

Conference
@YITP
30th July 2008

Recent Developments of Topological Vertex

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TOPOLOGICAL STRINGS

- A-model

Twisted topological sigma-model on Riemann surface whose target space is Calabi-Yau

$$\begin{aligned} S^A &= \int_{\Sigma_g} d^2 z \sqrt{g} G_{i\bar{j}} g^{\mu\nu} \partial_\mu X^i \partial_\nu X^{\bar{j}} + i\epsilon^{\mu\nu} \partial_\mu X^i \partial_\nu X^{\bar{j}} + \dots \\ &= \{Q, V(X, \rho, \chi)\} + \int_{\Sigma_g} X^*(\omega) \end{aligned}$$



SUSY localization

$$\partial_{\bar{z}} X^i = \partial_z X^{\bar{i}} = 0$$

Thus A-model topological string theory counts holomorphic maps from worldsheets to the Calabi-Yau.

APPLICATIONS OF TOPOLOGICAL STRINGS

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Donaldson-Thomas

Twister amplitudes

Seiberg-Witten Theory

Knot Theory

topological
strings

Dijkgraaf-Vafa

Gromov-Witten Theory

Black Holes

Mirror Symmetry

Matrix Models

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- Refined topological vertex
- Applications

1. Topological Vertex

- Topological Strings

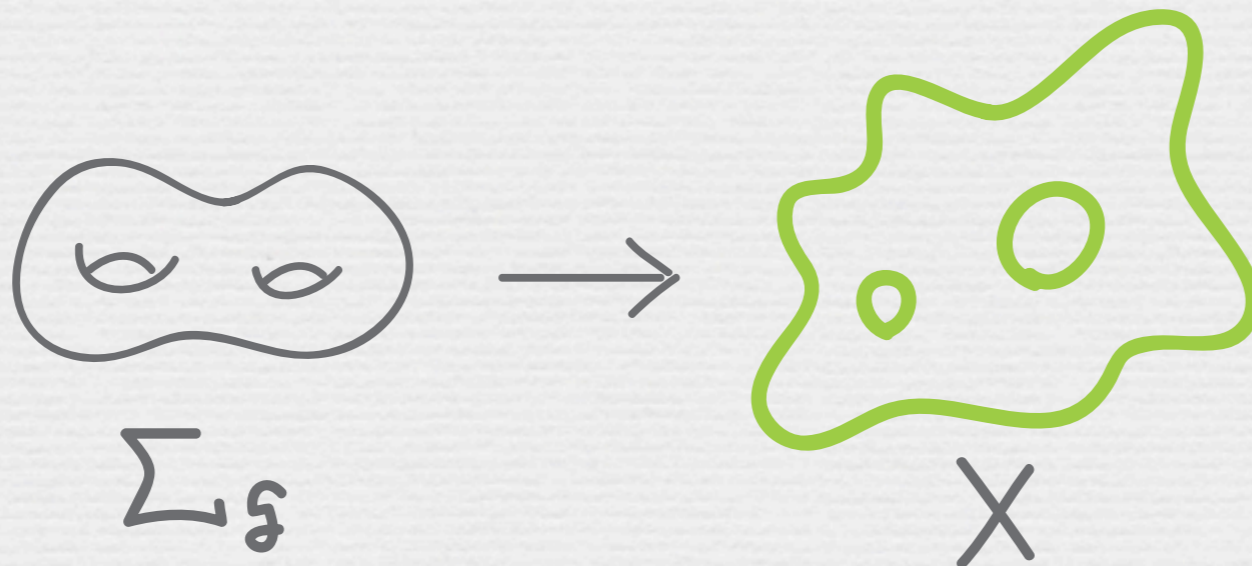
We want to compute A-model topological string amplitudes for Calabi-Yau X

$$F_g = \sum_{\Sigma \in H_2(X, \mathbb{Z})} N_{g, \Sigma} e^{-t_\Sigma}$$

Gromov-Witten invariant
 $f : \Sigma_g \rightarrow \Sigma \subset X$

$$Z = \exp \sum_{g=0}^{\infty} \hbar^{2g-2} F_g(t)$$

\hbar : topological string coupling constant



Thus topological string theory is constructed as a model of strings which propagate on Calabi-Yau 3-fold. In general, it is very hard to get the full partition function via straightforward computation. However, for the certain class of Calabi-Yau's, **we can compute the partition function exactly** using dualities !!



Example : topological vertex

- Modern "definition" of topological strings [Gopakumar-Vafa, '98]

What is the meaning of topological strings in the context of superstrings ?

➔ **BPS state counting**

A-model on X ➔ F-terms of **effective theory of Type IIA superstrings** compactified on X
 embedding [BCOV]

The general form of the effective action is

$$\sum_{g=0}^{\infty} \int d^4 x d^4 \theta W^{2g} F_g(t_i) = \int d^4 x \left[\tau_{ij} F_{\mu\nu}^i F^{j\mu\nu} + \sum_{g=1}^{\infty} F_g(t_i) R_+^2 F_+^{2g-2} \right]$$

graviphoton

↙

effective coupling of massless $U(1)$ gauge fields

$$\tau_{ij} = \partial_i \partial_j F_0(t_i)$$

free energy = prepotential

It was argued that vector multiplets and hyper multiplets are decoupled in the effective action (up to two derivative).

prepotential \longrightarrow Kahler moduli fields \longrightarrow vector mult.

IIA dilaton \longrightarrow hyper mult.

We can evaluate it in strong superstring coupling limit $g_s \longrightarrow \infty$

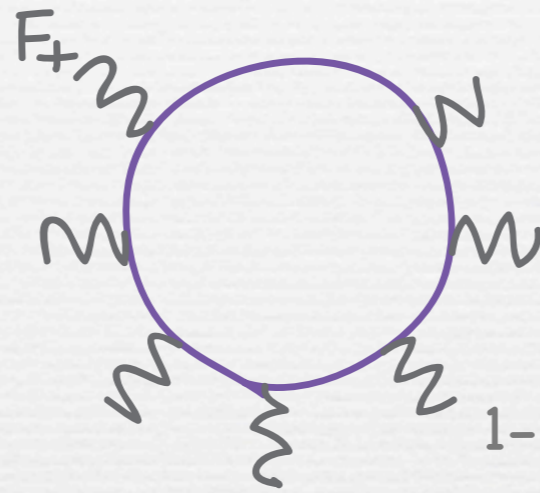
$$m_\Sigma = \frac{t_\Sigma}{g_s} \longrightarrow \text{massless}$$

\longrightarrow BPS states coming from D2-branes wrapping 2-cycles inside X become massless

\downarrow integrating out these BPS particles with small masses

effective action (F-terms)

Topological strings count degeneracies of wrapped M2-branes (dimensions of M2-brane moduli space with spins (j_L, j_R))



1-loop amplitude of BPS particles coupling to the background field

$$\mathcal{F} = \sum_{\Sigma \in H_2(M, \mathbb{Z})} \sum_{n \in \mathbb{Z}} \sum_{j_L, j_R} \boxed{N_{\Sigma}^{(j_L, j_R)}} \log \det_{(j_L, j_R)} (\Delta + m_{(\Sigma, n)}^2 + 2m_{(\Sigma, n)} \sigma_L F_+)$$

$$= \sum_{\Sigma \in H_2(M, \mathbb{Z})} \sum_{k=1}^{\infty} \sum_{j_L} N_{\Sigma}^{j_L} (-1)^{-2j_L} e^{-k T_{\Sigma}} \frac{\sum_{l=-j_L}^{j_L} q^{-2kl}}{k (q^{k/2} - q^{-k/2})^2}$$

$$F_+ = -\hbar$$

$$N_{\Sigma}^{j_L} = \sum_{j_R} (-1)^{-2j_R} (2j_R + 1) N_{\Sigma}^{(j_L, j_R)}$$

$$\sum_{j_L} N_{\Sigma}^{j_L} [j_L] = \sum_{g=0}^{\infty} n_{\Sigma}^g [2(0) + (1/2)] \otimes^g$$

change of representation basis

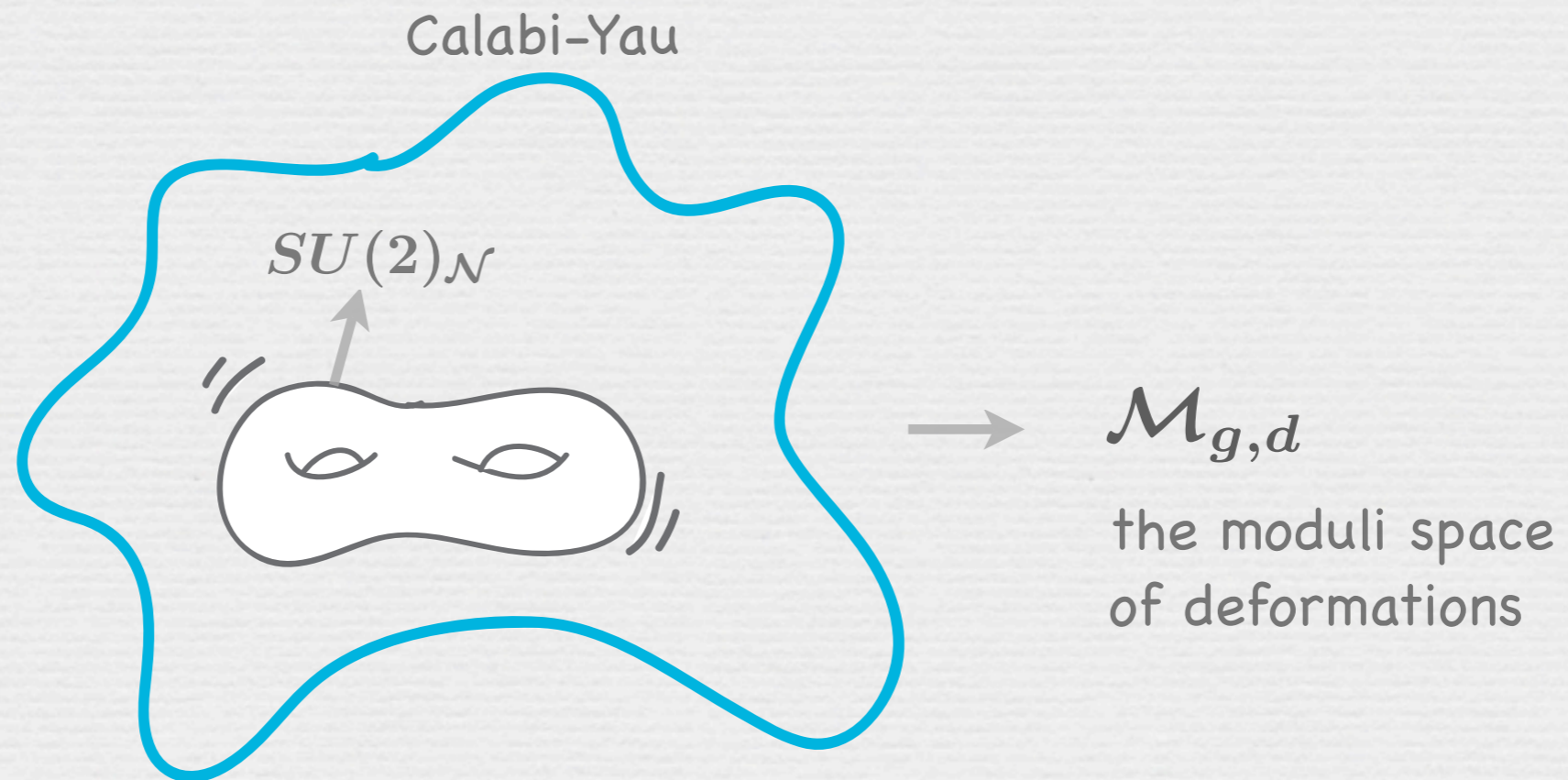
Gopakumar-Vafa invariants

World volume fields on a single wrapped M2-brane are

$$SO(8)_R \rightarrow SU(2)_L \times SU(2)_R \times SU(2)_\mathcal{N}$$

$$\psi \quad \delta_s \rightarrow \left(0, \frac{1}{2}\right) \otimes \left(\frac{1}{2}\right) \quad \text{superdeformation}$$

$$\phi \quad \delta_v \rightarrow (0, 0) \otimes \left(\frac{1}{2}\right) \quad \text{deformation}$$



→ supersymmetric sigma model on $\mathcal{M}_{g,d}$. We can count boundstates by quantizing these zero modes.

