

# **Deconfinement and CP-breaking at $\theta=\pi$ in a softly-broken $\mathcal{N}=1$ SYM**

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[Chen, Fukushima, Nishimura, Tanizaki, 2020]

- **Dashen's Phenomenon**

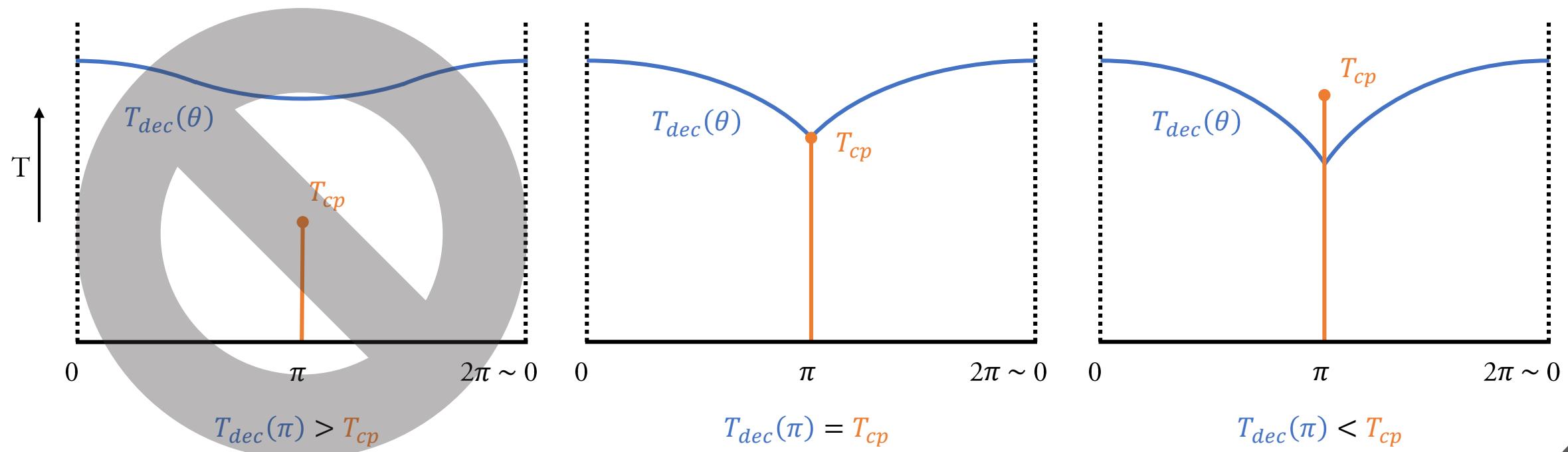
**CP** symmetry is spontaneously broken @  $\theta=\pi$

- **Anomaly Matching** (pure YM)

Mixed 't Hooft anomaly: center & **CP** symmetry @  $\theta=\pi$

[Gaiotto, Kapustin, Komargodski, Seiberg, 2017]

