

# **Effective field theory approach to quasi-single field inflation and effects of heavy fields**

Toshifumi Noumi

(Math Phys Lab, RIKEN)

reference:

- JHEP 1306 (2013) 051 [arXiv:1211.1624]  
with Masahide Yamaguchi (TIT) and Daisuke Yokoyama (Seoul NU)
- [arXiv:1307.7110] with Masahide Yamaguchi (TIT)

# Effective field theory approach to quasi-single field inflation and **effects of heavy fields**

Tos **possible probe of high energy physics**

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**spontaneous breaking of time-diffeomorphism**

**Effective field theory approach to  
quasi-single field inflation and effects of heavy fields**

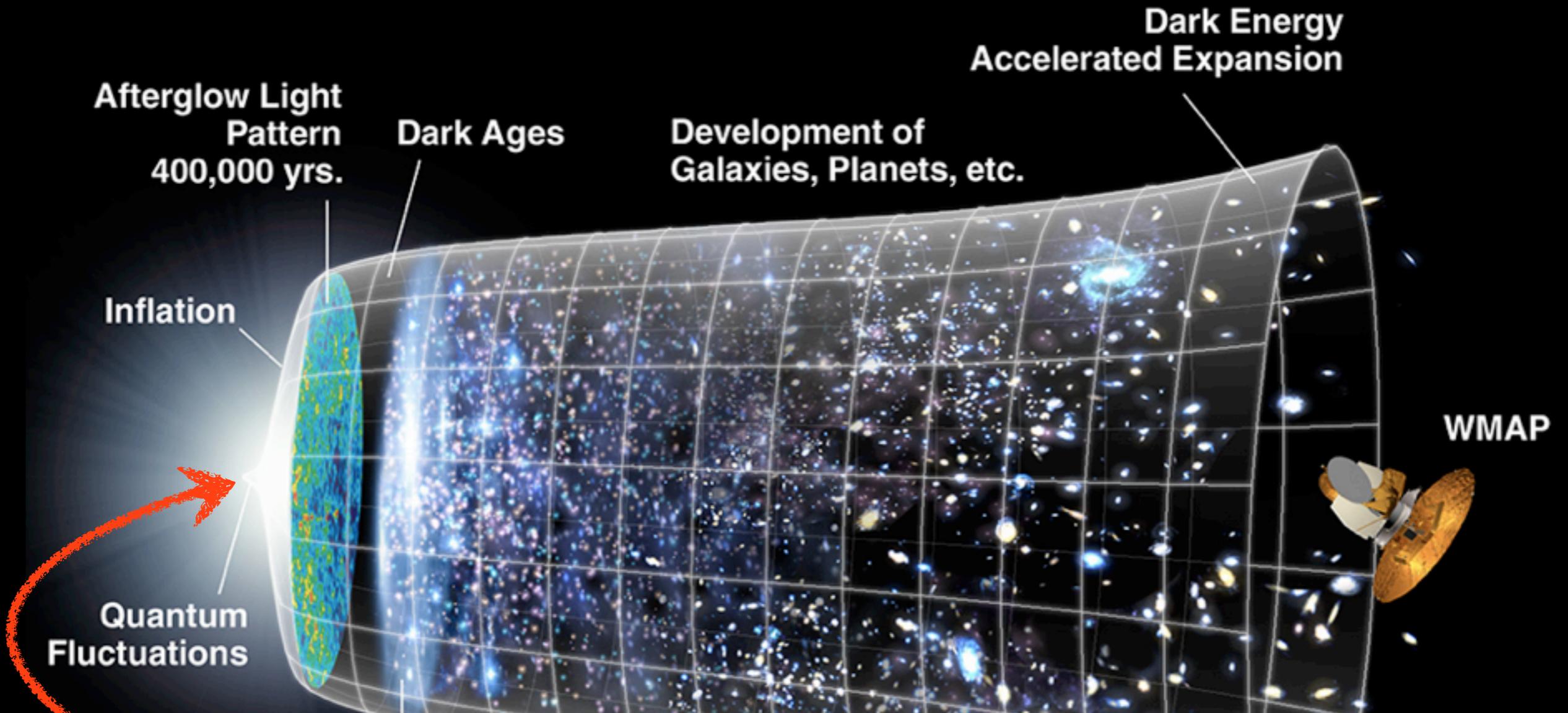
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inflation



