

# A double-well SUSY matrix model as 2D type IIA superstrings in RR background

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Based on two papers in preparation with T. Kuroki (Rikkyo U.)

## 1 Introduction

◇ We previously considered a simple SUSY matrix model: [Kuroki-F.S. 2009]

$$S = N \text{tr} \left[ \frac{1}{2} B^2 + iB(\phi^2 - \mu^2) + \bar{\psi}(\phi\psi + \psi\phi) \right],$$

where

$$\left. \begin{array}{l} B, \phi : \text{Bosonic} \\ \psi, \bar{\psi} : \text{Fermionic} \end{array} \right\} N \times N \text{ hermitian matrices.}$$

- SUSY:

$$\begin{aligned} Q\phi &= \psi, & Q\psi &= 0, & Q\bar{\psi} &= -iB, & QB &= 0, \\ \bar{Q}\phi &= -\bar{\psi}, & \bar{Q}\bar{\psi} &= 0, & \bar{Q}\psi &= -iB, & \bar{Q}B &= 0. \end{aligned}$$

$$\Rightarrow Q^2 = \bar{Q}^2 = 0 \text{ (nilpotent)}$$

- Double-well scalar potential :  $\frac{1}{2}(\phi^2 - \mu^2)^2$

◇ (SUSY preserving) large- $N$  solution with filling fraction  $(\nu_+, \nu_-)$ :  
 [Kuroki-F.S. 2009]

$$\begin{aligned} \rho(x) &\equiv \frac{1}{N} \text{tr} \delta(x - \phi) \\ &= \begin{cases} \frac{\nu_+}{\pi} x \sqrt{(x^2 - a^2)(b^2 - x^2)} & (a < x < b) \\ \frac{\nu_-}{\pi} |x| \sqrt{(x^2 - a^2)(b^2 - x^2)} & (-b < x < -a) \end{cases} \end{aligned}$$

with  $a = \sqrt{\mu^2 - 2}$ ,  $b = \sqrt{\mu^2 + 2}$ .

- Exists for  $\mu^2 > 2$ .
- $a$  and  $b$  are independent of  $\nu_{\pm}$ !
- SUSY is preserved from  
 (large- $N$  free energy) = 0,  $\langle \frac{1}{N} \text{tr} B^n \rangle = 0$  ( $n = 1, 2, \dots$ ).
- The SUSY minima are infinitely degenerate, parametrized by  $(\nu_+, \nu_-)$ .

