

Electroweak Baryogenesis

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September 10, 2021@PPP2021 Online

Outline

- **part 1:** RG invariance of resummed effective potential

K. Funakubo and E.S., in progress

- **part 2:** Band structure effect on sphaleron rate

K. Funakubo, K. Fuyuto, E.S., arXiv:1612.05431

Baryon Asymmetry of the Universe (BAU)

Our Universe is baryon-asymmetric.

$$\eta^{\text{BBN}} = \frac{n_B}{n_\gamma} = (5.8 - 6.5) \times 10^{-10},$$
$$\eta^{\text{CMB}} = \frac{n_B}{n_\gamma} = (6.105 \pm 0.055) \times 10^{-10}.$$

PDG2020

Sakharov's conditions [Sakharov, JETP Lett. 5 (1967) 24]

- (1) Baryon number violation
- (2) C and CP violation
- (3) Out of equilibrium

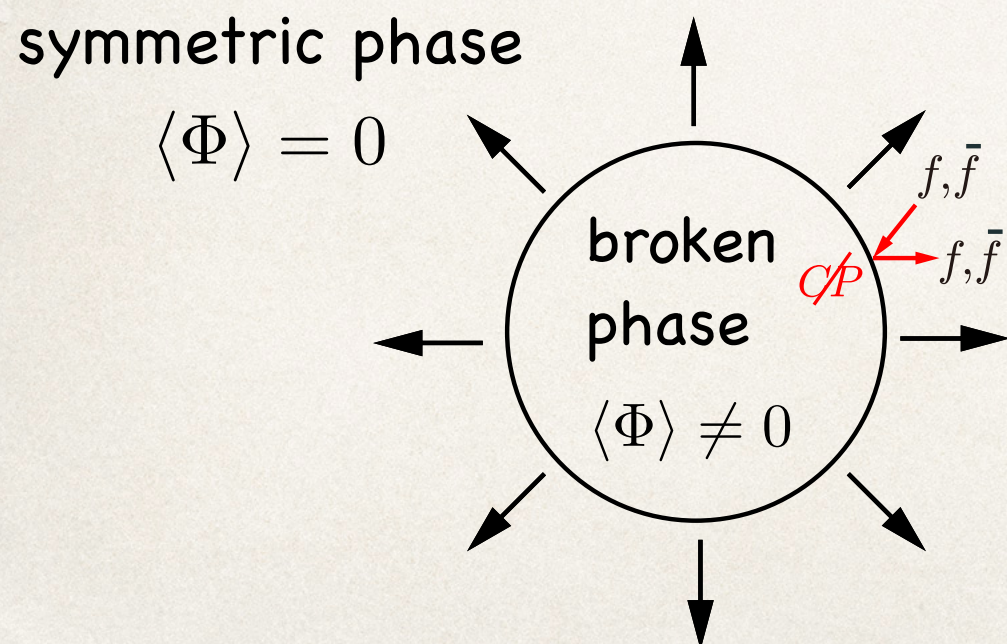
- ❑ after inflation (scale is model dependent)
- ❑ before Big-Bang Nucleosynthesis ($T \approx O(1)$ MeV)

EW baryogenesis (EWBG)

Sakharov's conditions

[Kuzmin, Rubakov, Shaposhnikov, PLB155,36 ('85)]

- ✧ **B violation**: anomalous (sphaleron) process
- ✧ **C violation**: chiral gauge interaction
- ✧ **CP violation**: KM phase and/or other sources in beyond the SM
- ✧ **Out of equilibrium**: 1st-order EW phase transition (EWPT) with expanding bubble walls



$n_B = 0 \rightarrow n_B \neq 0$ (sphaleron process)
baryogenesis occurs outside bubbles!

sphaleron decoupling condition

$$\Gamma_B^{(br)}(T) < H(T) \longrightarrow \frac{v_C}{T_C} \gtrsim 1$$

Precise calculation of this condition is indispensable for experimental verification of EWBG.

Our concern

- Effective potential is commonly used to analyze EWPT.
- Scale dependences is one of the theoretical uncertainties and can be diminished by renormalization group (RG) improvement.
- Ordinary RG equation at $T=0$ has been used.

Question

Is it really correct to use the ordinary RGE to improve resummed effective potential?

Today's topic

- We devise RG equations in the resummed perturbation theory.
- As a 1st step, φ^4 theory up to 2-loop level is considered.

Effective potential

- MS-bar scheme -

$$V_{\text{eff}}(\varphi; T) = V_0(\varphi) + V_1(\varphi; T)$$

$$V_0(\varphi) = \Omega - \frac{\nu^2}{2}\varphi^2 + \frac{\lambda}{4!}\varphi^4, \quad V_1(\varphi; T) = \frac{m^4}{4(16\pi^2)} \left(\ln \frac{m^2}{\bar{\mu}^2} - \frac{3}{2} \right) + \frac{T^4}{2\pi^2} I_B(a^2),$$

$$m^2 = \frac{\partial^2 V_0}{\partial \varphi^2} = -\nu^2 + \frac{\lambda}{2}\varphi^2, \quad I_B(a^2) = \int_0^\infty dx \, x^2 \ln \left(1 - e^{-\sqrt{x^2 + a^2}} \right), \quad a^2 = \frac{m^2}{T^2}$$

RG invariance

$$0 = \frac{dV_{\text{eff}}}{dt} = \left[\frac{\partial}{\partial t} + \beta_X \frac{\partial}{\partial X} - \gamma_\varphi \varphi \frac{\partial}{\partial \varphi} \right] V_{\text{eff}} \equiv \mathcal{D}V_{\text{eff}}, \quad X = \Omega, \nu^2, \lambda, \quad t = \ln \bar{\mu},$$

@1-loop

$$\mathcal{D}V_0 = \beta_\Omega^{(1)} - \frac{1}{2}\beta_{\nu^2}^{(1)}\varphi^2 + \frac{1}{4!}\beta_\lambda^{(1)}\varphi^4 = \frac{1}{16\pi^2} \left[\frac{\nu^4}{2} - \frac{1}{2}\lambda\nu^2\varphi^2 + \frac{\lambda^2}{8}\varphi^4 \right] = \frac{m^4}{32\pi^2},$$

$$\mathcal{D}V_1 = \frac{\partial}{\partial t} V_1 = \frac{m^4}{64\pi^2}(-2) = -\frac{m^4}{32\pi^2}. \quad \therefore \mathcal{D}(V_0 + V_1) = 0$$

Effective potential at this order is RG invariant.

Need of resummation

Perturbative expansion breaks down at high T.

$$M^2 = m^2 + \Sigma(\varphi; T), \quad \Sigma(\varphi; T) = \frac{\lambda}{2} I(m^2)$$

$$\text{bubble} = \frac{\lambda}{2} I(m^2) \xrightarrow{T \gg 0} \frac{\lambda}{2} \frac{I'_B(a^2)}{\pi^2} = \frac{\lambda}{2} \left[\frac{T^2}{12} + \dots \right] \quad \text{grow with } O(\lambda T^2)$$

#n sub-bubbles

$$\text{chain of bubbles} \sim \frac{\lambda^2 T^3}{m} \left(\frac{\lambda T^2}{m^2} \right)^{n-1} \quad \text{sizable at } T \simeq \frac{m}{\sqrt{\lambda}}$$

Daisy resummation

$$\begin{aligned} -i\Sigma(\varphi; T) &= \text{bubble} + \text{two bubbles} + \text{three bubbles} + \dots + \text{chain of bubbles} + \dots \\ &= \left(\frac{-i\lambda}{2} \right) I \left(m^2 + \frac{\lambda T^2}{24} \right) \quad \text{mass is shifted by } \frac{\lambda T^2}{24} \end{aligned}$$

Resummed effective potential

[Parwani (92) etc.]

Dominant T-dep. terms are added and subtracted in the Lagrangian.

$$\begin{aligned}\mathcal{L}_B &= \mathcal{L}_R + \mathcal{L}_{CT} \\ &= \boxed{\left[\mathcal{L}_R - \frac{1}{2} \Sigma(T) \phi^2 \right]} + \boxed{\left[\mathcal{L}_{CT} + \frac{1}{2} \Sigma(T) \phi^2 \right]} \quad \Sigma(T) = \frac{\lambda T^2}{24}\end{aligned}$$

new 0th-order part new counterterm (CT)

Resummed 1-loop effective potential

$$V_0(\varphi; T) = \frac{1}{2} (-\nu^2 + \Sigma(T)) \varphi^2 + \frac{\lambda}{4!} \varphi^4$$

$$\begin{aligned}V_1(\varphi; T) &= \frac{M^4}{4(16\pi^2)} \left(\ln \frac{M^2}{\bar{\mu}^2} - \frac{3}{2} \right) + \frac{T^4}{2\pi^2} I_B(M^2/T^2) \boxed{-\frac{1}{2} \Sigma(T) \varphi^2} \\ &\xrightarrow{\text{HTE}} -\frac{T}{12\pi} (M^2)^{3/2} + \frac{M^4}{64\pi^2} \left(\ln \frac{T^2}{\bar{\mu}^2} + 2c_B \right) + \dots \quad \text{thermal CT}\end{aligned}$$

where $M^2 = m^2 + \Sigma(T) = -\nu^2 + \frac{\lambda}{2} \varphi^2 + \Sigma(T)$ c_B : const.

This resummed V_{eff} is often used to study EWPT in BSM.

RG non-invariance of resummed V_{eff}

- common argument in the literature -

Using HTE, resummed 1-loop V_{eff} takes the form

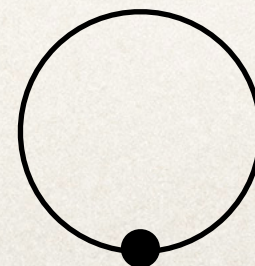
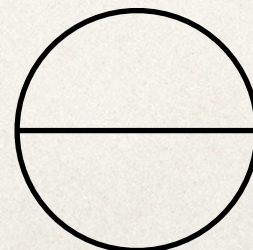
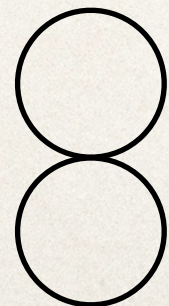
$$\begin{aligned} V_{\text{eff}}^{\text{HTE}}(\varphi; T) &= V_0(\varphi; T) + V_1^{\text{HTE}}(\varphi; T) \\ &\simeq \frac{1}{2} \left[-\nu^2 \left(1 + \frac{\lambda}{32\pi^2} \ln \frac{T^2}{\bar{\mu}^2} \right) + \frac{\lambda(-\nu^2 + \Sigma)}{16\pi^2} c_B + \frac{\lambda T^2}{24} \left(1 + \frac{\lambda}{32\pi^2} \ln \frac{T^2}{\bar{\mu}^2} \right) \right] \varphi^2 \\ &\quad - \frac{T}{12\pi} (M^2)^{3/2} + \frac{1}{4!} \left[\lambda \left(1 + \frac{3\lambda}{32\pi^2} \ln \frac{T^2}{\bar{\mu}^2} \right) + \frac{3\lambda^2 c_B}{16\pi^2} \right] \varphi^4 + \dots \end{aligned}$$

Solutions of 1-loop beta functions (MS-bar)

$$\nu^2(T) \simeq \nu^2 \left(1 + \frac{\lambda}{32\pi^2} \ln \frac{T^2}{\bar{\mu}^2} \right), \quad \lambda(T) \simeq \lambda \left(1 + \frac{3\lambda}{32\pi^2} \ln \frac{T^2}{\bar{\mu}^2} \right)$$

