

# Vortex counting and exact superpotential in $\mathcal{N}=(2,2)$ theories

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# 1. Introduction

## Similarity between 4d gauge theory and 2d sigma model

asymptotically free, anomaly, mass gap,

⋮

instantons



non-perturbative effects

4d gauge theory

- Yang-Mills instantons

2d sigma model

- sigma model instantons



exact results in supersymmetric theories

## instanton/vortex counting in 4d/2d supersymmetric gauge theories

### 4d $\mathcal{N} = 2$ instanton counting, [Nekrasov, 2002], etc

- instanton partition function  $Z_{inst}(\tau, a, m, \epsilon_i)$



- prepotential  $\mathcal{F}_{inst}(\tau, a, m) = \lim_{\epsilon_i \rightarrow 0} \epsilon_1 \epsilon_2 \log Z_{inst}(\tau, a, m, \epsilon_i)$

### 2d $\mathcal{N} = (2, 2)$ vortex counting

- vortex partition function  $Z_{vortex}(\tau, m, \epsilon)$



- twisted superpotential  $\widetilde{W}_{vortex}(\tau, m) = \lim_{\epsilon \rightarrow 0} \epsilon \log Z_{vortex}(\tau, m, \epsilon)$

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**2d  $\mathcal{N} = (2, 2)$  non-linear sigma model**

**sigma model instantons**

**small instanton singularity**

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**2d  $\mathcal{N} = (2, 2)$  non-linear sigma model**



**low energy limit**

**2d  $\mathcal{N} = (2, 2)$  gauged linear sigma model**

**sigma model instantons**

**small instanton singularity**

**vortices**

**non-singular**

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Fayet-Iliopoulos parameter and 2d theta angle

$$\tau(\mu) = \frac{\theta}{2\pi} + i\xi = \frac{n}{2\pi i} \log \frac{\Lambda}{\mu}$$

$\Lambda$  : dynamical scale

2d  $\mathcal{N} = (2, 2)$  non-linear sigma model



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$\Lambda$  : dynamical scale

on-shell value of twisted superpotential

$$\widetilde{W} = i\langle\sigma\rangle\tau + \widetilde{W}_{vortex}$$

$|\widetilde{W}_A - \widetilde{W}_B|$  : BPS kink mass

VEV of vector multiplet scalar

## 2. $CP^{N-1}$ sigma model

U(1) gauge theory with N charged chiral multiplets

$$H = (H_1, H_2, \dots, H_N)$$

classical vacua

$$HH^\dagger = \xi$$

$$\sigma H - HM = 0$$



N vacua

$$\langle H \rangle = \sqrt{\xi}(1, 0, \dots, 0)$$

$$\langle \sigma \rangle = m_1$$

...

$$M = \text{diag}(m_1, m_2, \dots, m_N)$$

twisted masses



## invariant k-vortex moduli matrix

$$H_0 = (z^k, 0, \dots, 0), \quad V H_0(e^{i\epsilon} z) e^{iM} = H_0(z), \quad V = e^{-im_1 - ik\epsilon}$$

## invariant k-vortex moduli matrix

$$H_0 = (z^k, 0, \dots, 0), \quad V H_0(e^{i\epsilon} z) e^{iM} = H_0(z), \quad V = e^{-im_1 - ik\epsilon}$$

## neighborhood around the fixed point

$$\delta H_0 = (p_1, p_2, \dots, p_N) \quad p_a(z) = \sum_{j=0}^{k-1} c_{a,j} z^j$$

## invariant k-vortex moduli matrix

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## invariant k-vortex moduli matrix

$$V \delta H_0(e^{i\epsilon} z) e^{iM} \quad \rightarrow \quad c_{a,j} \rightarrow \exp \left[ i(m_a - m_1) + i(k - j)\epsilon \right] c_{a,j}$$

## invariant k-vortex moduli matrix

$$H_0 = (z^k, 0, \dots, 0), \quad V H_0(e^{i\epsilon} z) e^{iM} = H_0(z), \quad V = e^{-im_1 - ik\epsilon}$$

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## invariant k-vortex moduli matrix

$$V \delta H_0(e^{i\epsilon} z) e^{iM} \xrightarrow{\text{red arrow}} c_{a,j} \rightarrow \exp \left[ i(m_a - m_1) + i(k - j)\epsilon \right] c_{a,j}$$