→ The degeneracies of bound states of wrapped M2-branes are extracted from the Hilbert space $H^*(\mathcal{M}_{g,d})$



The Hilbert space is graded with $SU(2)_R$ R-charge of fermi fields. We take trace over the charges with the weight $(2j_R^3 + 1)(-1)^{2j_R^3}$.

Let us consider curves inside the C-Y mfd in class $\Sigma = \sum_i d_i [\Sigma_i]$

Then the Gopakumar-Vafa invariants are given by

$$n_d^g = (-1)^{\dim \mathcal{M}_{g,d}} \chi(\mathcal{M}_{g,d})$$

- Local Calabi-Yau manifolds & toric Calabi-Yau manifolds

Uses of toric Calabi-Yau

- Total space which realize a Calabi-Yau manifold as a hypersurface inside it
(generalization of quintic for $\mathbb{C}P^4$)



Construction of mirror pair (Batyrev)

- Local models of Calabi-Yau manifolds
(describe the structure in the neighborhood of singularity)



Geometric engineering

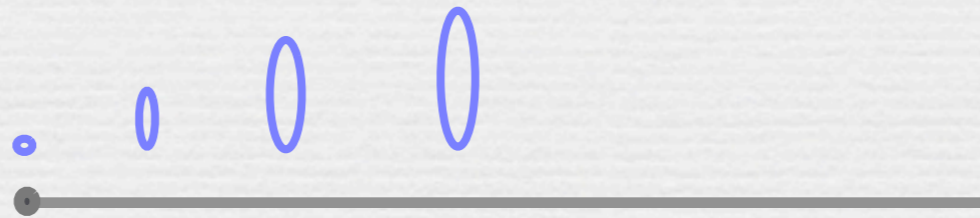
AdS/CFT

.....

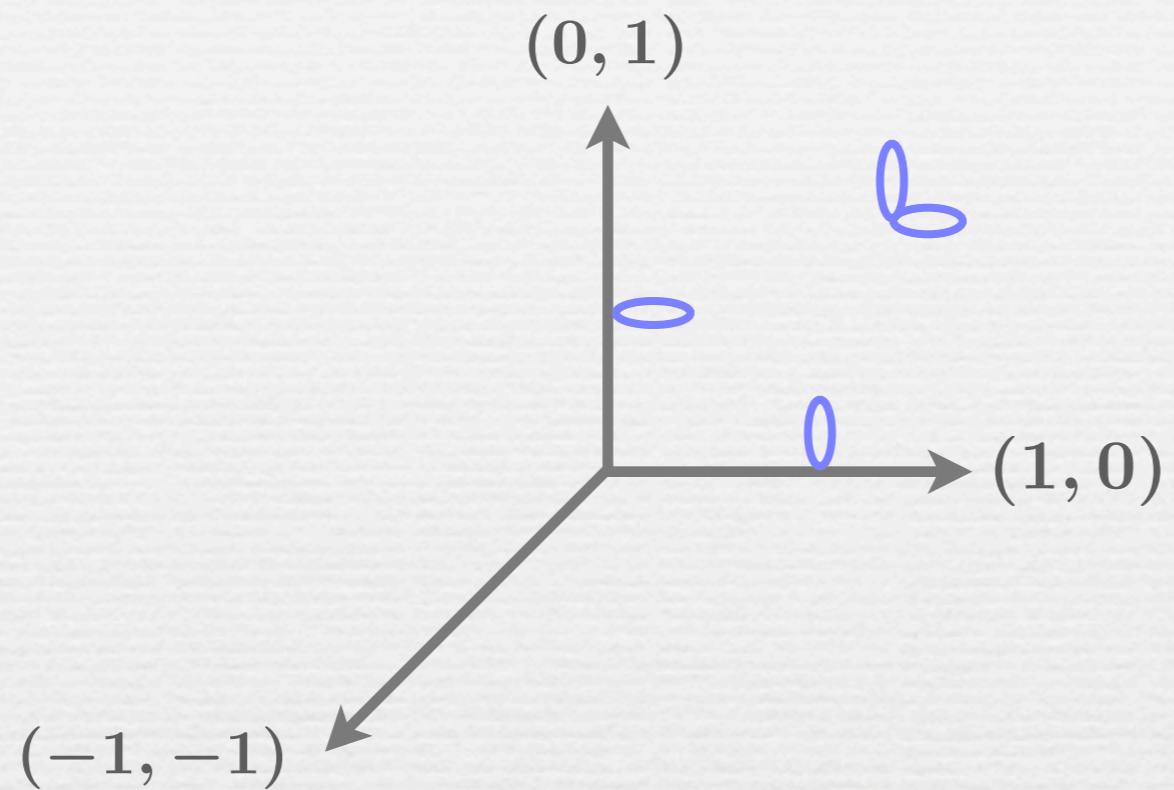
- Toric Calabi-Yau manifold

- \mathbb{C}^1

$$z = |z|e^{i\theta} \quad T^1(\theta) \text{ fibration over } \mathbb{R}(|z|)$$



• \mathbb{C}^3

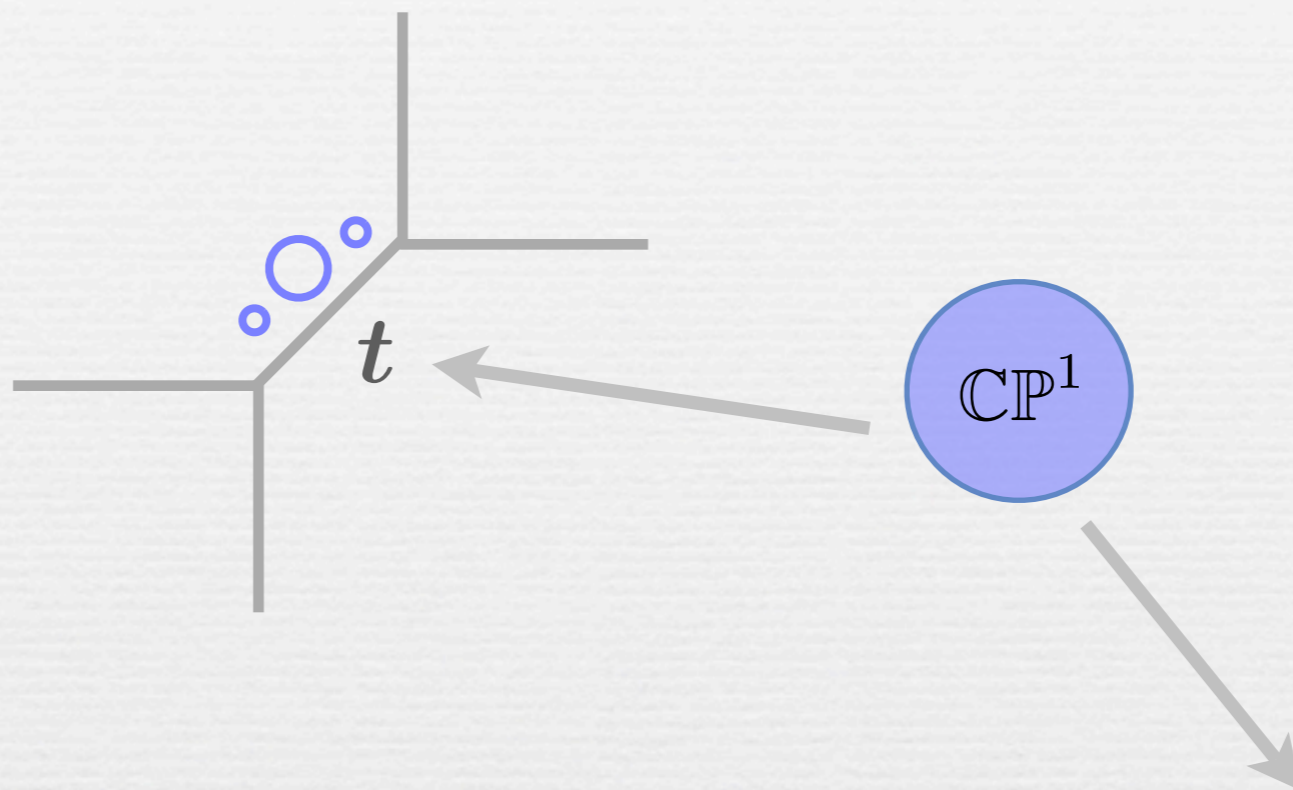


We are focusing on T^2 -action

$$e^{\alpha r_\alpha + \beta r_\beta} : (z_1, z_2, z_3) \rightarrow (e^{i\alpha} z_1, e^{-i\beta} z_2, e^{-i\alpha + i\beta} z_3)$$

