


NON-INVERTIBLE SYMMETRY IN 3+1 DIMENSIONS

Kantaro Ohmori
University of Tokyo

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[Cordova KO 2205.06243], c.f. [Choi, Lam, Shao 2205.05086]

Other review talks: 谷崎さん @ 弦理論と場の理論 2022
Shao @ Strings 2021



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Why worship symmetry?

- it's universal & powerful
- In quantum mechanics: states forms a representation [Wigner]
- In QFT:
 - ① WT identity \rightarrow Selection rules
 - ② RG-flow invariance \rightarrow Constraint on dynamics
 - ③ SSB
 - ④ Gauging principle (if non-anomalous)

Recently believers turned 1 and 2 into the definition of the symmetry

\rightsquigarrow Generalized symmetry

- higher form symmetry
- non-invertible symmetry

2] So what?

- Higher form symmetry [Gaiotto Kapustin Seiberg Willet '14]
 - Precise formulation of center symmetry
 - New constraint for phase diagram in pure YM!
[Gaiotto Kapustin Komargodski Seiberg '17]
- Non-invertible symmetry
 - Many studies in 1+1d [Verlinde '88], ... [Bhardwaj, Tachikawa], ...
 - Recently many examples in 3+1-dimensions
[Ngyuen, Tanizaki, Unsal '21], [Koide, Nagoya, Yamaguchi '21], [Choi, Cordova, Hsin, Lam, Shao '21], [Kaidi, KO, Zheng '21]...
 - E.g. "anomalous" chiral symmetry in massless QED !!
[Hsin, Lam, Shao '22], [Cordova, Ohmori '22]
- Renewed understanding of known facts
- No very concrete application yet.

3 } Outline

- Ward-Takahashi Identity
- Topological operator
- Generalized symmetry
- massless QED example

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Ward-Takahashi identity

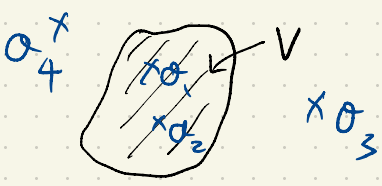
- $\mathcal{L}(\phi)$: U(1) Symmetric Lagrangian $\mathcal{L}(\phi^\alpha) = \mathcal{L}(\phi) + \alpha \partial_\mu \Lambda^\mu$
 (e.g. $\phi^\alpha = e^{i\alpha} \phi$) (α : constant)
- $\alpha \rightarrow \alpha(x)$, $\mathcal{L}(e^{i\alpha(x)} \phi) = \mathcal{L}(\phi) + (\partial_\mu \alpha) \tilde{j}^\mu + \alpha \partial_\mu \Lambda^\mu + \mathcal{O}(\alpha^2)$
- $j^\mu = \tilde{j}^\mu - \Lambda^\mu$: Noether current
- Charged operator: $\sigma_i(x)(\phi^\alpha) = e^{iQ_i \alpha(x)} \sigma_i(x)(\phi)$
- $\langle \sigma_1(x_1) \dots \sigma_n(x_n) \rangle = \int \mathcal{D}\phi e^{i\int \mathcal{L}(\phi)} \sigma_1(x_1) \dots \sigma_n(x_n)$
 $\parallel \phi \rightarrow e^{i\alpha(x)} \phi = \phi^\alpha$
 $\int \mathcal{D}\phi^\alpha e^{i\int \mathcal{L}(\phi^\alpha)} \sigma_1(x_1) \dots \sigma_n(x_n) \prod_{i=1}^n e^{iQ_i \alpha(x_i)}$

5] • $\langle \sigma_1 \dots \sigma_n \rangle = \int_{\mathcal{D}\phi^a} e^{i\int \mathcal{L}(\phi^a)} \sigma_1 \dots \sigma_n \prod_{i=1}^n e^{iQ_i d(x_i)}$
 $\mathcal{D}\phi$ (non-anomalous)

• $\alpha(x) = \epsilon \delta(x-x_0) \Rightarrow \int \mathcal{L}(\phi^a) = (\int \mathcal{L}(\phi)) - \epsilon \partial_\mu j^\mu(x_0)$
 $\epsilon^2=0$

$\Rightarrow \langle \sigma_1 \dots \sigma_n \partial_\mu j^\mu(x_0) \rangle = \sum_{i=1}^n Q_i \delta(x_i-x_0) \langle \sigma_1 \dots \sigma_n \rangle$

• $\alpha(x) = \alpha_0 \chi_V(x) = \begin{cases} \alpha_0 & x \in V \\ 0 & x \notin V \end{cases}$, $U_{\alpha_0}[\partial V] := e^{i\int_V (\mathcal{L}(\phi^{\alpha_0}) - \mathcal{L}(\phi))}$
 $= \exp[\underbrace{i\alpha_0 \int_{\partial V} (j_\mu dS^\mu + \alpha_0^2 \text{reg.})}_{Q[\partial V]}]$



