

Neutrino masses and Baryon asymmetry  
in  
SUSY DFSZ axion model without R-parity

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# Introduction

The Standard Model is successful but still includes many problems.

We focus on

- Naturalness of Electroweak scale
- Strong CP problem
- Dark matter
- Tiny neutrino masses
- Baryon asymmetry

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μ-problem, additional symmetry(R-parity)
- Strong CP problem → Peccei-Quinn mechanism and Axion
- Dark matter → SUSY DFSZ axion model without R-parity!
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  - Baryon asymmetry → Affleck-Dine mechanism in SUSY
- Can SUSY DFSZ axion model without R-parity solve neutrino masses and baryon asymmetry economically?
-

# Talk Plan

- Our model
- Neutrino masses in SUSY DFSZ axion without R-parity
- Affleck-Dine baryogenesis in SUSY DFSZ axion without R-parity
- Conclusion

# R-parity (violation)

$$R_p = (-1)^{2S+3B+L}$$

Farrar, Fayet, Phys. Lett. B76, 575 (1978)

$S$  : spin

$B$  : baryon number

$L$  : lepton number

$R_p = +1$  for SM particles

$R_p = -1$  for superpartners

- R-parity violating superpotential

$$W_{\cancel{R}_p} = \underbrace{\mu' LH_u + \lambda LL\bar{e} + \lambda' LQ\bar{d}}_{\Delta L = 1} + \underbrace{\lambda'' \bar{u}d\bar{d}}_{\Delta B = 1}$$

→ See the constraint of the R-parity violating couplings

# Constraint of R-parity violating couplings

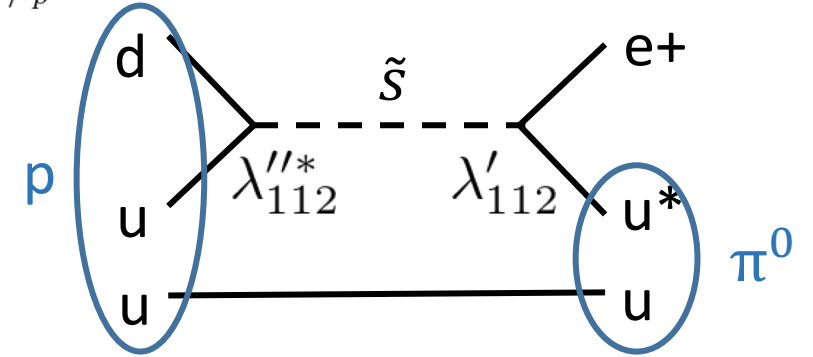
Barbier et al., Phys.Rept. 420, 1 (2005)

J. Beringer et al. (Particle Data Group), Phys.Rev. D86, 010001 (2012)

## (1) Observation of the proton decay

$$|\lambda'_{imk} \lambda''^*_{11k}| < \mathcal{O}(1) \times 10^{-25} \left( \frac{m_{\tilde{d}}}{5\text{TeV}} \right)^2$$

$$W_{\mathbb{R}_p} = \mu' LH_u + \lambda LL\bar{e} + \lambda' LQ\bar{d} + \lambda'' \bar{u}d\bar{d}$$



## (2) To avoid the baryon washout

### Constraint of 2→1 process:

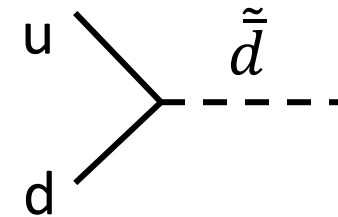
Campbell, Davidson, Ellis, Olive, Astropart. Phys. 1, 77 (1992)

Dreiner, Ross, Nucl. Phys. B410, 188 (1993)

$$\frac{\Gamma}{H} = \frac{\sqrt{10} \lambda^2 g_*(T)^{-1/2} m_{\tilde{f}}^2 M_{\text{P}}}{3\pi^2 \zeta(3) T^3} f\left(\frac{m_{\tilde{f}}}{T}\right) < 1$$



$$\lambda, \lambda', \lambda'' < 4 \times 10^{-7} \left( \frac{g_*(m_{\tilde{f}})}{100} \right)^{1/4} \left( \frac{m_{\tilde{f}}}{1\text{TeV}} \right)^{1/2} \quad \left( T = m_{\tilde{f}} \right)$$