After thermal resummation, RG invariance is broken.

way-out Include 2-loop corrections to restore RG invariance!



counterterm (CT)

RG non-invariance of resummed V_{eff}

– common argument in the literature –

HTE of resummed 2-loop V_{eff} [Arnold and Espinosa, PRD47 (1993) 3546]

$$V_2(\varphi; T) \xrightarrow{\text{HTE}} \frac{\lambda^2 T^2}{48(16\pi^2)} \left(2 \ln \frac{M^2}{T^2} + \ln \frac{T^2}{\bar{\mu}^2} + 1 + c_H \right) \varphi^2 + V_2(\varphi, T=0) \Big|_{m^2 \rightarrow M^2}$$

Adding the mu-dependent term into V_{eff} ,

$$\begin{aligned} V_{\text{eff}}^{\text{HTE}}(\varphi; T) &= V_0(\varphi; T) + V_1^{\text{HTE}}(\varphi; T) + V_2^{\text{HTE}}(\varphi; T) \\ &\simeq \frac{1}{2} \left[-\nu^2 \left(1 + \frac{\lambda}{32\pi^2} \ln \frac{T^2}{\bar{\mu}^2} \right) + \frac{\lambda(-\nu^2 + \Sigma)}{16\pi^2} c_B + \frac{\lambda T^2}{24} \left(1 + \frac{(1+2)\lambda}{32\pi^2} \ln \frac{T^2}{\bar{\mu}^2} \right) \right] \varphi^2 \\ &\quad - \frac{T}{12\pi} (M^2)^{3/2} + \frac{1}{4!} \left[\lambda \left(1 + \frac{3\lambda}{32\pi^2} \ln \frac{T^2}{\bar{\mu}^2} \right) + \frac{3\lambda^2 c_B}{16\pi^2} \right] \varphi^4 + \dots \end{aligned}$$

RG invariance is restored to $O(\lambda^2)$ “in the high-T limit”.

Questions

- Why should we use the ordinary β function for ν^2 even in the resummed perturbation theory?
- What happens if HTE is not used? (*HTE of V_2 is not valid in EWBG-favored regions)

β -functions in the resummed theory

After resummation, T-dependent divergences at 1-loop level arise from

$$\mu^\epsilon V_1(\varphi; T)|_{\text{div}} = -\frac{1}{\epsilon} \frac{1}{32\pi^2} \left(-\nu^2 + \Sigma(T) + \frac{\lambda\mu^\epsilon}{2} \varphi^2 \right)^2$$

(1) MS-bar scheme: $\delta^{(1)}\nu^2 = \frac{\lambda\nu^2}{16\pi^2} \frac{1}{\epsilon}$ * T-dep. div. are cancelled by higher-order terms

(2) Our scheme: $\delta^{(1)}\nu^2 = \frac{\lambda}{16\pi^2} \frac{1}{\epsilon} (\nu^2 - \Sigma)$

β -functions (in our scheme)

We expand bare ν^2 as $\nu_B^2 = \nu^2 \left(1 + \sum_{n=1}^{\infty} \frac{b_n(\lambda)}{\epsilon^n} \right) + \boxed{\Sigma(T) \sum_{n=1}^{\infty} \frac{\tilde{b}_n(\lambda)}{\epsilon^n}}, \quad \Sigma(T) = \frac{\lambda T^2}{24}$

* all-order sum = 0

From scale-independence of bare ν^2

$$\beta_{\nu^2} = \frac{d\nu^2}{d\ln\mu} = \lambda\nu^2 \frac{db_1(\lambda)}{d\lambda} + \Sigma(T) \left(\cancel{\tilde{b}_1(\lambda)} + \lambda \frac{d\tilde{b}_1(\lambda)}{d\lambda} \right)$$

required by RG-invariance of V_{eff} (at least 2-loop order)

At 1-loop level $\beta_{\nu^2}^{(1)} = \frac{\lambda}{16\pi^2} (\nu^2 - \Sigma)$ * self-coupling $\beta_\lambda^{(1)} = \frac{3\lambda^2}{16\pi^2}$

RG invariance of resummed V_{eff}

Using HTE, resummed 1-loop V_{eff} takes the form

$$V_{\text{eff}}^{\text{HTE}}(\varphi; T) = V_0(\varphi; T) + V_1^{\text{HTE}}(\varphi; T)$$

$$\simeq \frac{1}{2} \left[(-\nu^2 + \Sigma) \left(1 + \frac{\lambda}{32\pi^2} \ln \frac{T^2}{\bar{\mu}^2} \right) + \frac{\lambda(-\nu^2 + \Sigma)}{16\pi^2} c_B \right] \varphi^2$$

$$- \frac{T}{12\pi} (M^2)^{3/2} + \frac{1}{4!} \left[\lambda \left(1 + \frac{3\lambda}{32\pi^2} \ln \frac{T^2}{\bar{\mu}^2} \right) + \frac{3\lambda^2 c_B}{16\pi^2} \right] \varphi^4 + \dots$$

Solutions of 1-loop beta functions

$$-\nu^2(T) + \Sigma = (-\nu^2 + \Sigma) \left(1 + \frac{\lambda}{32\pi^2} \ln \frac{T^2}{\bar{\mu}^2} \right), \quad \lambda(T) = \lambda \left(1 + \frac{3\lambda}{32\pi^2} \ln \frac{T^2}{\bar{\mu}^2} \right)$$

V_{eff} is RG invariant even at this order in our scheme.

@2-loop

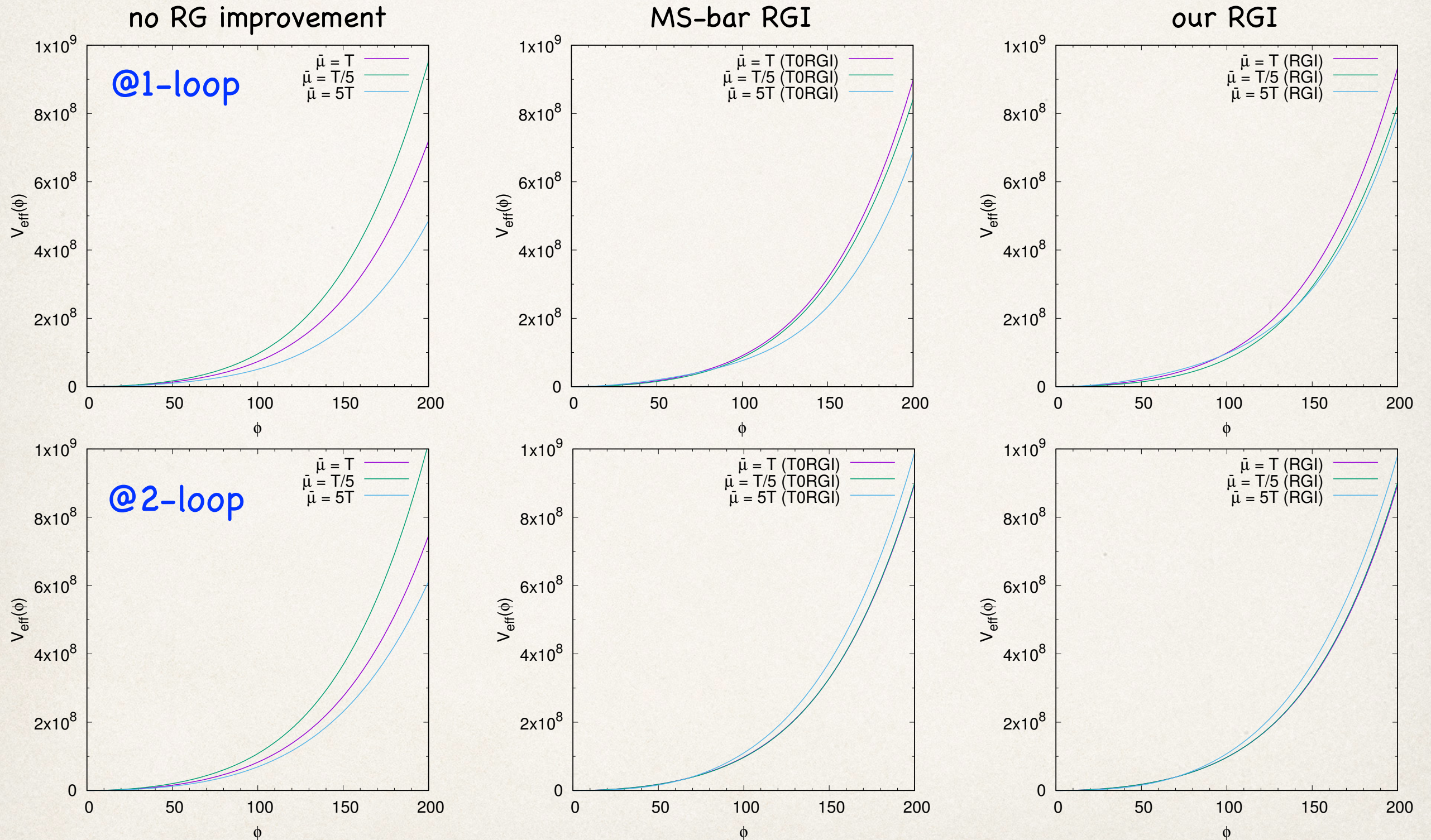
$$\beta_{\nu^2} = \beta_{\nu^2}^{(1)} + \beta_{\nu^2}^{(2)} = \frac{\lambda}{16\pi^2} (\nu^2 - \Sigma) + \frac{\lambda^2}{(16\pi^2)^2} \left(-\frac{5\nu^2}{6} + \Sigma \right) + \frac{\lambda\Sigma}{16\pi^2} = \frac{\lambda\nu^2}{16\pi^2} + \frac{\lambda^2}{(16\pi^2)^2} \left(-\frac{5\nu^2}{6} + \Sigma \right)$$

cancelled!

- 2 schemes give the same potential "in the high-T limit".
- However, total V_{eff} satisfies RGE in our scheme (not in the $\overline{\text{MS}}$ -bar scheme).
- The difference could get bigger for $\nu^2 \ll \Sigma (= \lambda T^2/24)$.

Numerical analysis

Inputs: $\bar{\mu}_0 = 90$, $v(\bar{\mu}_0) = 50$, $m_\phi(\bar{\mu}_0) = 90$, $T = 250$ [any mass units] [$\lambda(\bar{\mu}_0) \simeq 10$]



- Our scheme gives less scale dependences at 1-loop level.
- Application to other models (1st-order PT case) is the next step.

Band structure effect on sphaleron process

based on the collaborators with

Koichi Funakubo (Saga U), Kaori Fuyuto (Los Alamos Natl Lab)

Ref. arXiv:1612.05431

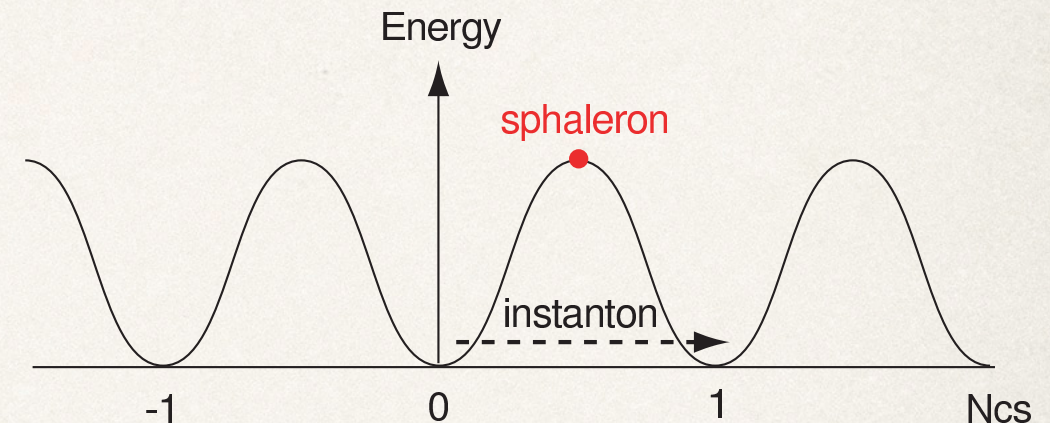
B+L violation

- (B+L) is violated by a chiral anomaly in EW theories.