- **Finite Temperature: Relation between Deconfinement and CP-restoration**



- YM with an Adjoint Fermion

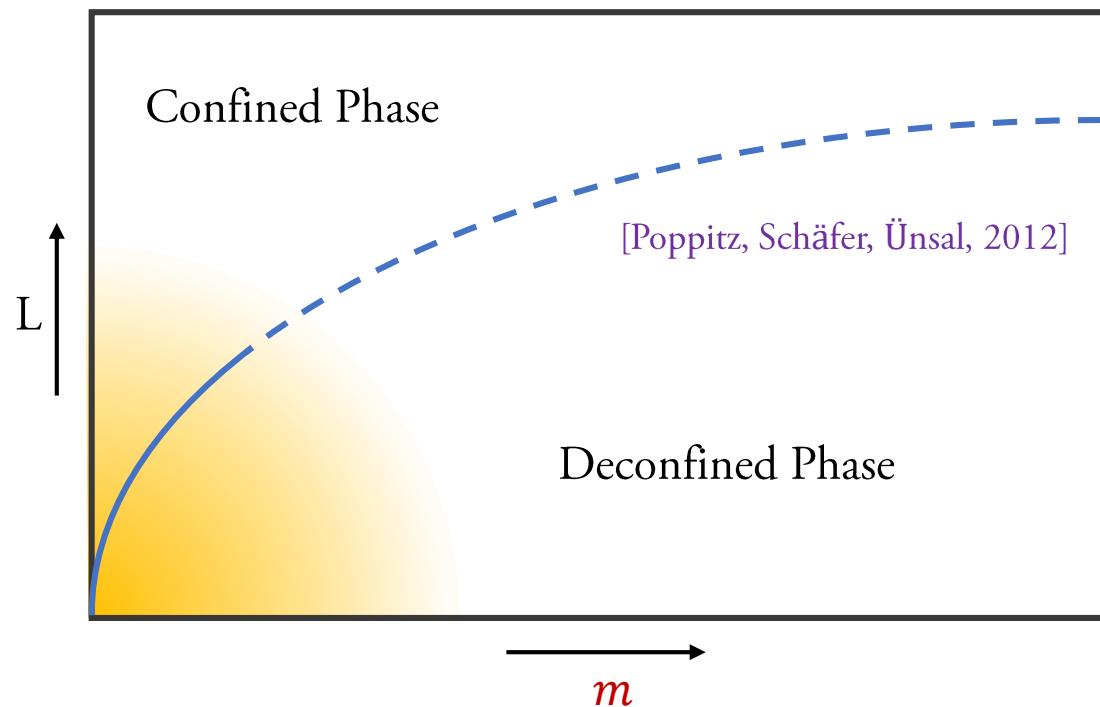
$$\mathcal{S} = \frac{1}{g^2} \int \text{tr} F \wedge \star F - \frac{i\theta}{8\pi^2} \int \text{tr} F \wedge F + \frac{2i}{g^2} \int d^4x \text{ tr} \bar{\lambda} \bar{\sigma}^\mu D_\mu \lambda - \frac{m}{g^2} \int d^4x (\text{tr} \lambda \lambda + c.c.)$$

- Periodic Boundary Condition on  $\mathbb{R}^3 \times S^1$ : A Non-thermal YM

$$\begin{cases} m = 0 & \text{Non-thermal } \mathcal{N}=1 \text{ SYM} \\ m = \infty & \text{Thermal pure YM} \end{cases}$$

- Calculable Region

$$\begin{cases} \text{Weakly-coupled: } L \ll \Lambda^{-1} \\ \text{Softly-broken: } m \ll \Lambda \end{cases}$$



## § I : IR Effective D.o.F. ( $\phi$ , $\sigma$ )

- Gauge Fixing: Unitary Gauge

$$A_4(x^i, x^4) = \frac{1}{L} \phi(x^i) \tau^3$$

Polyakov loop

$$\begin{cases} \Omega \equiv \mathcal{P} \exp \left\{ i \int_0^L A_4 dx^4 \right\} = e^{i\phi \tau^3} \\ \text{tr } \Omega = 2 \cos \frac{\phi}{2} \end{cases}$$

$$\# \tau^a \equiv \frac{\sigma^a}{2}$$

- Abelianization

Almost confinement

$$\Downarrow$$

$$\langle \text{tr } \Omega \rangle \sim 0$$

$$\Downarrow$$

$$\langle \phi \rangle \sim \pi + 2\pi\mathbb{Z}$$

Massless if  $m = 0$

$$\begin{cases} A_i = [A_i^1 \tau^1 + A_i^2 \tau^2] + \boxed{A_i^3 \tau^3} \\ \lambda = [\lambda^1 \tau^1 + \lambda^2 \tau^2] + \boxed{\lambda^3 \tau^3} \end{cases}$$

Acquires a mass  $\sim \frac{\langle \phi \rangle + 2\pi n}{L} \in \frac{(1+2\mathbb{Z})\pi}{L}$

- Duality Transformation on  $\mathbb{R}^3$

U(1) gauge field  $A \rightarrow$  U(1) compact scalar  $\sigma$

$$\mathcal{S}_{boson} = \int_{\mathbb{R}^3} \left\{ \frac{1}{2Lg^2} |d\phi|^2 + \frac{g^2}{8L} \left| \frac{1}{2\pi} d\sigma + \frac{\theta}{4\pi^2} d\phi \right|^2 \right\}$$

$$\mathcal{S}_{boson} = \int_{\mathbb{R}^3 \times S^1} \left\{ \frac{1}{g^2} \text{tr } F \wedge \star F - \frac{i\theta}{8\pi^2} \text{tr } F \wedge F \right\}$$

