

Generalized symmetries
and
Anomaly matching

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Outline

1. Anomaly in Quantum Mechanics

Demonstration of the idea

2. $SU(N)$ Yang-Mills theories

- What is center sym?
- Anomaly involving center sym.

3. QCD (-like) theories

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General idea

Solving QFT is a very difficult task.

⇒ We want to get a guideline
for possible interesting dynamics.

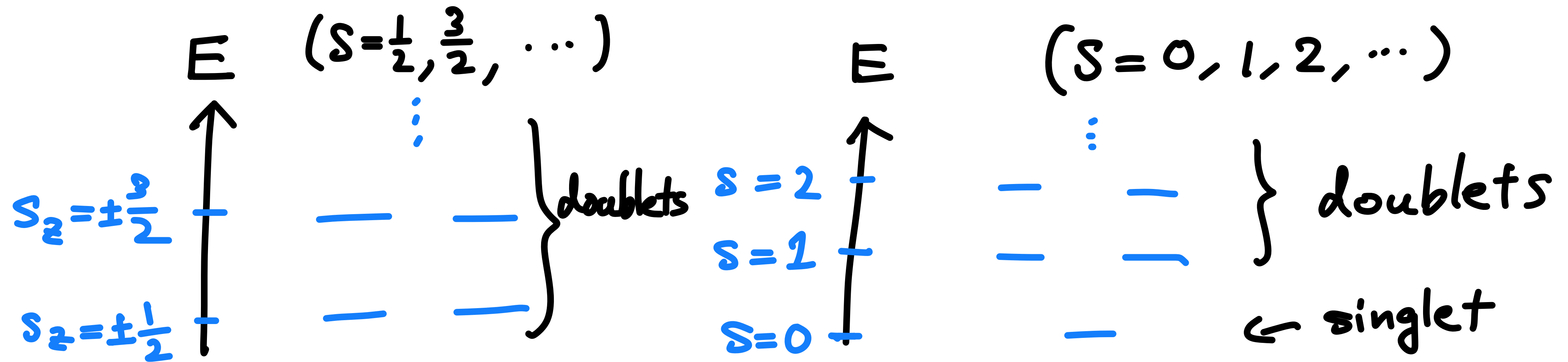
Strategy

Pay attention to symmetry!

Anomaly of symmetry sometimes tell
nontrivial dynamics must occur.

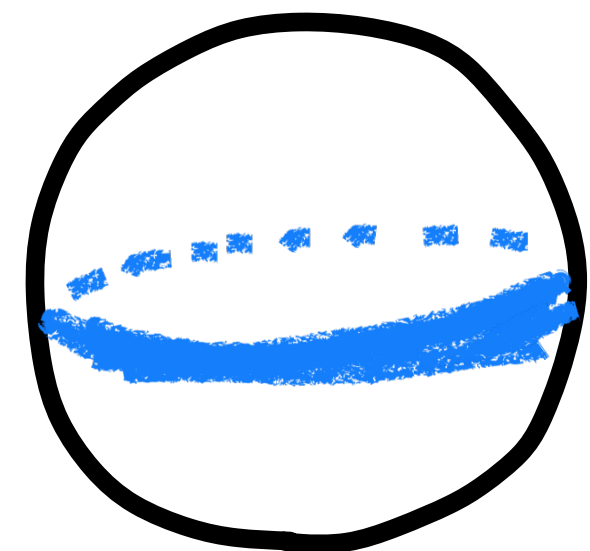
QM of a single spin / QM on a circle S^1

Hamiltonian $\hat{H} = J \hat{S}_z^2$ ($\hat{S}_z = -S, -S+1, \dots, S$).



In the limit $J \rightarrow +\infty$, it becomes QM on a circle.

(Classical) G.S.
 \approx Equator \rightarrow



$$\mathcal{L}_{\text{eff}} = \frac{1}{2} \int d\tau \left(\frac{\partial \phi}{\partial \tau} \right)^2 + \dots$$

($\phi \sim \phi + 2\pi$)