## k-vortex contribution

$$Z_k = \prod_{a=1}^N \prod_{j=0}^{k-1} \frac{1}{m_{a1} - (k - j)\epsilon} \quad m_{a1} \equiv m_a - m_1$$

**k vortex contribution**

$$Z_k = \prod_{a=1}^N \prod_{j=1}^k \frac{1}{m_{a1} - j\epsilon}$$

**vortex counting parameter**

$$\Lambda^N = \mu^N e^{2\pi i\tau}$$

$$Z_{vortex} = \sum_{k=0}^{\infty} \Lambda^{kN} Z_k = {}_0F_{N-1} \left( \{\}, \left\{ 1 - \frac{m_{a1}}{\epsilon} \right\}_{a=2}^N ; \left( \frac{\Lambda}{\epsilon} \right)^N \right)$$

**hypergeometric function**

**vortex partition function in b-th vacua of  
 $CP^{N-1}$  sigma model**

$$Z_{vortex,b} = {}_0F_{N-1} \left( \{\}, \left\{ 1 - \frac{m_{ab}}{\epsilon} \right\}_{a \neq b} ; \left( \frac{\Lambda}{\epsilon} \right)^N \right)$$

## twisted superpotential

integrating out



$$\widetilde{W}_{vortex,b}(\tau, m) = \lim_{\epsilon \rightarrow 0} \epsilon \log Z_{vortex,b}(\tau, m, \epsilon)$$

$$\left\{ \begin{array}{l} \text{(1)} \quad \widetilde{W} = i\sigma\tau - \frac{1}{2\pi} \sum_{a=1}^N (\sigma - m) \left[ \log \frac{\sigma - m}{\mu} - 1 \right] \\ \text{(2)} \quad \widetilde{W} = \frac{1}{2\pi} \sum_{a=1}^N m_a Y_a + \mu \prod_{a=1}^N e^{Y_a} \end{array} \right.$$

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recursion relation

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$$\prod_{a=1}^N [m_{ab} - k\epsilon] Z_{k,b} = Z_{k-1,b}$$



## twisted superpotential

integrating out



integrating in

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differential operator

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$$\hat{\sigma} \equiv \epsilon \Lambda^N \frac{\partial}{\partial \Lambda^N} = \frac{\epsilon}{2\pi i} \frac{\partial}{\partial \tau}$$

## twisted superpotential

integrating out



integrating in

recursion relation

differential operator

differential equation for  $Z_{vortex,b}$

$$\prod_{a=1}^N [m_{ab} - \hat{\sigma}] Z_{vortex,b} = \prod_{a=1}^N [m_{ab} - \hat{\sigma}] \sum_{k=0}^{\infty} \Lambda^{Nk} Z_{k,b}$$

$$\widetilde{W}_{vortex,b}(\tau, m) = \lim_{\epsilon \rightarrow 0} \epsilon \log Z_{vortex,b}(\tau, m, \epsilon)$$

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## twisted superpotential

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twisted superpotential

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differential equation for  $Z_{vortex,b}$

$$\prod_{a=1}^N [m_{ab} - \hat{\sigma}] Z_{vortex,b} = \Lambda^N Z_{vortex,b}$$

full partition function

perturbative part

$$Z_b = \exp\left(2\pi i \frac{m_b}{\epsilon} \tau\right) Z_{vortex,b}$$

differential equation for full partition function

$$P(\hat{\sigma}) Z_b = 0, \quad P(\hat{\sigma}) \equiv \prod_{a=1}^N (m_a - \hat{\sigma}) - \Lambda^N$$

- $P(\hat{\sigma})$  is independent of choice of vacuum

full partition function

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$Z_b$  ( $b = 1, \dots, N$ ) are linearly independent

solutions of  $P(\hat{\sigma}) Z_b = 0$

“classical limit”

$$Z_b = \exp\left(\frac{2\pi}{\epsilon} \widetilde{W}_{\epsilon,b}\right)$$



“classical limit”

$$Z_b = \exp\left(\frac{2\pi}{\epsilon} \widetilde{W}_{\epsilon,b}\right)$$

differential equation

$$0 = P(\hat{\sigma}) Z_b = P(-i\partial_\tau \widetilde{W}_{\epsilon,b}) + \mathcal{O}(\epsilon)$$