$\Downarrow$  Integrate out massive modes

$$\mathcal{S}_{boson} = \int_{\mathbb{R}^3} \left\{ \frac{1}{2Lg^2} |d\phi|^2 + \frac{L}{2g^2} |dA|^2 - \frac{i\theta}{8\pi^2} dA \wedge d\phi \right\}$$

## § II : $(\phi, \sigma)$ As Order Parameters

- Redundancy and Further Gauge Fixing

$$\begin{cases} (\phi, \sigma) \simeq (\phi + 4\pi, \sigma) \\ (\phi, \sigma) \simeq (\phi, \sigma + 2\pi) \\ W_{\text{su}(2)}: (\phi, \sigma) \mapsto (-\phi, -\sigma) \end{cases} \Rightarrow (\phi, \sigma) \in \frac{\mathbb{R}}{4\pi} \times \frac{\mathbb{R}}{2\pi}$$

$\# \sigma^1 \tau^3 \sigma^1 = -\tau^3$

Further gauge fixing

$$\begin{cases} \phi \in [0, 2\pi] & \xrightarrow{\text{Almost confinement}} \phi \sim \pi \\ \sigma \simeq \sigma + 2\pi \end{cases}$$

- Symmetry

$$\begin{cases} \Omega \xrightarrow{\text{center}} -\Omega \\ \text{CP reverses the orientation} \end{cases} \Rightarrow (\phi, \sigma) \xrightarrow{\text{center}} (\phi + 2\pi, \sigma)$$

$$\begin{cases} \theta = 0: (\phi, \sigma) \xrightarrow{\text{CP}} (\phi, -\sigma) \\ \theta = \pi: (\phi, \sigma) \xrightarrow{\text{CP}} (\phi, -\sigma - \phi) \end{cases}$$

Further gauge fixing

$$(\phi, \sigma) \xrightarrow{\text{center}} (2\pi - \phi, -\sigma)$$

$$\begin{cases} \theta = 0: (\phi, \sigma) \xrightarrow{\text{CP}} (\phi, -\sigma) \\ \theta = \pi: (\phi, \sigma) \xrightarrow{\text{CP}} (\phi, -\sigma - \phi) \end{cases}$$

- Physical Interpretations

The  $(\phi, \sigma)$  generates the Wilson-'t Hooft loop along  $S^1$ . We have (very roughly) the Wilson loop  $\sim e^{i\phi}$  and the 't Hooft loop  $\sim e^{i\sigma}$ .

## § III : IR Effective Potential of $(\phi, \sigma)$

- **The Perturbative: Negligible**

When  $m = 0$ , SUSY non-renormalizable theorems guarantees no contribution to the effective potential. cf. [Intriligator, Seiberg, 1996]

When  $m > 0$ , explicit computations show they are of much higher order of the coupling  $g^2$ . cf. [Chen, Fukushima, Nishimura, Tanizaki, 2020]

- **The Nonperturbative: Monopole-instanton Counting**

$$\text{2 fermionic zero modes} \quad [\text{Nye, Singer, 2000}] \quad V_1 \sim \lambda \exp \left\{ i \left( \sigma + \frac{\theta}{2\pi} \phi \right) - \frac{4\pi}{g^2} \phi \right\} \quad V_0 \sim \lambda \exp \left\{ i\theta - i \left( \sigma + \frac{\theta}{2\pi} \phi \right) + \frac{4\pi}{g^2} \phi \right\}$$

When  $m = 0$ , fermion-boson vertex  $\xrightarrow{\text{SUSY}}$  boson vertex = effective potential [SUSY version of **Polyakov mechanism**]. [Polyakov, 1977]

When  $m > 0$ , zero modes are lifted directly leading to effective potential. cf. [Poppitz, Schäfer, Ünsal, 2012]

$$\frac{V(\phi, \sigma)}{V_0} = 4 \cosh(2\phi') - 4 \cos(2\sigma') - \gamma \left[ \left( 1 + \frac{g^2}{4\pi^2} \phi' \right) e^{-\phi'} \cos \left( \sigma' + \frac{\theta}{2} \right) + \left( 1 - \frac{g^2}{4\pi^2} \phi' \right) e^{\phi'} \cos \left( \sigma' - \frac{\theta}{2} \right) \right]$$