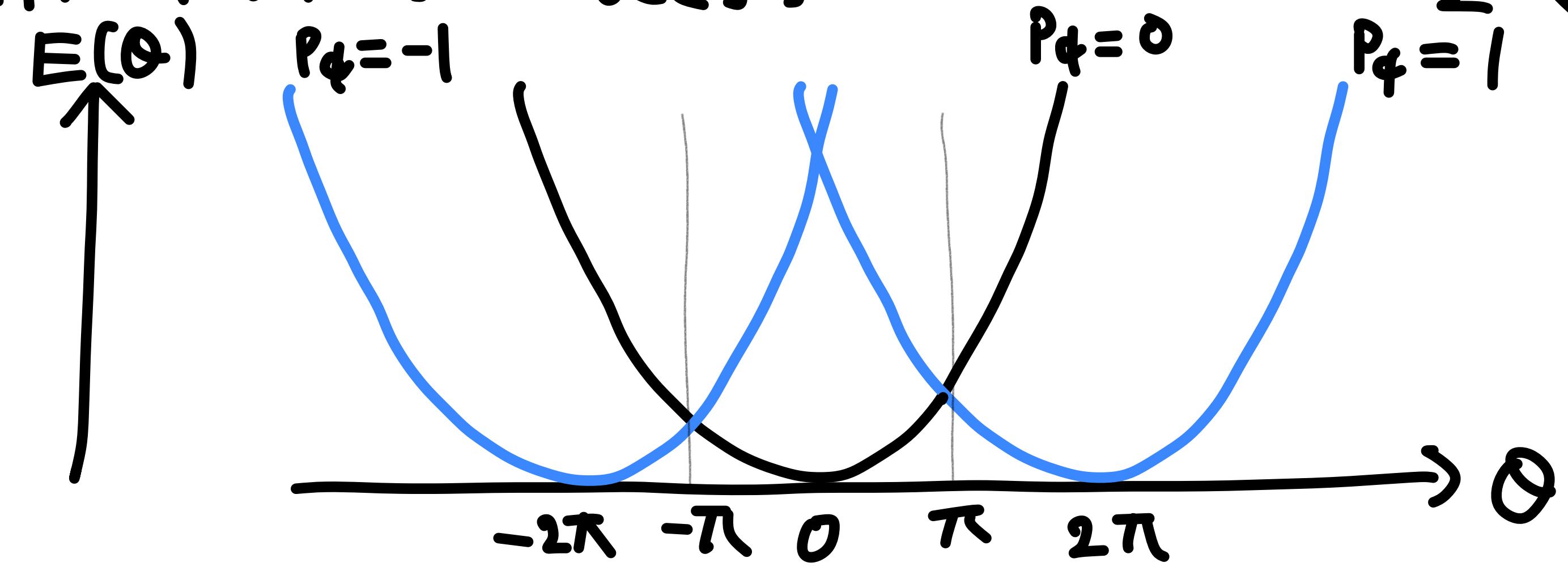
How does low-energy EFT \mathcal{L}_{eff} capture $S = \frac{1}{2}, \frac{3}{2}, \dots$ or $S = 1, 2, \dots$?

\Rightarrow θ -angle : $\theta = 2\pi S$

$$\mathcal{L}_{\text{eff}} = \int d\tau \frac{1}{2} \left(\frac{\partial \phi}{\partial \tau} \right)^2 + \underbrace{\frac{i\theta}{2\pi} \int d\tau \left(\frac{\partial \phi}{\partial \tau} \right)}_{\theta \times \text{winding \#}}$$

As $\phi \sim \phi + 2\pi$, $\frac{1}{2\pi} \int d\phi \in \mathbb{Z}$. So, $\theta \sim \theta + 2\pi$.

Hamiltonian for \mathcal{L}_{eff} : $H_{\text{eff}} = \frac{1}{2} \left(\hat{P}_\phi - \frac{\theta}{2\pi} \right)^2$



Spectral (non)degeneracy from symmetry

• $U(1)$ sym $\hat{U}_\alpha = \exp(i\alpha \hat{p}_\phi) \Rightarrow \hat{p}_\phi = n$ is a good quantum #.

• \mathbb{Z}_2 symmetry $\phi \mapsto -\phi$.

$S = 1, 2, \dots \Leftrightarrow \theta = 0$ i.e. $\hat{H}_{\text{eff}} = \frac{1}{2} \hat{p}_\phi^2$.
 \hat{H}_{eff} is invariant under $\hat{p}_\phi \mapsto -\hat{p}_\phi$. $\hat{p}_\phi = 0$ is invariant under both sym.