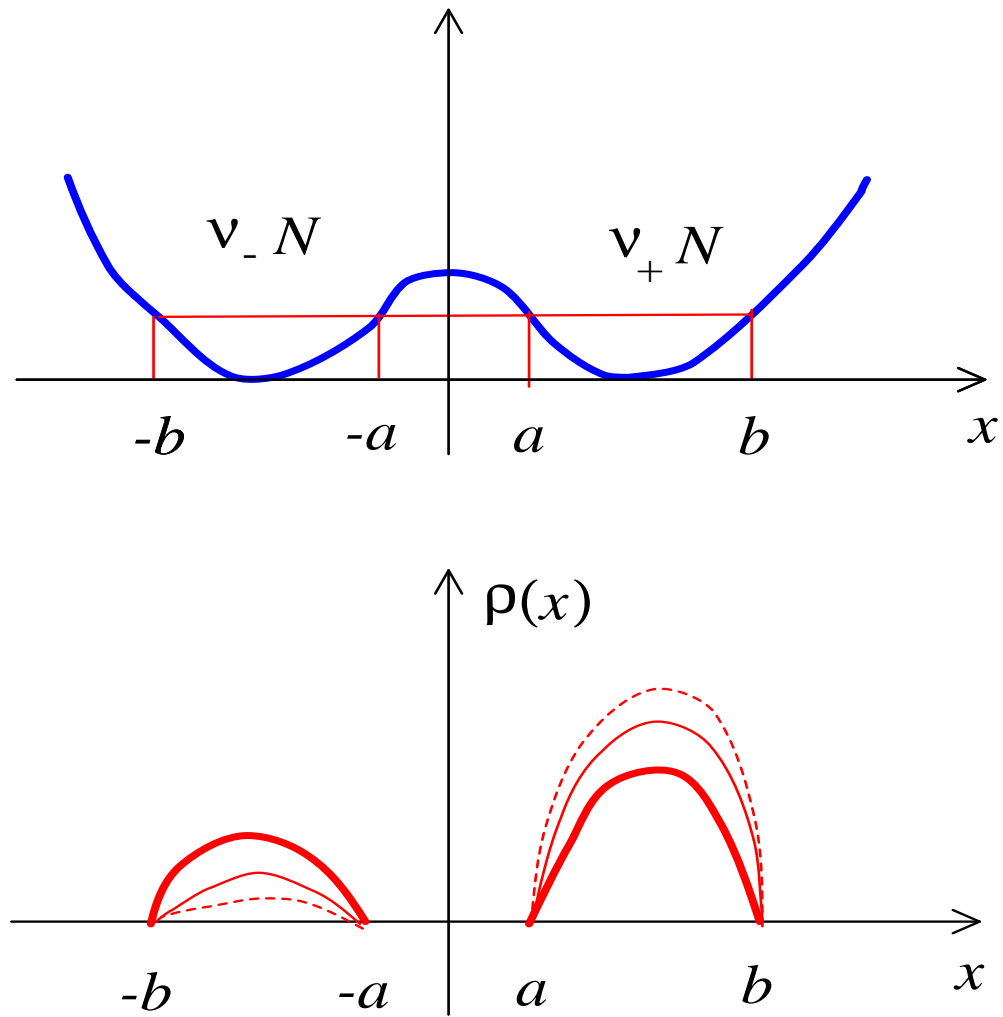


Figure 1: Double-well scalar potential (upper panel) and the eigenvalue distribution for  $\nu_+ > \nu_-$  (lower panel).

◇ In this talk,

- we compute correlation functions of this matrix model.

(→ Logarithmic critical behavior)

- discuss a correspondence between the matrix model and 2D type IIA superstring theory.

◇ Logarithmic critical behavior is reminiscent of

the  $c = 1$  matrix model (matrix quantum mechanics) [Kazakov-Migdal 1988]

or the Penner model (zero-dimensional matrix model). [Distler-Vafa 1991]

⇒ Our matrix model  $\sim$  a SUSY version of the Penner model  
 $\sim$  2D superstring with target-space SUSY.

Note:

- This matrix model is equivalent to the  $O(n = -2)$  model on a random surface [Kostov 1989].
- Its critical behavior is described by  $c = -2$  topological gravity or (2,1) minimal string theory. [Kostov-Staudacher 1992]
- It is easily seen by the Nicolai mapping  $H = \phi^2$ . [Gaiotto-Rastelli-Takayanagi 2004]

For  $\text{tr } \phi^{2n}$  or  $\text{tr } B^n$ , this approach is effective in  $\frac{1}{N}$ -expansion.

However,  $\text{tr } \phi^{2n+1}$ ,  $\text{tr } \psi^{2n+1}$ ,  $\text{tr } \bar{\psi}^{2n+1}$ , ... are not observables in the topological gravity. ( $\text{tr } \psi^{2n} = \text{tr } \bar{\psi}^{2n} = 0$ .)

Actually, we see nontrivial logarithmic critical behavior for these operators.

Interesting observation:

◇ Suppose that  $\psi$  and  $\bar{\psi}$  correspond to target-space fermions in some superstring theory.

$$\psi \Leftrightarrow (\text{NS},\text{R}) \text{ sector}, \quad \bar{\psi} \Leftrightarrow (\text{R},\text{NS}) \text{ sector}.$$

Then,

$$\begin{aligned} (-1)^{F_L} : \psi &\rightarrow \psi, & \bar{\psi} &\rightarrow -\bar{\psi}, \\ (-1)^{F_R} : \psi &\rightarrow -\psi, & \bar{\psi} &\rightarrow \bar{\psi}. \end{aligned}$$

In order for the matrix model action to be invariant under  $(-1)^{F_L}$  and  $(-1)^{F_R}$ ,

$$\begin{aligned} (-1)^{F_L} : B &\rightarrow B, & \phi &\rightarrow -\phi, \\ (-1)^{F_R} : B &\rightarrow B, & \phi &\rightarrow -\phi. \end{aligned}$$

This means

$$B \Leftrightarrow (\text{NS},\text{NS}) \text{ sector}, \quad \phi \Leftrightarrow (\text{R},\text{R}) \text{ sector}.$$

