

# Lorentzian description of vacuum decay

大下 翔誉 (Naritaka OSHITA)

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Takumi Hayashi, Kohei Kamada, **N.O.**, Jun'ichi Yokoyama, arXiv: 2112.09284

**N.O.**, Yutaro Shoji, Masahide Yamaguchi, arXiv: 2112.10736

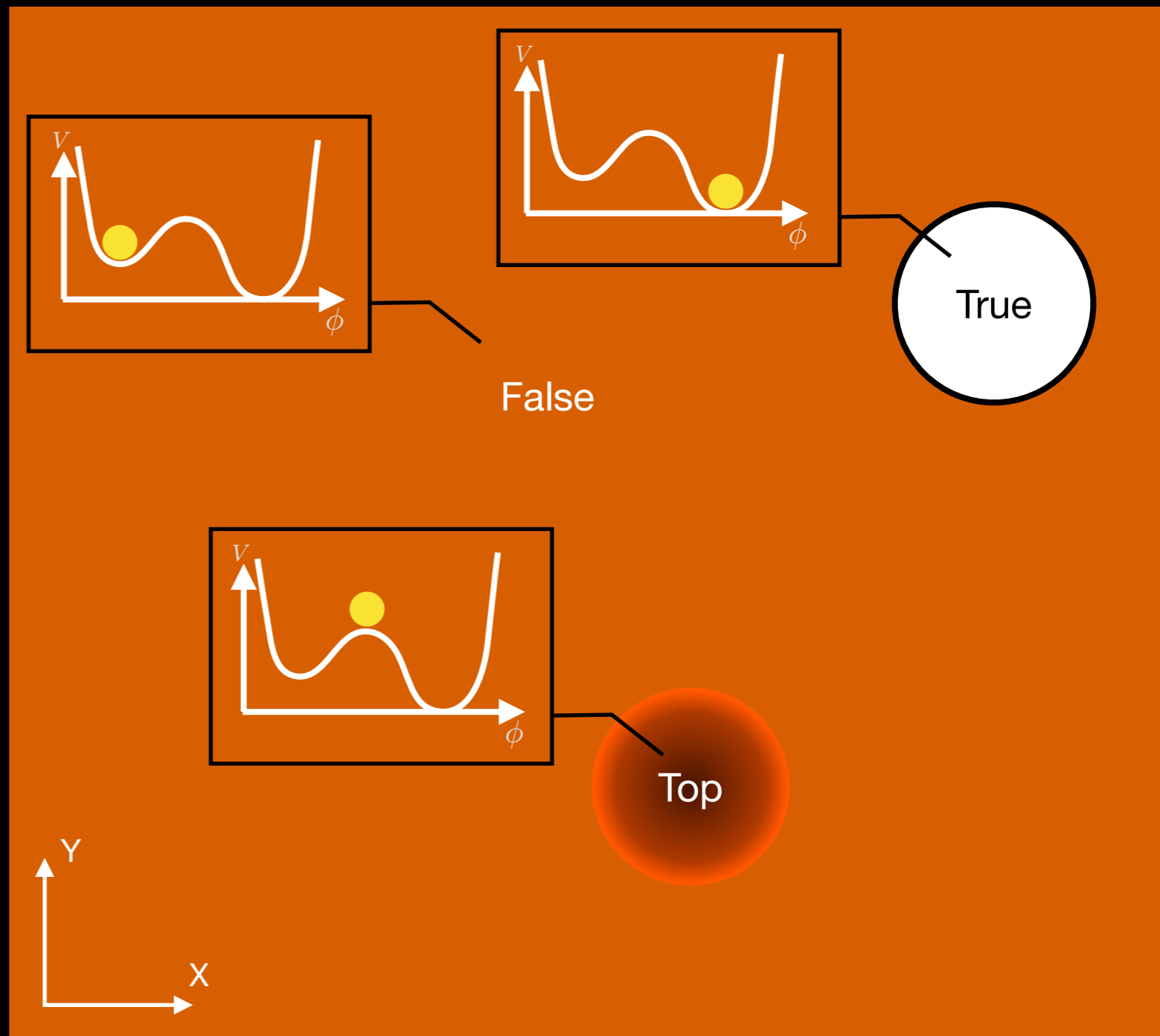
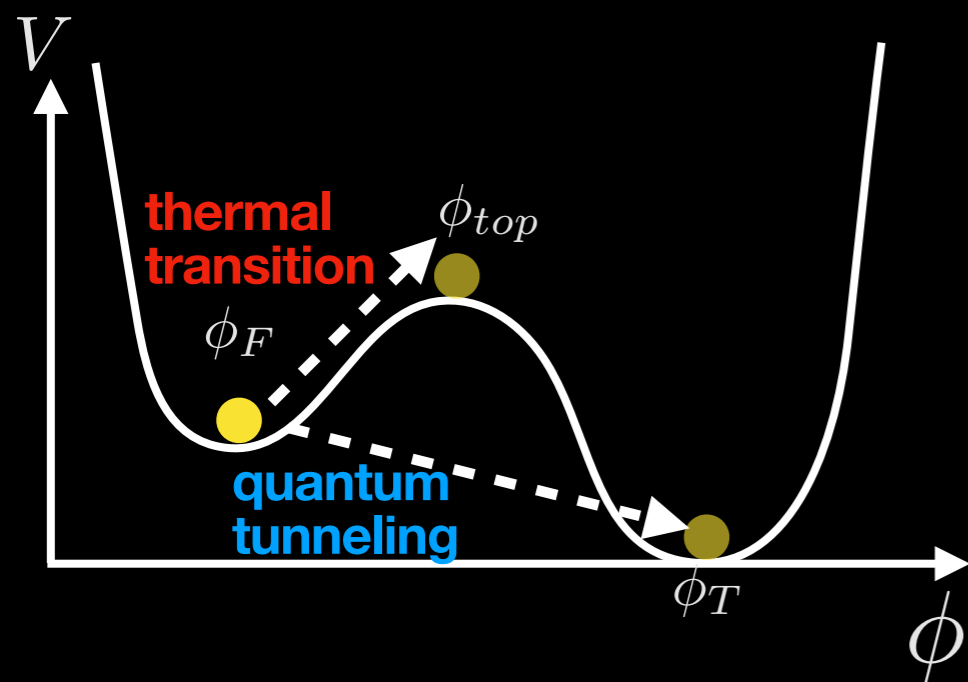


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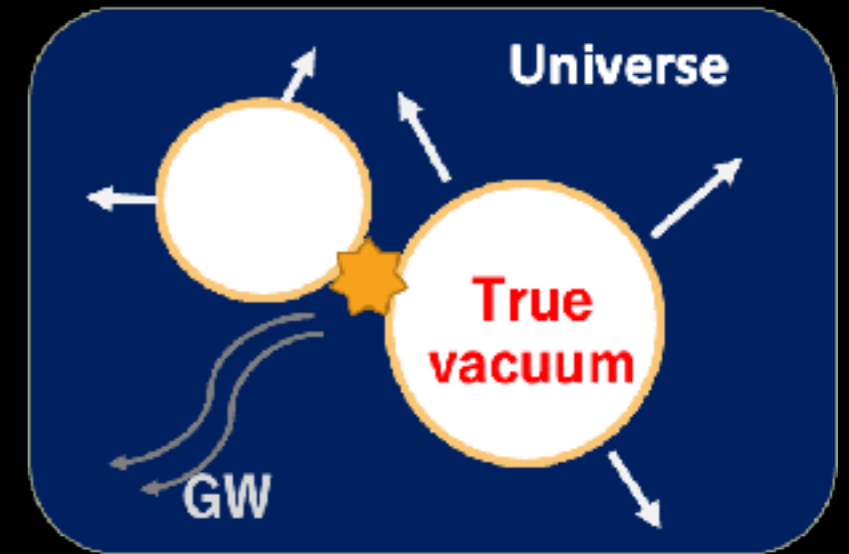
# Vacuum phase transition

quantum tunneling or thermal transition of matter fields



# Vacuum bubbles

- GW emission caused by bubble collisions
- Higgs metastability in (thermal) early Universe
- Vacuum decay seeded by black holes → constraints on PBH parameters or the parameters of Higgs potential



picture: T. Hayashi

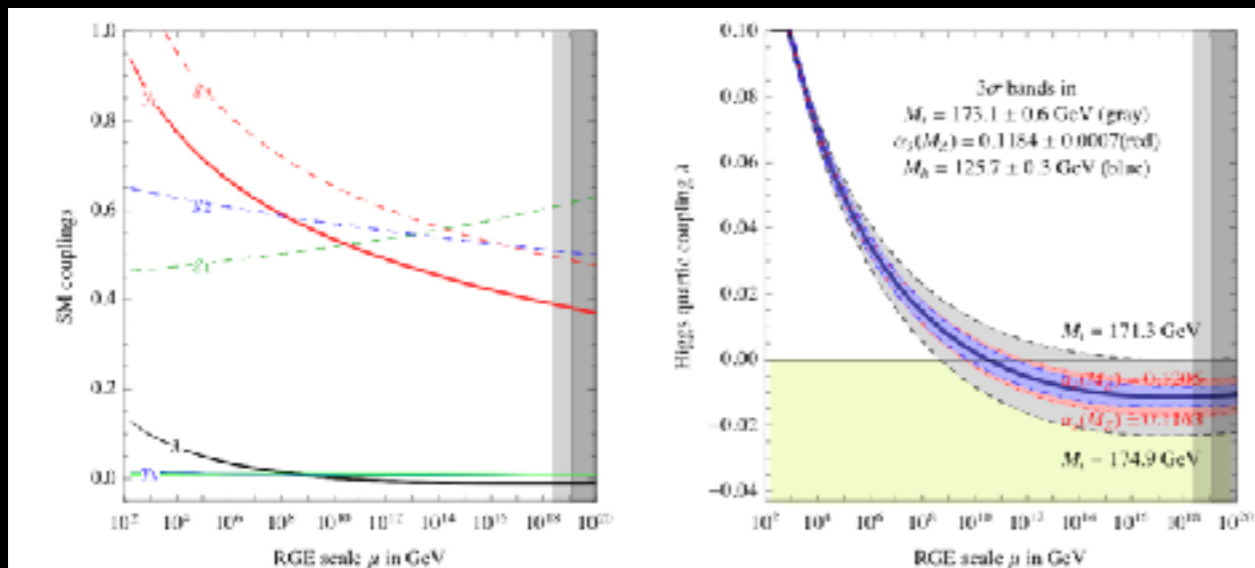
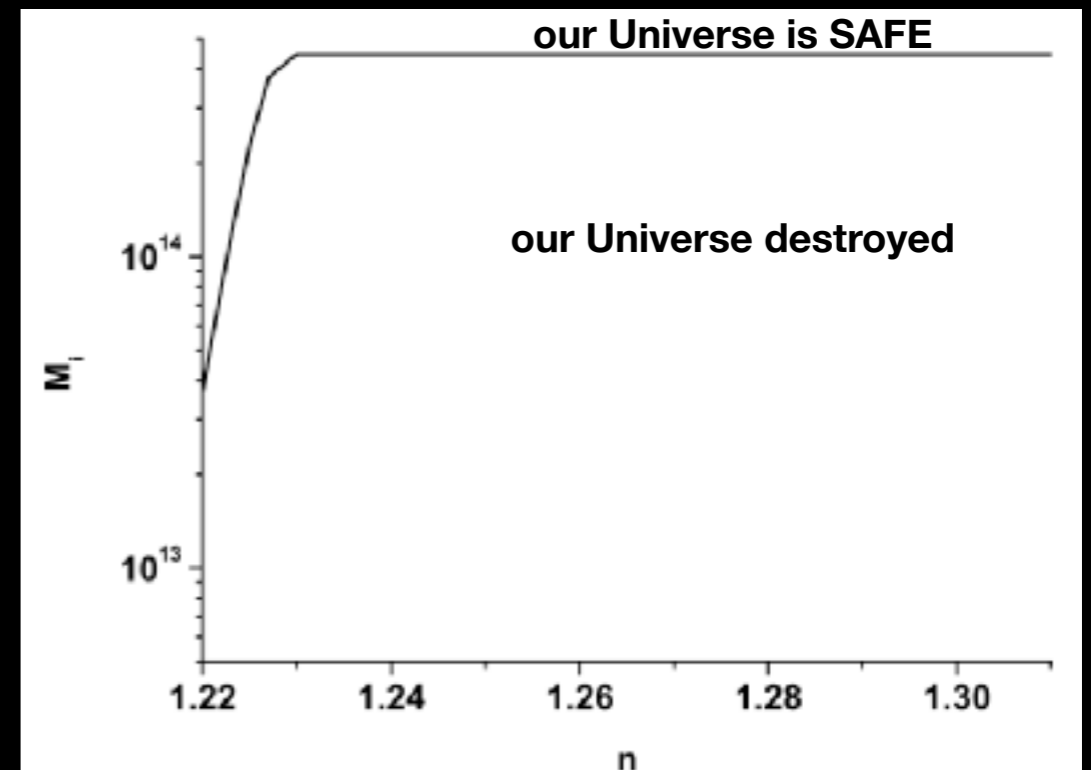


Figure 1: **Left:** SM RG evolution of the gauge couplings  $g_1 = \sqrt{5/3}g'$ ,  $g_2 = g$ ,  $g_3 = g_s$ , of the top and bottom Yukawa couplings ( $y_t, y_b$ ), and of the Higgs quartic coupling  $\lambda$ . All couplings are defined in the  $\overline{MS}$  scheme. The thickness indicates the  $\pm 1\sigma$  uncertainty. **Right:** RG evolution of  $\lambda$  varying  $M_t$ ,  $M_H$  and  $\alpha_s$  by  $\pm 3\sigma$ .

Degrassi et al. (2013)



(Mass of PBH)

(spectral index) Dai+ (2019)

# Standard formulation of vacuum bubbles

## Euclidean path integral

Coleman (1977)

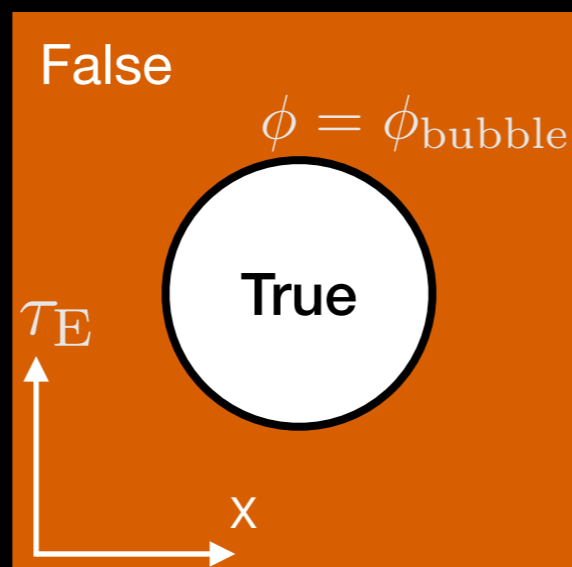
Callan and Coleman (1977)

$$\int \mathcal{D}\phi e^{iS[\phi]} \rightarrow \int \mathcal{D}\phi e^{-S_E[\phi]} \sim e^{-S_E[\phi_F] + iVT A e^{-B}}$$

$$t \rightarrow -i\tau$$

(Wick rotation)