- Resolved conifold

$$\mathcal{O}(-1) \oplus \mathcal{O}(-1) \rightarrow \mathbb{P}^1$$



$$\det \begin{pmatrix} A_1 & A_2 \\ B_1 & B_2 \end{pmatrix} = 0 \quad \longrightarrow \quad \begin{pmatrix} A_1 & A_2 \\ B_1 & B_2 \end{pmatrix} \begin{pmatrix} \lambda_1 \\ \lambda_2 \end{pmatrix} = 0$$

conifold (singular)

resolved conifold

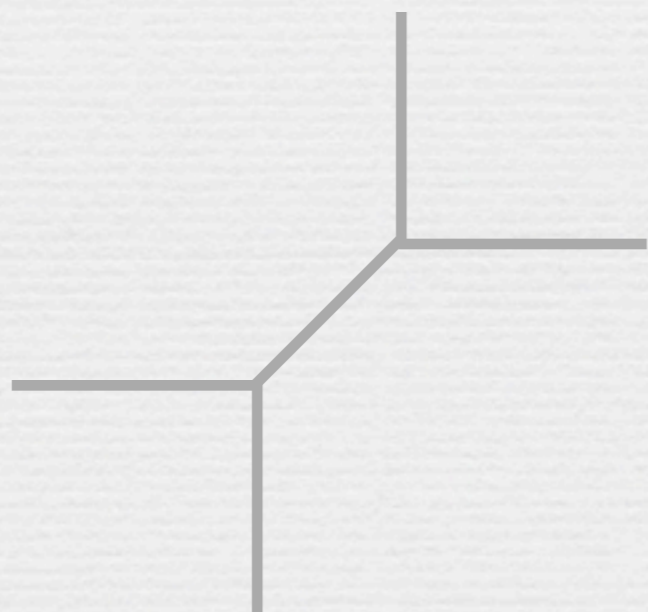
$$\mathcal{O}(n) \rightarrow \mathbb{C}\mathbb{P}^1$$

$$\{\phi\} \quad \{z\}$$

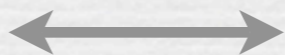
$$z_S = \frac{1}{z_N}$$

$$\phi_S = (z_N)^n \phi_N$$

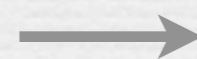
- Geometric transition



closed A-model on
resolved conifold



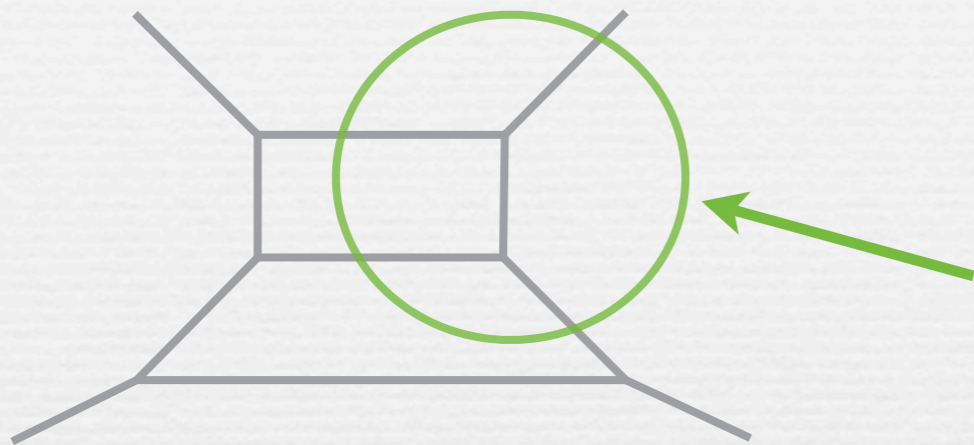
open A-model on
deformed conifold



Chern-Simons theory
[Witten, '93]

- Topological Vertex & toric Calabi-Yau manifolds

How to compute topological string amplitudes for toric Calabi-Yau manifolds ?



Locally they look like a conifold



Geometric transition enable us to calculate these amplitudes using Chern-Simons theory

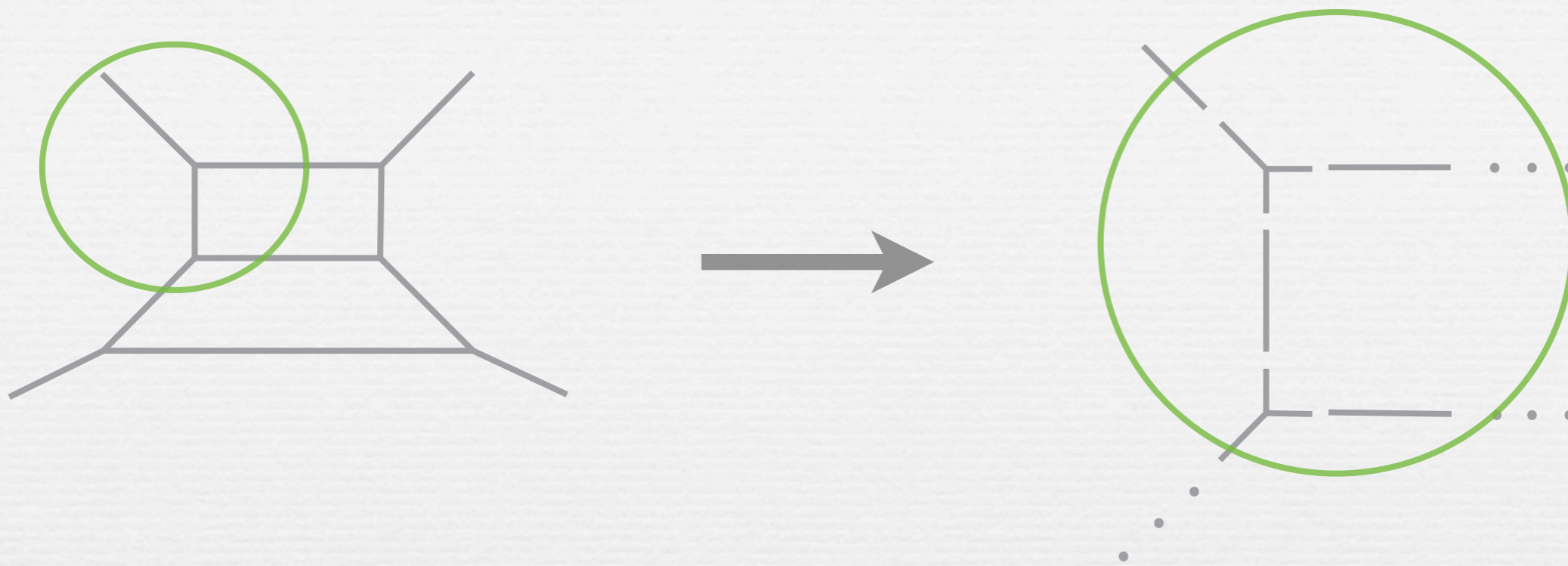


Topological vertex [AMKV, '03]

1. Decompose a toric web-diagram into vertices and propagators
2. Assign Young diagrams for each edges of these parts

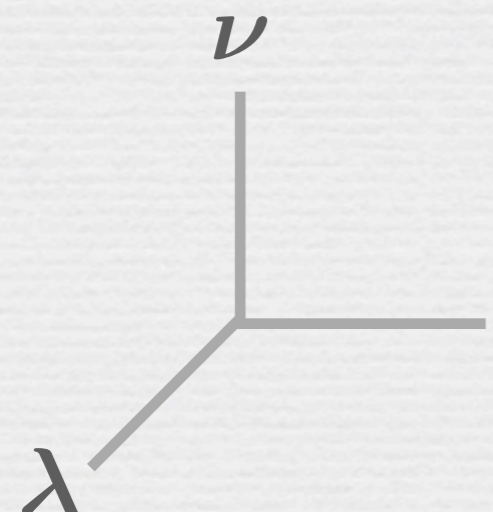

$$\mu = \{ \mu_i \in \mathbb{Z}_{\geq 0} \mid \mu_1 \geq \mu_2 \geq \dots \}$$

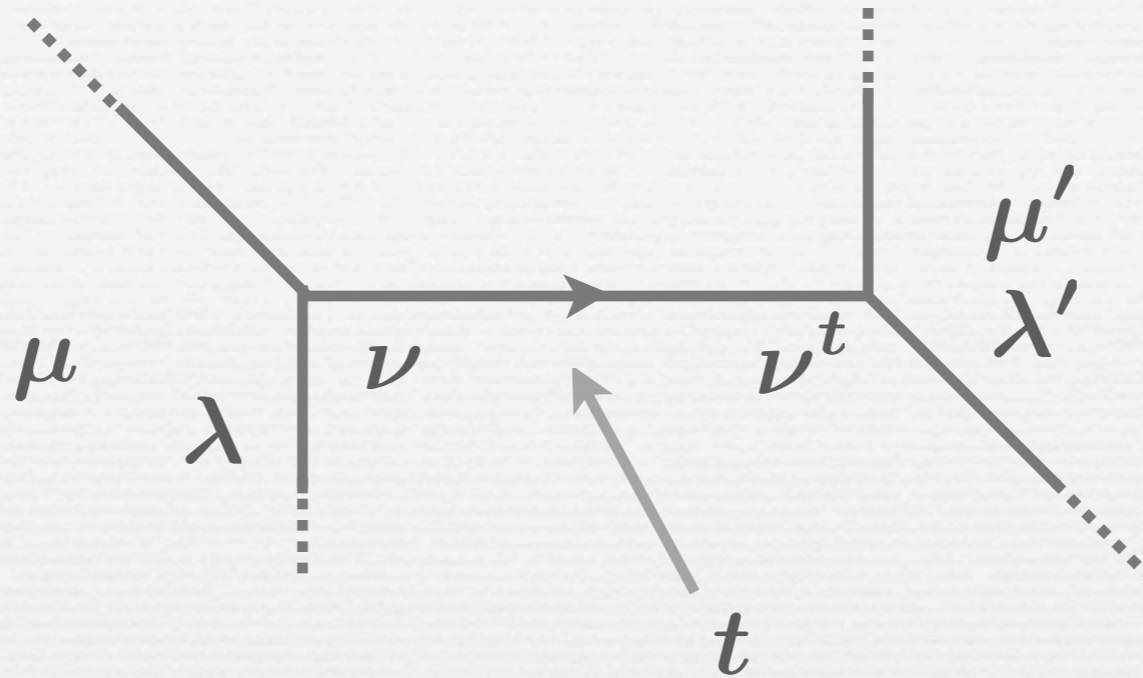
3. Glue them to get topological string partition function



▲ Decomposition of toric web-diagram

Parts

1.  μ : trivalent vertex ... local \mathbb{C}^3 patch \longrightarrow $C_{\lambda, \mu, \nu}$
vertex function
2.  : edes ... \mathbb{CP}^1 \longrightarrow $f_{\mu}(q)^n (-1)^{|\mu|} e^{-t|\mu|} \delta_{\mu, \nu}^t$
framing factor & propagator



Gluing along a leg is done by the following procedure

$$Z = \dots \sum_{\nu} C_{\lambda\mu\nu} (-1)^{|\nu|} e^{-t|\nu|} (f_{\nu})^n C_{\lambda'\mu'\nu^t} \dots$$

vertex function

propagator

framing factro

$$C_{\lambda\mu\nu}(q) = q^{\kappa_{\mu}/2} s_{\nu^t}(q^{-\rho}) \sum_{\eta} s_{\lambda^t/\eta}(q^{-\nu-\rho}) s_{\mu/\eta}(q^{-\nu^t-\rho})$$

- Schur functions & free fermions

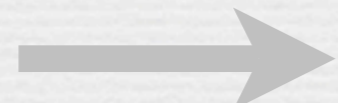
The modes of free fermions in 2-dim is given by

$$\left\{ \psi_i, \psi_j^* \right\} = \delta_{i+j,0}, \quad \left\{ \psi_i, \psi_j \right\} = \left\{ \psi_i^*, \psi_j^* \right\} = 0, \quad i, j \in \mathbb{Z} + 1/2.$$

Bozonization of these fermions gives

$$\alpha_n = \sum_{j \in \mathbb{Z} + 1/2} : \psi_{-j+n} \psi_j^* :$$

$$[\alpha_m, \alpha_n] = m \delta_{m+n,0}$$


$$V_{\pm}(x_i) = \exp \left[\sum_{i=1, n=1} \frac{x_i^n}{n} \alpha_{\pm} \right]$$