# Our model

We introduce  $U(1)_{PQ}$  symmetry and the following superpotential:

$$W = W_{MSSM} + W_{\mathbb{R}_p} + W_{PQ}$$

	$S_0$	$S_1$	$S_2$	$H_u$	$H_d$	$\bar{u}_i$	$\bar{d}_i$	$Q_i$	$\bar{e}_i$	$L_i$
$PQ$	0	1	-1	-1	-1	-1	-1	2	3	-2
$L$	0	0	0	0	0	0	0	0	-1	1

$$W_{MSSM} = y_u \bar{u} Q H_u - y_d \bar{d} Q H_d - y_e \bar{e} L H_d + \frac{y_0 S_1^2}{M_P} H_u H_d \quad U(1)_{PQ} \text{ and lepton charges}$$

$$W_{\mathbb{R}_p} = \frac{y' S_1^3}{M_P^2} L H_u + \frac{\gamma S_1}{M_P} L L \bar{e} + \frac{\gamma' S_1}{M_P} L Q \bar{d} + \frac{\gamma'' S_1^3}{M_P^3} \bar{u} \bar{d} \bar{d}$$

---


$$W_{PQ} = \kappa S_0 (S_1 S_2 - f^2) \quad (S_0, S_1, S_2 : PQ \text{ fields})$$

Let us consider the constraint of R-parity violating term  $W_{\mathbb{R}_p}$

# Constraint of R-parity violating couplings

$$W_{\mathbb{R}_p} = \frac{y' S_1^3}{M_P^2} LH_u + \frac{\gamma S_1}{M_P} LL\bar{e} + \frac{\gamma' S_1}{M_P} LQ\bar{d} + \frac{\gamma'' S_1^3}{M_P^3} \bar{u}dd$$

---

In the current universe,  $U(1)_{PQ}$  is spontaneously broken by  $W_{PQ}$  and SUSY breaking:

$$\langle S_1 \rangle \simeq \langle S_2 \rangle \simeq f$$

The effective R-parity violating terms:

$$\begin{aligned} W_{\mathbb{R}_p} &= \frac{y' \langle S_1 \rangle^3}{M_P^2} LH_u + \frac{\gamma \langle S_1 \rangle}{M_P} LL\bar{e} + \frac{\gamma' \langle S_1 \rangle}{M_P} LQ\bar{d} + \frac{\gamma'' \langle S_1 \rangle^3}{M_P^3} \bar{u}dd \\ &\equiv \mu' LH_u + \lambda LL\bar{e} + \lambda' LQ\bar{d} + \lambda'' \bar{u}dd \end{aligned}$$

# Constraint of R-parity violating couplings

$$W_{\mathcal{R}_p} = \frac{y'\langle S_1 \rangle^3}{M_P^2} LH_u + \frac{\gamma\langle S_1 \rangle}{M_P} LL\bar{e} + \frac{\gamma'\langle S_1 \rangle}{M_P} LQ\bar{d} + \frac{\gamma''\langle S_1 \rangle^3}{M_P^3} \bar{u}\bar{d}\bar{d} \quad \langle S_1 \rangle \simeq \langle S_2 \rangle \simeq f$$

$$\equiv \mu' LH_u + \lambda LL\bar{e} + \lambda' LQ\bar{d} + \lambda'' \bar{u}\bar{d}\bar{d}$$

- (1) Observation of the proton decay:  $|\lambda'_{imk} \lambda''_{11k}| < \mathcal{O}(1) \times 10^{-25} \left( \frac{m_{\tilde{d}}}{5\text{TeV}} \right)^2$
- (2) To avoid the baryon washout:  $\lambda, \lambda', \lambda'' < 4 \times 10^{-7} \left( \frac{g_*(m_{\tilde{f}})}{100} \right)^{1/4} \left( \frac{m_{\tilde{f}}}{1\text{TeV}} \right)^{1/2}$

For  $M_P = 2.4 \times 10^{18}$  GeV,  $f = 10^{12}$  GeV,  $y_0 = y'_i = 1$ ,  $\gamma_{ijk} = \gamma'_{ijk} = \frac{1}{2}$  and  $\gamma''_{ijk} = 1$

$$\mu'_i = 2 \times 10^{-1} \text{ GeV}, \lambda_{ijk} = 2 \times 10^{-7}, \lambda'_{ijk} = 2 \times 10^{-7}, \lambda''_{ijk} = 7 \times 10^{-20}$$