**inflation: accelerated expansion of the Universe**

**- explains horizon problem, flatness problem, ...**

**- generates primordial curvature fluctuations**

**→ seeds of structures of the Universe**

# # single-field slow-roll inflation

- introduce an inflaton field:

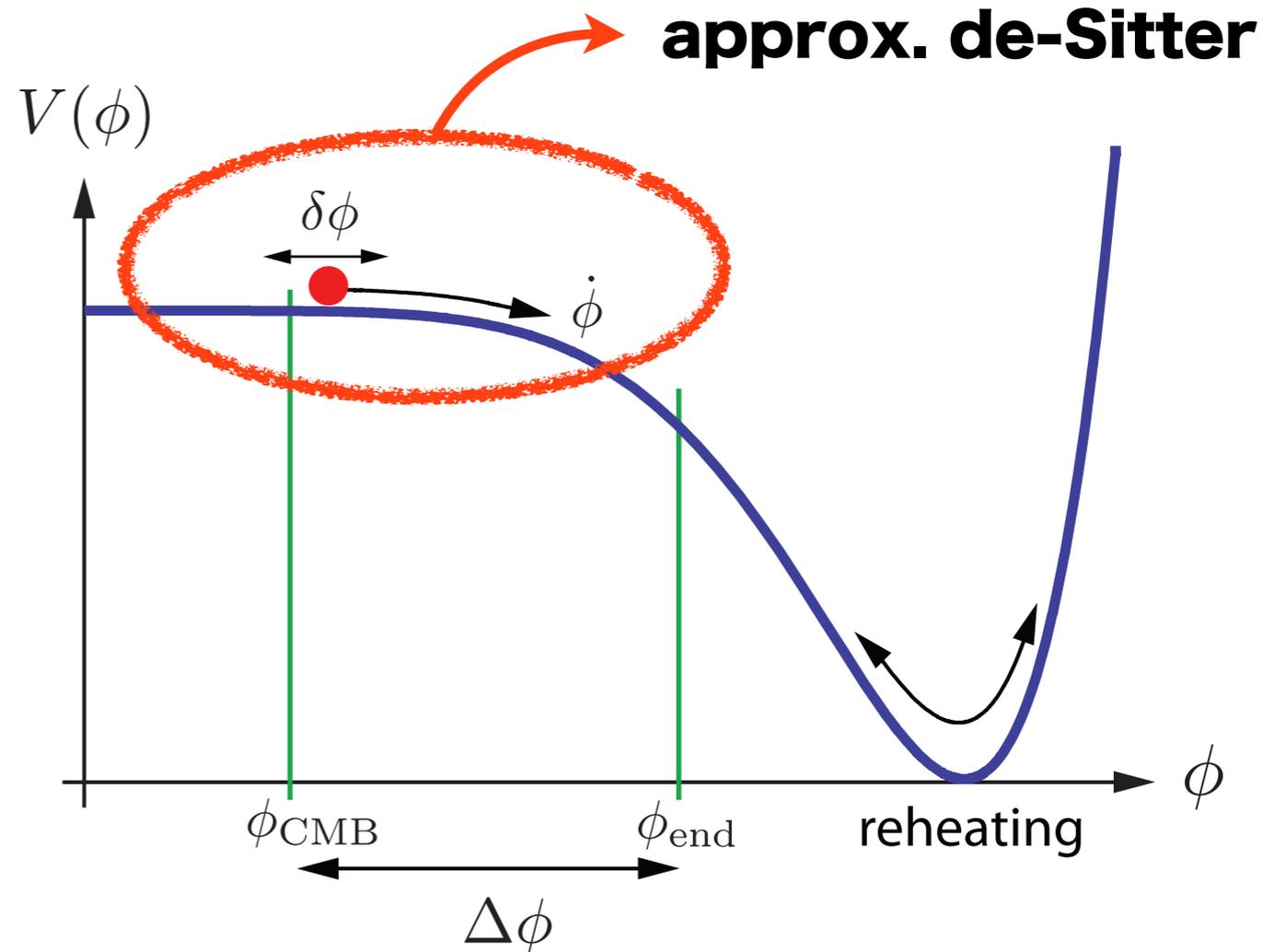
$$\mathcal{L} = -\frac{1}{2}\partial_\mu\phi\partial^\mu\phi - V(\phi)$$