“classical limit”

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differential equation

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$\epsilon \rightarrow 0$



$$0 = P(-i\partial_\tau \widetilde{W}_b)$$

“classical limit”

$$Z_b = \exp\left(\frac{2\pi}{\epsilon} \widetilde{W}_{\epsilon,b}\right)$$

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$$0 = P(-i\partial_\tau \widetilde{W}_b)$$

F-term condition  $\partial_\sigma \widetilde{W} = 0$

$$\widetilde{W} = i\sigma\tau - \frac{1}{2\pi} \sum_{a=1}^N (\sigma - m_a) \left[ \log \frac{\sigma - m_a}{\mu} - 1 \right] \longrightarrow P(\sigma) = 0$$

“classical limit”

$$Z_b = \exp\left(\frac{2\pi}{\epsilon} \widetilde{W}_{\epsilon,b}\right)$$

differential equation

$$0 = P(\hat{\sigma}) Z_b = P(-i\partial_\tau \widetilde{W}_{\epsilon,b}) + \mathcal{O}(\epsilon)$$

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$$\longrightarrow P(\hat{\sigma}) Z_b = 0$$

“quantized” F-term condition

$$[\hat{\tau}, \hat{\sigma}] = i\hbar = -\frac{\epsilon}{2\pi i}$$

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U(1) gauge theory with N chiral multiplets of charge +1

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U(1) gauge theory with  $N$  chiral multiplets of charge +1

+  $\tilde{N}$  chiral multiplets of charge -1

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U(1) gauge theory with N chiral multiplets of charge +1

+  $\tilde{N}$  chiral multiplets of charge -1

k-vortex contribution

$$Z_k = \prod_{a=1}^N \prod_{j=1}^k \frac{1}{m_{a1} - j\epsilon} \times \prod_{a=1}^{\tilde{N}} \prod_{j=1}^k (\tilde{m}_{a1} - j\epsilon)$$

U(1) gauge theory with N chiral multiplets of charge +1

+  $\tilde{N}$  chiral multiplets of charge -1

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recursion relation

$$\prod_{a=1}^N \prod_{j=1}^k (m_{a1} - k\epsilon) Z_k = \prod_{a=1}^{\tilde{N}} \prod_{j=1}^k (\tilde{m}_{a1} - k\epsilon) Z_{k-1}$$



U(1) gauge theory with N chiral multiplets of charge +1

+  $\tilde{N}$  chiral multiplets of charge -1

k-vortex contribution

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differential equation

$$P(\hat{\sigma}) Z_b = 0,$$

$$P(\hat{\sigma}) = \prod_{a=1}^N (m_a - \hat{\sigma}) - \Lambda^{N-\tilde{N}} \prod_{\tilde{a}=1}^{\tilde{N}} (\tilde{m}_{\tilde{a}} - \hat{\sigma})$$

masses of BPS kinks in 2d  $\mathcal{N} = (2, 2)$  theory

$$\widetilde{W}(m, \tilde{m}, \Lambda)$$



masses of BPS monopoles in 4d  $\mathcal{N} = 2$  SU(N) gauge theory with  $N$  fund. and  $\tilde{N}$  anti-fund. hypermultiplets

$$\mathcal{F}(m, \tilde{m}, \Lambda)$$

root of Higgs branch

$$a_i = m_i \quad (\text{classical})$$

$$F(t, v) = \left[ t \prod_{\tilde{a}=1}^{\tilde{N}} (v - \tilde{m}_a) - \prod_{a=1}^N (v - m_a) \right] (t - \Lambda^{N-\tilde{N}}) = 0$$