**SUSY DFSZ axion without R-parity avoid the constraints (1),(2)!**

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# Neutrino masses

$$W \supset L_i H_u \quad \longrightarrow \quad \mathcal{L} \supset \mu'_i \nu_i \tilde{H}_u + \text{c.c.}$$

neutrino mass matrix at tree level After diagonalizing other mass matrix part:

Hempfling, Nucl. Phys. B478, 3 (1996)

$$M_{\text{tree}}^\nu \simeq - \frac{m_{\nu\text{tree}}}{\sum_{i=1}^3 \mu_i'^2} \begin{pmatrix} \mu_1'^2 & \mu_1' \mu_2' & \mu_1' \mu_3' \\ \mu_1' \mu_2' & \mu_2'^2 & \mu_2' \mu_3' \\ \mu_1' \mu_3' & \mu_3' \mu_2' & \mu_3'^2 \end{pmatrix} \leftarrow \text{rank 1}$$

$$m_{\nu\text{tree}} \simeq \frac{m_z^2 \cos^2 \beta (c_W^2 M_1 + s_W^2 M_2)}{M_1 M_2} \tan^2 \xi \quad \tan^2 \xi = \frac{\mu_1'^2 + \mu_2'^2 + \mu_3'^2}{\mu^2} + \mathcal{O}\left(\frac{\mu'_i \langle \tilde{\nu}_i \rangle}{\mu \langle H_d \rangle}\right)$$

↕  
Gaugino mass

$$W \supset \frac{y_0 S_1^2}{M_P} H_u H_d \equiv \mu H_u H_d$$

One neutrino has the mass of the order  $m_{\nu\text{tree}}$  although other neutrinos are massless at tree level.

# Neutrino masses

$$m_{\nu\text{tree}} \simeq 5 \times 10^{-2} \text{ eV} \left( \frac{10}{1 + \tan^2 \beta} \right) \left( \frac{1 \text{ TeV}}{M_1} \right) \left( \frac{\mu'_i / \mu}{4.4 \times 10^{-5}} \right)^2$$

For  $M_P = 2.4 \times 10^{18} \text{ GeV}$ ,  $f = 4.8 \times 10^{12} \text{ GeV}$ ,  $y_0 = \frac{1}{6}$ ,  $y'_i = 4$  and  $\gamma_{ijk} = \gamma'_{ijk} = \gamma''_{ijk} = \frac{1}{6}$

$$\mu = \frac{y_0 f^2}{M_P} = 1.6 \times 10^6 \text{ GeV}, \quad \mu'_i = \frac{y'_i f^3}{M_P^2} = 7.7 \times 10^1 \text{ GeV} \quad \longrightarrow \quad \frac{\mu'_i}{\mu} = 4.8 \times 10^{-5}$$

This is consistent with the atmospheric squared-mass difference:  $\sqrt{\Delta m_A^2} \simeq 5 \times 10^{-2} \text{ eV}$

\* These parameters avoid the constraint (1),(2).

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# Affleck-Dine(AD) baryogenesis

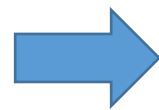
Affleck, Dine, Nucl. Phys. B249, 361 (1985)

Dine, Randall, Thomas , Nucl. Phys. B291, 458 (1996)

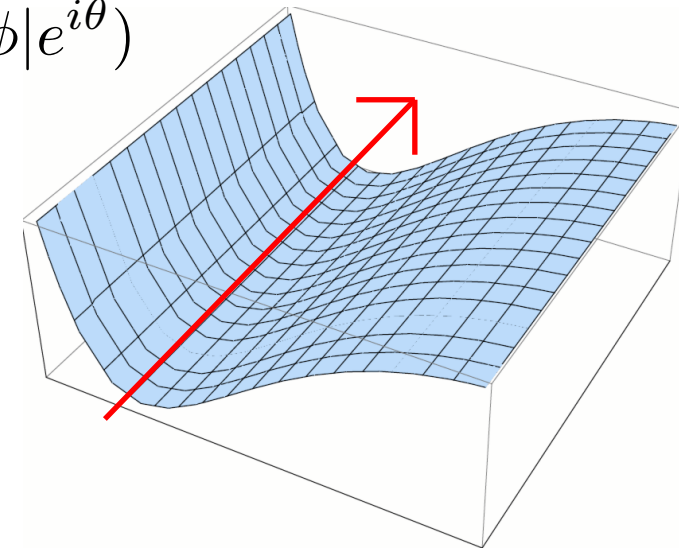
- In SUSY theory, scalar fields(squark, slepton) have baryon/lepton charge.
- Scalar potential of MSSM include flat directions  $\phi$  (AD field)  
at renormalizable level and supersymmetric limit.