Vacuum transition (instanton)

[t Hooft, PRL37,8 (1976), PRD14,3432 (1976)]

$$\sigma_{\text{instanton}} \simeq e^{-2S_{\text{instanton}}} = e^{-4\pi/\alpha_W} \simeq 10^{-162}$$



Transition rate at finite-E [Ringwald, NPB330,(1990)1, Espinosa, NPB343 (1990)310]

instanton-based

$$\sigma(E) \sim \exp\left(\frac{4\pi}{\alpha_W} F(E)\right) \quad \text{holy-grail function} \quad F(0) = -1 \quad |F(E_{\text{sph}})| \ll 1?$$

- But, instanton-based calculation is not valid at $E \gtrsim E_{\text{sph}}$

Bounce is more appropriate (transition between the finite-E states)

→ Reduced model

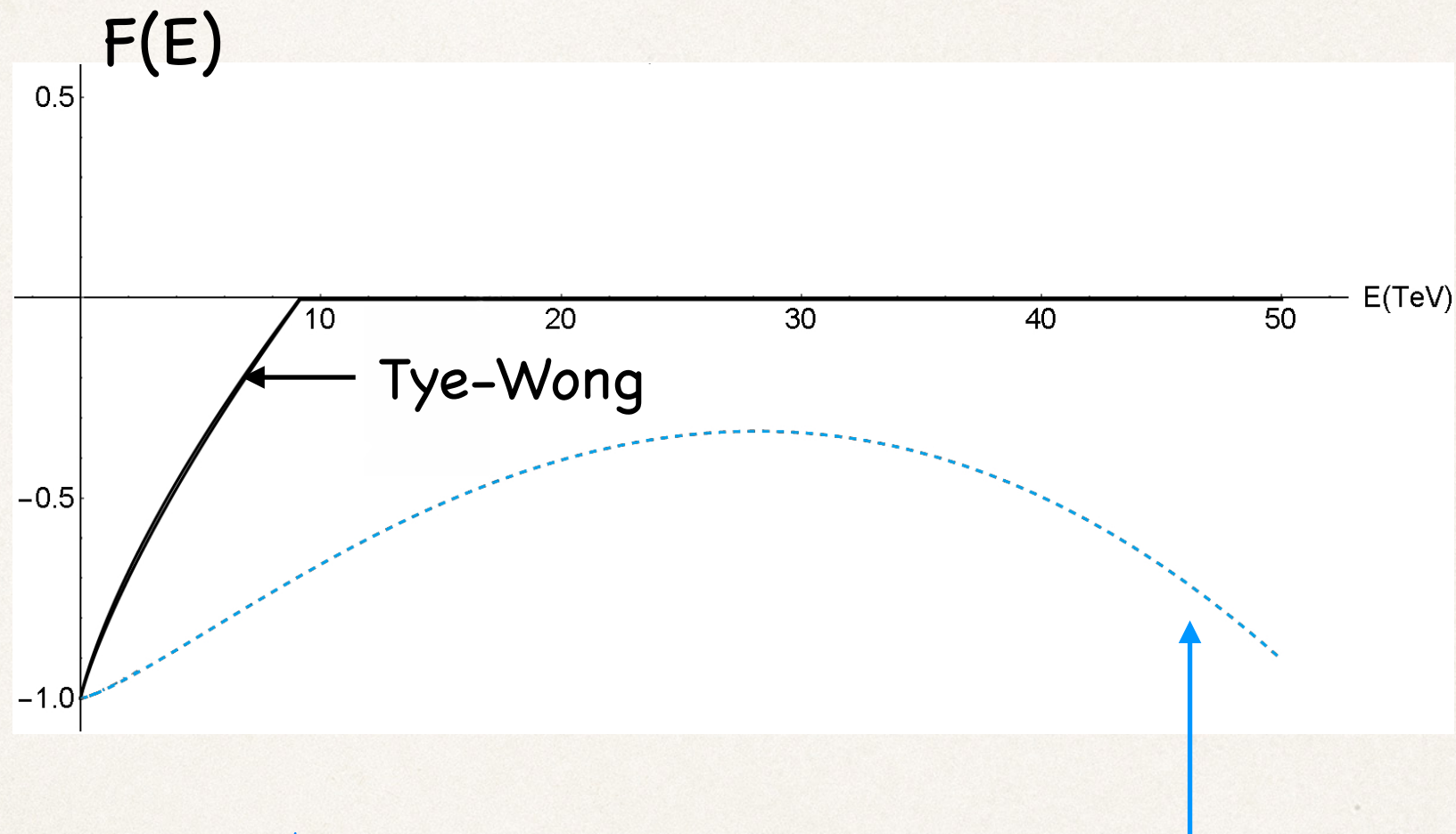
[Aoyama, Goldberg, Ryzak, PRL60, 1902 ('88)]

[Funakubo, Otsuki, Takenaga, Toyoda, PTP87,663('92), PTP89,881('93)]

[H. Tye, S. Wong, PRD92,045005 ('15)]

Tye-Wong's work

[H. Tye, S. Wong, PRD92,045005 (2015)]



$$F(E) = -1 + \frac{9}{8} \left(\frac{E}{E_0} \right)^{4/3} - \frac{9}{16} \left(\frac{E}{E_0} \right)^2 + \dots \quad (\text{instanton calculus}) \quad E_0 \approx 15 \text{ TeV}$$

$F(E) = 0$ for $E > E_{\text{sph}}$ (Tye-Wong) $\therefore$ a band structure

Reduced model

[Aoyama, Goldberg, Ryzak, PRL60, 1902 (1988)]

[Funakubo, Otsuki, Takenaga, Toyoda, PTP87, 663 (1992), PTP89, 881 (1993)]

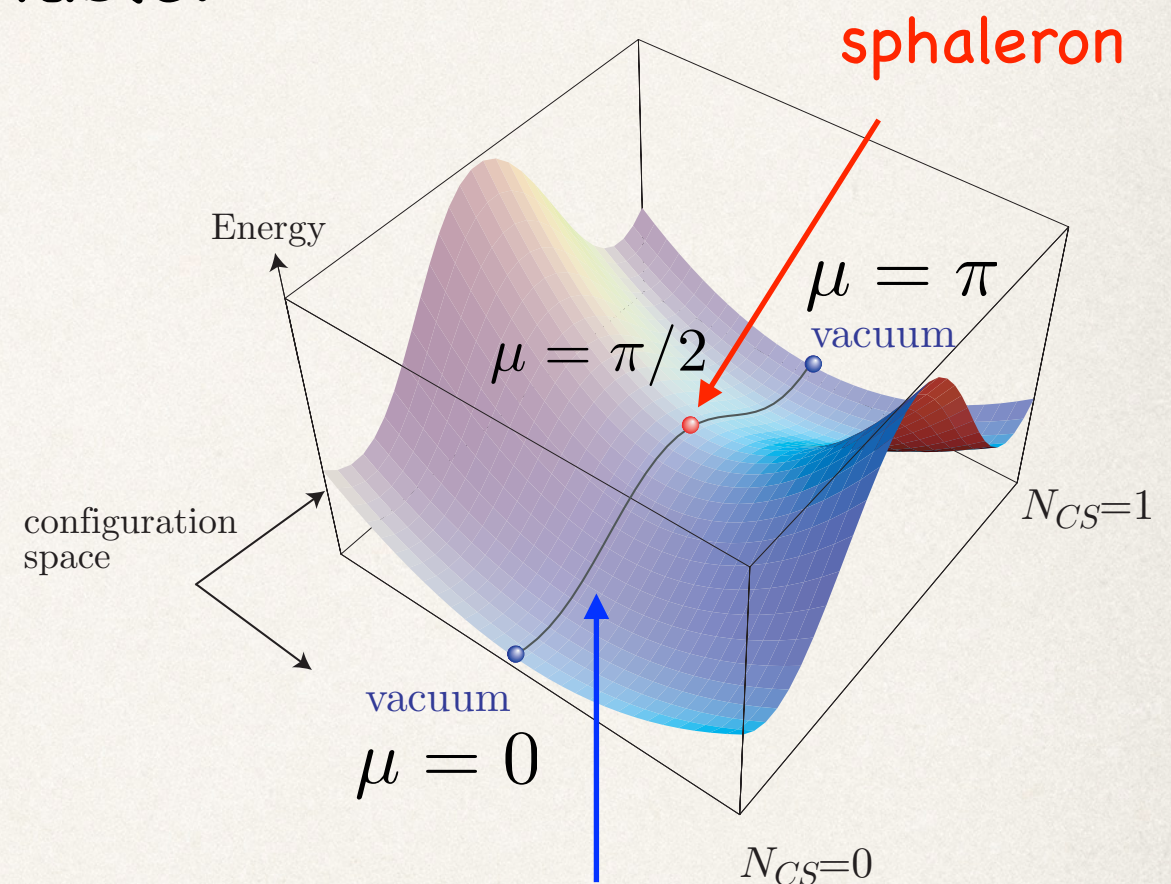
[H. Tye, S. Wong, PRD92,045005 (2015)]

Let us promote μ to a dynamical variable:

$$\mu \Rightarrow \mu(t)$$

$\mu(-\infty)=0$, $\mu(+\infty)=\pi$: vacuum,
 $\mu(t_{\text{sph}})=\pi/2$: sphaleron

– We construct a reduced model by adopting a Manton's ansatz.



Non-contractible loop
(least energy path)

Classical action

$$S[\mu] = g_2 v \int dt \left[\frac{M(\mu)}{2} \left(\frac{d}{dt} \frac{\mu(t)}{g_2 v} \right)^2 - V(\mu) \right],$$

where $M(\mu) = \frac{4\pi}{g_2^2} (\alpha_0 + \alpha_1 \cos^2 \mu + \alpha_2 \cos^4 \mu)$, $V(\mu) = \frac{4\pi}{g_2^2} \sin^2 \mu (\beta_1 + \beta_2 \sin^2 \mu)$.

$$\alpha_0 = 19.42, \quad \alpha_1 = -1.937, \quad \alpha_2 = -2.656,$$
$$\beta_1 = 1.313, \quad \beta_2 = 0.603,$$

$$M_{\text{sph}} = g_2 v M \left(\frac{\pi}{2} \right) \simeq 92.01 \text{ TeV}, \quad E_{\text{sph}} = g_2 v V \left(\frac{\pi}{2} \right) \simeq 9.08 \text{ TeV}.$$

- We quantize this system and evaluate energy spectrum.