## 2 Planar one-point functions

$$\begin{aligned} \left\langle \frac{1}{N} \text{tr } \phi^n \right\rangle_0 &= \int dx x^n \rho(x) \\ &= (\nu_+ + (-1)^n \nu_-) (2 + \mu^2)^{n/2} F\left(-\frac{n}{2}, \frac{3}{2}, 3; \frac{4}{2 + \mu^2}\right) \end{aligned}$$

- reduces to a polynomial of  $\mu^2$  for  $n$  even:

$$\left\langle \frac{1}{N} \text{tr } \phi^2 \right\rangle_0 = \mu^2, \quad \left\langle \frac{1}{N} \text{tr } \phi^4 \right\rangle_0 = 1 + \mu^4, \quad \dots$$

- exhibits logarithmic singular behavior as  $\mu^2 \rightarrow 2$  for  $n$  odd:

$$\omega \equiv \frac{1}{4}(\mu^2 - 2)$$

$$\left\langle \frac{1}{N} \text{tr } \phi^{2k+1} \right\rangle_0 = (\nu_+ - \nu_-) \left[ (\text{const.}) \omega^{k+2} \ln \omega + (\text{less singular}) \right].$$



### 3 Planar two-point functions (Bosons)

- “Even-even” correlators:

$$\left\langle \frac{1}{N} \text{tr} \phi^{2k} \frac{1}{N} \text{tr} \phi^{2\ell} \right\rangle_{C,0} = (\text{polynomial of } \mu^2 \text{ indep. of } (\nu_+ - \nu_-))$$

- “Even-odd” correlators:

$$\left\langle \Phi_{2k+1} \frac{1}{N} \text{tr} \phi^{2\ell} \right\rangle_{C,0} = (\nu_+ - \nu_-) (\text{const.}) \omega^{k+1} \ln \omega + (\text{less singular})$$

- “Odd-odd” correlators:

$$\langle \Phi_{2k+1} \Phi_{2\ell+1} \rangle_{C,0} = (\nu_+ - \nu_-)^2 (\text{const.}) \omega^{k+\ell+1} (\ln \omega)^2 + (\text{less singular}),$$

where it is convenient to change a basis of the “odd” operators:

$$\Phi_{2k+1} = \frac{1}{N} \text{tr} \phi^{2k+1} + \sum_{i=1}^k \alpha_{2k+1,2i}(\omega) (\nu_+ - \nu_-) \frac{1}{N} \text{tr} \phi^{2i}$$

with  $\alpha_{2k+1,2i}(\omega)$  regular at  $\omega = 0$ .

## 4 Planar three-point functions (Bosons)

We obtain

$$\begin{aligned}\langle \Phi_1 \Phi_1 \Phi_1 \rangle_{C,0} &= (\nu_+ - \nu_-)^3 \left[ \frac{1}{16\pi^3} (\ln \omega)^3 + \mathcal{O}((\ln \omega)^2) \right], \\ \langle \Phi_1 \Phi_1 \Phi_3 \rangle_{C,0} &= (\nu_+ - \nu_-)^3 \left[ \frac{2}{\pi^3} + \frac{3}{8\pi^3} \omega (\ln \omega)^3 + \mathcal{O}(\omega (\ln \omega)^2) \right].\end{aligned}$$

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$$\langle \Phi_1 \Phi_1 \Phi_3 \rangle_{C,0} = (\nu_+ - \nu_-)^3 \left[ \frac{2}{\pi^3} + \frac{3}{8\pi^3} \omega (\ln \omega)^3 + \mathcal{O}(\omega (\ln \omega)^2) \right].$$

- The results so far suggest

$$\langle \Phi_{2k_1+1} \cdots \Phi_{2k_n+1} \rangle_{C,0} = (\nu_+ - \nu_-)^n (\text{const.}) \omega^{2-\gamma+\sum_{i=1}^n (k_i-1)} (\ln \omega)^n + (\text{less singular})$$

with  $\gamma = -1$ . ← string susceptibility of  $c = -2$  topological gravity

Gravitational scaling dimension of  $\Phi_{2k+1}$  is  $k$ .

But, the logarithmic scaling violation is more severe than the case of the  $c = 1$  matrix model.

◇ For fermions,

$$\Psi_{2k+1} = \frac{1}{N} \text{tr} \psi^{2k+1} + \dots,$$
$$\bar{\Psi}_{2k+1} = \frac{1}{N} \text{tr} \bar{\psi}^{2k+1} + \dots$$

have the dimension  $k$  same as  $\Phi_{2k+1}$ .

