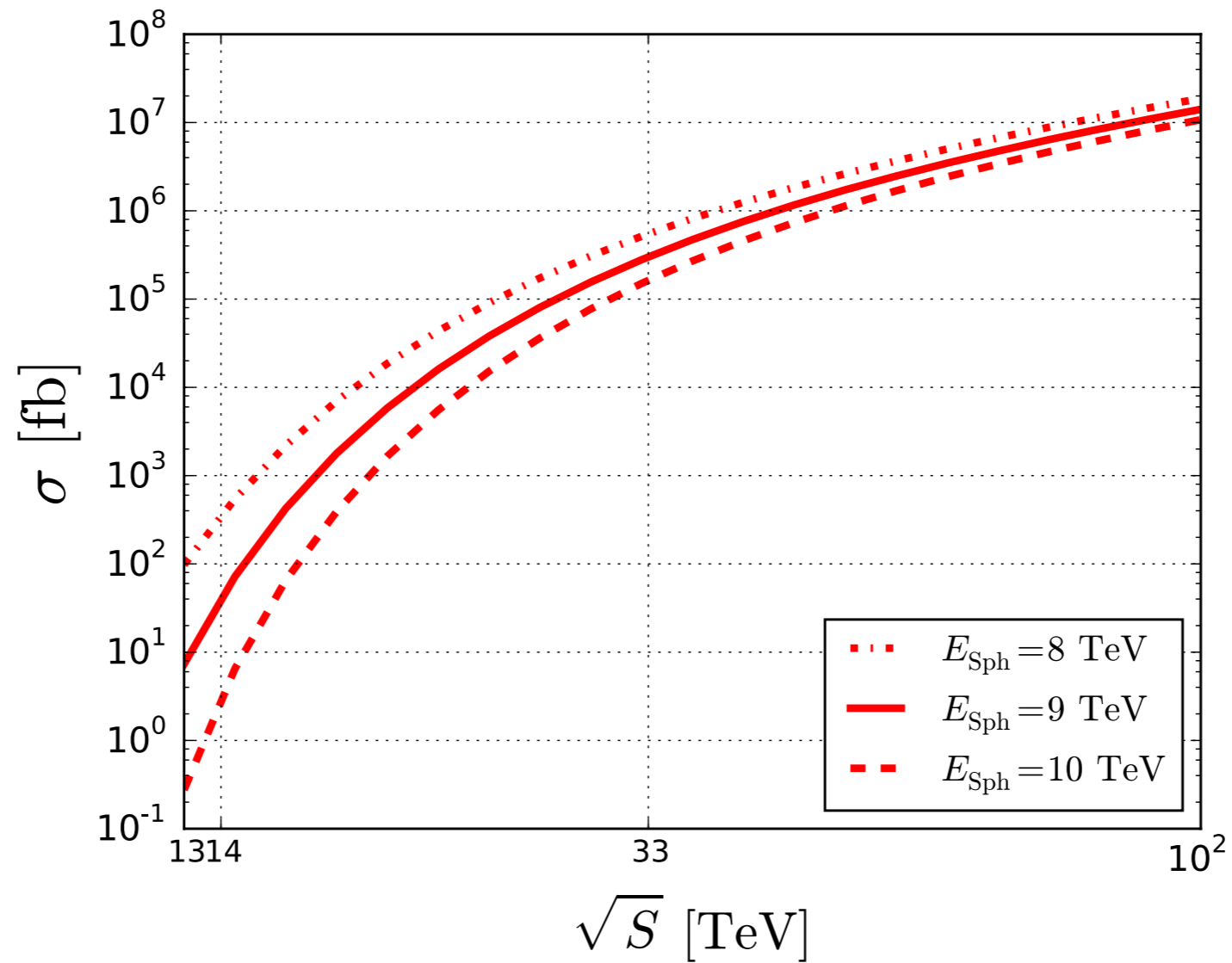
$$\text{partonic } \hat{\sigma}(\sqrt{\hat{s}}) = \frac{p_{\text{sph}}}{m_W^2} \Theta(\sqrt{\hat{s}} - E_{\text{sph}}) \quad c_{ab} = \begin{cases} 2/3 & a, b \text{ same generation} \\ 1 & \text{otherwise} \end{cases}$$

