

Heterotic Asymmetric Orbifold and E6 GUT Model

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based on [arXiv:1012.1690]

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Introduction

- String → Standard Model
 - String theory
 - A candidate which describe quantum gravity and unify four forces
 - Is it possible to realize phenomenological properties of Standard Model ?
 - Minimal Supersymmetric Standard Model (MSSM) -- A candidate BSM
 - Non-Abelian gauge symmetries ($SU(3) \times SU(2) \times U(1)$)
 - Three chiral generations (Quarks, Leptons)
 - Yukawa hierarchy
 - N=1 SUSY ...

- String GUT scenario

String → GUT → MSSM



4D SUSY-GUT with adjoint representation Higgs



Its VEV breaks GUT symmetry spontaneously

Introduction

- $E_6 \times U(1)_A (\times SU(2)_H)$ GUT models

Maekawa, Yamashita ('01-'04)

- Unify all SM particles into GUT matter multiplets (E_6)
- Realistic Yukawa hierarchies (E_6 , $U(1)_A$)
- Realize doublet-triplet splitting ($U(1)_A$)
- Solve SUSY flavor/CP problem ($SU(2)_H$)

Generic interaction : Include all terms allowed by symmetry

→ Matter contents determine the models

Anomalous $U(1)$ symmetry : Often appear in low energy effective theory of string theory.

→ Can we realize these GUT models in string theory ?

Introduction

- Orbifold compactification of heterotic string theory

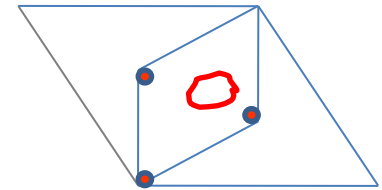
- (Symmetric) orbifold compactification Dixon, Harvey, Vafa, Witten '85,'86

Advantage

- E6 GUT gauge symmetry
- N=1 supersymmetry

Disadvantage

- No adjoint representation Higgs



Narain, Sarmadi, Vafa '87

- Asymmetric orbifold compactification, Diagonal embedding method

Advantage

- modding out the permutation symmetry of the models

$$\text{Ex) } G_1 \times G_1 \times G_1 \rightarrow G_3$$

- Realize adjoint representation Higgs(es) !

Goal : Asymmetric orbifold \rightarrow 4D E6 SUSYGUT with adjoint representation Higgs

E6 Unification

● E6 Unification

Bando, Kugo (1999)

- All quarks and leptons are unified into $\Psi_i(\mathbf{27})$ ($i = 1, 2, 3$)

$$\Psi_i(\mathbf{27}) = \mathbf{16}_i[\mathbf{10}_i + \bar{\mathbf{5}}_i + \mathbf{1}_i] + \mathbf{10}_i[\mathbf{5}_i + \bar{\mathbf{5}}'_i] + \mathbf{1}_i[\mathbf{1}_i]$$

$$\mathbf{10}(Q, U_R^c, E_R^c) \quad \bar{\mathbf{5}}(L, D_R^c)$$

$$\left(\begin{array}{l} \bar{\mathbf{5}}_i : 3 \\ \bar{\mathbf{5}}'_i : 3 \\ \mathbf{5}_i : 3 \end{array} \right)$$

- Yukawa structure

-- Low energy $\bar{\mathbf{5}}$ are from 1, 2 generations $\Psi_1(\mathbf{27}), \Psi_2(\mathbf{27})$

$$\begin{array}{l} \bar{\mathbf{5}}_1^{\text{low}} \sim \bar{\mathbf{5}}_1 \longleftarrow \Psi_1(\mathbf{27}) \longrightarrow \mathbf{10}_1 \\ \bar{\mathbf{5}}_2^{\text{low}} \sim \bar{\mathbf{5}}'_1 \longleftarrow \Psi_2(\mathbf{27}) \longrightarrow \mathbf{10}_2 \\ \bar{\mathbf{5}}_3^{\text{low}} \sim \bar{\mathbf{5}}_2 \longleftarrow \Psi_3(\mathbf{27}) \longrightarrow \mathbf{10}_3 \end{array}$$

-- Mixing of $\bar{\mathbf{5}}$ realize hierarchies

$$\lambda = 0.22$$

Up-type Yukawa

$$(Y_u) \sim \begin{array}{c} \mathbf{10}_1 \\ \mathbf{10}_2 \\ \mathbf{10}_3 \end{array} \begin{pmatrix} \mathbf{10}_1 & \mathbf{10}_2 & \mathbf{10}_3 \\ \lambda^6 & \lambda^5 & \lambda^3 \\ \lambda^5 & \lambda^4 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{pmatrix}$$

Down-type, Charged lepton Yukawa (Mild hierarchy)

$$(Y_d) = (Y_e)^T \sim \begin{array}{c} \mathbf{10}_1 \\ \mathbf{10}_2 \\ \mathbf{10}_3 \end{array} \begin{pmatrix} \bar{\mathbf{5}}_1^{\text{low}} & \bar{\mathbf{5}}_2^{\text{low}} & \bar{\mathbf{5}}_3^{\text{low}} \\ \lambda^6 & \lambda^{5.5} & \lambda^5 \\ \lambda^5 & \lambda^{4.5} & \lambda^4 \\ \lambda^3 & \lambda^{2.5} & \lambda^2 \end{pmatrix}$$

Requirements for String Model Building

Typical $E_6 \times U(1)_A$ ($\times SU(2)_H$) GUT models contain :

- 4D $\mathcal{N} = 1$ SUSY,
- E_6 unification group,
- Net 3 chiral generations ($27, \overline{27}$),
- Adjoint Higgs fields (78),
- $SU(2)_H$ or $SU(3)_H$ family symmetry,
- Anomalous $U(1)_A$ gauge symmetry,
- Adjoint Higgs fields charged under the anomalous $U(1)_A$ gauge symmetry.