Vertex operators

Let us introduce the Frobenius coordinate

$$a_i(R) = R_i - i, \quad b_i(R) = R_i^t - i$$



$$\longrightarrow |R\rangle = (-1)^{r(r-1)/2 + \sum_{j=1}^{r(R)} b_j} \prod_{j=1}^{r(R)} \psi_{-a_j - 1/2} \psi_{-b_j - 1/2}^* |0\rangle$$

They satisfies the completeness relation $\sum_{\mu} |\mu\rangle \langle \mu| = 1$

$$s_{R/Q}(x_i) = \langle R | V_-(x_i) | Q \rangle = \langle Q | V_+(x_i) | R \rangle$$

- Conventions

$$C_{\lambda\mu\nu}(q) = q^{\kappa_{\mu}/2} s_{\nu^t}(q^{-\rho}) \sum_{\eta} s_{\lambda^t/\eta}(q^{-\nu-\rho}) s_{\mu/\eta}(q^{-\nu^t-\rho})$$

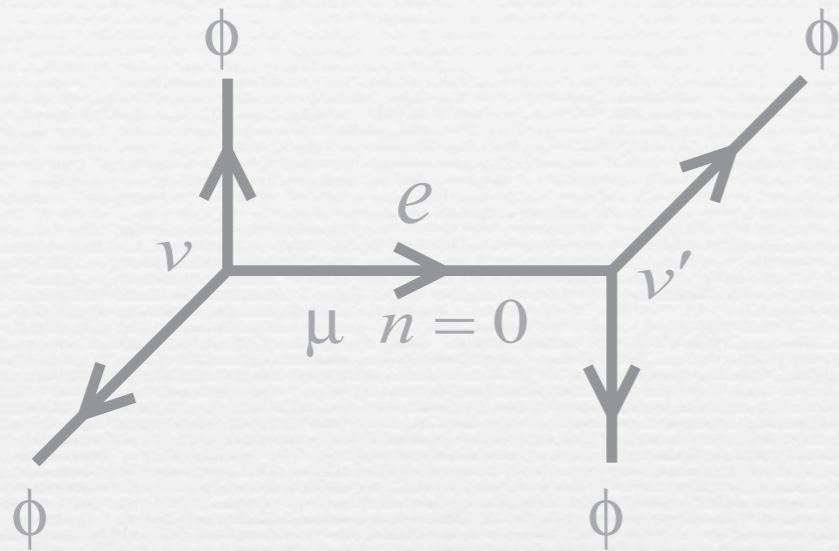
$$q^{-\rho} \longleftrightarrow x_i = q^{i-\frac{1}{2}} \quad i = 1, 2, 3, \dots$$

$$q^{-\mu-\rho} \longleftrightarrow x_i = q^{-\mu_i+i-\frac{1}{2}}$$

$$|\mu| = \sum_i \mu_i \quad \|\mu\|^2 = \sum_i \mu_i^2$$

$$\begin{aligned} \kappa_{\mu} &= \sum_i \mu_i(\mu_i + 1 - 2i) \\ &= \sum_i \mu_i^2 - \sum_j \mu_j^t{}^2 = -\kappa_{\mu^t} \end{aligned}$$

Ex: Conifold



$$Q = e^{-t}$$

$$q = e^{-\hbar}$$

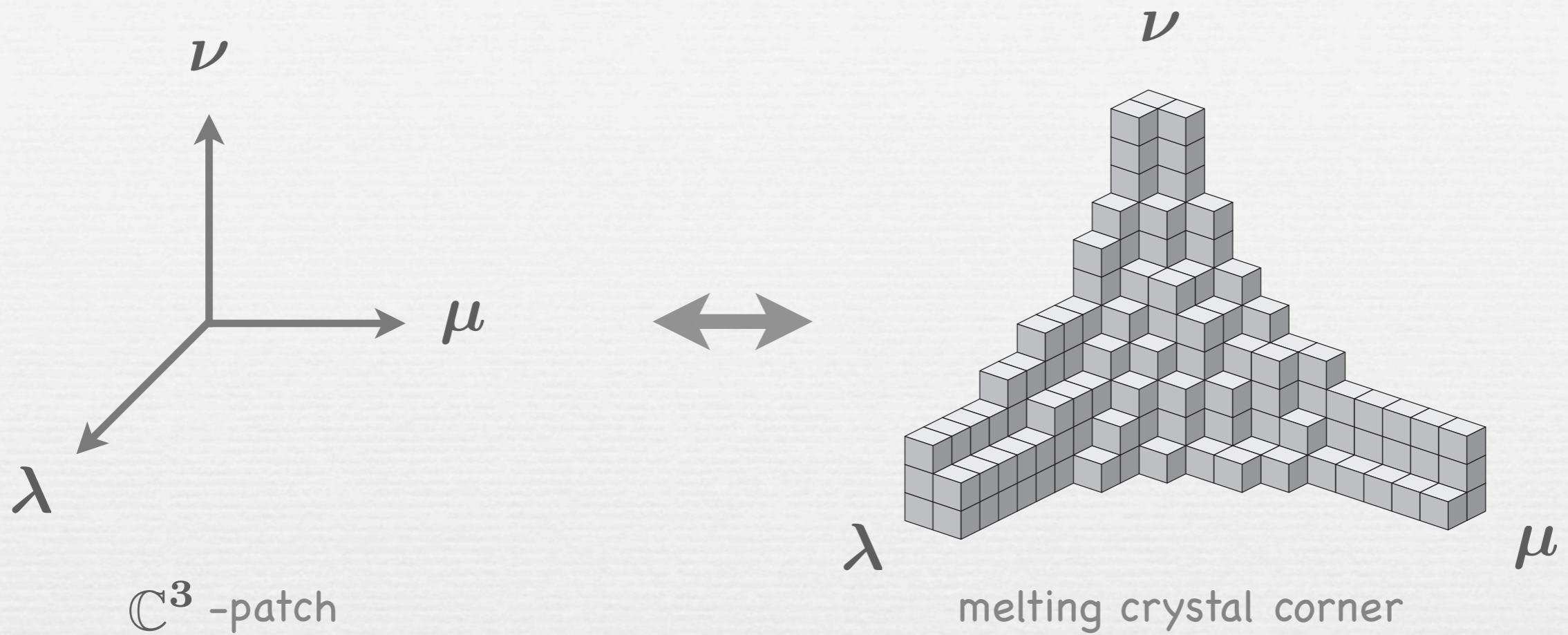
$$\begin{aligned} Z &= \sum_{\nu} C_{\phi\phi\nu} (-Q)^{|\nu|} C_{\phi\phi\nu}^t \\ &= \sum_{\nu} s_{\nu}(q^{-\rho}) (-Q)^{|\nu|} s_{\nu}^t(q^{-\rho}) \\ &= \prod_{n=1}^{\infty} (1 - Qq^n)^n \end{aligned}$$

Formulae

$$s_{\mu}(Qx) = Q^{|\mu|} s_{\mu}(x)$$

$$\sum_{\mu} s_{\mu}^t(x) s_{\mu}(y) = \prod_{i,j} (1 + x_i y_j)$$

- Duality to Crystal melting [Okounkov-Leshetikin-Vafa, '04]



grand-canonical ensemble melting crystals

topological vertex !

$$Z_{\lambda, \mu, \nu} = \sum_{\text{crystals}} e^{-\hbar \#(\text{boxes})} \longrightarrow C_{\lambda, \mu, \nu}$$

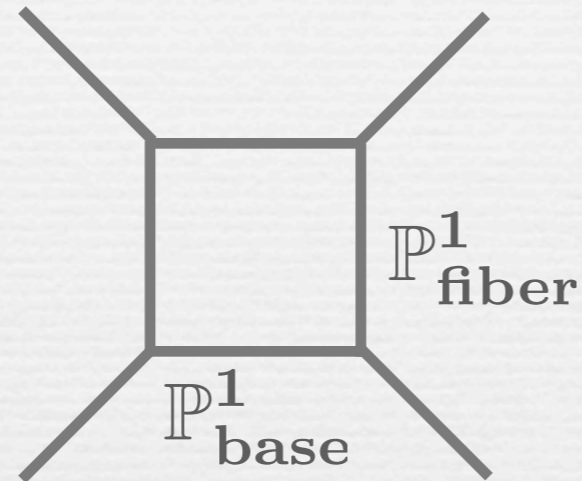
$$\frac{1}{k_B T} = \hbar$$

From the melting crystals picture, there exist cyclic symmetries

$$C_{\lambda,\mu,\nu}(q) = C_{\mu,\nu,\lambda}(q) = C_{\nu,\lambda,\mu}(q)$$

- Geometric Engineering [Iqbal-KashaniPoor, '04],[Eguchi-Kanno, '04]

Local Hirzhebruch : $K \rightarrow \mathbb{P}^1 \times \mathbb{P}^1$



$$Z = \sum_{\mu_1, \mu_2, \mu_3, \mu_4} Q_F^{|\mu_1| + |\mu_3|} Q_B^{|\mu_2| + |\mu_4|} q^{-\kappa_{\mu_1}/2 + \kappa_{\mu_2}/2 - \kappa_{\mu_3}/2 - \kappa_{\mu_4}/2} \\ \times C_{\phi \mu_1 \mu_4^t} C_{\phi \mu_2^t \mu_1^t} C_{\mu_2 \phi \mu_3} C_{\phi \mu_4 \mu_3^t}$$

$$= \sum_{\mu_2, \mu_4} Q_B^{|\mu_2| + |\mu_4|} q^{+\kappa_{\mu_2}/2 - \kappa_{\mu_4}/2} K_{\mu_4 \mu_2}(Q_F) K_{\mu_2^t \mu_4^t}(Q_F)$$

$$\begin{aligned}
K_{\mu\nu} &= \sum_{\lambda} Q_F^{|\lambda|} q^{-\kappa_{\lambda}/2} C_{\phi\lambda\mu^t} C_{\nu^t\lambda^t\phi} \\
&= s_{\mu^t}(q^{-\rho}) s_{\nu}(q^{-\rho}) \sum_{\lambda} Q_F^{|\lambda|} s_{\lambda}(q^{-\mu-\rho}) s_{\lambda}(q^{-\nu^t-\rho}) \\
&= q^{\|\mu\|^2/2 + \|\nu^t\|^2/2} \tilde{Z}_{\mu^t}(q) \tilde{Z}_{\nu}(q) \prod_{i,j=1}^{\infty} \frac{1}{1 - Q_F q^{-\mu_i - \nu^t_j + i + j - 1}}
\end{aligned}$$

$$s_{\mu}(q^{-\rho}) = q^{\|\mu^t\|^2/2} \prod_{s \in \mu} (1 - q^{h_{\mu}(s)})^{-1} = q^{\|\mu^t\|^2/2} \tilde{Z}_{\mu}(q)$$

Let us extract the instanton part from the above partition function

$$Z(Q_F, Q_B) = Z^{\text{pert.}}(Q_F) Z^{\text{inst.}}(Q_F, Q_B)$$

$$Z^{\text{pert.}}(Q_F) \equiv K_{\phi\phi}(Q_F)^2 = \left[\prod_{i,j=1}^{\infty} \frac{1}{1 - Q_F q^{i+j-1}} \right]^2$$