## 6 2D type IIA superstring

[Kutasov-Seiberg 1990, Ita-Nieder-Oz 2005]

- (Target space) =  $(x, \varphi)$ ,

where  $x \in S^1$  with self-dual radius ( $R = 1$ ) and  $\varphi$ : Liouville.

(↖ Same as the Penner model!)

- EM tensor (except ghost part):

$$T_m = -\frac{1}{2}(\partial x)^2 - \frac{1}{2}\psi_x \partial \psi_x - \frac{1}{2}(\partial \varphi)^2 + \frac{Q}{2}\partial^2 \varphi - \frac{1}{2}\psi_\ell \partial \psi_\ell$$

with  $Q = 2$

- Target-space SUSY is nilpotent. (← Same as MM!)

$$q_+(z) = e^{-\frac{1}{2}\phi - \frac{i}{2}H - ix(z)}, \quad Q_+ = \oint \frac{dz}{2\pi i} q_+(z),$$

$$\bar{q}_-(\bar{z}) = e^{-\frac{1}{2}\bar{\phi} + \frac{i}{2}\bar{H} + i\bar{x}(\bar{z})}, \quad \bar{Q}_- = \oint \frac{d\bar{z}}{2\pi i} \bar{q}_-(\bar{z}).$$

where  $\psi_\ell \pm i\psi_x = \sqrt{2}e^{\mp iH}$ . ↖ Exist only at the self-dual radius!

$$\Rightarrow Q_+^2 = \bar{Q}_-^2 = \{Q_+, \bar{Q}_-\} = 0.$$

- Vertex operators:

$$\text{NS sector } (-1)\text{-picture : } T_k(z) = e^{-\phi + ikx + p_\ell \varphi}(z)$$

$$\text{R sector } (-\frac{1}{2})\text{-picture : } V_{k, \epsilon}(z) = e^{-\frac{1}{2}\phi + \frac{i}{2}\epsilon H + ikx + p_\ell \varphi}(z)$$

with  $\epsilon = \pm 1$ .

Locality with supercurrents, mutual locality, superconformal inv., level matching

$\Rightarrow$  physical vertex operators with  $p_\ell = 1 - |k|$  and  $k = \epsilon|k|$

Winding background:

(NS, NS) :	$T_k(z) \bar{T}_{-k}(\bar{z})$	$(k \in \mathbf{Z} + \frac{1}{2})$	winding “tachyon”
(R+, R-) :	$V_{k, +1}(z) \bar{V}_{-k, -1}(\bar{z})$	$(k = 1/2, 3/2, \dots)$	RR boson
(R-, R+) :	$V_{-k, -1}(z) \bar{V}_{k, +1}(\bar{z})$	$(k = 0, 1, 2, \dots)$	RR 2-form field strength
(NS, R-) :	$T_{-k}(z) \bar{V}_{-k, -1}(\bar{z})$	$(k = 1/2, 3/2, \dots)$	fermion(-)
(R+, NS) :	$V_{k, +1}(z) \bar{T}_k(z)$	$(k = 1/2, 3/2, \dots)$	fermion(+)

Interesting observation:

Let us assume the correspondence of supercharges between the matrix model and the type IIA theory:

$$(Q, \bar{Q}) \Leftrightarrow (Q_+, \bar{Q}_-).$$

$\Rightarrow$  SUSY transformation properties naturally leads to

$$\Phi_1 = \frac{1}{N} \text{tr} \phi \Leftrightarrow \int d^2 z V_{\frac{1}{2}, +1}(z) \bar{V}_{-\frac{1}{2}, -1}(\bar{z}),$$

$$\Psi_1 = \frac{1}{N} \text{tr} \psi \Leftrightarrow \int d^2 z T_{-\frac{1}{2}}(z) \bar{V}_{-\frac{1}{2}, -1}(\bar{z}),$$

$$\bar{\Psi}_1 = \frac{1}{N} \text{tr} \bar{\psi} \Leftrightarrow \int d^2 z V_{\frac{1}{2}, +1}(z) \bar{T}_{\frac{1}{2}}(\bar{z}),$$

$$\frac{1}{N} \text{tr}(-iB) \Leftrightarrow \int d^2 z T_{-\frac{1}{2}}(z) \bar{T}_{\frac{1}{2}}(\bar{z}).$$