Minimum requirements
for 4D-SUSYGUT models

 String

Heterotic String Theory

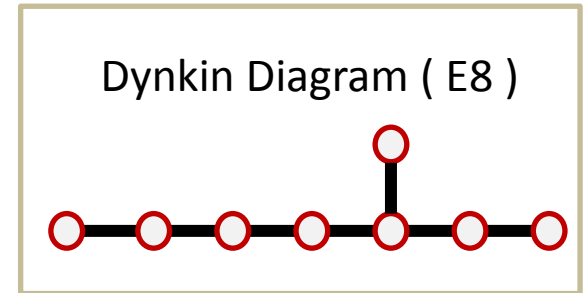
- Heterotic string theory

- Heterotic string for our starting point
- Degrees of freedom
 - Left mover 26 dim. Bosons X_L
 - Right mover 10 dim. Bosons and fermions $X_R \Psi_R$
- Extra 16 dim. have to be compactified
- Consistency \rightarrow If 10D N=1, $E_8 \times E_8$ or $SO(32)$

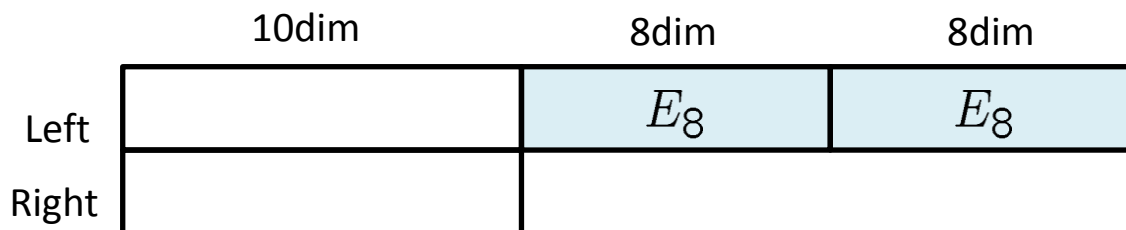
Ex.) E_8 Root Lattice $\mathbf{1E8}$

$$\mathbf{1E8} \equiv \sum_{i=1}^8 n_i \alpha_i \quad (n_i \in \mathbf{Z}^8)$$

Left-moving momentum $p_L \in \mathbf{1E8}$

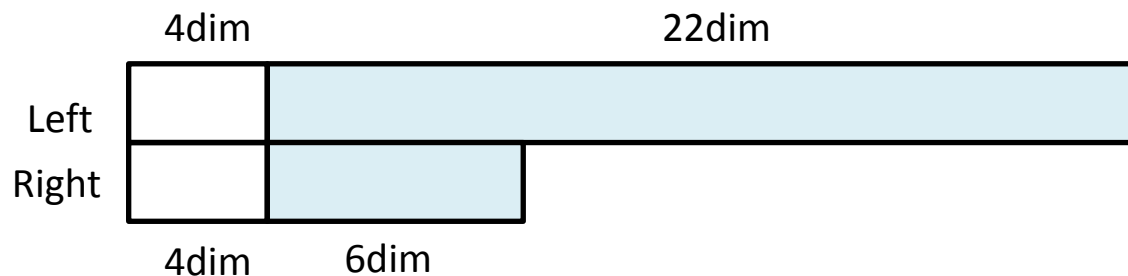


α_i ($i = 1 \sim 8$): Simple roots of E_8



Even Self-Dual Lattice

- Even self-dual lattice (Narain lattice) $\Gamma_{22,6}$
 - General flat compactification of heterotic string
 - Left : 22 dim
 - Right : 6 dim
 - Left-right combined momentum $(p_L || p_R)$ are quantized, and compose a lattice
 - Many possible even self-dual lattices

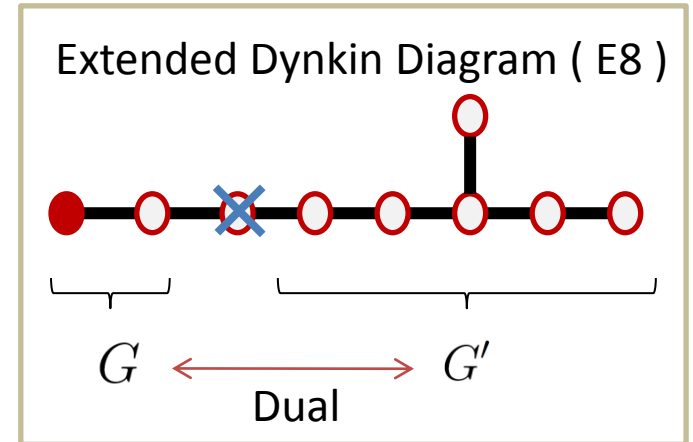


Lattice Engineering Technique

- Lattice engineering technique

Lerche, Schellekens, Warner '88

- We can construct new even self-dual lattice from known one.