$S = \frac{1}{2}, \frac{3}{2}, \dots \Leftrightarrow \theta = \pi$ i.e. $\hat{H}_{\text{eff}} = \frac{1}{2} \left(\hat{p}_\phi - \frac{1}{2} \right)^2$.
 \hat{H}_{eff} is inv. under $\hat{p}_\phi \mapsto -\hat{p}_\phi + 1$.
 \leadsto No simultaneous eigenstate. Doublet spectrum

Reinterpretation as an Anomaly.

Let us introduce $U(1)$ gauge field A : $d\phi \Rightarrow d\phi + A$.

$$Z_\theta[A] = \int \mathcal{D}\phi \exp\left(-\frac{1}{2} \int |d\phi + A|^2 + i \frac{\theta}{2\pi} \int (d\phi + A)\right).$$

Perform \mathbb{Z}_2 transformation : $\phi \mapsto -\phi$, $A \mapsto -A$.

$$\left[\begin{array}{l} \text{At } \theta=0 \text{ (i.e. } S=1, 2, \dots), \\ Z_0[A] \rightarrow \int \mathcal{D}\phi e^{-\frac{1}{2} \int |-d\phi - A|^2} = Z_0[A]. \end{array} \right]$$

At $\theta=\pi$ (i.e. $S=\frac{1}{2}, \frac{3}{2}, \dots$)

$$Z_\pi[A] = \int \mathcal{D}\phi e^{-\frac{1}{2} \int |d\phi + A|^2 + i \frac{\pi}{2\pi} \int (-d\phi - A)} = e^{\underbrace{-i\int A}_{\text{Anomaly}}} \cdot Z_\pi[A]$$

Summary for QM of a spin $\hat{H} = J \hat{S}_z^2$.

- $S = 1, 2, 3, \dots$

Symmetry $U(1)$ and \mathbb{Z}_2 . $Z[A] \xrightarrow{\mathbb{Z}_2} Z[A]$.

No anomaly for these sym \Rightarrow Singlet state exists.

- $S = \frac{1}{2}, \frac{3}{2}, \dots$

Same sym $U(1)$ and \mathbb{Z}_2 , but $Z[A] \xrightarrow{\mathbb{Z}_2} e^{-iSA} Z[A]$.

Gauging $U(1)$ breaks $\mathbb{Z}_2 \Rightarrow$ Anomaly

\Rightarrow All spectra are doublets.

't Hooft anomaly matching (in a generalized form)

't Hooft anomaly

Assume d -dim. QFT has a symmetry G .

$\left\{ \begin{array}{l} A : G\text{-gauge field} \end{array} \right.$

$\left\{ \begin{array}{l} A \rightarrow A + \delta_\lambda A : G\text{-gauge transformation.} \end{array} \right.$

Compute the partition function with this background, $Z[A]$.

$$Z[A + \delta_\lambda A] = \exp\left(i \int \underbrace{\mathcal{A}(\lambda, A)}_{\substack{d\text{-dim. local functional of } A, \lambda.}}\right) \cdot Z[A]$$

\mathcal{A} is called an 't Hooft anomaly if $\mathcal{A} \neq \delta_\lambda(\text{d-dim. func. of } A)$.

Anomaly matching

't Hooft anomaly is RG-invariant.