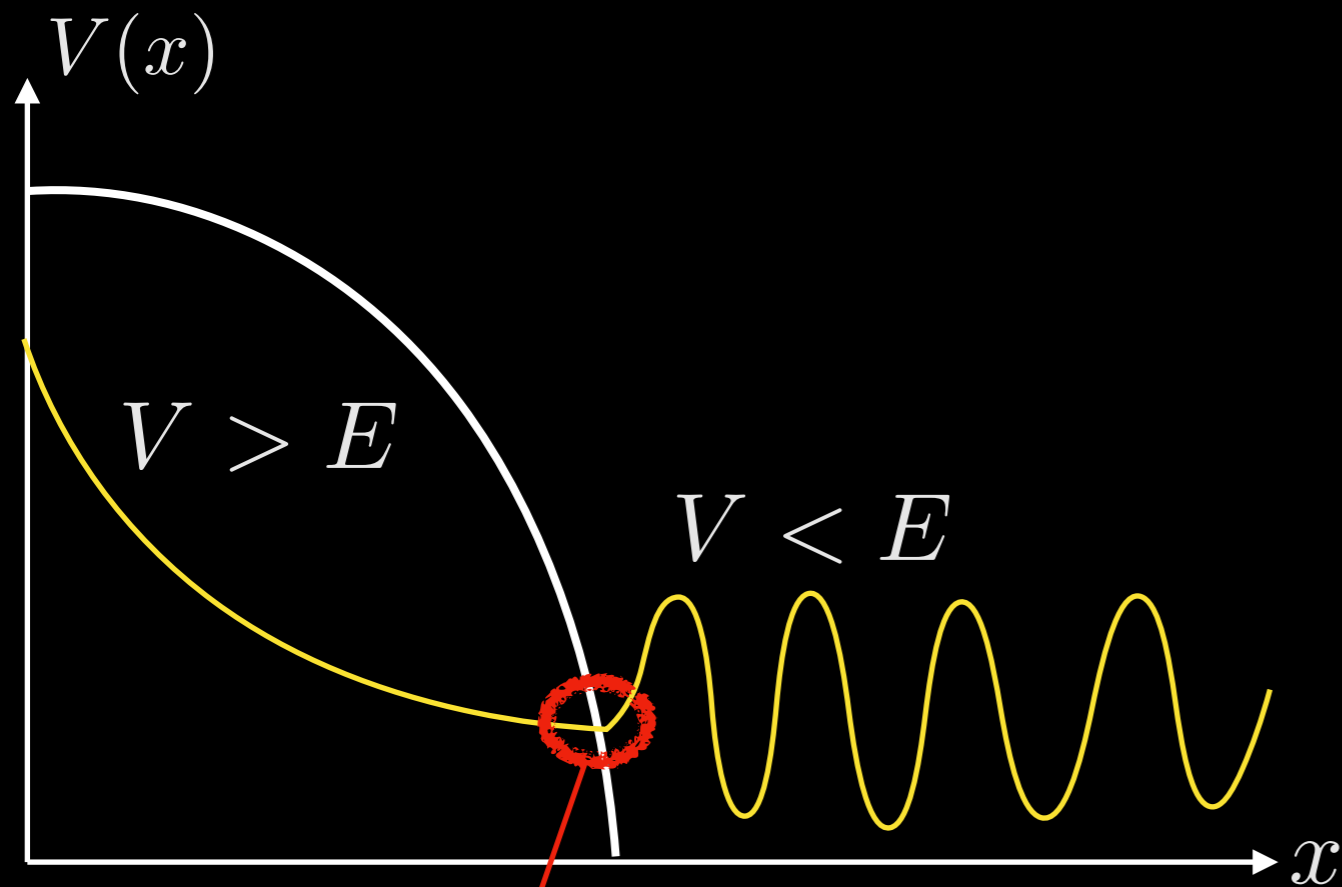
$$B \equiv S_E[\phi_{\text{bubble}}]$$



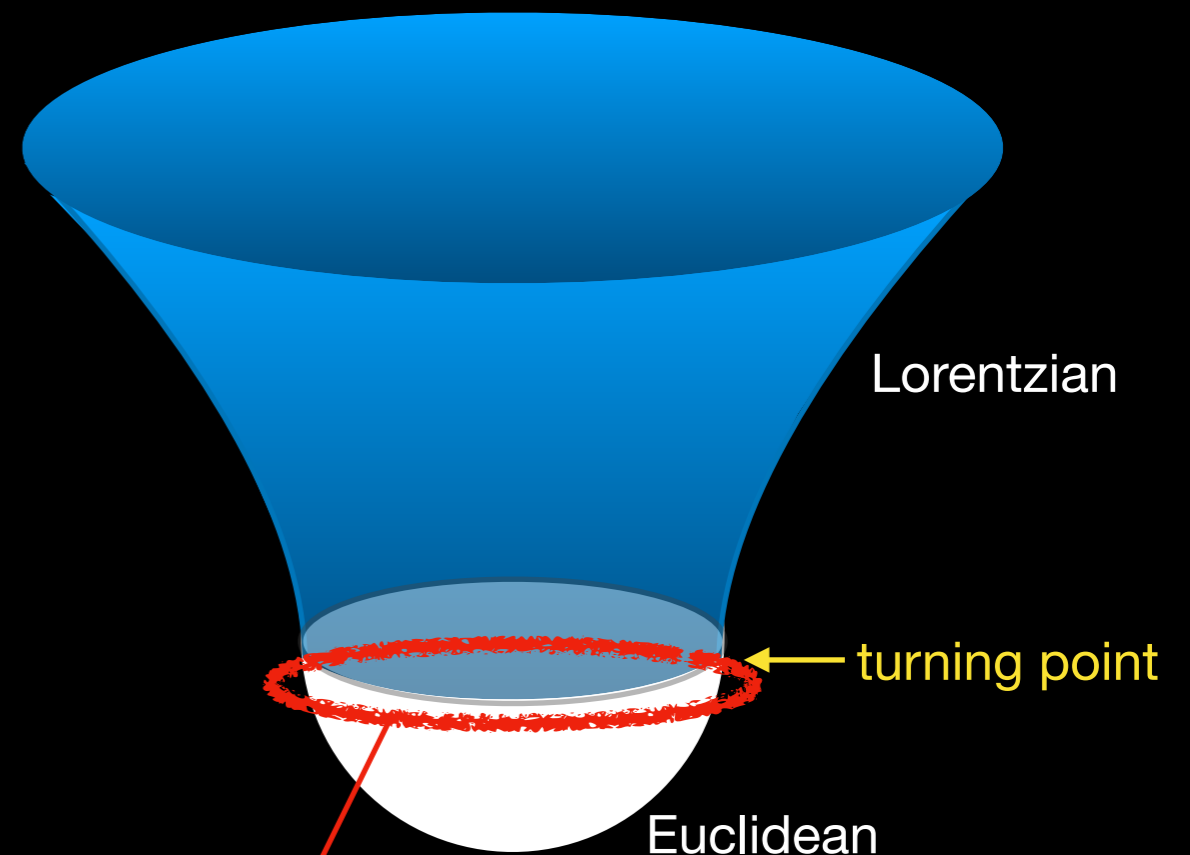
$$\text{Im} E_{\text{fv}} = -V A e^{-B}$$

**Why do we need the Lorentzian path integral?**

1. Is the junction of Euclidean and Lorentzian regions at the **turning point** ( $dR/dt = 0$ ) verified?



WKB is not a good approximation at the turning point in general.



Is this okay??

## 2. negative mode problem

$$\int \mathcal{D}\phi e^{-S_E[\phi]} \sim e^{-S_E[\phi_F] + iVT A e^{-B}}$$

negative mode

Infinite number of negative modes could appear in the existence of gravity  
(sensitive to the gauge choice)

e.g., G. V. Lavrelashvili, et al. (1985)  
T. Tanaka and M. Sasaki (1992)  
H. Lee and E. J. Weinberg (2014)  
R. Jinno and R. Sato (2020)

Imaginary part of the energy eigenvalue of the false vacuum is uncertain.

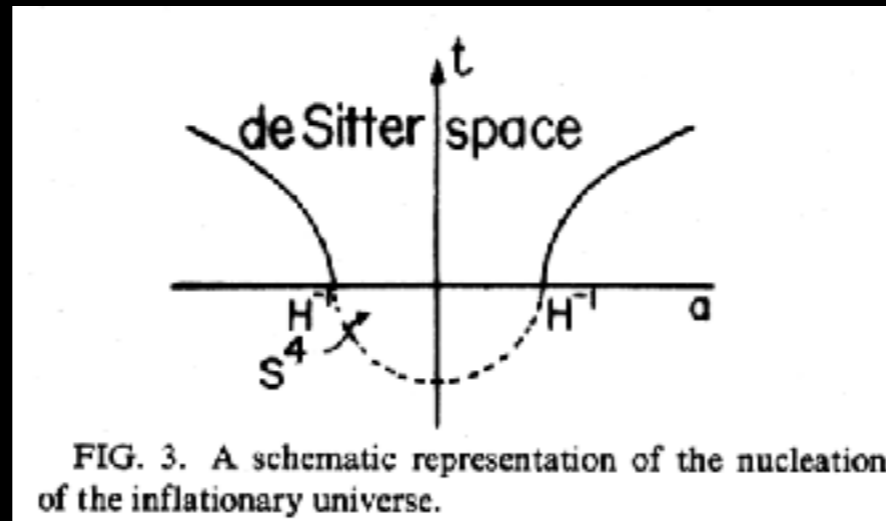
Thermal transition of a false vacuum is driven by thermal excitation. (de Sitter)  
S. W. Hawking and I. G. Moss (1982)

Do we need to care the number of negative modes? (Schwarzschild-de Sitter)  
R. Gregory, I. G. Moss, N. O. (2020)

Lorentzian description of thermal transition (stochastic inflation)

A. A. Starobinsky (1982)  
A. Linde (1991)  
A. A. Starobinsky and J. Yokoyama (1994)

3. Euclidean path integral in the presence of gravity leads to **unbounded** action.



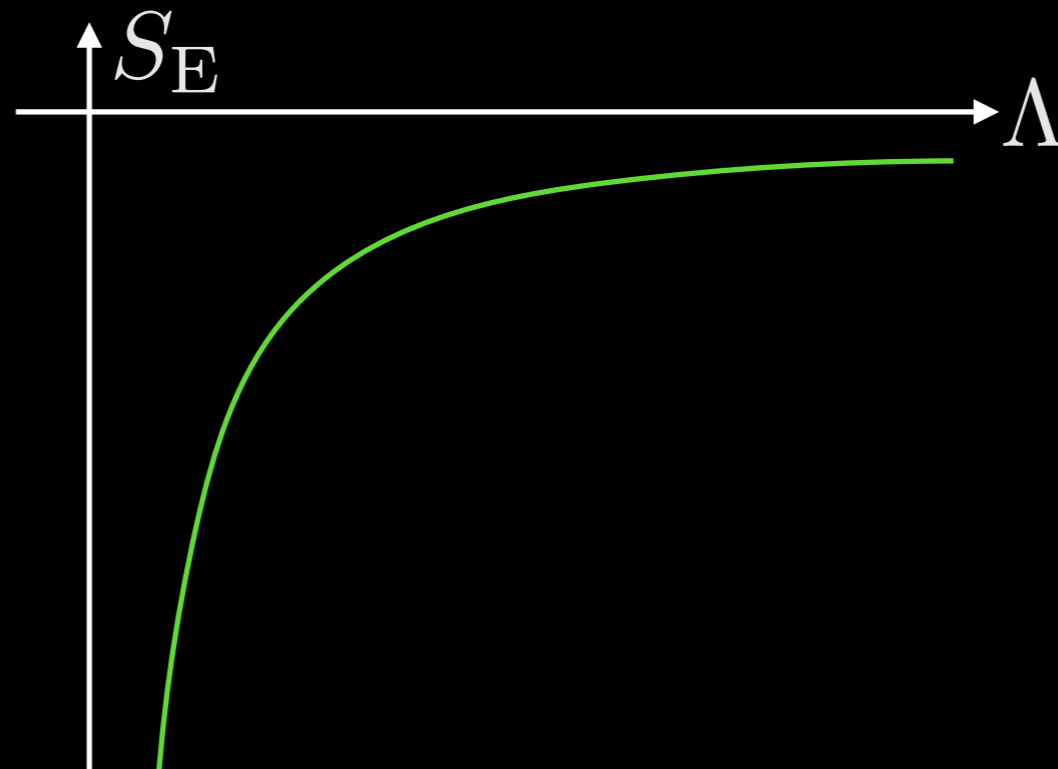
A. Vilenkin (1983)

Wavefunction of the de Sitter universe with a cosmological constant  $\Lambda$

$$\Gamma = |\Psi|^2 \simeq e^{-S_E} = e^{+\frac{3\pi}{G\Lambda}}$$

J. B. Hartle and S. W. Hawking (1983)

c.f. A. Vilenkin (1983)





# 4. Is the Wick rotation with gravity $t \rightarrow -i\tau$ or $+i\tau$ ?

$$\mathcal{H}_{\text{tot}} = \mathcal{H}_{\text{M}} + \mathcal{H}_{\text{G}} = 0 \quad \text{Hamiltonian constraint}$$

Hamiltonian of matter  
(positive energy)

Hamiltonian of gravity  
(negative energy)

action of **matter fields** is bounded below for

action of **gravity** is bounded below for

$$t \rightarrow -i\tau$$

$$t \rightarrow +i\tau$$

(3) 
$$S(a) = -\frac{1}{2} \int d\eta \left[ \left( \frac{da}{d\eta} \right)^2 - a^2 + \frac{\Lambda}{3} a^4 \right] \frac{3\pi M_{\text{P}}^2}{2},$$

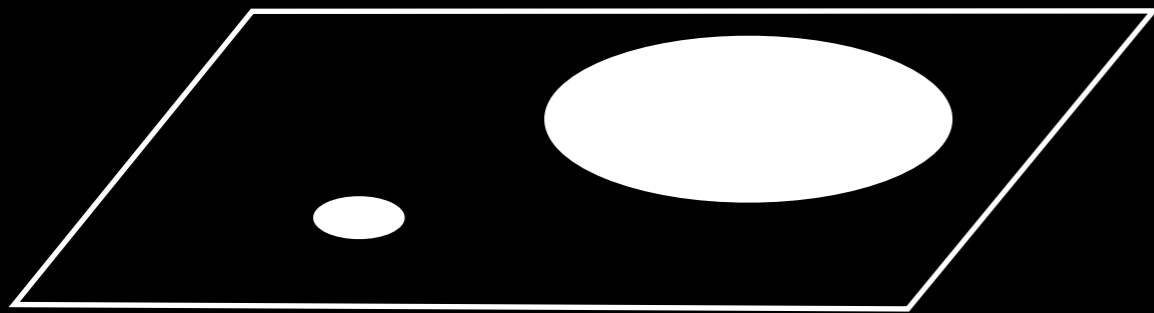
where  $\eta$  is the conformal time,  $\eta = \int dt/a(t)$ ,  $\Lambda$  is the cosmological constant,  $\Lambda = 8\pi V(\varphi)/M_{\text{P}}^2$  for a slowly changing field  $\varphi$ . Equation (3) implies that the energy of « excitations » of the scale factor  $a$  near  $a = 0$  is negative,  $E_a < 0$ . This is related to the fact that **the total energy of a closed universe is zero**, being a sum of the positive energy of matter and the negative energy of the scale factor  $a$ . In such a case, as far as the evolution of the field  $\varphi$  can be neglected, to obtain  $\Psi_0(a, \varphi)$  by means of eq. (1) one **should rotate  $t$  not to  $-i\tau$ , but to  $+i\tau$** , which leads to <sup>(11)</sup>

(4) 
$$\Psi_0(a, \varphi) \sim \exp[S_{\text{E}}(a, \varphi)] \sim \exp\left[-\frac{3M_{\text{P}}^4}{16V(\varphi)}\right].$$

A. D. Linde (1984)

Do we need to assign different sign to matter's and gravity's time?

analytic computation  
(without gravity)



- arbitrary-size bubble
- No artificial junction between E and L.