- FRW spacetime

$$ds^2 = -dt^2 + a(t)^2 d\vec{x}^2$$

- Hubble parameter:  $H(t) = \frac{\dot{a}}{a}$

( horizon problem  
observation



$$\ln \left[ \frac{a(t_f)}{a(t_i)} \right] \gtrsim 60 \quad \epsilon = -\frac{\dot{H}}{H^2} \ll 1 \quad \eta = \frac{\dot{\epsilon}}{\epsilon H} \ll 1$$

a lot of models have been proposed and are being killed by experiments!

how to distinguish models?

quantum fluctuations during inflation  $\rightarrow$  seeds of structures

✂ initial conditions in standard cosmology

dof during inflation

$\left( \begin{array}{l} \text{inflaton } \phi \\ \text{metric } g_{\mu\nu} \\ \text{others } \sigma \end{array} \right) \rightarrow$

two gauges

- spatially flat gauge

inflaton  $\phi$  and graviton  $\gamma_{ij}$

- unitary gauge

$$ds^2 = -dt^2 + a^2 e^{2\zeta} (e^\gamma)_{ij} dx^i dx^j$$

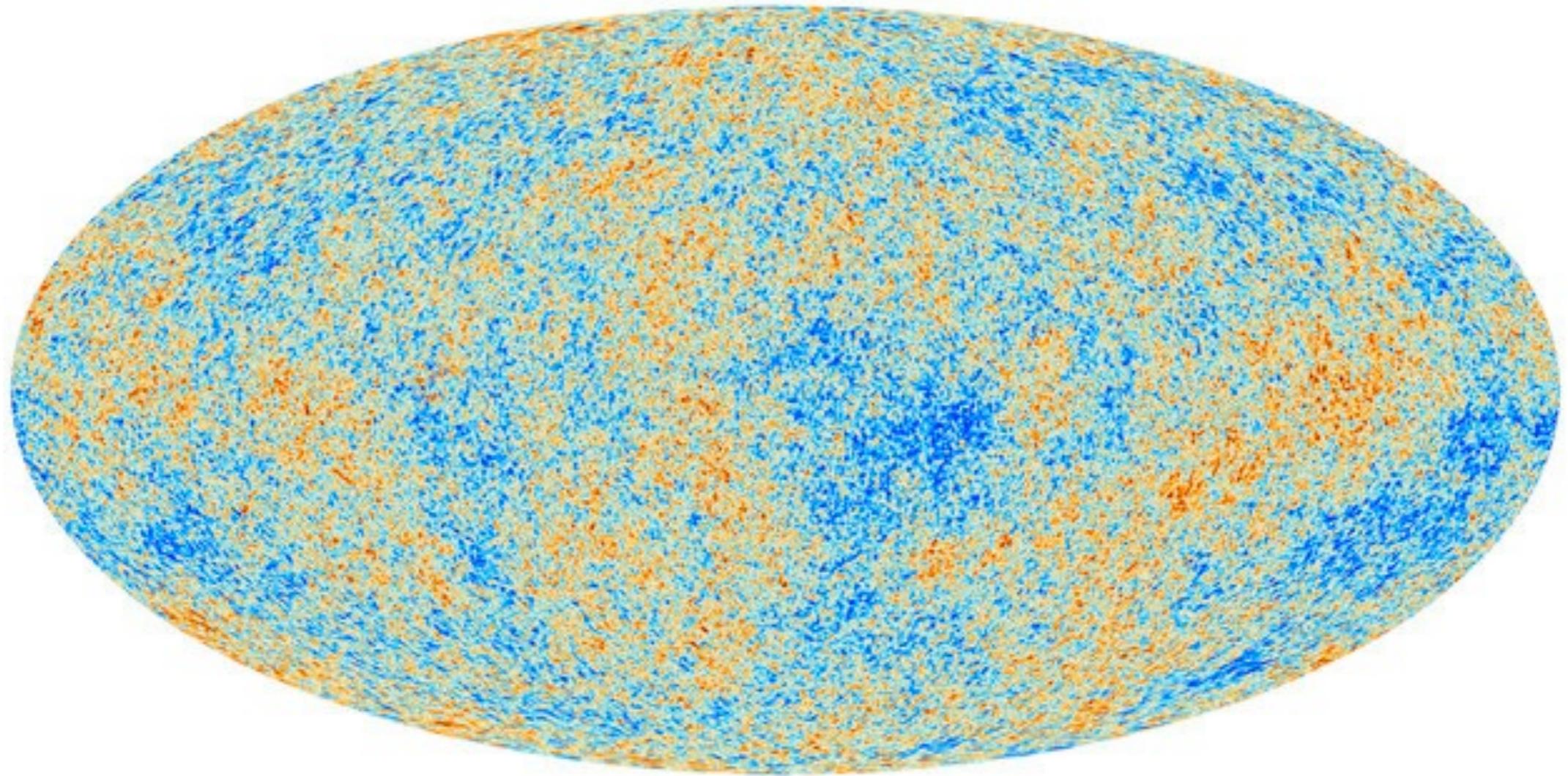
scalar perturbation  $\zeta$

tensor perturbation  $\gamma_{ij}$

-  $\zeta, \gamma_{ij}$  : fluctuation of scale factor

- cosmic expansion cools Universe  $\rightarrow$  CMB temp. fluctuations