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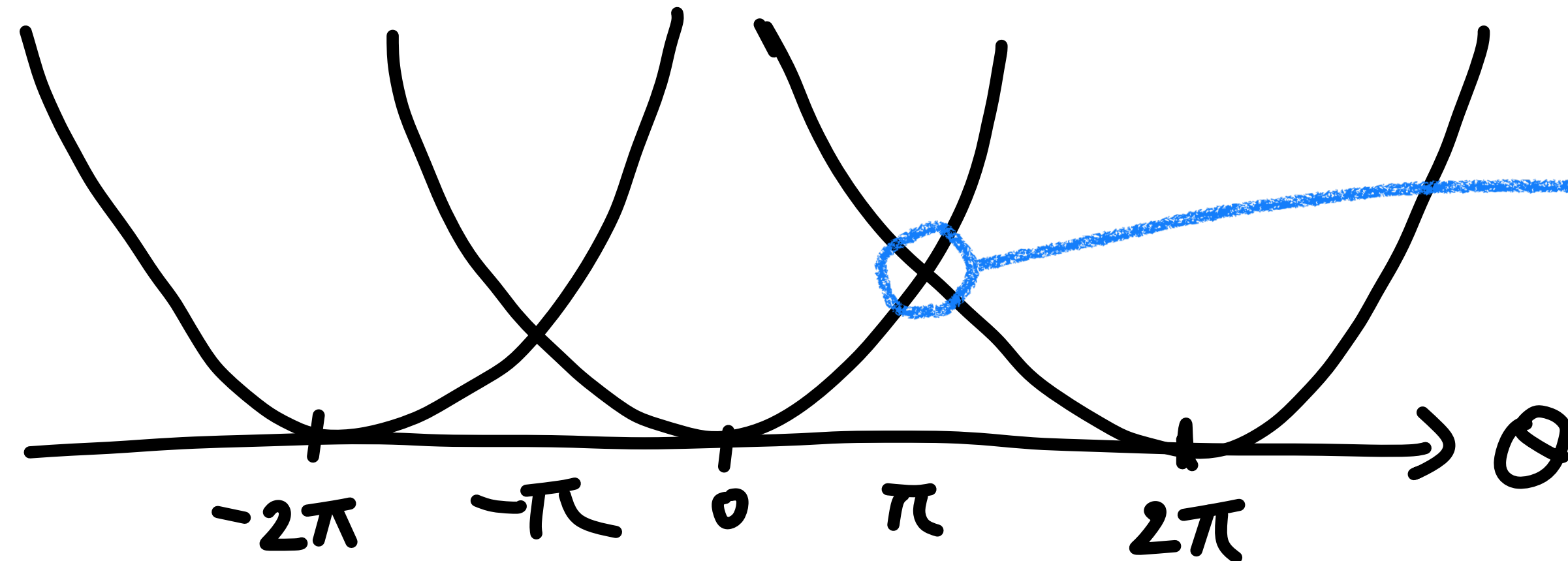
3. QCD (-like) theories

SU(N) Yang-Mills theory

$$S = \frac{1}{g^2} \int |F|^2 + i \frac{\theta}{8\pi^2} \int \text{tr}(F \wedge F)$$

$\theta \times \text{instanton \#} \Rightarrow \theta \sim \theta + 2\pi$

Yang-Mills vacua have interesting response for θ



spontaneous
CP breaking

(Large-N : Witten '80, '98
Chiral model : Dashen '71, Di Vecchia, Veneziano '80
...)

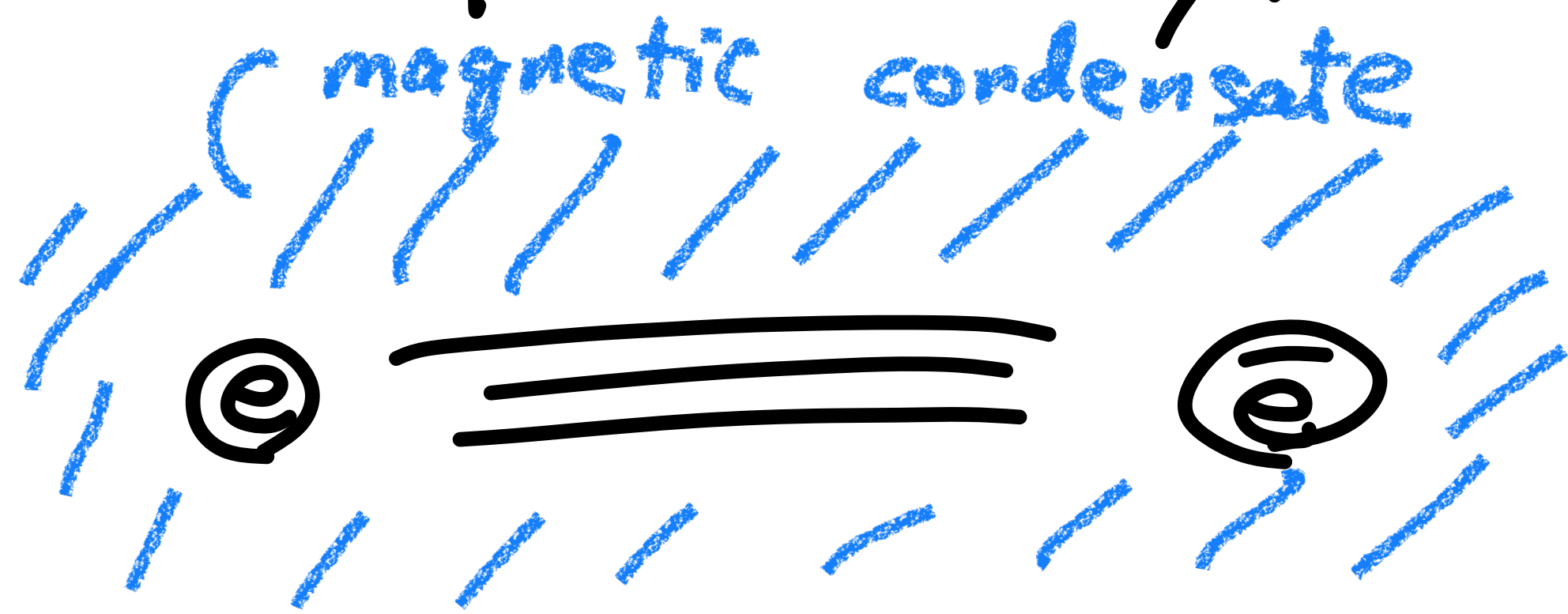
Intuitive explanation via dual superconductivity.