Furthermore, for  $k = 0, 1, 2, \dots$ ,

$$\Phi_{2k+1} \Leftrightarrow \int d^2 z V_{k+\frac{1}{2}, +1}(z) \bar{V}_{-k-\frac{1}{2}, -1}(\bar{z}),$$

$$\Psi_{2k+1} \Leftrightarrow \int d^2 z T_{-k-\frac{1}{2}}(z) \bar{V}_{-k-\frac{1}{2}, -1}(\bar{z}),$$

$$\bar{\Psi}_{2k+1} \Leftrightarrow \int d^2 z V_{k+\frac{1}{2}, +1}(z) \bar{T}_{k+\frac{1}{2}}(\bar{z}),$$

$$\frac{1}{N} \text{tr}(-iB)^{k+1} \Leftrightarrow \int d^2 z T_{-k-\frac{1}{2}}(z) \bar{T}_{k+\frac{1}{2}}(\bar{z})$$

seems also natural.

(Single trace operators in the matrix model)  $\Leftrightarrow$  (Integrated vertex operators in IIA)  
(Powers of matrices)  $\Leftrightarrow$  (Windings or Momenta)



Note:

- RR 2-form field strength in  $(R-, R+)$  is a singlet under the target-space SUSYs  $Q_+$ ,  $\bar{Q}_-$ , and appears to have no matrix-model counterpart.
- $\langle \Phi_{2k+1} \rangle_0$ ,  $\langle \Psi_{2k+1} \bar{\Psi}_{2k+1} \rangle_{C,0}$  are nonvanishing in the matrix model.

$\Rightarrow$  The matrix model is considered to correspond to IIA on a background of the RR 2-form.

Let us check by computing amplitudes in IIA theory.

## 7 Correspondence between the matrix model and the IIA theory

◇ Correlation functions among integrated vertex operators in IIA on the trivial background:

$$\left\langle \prod_i \mathcal{V}_i \right\rangle = \frac{1}{\text{Vol.}(\text{CKV})} \int \mathcal{D}(x, \varphi, H, \text{ghosts}) e^{-S_{\text{CFT}}} e^{-S_{\text{int}}} \prod_i \mathcal{V}_i,$$

$$S_{\text{int}} = \omega \int d^2 z T_{-\frac{1}{2}}^{(0)}(z) \bar{T}_{\frac{1}{2}}^{(0)}(\bar{z}) \quad (\leftarrow \text{0-picture (NS, NS) "tachyon"})$$

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$$S_{\text{int}} = \omega \int d^2 z T_{-\frac{1}{2}}^{(0)}(z) \bar{T}_{\frac{1}{2}}^{(0)}(\bar{z}) \quad (\leftarrow \text{0-picture (NS, NS) "tachyon"})$$

◇ Correlation functions in IIA on (R−, R+) background: c.f.[Takayanagi 2004]

$$\left\langle\left\langle \prod_i \mathcal{V}_i \right\rangle\right\rangle \equiv \left\langle \left( \prod_i \mathcal{V}_i \right) e^{W_{\text{RR}}} \right\rangle,$$

where  $W_{\text{RR}}$  is invariant under the target-space SUSYs:

$$W_{\text{RR}} = (\nu_+ - \nu_-) \sum_{k \in \mathbb{Z}} a_k \omega^{k+1} \mathcal{V}_k^{\text{RR}}, \quad (a_k : \text{numerical consts.})$$

$$\mathcal{V}_k^{\text{RR}} \equiv \begin{cases} \int d^2 z V_{k, -1}(z) \bar{V}_{-k, +1}(\bar{z}) & (p_\ell = 1 - |k|, k = 0, -1, -2, \dots) \\ \int d^2 z V_{-k, -1}^{(\text{nonlocal})}(z) \bar{V}_{k, +1}^{(\text{nonlocal})}(\bar{z}) & (p_\ell = 1 + |k|, k = 1, 2, \dots). \end{cases}$$