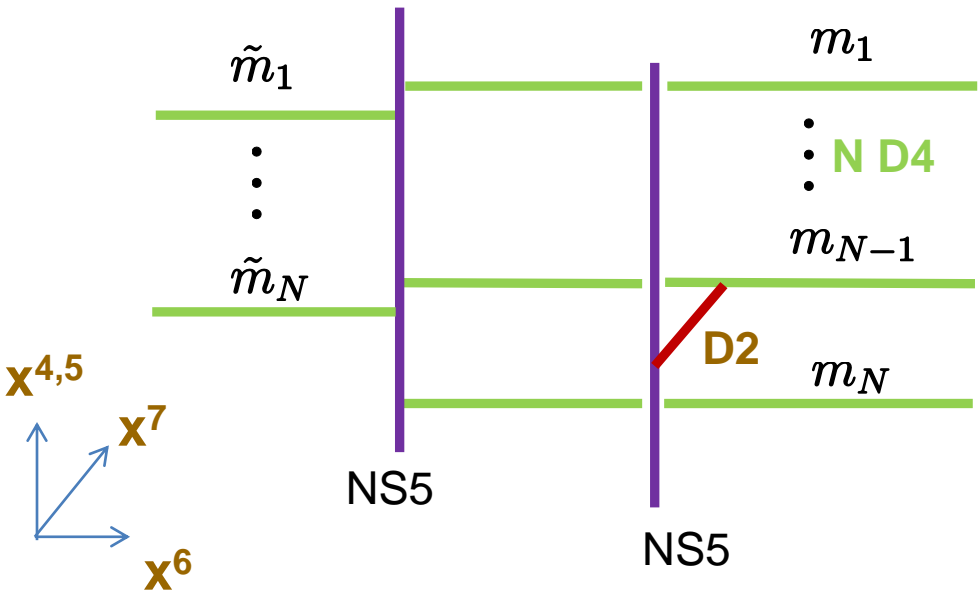
[Dorey, 1998], [Shifman-Yung, 2004], [Hanany-Tong, 2004], etc







# 4d gauge theory at root of Higgs branch



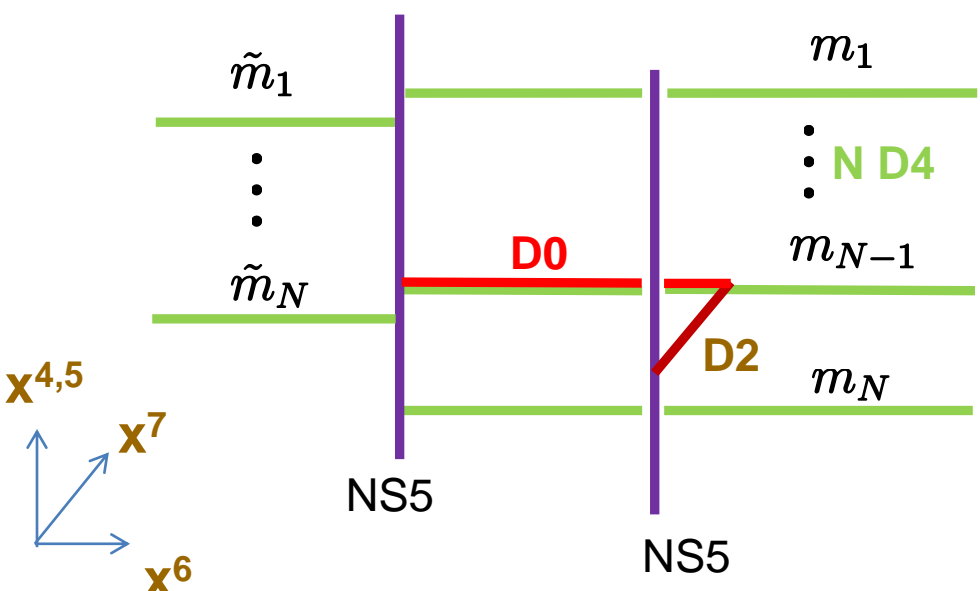
$\Delta x_{NS5}^7 \propto$  4d FI parameter