(At least in Abelianized regime)

there are monopole/dyon
in YM theory

$$(\vec{e}, \vec{m}) = (n\vec{\alpha}_i, \vec{\alpha}_i).$$

$$\text{Coulomb energy} \sim g^2 e^2 + \frac{1}{g^2} m^2.$$



With the θ -angle, Witten effect tells

$$\begin{aligned} \text{Coulomb energy} &\sim g^2 \left(e + \frac{\theta}{2\pi} m \right)^2 + \frac{1}{g^2} m^2 \\ &\sim g^2 \left(n + \frac{\theta}{2\pi} \right)^2 \end{aligned}$$

$-\pi < \theta < \pi \Rightarrow$ Monopole (i.e. $n=0$) is preferred as condensate.

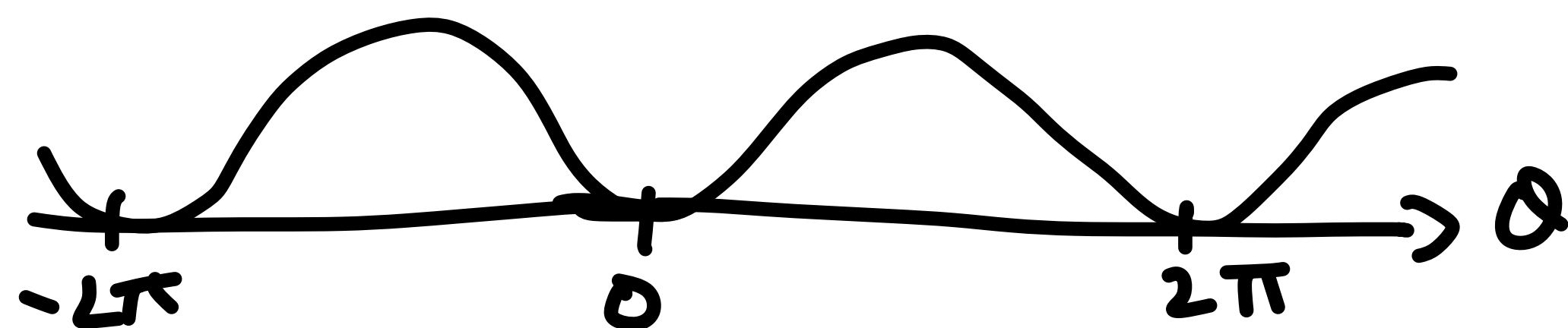
$\pi < \theta < 3\pi \Rightarrow$ Dyon ($n=1$) is preferred. (Hooft '81, Gaiotto, Rabinovici '82)

I like this intuition, but it is also puzzling:

No order parameter distinguishes monopole/dyon condensates.

~> Landau's classification does not require CP-breaking at $\theta = \pi$.

What's wrong with



- This is inconsistent with 't Hooft anomaly involving the center symmetry. (Gaiotto, Kapustin, Komargodski, Seiberg '17)

Center symmetry

Entering the graduate school, learning non-Abelian YM theories, we are told about a **mysterious** sym., center sym.

- Standard story :
- YM does not have ^{global} \wedge symmetry. (except Poincaré & charge-conj.)
 - But, Confinement / Higgs phases are separated.
 - **Once you compactify on a torus, \mathbb{Z}_N sym appears.**
- Polyakov loop $P \rightarrow e^{\frac{2\pi i}{N}} P$.