- B/L number density:  $n_{B/L} \propto i(\dot{\phi}^* \phi - \phi^* \dot{\phi}) = 2|\phi|^2 \dot{\theta}$  ( $\phi = |\phi|e^{i\theta}$ )

Dynamics of AD field  
via B/L violating operator



Generate B/L number



- B/L violating operator

Conventional case:  $W = \frac{\phi^n}{M^{n-3}}$  ( $n \geq 4$ )

**This case:**  $W = \frac{S_1^m \phi^n}{M_P^{n+m-3}}$  ( $n, m \in N$ )



# Setup

$LH_u$  - flat direction of the scalar potential:

$$L = \frac{1}{\sqrt{2}} \begin{pmatrix} \phi \\ 0 \end{pmatrix}, \quad H_u = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ \phi \end{pmatrix}$$

The superpotential during and after the inflation:

$$W = W_{AD} + W_{PQ} + W_{\text{inf}}$$

$$W_{AD} = y' \frac{S_1^3 LH_u}{M_P^2} = y' \frac{S_1^3 \phi^2}{M_P^2}, \quad W_{PQ} = \kappa S_0 (S_1 S_2 - f^2)$$

The lepton number density:

$$n_L = \frac{1}{2} i (\dot{\phi}^* \phi - \phi^* \dot{\phi}) = |\phi|^2 \dot{\theta} \quad (\phi = |\phi| e^{i\theta})$$

→ Let us investigate **the potential and dynamics of AD/PQ scalar fields**

# Dynamics of AD/PQ fields during the inflation: $H = H_{\text{inf}}$

F-term potential:  $V_F = \left| \frac{\partial W}{\partial S_0} \right|^2 + \left| \frac{\partial W}{\partial S_1} \right|^2 + \left| \frac{\partial W}{\partial S_2} \right|^2 + \left| \frac{\partial W}{\partial \phi} \right|^2$

Hubble-induced potential:

$$V_H = c_0 H^2 |S_0|^2 - c_1 H^2 |S_1|^2 + c_2 H^2 |S_2|^2 - c_3 H^2 |\phi|^2 \quad (H : \text{Hubble parameter})$$

$$V_{HA} = -a_H H \frac{y' S_1^3 \phi^2}{2M_P^2} + \text{h.c.}$$

A minimum during the inflation for  $a_H \gg c_1, c_3$ :

$$\langle |S_0| \rangle = \frac{|\kappa| \langle |S_2| \rangle}{|\kappa|^2 \langle |S_1| \rangle^2 + |\kappa|^2 \langle |S_2| \rangle^2 + c_0 H^2} \frac{3|y'| \langle |S_1| \rangle^2 \langle |\phi| \rangle^2}{2M_P^2}$$
$$\langle |S_2| \rangle \simeq \frac{f^2}{\langle |S_1| \rangle}, \quad \langle |S_1| \rangle \simeq \langle |\phi| \rangle \simeq (HM_P^2)^{1/3}$$

\*Phases of AD/PQ fields are fixed except for one phase(NG boson)

The AD/PQ fields will settle at the above minimum during the inflation.

# Dynamics of AD/PQ fields **after the inflation:** $H = \frac{2}{3t}$

**After the inflation**, the inflaton oscillates and  $V_{HA}$  decrease exponentially

Kasuya, Kawasaki, Takahashi, JCAP 0810, 017 (2008)

$$V_{HA} = -a_H H \frac{y' S_1^3 \phi^2}{2M_P^2} + \text{h.c.} \rightarrow 0$$

The minimum changes into a saddle point!

The masses of AD/PQ fields just after the inflation are

$$m_{S_0} \simeq m_{S_2} \simeq \langle |S_1| \rangle \gg |m_{S_1}|, \quad |m_\phi| \simeq H$$

$$S_0, S_2 \text{ are fixed at } \langle |S_0| \rangle = \frac{|\kappa| \langle |S_2| \rangle}{|\kappa|^2 \langle |S_1| \rangle^2 + |\kappa|^2 \langle |S_2| \rangle^2 + c_0 H^2} \frac{3|y'| \langle |S_1| \rangle^2 \langle |\phi| \rangle^2}{2M_P^2}, \quad \langle |S_2| \rangle \simeq \frac{f^2}{\langle |S_1| \rangle}.$$

Let us investigate the dynamics of  $S_1, \phi$  until  $H \simeq m_{\text{soft}}$  numerically.