Part I

Lorentzian path integral  
(Picard-Lefschetz theory)

simplified model (analytic)

T. Hayashi, K. Kamada, N.O., J. Yokoyama, (2021)

numerical computation  
(with gravity)

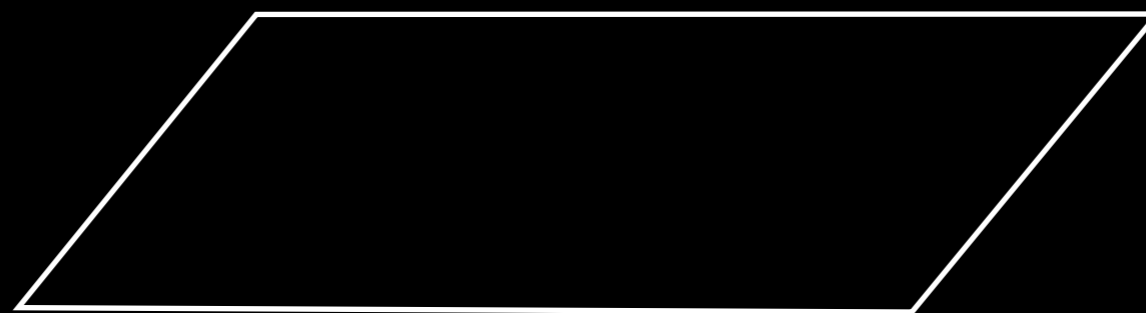


new non-trivial solution

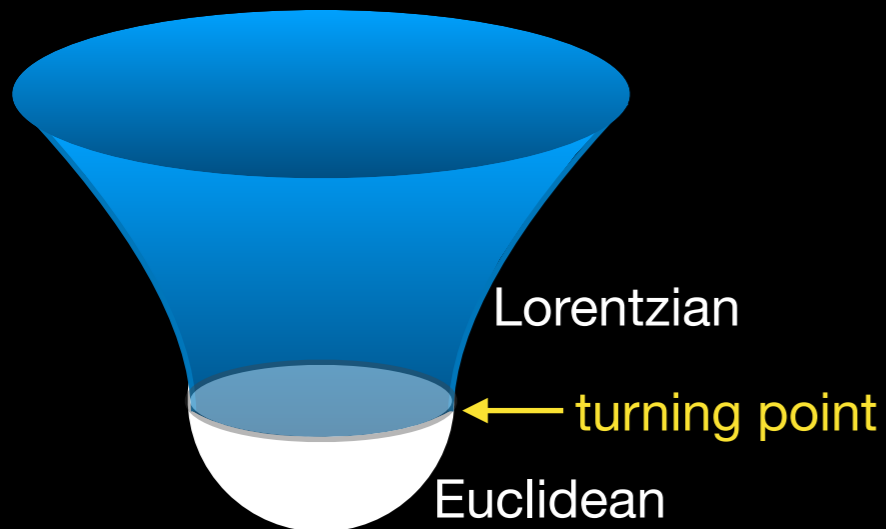
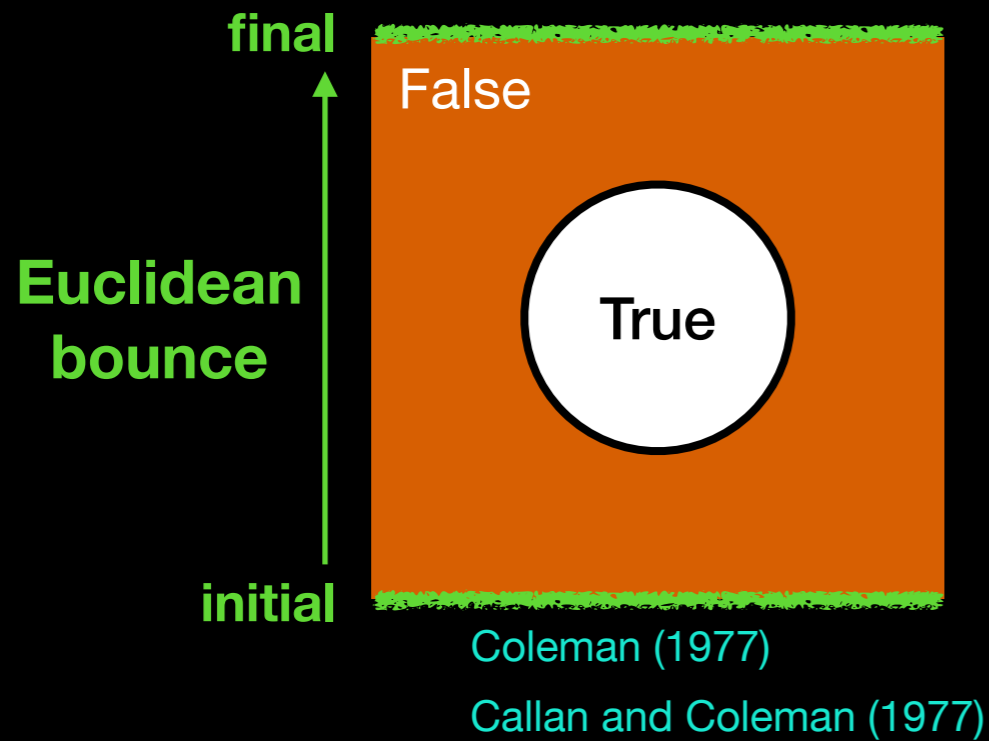
Part II

Wheeler DeWitt formalism  
complicated (numerical)

N.O., Y. Shoji, M. Yamaguchi, (2021)

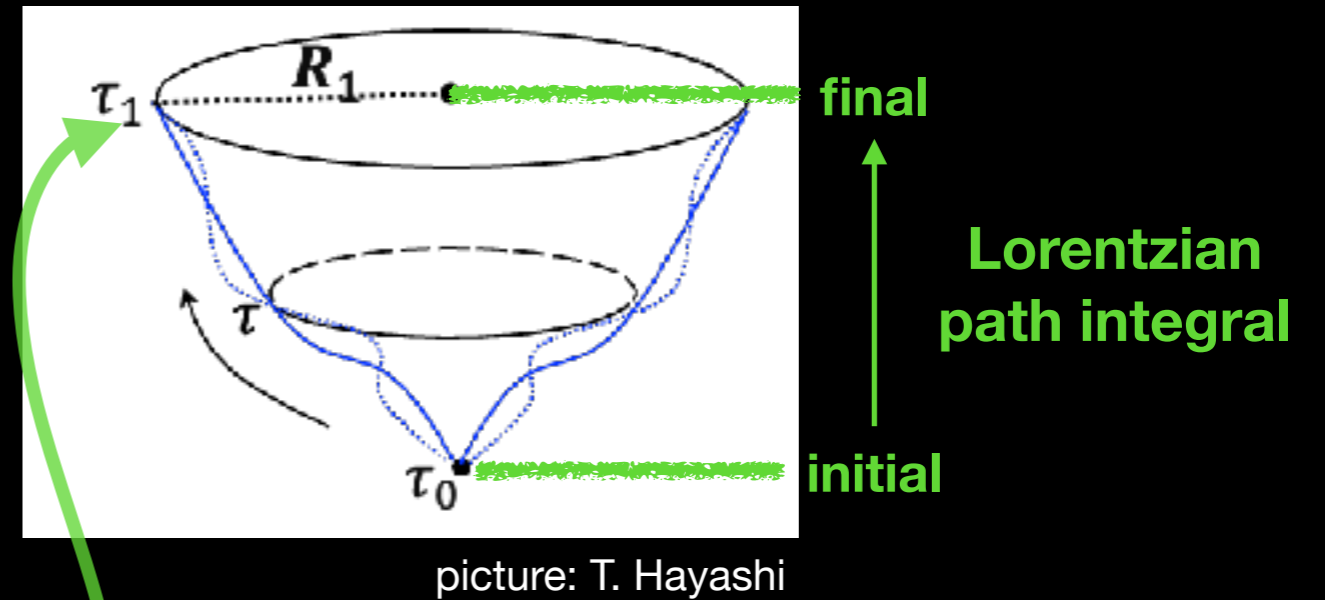


# Standard



# Ours

T. Hayashi, K. Kamada, N.O., J. Yokoyama, (2021)



Set a bubble of arbitrary size as the final state

(No need to join Euclidean sol. to Lorentzian one.)

No subtlety of the WKB at the turning point!

# Setup

## 1. Assumption (for simplification)

- **thin wall**

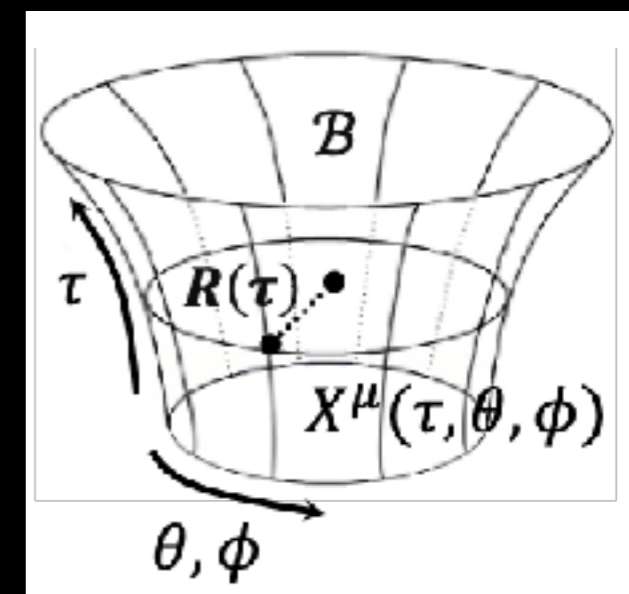
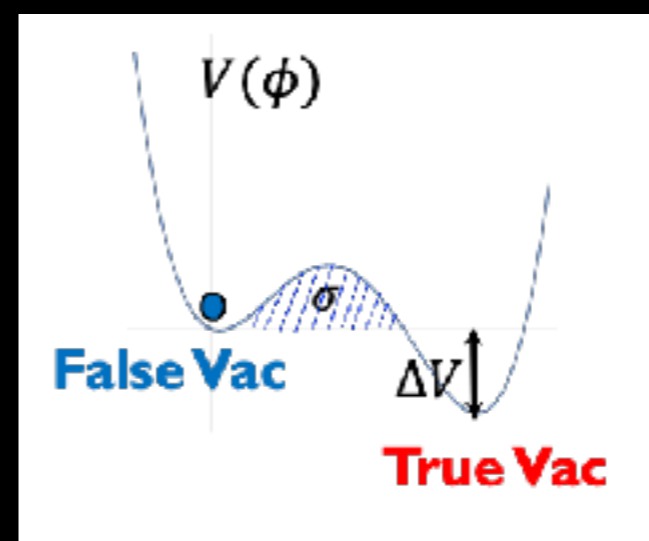
details of a potential barrier  $\rightarrow$  energy density of bubble surface

- **spherical symmetry**

dynamics of a bubble wall  $\rightarrow$  1-dim dynamics

- **no gravity**

To perform analytic computation



picture: T. Hayashi

# Setup

## 2. Formalism

- action of a thin wall bubble (Polyakov action)

$$S_{\text{P}}[X^\mu, \gamma^{ab}] = -\sigma \int_{\partial\mathcal{B}} d^3x \sqrt{-\gamma} \frac{1}{2} [\gamma^{ab} \partial_a X^\mu \partial_b X_\mu - 1] + \Delta V \int_{\mathcal{B}} d^4X \sqrt{-g}$$

bubble wall bulk (bubble interior)

- spherical bubble

$$\{X^\mu(x^a)\} \rightarrow \{T(\tau), R(\tau), \theta, \varphi\} \quad \gamma_{ab} dx^a dx^b = -N^2 d\tau^2 + R^2(\tau) d\Omega^2$$

$\tau$ : proper time on the wall

gauge fixing :  $dN/d\tau = 0$

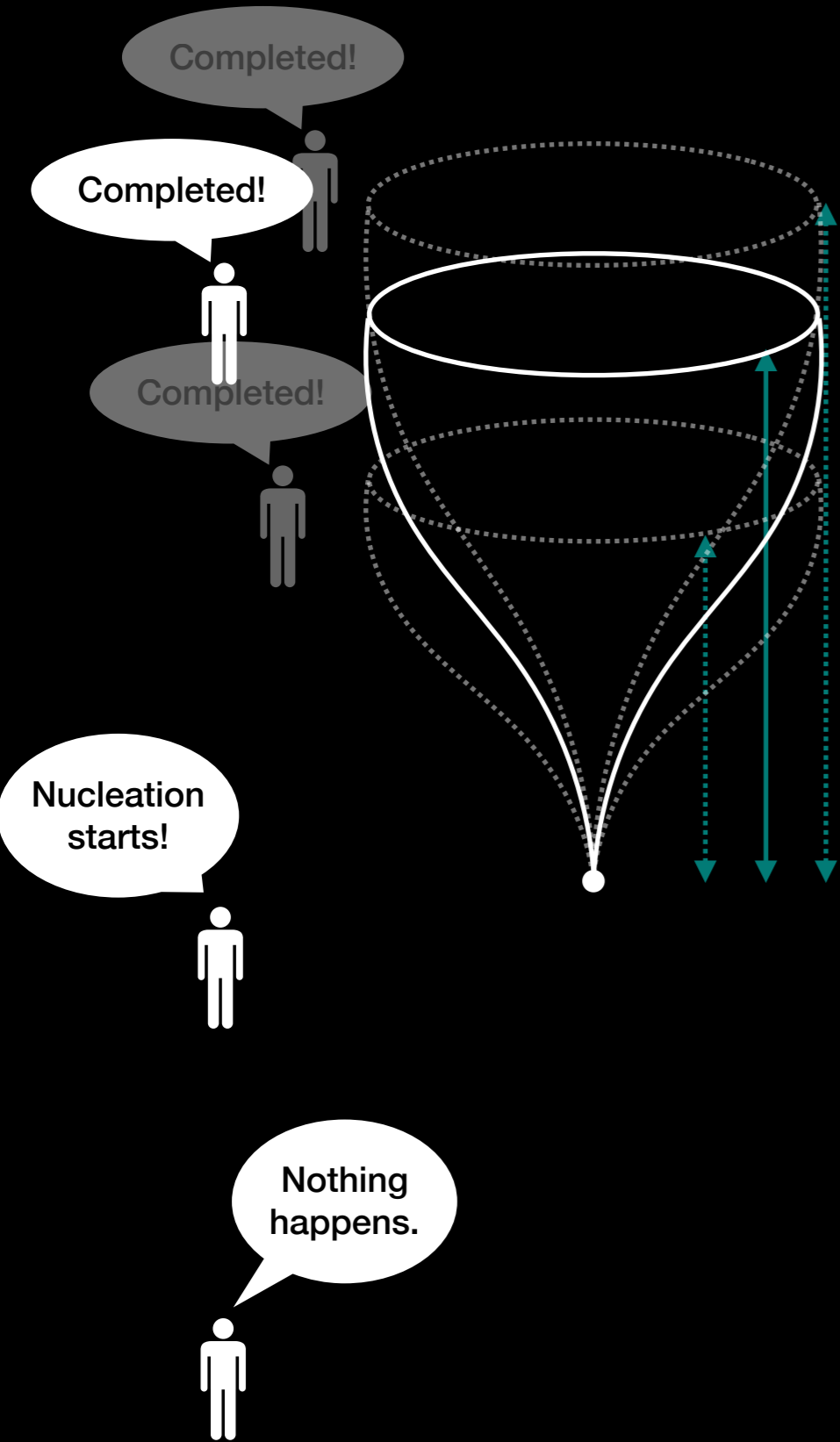
$$S_{\text{P}}[T, R, N] = 4\pi\sigma \int \frac{d\tau}{2\pi} \left\{ \frac{1}{2} R^2 \left[ N^{-1} \left( -f \dot{T}^2 + f^{-1} \dot{R}^2 \right) - N \right] + \rho_0^{-1} R^3 \dot{T} \right\}$$

$$\rho_0 \equiv \frac{3\sigma}{\Delta V}$$

$$G(R=0, R=R_1) = \int_0^\infty dN \int_{R(\tau=0)=0}^{R(\tau=1)=R_1} \mathcal{D}T \mathcal{D}R \exp(iS_P[T, R, N])$$

take into account every proper duration

quantum-mechanical amplitude from a zero-size bubble to finite one



lapse N controls the proper duration

$$\Delta t = N \Delta \tau$$

$$\Delta \tau = 1$$

$$\int_0^\infty dN A(N) \exp(iS_P[\bar{T}, \bar{R}, N])$$

quantum corrections  
+zero modes

leading contribution  
(exponential suppression)