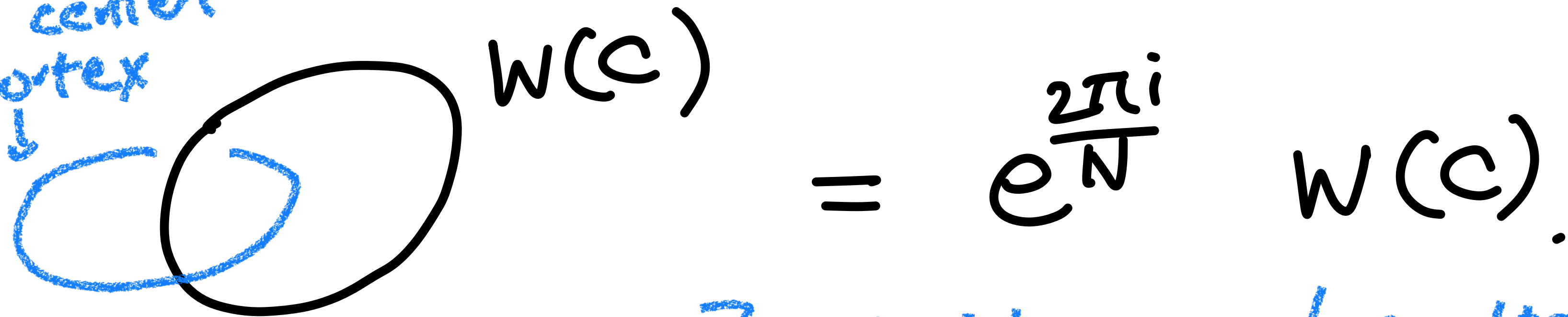
\mathbb{Z}_N 1-form symmetry

1-form symmetry provides a systematic tool to formulate

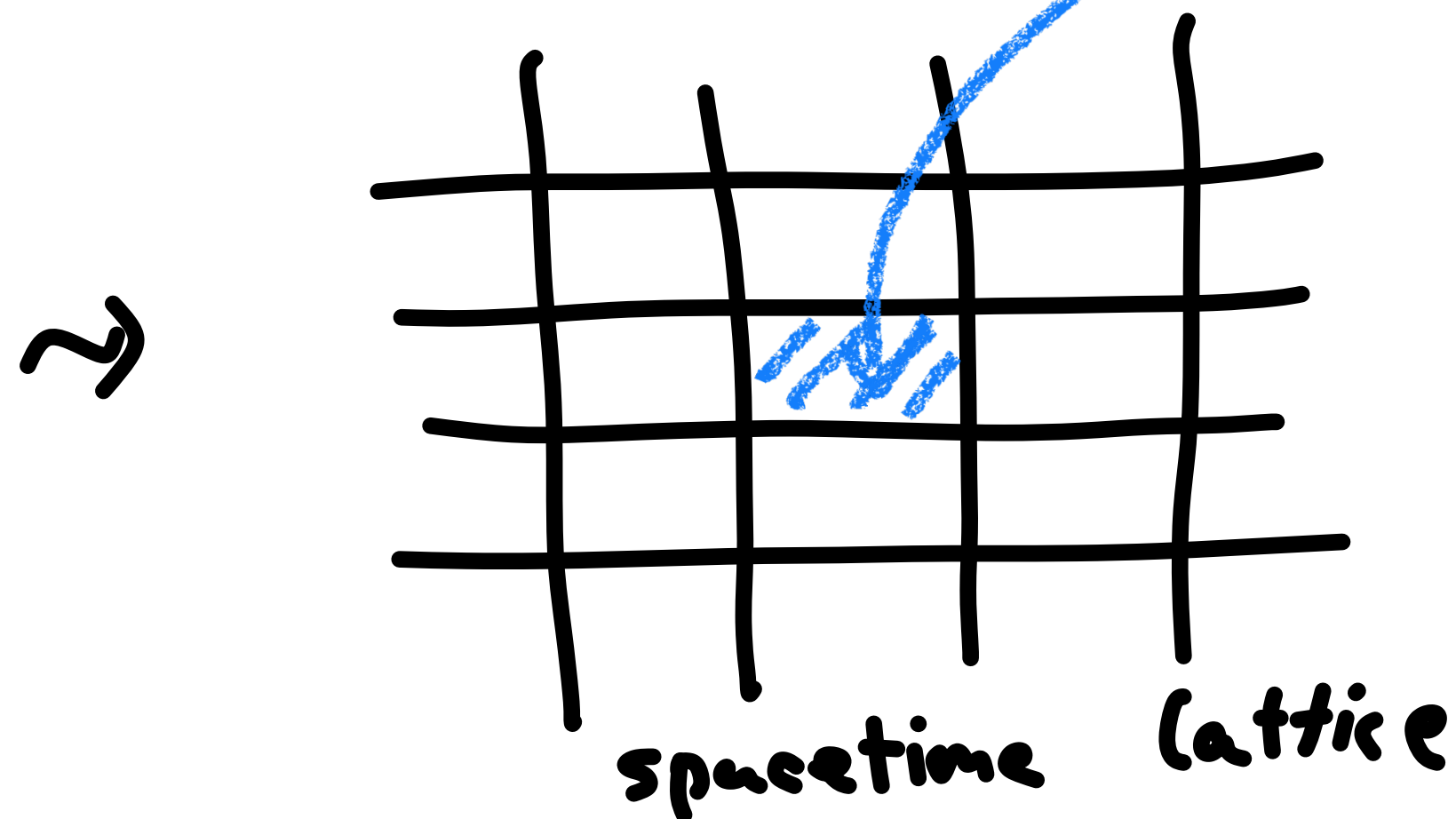
center symmetry on a general 4-dim. spacetime.