Then we obtain

$$Z^{\text{inst.}}(Q_F, Q_B) \sum_{\mu, \nu} Q_B^{|\mu|+|\nu|} q^{\|\mu\|^2 + \|\nu^t\|^2} \tilde{Z}_\mu(q) \tilde{Z}_{\mu^t}(q) \tilde{Z}_\nu(q) \tilde{Z}_{\nu^t}(q) \left[\prod_{i,j=1}^{\infty} \frac{1 - Q_F q^{+i+j-1}}{1 - Q_F q^{-\mu_i - \nu_j^t + i+j-1}} \right]^2$$

Identification with gauge theory parameters

$$Q_B = (\beta\Lambda)^4 \quad Q_F = e^{-4\beta a} \quad q = e^{-2\beta\hbar}$$

Under this identification, this topological string partition function is precisely the Nekrasov partition function of SU(2) gauge theory on $\mathbb{R}^4 \times S^1_\beta$

$$Z^{\text{inst.}} = \sum_{k=0}^{\infty} 4^k (e^{-\beta a} \beta\Lambda)^{4k} \times \sum_{|\mu|+|\nu|=k} W_\mu^2(q) W_{\nu^t}^2(q) \prod_{n \in \mathbb{Z}} (1 - e^{-2\beta(2a+n\hbar)})^{-2C_n(\mu, \nu^t)}$$

$$W_\mu(q) = s_\mu(q^\rho)$$

2. Refined Topological Vertex

- Seiberg-Witten theory

Low energy effective action of $\mathcal{N} = 2$ gauge theory is described by Seiberg-Witten prepotential

$$S_{\text{eff}} = \int \tau_{ij} F_{\mu\nu}^i F^{j\mu\nu} d^4x + \dots$$

$$\tau_{ij} = \frac{\partial^2}{\partial a_i \partial a_j} \mathcal{F}(a, \Lambda)$$

Low energy effective theory is Higgsed $SU(N) \rightarrow U(1)^{N-1}$ by vev

$$\langle \Phi \rangle = \text{diag}(a_1, \dots, a_N)$$

$F_{\mu\nu}^i$ $i = 1, \dots, N-1$ are Cartans $U(1)^{N-1}$

- Nekrasov formulae [Nekrasov, '02]

Nekrasov gave the generating function of Seiberg-Witten prepotential via instanton calculus

$$Z^{\text{Nek.}}(a, \Lambda, \hbar) = \exp \sum_{g=0}^{\infty} \hbar^{2g-2} \mathcal{F}_g(a, \Lambda)$$

$$\mathcal{F}_0(a, \Lambda) = \mathcal{F}^{\text{SW}}(a, \Lambda), \quad \mathcal{F}^{\text{SW}} = \lim_{\hbar \rightarrow 0} \hbar^2 \log Z^{\text{Nek.}}$$

It is given by a specific sigma model on instanton moduli space

$$Z^{\text{Nek.}} = \sum_{k=0}^{\infty} \Lambda^{2Nk} Z_k$$

$$Z_k = \int_{\mathcal{M}(N,k)} \mathcal{D}\mu e^{-Q\Psi}$$

In general, Nekrasov formula has two parameters $\hbar \rightarrow \epsilon_1, \epsilon_2$

Explicit expression for Nekrasov partition function is

$$Z^{\text{Nek.}}(\vec{a}, \Lambda, \epsilon_1, \epsilon_2) = \sum_{\{\mu_\alpha\}} e^{-\frac{1}{2}N|\vec{\mu}|(\epsilon_1 + \epsilon_2)} \Lambda^{2N|\vec{\lambda}|}$$

$$\prod_{\alpha, \beta=1}^N \frac{1}{\prod_{s \in \mu_\alpha} (1 - e^{-(a_{\mu_\alpha} + 1)\epsilon_1 + l_{\mu_\beta}\epsilon_2 + a_\alpha - a_\beta})}$$

$$\frac{1}{\prod_{t \in \mu_\beta} (1 - e^{a_{\mu_\beta}\epsilon_1 - (l_{\mu_\alpha} + 1)\epsilon_2 + a_\alpha - a_\beta})}$$

Recall that the partition function is precisely the topological string partition function of $SU(N)$ -geometry for $\epsilon_1 = -\epsilon_2 = \hbar$


$$Z^{\text{Nek.}}(\vec{a}, \Lambda, \epsilon_1 = -\epsilon_2 = \hbar) = Z^{\text{A-model}}(t_i, \hbar)$$

So it is very natural to expect that there exist the extension of topological string which recover the Nekrasov's partition function for $\epsilon_1 \neq -\epsilon_2$

- Refinement in superstrings

Let us consider BPS state counting under non self-dual background in order to "refine" the generating function

$$\begin{aligned}
 \mathcal{F} &= \sum_{\Sigma \in H_2(M, \mathbb{Z})} \sum_{n \in \mathbb{Z}} \sum_{j_L, j_R} N_{\Sigma}^{(j_L, j_R)} \log \det_{(j_L, j_R)} (\Delta + m_{(\Sigma, n)}^2 + 2m_{(\Sigma, n)} \sigma_L (F_+ + F_-)) \\
 &= \sum_{\Sigma \in H_2(M, \mathbb{Z})} \sum_{k=1}^{\infty} \sum_{j_L, j_R} N_{\Sigma}^{(j_L, j_R)} (-1)^{-2(j_L + j_R)} e^{-k T_{\Sigma}} \frac{\left(\sum_{l=-j_L}^{j_L} (tq)^{-2kl} \right) \left(\sum_{m=-j_R}^{j_R} (t/q)^{-2km} \right)}{k (t^{k/2} - t^{-k/2}) (q^{k/2} - q^{-k/2})}
 \end{aligned}$$



$q = e^{F_+} \quad t = e^{F_-}$



This is the refined partition function in the context of superstring theory.

- Refined Topological Vertex

It is very hard to find a guiding star for refining the topological vertex formalism

- Awata-Kanno's idea

The Macdonald functions are a multi-parameter extension of the Schur functions.

$$s_{\mu}(q^{-\rho}) = q^{\frac{\|\mu^t\|^2}{2}} \tilde{Z}_{\mu}(q)$$



$$P_{\nu^t}(t^{-\rho}; q, t) = t^{\frac{1}{2}\|\nu\|^2} \tilde{Z}_{\nu}(t, q), \quad \tilde{Z}_{\mu}(t, q) = \prod_{(i,j) \in \nu} (1 - t^{\nu_j^t - i + 1} q^{\nu_i - j})^{-1}$$

Macdonald function

$$\tilde{Z}_{\mu}(t, q) \xrightarrow{t \rightarrow q} \tilde{Z}_{\mu}(q)$$

So we may obtain a refined vertex by replacing the Schur functions with the specialized Macdonald functions !

[Awata-Kanno, '05, '08]

$$C_{\lambda\mu}^{\nu}(t, q) = f_{\nu}(t, q)^{-1} P_{\mu^t}(t^{\rho}; q, t) \\ \times \sum_{\eta} \left(\frac{q}{t}\right)^{\frac{|\eta|}{2}} (-1)^{|\lambda|+|\eta|} P_{\lambda^t/\eta^t}(t^{\mu^t} q^{\rho}; t, q) P_{\nu/\eta}(t^{\rho} q^{\nu}; q, t)$$



skew Macdonald function

The framing factor is [M.T. '07]

$$f_{\nu}(t, q) = (-1)^{|\nu|} t^{-\frac{\|\nu^t\|^2}{2}} q^{\frac{\|\nu\|^2}{2}}$$

For the geometric engineering Calabi-Yau's, the amplitudes computed using the vertex give the Nekrasov's partition functions [Awata-Kanno, '08].

Awata-Kanno's refined vertex possesses nice symmetries.

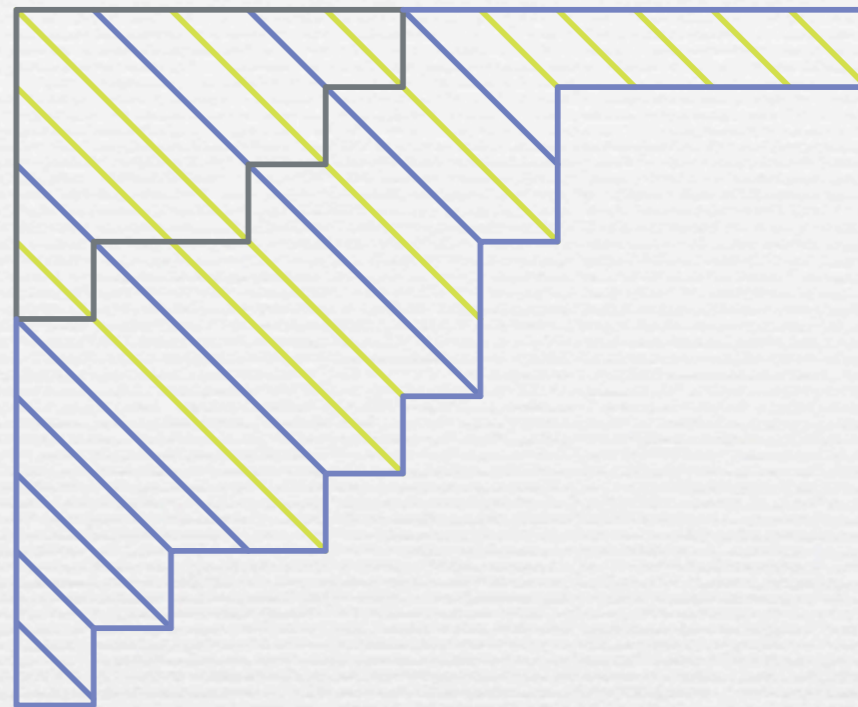
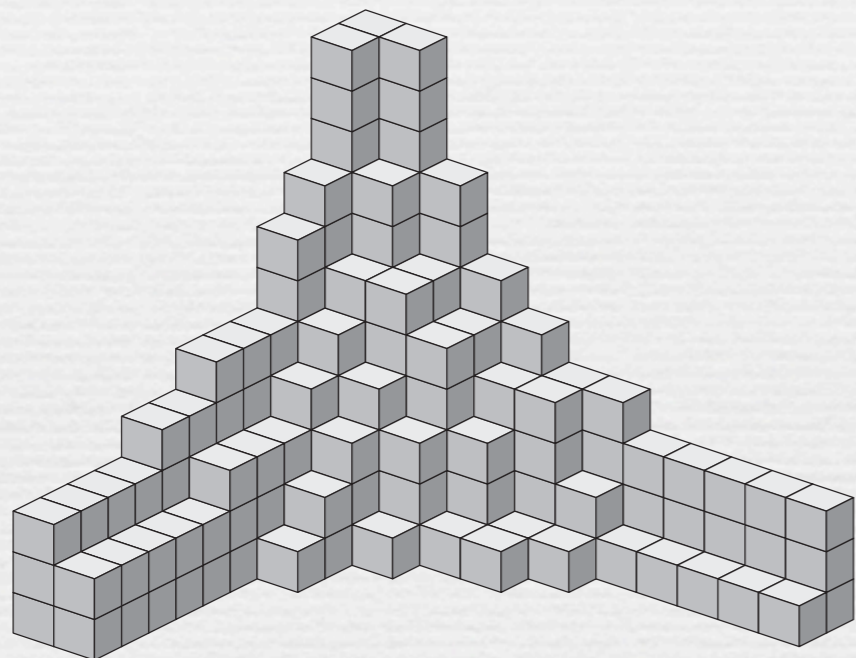
$$C_{\lambda\phi}{}^\phi(t, q) = C_{\phi\lambda}{}^\phi(t, q) = C_{\phi\phi}{}^\lambda(t, q) f_\lambda(t, q)$$

$$C_{\mu\phi}{}^\nu(t, q) f_\nu(t, q) = C_{\nu\phi}{}^\mu(t, q) f_\mu(t, q)$$

$$C_{\phi\lambda}{}^\nu(t, q) f_\nu(t, q) = C_{\phi\nu}{}^\lambda(t, q) f_\lambda(t, q)$$

But it doesn't have a cyclic symmetry.