oscillatory integrand

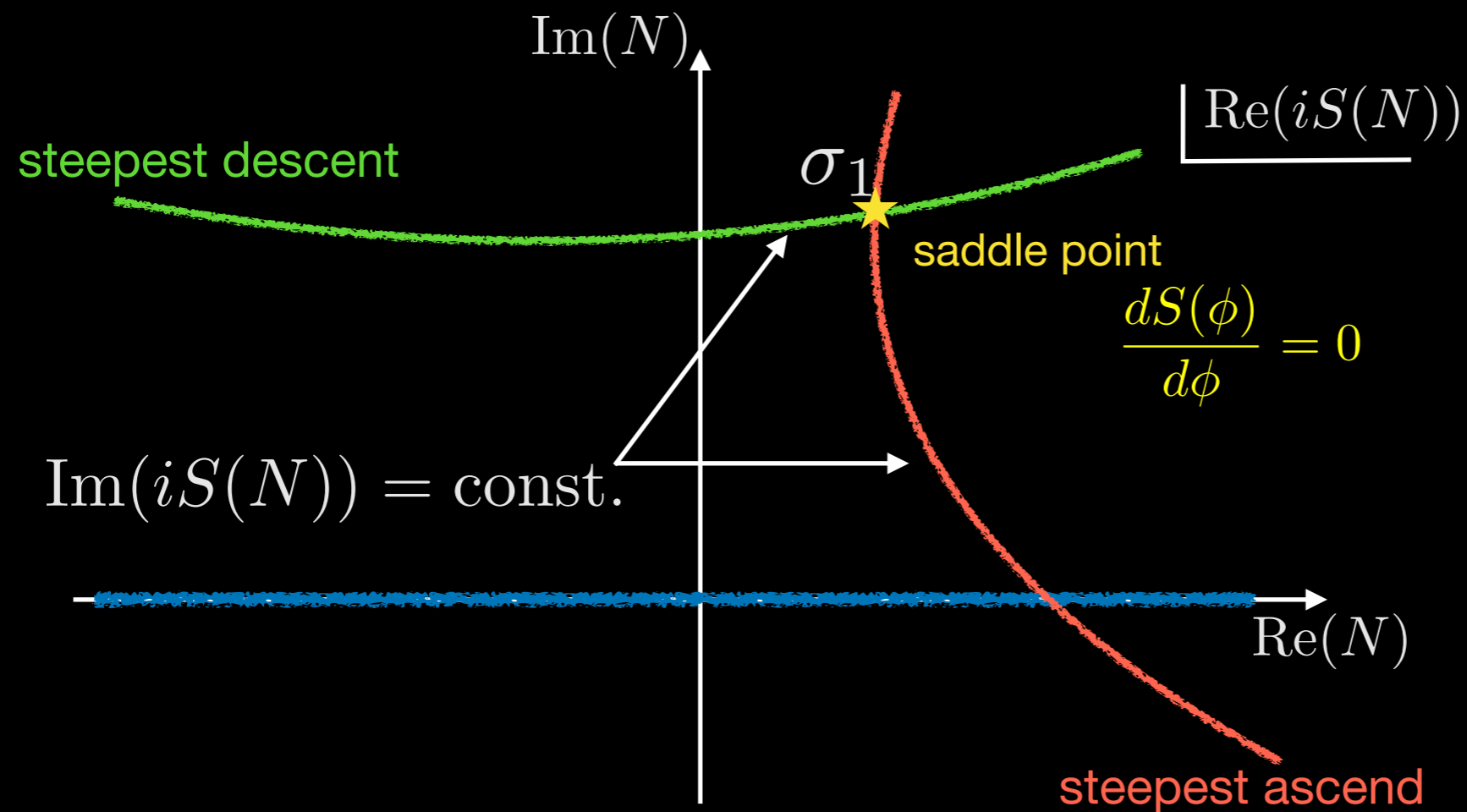
$$T = \bar{T} \text{ and } R = \bar{R}$$

sol. of the E.O.M.

# Picard-Lefschetz theory

$$\int_{\mathbb{R}} dN \exp(iS(N)) \longrightarrow \int_{\mathcal{C}} dN \exp(iS(N))$$

absolutely convergent!!  
(No oscillatory integrand!!)



$$\int_0^{\infty} dN A(N) \exp(iS_P[\bar{T}, \bar{R}, N])$$

oscillatory integrand



$$\int_{\mathcal{C}} dN A(N) \exp(iS_P[\bar{T}, \bar{R}, N])$$

Lefschetz thimbles

~ Gaussian!!



$$\exp \left( i S_{\text{P}} [\bar{T}, \bar{R}, N] \right)$$

Integrated E.O.M.

$$-2\pi\sigma R^2 \left( N^{-2} (f\dot{T}^2 - f^{-1}\dot{R}^2) - 1 \right) = H \quad 4\pi\sigma R^2 f(R) \left( \frac{\dot{T}}{N} - \frac{R}{\rho_0 f(R)} \right) = E$$

*Integration constant*
*bubble's total energy*

fix H so that B.C. is satisfied
set to zero

boundary condition on  $R = \bar{R}$  (and  $T = \bar{T}$ )

**Coleman's bubble**  $R(\tau = 0) = 0$  and  $R(1) = \rho_b$

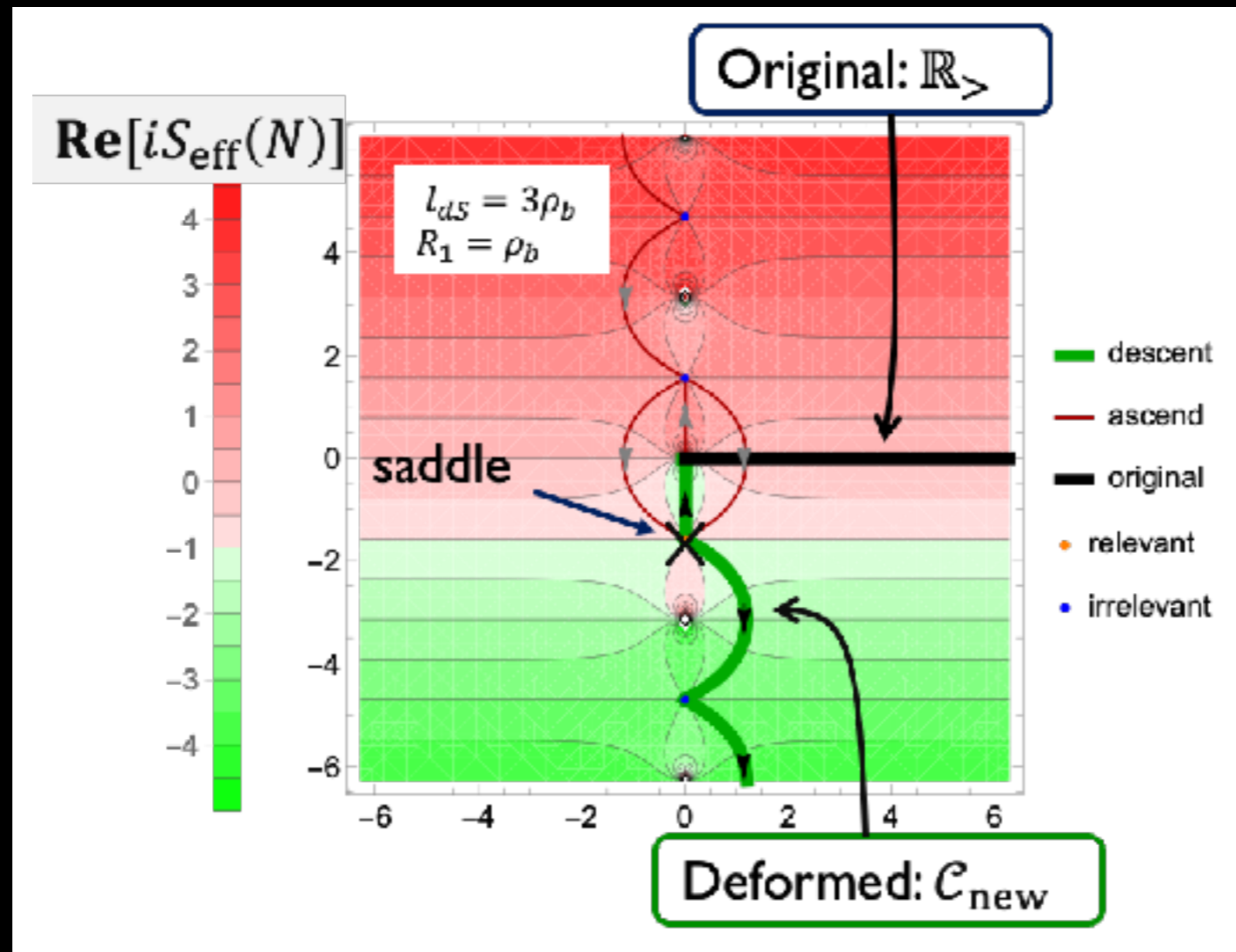
**large bubble**  $R(0) = 0$  and  $R(1) > \rho_b$

**small bubble**  $R(0) = 0$  and  $R(1) < \rho_b$

boundary condition changes the structure of  $S_{\text{P}}(\bar{T}, \bar{R}, N)$

e.g. position of the saddle points in N-space

# Coleman's bubble



$$S_P[\bar{T}, \bar{R}, N] = \frac{2\pi\sigma\rho_b^3}{(1 + \rho_b/\rho_0)^2} \left[ \coth \frac{N}{\rho_b} - \frac{N}{\rho_b} \right]$$

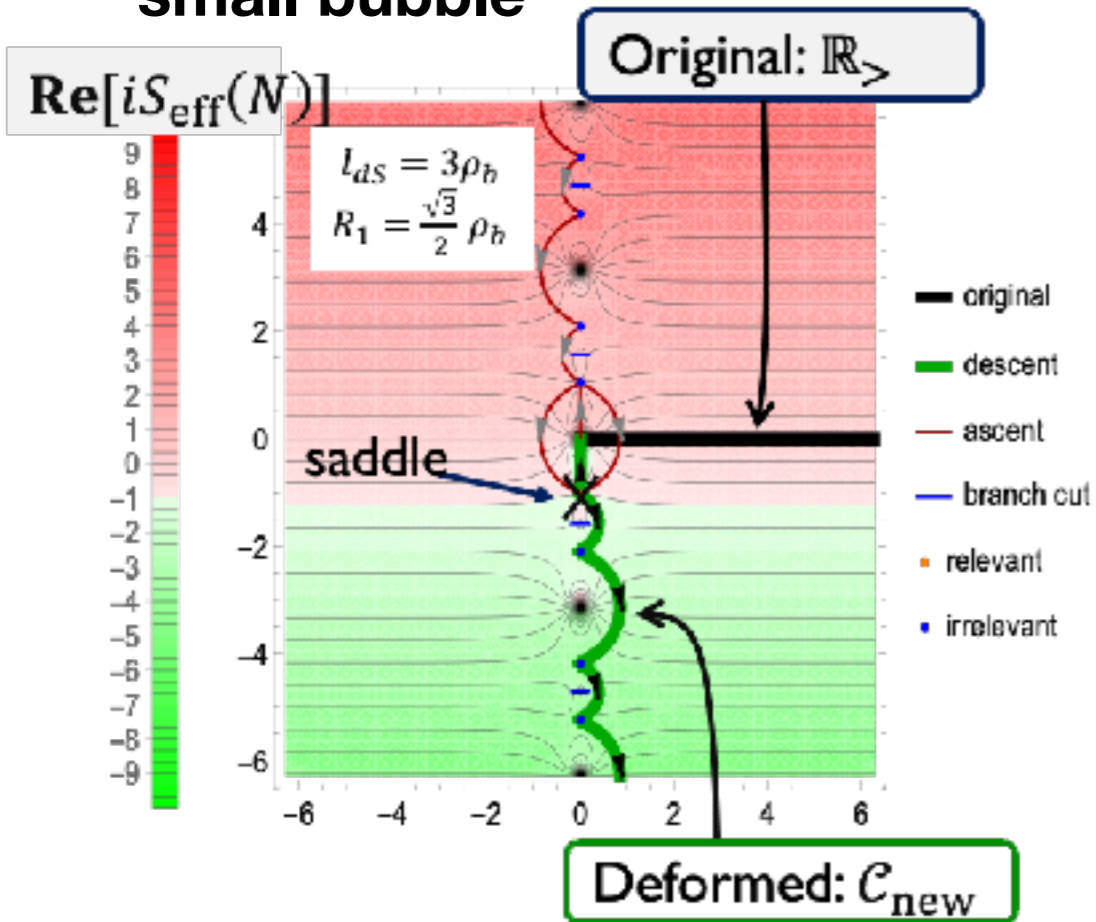
$$G(R = 0, R = \rho_b) \sim \int_0^\infty dN \exp(iS_P(N)) = \int_{C_{\text{new}}} dN \exp(iS_P(N)) \sim \exp\left(-\frac{\pi^2\sigma\rho_b^3}{(1 + \rho_b/\rho_0)^2}\right)$$

$$P_{\text{Decay}} = |G(R = 0, R = \rho_b)|^2 \sim \exp(-B_{\text{Coleman}})$$

consistent with the standard result!

$$\frac{B_{\text{Coleman}}}{2}$$

## small bubble



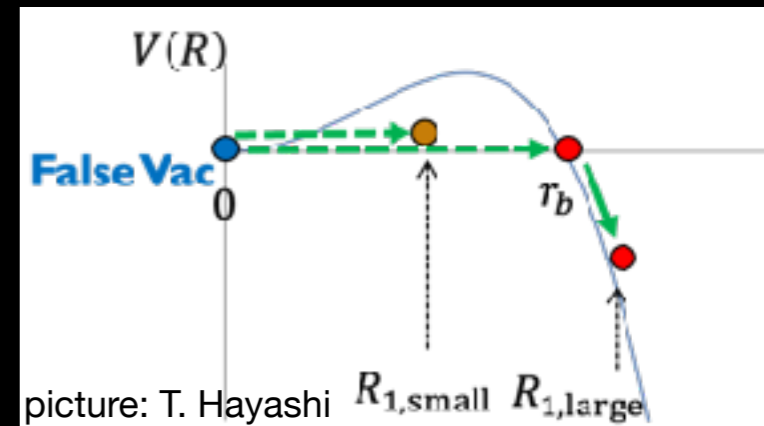
$$G(R = 0, R = R_{\text{bubble}})$$

$$R_{\text{bubble}} < \rho_b$$

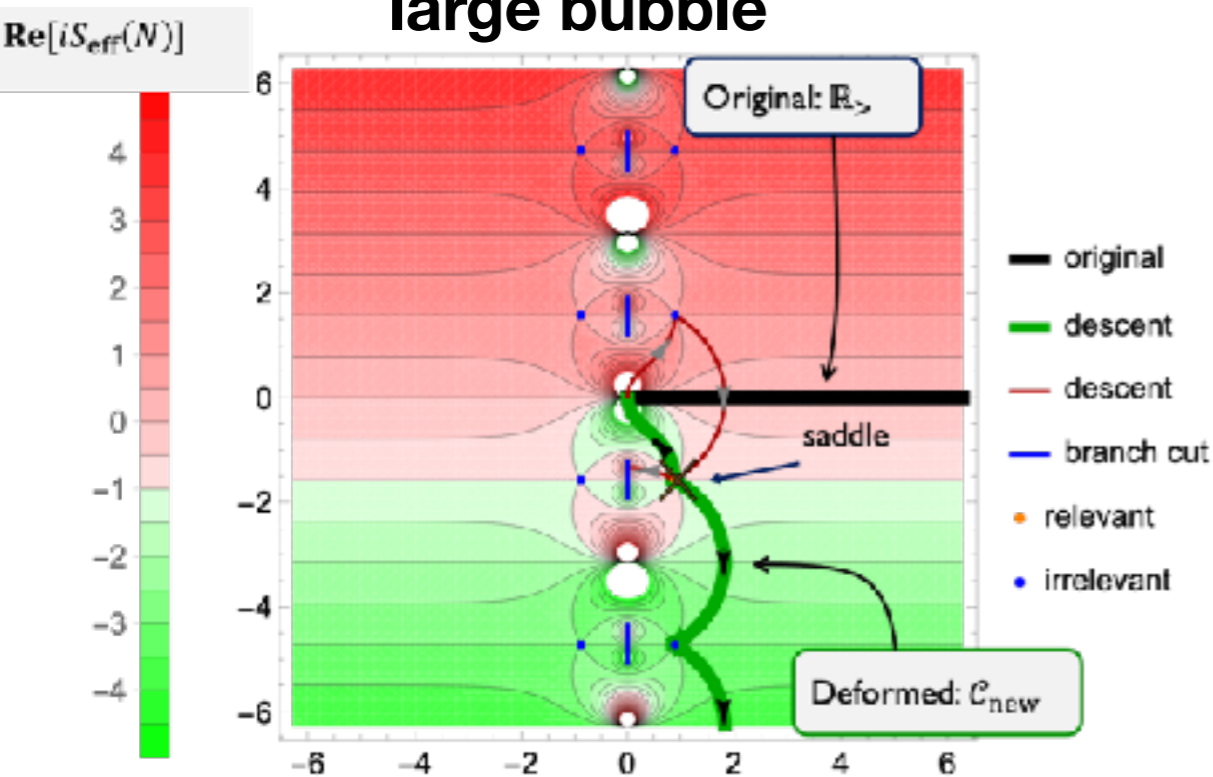
$$\sim \int_0^\infty dN \exp(iS_P(N)) \sim \exp(-B_{\text{small}}/2)$$

$$B_{\text{small}} < B_{\text{Coleman}}$$

A **small bubble** may have a chance to **expand due to the external source** e.g. thermal radiation inside the bubble, external gravitational force etc..