Left

E_8

 $p_L \in E_8$ root lat ($\mathbf{1}_{E_8}$) (spanned by simple roots of E8)



(Decomposition $E_8 \rightarrow G \times G'$)

Left

G	G'
-----	------



(Replace left $G' \rightarrow$ Right $\bar{G}(= G'_{\text{dual}})$)

Left

G
\bar{G}

Right

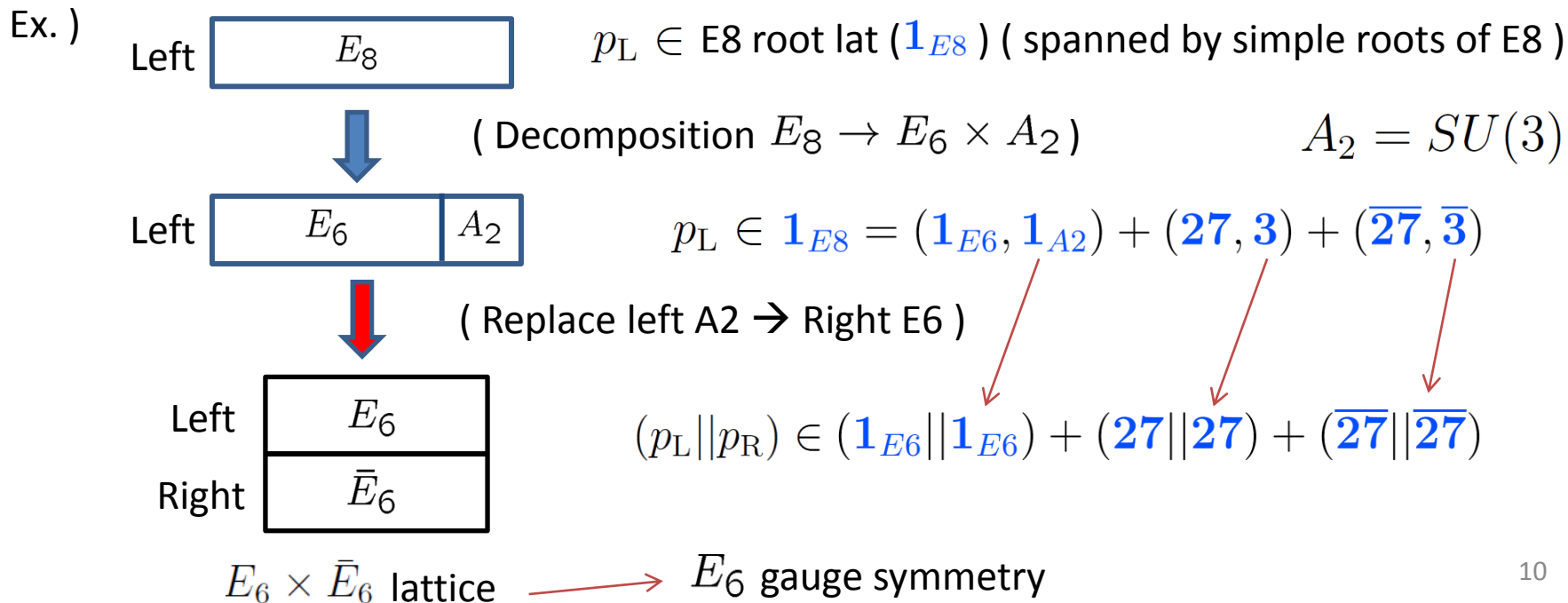
Lattice Engineering Technique

- Lattice engineering technique

Lerche, Schellekens, Warner '88

- We can construct new even self-dual lattice from known one.

$\left[\begin{array}{c} \text{Left} \\ \text{Right} \end{array} \right] E_6$	\longleftrightarrow	$\left[\begin{array}{c} \text{Right} \\ \text{Left} \end{array} \right] A_2$
E6 root lat ($\mathbf{1}_{E_6}$)	\longleftrightarrow	A2 root lat ($\mathbf{1}_{A_2}$)
E6 fund weight lat ($\mathbf{27}$)	\longleftrightarrow	A2 fund weight lat ($\mathbf{3}$)
E6 anti-fund weight lat ($\overline{\mathbf{27}}$)	\longleftrightarrow	A2 anti-fund weight lat ($\overline{\mathbf{3}}$)