- Iqbal-Kozcaz-Vafa's idea



$$Z_{\lambda, \mu, \nu}(t, q) = \sum_{\text{crystals}} t^{\#(\text{blue})} q^{\#(\text{yellow})}$$

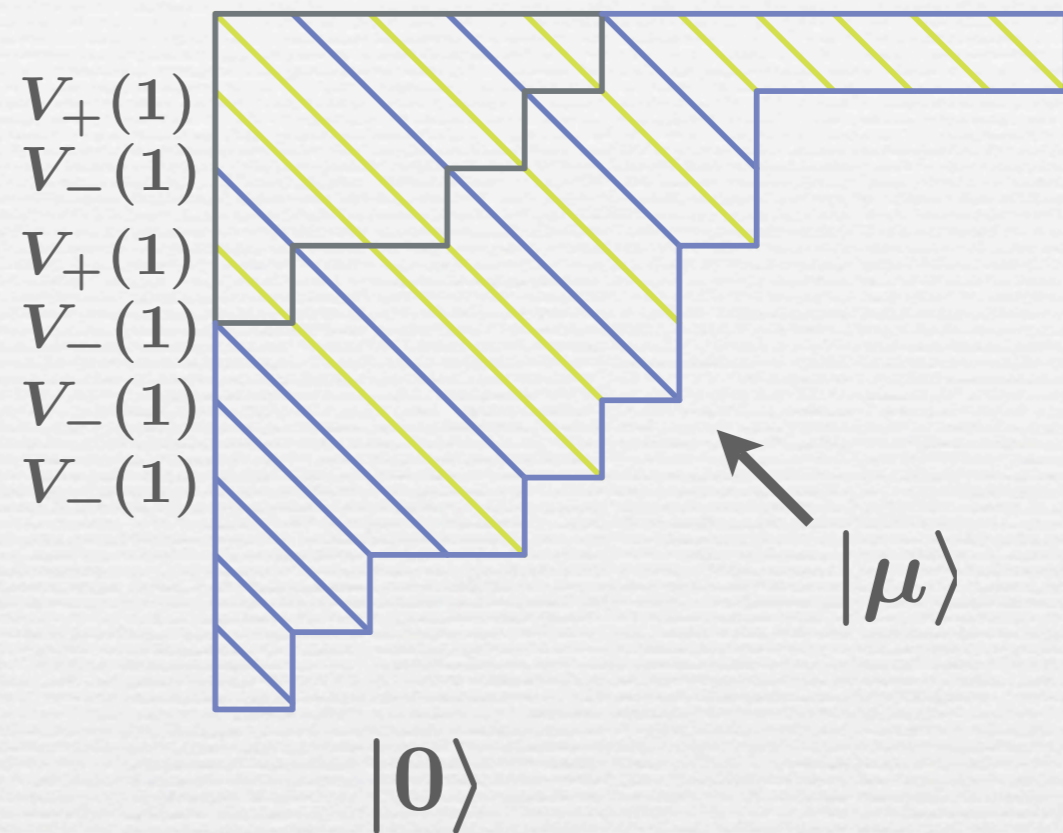


$$C_{\lambda, \mu, \nu}(t, q)$$

$$L_0 |\mu\rangle = |\mu| |\mu\rangle$$

$$V_-(1) |\mu\rangle = \sum_{\nu > \mu} |\nu\rangle$$

$$V_+(1) |\mu\rangle = \sum_{\nu < \mu} |\nu\rangle$$



$$Z_\nu = \langle 0 | q^{L_0} V_+ q^{L_0} V_+ t^{L_0} V_- \cdots q^{L_0} V_+ t^{L_0} V_- t^{L_0} | 0 \rangle$$

$$= \langle 0 | \cdots V_+(q^{i-1} t^{-\nu_i^t}) \cdots V_-(t^j q^{-\nu_j}) \cdots | 0 \rangle$$

$$= \prod_{i,j=1}^{\infty} (1 - t^{j-\nu_i^t} q^{i-1-\nu_j}) \quad \text{Macdonald function !!}$$

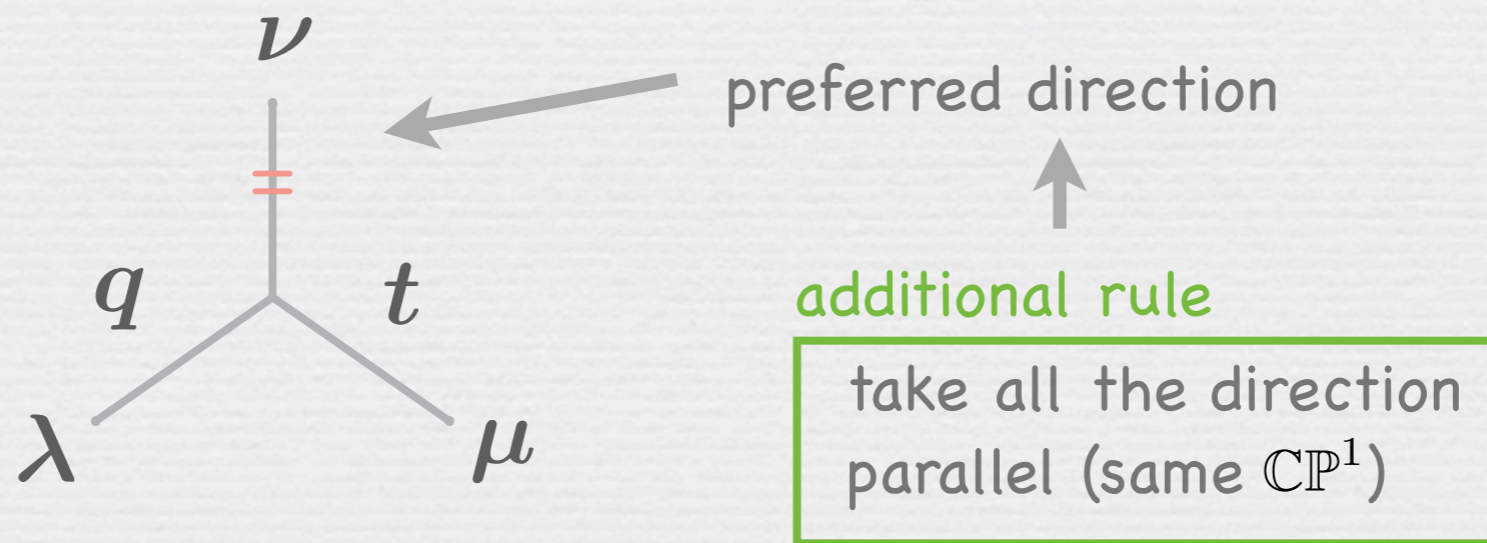
$$V_-(x)V_+(y) = \prod_{i,j=1}^{\infty} (1 - x_i y_j) V_+(y)V_-(x)$$

[Iqbal-Kozcaz-Vafa]

$$C_{\lambda\mu\nu}(t, q) = \left(\frac{q}{t}\right)^{\frac{\|\mu\|^2 + \|\nu\|^2}{2}} t^{\frac{\kappa\mu}{2}} P_{\nu t}(t^{-\rho}; q, t) \sum_{\eta} \left(\frac{q}{t}\right)^{\frac{|\eta| + |\lambda| - |\mu|}{2}} s_{\lambda t/\eta}(t^{-\rho} q^{-\nu}) s_{\mu/\eta}(t^{-\nu t} q^{-\rho})$$

IKV's refined vertex breaks the cyclic symmetry for three legs of the vertex !

$$C_{\lambda,\mu,\nu}(t, q) \neq C_{\mu,\nu,\lambda}(t, q) \neq C_{\nu,\lambda,\mu}(t, q)$$

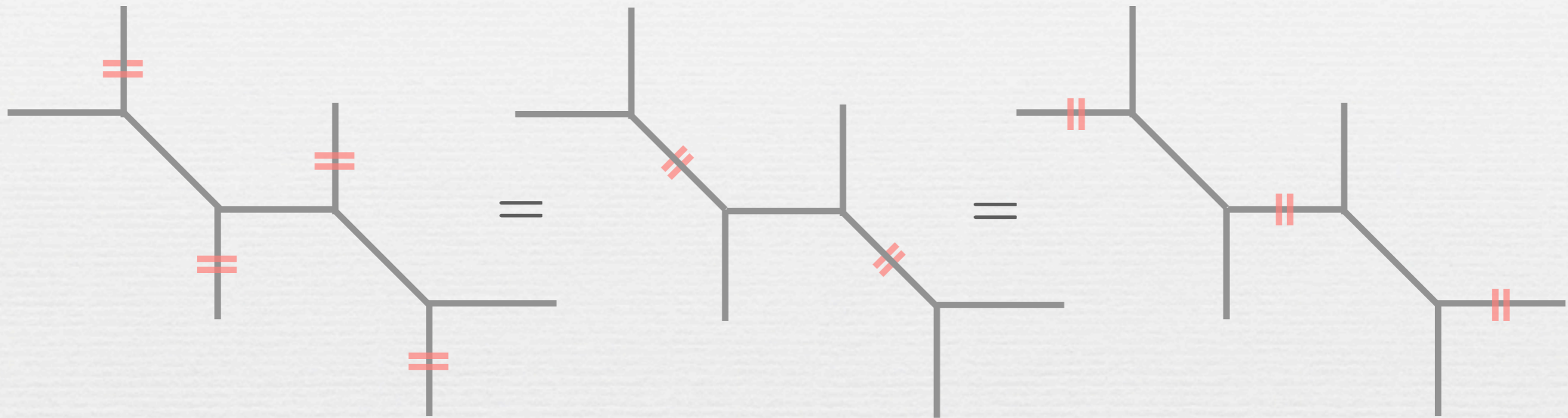


There exists the ambiguity about a choice of preferred direction when one construct the amplitudes using the refined vertex !



For the toric Calabi-Yau's corresponding to $\mathcal{N} = 2$ gauge theory, it is proposed that the amplitudes are independent of the choices of their preferred directions !

Slicing invariance (conjecture !)