$$\text{hadronic } \sigma_{pp}(\sqrt{s}) = \sum_{ab} \left[c_{ab} \left(\frac{1}{2} \right)^2 \right] \int dx_1 dx_2 f_a(x_1) f_b(x_2) \hat{\sigma}(\sqrt{s x_1 x_2})$$

anti-symmetric colour construction L-handed only

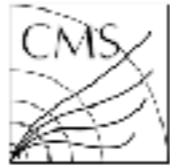


Cross Section



$p = 1$
 $E_{\text{Sph}} = 9 \text{ TeV}$

	Sphaleron	$gg \rightarrow H$
13 TeV	7.3 fb	44×10^3 fb
14 TeV	41 fb	50×10^3 fb
33 TeV	0.3×10^6 fb	0.2×10^6 fb
100 TeV	141×10^6 fb	0.7×10^6 fb



CMS-EXO-17-023



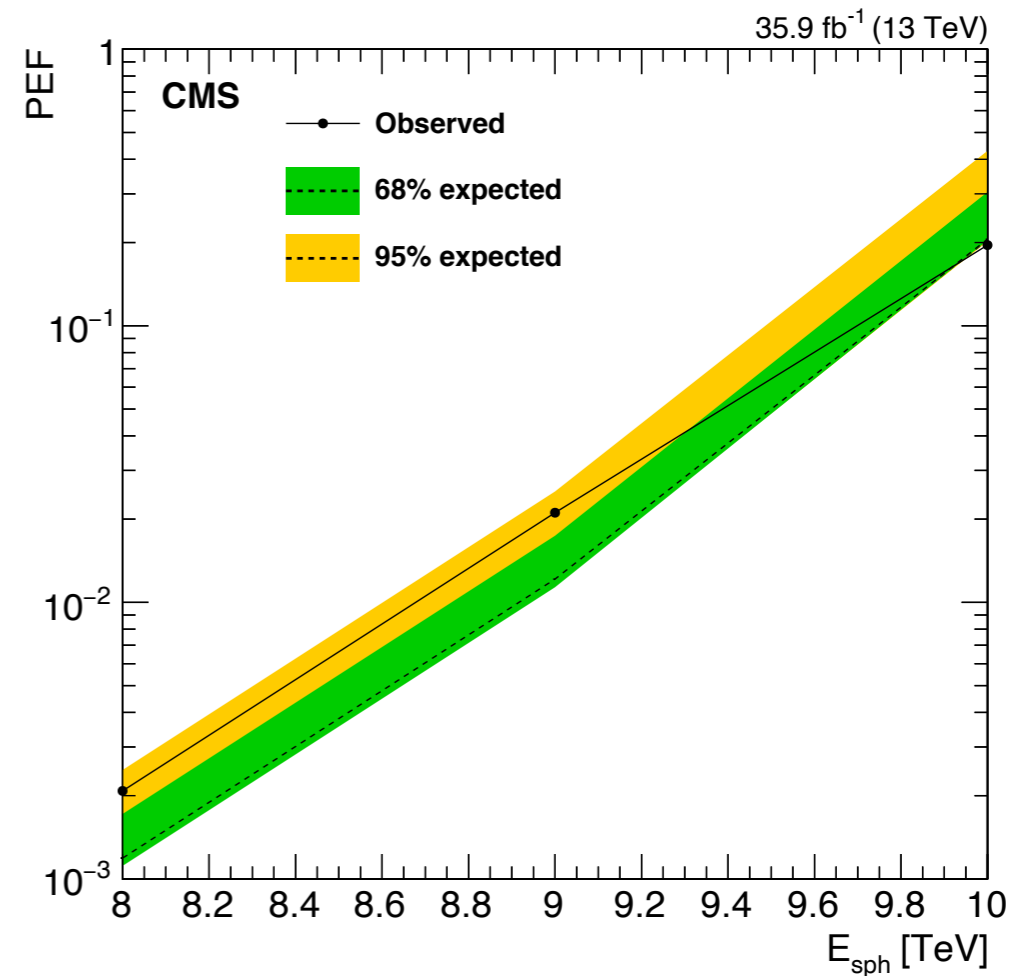
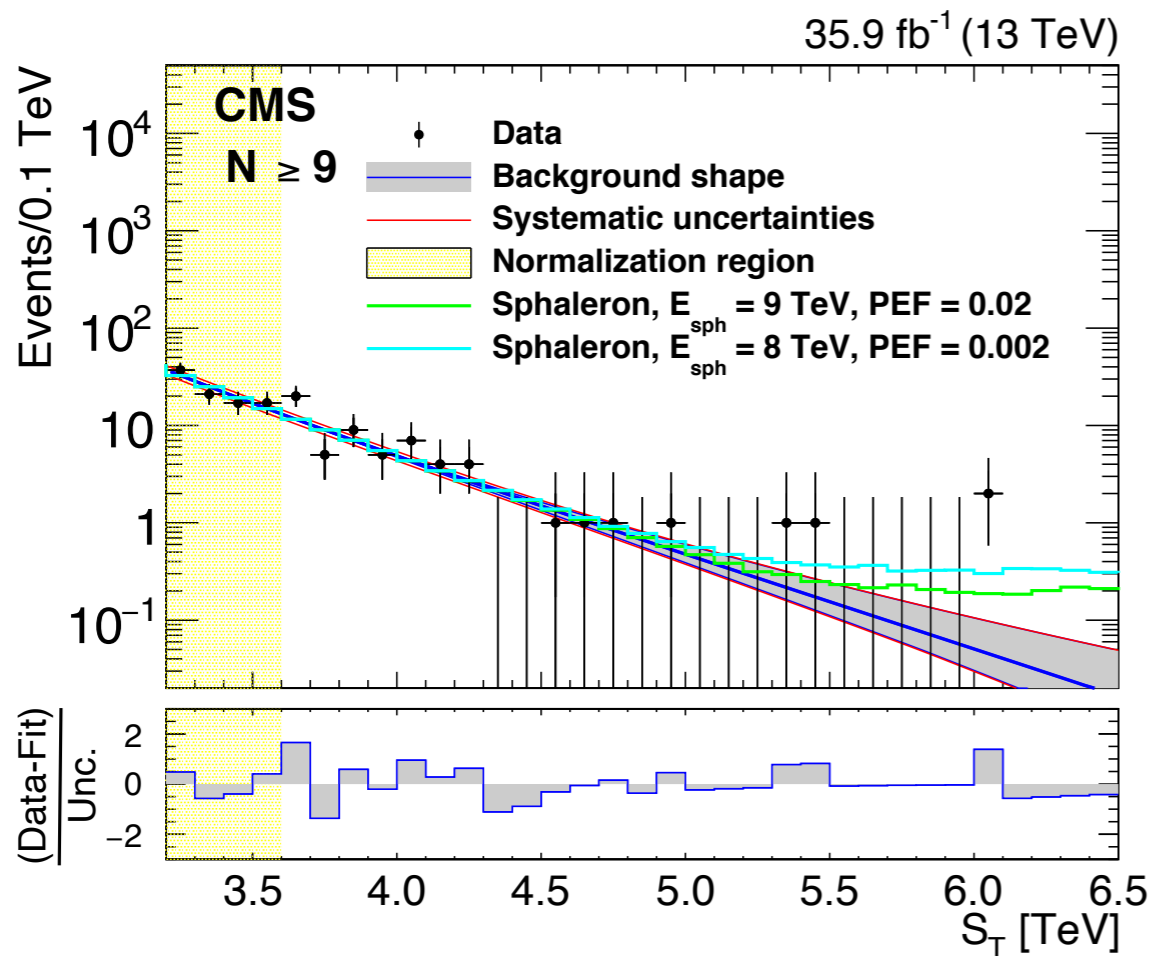
CERN-EP-2018-093
2018/11/16

[1805.06013]

Search for black holes and sphalerons in high-multiplicity final states in proton-proton collisions at $\sqrt{s} = 13$ TeV

$$S_T \equiv E_T^{\text{miss}} + \sum_i^{p_T > 70 \text{ GeV}} p_T^{(i)} > S_T^{\text{min}} \quad 3.8 < S_T^{\text{min}} / \text{TeV} < 8$$

$$N(p_T > 70 \text{ GeV}) \geq N_{\text{min}} \quad N_{\text{min}} = 3, \dots, 11$$