Finite WT identity $\langle \sigma_1 \dots \sigma_n U_{\alpha_0}[\partial V] \rangle = e^{i\alpha_0 \text{ (charge in } V)} \prod_{i=1}^n \langle \sigma_i \dots \sigma_n \rangle$
 $\sum_{x_i \in V} Q_i$

Symmetry & topological operators

- Finite WT identity $\langle \sigma_1 \dots \sigma_n U_{\alpha_0}[\Sigma_1] \rangle = e^{i\alpha_0 (\text{charge in } V)} \langle \sigma_1 \dots \sigma_n \rangle$

$$U_{\alpha}[\Sigma_1] := \exp[i \int (\mathcal{L}(\phi^{\alpha x_V}) - \mathcal{L}(\phi))] = \exp[i\alpha_0 Q[\Sigma_1]]$$

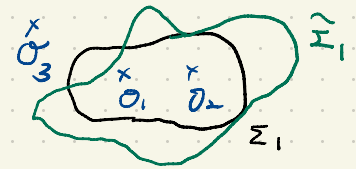


$\lim_{x \rightarrow x_0} \phi(x) = \lim_{x_0 \leftarrow x} \phi(x)$ Modification of continuity
Local along Σ_1

- Textbook "symmetry action": $U_{\alpha}[\{t=t_0\}] = \exp[i\alpha \int_{t=t_0} \dot{\phi}^0 dx]$

- Topological: $U_{\alpha}[\Sigma_1] = U_{\alpha}[\tilde{\Sigma}_1]$

WT identity



- Works for discrete $G \ni g \rightsquigarrow U_g[\Sigma_1]$, $U_{g_1} \otimes U_{g_2} = U_{g_1 g_2}$

7] Generalized symmetry

- Conventional symmetry \longleftrightarrow codim-1 invertible topological operator
 - Group \downarrow
 - Acts on local operator \uparrow
 - WT identity \uparrow

$$U_g U_g^{-1} = \mathbb{1} \quad \downarrow \text{Triv}$$

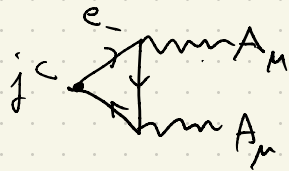
WT identity \longrightarrow Topological-ness \longrightarrow RG invariance

- p-form symmetry \longleftrightarrow codim-p+1 invertible topological operator
 - Center symmetry in gauge theory $p=1$
- Non-invertible symmetry \longleftrightarrow topological operator
 - Kramers-Wannier duality in 1+1d critical Ising model

Non-invertible symmetry in massless QED

- Dirac fermion $(e_-, e_+) = \psi$: massless
 $\swarrow \quad \nearrow$
 Weyl components

- chiral symmetry: $e_- \rightarrow e^{i\alpha} e_-$, $e_+ \rightarrow e_+$



$$\rightarrow \partial^\mu j_\mu^c \propto F_{\mu\nu} \tilde{F}^{\mu\nu} : \text{ABJ anomaly}$$

$$\hat{j}_\mu = j_\mu + \epsilon_{\mu\nu\rho\sigma} A^\nu F^{\rho\sigma} : \text{not gauge inv.}$$

Abelian gauge group

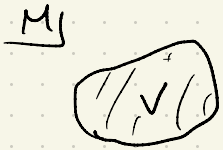
- Generalized WT identity \rightarrow generalized symmetry!
- No longer well-captured by a group \rightarrow non-invertible.

WT identity in massless QED.

$$\bullet \langle \sigma_1(x_1) \dots \sigma_n(x_n) \rangle = \int \mathcal{D}\Psi \mathcal{D}A e^{i\int \mathcal{L}(\Psi, A)} \sigma_1(x_1) \dots \sigma_n(x_n)$$

$$\begin{aligned} & \int \mathcal{D}\Psi^\alpha \mathcal{D}A e^{i\int \mathcal{L}(\Psi^\alpha, A)} \sigma_1(x_1) \dots \sigma_n(x_n) \prod_{i=1}^n e^{iQ_i \alpha(x_i)} \\ & \parallel \text{ABJ} \\ & \int \mathcal{D}\Psi \exp\left[\frac{i}{4\pi} \int \alpha F \tilde{F}\right] \prod_{i=1}^n e^{-iQ_i \alpha_0 \chi_\nu(x_i)} \langle \sigma_1 \dots \sigma_n \tilde{\mathcal{D}}_{\alpha_0}[V] \rangle \end{aligned}$$

$$\alpha(x) = -\alpha_0 \chi_\nu$$

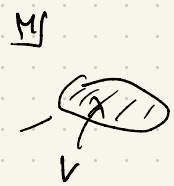


$$\tilde{\mathcal{D}}_{\alpha_0}[V] = \exp\left[\underbrace{i\int_M \mathcal{L}(\phi^{\alpha_0 \chi_\nu}) - i\int_M \mathcal{L}(\phi)}_{\text{local along } \partial V} + \underbrace{\frac{\alpha_0 i}{4\pi} \int_V F \tilde{F}}_{\text{Not localized onto } \partial V?} \right]$$

$\int_{\partial V} A dA$
 not inv. under global gauge transf.