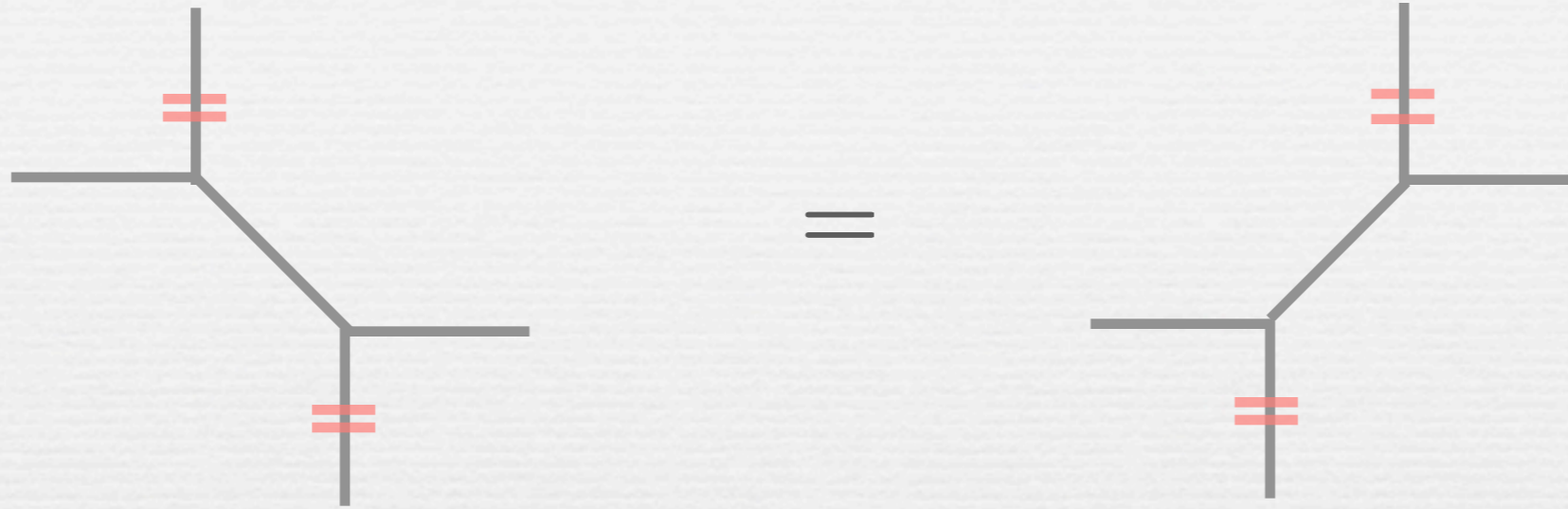


$$\sum_{\nu} P_{\nu}(-Q_1 t^{-\rho}; q, t) P_{\nu^t}(q^{-\rho}; t, q) \prod_{(i,j) \in \nu} (1 - Q_2 t^{i-1/2} q^{j-1/2-\nu_i})$$

$$= \prod_{i,j=1}^{\infty} \frac{1 - Q_1 t^{i-1/2} q^{j-1/2}}{1 - Q_1 Q_2 t^i q^{j-1}}$$

New formula for Macdonald function (For $Q_2 = 0$, see [Macdonald, '95])

Flop invariance [M.T, '08]



$$t \rightarrow -t$$

- Refined Vertex & Hilbert Scheme [Iqbal-Kozcaz-Shabbir, '08]

- $\text{Hilb}^n[\mathbb{C}^2]$ = Hilbert scheme of n points on \mathbb{C}^2
 = \mathbb{C}^2 with the choice of n points on it

- χ_y - genus

$$\chi_y(M) = \int_M \text{ch} \Lambda_{-y}(T^*M) \text{td}(M)$$

$$= \int_M \prod_{i=1}^d (1 - ye^{-x_i}) \frac{x_i}{1 - e^{-x_i}}$$



Localization formula under $U(1)^n \rightarrow M$

$$= \sum_{p=1}^n \prod_{i=1}^d \frac{(1 - ye^{-w_{p,i}})}{1 - e^{-w_{p,i}}}$$



fixed points of torus action



weights of torus action

$$T^*(\text{Hilb}^n[\mathbb{C}^2]) = B_n \oplus B_n^*$$

The torus action on \mathbb{C}^2 lifts to an action on $\text{Hilb}^n[\mathbb{C}^2]$ and their weights had been obtained in [Nakajima, '95]. Thus we get

- $\chi_0(B_n)|_{\nu} = \tilde{Z}_{\nu}(t, q) \quad n = |\nu|$

ν : fixed point of torus action $(z_1, z_2) \rightarrow (tz_1, q^{-1}z_2)$

$$\longrightarrow C_{\phi\phi\nu}(t, q)$$

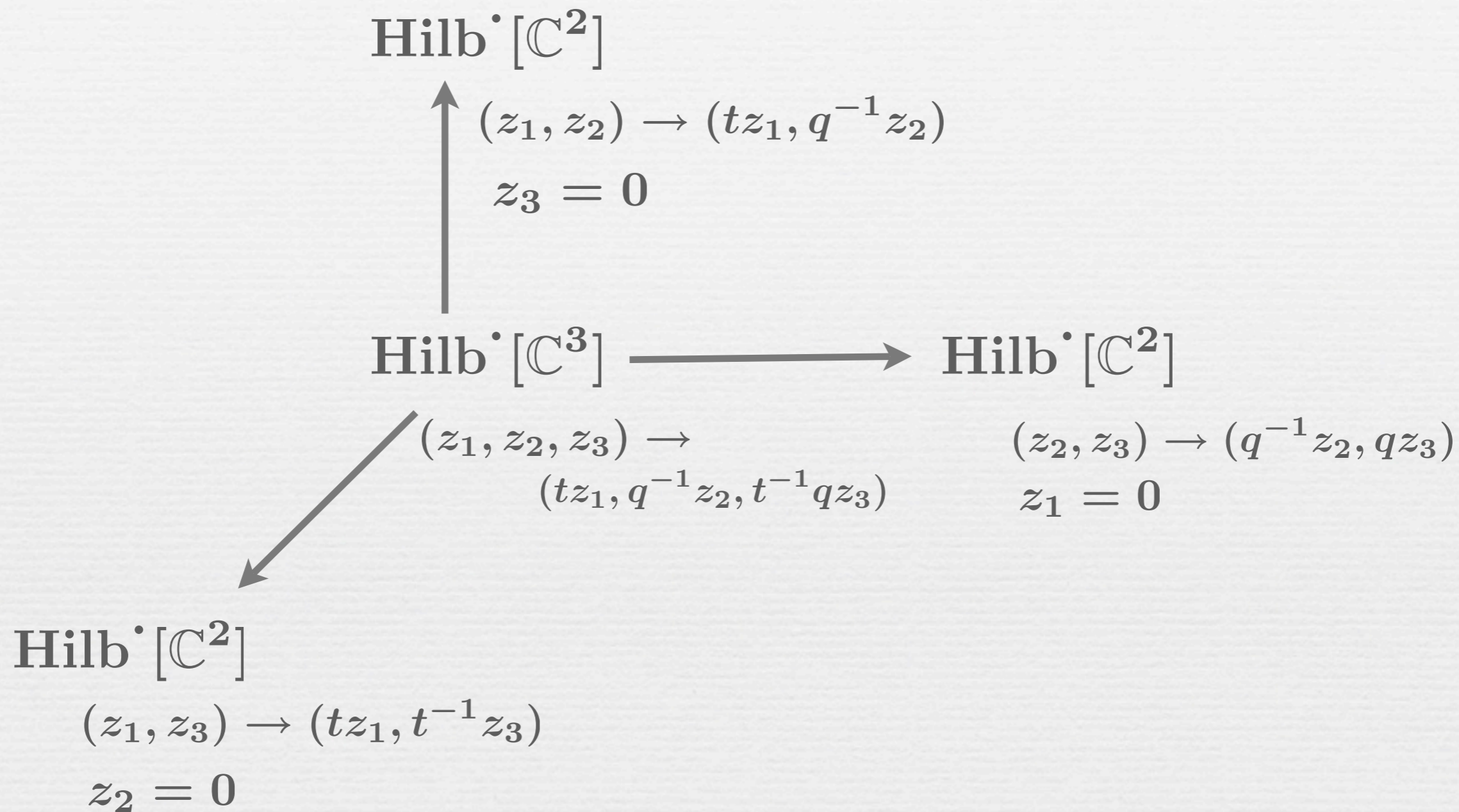
The rest one-leg vertices are also interpreted as χ_y -genus

- $\chi_0(B_n)|_{\mu} = s_{\mu}(q^{-\rho}) \quad (z_1, z_2) \rightarrow (q^{-1}z_1, qz_2)$

$$\longrightarrow C_{\phi\mu\phi}(t, q)$$

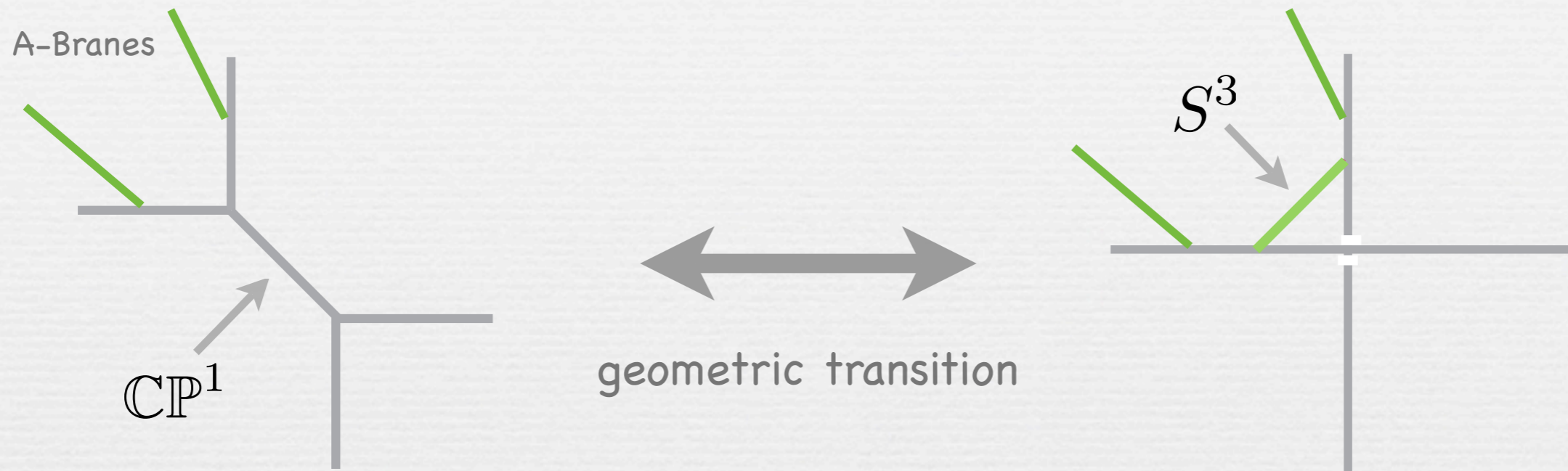
- $\chi_0(B_n)|_{\lambda} = s_{\lambda}(t^{-\rho}) \quad (z_1, z_2) \rightarrow (tz_1, t^{-1}z_2)$