-> band structure

Band structure

$$E_{\text{sph}} = 9.08 \text{ TeV}$$

$$E_{\text{sph}} = 9.11 \text{ TeV}$$

this work		Units: TeV	Tye-Wong	
Band Centre E	Band Width		Band Centre E	Band Width
14.054	0.0744		?	?
13.980	0.0741		?	?
E_{sph} $\vdots$	$\vdots$		$\vdots$	$\vdots$
 9.072	0.0104		9.113	0.0156
9.044	4.85×10^{-3}		9.081	7.19×10^{-3}
9.012	1.61×10^{-3}		9.047	2.62×10^{-3}
$\vdots$	$\vdots$		$\vdots$	$\vdots$
0.1015	1.88×10^{-199}		0.1027	$\sim 10^{-177}$
0.03383	1.31×10^{-202}		0.03421	$\sim 10^{-180}$

of band $< E_{\text{sph}} = 158$

of band $< E_{\text{sph}} = 148$

Band gaps still exist $E > E_{\text{sph}}$ due to nonzero reflection rate.

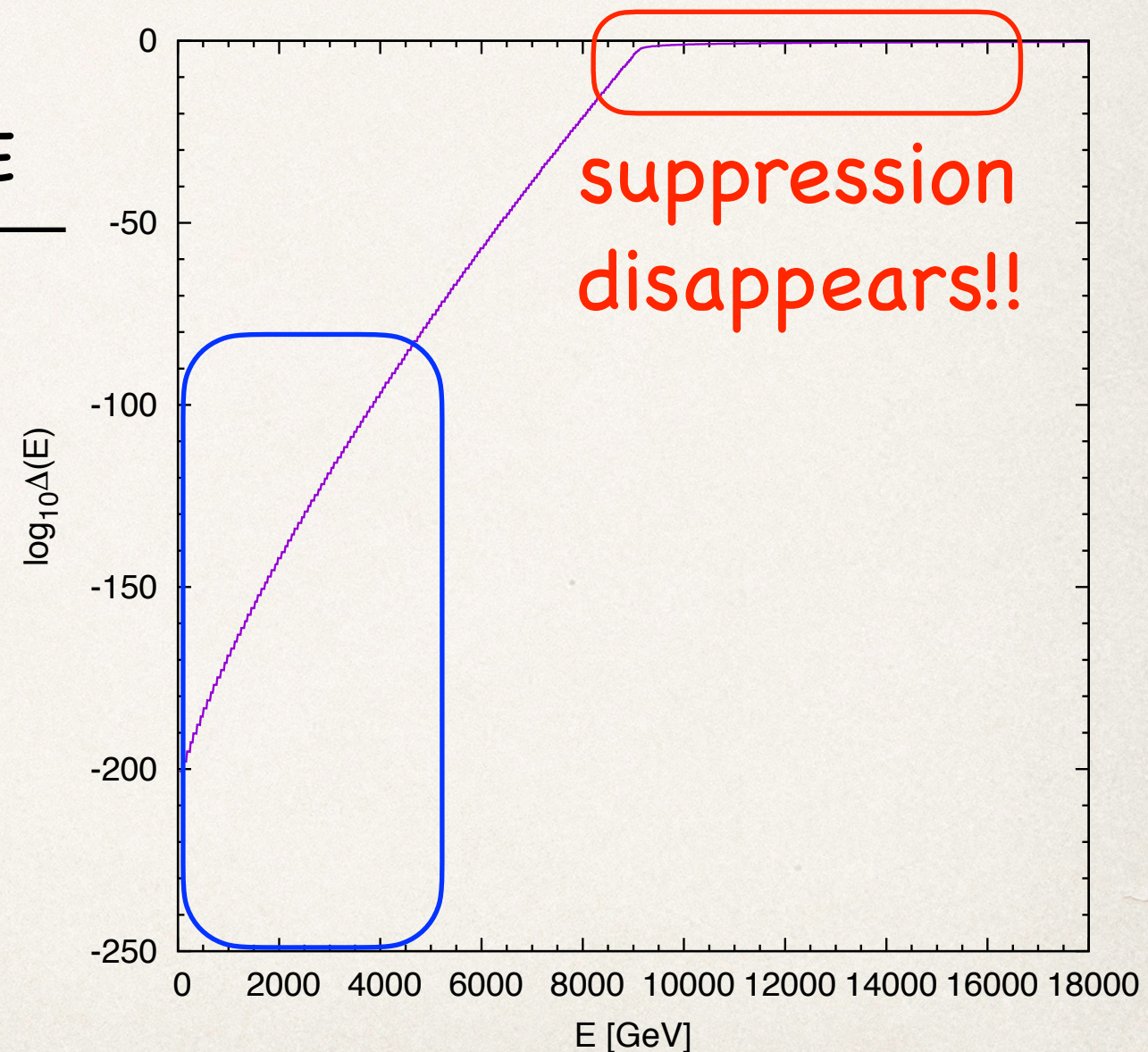
Transition factor

$$\sigma_{\Delta(B+L)=\pm 1} \propto \begin{cases} 1 \times \exp\left(\frac{4\pi}{\alpha_W} F(E)\right) & \text{tunneling factor} \\ \Delta(E) \times 1 & \text{instanton calculus} \\ & \text{band picture} \end{cases}$$

$$\Delta(E) \simeq \frac{\text{sum of band widths up to } E}{\text{energy } (E)}$$

Band picture:

- density of state is restricted.
- Exponential suppression at $E \ll E_{\text{sph}}$ is due to the tiny band width.

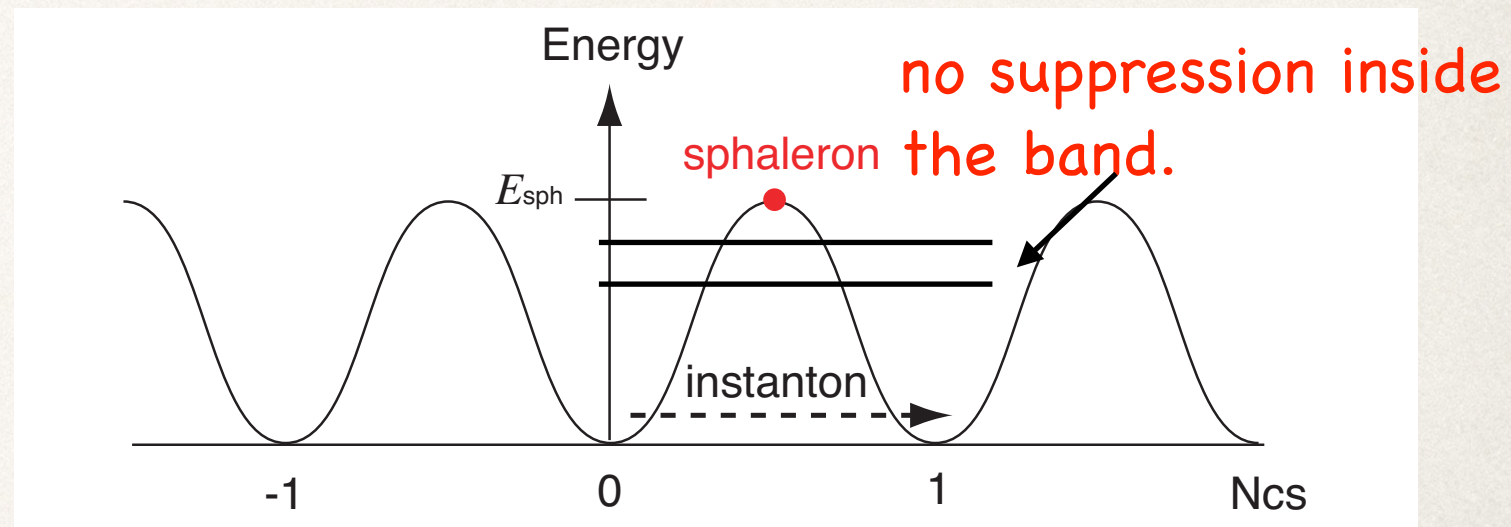


Question: Can this modification affect EWBG?

Impact on EWBG

K. Funakubo, K. Fuyuto, E.S., 1612.05431

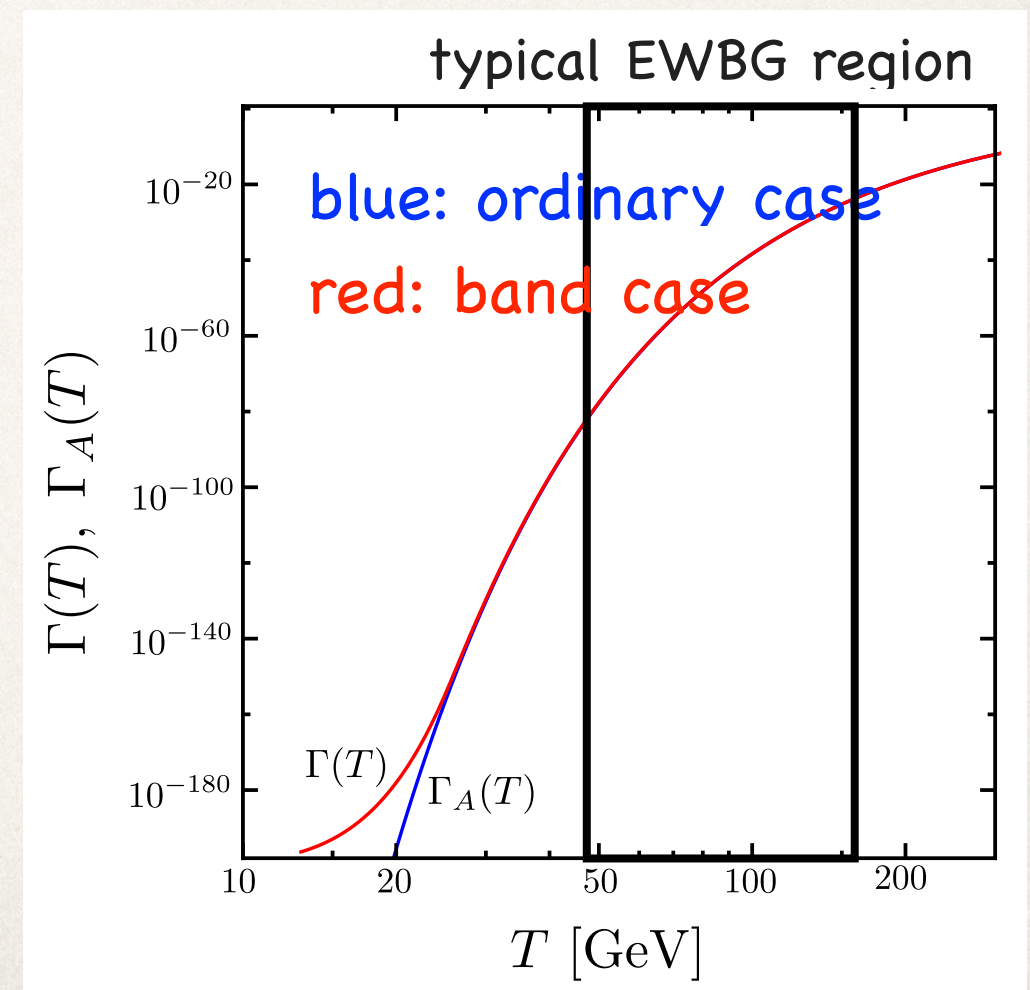
If Γ_B is not suppressed,
B would be washed out!!



For $T=100$ GeV, $\Gamma / \Gamma_A = 1.06$.

→ Band effect has little effect.