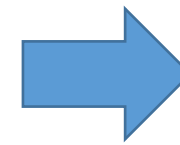
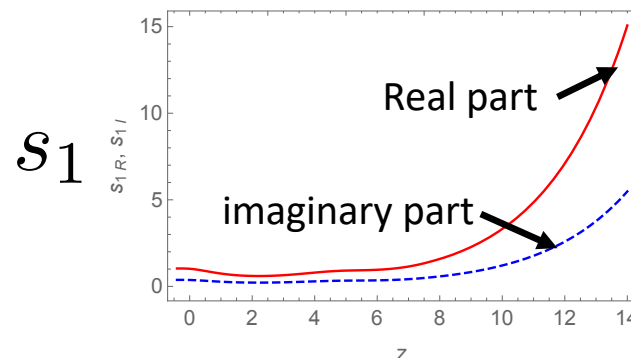
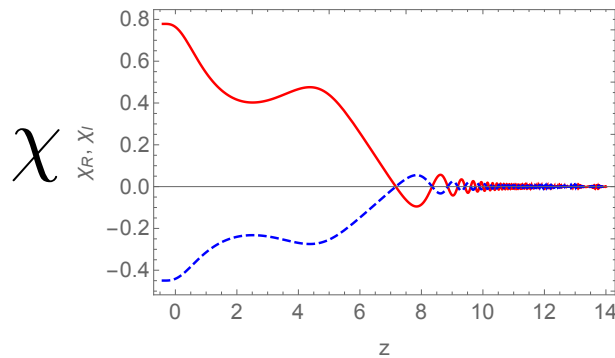
The soft SUSY breaking terms become effective!

# Dynamics of AD/PQ fields **after the inflation:** $H = \frac{2}{3t}$

We solve the E.O.M of  $S_1, \phi$  until  $H \simeq m_{\text{soft}} \simeq 1$  TeV reparametrizing

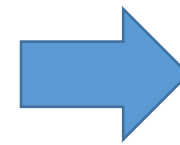
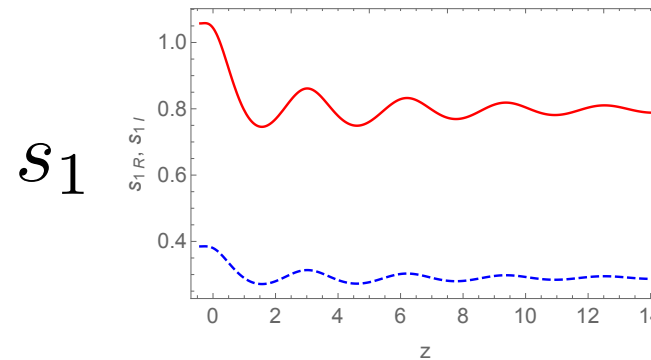
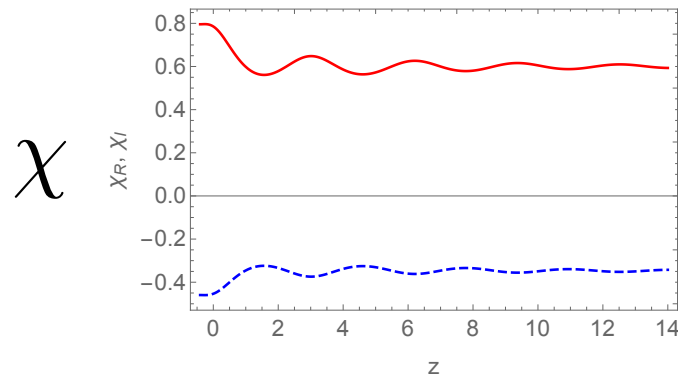
$$z = \log H_{\text{inf}} t, \quad S_1 = s_1 \left( \frac{2H_{\text{inf}} M_P^2}{3y'} e^{-z} \right)^{\frac{1}{3}}, \quad \phi = \chi \left( \frac{2H_{\text{inf}} M_P^2}{3y'} e^{-z} \right)^{\frac{1}{3}}$$

For  $c_1 > c_3$  ( $a_H = 5, c_1 = \frac{1}{4}, c_3 = \frac{1}{5}$ ) ( $H_{\text{inf}} = 10^9$  GeV)



$\chi$  decreases.  
 $s_1$  increases.