## large bubble



$$G(R = 0, R = R_{\text{bubble}})$$

$$R_{\text{bubble}} > \rho_b$$

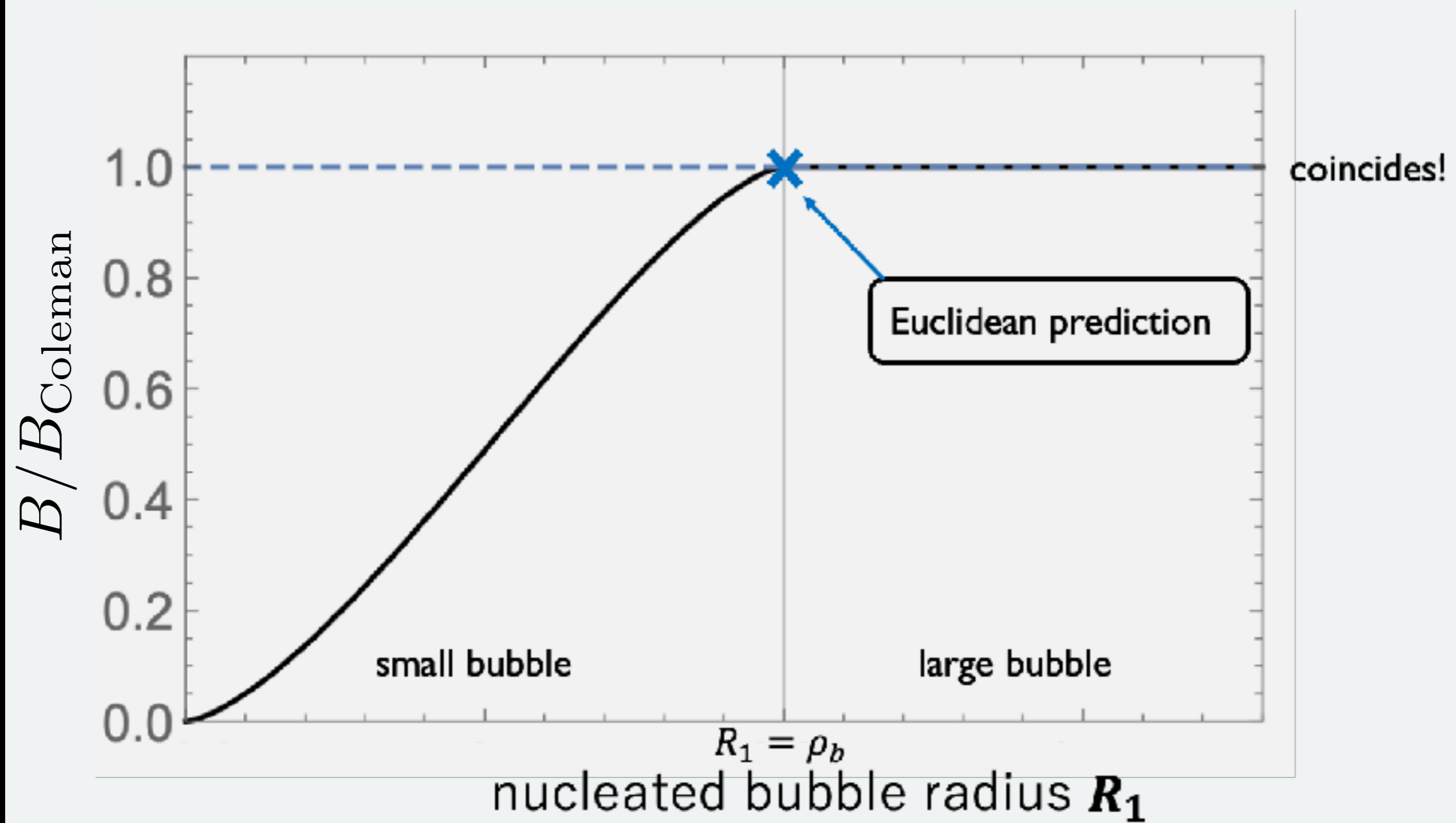
$$\sim \int_0^\infty dN \exp(iS_P(N)) \sim \exp(-B_{\text{large}}/2 + i\theta(\rho_b, R_{\text{bubble}}))$$

$$B_{\text{large}} = B_{\text{Coleman}}$$

phase rotation caused by the **classical bubble expansion**

$$R = \rho_b \rightarrow R = R_{\text{bubble}}$$

$$\Gamma \sim Ae^{-B}$$



# Summary for PART I

We formulated the nucleation of a vacuum bubble in the Lorentzian path integral.

We demonstrated the nucleation of a bubble of arbitrary size and found:

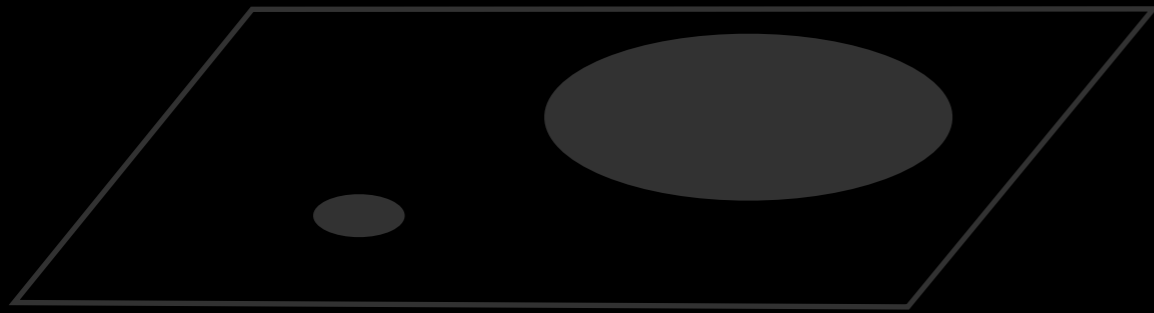
- exponent of the nucleation rate of a bubble of *standard size* agrees with the Coleman's result.
- large bubble nucleation can be interpreted as **nucleation of standard-size bubble + classical expansion**
- small bubble nucleates with higher probability

Our strategy is useful to search for **other vacuum decay processes** that are more probable than the known process.

**An arbitrary vacuum bubble** can be set as the final state **unlike the bounce calculation.**

Still, there are some restrictions: no gravity and thin wall approximation.

analytic computation  
(without gravity)



- arbitrary-size bubble
- No artificial junction between E and L.

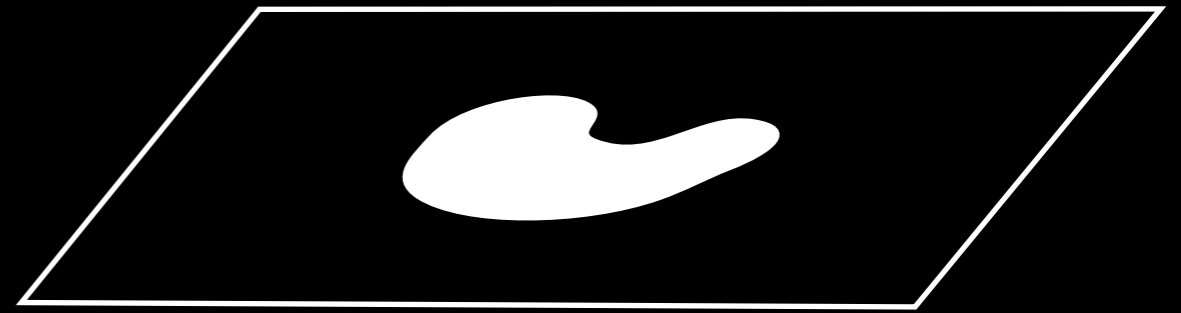
Part I

Lorentzian path integral  
(Picard-Lefschetz theory)

simplified model (analytic)

T. Hayashi, K. Kamada, N.O., J. Yokoyama, (2021)

numerical computation  
(with gravity)



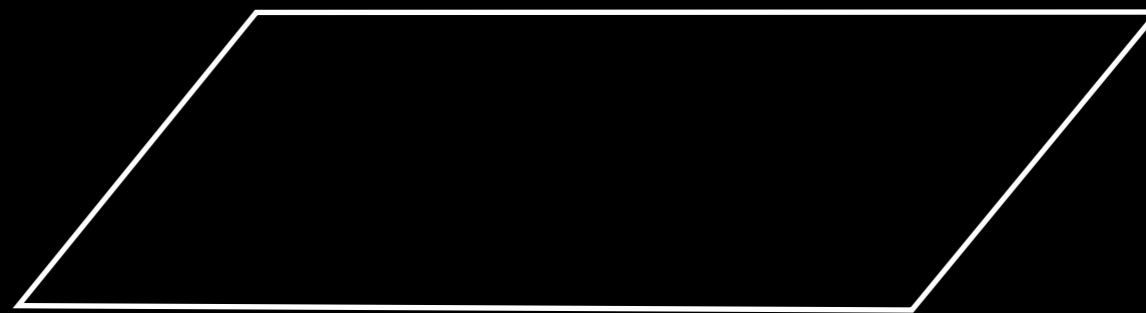
**new non-trivial solution**

Part II

**Wheeler DeWitt formalism**

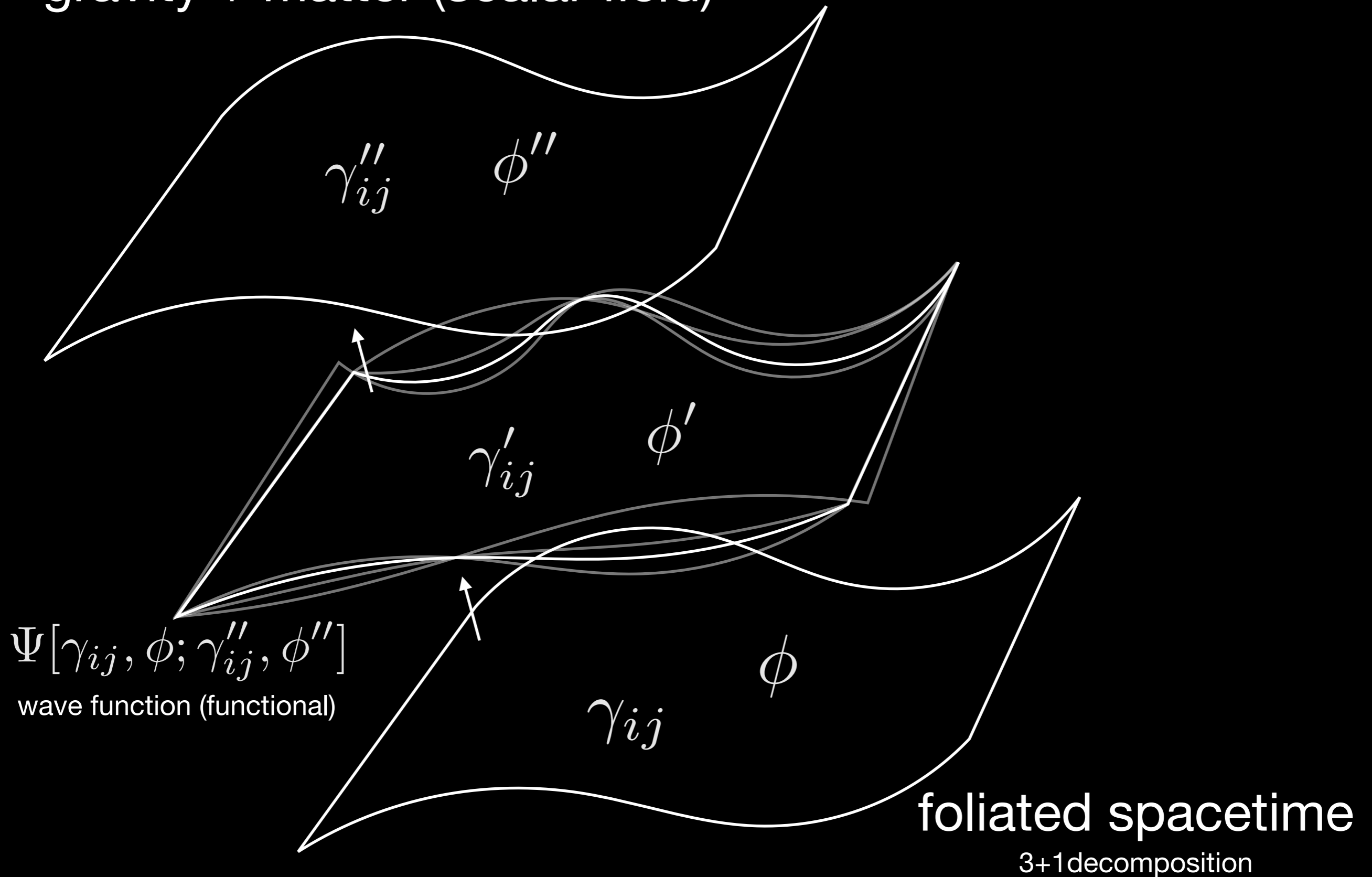
complicated (numerical)

N.O., Y. Shoji, M. Yamaguchi, (2021)



# Wheeler-DeWitt formalism

gravity + matter (scalar field)



# “NO TIME” in the WdW formalism

Physical states should satisfy

$$\mathcal{H}\Psi[\gamma_{ij}, \phi] = 0$$

time development

$$\gamma_{ij}(x^0, \mathbf{x}) = e^{iHx^0} \gamma_{ij}(0, \mathbf{x}) e^{-iHx^0}$$

$$\mathcal{H}^i \Psi[\gamma_{ij}, \phi] = 0$$

$$\pi \Psi[\gamma_{ij}, \phi] = 0$$

$$\Psi^\dagger \gamma_{ij}(x^0, \mathbf{x}) \Psi = \Psi^\dagger \gamma_{ij}(0, \mathbf{x}) \Psi$$

$$\pi^i \Psi[\gamma_{ij}, \phi] = 0$$

NO dynamics (NO time development) ??



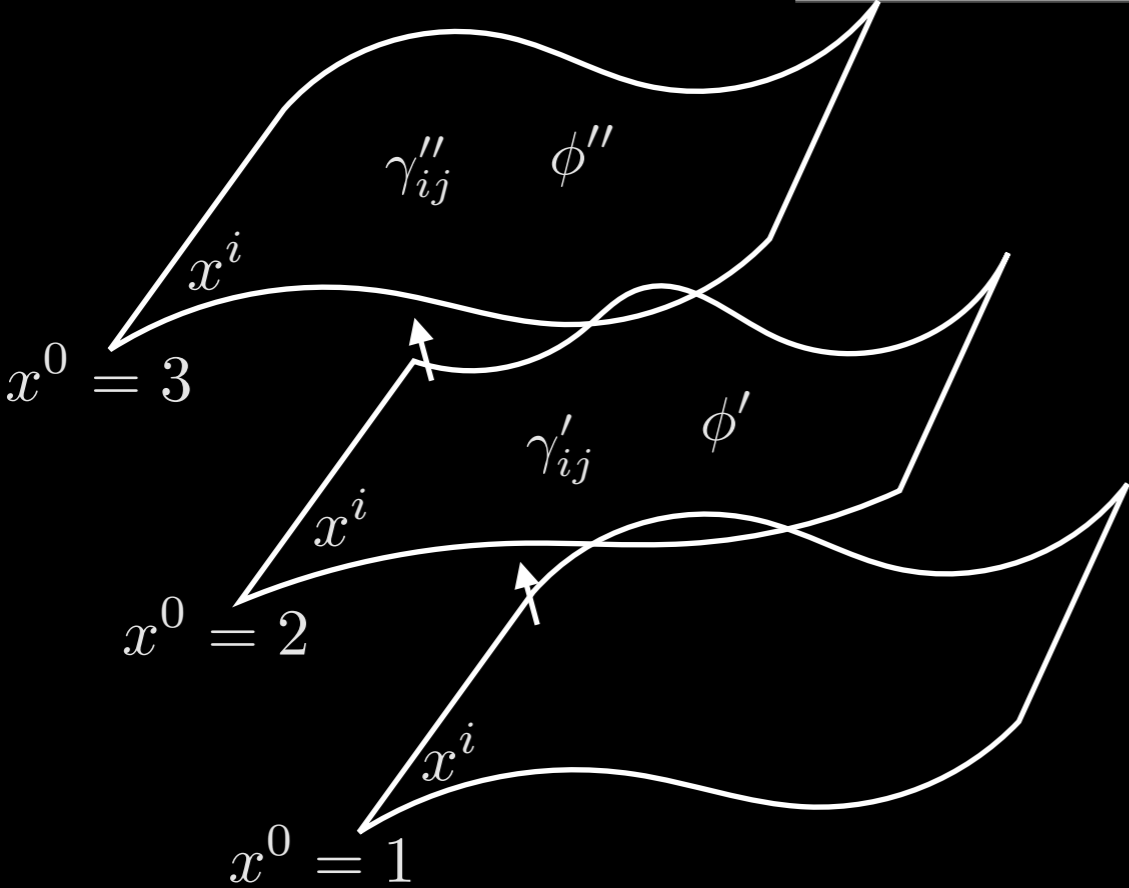
# How can we appreciate the “dynamics” of the contents of the Universe?