$$\begin{cases} \phi' \equiv \frac{4\pi}{g^2} (\phi - \pi) \\ \sigma' \equiv \sigma + \frac{\theta}{2\pi} (\phi - \pi) \end{cases}$$

$$V_0 \equiv \frac{9}{4\pi^2} \frac{L^3 \Lambda^6}{g^2}$$

$$\gamma \equiv \frac{8\pi^2}{3} \frac{m}{L^2 \Lambda^3}$$

: A proxy of **temperature** in the calculable region

## § I : Gauge Groups SU(2)

$$\text{Range} \quad \begin{cases} \phi' \in \mathbb{R} \\ \sigma' \simeq \sigma' + 2\pi \end{cases}$$

$$\text{Symmetry} \quad \begin{cases} (\phi', \sigma') \xrightarrow{\text{center}} (-\phi', -\sigma') \\ \theta = 0: (\phi', \sigma') \xrightarrow{\text{CP}} (\phi', -\sigma') \\ \theta = \pi: (\phi', \sigma') \xrightarrow{\text{CP}} (\phi', -\sigma' - \pi) \end{cases}$$

$$\gamma \equiv \frac{8\pi^2}{3} \frac{m}{L^2 \Lambda^3}$$

$$\frac{\mathcal{V}(\phi', \sigma')}{V_0} = 4 \cosh(2\phi') - 4 \cos(2\sigma') - \gamma \left[ \left(1 + \frac{g^2}{4\pi^2} \phi'\right) e^{-\phi'} \cos\left(\sigma' + \frac{\theta}{2}\right) + \left(1 - \frac{g^2}{4\pi^2} \phi'\right) e^{\phi'} \cos\left(\sigma' - \frac{\theta}{2}\right) \right]$$

- $\gamma = 0$
- $0 < \gamma < \gamma_{dec}$

$$\begin{cases} \langle(\phi', \sigma')\rangle_1 = (0, 0) \\ \langle(\phi', \sigma')\rangle_2 = (0, \pi) \end{cases}$$

↓

**Center-symmetric**

$$\begin{cases} \frac{\mathcal{V}(0, 0)}{V_0} = -4 - 2\gamma \cos\frac{\theta}{2} \\ \frac{\mathcal{V}(0, \pi)}{V_0} = -4 + 2\gamma \cos\frac{\theta}{2} \end{cases} \Rightarrow \begin{cases} 0 \leq \theta \leq \pi: (0, 0) \text{ is the true vacuum} \\ \pi \leq \theta < 2\pi: (0, \pi) \text{ is the true vacuum} \end{cases}$$

↑

# They are the only **center-symmetric** points.

↓

**CP-breaking @  $\theta = \pi$**

## § I : Gauge Groups SU(2)

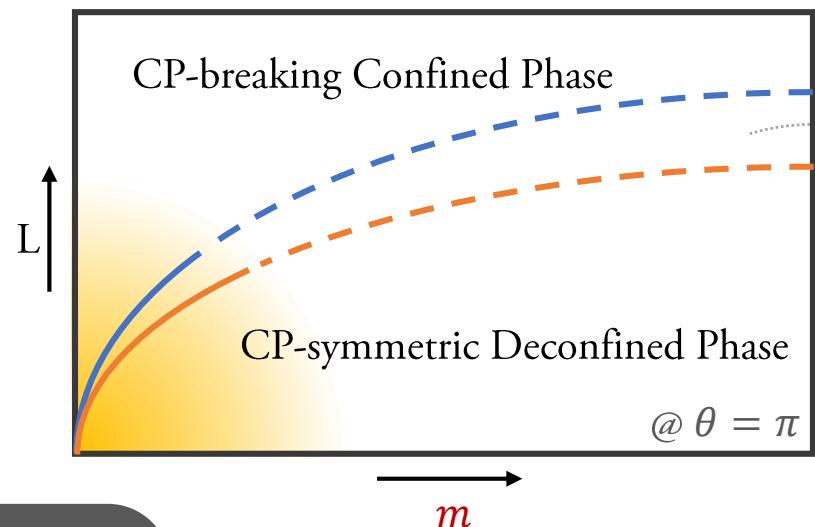
- 2nd-order Deconfinement phase transition [Poppitz, Schäfer, Ünsal, 2012]

$$\begin{cases} 0 \leq \theta \leq \pi: & \gamma_{dec}(\theta) = 8 \left[ 1 + \frac{g^2}{4\pi^2} \left( 1 + \cos \frac{\theta}{2} \right) \right] + \mathcal{O}(g^4) \\ \pi \leq \theta < 2\pi: & \gamma_{dec}(\theta) = 8 \left[ 1 + \frac{g^2}{4\pi^2} \left( 1 - \cos \frac{\theta}{2} \right) \right] + \mathcal{O}(g^4) \end{cases}$$