(Gaiotto, Kapustin, Seiberg, Willet '14)

(Roughly)
 \mathbb{Z}_N center
vortex



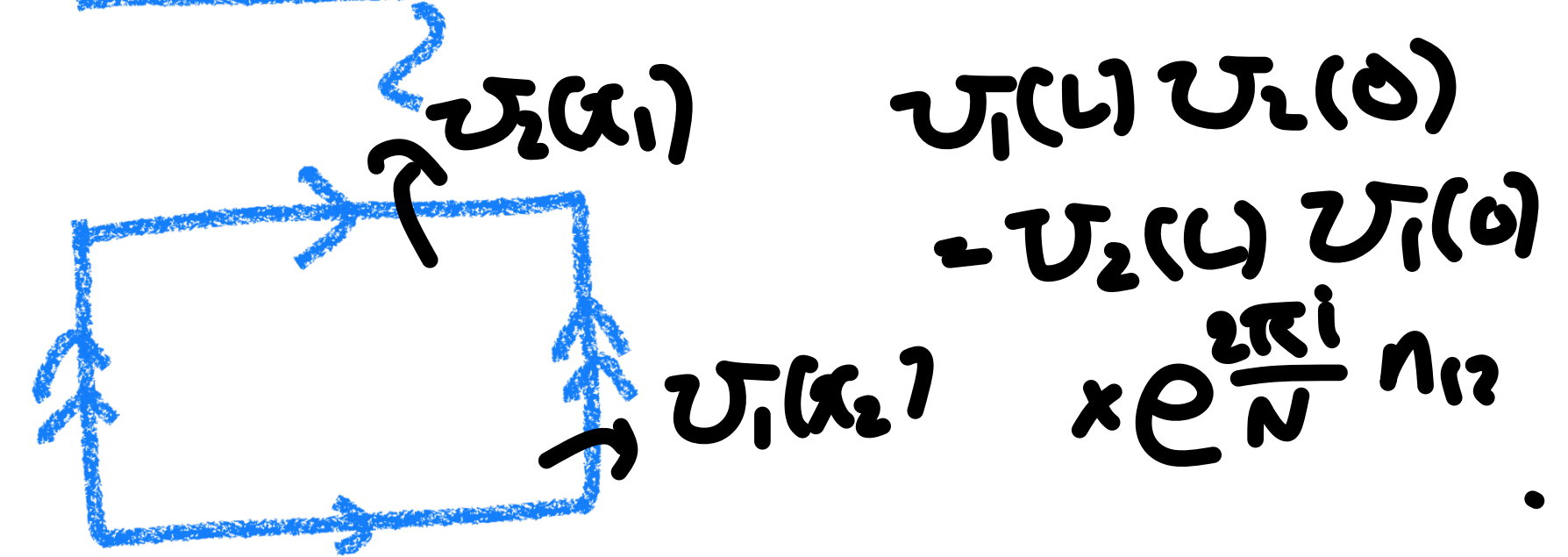
\mathbb{Z}_N -twist on a plaquette. (= Gukov-Witten surface operator)



- Location of the twist can be changed freely \Leftrightarrow Conservation law.
- If the move crosses $W(C)$, \mathbb{Z}_N phase appears.