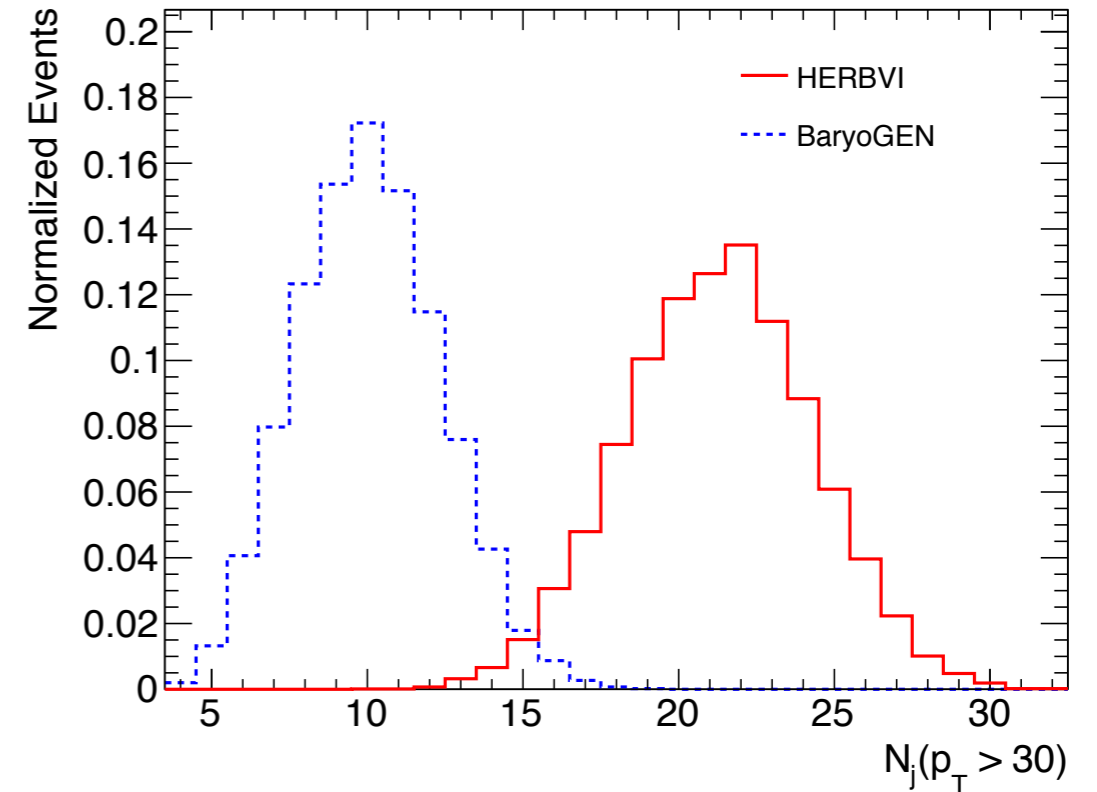


- CMS analysis assumes sphaleron final states **DO NOT** involve any EW bosons.

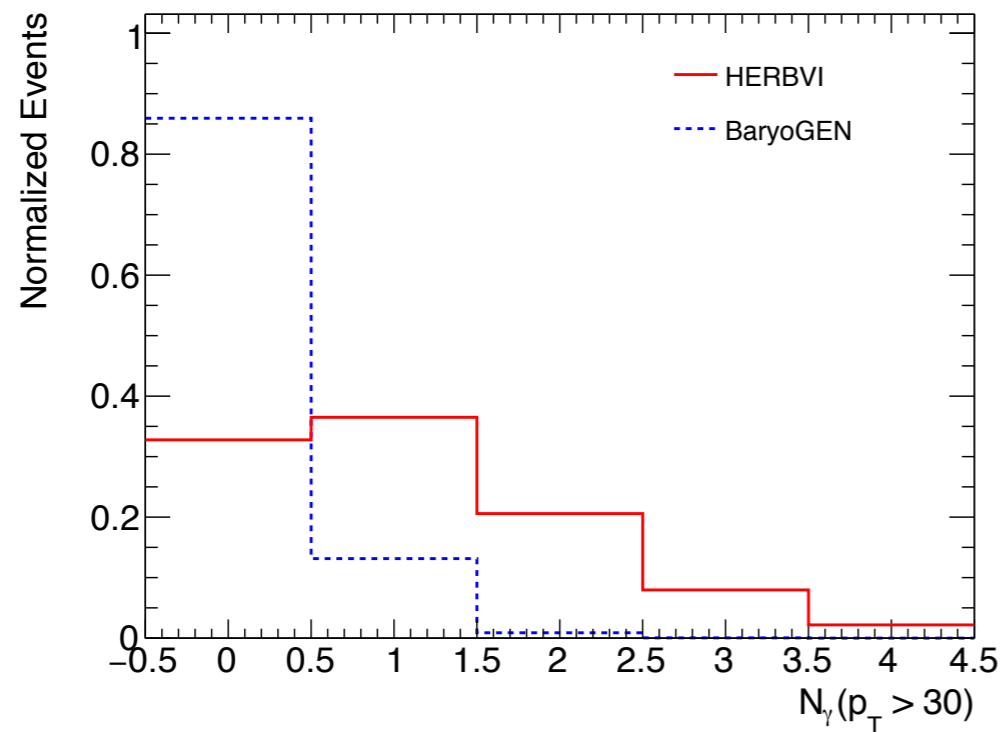
$$qq \rightarrow \begin{cases} n_q q + 3\ell & \text{[BaryoGEN]} \\ 7q + 3\ell + \sum n_B B & \text{[HERBVI]} \end{cases}$$