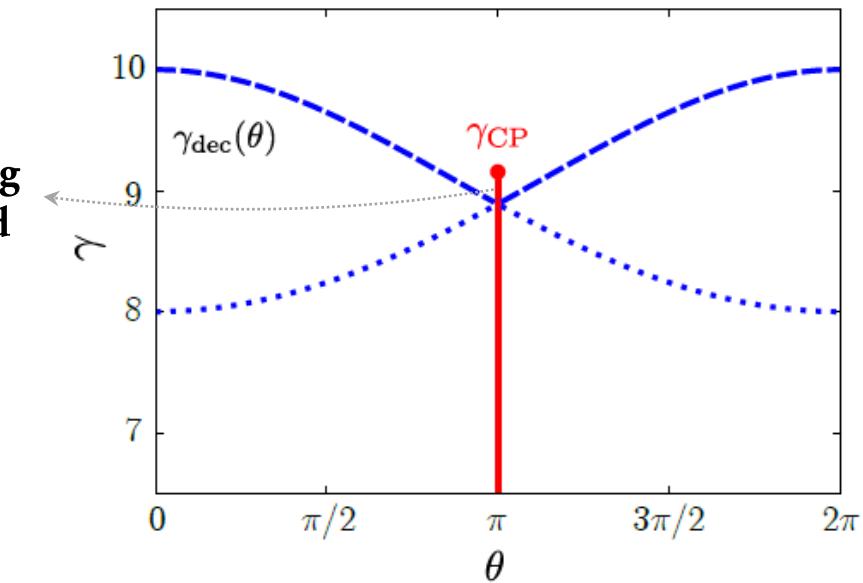
$$\gamma_{dec}(\pi) = 8 \left[ 1 + \frac{g^2}{4\pi^2} \right] + \mathcal{O}(g^4)$$

- CP-symmetry restores strictly later than Deconfinement phase transition [Chen, Fukushima, Nishimura, Tanizaki, 2020]

$$\gamma_{cp} = 8 \left[ 1 + \left( \frac{1}{2} + \frac{3}{2\sqrt{2}} \operatorname{arcsinh} 1 \right) \frac{g^2}{4\pi^2} \right] + \mathcal{O}(g^4) \approx 8 \left[ 1 + 1.43 \frac{g^2}{4\pi^2} \right] + \mathcal{O}(g^4) \quad \Rightarrow \quad \gamma_{dec}(\pi) < \gamma_{cp}$$