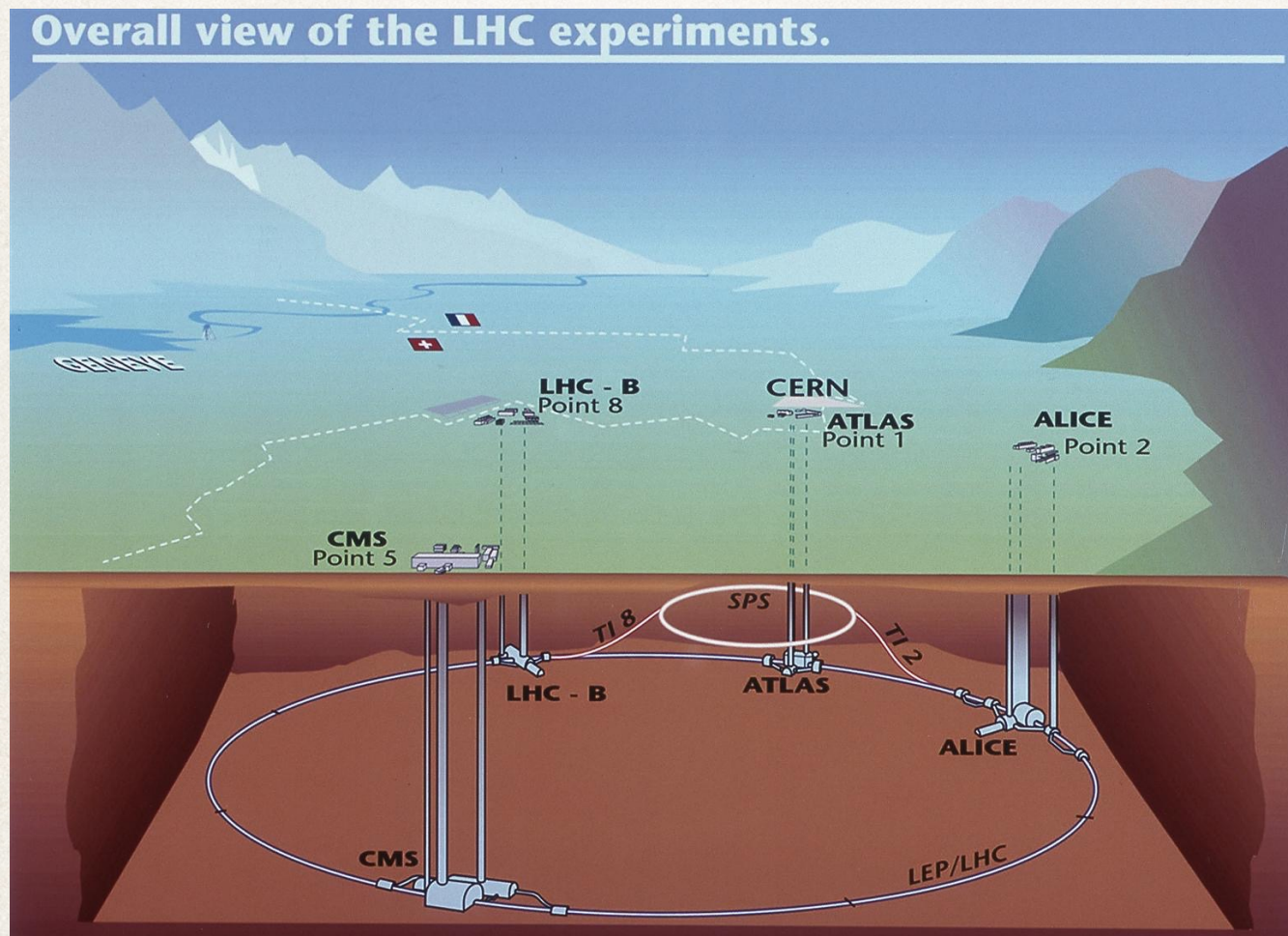
- Structure below the top of the barrier is essentially irrelevant.
- EWBG remains intact even if the band exists.



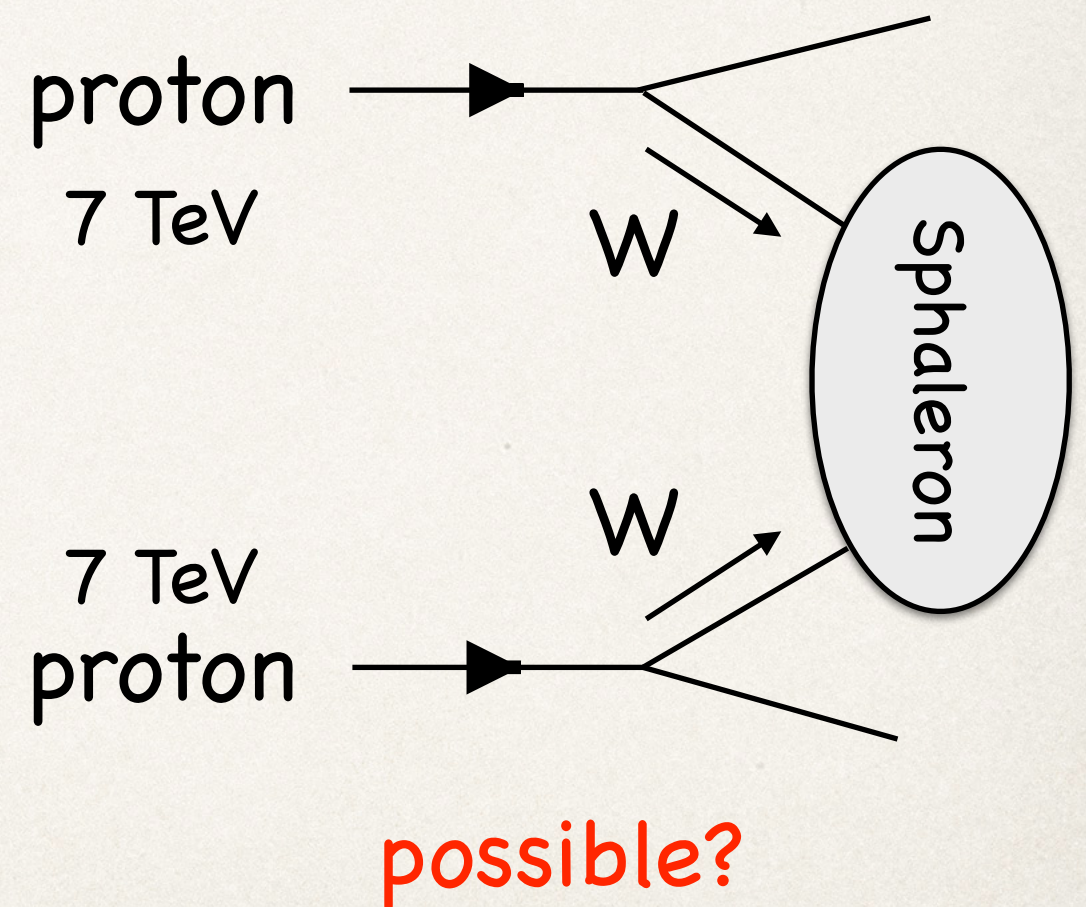
Tye-Wong:

We may observe (B+L)-changing processes at colliders.

13 TeV proton-proton collision machine



courtesy CERN



$\Delta(B+L) \neq 0$ process

[Funakubo, Otsuki, Takenaga, Toyoda, PTP87, 663 (1992), PTP89, 881 (1993)]

Transition amplitude

$$S_{fi} = \langle f | \hat{S} | i \rangle \sim \int \int \overbrace{\langle f | \phi(y), \pi(y) \rangle}^{\text{final state}} \overbrace{\langle \phi(y), \pi(y) | \hat{S} | \phi(x), \pi(x) \rangle}^{\text{tunneling}} \overbrace{\langle \phi(x), \pi(x) | i \rangle}^{\text{initial state}}$$

Path integral using coherent state $|\phi, \pi\rangle$

Tunneling (evaluated by WKB approximation)

- Tunneling factor: $e^{-S_{\text{classical}}}$ $\xrightarrow{\text{band picture}}$ $\Delta(E)$

Initial/final states

- **overlap issue:** suppressions from $\langle f | \phi, \pi \rangle$ and $\langle \phi, \pi | i \rangle$ (**few-to-many suppression**).

Overlap factor

Inner product between n particle state and coherent state:

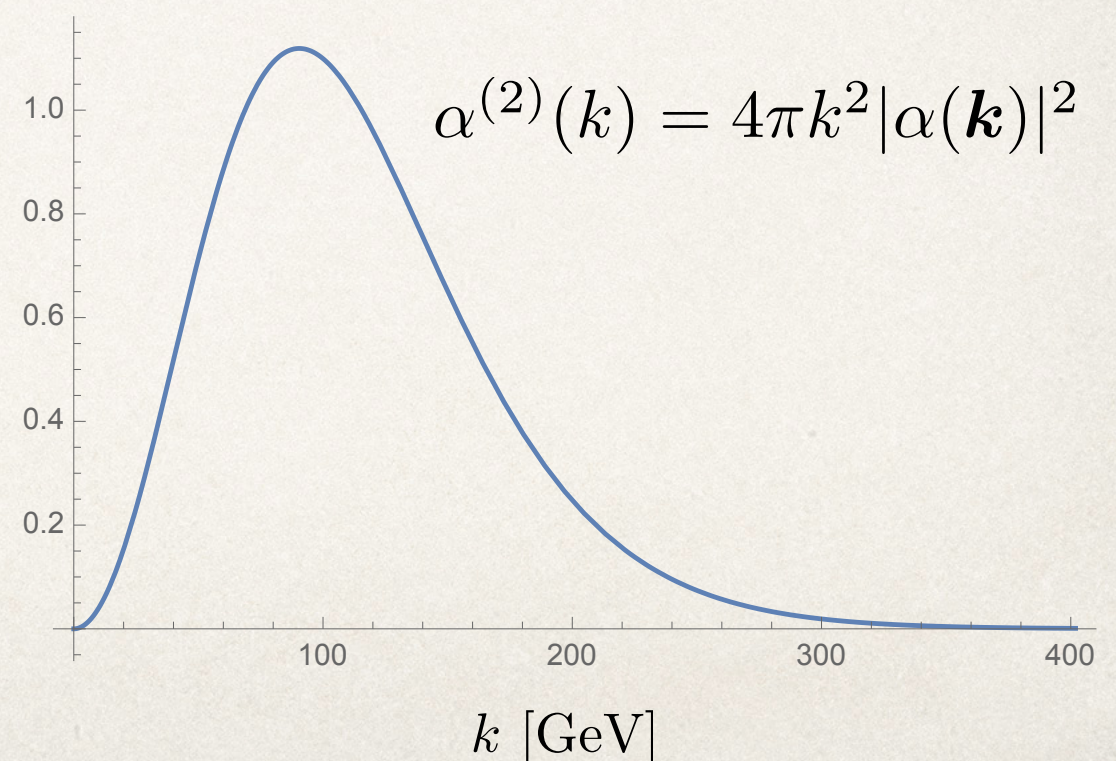
$$\langle 0 | \hat{a}(\mathbf{k}_1) \hat{a}(\mathbf{k}_2) \cdots \hat{a}(\mathbf{k}_n) | \phi(x), \pi(x) \rangle = \exp \left[-\frac{1}{2} \int d\mathbf{k} |\alpha(\mathbf{k})|^2 \right] \alpha(\mathbf{k}_1) \alpha(\mathbf{k}_2) \cdots \alpha(\mathbf{k}_n)$$

$$\alpha(k) = \int \frac{d^{d-1}\mathbf{x}}{(2\pi)^{d-1}} \frac{1}{\sqrt{2\omega_{\mathbf{k}}}} \left[\omega_{\mathbf{k}} \phi(x) + i\pi(x) \right] e^{-i\mathbf{k} \cdot \mathbf{x}}$$

classical configuration (bounce, sphaleron)

– cross section $\propto |\alpha_1|^2 \dots |\alpha_n|^2$

– $|\alpha|^2$ has a peak at $k=m_W$.