B. S. DeWitt (1967)

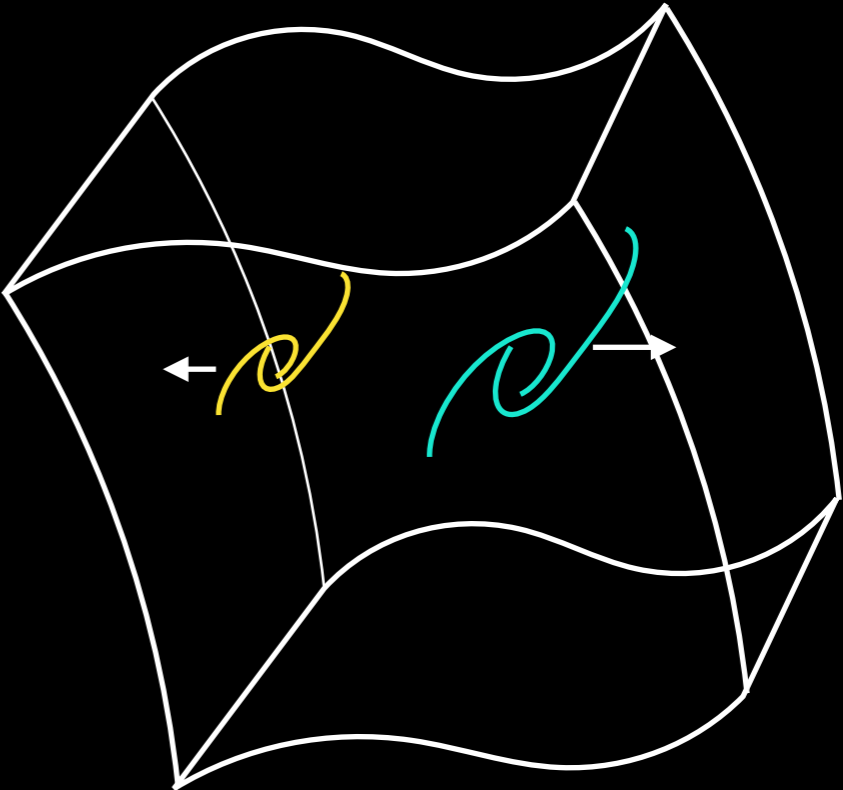
$$H\Psi=0, \quad \Psi^\dagger H=0,$$

$$\Psi^\dagger \gamma_{ij}(x^0, \mathbf{x}) \Psi = \Psi^\dagger \gamma_{ij}(0, \mathbf{x}) \Psi.$$

posed by Eq. (4.7). The following procedure will be adopted: Instead of regarding **this equation** as implying that the universe is static we shall interpret it as informing us that the **coordinate labels  $x^\mu$  are really irrelevant**. Physical significance can be ascribed only to the intrinsic dynamics of the world, and for the description of this we need some kind of **intrinsic coordinatization based either on the geometry or the contents of the universe**.



external parametrization is *irrelevant*



**internal parametrization** based on geometry (e.g. cosmic expansion) or matter in the universe is relevant

$x^0$  time coordinate



$S$  new parameter

# Wheeler DeWitt equation -functional wave equation-

$$\left[ -\frac{1}{2\sqrt{\gamma}} \frac{\delta}{\delta\Phi^M(\mathbf{x})} G^{MN} \frac{\delta}{\delta\Phi^N(\mathbf{x})} + \sqrt{\gamma}\mathcal{V} \right] \Psi[\Phi^M] = 0$$

$$\Phi^M = (\gamma_{ij}, \phi) \quad G^{\phi\phi} = 1, G^{(ij)(kl)} = 4\kappa\tilde{G}_{ijkl}$$

$$\tilde{G}_{ijkl} \equiv \frac{1}{2} (\gamma_{ik}\gamma_{jl} + \gamma_{il}\gamma_{jk} - \gamma_{ij}\gamma_{kl})$$

$$\mathcal{V} \equiv -\frac{1}{2\kappa} {}^{(3)}\mathcal{R} + \frac{1}{2} h^{ij} (\partial_i\phi)(\partial_j\phi) + V(\phi)$$

$\gamma_{ij}$

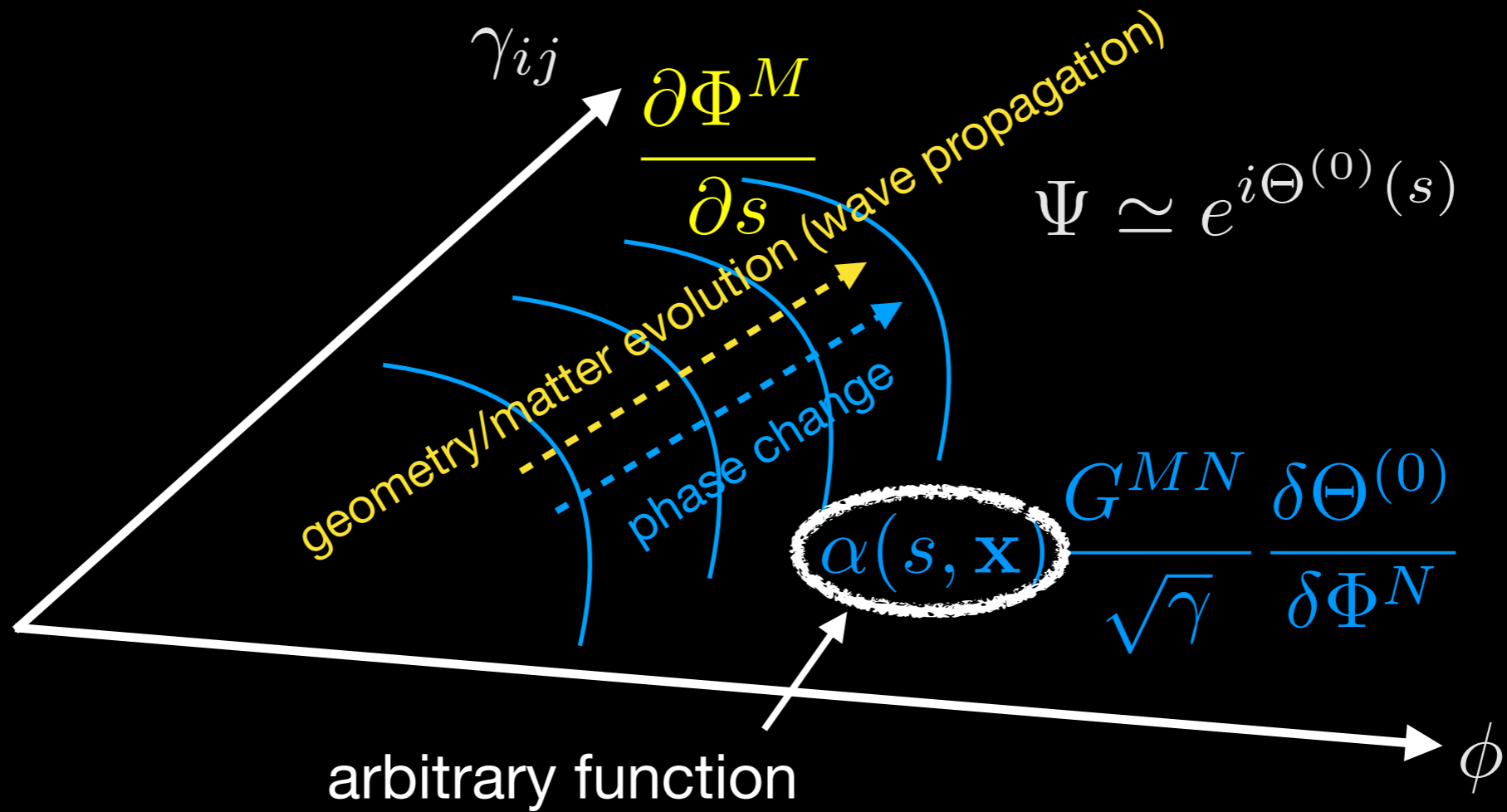
assign a path parametrized with  $s$

$$\Theta^{(0)} = \Theta^{(0)}(s)$$

WKB ansatz

$$\Psi[\Phi^M] = \exp \left[ i\Theta^{(0)}[\Phi^M] + \Theta^{(1)}[\Phi] + \dots \right]$$

$\phi$



$$\frac{\partial \Phi^M}{\partial s} = \alpha(s, \mathbf{x}) \frac{G^{MN}}{\sqrt{\gamma}} \frac{\delta \Theta^{(0)}}{\delta \Phi^N}$$

phase change

$$\Theta^{(0)}(s_f) - \Theta^{(0)}(s_i) = \int_{s_i}^{s_f} ds \int d^3x \frac{\delta \Theta^{(0)}(s)}{\delta \Phi^M(s, \mathbf{x})} \frac{\partial \Phi^M(s, \mathbf{x})}{\partial s}$$

$$= \int_{s_i}^{s_f} ds \int d^3x \frac{2\sqrt{\gamma}}{\alpha} \mathcal{K}$$

Substituting into the WdW equation

$$\alpha(s, \mathbf{x}) = \frac{\sqrt{\mathcal{K}}}{\sqrt{-\mathcal{V}}}$$

$$\mathcal{K} \equiv \frac{1}{2} G_{MN} \frac{\partial \Phi^M(s, \mathbf{x})}{\partial s} \frac{\partial \Phi^N(s, \mathbf{x})}{\partial s}$$

What does it mean?

# What's $\alpha(s, \mathbf{x})$ ?

$$\alpha(s, \mathbf{x}) = \frac{\sqrt{\kappa}}{\sqrt{-\mathcal{V}}}$$

square  $\rightarrow$   $0 = \sqrt{\gamma} \left( \frac{\kappa}{\alpha^2} + \mathcal{V} \right)$

$$= \sqrt{\gamma} \left[ \frac{(\partial_s \phi)^2}{2\alpha^2} + \frac{G^{ijkl}}{8\kappa\alpha^2} (\partial_s h_{ij})(\partial_s h_{kl}) + \frac{h^{ij}}{2} (\partial_i \phi)(\partial_j \phi) + V(\phi) - \frac{{}^{(3)}\mathcal{R}}{2\kappa} \right]$$

identification of  $\alpha \rightarrow N$  and  $s \rightarrow x^0$   
lapse time coordinate

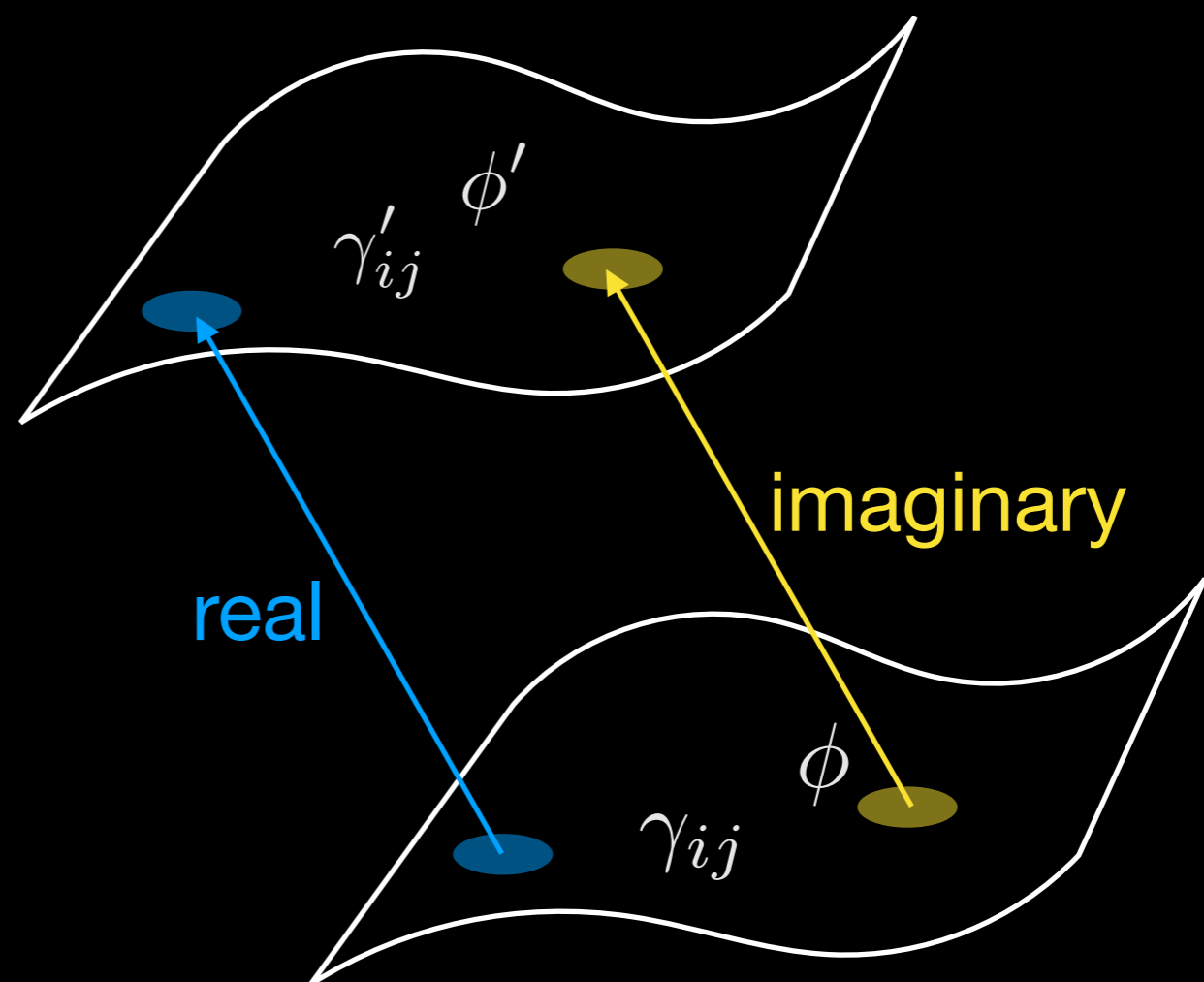
Hamiltonian constraint

# Does $\alpha(s, \mathbf{x})$ correspond to $N(s, \mathbf{x})$ ?

We assume

real/imaginary  $\alpha(s, \mathbf{x}) \longleftrightarrow$  Lorentzian/Euclidean evolution

$$\alpha(s, \mathbf{x})_{\text{local}} = \frac{\sqrt{\mathcal{K}}}{\sqrt{-\mathcal{V}}}$$



However, the meaning of

$|\alpha(s, \mathbf{x}) \Delta s| \sim$  length of 

is still obscure.

However, the meaning of

$$|\alpha(s, \mathbf{x}) \Delta s| \sim \text{length of } \begin{array}{l} \text{blue arrow} \\ \text{yellow arrow} \end{array}$$

is still obscure.