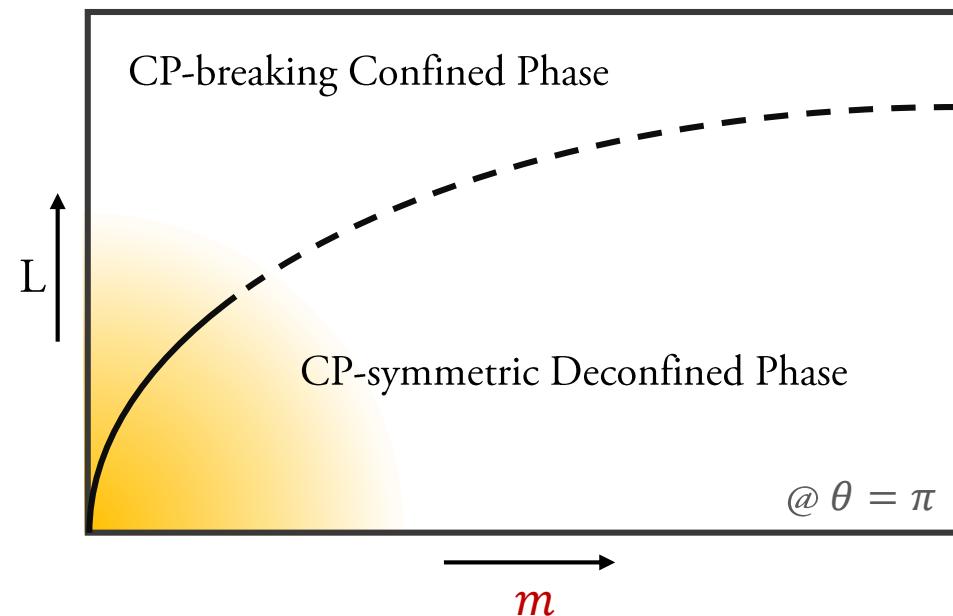
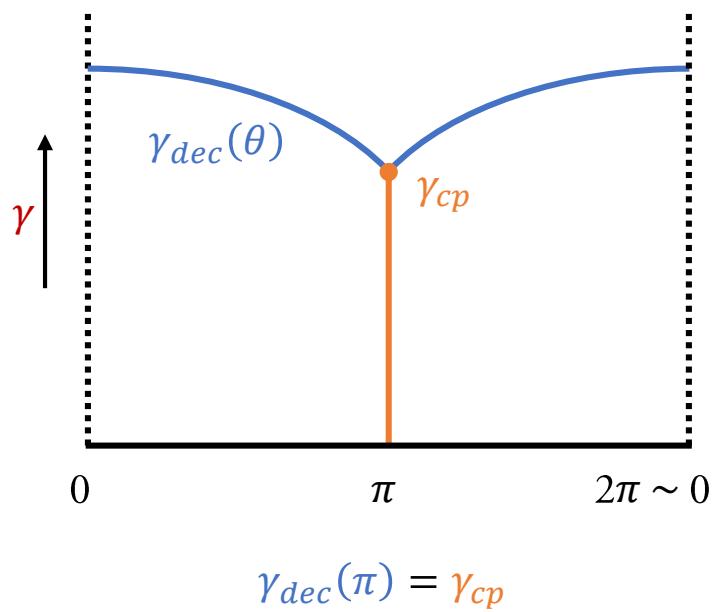


**CP-breaking  
Deconfined  
Phase**



## § II : Gauge Groups Other Than SU(2)

- 1st-order Deconfinement phase transition [Anber, Poppitz, Teeple, 2014]
  - CP-symmetry restores **at the same time** as Deconfinement phase transition [Chen, Fukushima, Nishimura, Tanizaki, 2020]
- # We evaluate the gauge groups with the rank  $\leq 10$  and the large N limit for SU(N).



# Conclusions

## § I : About Non-thermal Softly-broken $\mathcal{N}=1$ SYM @ $\theta = \pi$

- The prophecy of 't Hooft anomaly matching is verified.
- For most gauge groups, the CP-restoration and Deconfinement occur synchronously:  $\gamma_{dec}(\pi) = \gamma_{cp}$ .
- For SU(2), the CP-restoration is strictly posterior to Deconfinement:  $\gamma_{dec}(\pi) < \gamma_{cp}$ . A CP-breaking deconfined phase is observed.

## § II : About Thermal Pure YM @ $\theta = \pi$

- We optimistically anticipate that, for most gauge groups, the CP-restoration and Deconfinement occur synchronously:  
 $T_{dec}(\pi) = T_{cp}$ .
- We are more circumspect about SU(2). Other more peculiar scenarios might occur, such as no confinement but gapless, or the oblique confinement etc.

**Thank You for Listening!**

## § A : Mixed ‘t Hooft Anomaly between Center and CP @ $\theta=\pi$ [Gaiotto, Kapustin, Komargodski, Seiberg, 2017]

- Center symmetry  $\mathcal{Z}(G)$  is a 1-form symmetry. [Gaiotto, Kapustin, Seiberg, Willett, 2014]
- Couple TQFT to gauge theory. [Kapustin, Seiberg, 2014]

Turn on a background  $\mathcal{Z}(G)^{[1]}$  gauge field  $B^{[2]} \in H^4(\mathfrak{B}^2\mathcal{Z}(G), \text{U}(1))$ .



Couple  $B^{[2]}$  to  $G$  gauge theory.  $\# H^4(\mathfrak{B}^2\mathcal{Z}(G), \text{U}(1)) = H^4(\mathfrak{B}G/\mathcal{Z}(G), \text{U}(1))$ .



The instanton number  $Q \equiv \frac{1}{8\pi^2} \int \text{tr } F \wedge F$  is fractionalized to  $Q[B]$ .