For  $c_1 = c_3$  ( $a_H = 5, c_1 = c_3 = 1$ ) ( $H_{\text{inf}} = 10^9$  GeV)



$s_1, \chi$  oscillate  
along the ridge  
of the saddle point.

# Dynamics of AD/PQ fields at $H < m_{\text{soft}}$

The soft SUSY breaking terms become effective.

The effective potential:

$$V_F = \left| \frac{\partial W}{\partial S_0} \right|^2 + \left| \frac{\partial W}{\partial S_1} \right|^2 + \left| \frac{\partial W}{\partial S_2} \right|^2 + \left| \frac{\partial W}{\partial \phi} \right|^2$$

$$V_{\text{soft}} = m_{S_0}^2 |S_0|^2 + m_{S_1}^2 |S_1|^2 + m_{S_2}^2 |S_2|^2 + m_\phi^2 |\phi|^2$$

$$V_{\text{softA}} = \underbrace{-a_m m_{3/2} \frac{y' S_1^3 \phi^2}{2M_P^2} + \text{h.c.}}_{\text{B-L,CP breaking term}} \quad \text{:the minimum of } \theta \text{ changes} \quad \rightarrow \quad \frac{\partial \theta}{\partial t} \neq 0$$

B-L,CP breaking term

$(m_{S_0} \simeq m_{S_1} \simeq m_{S_2} \simeq m_\phi \simeq m_{3/2} \simeq m_{\text{soft}})$

$$\langle |S_0| \rangle = 0, \langle |S_1| \rangle \simeq \langle |S_2| \rangle \simeq f, \langle |\phi| \rangle = 0$$

$$n_L = \frac{1}{2} i \left( \frac{\partial \phi^*}{\partial t} \phi - \phi^* \frac{\partial \phi}{\partial t} \right) = |\phi|^2 \frac{\partial \theta}{\partial t} \neq 0 \quad (\theta = \arg \phi)$$

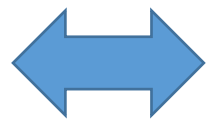
# Baryon asymmetry

Using E.O.M of  $\phi$

$$\frac{\partial n_L}{\partial t} + 3Hn_L = -\text{Im} \left[ \frac{\partial V}{\partial \phi} \phi \right]$$

$t_{\text{osc}} \simeq \frac{1}{m_\phi}$

These values are calculated numerically at  $H \simeq m_\phi (z = 14)$



$$n_L(t_{\text{osc}}) \simeq \frac{1}{m_\phi} \frac{S_1^3(t_{\text{osc}}) \phi^2(t_{\text{osc}})}{M_P^2} |y' a_m| \bar{m}_{3/2} \delta_{\text{eff}}$$

$\delta_{\text{eff}} = \sin(\arg(y' a_m) + 3 \arg(S_1) + 2\theta)$

Baryon asymmetry via sphaleron process:

Kuzmin, Rubakov, Shaposhnikov, Phys. Lett. B155, 36 (1985)

$$\frac{n_B}{s} \simeq \begin{cases} 7.0 \times 10^{-10} \left( \frac{T_{\text{reh}}}{10^8 \text{GeV}} \right) \left( \frac{1}{|y'|} \right)^{\frac{1}{3}} \left( \frac{m_{3/2}}{m_\phi} \right) \left( \frac{m_\phi}{1 \text{TeV}} \right)^{-\frac{1}{3}} & \text{for } c_1 = \frac{1}{4}, c_3 = \frac{1}{5} \\ 6.8 \times 10^{-10} \left( \frac{T_{\text{reh}}}{10^6 \text{GeV}} \right) \left( \frac{1}{|y'|} \right)^{\frac{1}{3}} \left( \frac{m_{3/2}}{m_\phi} \right) \left( \frac{m_\phi}{1 \text{TeV}} \right)^{-\frac{1}{3}} & \text{for } c_1 = c_3 = 1 \end{cases}$$

The enough amount of baryon asymmetry will be produced!

# Conclusion

We propose SUSY DFSZ axion model without R-parity which can

- explain the atmospheric squared-mass difference
- explain baryon asymmetry via Affleck-Dine mechanism

This model has the following advantages:

We need NOT introduce R-parity in SUSY theory.

We may explain Neutrino masses and Baryon asymmetry only introducing  $U(1)_{PQ}$  symmetry in SUSY theory without introducing a new field.