CMB as seen by Planck



$$\frac{\delta T}{T} \sim 10^{-5}$$

as a probe of (very) high energy physics?

models based on high energy theory have been also discussed  
(ex. supergravity, superstring theory, ...)

one generic feature of such high energy based models:

**massive scalar fields** other than inflaton

- supergravity: generically  $m_{\text{scalar}} \sim H$
- extra dimensions: Kaluza-Klein modes
- superstring theory: moduli of compactification

can be used as a probe of high energy physics!?  
can affect primordial curvature perturbations!?

when heavy fields become relevant?



suppose that the potential has a massive direction  
in addition to the slow-roll direction



- if you roll along the bottom of potential...
- don't feel the massive potential
  - single field approximation works well



no information about massive fields

if you roll along the bottom of potential...

- don't feel the massive potential
- single field approximation works well

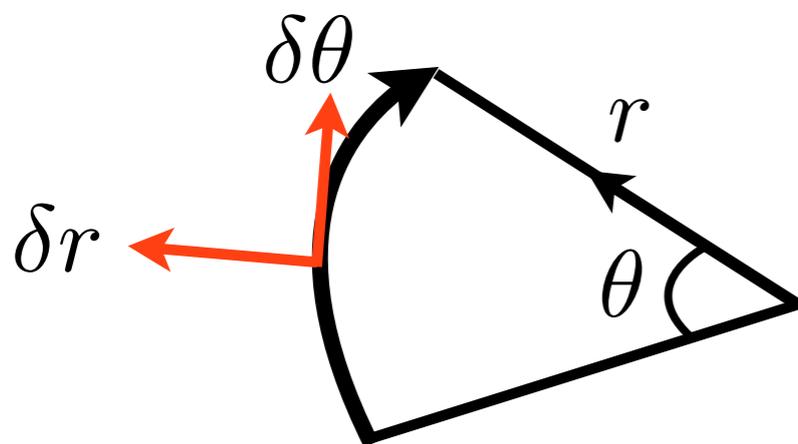
two typical situation you feel massive potential