◇ Standard Liouville theory computation for amplitudes leads to:

$$\begin{aligned}
\bullet \left\langle \frac{1}{N} \text{tr}(-iB) \Phi_{2k+1} \right\rangle_0 &= \frac{1}{4} \partial_\omega \langle \Phi_{2k+1} \rangle_0 \Leftrightarrow \\
&= -\frac{1}{4} (\nu_+ - \nu_-) \sum_{\ell \in \mathbb{Z}} a_\ell \omega^{\ell+1} \left\langle \left( \int T_{-\frac{1}{2}} \bar{T}_{\frac{1}{2}} \right) \left( \int V_{k+\frac{1}{2}, +1} \bar{V}_{-k-\frac{1}{2}, -1} \right) \mathcal{V}_\ell^{\text{RR}} \right\rangle \\
&= -\frac{1}{2} (\nu_+ - \nu_-) a_k \omega^{k+1} \ln \omega,
\end{aligned}$$

$$\begin{aligned}
\bullet \langle \Phi_{2k_1+1} \Phi_{2k_2+1} \rangle_0 &\Leftrightarrow \\
&= \frac{1}{2} (\nu_+ - \nu_-)^2 \sum_{\ell_1, \ell_2 \in \mathbb{Z}} a_{\ell_1} a_{\ell_2} \omega^{\ell_1 + \ell_2 + 2} \\
&\times \left\langle \left( \int V_{k_1+\frac{1}{2}, +1} \bar{V}_{-k_1-\frac{1}{2}, -1} \right) \left( \int V_{k_2+\frac{1}{2}, +1} \bar{V}_{-k_2-\frac{1}{2}, -1} \right) \mathcal{V}_{\ell_1}^{\text{RR}} \mathcal{V}_{\ell_2}^{\text{RR}} \right\rangle \\
&= (\nu_+ - \nu_-)^2 2\pi a_{k_1+k_2} a_{-1} \left( \frac{(k_1+k_2)!}{k_1!k_2!} \right)^2 \omega^{k_1+k_2+1} (\ln \omega)^2,
\end{aligned}$$

...

with appropriate regularization by the Liouville volume  $V_L = -2 \ln \omega$ .

- Consistent with the correspondence!
- Higher powers of  $\ln \omega$  comes from resonances to the  $(R-, R+)$  background.

## Regularization:

For example, the amplitude

$$\int d^2 z z^\alpha \bar{z}^{\bar{\alpha}} (1-z)^\beta (1-\bar{z})^{\bar{\beta}} = \pi \frac{\Gamma(\bar{\alpha}+1)\Gamma(\bar{\beta}+1)\Gamma(-\alpha-\beta-1)}{\Gamma(\bar{\alpha}+\bar{\beta}+2)\Gamma(-\alpha)\Gamma(-\beta)}$$

with

$$\begin{aligned}\alpha &= \bar{\alpha} = k_3 k_4 - p_{l_3} p_{l_4} = k_1 + k_2, \\ \beta &= \bar{\beta} = k_2 k_4 - p_{l_2} p_{l_4} - \frac{1}{2} = -k_1 - 1, \quad (k_1, k_2 = 0, 1, 2, \dots)\end{aligned}$$

is indefinite.

We regularize it as

$$\alpha \rightarrow \alpha + \epsilon, \quad \bar{\alpha} \rightarrow \bar{\alpha} + \epsilon, \quad \beta \rightarrow \beta + \epsilon, \quad \bar{\beta} \rightarrow \bar{\beta} + \epsilon$$

with  $\epsilon = \frac{1}{V_L}$ , and get the result  $\frac{\pi}{2} \left( \frac{(k_1+k_2)!}{k_1!k_2!} \right)^2 V_L$ .

- This regularization preserves the mutual locality of vertex operators, i.e. does not change  $\alpha - \bar{\alpha}$  and  $\beta - \bar{\beta}$ .

## 9 Summary and discussions

◇ We computed correlation functions in the double-well SUSY matrix model, and discussed its correspondence to 2D type IIA superstring theory on  $(R-, R+)$  background by computing amplitudes in both sides.

This is an interesting example of matrix models for superstrings with target-space SUSY, in which various amplitudes are explicitly calculable.

◇ MM-counterpart of positive winding “tachyons”  $T_{k-\frac{1}{2}} \bar{T}_{-k+\frac{1}{2}}$   
( $k = 1, 2, \dots$ )?

Similar to the Kontsevich-Penner MM (introducing an external matrix source)?

[Imbimbo-Mukhi 1995]

◇ D-brane interpretation of the matrix model?  
FZZT?

◇ Black-hole (cigar) target space?