- **Delphes** is used for detector simulation

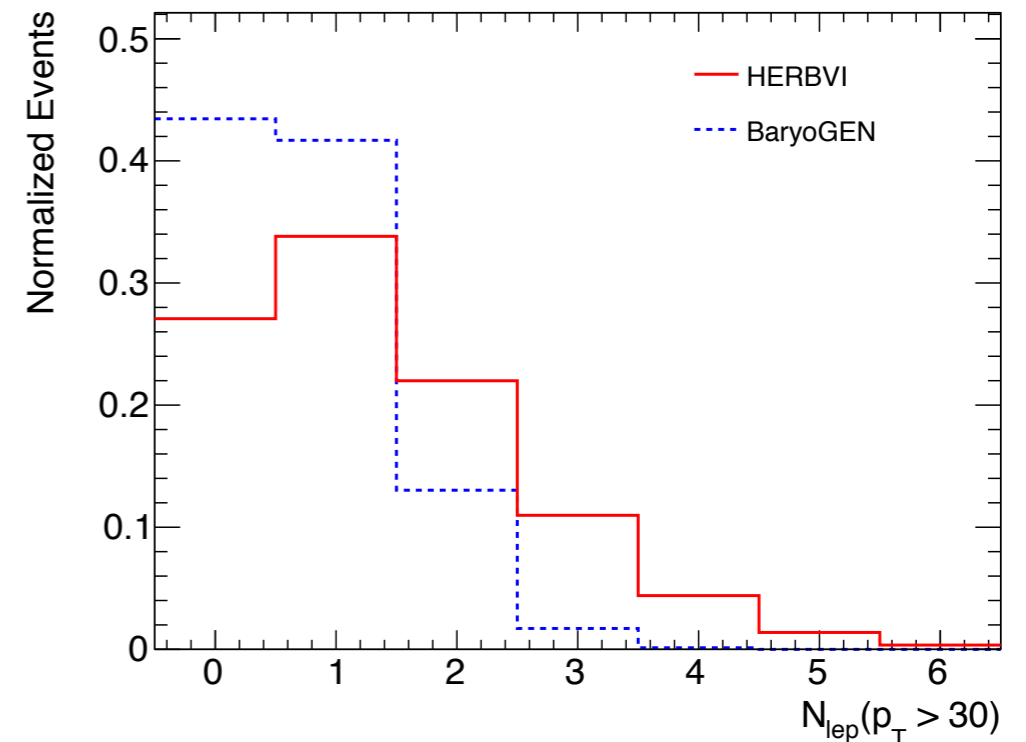
jet multiplicity



lepton multiplicity



photon multiplicity



Comparison in signal efficiencies

$$S_T \equiv E_T^{\text{miss}} + \sum_i^{p_T > 70 \text{ GeV}} p_T^{(i)} > S_T^{\text{min}} \quad 3.8 < S_T^{\text{min}} / \text{TeV} < 8$$

$$N(p_T > 70 \text{ GeV}) \geq N_{\text{min}} \quad N_{\text{min}} = 3, \dots, 11$$