turn and climb the potential

potential itself is turning

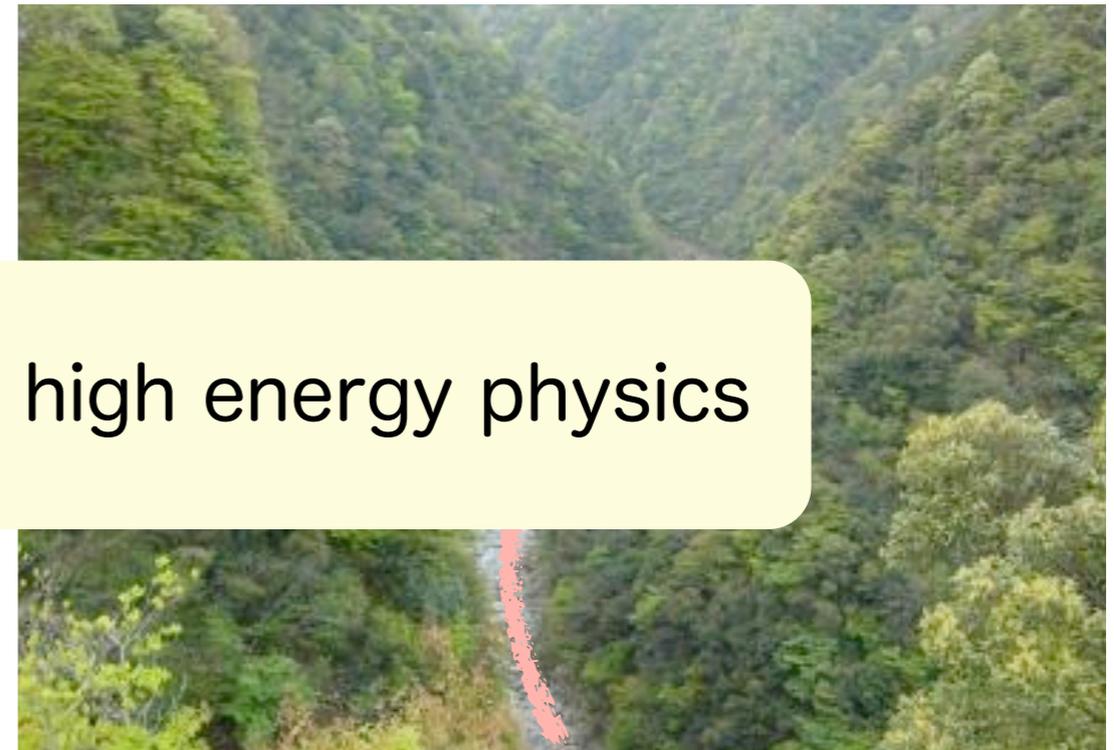
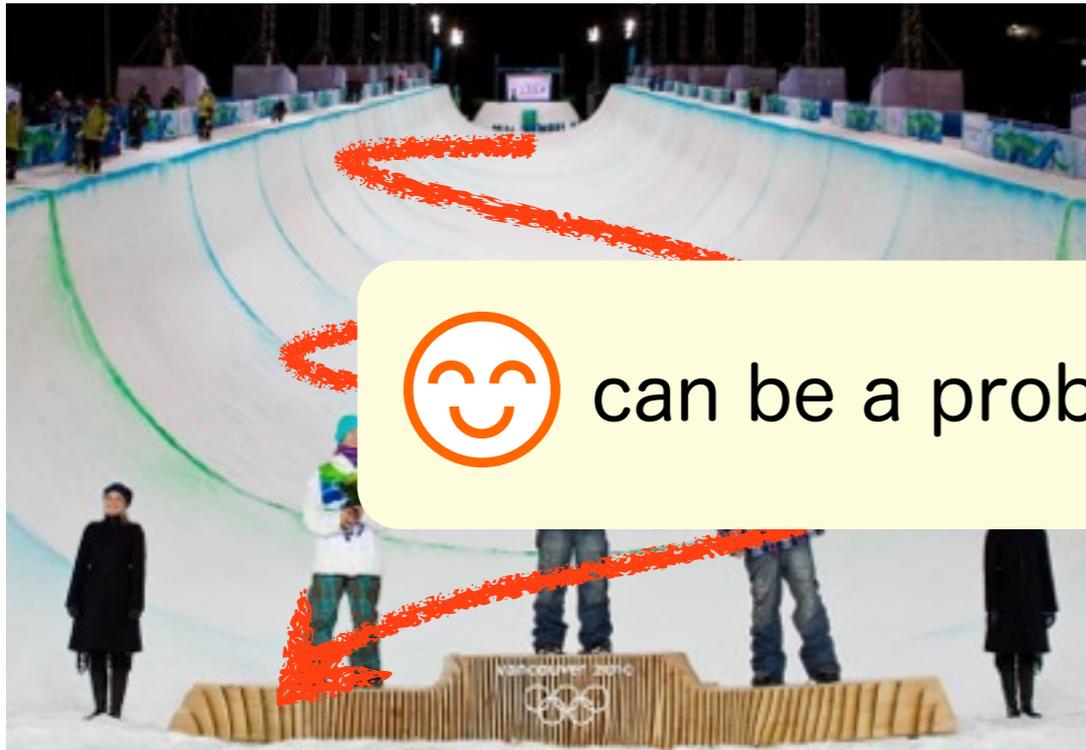
※ in each case, you will feel centrifugal force during the turn