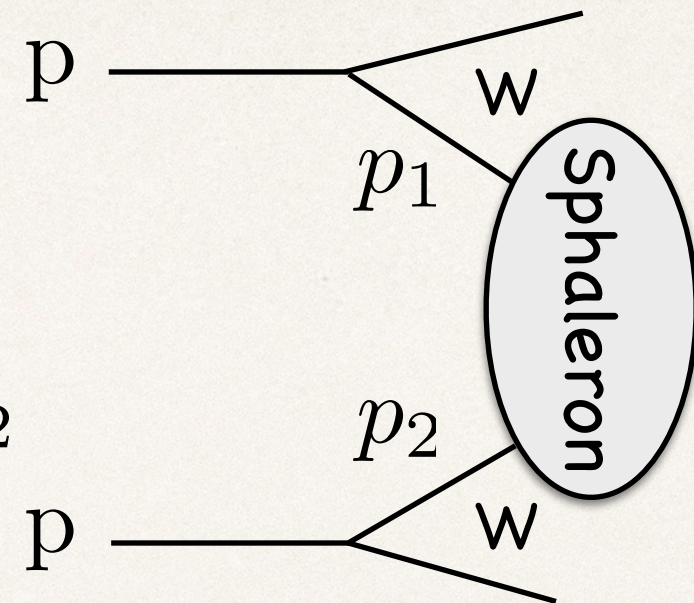
Few-to-many suppression

Case1: 2 $\rightarrow$ sphaleron

For $|p_1|=|p_2|\simeq E_{\text{sph}}/2$

$$|\langle \phi(x), \pi(x) | \mathbf{p}_1 \mathbf{p}_2 \rangle|^2 \ni |\alpha(\mathbf{p}_1)|^2 |\alpha(\mathbf{p}_2)|^2$$

$$\sim e^{-\pi E_{\text{sph}}/m_W} \sim \boxed{10^{-155}}$$



Creation of sphaleron from the 2 energetic particles is difficult.

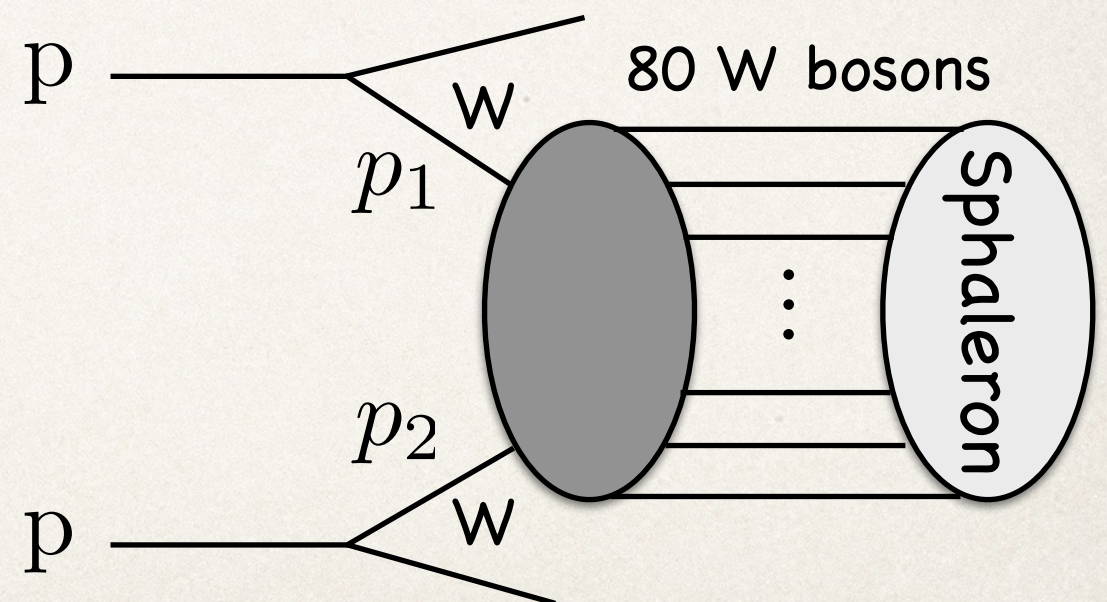
Case2: 2 $\rightarrow$ n W $\rightarrow$ sphaleron

$n \simeq 80$ since $E_{\text{sph}}/\sqrt{2}m_W$

Producing additional W boson costs "g".

$$\alpha_W^{80} = e^{-80 |\ln \alpha_W|} \sim \boxed{10^{-118}}$$

$$\alpha_W = \frac{g^2}{4\pi} \sim \frac{1}{30}$$



difficult to produce about 80 W bosons.

Summary

part 1

- We have discussed the renormalization scale dependence of the resummed effective potential and proposed a scheme in which RG invariance is manifest order by order in resummed perturbation theory.

part 2

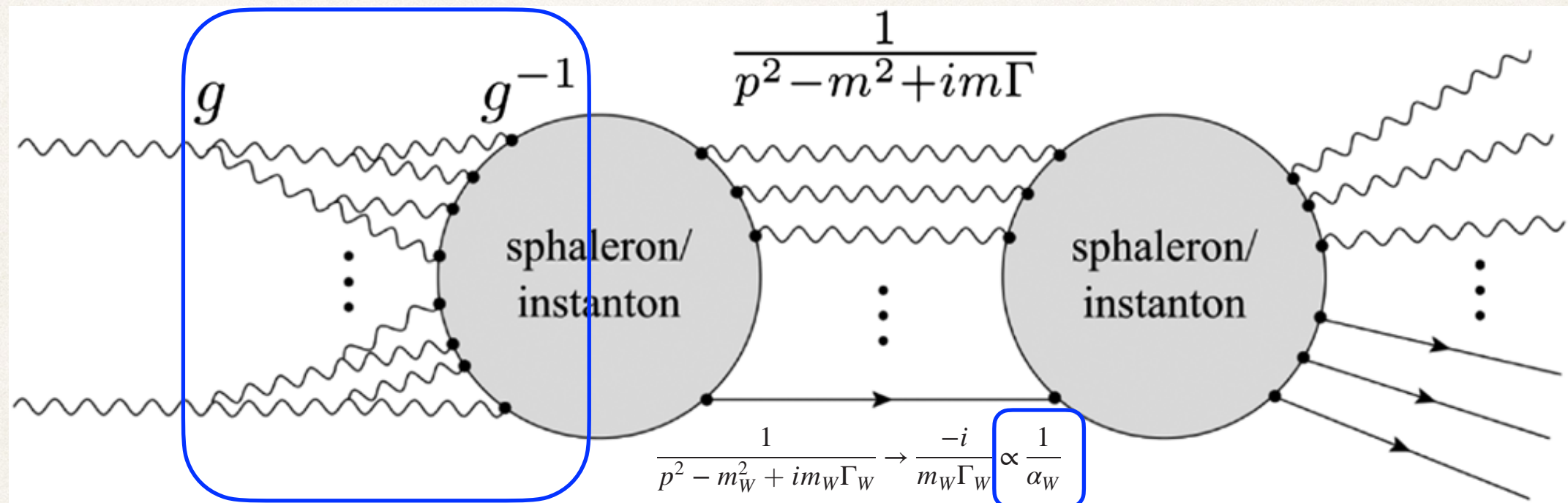
- We also discussed the band effect (claimed by Tye and Wong) on the sphaleron processes at zero and non-zero temperatures.
- Band effect has virtually no impacts on EWBG and is unlikely to affect (B+L)-violating processes at colliders unless the few-to-many suppression is overcome somehow.

Backup

Resonant tunneling

Few-to-many suppression can be compensated by “resonant tunneling”.

[H. Tye, S Wong, PRD96 093004 ('17), Y.-C. Qiu, H. Tye, PRD100, 033006 ('19)]



Naive power counting given in the papers

- Producing additional W boson in the initial state costs g . However, such g is cancelled once it is attached to sphaleron because its coupling to sphaleron is g^{-1} .
- If intermediate W's are on-shell, it gives a factor $1/g^2(\text{propagator}) \times 1/g^2(\text{couplings}) = 1/\alpha_W^2 \sim 10^3$ for each W boson.

Sphaleron in SU(2) gauge-Higgs system

$$\mathcal{L}_{\text{gauge+Higgs}} = -\frac{1}{4}F_{\mu\nu}^a F^{a\mu\nu} + (D_\mu\Phi)^\dagger D^\mu\Phi - V(\Phi),$$

$$F_{\mu\nu}^a = \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g_2\epsilon^{abc}A_\mu^b A_\nu^c,$$

$$D_\mu\Phi = \left(\partial_\mu + ig_2 A_\mu^a \frac{\tau^a}{2}\right)\Phi, \quad V(\Phi) = \lambda \left(\Phi^\dagger\Phi - \frac{v^2}{2}\right)^2.$$

We consider the static classical solution.

$$E = \int d^3\mathbf{x} \left[\frac{1}{4}F_{ij}^a F_{ij}^a + (D_i\Phi)^\dagger D_i\Phi + \lambda \left(\Phi^\dagger\Phi - \frac{v^2}{2}\right)^2 \right].$$

Since $\lim_{|\mathbf{x}|\rightarrow\infty} E = \text{finite}$, A and Φ must have the form of the vacuum configurations at $|\mathbf{x}| = \infty$:

$$A_i^\infty(\mathbf{x}) = \frac{i}{g_2} \partial_i U(\theta, \phi) U^{-1}(\theta, \phi),$$

$$\Phi^\infty(\mathbf{x}) = U(\theta, \phi) \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix},$$

where $U(\theta, \phi)$ such that $S^2 \rightarrow SU(2) \simeq S^3$. $U(\theta = 0, \phi) = 1$ should be taken.