➔
Higgs phase

**D2: vortex (2d theory)**

	0	1	2	3	4	5	6	7	8	9
N D4	●	●	●	●			●			
NS5	●	●	●	●	●	●				
D2	●	●						●		

# 4d gauge theory at root of Higgs branch



$\Delta x_{NS5}^7 \propto$  4d FI parameter

➔

Higgs phase

**D2: vortex (2d theory)**

**D0: vortices on vortex**

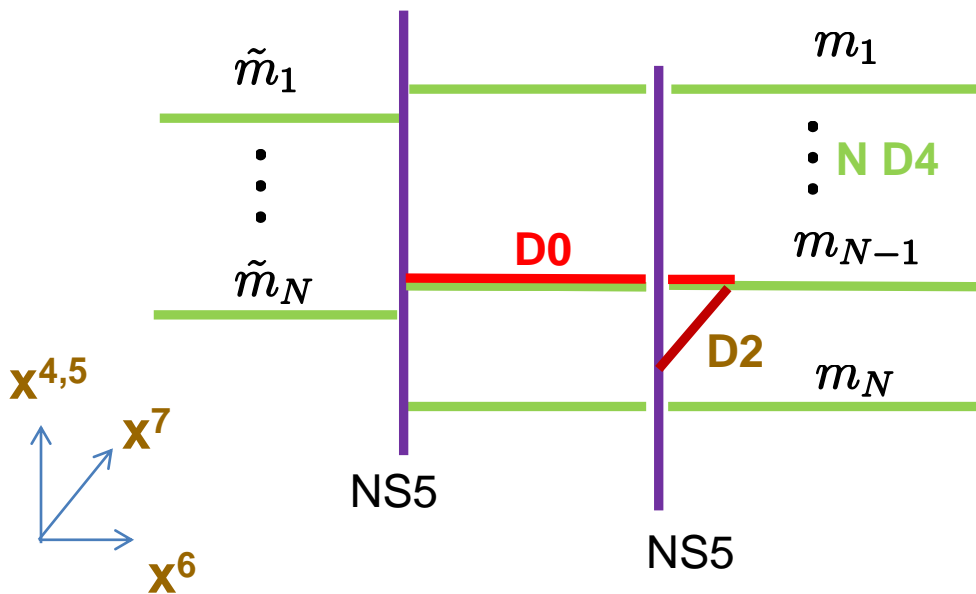
||

instantons trapped inside vortex

[Eto-Isozumi-Nitta-Ohashi-Sakai, 2005]

	0	1	2	3	4	5	6	7	8	9
N D4	●	●	●	●			●			
NS5	●	●	●	●	●	●				
D2	●	●						●		

# 4d gauge theory at root of Higgs branch



$$\left\{ \begin{array}{l} t \prod_{\tilde{a}=1}^{\tilde{N}} (v - \tilde{m}_a) - \prod_{a=1}^N (v - m_a) = 0 \\ t - \Lambda^{N-\tilde{N}} = 0 \end{array} \right.$$

**→**  $P(v) = 0$

$\sigma : v$  of M2      $\tau : \log t$  of M2

$[\hat{\sigma}, \hat{\tau}] = \frac{\epsilon}{2\pi} : \text{non-commutativity}$

	0	1	2	3	4	5	6	7	8	9
N D4	●	●	●	●			●			
NS5	●	●	●	●	●	●				
D2	●	●						●		



# 3. Grassmannian sigma model

U(N) gauge theory with Nf charged chiral multiplets

fixed point

$$H_0^{\vec{k}} = \left( \begin{array}{ccc|ccc} z^{k_1} & & & 0 & \dots & 0 \\ & \ddots & & \vdots & \ddots & \vdots \\ & & z^{k_N} & 0 & \dots & 0 \end{array} \right)$$

tangent space

$$\delta H_0^{\vec{k}} = \left( \begin{array}{ccc|ccc} \sum_{j=1}^{k_1} c_{11,j} z^{j-1} & \dots & \sum_{j=1}^{k_N} c_{1N,j} z^{j-1} & \sum_{j=1}^{k_1} d_{11,j} z^{j-1} & \dots & \sum_{j=1}^{k_1} d_{1\tilde{N}} z^{j-1} \\ & \vdots & \vdots & \vdots & \ddots & \vdots \\ \sum_{j=1}^{k_1} c_{N1,j} z^{j-1} & \dots & \sum_{j=1}^{k_N} c_{NN,j} z^{j-1} & \sum_{j=1}^{k_N} d_{N1,j} z^{j-1} & \dots & \sum_{j=1}^{k_N} d_{N\tilde{N}} z^{j-1} \end{array} \right)$$