conversion interaction from kinetic term :

$$r^2 \partial_\mu \theta \partial^\mu \theta \ni \delta r \dot{\delta \theta}$$

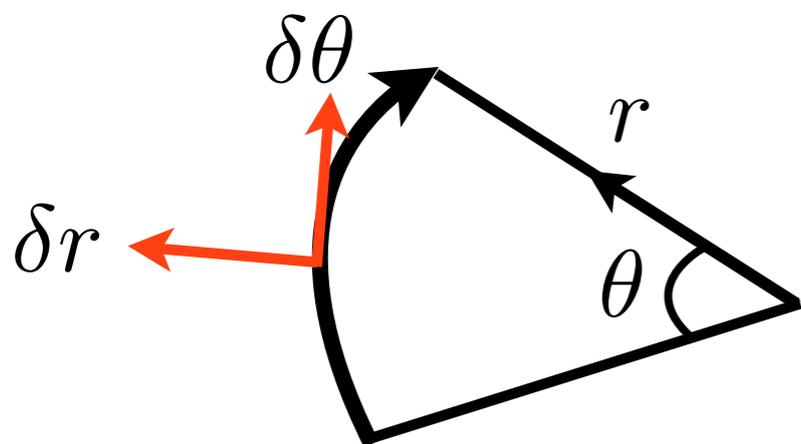
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turn and climb the potential

potential itself is turning

✂ in each case, you will feel centrifugal force during the turn



conversion interaction from kinetic term :

$$r^2 \partial_\mu \theta \partial^\mu \theta \ni \delta r \dot{\delta \theta}$$

signatures from conversion interaction + heavy fields:

# quasi-single field inflation [Chen-Wang '09]

- potentially large non-Gaussianities
- intermediate shape between local and equilateral types

# effective sound speed from heavy fields [ex. Achúcarro et al '11]

- heavy fields can change dispersion relations of light fields

# sudden turning trajectory [ex. Gao et al '12]

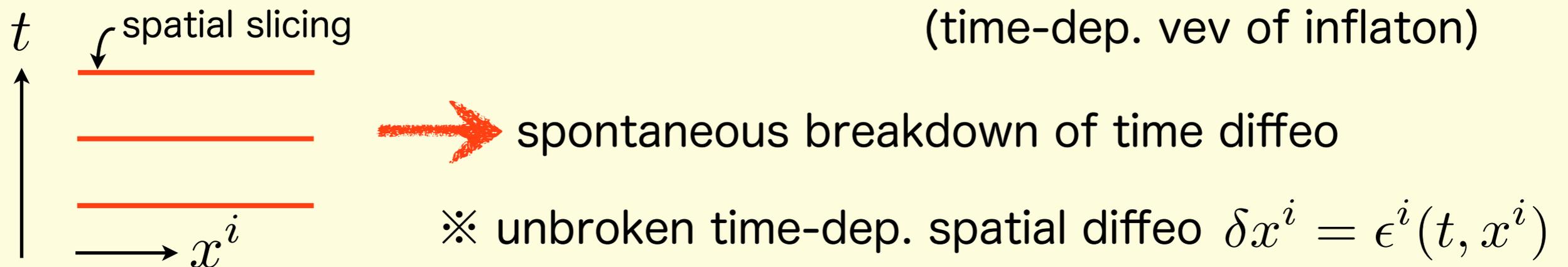
- a kind of resonances in primordial power spectra

would like to discuss effects of heavy fields + conversion  
more systematically and in more general settings  
→ effective field theory (EFT) approach to inflation

General action from EFT approach

# # EFT approach to inflation [Cheung-Creminelli-Fitzpatrick-Kaplan-Senatore '07]

inflation : approx. de-Sitter expansion  $\rightarrow$  time-dep. scalar curvature  $R(t)$



relevant dof + symmetry breaking structure  $\rightarrow$  effective action

$\times$  write down all possible interactions preserving unbroken symmetry!

advantages:

- systematic expansions in perturbations and derivatives
- interactions at different orders are related by symmetry

# # EFT approach to inflation [Cheung-Creminelli-Fitzpatrick-Kaplan-Senatore '07]

- simplest case ( $\Leftrightarrow$  single field inflation)