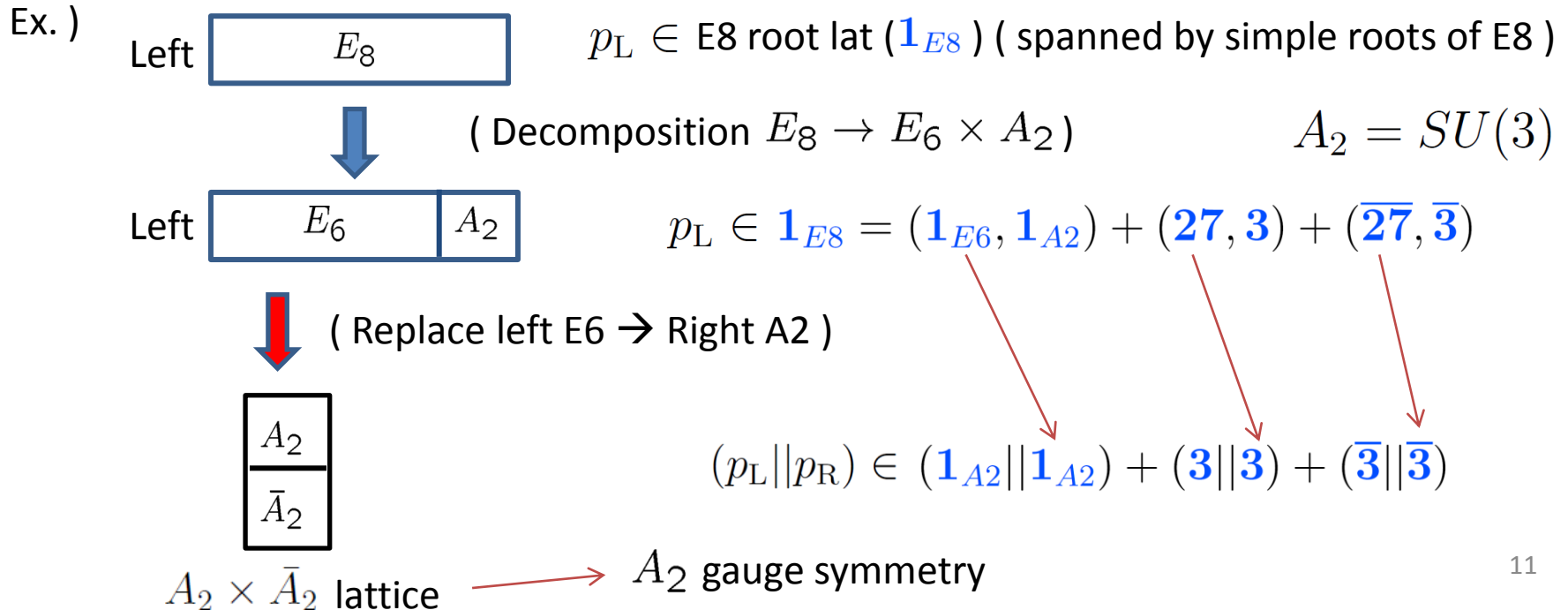
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Lattice Engineering Technique

- Lattice engineering technique

Lerche, Schellekens, Warner '88

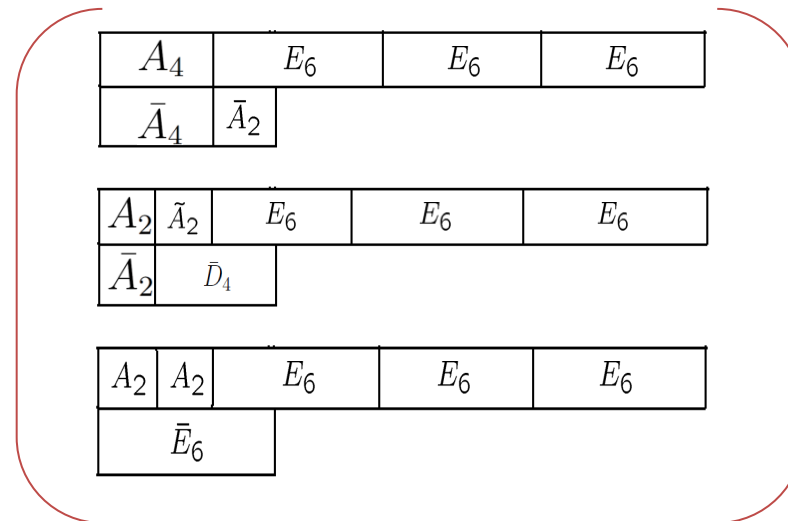
- We can construct new even self-dual lattice from known one.
- In repeating fashion, we can construct various even self-dual lattices $\Gamma_{22,6}$

In general

$G_L \times \bar{G}_R$ lattice



G_L gauge symmetry



- Advantage : Various gauge symmetries.
Easy to find out discrete symmetries of the lattices. → Orbifold

Asymmetric Orbifold Compactification

- Asymmetric orbifold compactification

- Orbifold action $\theta = (\theta_L, \theta_R)$ (Twist, Shift, Permutation)