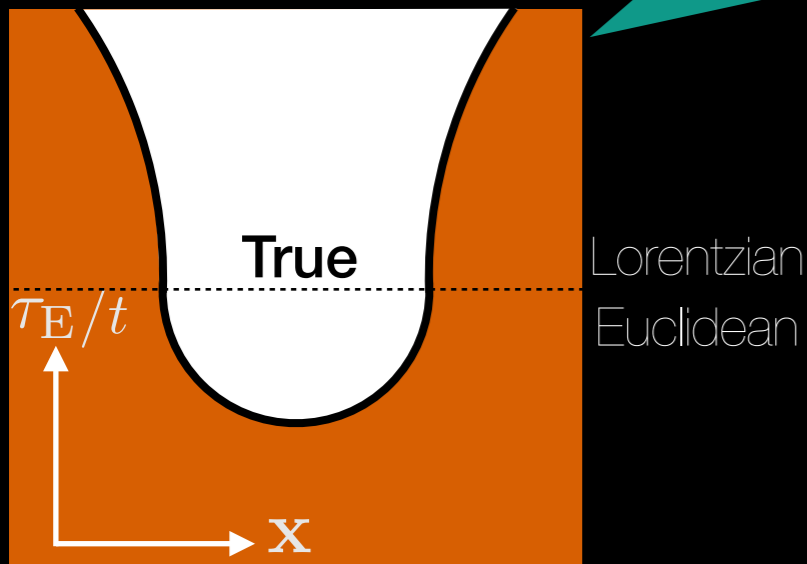
We cannot compute the “rate” of vacuum decay!!

$$\Gamma \sim A e^{-B}$$

exponential suppression is possible to predict!!

Is the nucleation of Coleman de Luccia bubble most probable?

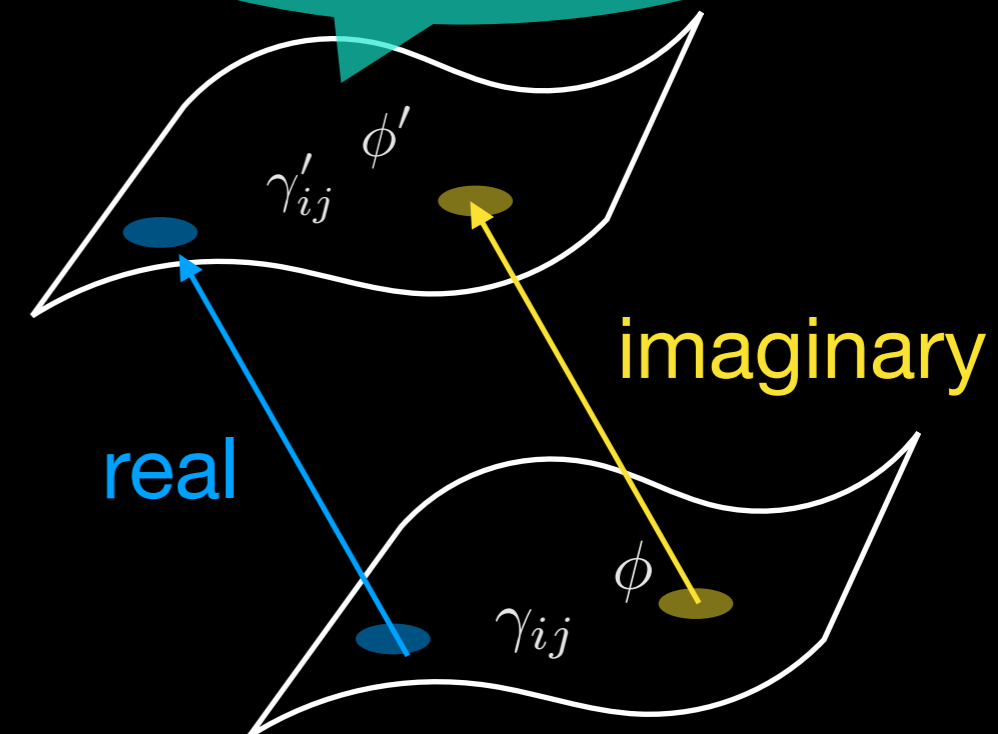
globally Euclidean during transition



partially Euclidean & partially Lorentzian during transition

real

imaginary

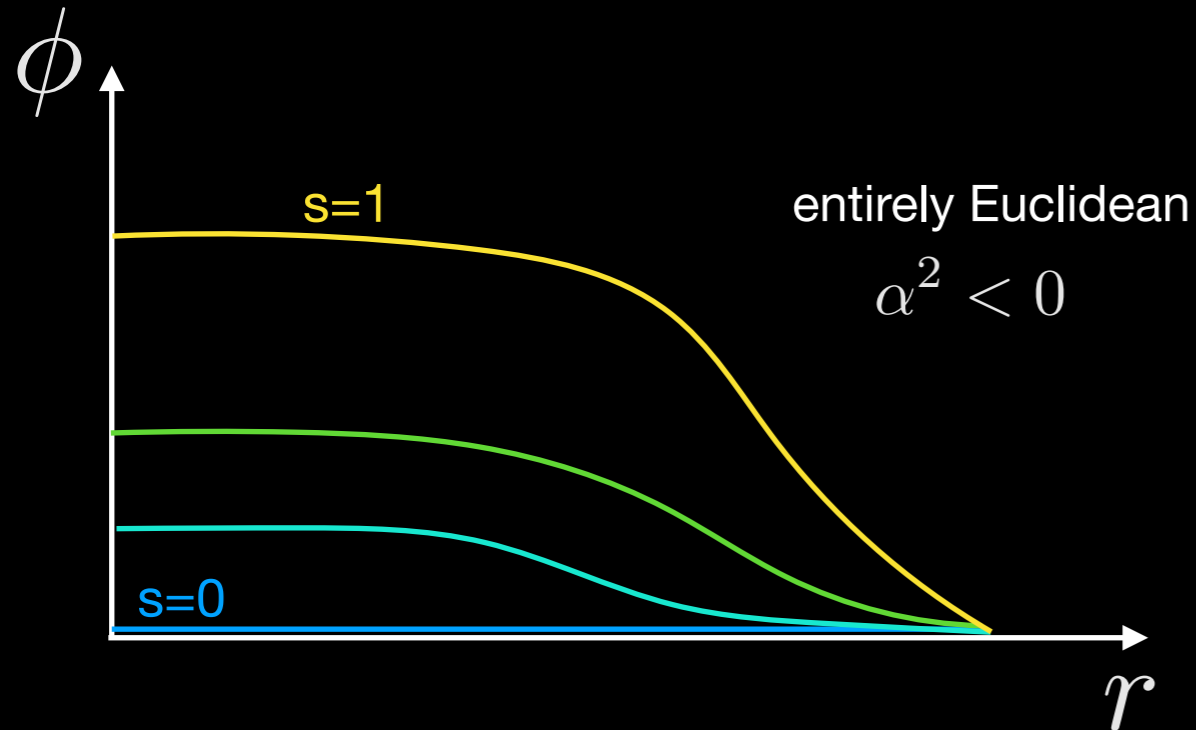


# Methodology

$$0 \leq s \leq 1$$

transition starts

transition completed



initial configuration set to CdL solution

$$\phi = \phi(s, r)$$

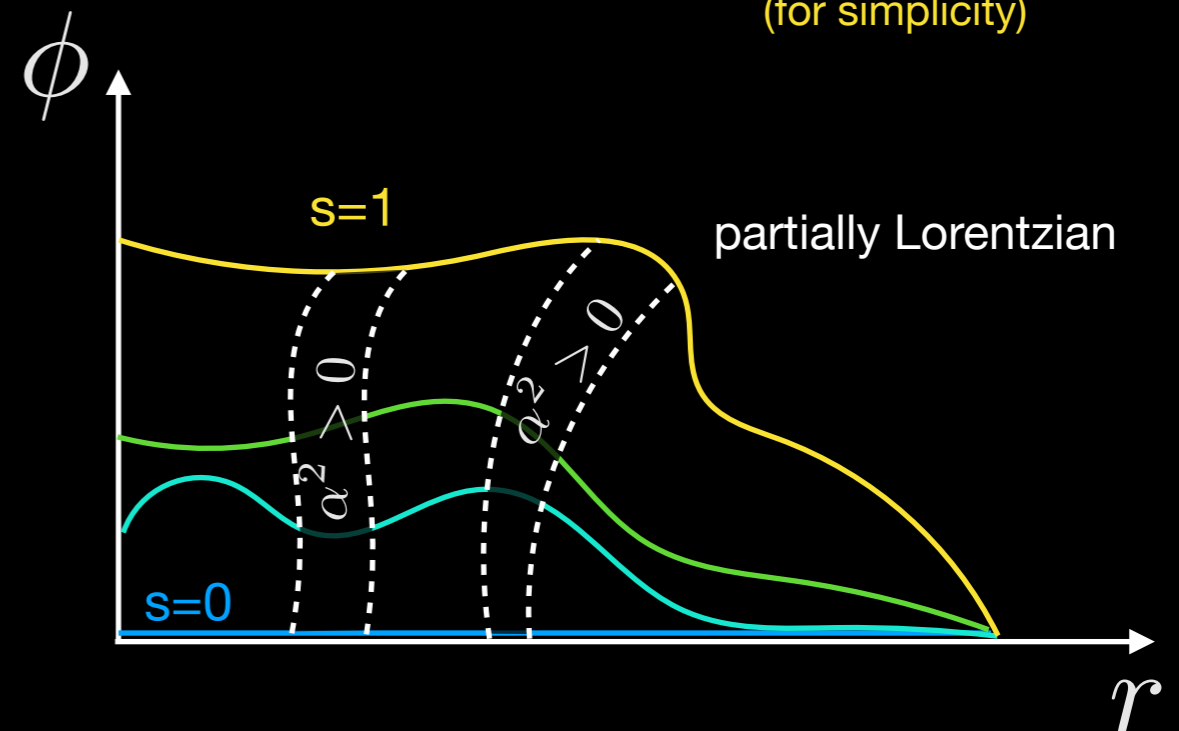
$$\gamma_{ij} dx^i dx^j = e^{\eta(s, r)} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\varphi^2)$$

$$V(\phi) = \frac{\phi^4}{4} - \frac{k+1}{3} \phi^3 + \frac{k}{2} \phi^2 + V_0$$

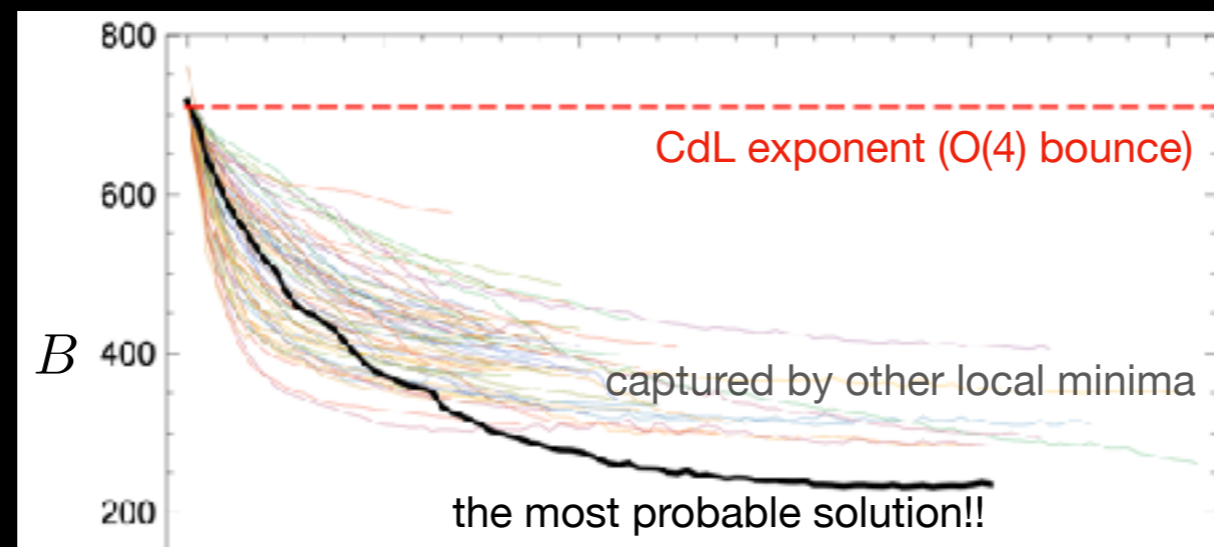
randomly perturb & optimize the config.  
to find a solution such that:

(Monte Carlo simulation)

- $\frac{\delta \Theta^{(0)}}{\delta \Phi^M} \simeq 0$   
(stationary point)
- $B < B_{\text{CDL}}$   $B \equiv \text{Im}(2\Theta^{(0)})$   
(more probable decay)
- symmetry is restricted to  $O(3)$   
(for simplicity)



# Result

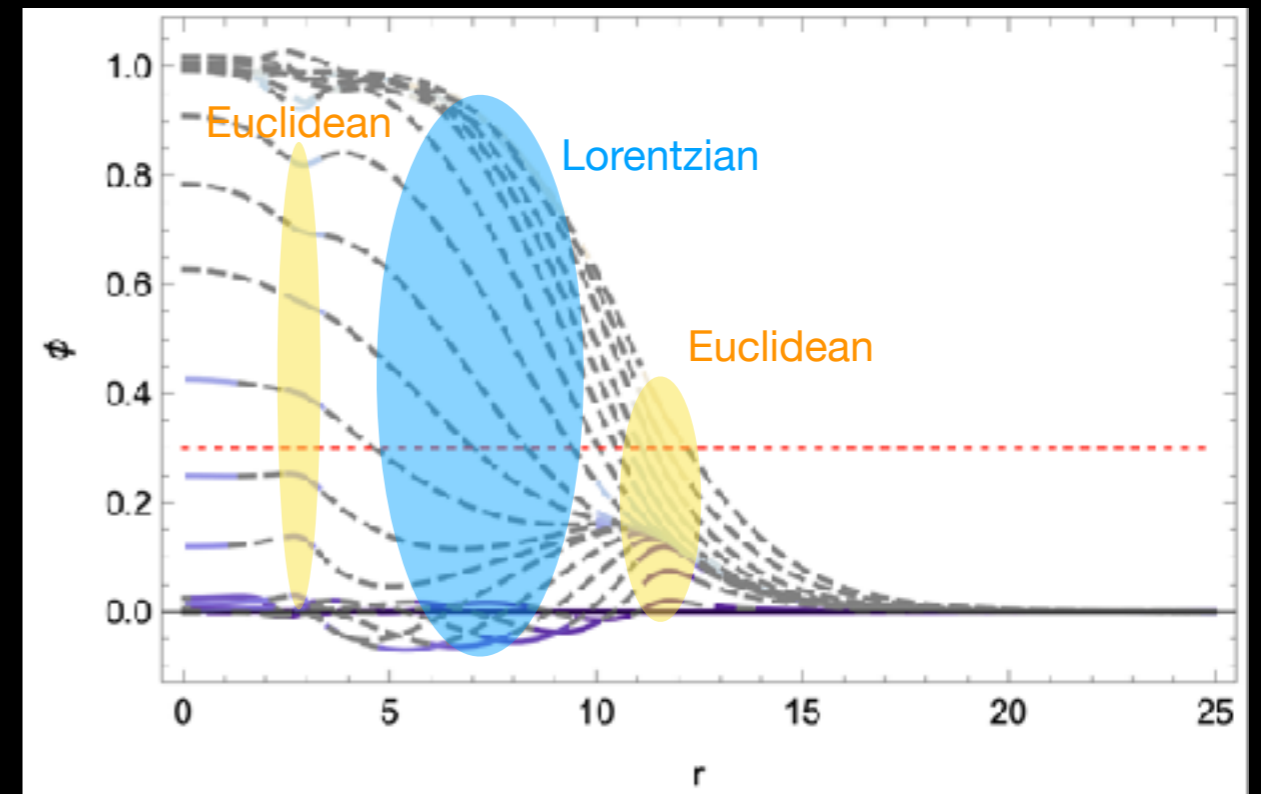
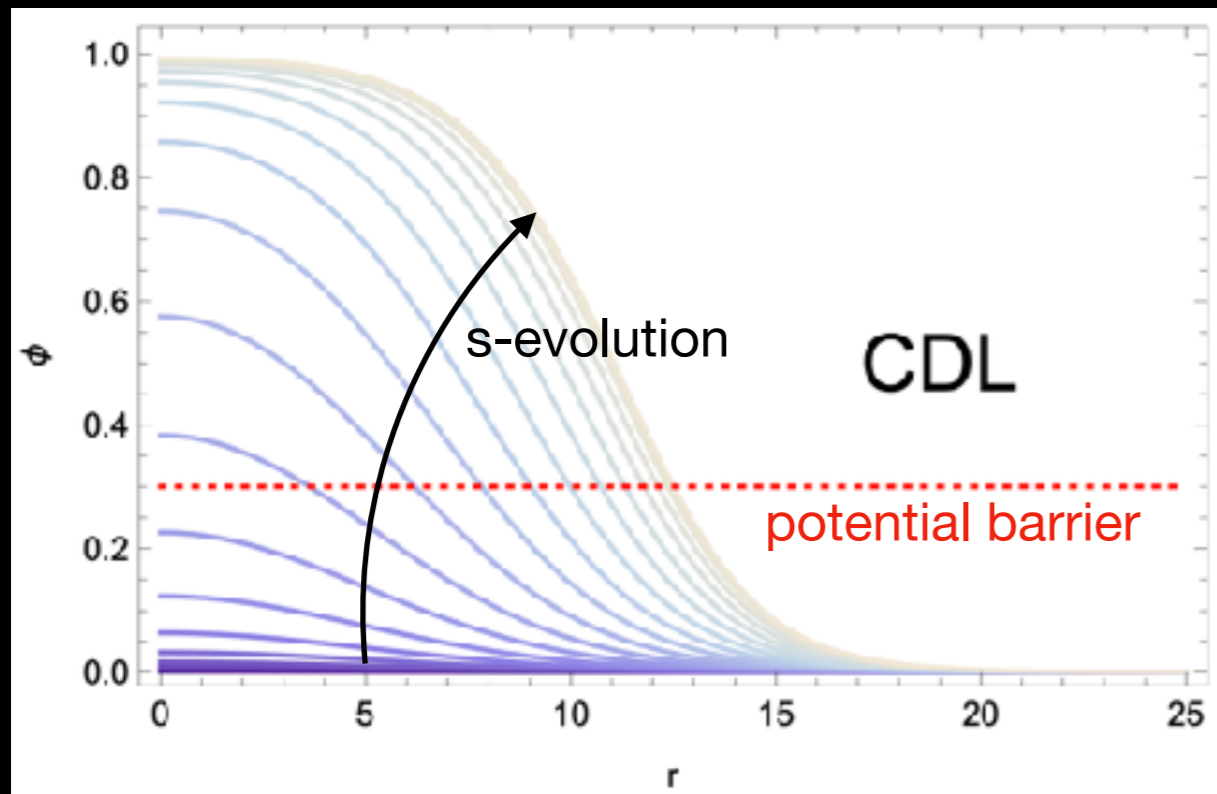