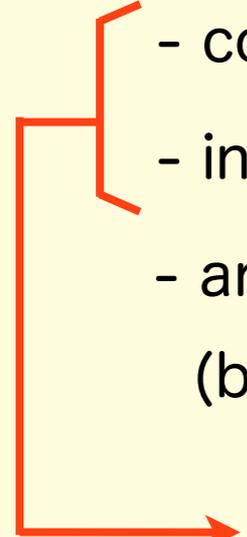
relevant dof = metric  $g_{\mu\nu}$  only

※ time-diffeo breaking  $\rightarrow$  2 transverse and 1 longitudinal physical modes  
(inflaton is eaten by graviton)

we would like to construct the most general action

- constructed from the metric  $g_{\mu\nu}$
- invariant under unbroken time-dependent spatial diffeo
- around given FRW background  
(background field satisfy the eom)

free indices: upper 0's


$$S = \int d^4x \sqrt{-g} F(R_{\mu\nu\rho\sigma}, g^{00}, K_{\mu\nu}, \nabla_\mu, t)$$

$K_{\mu\nu}$ : extrinsic curvature on constant-time spatial slices

# # Generic action with heavy fields [Noumi-Yamaguchi-Yokoyama '12]

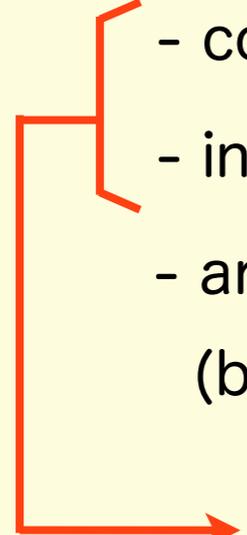
- in our case...

relevant dof = metric  $g_{\mu\nu}$  + additional massive scalar field  $\sigma$

※ time-diffeo breaking  $\rightarrow$  2 transverse and 1 longitudinal physical modes  
(inflaton is eaten by graviton)

we would like to construct the most general action

- constructed from the metric  $g_{\mu\nu}$  and  $\sigma$
- invariant under unbroken time-dependent spatial diffeo
- around given FRW background  
(background field satisfy the eom)


$$S = \int d^4x \sqrt{-g} F(R_{\mu\nu\rho\sigma}, g^{00}, K_{\mu\nu}, \nabla_\mu, t, \sigma)$$

$K_{\mu\nu}$ : extrinsic curvature on constant-time spatial slices

## # Generic action with heavy fields [Noumi-Yamaguchi-Yokoyama '12]

1. expand the action around a given FRW background
2. introduced the Goldstone boson  $\pi$  via Stuckelberg method

- Goldstone boson  $\pi$  non-linearly realizes time diffeo

$$\pi(x) \rightarrow \tilde{\pi}(\tilde{x}) = \pi(x) - \xi^0(x) \quad \text{with} \quad t \rightarrow \tilde{t} = t + \xi^0(x)$$

-  $\zeta = -H\pi$  at the linear order

## # Generic action with heavy fields [Noumi-Yamaguchi-Yokoyama '12]

3. write the action schematically as  $S = S_\pi + S_\sigma + S_{\text{mix}}$

$S_\pi$  : no  $\sigma$  ( $\Leftrightarrow$  single field)

$S_\sigma$  : kinetic term of  $\sigma$ , self-interaction of  $\sigma$ , ...

$S_{\text{mix}}$  : conversion of  $\pi$  and  $\sigma$ , ...

$$S_\pi \ni \int d^4x a^3 \left[ -M_{\text{Pl}}^2 \dot{H} \left( \dot{\pi}^2 - \frac{(\partial_i \pi)^2}{a^2} \right) \right]$$

$$S_{\text{mix}} \ni \int d^4x a^3 \left[ -2\beta \dot{\pi} \sigma - \beta \left( \dot{\pi}^2 - \frac{(\partial_i \pi)^2}{a^2} \right) \sigma \right]$$

※ nontrivial cubic interaction from conversion : typically,  $f_{NL} = \mathcal{O}(1 \sim 10)$