Left mover : $X_L \rightarrow \theta_L X_L$

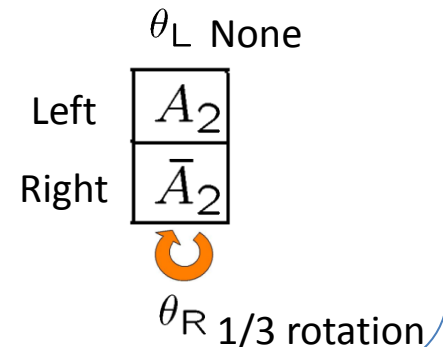
Right mover : $X_R \rightarrow \theta_R X_R$
 $\Psi_R \rightarrow \theta_R \Psi_R$

Modding out the lattice in left-right independent way

$$\theta = (\theta_L, \theta_R) \quad \theta_L \neq \theta_R$$

- No geometric picture

Ex.) Z_3 action



Diagonal Embedding Method

- Diagonal embedding method

Modding out the permutation symmetry of the lattice

$$\text{Ex.) } (G)_1 \times (G)_1 \times (G)_1 \rightarrow (G)_3$$

Combining with phaseless right-moving states

$$|\mathbf{Adj.}\rangle_1 \otimes |\text{Right}\rangle_1 \} \text{ G gauge fields}$$

Combining with right-moving states with opposite phases

$$\begin{aligned} &|\mathbf{Adj.}\rangle_{\omega^*} \otimes |\text{Right}\rangle_{\omega} \\ &|\mathbf{Adj.}\rangle_{\omega} \otimes |\text{Right}\rangle_{\omega^*} \end{aligned} \} \text{ An adjoint representation Higgs}$$

$$\begin{aligned} \theta &: \text{permutation} \\ (\omega &= e^{2\pi i/3}) \end{aligned}$$

Eigen states of θ :

$$\begin{aligned} |\mathbf{Adj.}\rangle_1 &\equiv |\mathbf{Adj., 1, 1}\rangle + |1, \mathbf{Adj., 1}\rangle + |1, 1, \mathbf{Adj.}\rangle \\ |\mathbf{Adj.}\rangle_{\omega} &\equiv |\mathbf{Adj., 1, 1}\rangle + \omega^* |1, \mathbf{Adj., 1}\rangle + \omega |1, 1, \mathbf{Adj.}\rangle \\ |\mathbf{Adj.}\rangle_{\omega^*} &\equiv |\mathbf{Adj., 1, 1}\rangle + \omega |1, \mathbf{Adj., 1}\rangle + \omega^* |1, 1, \mathbf{Adj.}\rangle \end{aligned}$$



$$\begin{aligned} \theta |\mathbf{Adj.}\rangle_1 &= |\mathbf{Adj.}\rangle_1 \\ \theta |\mathbf{Adj.}\rangle_{\omega} &= \omega |\mathbf{Adj.}\rangle_{\omega} \\ \theta |\mathbf{Adj.}\rangle_{\omega^*} &= \omega^* |\mathbf{Adj.}\rangle_{\omega^*} \end{aligned}$$

Summary of Our Method

- Summary of our method

1. $(22, 6)$ – dimensional even self-dual lattice with $(E_6)^K$  4 D

2. Orbifold identification by

(a). Permutation among the E6 factors  Adjoint Higgs

(b). Rotations of right-moving six dimensions  N = 1 SUSY

3. Number of generations  3 generations ?

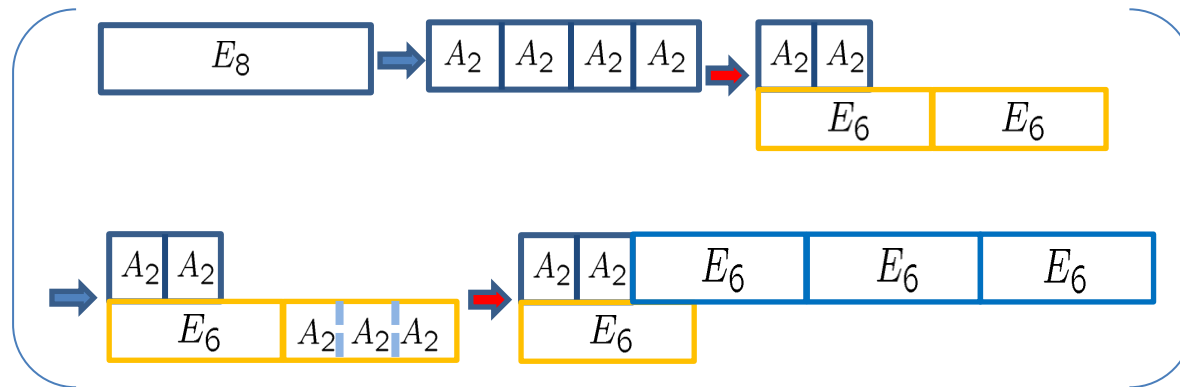
Empirically, $\#$ of generations $\propto K$

 So we consider the case of $K = 3$

E_6^3 Lattice

- E_6^3 models (starting point)