$$\longrightarrow C_{\lambda\phi\phi}(t, q)$$



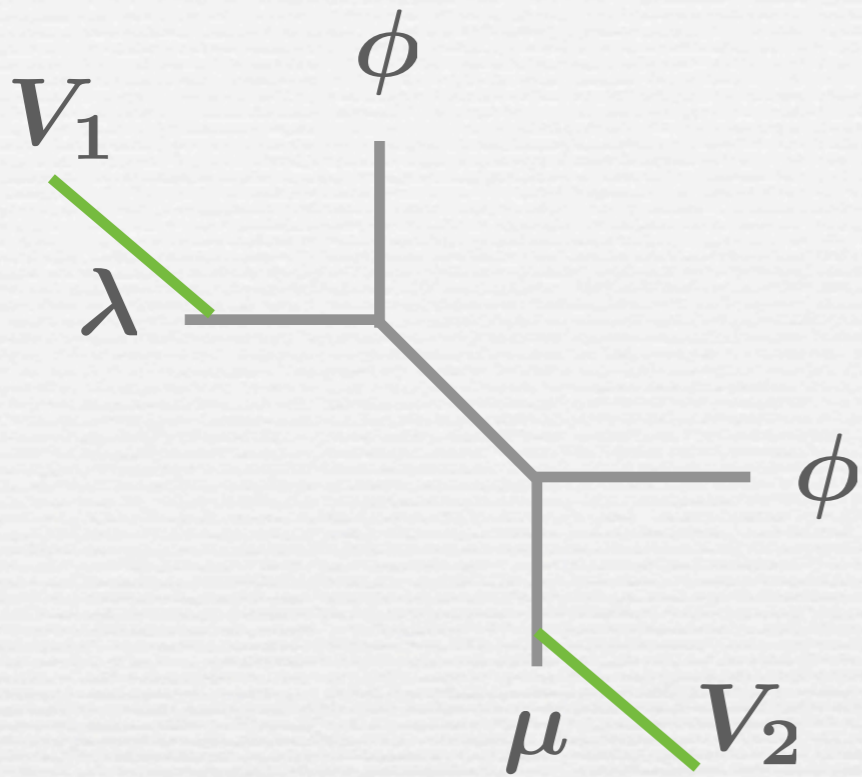
- Homological Link Invariants

- Chern-Simons invariants in topological strings [Ooguri-Vafa]



Boundaries of worldsheets make Wilson loop in S^3





$$Z = \sum_{\lambda, \mu} Z_{\lambda, \mu}(q; Q) \text{Tr}_{\lambda} V_1 \text{Tr}_{\lambda} V_2$$



$$Z_{\lambda, \mu}(q; Q) = \sum_{\nu} C_{\lambda, \nu, \phi}(q) (-Q)^{|\nu|} C_{\nu^t, \mu, \phi}(q)$$



$$Q = q^N$$

$$W_{\lambda\mu} = q^{\kappa_{\mu}/2} s_{\lambda}(q^{-\rho}) s_{\mu}(q^{-\rho-\lambda}, q^{-N+\rho}) \prod_{(i,j) \in \lambda} (1 - q^{-N+i-j})$$

Hopf link invariant !!

- Homological link invariants

Polynomial invariants of knots and links

$$\bar{\mathcal{P}}_{R_1, \dots, R_k}^{sl(N)}(\mathbf{q}) = \sum_{i, j \in \mathbb{Z}} (-1)^j \mathbf{q}^i \dim \mathcal{H}_{i, j}^{sl(N), R_1, \dots, R_k}(L)$$

Euler characteristic



Homological invariant

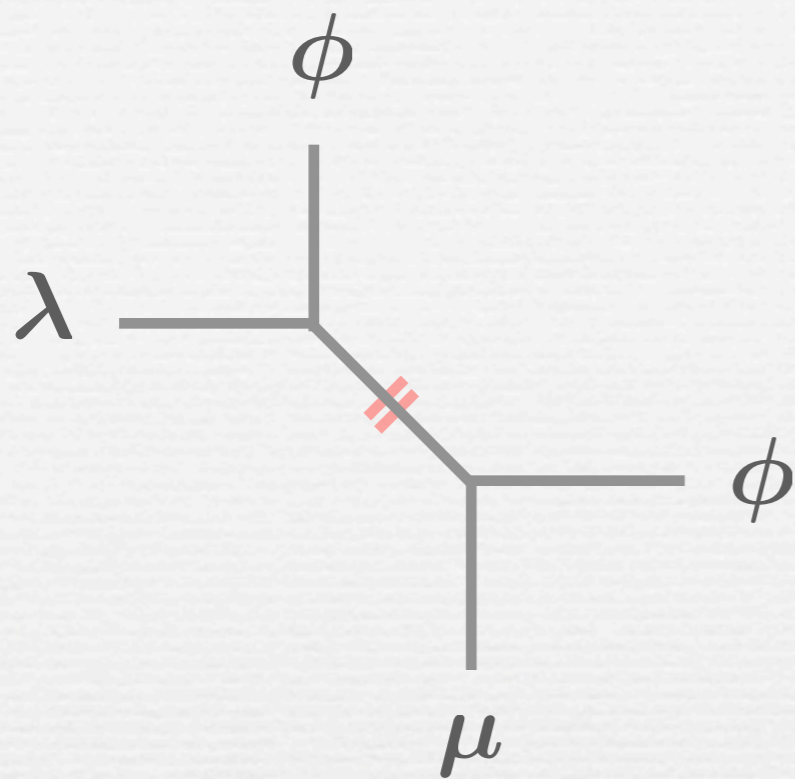
$$\bar{\mathcal{P}}_{R_1, \dots, R_k}^{sl(N)}(\mathbf{q}, \mathbf{t}) = \sum_{i, j \in \mathbb{Z}} \mathbf{q}^i \mathbf{t}^j \dim \mathcal{H}_{i, j}^{sl(N), R_1, \dots, R_k}(L)$$

Poincare characteristic

Conjectural cohomology

Mathematical theory of homological link invariant is formulated for some representations.

Gukov-Iqbal-Kozcaz-Vafa embedded it into refined topological strings expecting that it gives some insights into their formulation.



$$Z_{\lambda\mu}(t, q, Q) = \sum_{\nu} (-Q)^{|\nu|} C_{\phi\mu\nu}(t, q) C_{\lambda\phi\nu^t}(q, t)$$

Recall the refined topological vertex

$$C_{\lambda\mu\nu}(t, q) = \left(\frac{q}{t}\right)^{\frac{\|\mu\|^2 + \|\nu\|^2}{2}} t^{\frac{\kappa_{\mu}}{2}} P_{\nu^t}(t^{-\rho}; q, t) \sum_{\eta} \left(\frac{q}{t}\right)^{\frac{|\eta| + |\lambda| - |\mu|}{2}} s_{\lambda^t/\eta}(t^{-\rho} q^{-\nu}) s_{\mu/\eta}(t^{-\nu^t} q^{-\rho})$$

From the partition function we get the superpolynomial

Gukov-Iqbal-Kozcaz-Vafa

$$\bar{\mathcal{P}}_{\lambda.\mu}(\mathbf{q}, \mathbf{t}, \mathbf{a}) = \sum_{\nu} (-Q)^{|\nu|} t^{\frac{1}{2}\|\nu\|^2} q^{\frac{1}{2}\|\nu^t\|^2} \tilde{Z}_{\nu}(q, t) \tilde{Z}_{\nu^t}(t, q) s_{\lambda}(t^{-\rho} q^{-\nu^t}) s_{\mu}(t^{-\rho} q^{-\nu^t})$$

- Superpolynomial proposal of Gukov-Iqbal-Kozcaz-Vafa

Superpolynomial [Gukov-Iqbal-Kozcaz-Vafa, '07]

$$\bar{\mathcal{P}}_{\lambda,\mu}(\mathbf{q}, \mathbf{t}, \mathbf{a}) = \sum_{\nu} (-Q)^{|\nu|} t^{\frac{1}{2} \|\nu\|^2} q^{\frac{1}{2} \|\nu^t\|^2} \tilde{Z}_{\nu}(q, t) \tilde{Z}_{\nu^t}(t, q) s_{\lambda}(t^{-\rho} q^{-\nu^t}) s_{\mu}(t^{-\rho} q^{-\nu^t}) \\ \times \prod_{i,j=1} (1 - Q t^{1-1/2} q^{j-1/2})^{-1} (-1)^{|\lambda|+|\mu|} \left(Q^{-1} \sqrt{\frac{q}{t}} \right)^{\frac{|\lambda|+|\mu|}{2}} \left(\frac{q}{t} \right)^{|\lambda||\mu|}$$

New parameters

$$\sqrt{t} = \mathbf{q}, \quad \sqrt{q} = -\mathbf{t}\mathbf{q}, \quad Q = -\mathbf{t}/\mathbf{a}^2.$$



$$\mathbf{a} = \mathbf{q}^N \quad (\text{Large-N duality})$$

Homological link invariants for Hopf link

$$\bar{\mathcal{P}}_{R_1, \dots, R_k}^{sl(N)}(\mathbf{q}, \mathbf{t})$$

They must give **homological invariants**

- Example

Hopf link

$$\bar{\mathcal{P}}_{\square, \square}(\mathbf{q}, \mathbf{t}, \mathbf{a}) = \frac{1}{\mathbf{a}^2} \left(\frac{1 - \mathbf{q}^2 + \mathbf{q}^4 \mathbf{t}^2}{(1 - \mathbf{q}^2)^2} - \mathbf{a}^2 \frac{1 + \mathbf{q}^2 \mathbf{t}^2 - \mathbf{q}^2 + \mathbf{q}^4 \mathbf{t}^2}{(1 - \mathbf{q}^2)^2} + \mathbf{a}^4 \frac{\mathbf{q}^2 \mathbf{t}^2}{(1 - \mathbf{q}^2)^2} \right) \quad (*)$$

(*) gives the Kovanov-Rozansky invariant for Hopf link

$$\bar{\mathcal{P}}_{\square, \square}(\mathbf{q}, \mathbf{t}, \mathbf{a} = \mathbf{q}^N) = \mathbf{q}^{-2N} \text{KhR}(2_1^2)$$

Polynomial !

$$\text{KhR}_{N=3}(2_1^2) = 1 + \mathbf{q}^2 + \mathbf{q}^4 + \mathbf{q}^4 \mathbf{t}^2 + 2\mathbf{q}^6 \mathbf{t}^2 + 2\mathbf{q}^8 \mathbf{t}^2 + \mathbf{q}^{10} \mathbf{t}^2$$

$$\text{KhR}_{N=4}(2_1^2) = 1 + \mathbf{q}^2 + \mathbf{q}^4 + \mathbf{q}^4 \mathbf{t}^2 + \mathbf{q}^6 + 2\mathbf{q}^6 \mathbf{t}^2 + 3\mathbf{q}^8 \mathbf{t}^2 + 3\mathbf{q}^{10} \mathbf{t}^2 \\ + 2\mathbf{q}^{12} \mathbf{t}^2 + \mathbf{q}^{14} \mathbf{t}^2$$

- BPS state counting in non-self dual background

- Non-SUSY attractor and OSV-SV conjecture

• • • • •

Summary

- We review topological vertex method of A-model calculation
- We also see the proposal of refined topological vertex
- We apply the refined vertex for homological link invariants

Future Directions

- More applications of refined topological vertex and BPS states counting
- Rigorous definition of refined vertex and its mathematical structure

Fin