Using this (abstract/fancy) terminologies,

we can make the precise meaning of 't Hooft twisted b.c.



Gauging of \mathbb{Z}_N 1-form sym

$\Rightarrow \mathbb{Z}_N$ 2-form gauge field B

$\int_{(\mathbb{T}^2)_{1,2}} B = 2\pi n_{12}$ is the 't Hooft twist.

Anomaly involving \mathbb{Z}_N 1-form sym. at $\theta = \pi$.

Introducing 't Hooft twist.

$$Q_{\text{top}} = \underbrace{-\frac{1}{N} \times \frac{n_{ij} \tilde{n}_{ij}}{4}} + \text{integer.} \quad (\text{van Baal '82})$$

$-\frac{N}{4\pi} \int B \wedge B$ in the current terminology.

Using this,

$$\mathcal{Z}_{\theta=0}[B] \xrightarrow{\text{CP}} \mathcal{Z}_{\theta=0}[B]$$

but

$$\mathcal{Z}_{\theta=\pi}[B] \xrightarrow{\text{CP}} \underbrace{e^{-i \frac{N}{4\pi} \int B^2}}_{\text{Anomaly.}} \mathcal{Z}_{\theta=\pi}[B].$$

What do we get?

Monopole/dyon condensing vacua cannot be distinguished by the Landau's order parameter.

However $\overset{\sim}{\text{monopole vacuum}}$

$$Z_{\theta=0}[B] = \underset{\sim}{1} |Z_{\theta=0}[B]|$$

different phase factors with B-field.

$$Z_{\theta=2\pi}[B] = \underset{\sim}{e^{i \frac{N}{4\pi} \int B \wedge B}} |Z_{\theta=0}[B]|$$

$\underset{?}{\text{dyon vacuum}}$

These two states are different as Symmetry-Protected Topological phase with Z_N 1-form symmetry. (Gaiotto, Kapustin, Komargodski, Seiberg '17) (Tanizaki, Kikuchi, '17 ...)

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↳ I'm skipping many details and present results.

Please see the next talk by Takuya Furusawa.

Chiral symmetry vs. Confinement in QCD

QCD with fundamental quarks:



Chiral restoration occurs at the temperature, around which $\langle P \rangle$ rises up.

But, fund. quarks explicitly break center symmetry.

~> Can we make the precise connection?

Two interesting setups

\mathbb{Z}_N -twisted QCD (Kouno et al. '12
Poppitz, Salejmanpasic '13)

Take $N_c = N_f (= N)$ and the $SU(N)_F$ -twisted b.c. for quarks:

$$\hat{\Gamma}_f(x, x_4 + L) = e^{\frac{2\pi i}{N} f} \hat{\Gamma}_f(x, x_4) \quad (f=1, \dots, N).$$

\leadsto There is a center-like sym:

$$P \rightarrow e^{\frac{2\pi i}{N}} P, \quad \hat{\Gamma}_f \rightarrow \hat{\Gamma}_{f+1}.$$

Large- N_c QCD ($N_f = \text{fixed}$)

String-breaking by dynamical quarks is $\frac{1}{N_c}$ -suppressed.

$\leadsto \mathbb{Z}_{N_c}$ center sym. is approximately good.

In these setups, we can show

unbroken center(-like) sym \Rightarrow chiral symmetry breaking
(Tanizaki, (Kikuchi), Misumi, Sakai '17)
(Shimizu, Yonekura '17 ...)

Faithful symmetry of QCD

$$\underline{SU(N_f)_L \times SU(N_f)_R \times U(1)_V}$$

$$\mathbb{Z}_{N_f} \times \mathbb{Z}_{N_c}$$

nontrivial quotient exists.

$$\Rightarrow \left\{ \begin{array}{l} B_f \\ B_c \end{array} \right\}$$

can be introduced

$$\mathbb{Z}[B_c, B_f] \xrightarrow{\text{discrete axial rotation}} \underbrace{e^{i \frac{N}{2\pi} \int B_c \wedge B_f}}_{\text{Anomaly}} \mathbb{Z}[B_c, B_f].$$

Summary

- Symmetry and Anomaly provide us a useful guideline toward interesting nontrivial dynamics
- Of course, this is an "antique" technology, but many new results are still found there.