[Ringwald, KS, Webber 1809.10833]

E_{sph} [TeV]		8	8.5	9	9.5	10
multi-boson	$(N_{\text{min}}, S_T^{\text{min}} [\text{TeV}])^*$	(11, 4.2)	(11, 4.2)	(11, 4.2)	(11, 4.2)	(11, 4.2)
	$\epsilon^{(a^*)} [\%]$	94.8	97.5	99.2	99.6	99.9
	$N_{\text{obs}}^{\text{max}(a^*)}$	3.0	3.0	3.0	3.0	3.0
Zero Boson	$(N_{\text{min}}, S_T^{\text{min}} [\text{TeV}])^*$	(9, 5.4)	(9, 5.6)	(9, 5.6)	(8, 6.2)	(8, 6.2)
	$\epsilon^{(a^*)} [\%]$	37.7	40.5	45.3	50.5	57.5
	$N_{\text{obs}}^{\text{max}(a^*)}$	6.9	5.8	5.8	3.0	3.0

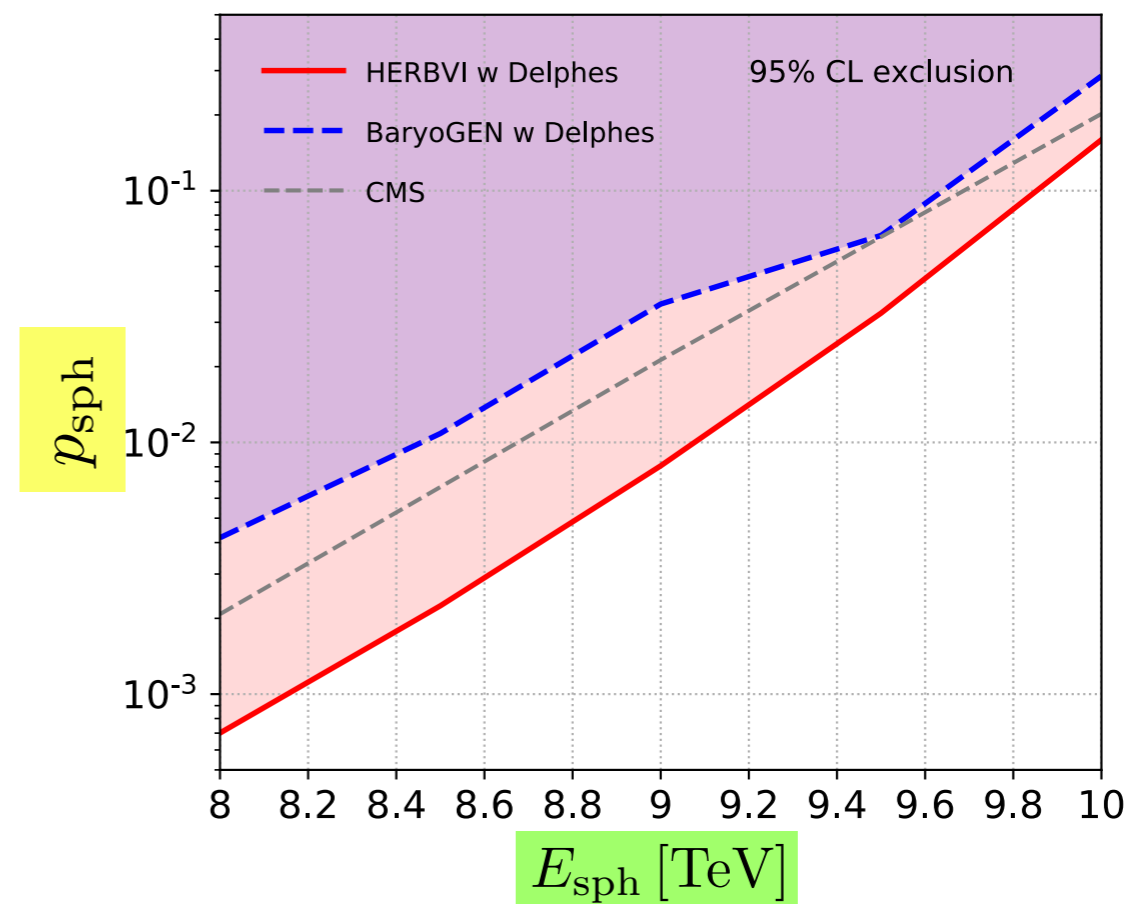