$\sim \alpha_0 \int_{\partial V} \int_{\mathbb{R}^m} d^m s^m$

10 $A_\alpha[V] = \exp \left[\frac{\alpha}{4\pi i} \int_V F \tilde{F} \right]$: Naively Does not localize onto ∂V



• Remedy : introduce additional field on ∂V

1. fix $\frac{\alpha}{2\pi} = \frac{p}{q}$: Rational (set $p=1$ for simplicity)

2. $A_{\frac{2\pi}{q}}[V] = N \int \mathcal{D}a \ e^{\frac{i}{2\pi} \int_{\partial V} \left(\frac{q}{2} a da + a F \right)}$: gauge-inv!

\parallel
 $A_{\frac{2\pi}{q}}[\partial V]$

\uparrow
 U(1) gauge field on ∂V

\uparrow
 bulk field strength

Rough derivation: integrating out a : $\int a da = -\frac{1}{2} F$

illegal $\rightarrow a = \frac{1}{q} A$

$\rightarrow \int a da + a F = \frac{1}{2q} A dA$

a : dynamical U(1)_q CS theory = Fractional Hall State

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Non-invertible topological operator in massless QED

$$A[S^3]^{-1}$$

• $D_{P/g}[\Sigma_1] = \underbrace{\widetilde{U}_{2\pi P/g}[\Sigma_1]}_{\sim \exp\left[\frac{2\pi P}{g} \int_{\Sigma_1} j_{\mu\nu} d\Sigma^{\mu\nu}\right]} \times \underbrace{\frac{1}{N} A_{2\pi P/g}[\Sigma_1]}_{\text{3d TQFT couple to bulk EM field}}, \quad (\widetilde{D}_{P/g} = N D_{P/g})$

↑
Topological

• Conservation law:

$\langle \sigma_1(x_1) \dots \sigma_n(x_n) \widetilde{D}_{P/g}[\Sigma] \rangle_{\mathbb{R}^4, S^3} \stackrel{\text{WT-identity}}{=} \prod_i e^{iQ_i P/g} \langle \sigma_1(x_1) \dots \sigma_n(x_n) \rangle_{\mathbb{R}^4, \mathbb{R}^{1,3}}$

(locality of $D \rightarrow 1$)

$$\langle \sigma_1(x_1) \dots \sigma_n(x_n) \rangle_{\mathbb{R}^4, \mathbb{R}^{1,3}}$$

$$\Rightarrow \langle \sigma_1 \dots \sigma_n \rangle_{\text{flat}} = 0 \quad \text{if} \quad \sum_i Q_i \neq 0$$

Flat space selection rule

\Rightarrow E.g. Fermion helicity preservation [Choi Lam Shao '22]

• Non-invertibility: $\mathcal{D} [S^2 \times S^1, \int_{S^2} F \neq 0] = 0$. Magnetic catalysis

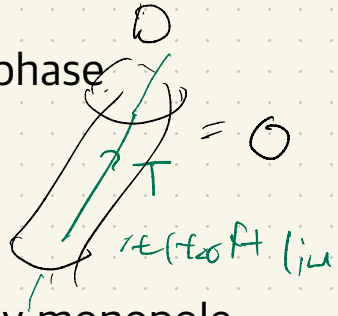
$\int \phi F \tilde{E}$ Without fermion

$\tilde{U} \parallel \tilde{U} \times A$

• Axion_Maxwell theory has the same non-invertible symmetry
 $\phi \rightarrow \phi + \alpha$
 \hookrightarrow NG mode.

• \mathcal{D} : Topological \rightarrow RG invariance \rightarrow Axion term in SSB phase

• $\pi^0 \rightarrow \int \pi^0 F \tilde{E}$
 $\pi^0 \rightarrow \gamma\gamma$ decay [Choi Lam Shao '22]



• Breaking by dynamical monopole \rightarrow scale generation by monopole
 [Fan Fraser Reece Stout '21] [Cordova, KO '22]

Monopole

$V(\phi)$ axion-Maxwell + monopole ^{dyn.}

$= \Lambda_{UV} e^{-MR} \cos(\phi)$

Summary

- Symmetry \rightleftarrows Topological operator
Generalization

Deeper understanding of ABJ-anomaly in 2022!

- Chiral symmetry in massless QED is a non-invertible symmetry!!

$$D_{P/g}[\Sigma] = \tilde{U}_{2\pi P/g} \times A_{2\pi P/g}$$

3d TQFT on Σ , FQH

- magnetic catalysis, fermion felicity preservation, $\pi \rightarrow \sigma\sigma, \dots$

- Applications???