Noncontractible loop

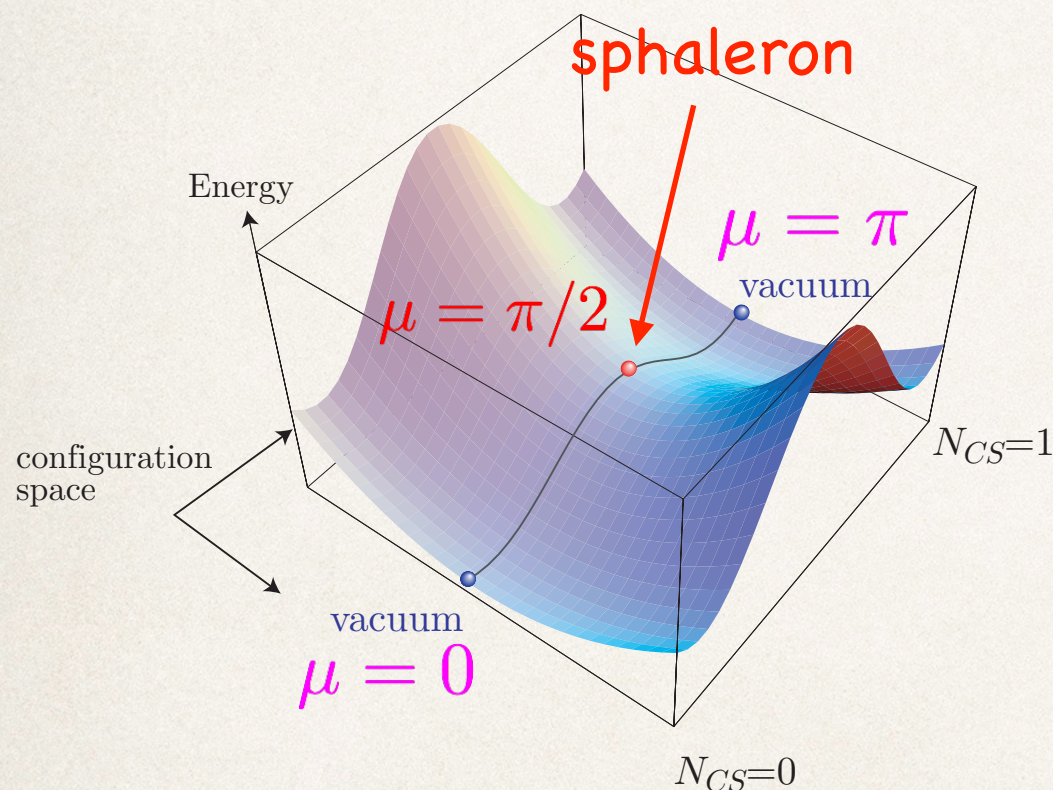
1 parameter family of $U(\mu, \theta, \phi)$ ($\mu \in [0, \pi]$) with a finite E
 $[S^1 \times S^2 \rightarrow S^3]$

where

$$U(\mu, \theta, \phi) = U(\mu, \theta + \pi, \phi) = U(\mu, \theta, \phi + 2\pi), \quad \text{for } \forall \mu,$$

$$U(0, \theta, \phi) = U(\pi, \theta, \phi) = 1, \quad U(\mu, 0, \phi) = 1, \quad \text{vacuum}$$

$(\mu, \theta, \phi) \in S^3$, $U(\mu, \theta, \phi)$ is noncontractible since $\pi_3(SU(2)) \simeq \mathbb{Z}$.



- saddle point = maximum energy
 along the least energy path

-> $\mu = \pi/2$

- vacuum -> $\mu = 0, \pi$.

$$U(\mu, \theta, \phi) = \begin{pmatrix} e^{i\mu}(\cos \mu - i \sin \mu \cos \theta) & e^{i\phi} \sin \mu \sin \theta \\ -e^{-i\phi} \sin \mu \sin \theta & e^{-i\mu}(\cos \mu + i \sin \mu \cos \theta) \end{pmatrix}.$$

Ansatz

Let us consider the configuration space spanned by the following:

$$A_i^\infty(\mu, \mathbf{x}) = \frac{i}{g_2} \partial_i U(\mu, \theta, \phi) U^{-1}(\mu, \theta, \phi),$$

$$\Phi^\infty(\mu, \mathbf{x}) = U(\mu, \theta, \phi) \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix}$$

in the limit of $r = |\mathbf{x}| = \infty$. The most highest symmetry configurations in this space are

$$A_i(\mu, r, \theta, \phi) = \frac{i}{g_2} f(r) \partial_i U(\mu, \theta, \phi) U^{-1}(\mu, \theta, \phi),$$

$$\Phi(\mu, r, \theta, \phi) = \frac{v}{\sqrt{2}} \left[(1 - h(r)) \begin{pmatrix} 0 \\ e^{-i\mu} \cos \mu \end{pmatrix} + h(r) U(\mu, \theta, \phi) \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right].$$

$\mu = \pi/2 \quad \Rightarrow \quad$ saddle point configuration (sphaleron)

$\mu = 0, \pi \quad \Rightarrow \quad$ vacuum configuration

Changing the variable $r = \sqrt{x^2}$ to ξ , one gets

Energy functional $\left(\mu = \frac{\pi}{2}\right)$

$$E_{\text{sph}} = \frac{4\pi v}{g_2} \int_0^\infty d\xi \left[4 \left(\frac{df}{d\xi} \right)^2 + \frac{8}{\xi^2} (f - f^2)^2 + \frac{\xi^2}{2} \left(\frac{dh}{d\xi} \right)^2 + h^2 (1 - f)^2 + \frac{\lambda}{4g_2^2} \xi^2 (h^2 - 1)^2 \right]$$
$$= \frac{4\pi v}{g_2} \mathcal{E}_{\text{sph}}, \quad \text{where } \xi = g_2 v r.$$

input: $\frac{\lambda}{g_2^2} \simeq 0.3$ (SM)

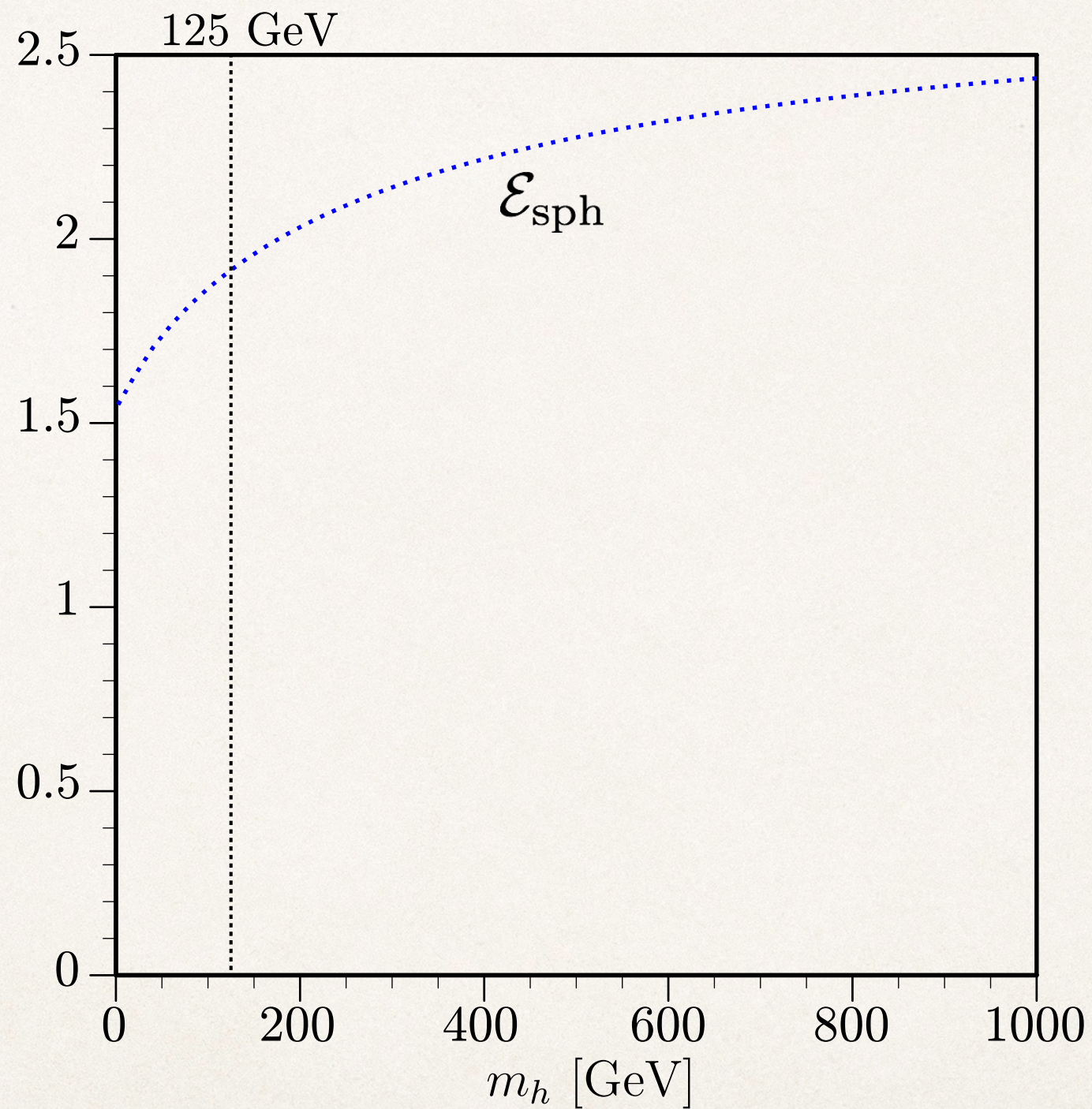
Equations of motion for the sphaleron

$$\frac{d^2}{d\xi^2} f(\xi) = \frac{2}{\xi^2} f(\xi)(1 - f(\xi))(1 - 2f(\xi)) - \frac{1}{4} h^2(\xi)(1 - f(\xi)),$$
$$\frac{d}{d\xi} \left(\xi^2 \frac{dh(\xi)}{d\xi} \right) = 2h(\xi)(1 - f(\xi))^2 + \frac{\lambda}{g_2^2} (h^2(\xi) - 1)h(\xi)$$

with the boundary conditions

$$\lim_{\xi \rightarrow 0} f(\xi) = 0, \quad \lim_{\xi \rightarrow 0} h(\xi) = 0,$$
$$\lim_{\xi \rightarrow \infty} f(\xi) = 1, \quad \lim_{\xi \rightarrow \infty} h(\xi) = 1.$$

Sphaleron energy



For $m_h = 125$ GeV, $\mathcal{E}_{\text{sph}} = 1.92$ ($E_{\text{sph}} = 9.08$ TeV)

Comparison with Tye-Wong's work

Some differences between our work and Tye-Wong's (TW's).

	A_0	Sphaleron mass	Method for band structure
this work	$A_0 \neq 0$	μ -dependent	WKB w/ 3 connection formulas
Tye-Wong	$A_0 = 0$	μ -independent	Schroedinger eq. numerically

We use the Manton's ansatz with $A_0 = \frac{i}{g_2} f(r) \partial_0 U U^{-1}$.

N.B.

If $A_0=0$ is naively adopted with the Manton's ansatz, an unwanted divergence would appear in $D\Phi$ at the region $r=\infty$. $\rightarrow$ some prescription is needed!!

[Aoyama, Goldberg, Ryzak, PRL60, 1902 (1988)]

Classical action

$$S[\mu] = g_2 v \int dt \left[\frac{M(\mu)}{2} \left(\frac{d}{dt} \frac{\mu(t)}{g_2 v} \right)^2 - V(\mu) \right],$$

$$M(\mu) = \frac{4\pi}{g_2^2} \int_0^\infty d\xi \xi^2 \left[4 \left\{ f'^2 \frac{4 + 2c_\mu^2}{3} + \frac{4}{\xi^2} (f - f^2)^2 \frac{8 + 2c_\mu^2}{3} s_\mu^2 \right\} \right. \\ \left. + (1 - h)^2 + 2h(1 - h)(1 - f) + 2(1 - h)^2 f c_\mu^2 \right. \\ \left. + \frac{4 + 2c_\mu^2}{3} \left\{ h^2(1 - f)^2 + \left((1 - h)^2(f^2 - 2f) - 2h(1 - h)f(1 - f) \right) c_\mu^2 \right\} \right]$$

$$V(\mu) = \frac{4\pi}{g_2^2} \int_0^\infty d\xi \xi^2 \left[\frac{4}{\xi^2} \left\{ f'^2 + \frac{2}{\xi^2} (f - f^2)^2 s_\mu^2 \right\} s_\mu^2 \right. \\ \left. + \frac{s_\mu^2}{2} \left\{ h'^2 + \frac{2}{\xi^2} \left(h^2(1 - f)^2 - 2h(1 - h)f(1 - f)c_\mu^2 + f^2(1 - h)^2 c_\mu^2 \right) \right\} \right. \\ \left. + \frac{\lambda}{4g_2^2} (1 - h^2)^2 s_\mu^4 \right].$$

f, h are determined by the EOM for the sphaleron.