Lorentzian and Euclidean evolution appear simultaneously

→ Polychronic tunneling

seed configuration

new solution



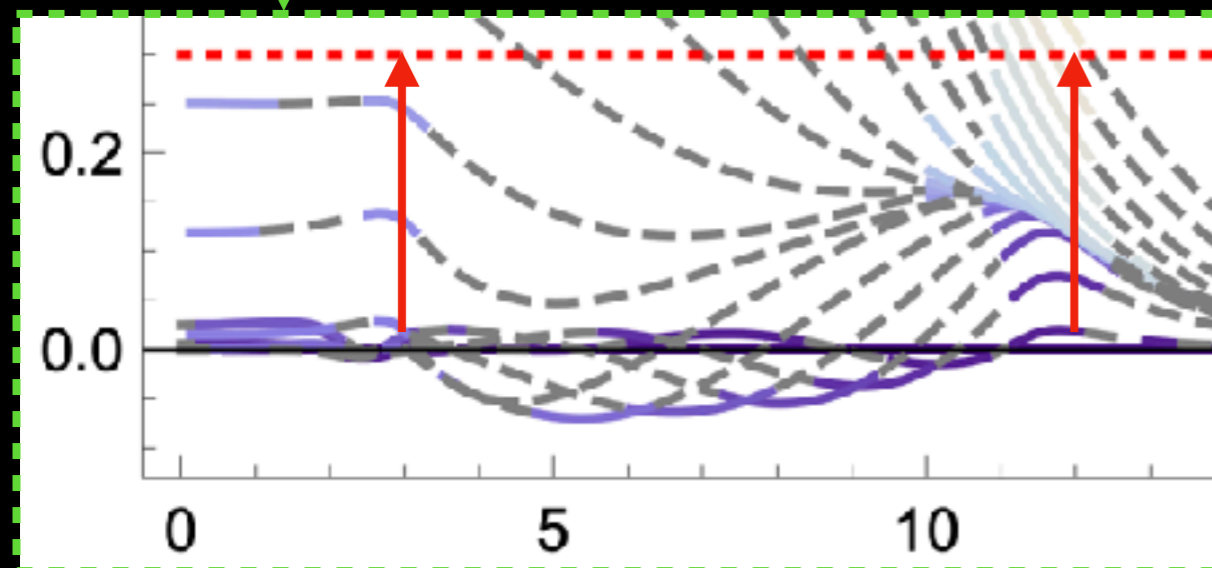
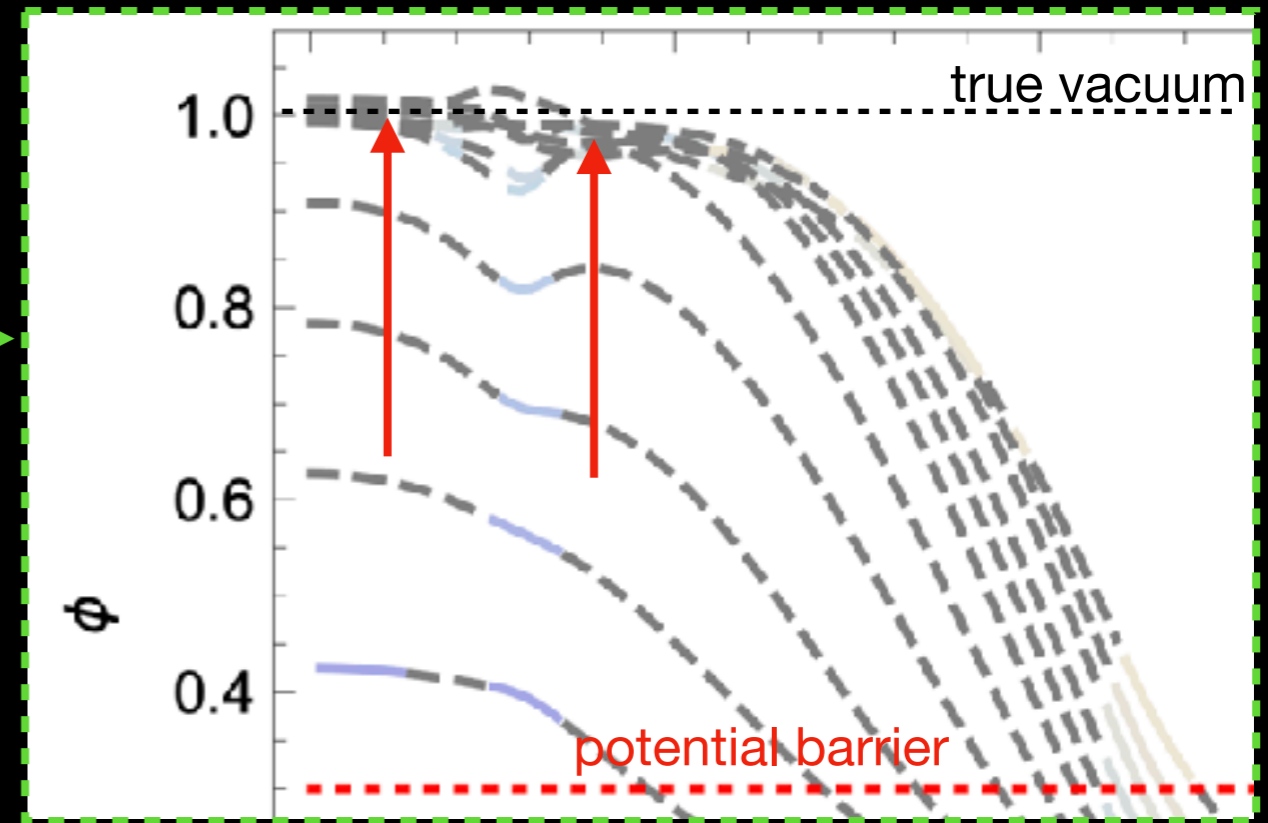
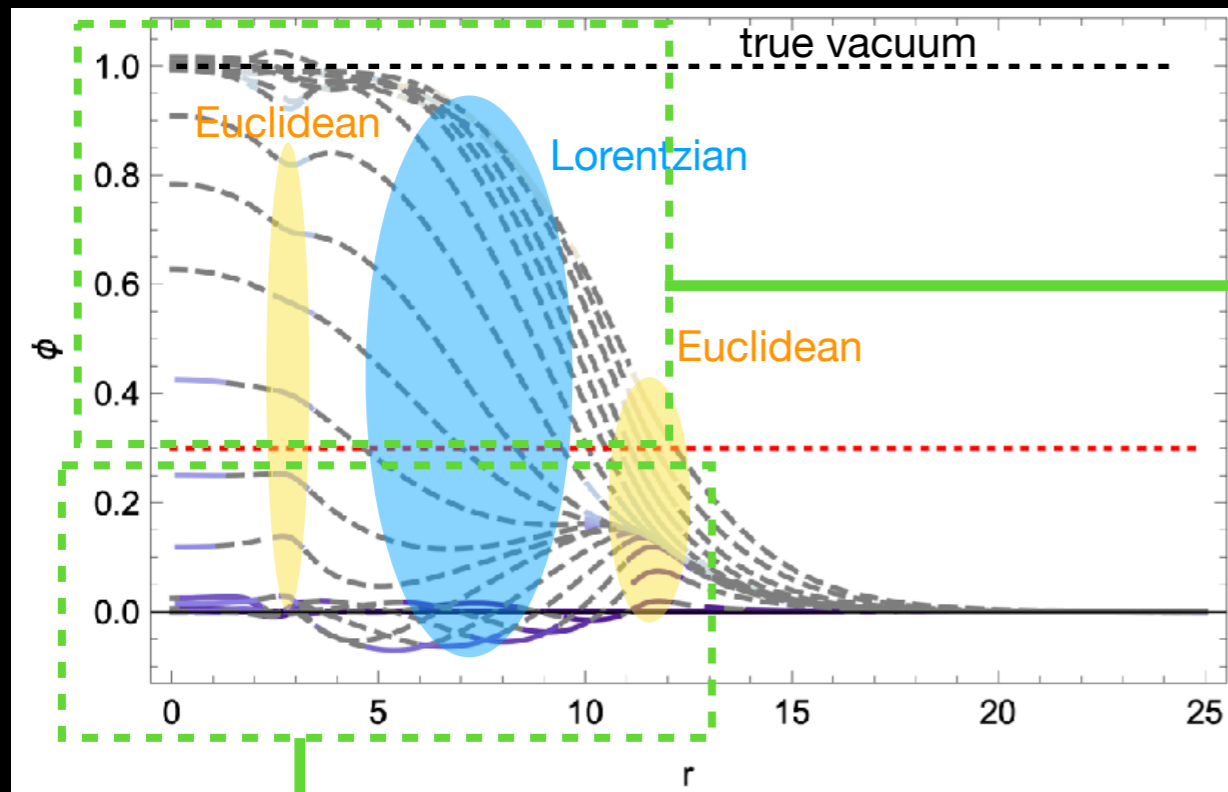
solid: Euclidean

dashed: Lorentzian

You may think the result is messy, but carefully looking at this...



# Carefully looking at this solution...

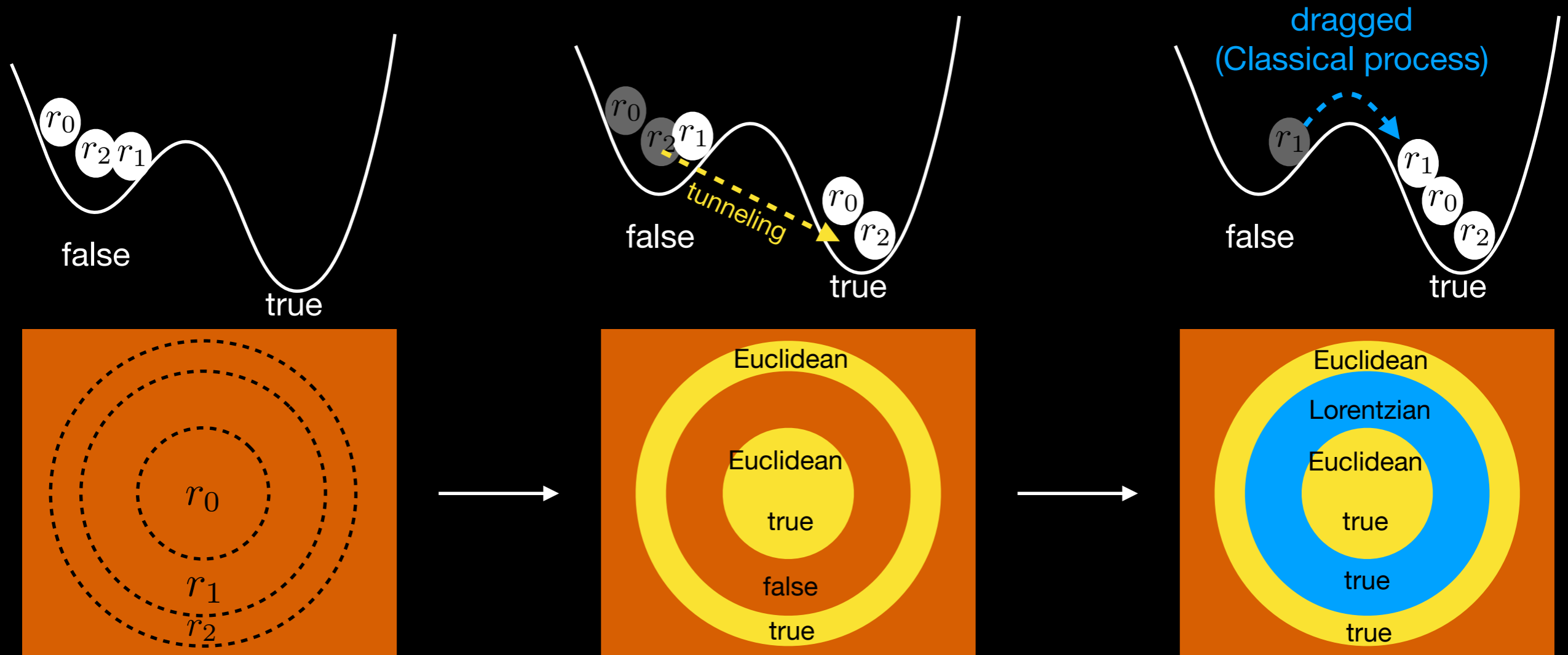


potential barrier

Lorentzian parts precede  
and Euclidean parts follow

Euclidean parts precede and Lorentzian parts are dragged

# How can we interpret the Polychronic tunneling?



**Why does this reduce the transition probability?**

**Smallness of the tunneling region  
→ Tunneling rate enhanced!!!**

# Open questions

- Does this happen even in the thin-wall regime?
- Does it expand after the nucleation?  
(nucleation size is close to that of the CdL bubble)
- Can we construct such a polychronic solution (i.e. Lorentzian + Euclidean processes) in an analytic way?
- What if gravity is turned off?
- Can we formulate thermal transition (i.e. Hawking-Moss) with the WdW formalism?

# Summary for PART II

- We formulate the vacuum decay process with the WdW equation while relaxing the  $O(4)$  symmetry.
- We performed numerical computation to search for a more probable vacuum decay process.
- We found a new type solution where the Euclidean and Lorentzian processes coexist (polychronic tunneling).
- Its decay probability is higher than the rate predicted by the Coleman-de Luccia solution.
- We may have to consider the Polychronic tunneling more carefully to achieve the most probable vacuum decay process.