- Our starting point: $E_6^3 \times A_2^2 \times \bar{E}_6$ even self-dual lattice



$$A_2 = SU(3)$$

--- 4D N=4 $E_6^3 \times SU(3)^2$ model

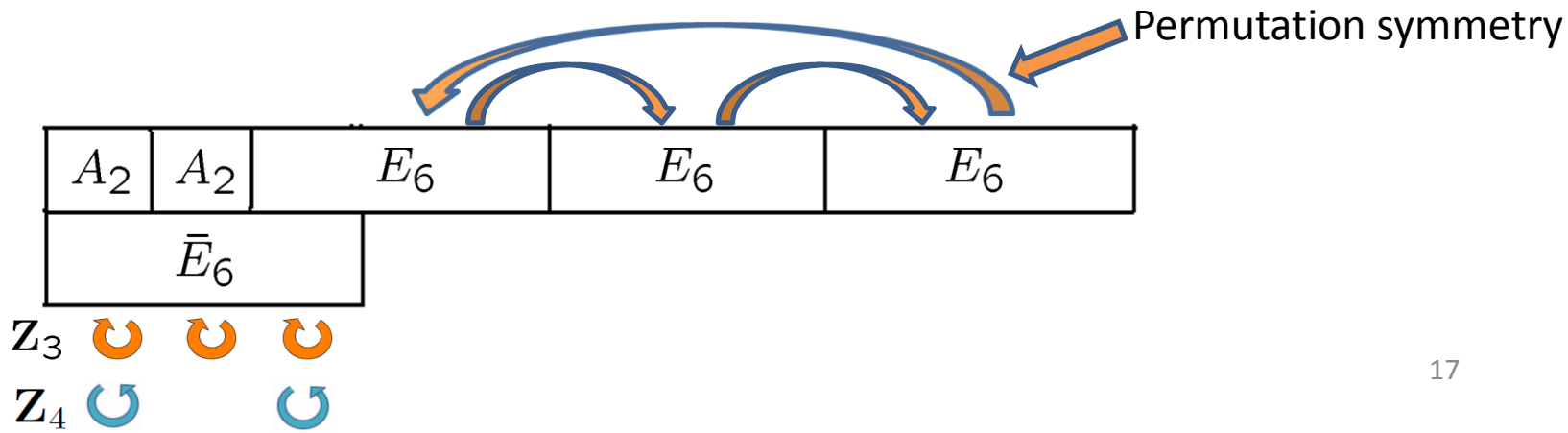
Z_{12} Asymmetric Orbifold Model

- $Z_{12} = Z_3 \times Z_4$ asymmetric orbifold construction

For E_6^3 part and right mover:

- Z_3 action : Permutation for the three E_6 factors.
Rotation for right mover.
- Z_4 action : Rotation for right mover. (Twist vector $t_R = (1, 4, -5)/12$)

Previous study claimed : Only $Z_3 \times Z_2$ is possible Kakushadze, Tye '97
 (Simple compactification + A fields + B fields)
 However, we find no reasons to exclude Z_{12} orbifold.



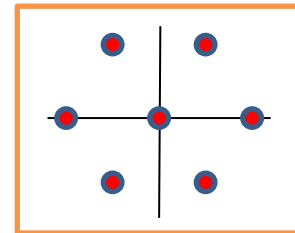
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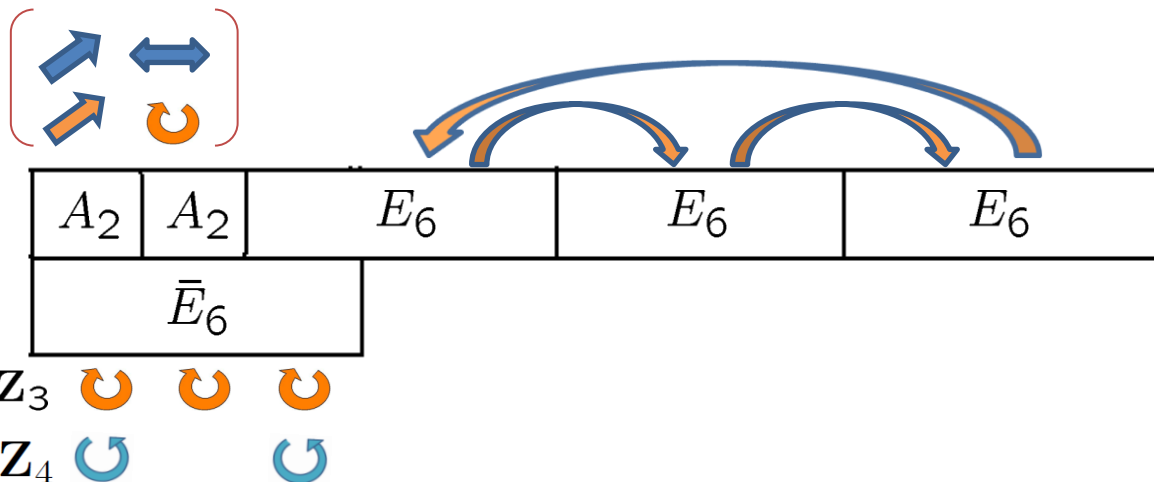
For two A_2 parts ($i = 1, 2$) :

Possible orbifold actions are

1. Shift
2. $1/3$ (or $2/3$) rotation
3. Weyl reflection



We consider all possible Z_{12} orbifold actions for the two A_2 parts.