$G$	$\mathcal{Z}(G)$	$Q[B] \bmod 1$
$\text{SU}(N)$	$\mathbb{Z}_N$	$1/N$
$\text{Spin}(2k+1)$	$\mathbb{Z}_2$	0
$\text{Spin}(4k)$	$\mathbb{Z}_2 \times \mathbb{Z}_2$	$1/2$
$\text{Spin}(4k+2)$	$\mathbb{Z}_4$	$1/4$
$\text{Sp}(2k)$	$\mathbb{Z}_2$	0
$\text{Sp}(2k+1)$	$\mathbb{Z}_2$	$1/2$
$E_6$	$\mathbb{Z}_3$	$2/3$
$E_7$	$\mathbb{Z}_2$	$1/2$
$E_8, F_4, G_2$	1	0

[Aharony, Seiberg, Tachikawa, 2013; Witten, 2002]

- $\theta \xrightarrow{\text{CP}} -\theta$ , the CP symmetry @  $\theta=\pi$  requires the  $2\pi$ -periodicity of  $\theta$ .

## § B : Generic Gauge Group $G$ with Simple $\mathfrak{g}$

- **Gauge Fixing**  $A_4(x^i, x^4) = \frac{1}{L} \phi(x^i) \cdot h$   $h = h^{a=1,2,\dots,r}$  is an orthonormal basis of a chosen Cartan subalgebra of  $\mathfrak{g}$ .
- **Order Parameters**  $\# r$  is the rank of  $G$ .

$$(\phi, \sigma) \in \frac{\mathbb{R}^r}{2\pi\Lambda_r^\vee} \times \frac{\mathbb{R}^r}{2\pi\Lambda_w^\vee}$$

$$(\phi, \sigma) \xrightarrow{\text{center}} (\phi + 2\pi\mu_c^\vee, \sigma)$$

$$\mu_c^\vee \in \Lambda_w^\vee / \Lambda_r^\vee \cong Z(G)$$

$$\begin{cases} \theta = 0: (\phi, \sigma) \xrightarrow{\text{CP}} (\phi, -\sigma) \\ \theta = \pi: (\phi, \sigma) \xrightarrow{\text{CP}} (\phi, -\sigma - \phi) \end{cases}$$

$$\begin{cases} \text{Center} \subseteq \text{Sym}\{\mathcal{M}_{i=0,1,\dots,r}\} \\ \mathcal{M}_i \xrightarrow{\text{CP}} \mathcal{M}_i^* \end{cases}$$

- **Effective Potential**

$$\mathbf{z} \equiv i \left( \sigma + \frac{\theta}{2\pi} \phi \right) - \frac{4\pi}{g^2} \phi$$

$$\begin{cases} \mathcal{M}_i \equiv \exp \left\{ \alpha_i^\vee \cdot \mathbf{z} + \frac{8\pi^2}{c_2 g^2} \right\} \text{ for } i = 1, 2, \dots, r \\ \mathcal{M}_0 \equiv \exp \left\{ \alpha_0^\vee \cdot \mathbf{z} + \frac{8\pi^2(1 - c_2)}{c_2 g^2} + i\theta \right\} \end{cases}$$

$$\alpha_i^\vee = \frac{2\alpha_i}{|\alpha_i|^2} \in \Lambda_r^\vee, \quad \alpha_i \in \Lambda_r \text{ are the simple roots.}$$

$\alpha_0^\vee = \alpha_0$  is the affine root (the lowest root).

$\# |\alpha_0|^2 = 2$ .

$$\boxed{\frac{\mathcal{V}(\phi, \sigma)}{V_0} = \sum_{i=0}^r \sum_{j=0}^r \mathcal{M}_i^* (\alpha_i \cdot \alpha_j) \mathcal{M}_j - \gamma \sum_{i=0}^r \frac{\mathcal{M}_i^* + \mathcal{M}_i}{|\alpha_i|^2} \left( 1 - \frac{c_2 g^2}{8\pi^2} \ln |\mathcal{M}_i| \right)}$$

$$V_0 \equiv \frac{9c_2^2}{16\pi^2} \frac{L^3 \Lambda^6}{g^2}$$

$$\boxed{\gamma \equiv \frac{32\pi^2}{3c_2^2} \frac{m}{L^2 \Lambda^3}}$$

$\# c_2$  is the value of quadratic Casimir in the adjoint rep.