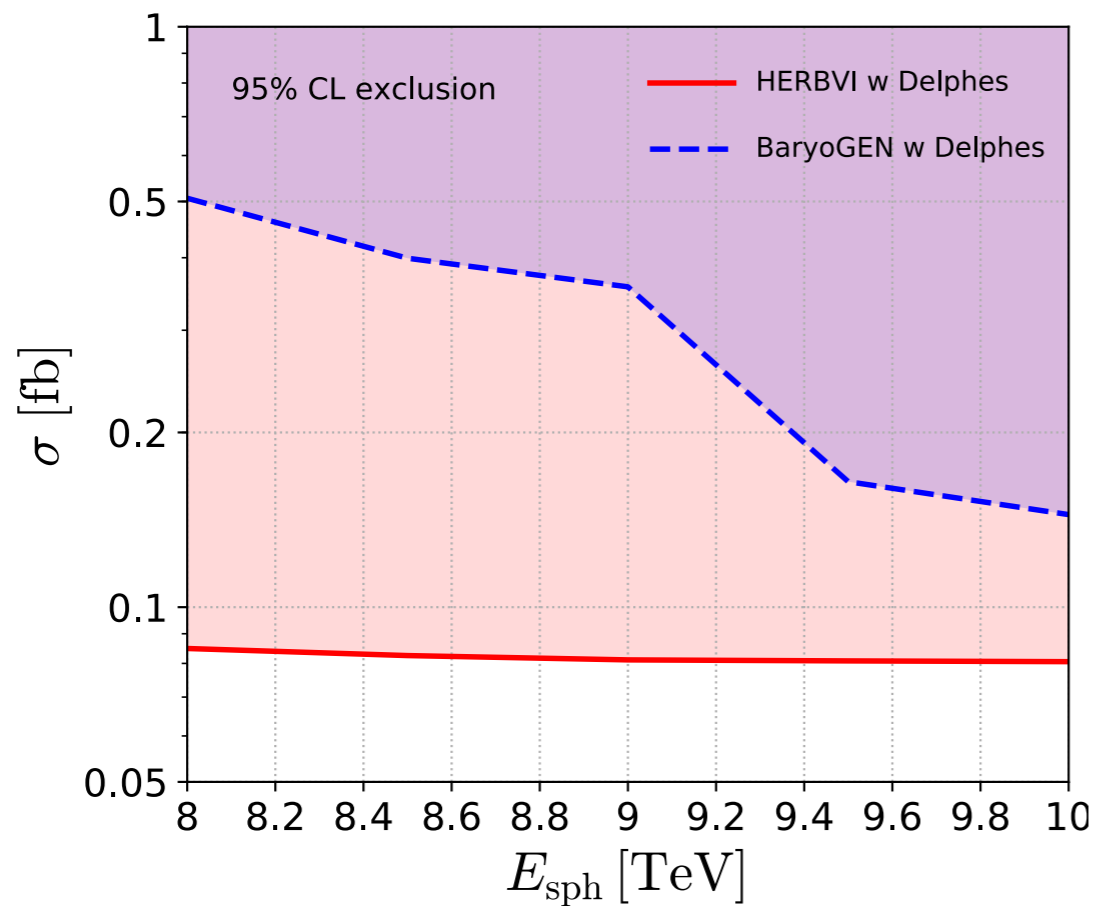
most sensitive SR
signal efficiency
limit on signal events

signal efficiencies are much larger in the multi-boson case

Exclusion limit

[Ringwald, KS, Webber 1809.10833]

$$\hat{\sigma}(\sqrt{\hat{s}}) = \frac{p_{\text{sph}}}{m_W^2} \Theta(\sqrt{\hat{s}} - E_{\text{sph}})$$



$$qq \rightarrow \begin{cases} n_q q + 3\ell & \text{(BaryoGEN)} \\ 7q + 3\ell + \sum n_B B & \text{(HERBVI)} \end{cases}$$

- The limit on the multi-boson cross-section: $\sigma_{\text{sph}} < 0.8 \text{ fb}$