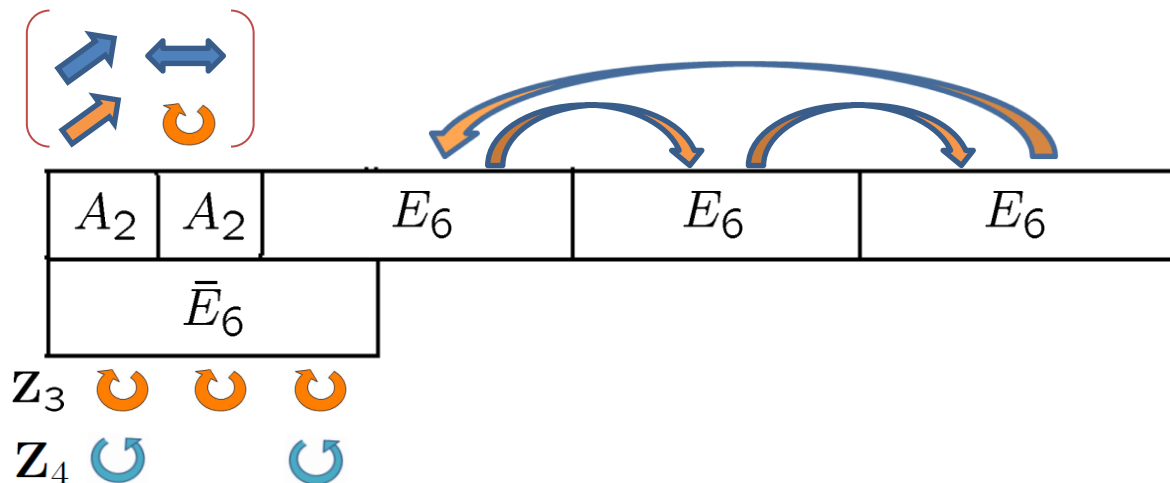
Possible Models

We find 8 possible choices which satisfy the consistency.

3 - generation models X 3

9 - generation models X 2

0 - generation models X 3



Three Generation Models

- Result : 3-generation E_6 models

Ito et.al. (2010)

	Model 1	Model 2	Model 3
	$E_6 \times SU(2) \times U(1)^3$	$E_6 \times SU(2) \times U(1)^3$	$E_6 \times U(1)^4$
U	$(1, 1, +6, 0, 0)_L$ $(78, 1, 0, 0, 0)_L$	$(1, 1, +6, \pm 3, 0)_L$ $(78, 1, 0, 0, 0)_L$	$(1, -6, 0, 0, 0)_L$ $(1, +3, \pm 6, 0, 0)_L$ $(78, 0, 0, 0, 0)_L$
T_1	$(27, 1, +1, 0, \pm 1)_L$	—	$(27, -1, -1, +1, 0)_L$
T_2	$(27, 1, -1, \pm 1, 0)_L$	$(27, 1, +2, 0, -2)_L$	$(27, +1, 0, 0, \pm 1)_L$
T_3	$2(1, 1, -3, 0, \pm 3)_L$	$(1, 1, -3, \pm 3, -3)_L$	$(1, +3, -3, +3, 0)_L$ $(1, +3, +3, -3, 0)_L$
T_4	$(27, 1, -2, 0, 0)_L$	$(27, 1, -2, \pm 1, 0)_L$	$(27, +2, 0, 0, 0)_L$ $(27, -1, \pm 2, 0, 0)_L$
T_5	$(27, 1, +1, 0, \pm 1)_L$	$(27, 1, +1, \pm 1, +1)_L$	$(27, -1, +1, -1, 0)_L$
T_6	$(1, 2, 0, 0, \pm 3)_L$ $(1, 1, +3, \pm 3, 0)_L$	$(1, 2, 0, \pm 3, 0)_L$ $(1, 1, -6, 0, +6)_L$	$(1, -3, 0, 0, \pm 3)_L$ $(1, 0, +6, -2, 0)_L$ $(1, 0, -6, +2, 0)_L$

- Gauge symmetry : $E_6 \times SU(2) \times U(1)^3$ or $E_6 \times U(1)^4$
- Net 3 chiral generations ($5 - 2 = 3$ or $4 - 1 = 3$)
- 1 adjoint representation Higgs

Three Generation Models

- Result : 3-generation E_6 models

Ito et.al. (2010)

	Model 1
	$E_6 \times SU(2) \times U(1)^3$
U	$(1, 1, +6, 0, 0)_L$ $(78, 1, 0, 0, 0)_L$
T_1	$(27, 1, +1, 0, \pm 1)_L$
T_2	$(\overline{27}, 1, -1, \pm 1, 0)_L$
T_3	$2(1, 1, -3, 0, \pm 3)_L$
T_4	$(27, 1, -2, 0, 0)_L$
T_5	$(27, 1, +1, 0, \pm 1)_L$
T_6	$(1, 2, 0, 0, \pm 3)_L$ $(1, 1, +3, \pm 3, 0)_L$

- Model 1 : Same mass spectrum with Z_6 asymmetric orbifold model although orbifold action is different.