## contribution from the fixed point

$$Z_{(k_1, \dots, k_n)} = \left( \prod_{l=1}^N \prod_{m=1}^N \prod_{j=1}^{k_m} \frac{1}{m_{ml} + (k_l - j + 1)\epsilon} \right) \times \left( \prod_{l=1}^N \prod_{s=1}^{\tilde{N}} \prod_{j=1}^{k_l} \frac{1}{m_{n+s,l} + (k_l - j + 1)\epsilon} \right)$$

## contribution from the fixed point

$$Z_{(k_1, \dots, k_n)} = \left( \prod_{l=1}^N \prod_{m=1}^N \prod_{j=1}^{k_m} \frac{1}{m_{ml} + (k_l - j + 1)\epsilon} \right) \times \left( \prod_{l=1}^N \prod_{s=1}^{\tilde{N}} \prod_{j=1}^{k_l} \frac{1}{m_{n+s,l} + (k_l - j + 1)\epsilon} \right)$$

## vortex counting parameters

$$\Lambda : \text{overall } U(1) \longrightarrow \Lambda_i : U(1)^N \longleftrightarrow \hat{\sigma}_i \equiv \epsilon \Lambda_i^{N_F} \frac{\partial}{\partial \Lambda_i^{N_F}} = \frac{\epsilon}{2\pi i} \frac{\partial}{\partial \tau_i}$$

## contribution from the fixed point

$$Z_{(k_1, \dots, k_n)} = \left( \prod_{l=1}^N \prod_{m=1}^N \prod_{j=1}^{k_m} \frac{1}{m_{ml} + (k_l - j + 1)\epsilon} \right) \times \left( \prod_{l=1}^N \prod_{s=1}^{\tilde{N}} \prod_{j=1}^{k_l} \frac{1}{m_{n+s,l} + (k_l - j + 1)\epsilon} \right)$$

## vortex counting parameters

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## vortex partition function of Grassmannian sigma model

$$\begin{aligned} Z_{\text{vortex}} &= \sum_{k_1=0}^{\infty} \cdots \sum_{k_N=0}^{\infty} \Lambda_1^{N_f k_1} \cdots \Lambda_N^{N_f k_N} Z_{(k_1, \dots, k_N)} \\ &= \prod_{l>m} \frac{m_{ml} + \hat{\sigma}_l - \hat{\sigma}_m}{m_{ml}} \prod_{l=1}^N {}_0F_{N_f-1} \left( \{\}; \left\{ 1 + \frac{m_{la}}{\epsilon} \right\}_{a \neq l}; \frac{\Lambda_l^{N_f}}{\epsilon^{n_f}} \right) \end{aligned}$$

## recursion relation

$$Z(\dots, k_i+1, \dots) = (-1)^{n-1} \prod_{m=1}^{N_f} \frac{1}{m_{mi} + (k_i + 1)\epsilon} \prod_{m \neq i}^N \frac{m_{mi} + (k_i - k_m + 1)\epsilon}{m_{mi} + (k_i - k_m)\epsilon} Z(\dots, k_i, \dots)$$

## recursion relation

$$Z(\dots, k_i+1, \dots) = (-1)^{n-1} \prod_{m=1}^{N_f} \frac{1}{m_{mi} + (k_i + 1)\epsilon} \prod_{m \neq i}^N \frac{m_{mi} + (k_i - k_m + 1)\epsilon}{m_{mi} + (k_i - k_m)\epsilon} Z(\dots, k_i, \dots)$$

## differential equation for $Z$

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$$= \text{F-term equation of } \widetilde{W}(\tau_i, \sigma_i) = \sum_{i=1}^N \left[ i\sigma_i\tau_i - \frac{1}{2\pi} \sum_{l=1}^{N_f} (\sigma_i + m_l) \left( \log \frac{\sigma_i + m_l}{\mu} - 1 \right) \right]$$

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- **Twisted superpotential from vortex partition function**
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- non-Abelian case: worldvolume theory of multiple vortices?

# vortex worldvolume theory

2d  $N=(2,2)$   $U(k)$  theory with  $N$  fund. +  $\tilde{N}$  anti-fund. + one adjoint

$I$

$J$

$B$

$\epsilon_1$  : mass for  $B$  (vortex position)

$\epsilon_2$  : 2d  $\Omega$ -deformation