Eigenvalue problem

Hamiltonian:

$$\hat{H}(\mu, p) = g_2 v \left[\hat{p} \frac{1}{2M(\hat{\mu})} \hat{p} + V(\hat{\mu}) \right], \quad [\hat{\mu}, \hat{p}] = i$$

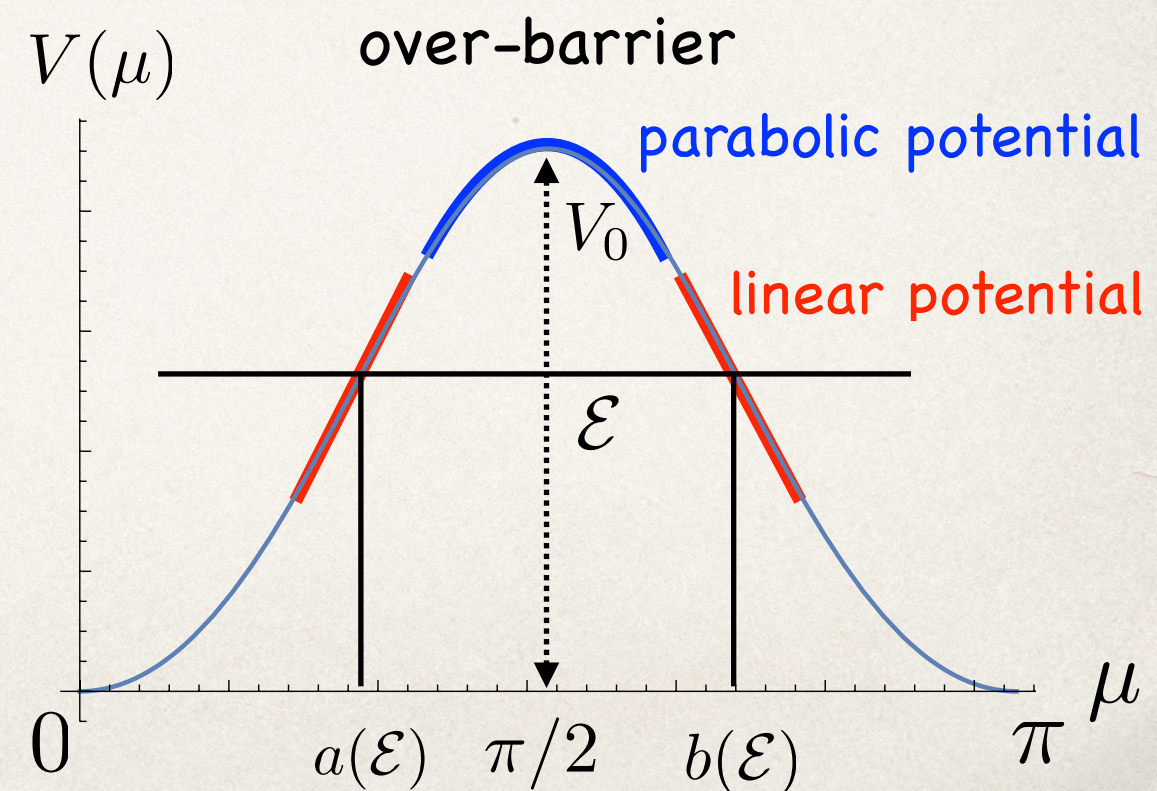
Band energy is determined by solving [N.L.Balazs, Ann.Phys.53,421 (1969)]

$$\cos(\Phi(\mathcal{E})) = \pm \sqrt{T(\mathcal{E})}$$

$$\Phi(\mathcal{E}) = \begin{cases} \frac{1}{\hbar} \int_{b(\mathcal{E})}^{a(\mathcal{E})} d\mu p(\mu) & \text{for } \mathcal{E} < V_0, \\ \frac{1}{\hbar} \int_{-\pi/2}^{\pi/2} d\mu p(\mu) & \text{for } \mathcal{E} \geq V_0, \end{cases}$$

$$p(\mu) = \sqrt{M(\mu)(\mathcal{E} - V(\mu))}$$

with 3 connection formulas depending on energy.



Vacuum decay rate at finite-T

Ordinary case: [Affleck, PRL46,388 (1981)]

$$\Gamma_A(T) = \frac{1}{Z_0(T)} \int_0^\infty dE J(E) e^{-E/T}$$
$$\simeq \frac{1}{Z_0} \frac{\omega_-}{4\pi \sin\left(\frac{\omega_-}{2T}\right)} e^{-E_{\text{sph}}/T} \quad \text{for } T > \frac{\omega_-}{2\pi}, \quad \simeq 14 \text{ GeV}$$

$$J(E) = \frac{T(E)}{2\pi}, \quad Z_0(T) = \left[2 \sinh\left(\frac{\omega_0}{2T}\right)\right]^{-1}, \quad \frac{\omega_0}{g_2 v} = \sqrt{\frac{V''(0)}{M(0)}}, \quad \frac{\omega_-}{g_2 v} = \sqrt{\frac{V''(\pi/2)}{M(\pi/2)}}$$

$\simeq 0.42 \qquad \qquad \qquad \simeq 0.51$

Band case: $J(E) \rightarrow \eta(E)/2\pi$

$$\Gamma(T) = \frac{1}{Z_0(T)} \int_0^\infty dE \frac{\eta(E)}{2\pi} e^{-E/T}$$

$\eta(E) = 1$ for the conducting band, $\eta(E) = 0$ for the band gap

Quantum mechanics analogy

1-dim. $[\hat{x}, \hat{p}] = i, \quad [\hat{x}, \hat{x}] = [\hat{p}, \hat{p}] = 0$

$$\hat{a} = \frac{1}{\sqrt{2}}(\hat{x} + i\hat{p}), \quad \hat{a}^\dagger = \frac{1}{\sqrt{2}}(\hat{x} - i\hat{p})$$

coherent state: $\hat{a}|z\rangle = z|z\rangle, \quad |z\rangle = e^{-\frac{1}{2}|z|^2 + z\hat{a}^\dagger}|0\rangle$

$$\langle z|z'\rangle = e^{-\frac{1}{2}(|z|^2 + |z'|^2) + z^* z'}, \quad \int \frac{d^2 z}{\pi} |z\rangle \langle z| = 1, \quad d^2 z = d(\text{Re} z) d(\text{Im} z)$$

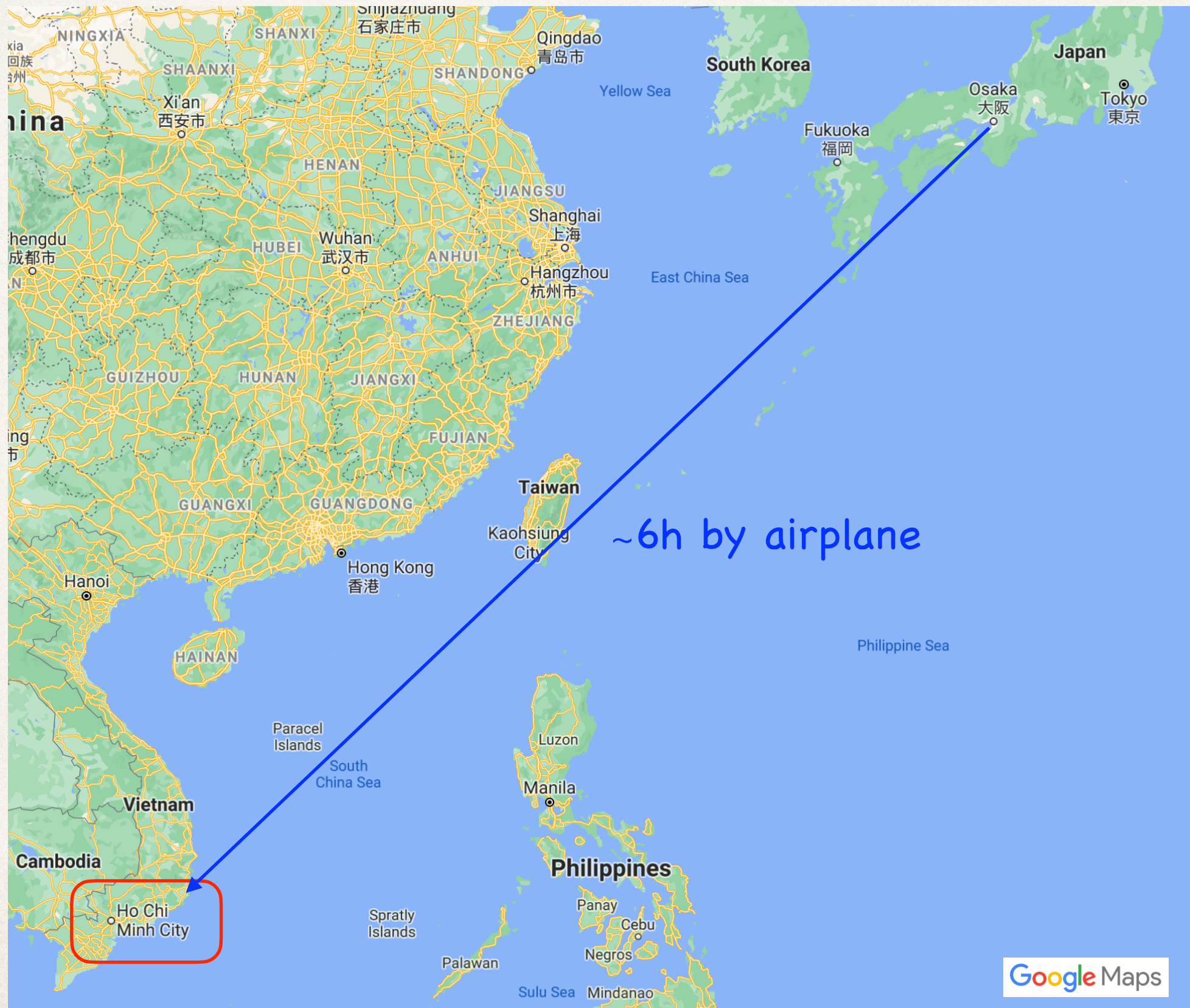
n-dim. $[\hat{x}_i, \hat{p}_j] = i\delta_{ij}, \quad [\hat{x}_i, \hat{x}_j] = [\hat{p}_i, \hat{p}_j] = 0, \quad i = 1, 2, \dots, n$

overlap with the coherent state

a state w/ definite particle numbers: $\hat{a}_{i1}^\dagger \hat{a}_{i2}^\dagger \cdots \hat{a}_{iN}^\dagger |0\rangle$

$$\langle 0 | \hat{a}_{i1} \hat{a}_{i2} \cdots \hat{a}_{iN} | \mathbf{z} \rangle = z_{i1} z_{i2} \cdots z_{iN} e^{-\frac{1}{2} |\mathbf{z}|^2}$$

Where is Ton Duc Thang Univ.?



Where is Ton Duc Thang Univ.?



I belong to Theoretical Particle Physics and Cosmology Research Group (TPPC) in Advanced Institute of Materials Science (AIMaS).