Kakushadze and Tye, 1997

Three Generation Models

- Result : 3-generation E_6 models

Ito et.al. (2010)

• Model 2, 3 : New models !

Model 2	Model 3
$E_6 \times SU(2) \times U(1)^3$	$E_6 \times U(1)^4$
$(1, 1, +6, \pm 3, 0)_L$ $(78, 1, 0, 0, 0)_L$	$(1, -6, 0, 0, 0)_L$ $(1, +3, \pm 6, 0, 0)_L$ $(78, 0, 0, 0, 0)_L$
—	$(27, -1, -1, +1, 0)_L$
$(\overline{27}, 1, +2, 0, -2)_L$	$(\overline{27}, +1, 0, 0, \pm 1)_L$
$(1, 1, -3, \pm 3, -3)_L$	$(1, +3, -3, +3, 0)_L$ $(1, +3, +3, -3, 0)_L$
$(\underline{27}, 1, -2, \pm 1, 0)_L$	$(\underline{27}, +2, 0, 0, 0)_L$ $(\underline{27}, -1, \pm 2, 0, 0)_L$
$(\underline{27}, 1, +1, \pm 1, +1)_L$	$(\underline{27}, -1, +1, -1, 0)_L$
$(1, 2, 0, \pm 3, 0)_L$ $(1, 1, -6, 0, +6)_L$	$(1, -3, 0, 0, \pm 3)_L$ $(1, 0, +6, -2, 0)_L$ $(1, 0, -6, +2, 0)_L$

Three Generation Models

- Result : 3-generation E_6 models

Ito et.al. (2010)

	Model 1	Model 2	Model 3
	$E_6 \times SU(2) \times U(1)^3$	$E_6 \times SU(2) \times U(1)^3$	$E_6 \times U(1)^4$
U	$(1, 1, +6, 0, 0)_L$ $(78, 1, 0, 0, 0)_L$	$(1, 1, +6, \pm 3, 0)_L$ $(78, 1, 0, 0, 0)_L$	$(1, -6, 0, 0, 0)_L$ $(1, +3, \pm 6, 0, 0)_L$ $(78, 0, 0, 0, 0)_L$
T_1	$(27, 1, +1, 0, \pm 1)_L$	—	$(27, -1, -1, +1, 0)_L$
T_2	$(27, 1, -1, \pm 1, 0)_L$	$(27, 1, +2, 0, -2)_L$	$(27, +1, 0, 0, \pm 1)_L$
T_3	$2(1, 1, -3, 0, \pm 3)_L$	$(1, 1, -3, \pm 3, -3)_L$	$(1, +3, -3, +3, 0)_L$ $(1, +3, +3, -3, 0)_L$
T_4	$(27, 1, -2, 0, 0)_L$	$(27, 1, -2, \pm 1, 0)_L$	$(27, +2, 0, 0, 0)_L$ $(27, -1, \pm 2, 0, 0)_L$
T_5	$(27, 1, +1, 0, \pm 1)_L$	$(27, 1, +1, \pm 1, +1)_L$	$(27, -1, +1, -1, 0)_L$
T_6	$(1, 2, 0, 0, \pm 3)_L$ $(1, 1, +3, \pm 3, 0)_L$	$(1, 2, 0, \pm 3, 0)_L$ $(1, 1, -6, 0, +6)_L$	$(1, -3, 0, 0, \pm 3)_L$ $(1, 0, +6, -2, 0)_L$ $(1, 0, -6, +2, 0)_L$

- These models satisfy the minimum requirements for 4D E_6 GUT models.
- No non-Abelian family symmetry
- No anomalous $U(1)$ symmetry

Summary

- Summary

- We construct 3-generation E6 models with an adjoint representation Higgs in the framework of Z_{12} asymmetric orbifold construction.
- Systematical search by utilizing lattice engineering technique for asymmetric orbifold.
- We obtain 2 more three-generation E6 models which satisfy the minimum requirements, although we do not obtain non-Abelian family symmetry nor anomalous U(1) symmetry.

- Outlook

- We have to search another possible even self-dual lattice and orbifolds.
- Possibility of the charged adjoint Higgs ? $(E_6)_1 \times (E_6)_1 \rightarrow (E_6)_2$
- Phenomenology.
- Another gauge symmetries (SM, MSSM, SU(5), SO(10), ...).

Kac–Moody Algebra and Diagonal Embedding

- Kac-Moody algebra

$$[J_m^a, J_n^b] = \underline{k} \delta^{ab} m \delta_{m+n,0} + i f^{abc} J_{m+n}^c$$

$$J^a = \sum_{n \in \mathbf{Z}} J_n^a z^{-n-1}$$

Diagonal
Embedding



k : Kac–Moody level
(Ordinary string : Level $k=1$)

$$[J_{\text{diag}m}^a, J_{\text{diag}n}^b] = \underline{N} \delta^{ab} m \delta_{m+n,0} + i f^{abc} J_{\text{diag}m+n}^c$$

Diagonal combination

$$J_{\text{diag}}(z) = J_G(z) + J_G(z) + \cdots + J_G(z)$$