※ interactions at different orders are related by symmetry

※ model is specified by time-dep. parameters such as  $H(t)$  and  $\beta$

Example: effects of heavy field oscillations

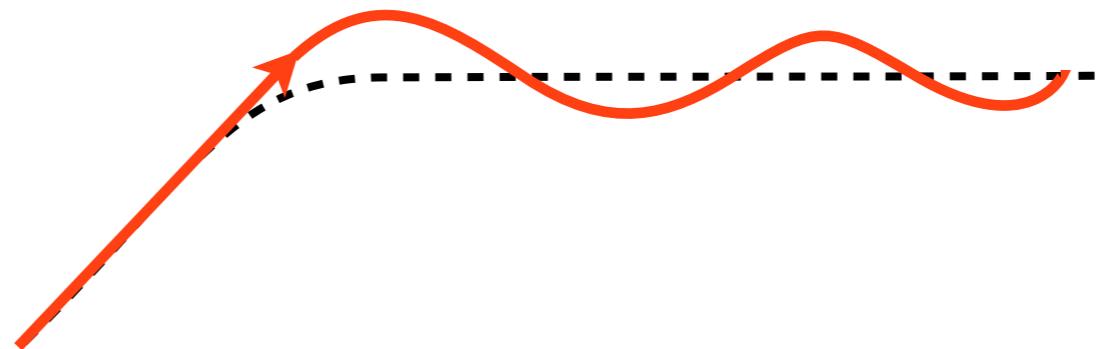
[Noumi-Yamaguchi '13]



heavy field oscillations can occur in the case of

1. turning potential

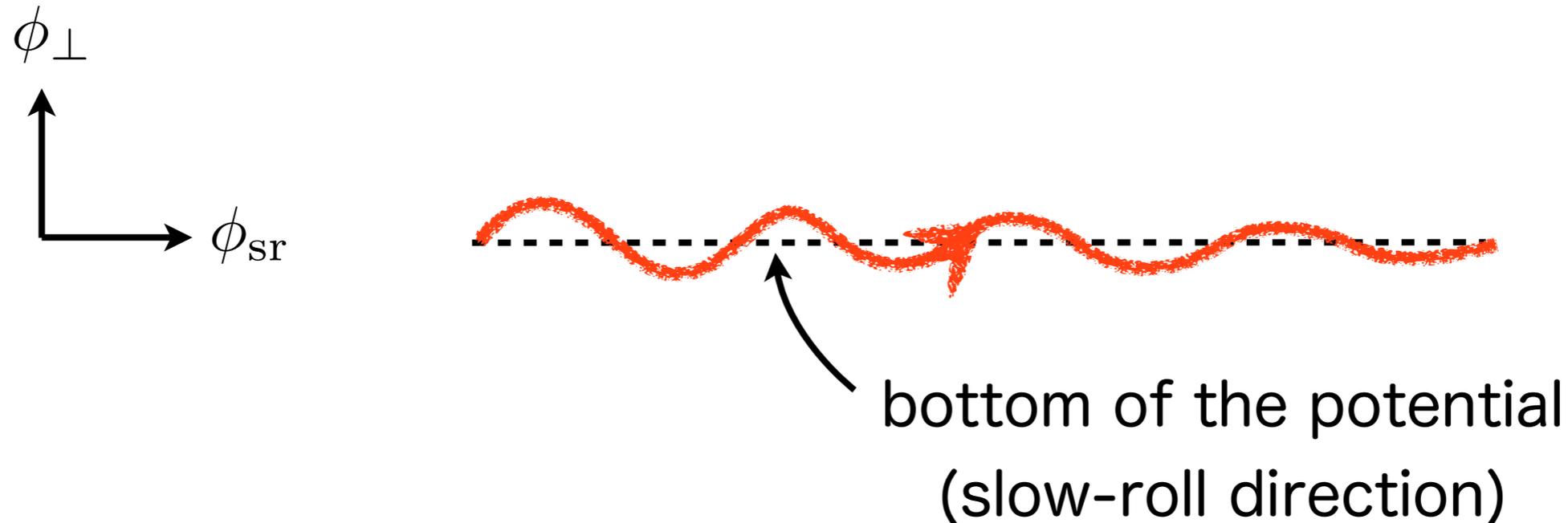
2. phase transition (of massive direction)



two effects of heavy field oscillations:

1. deformations of Hubble parameter
2. conversion interactions

# # Deformations of Hubble parameter



if background trajectory oscillates...

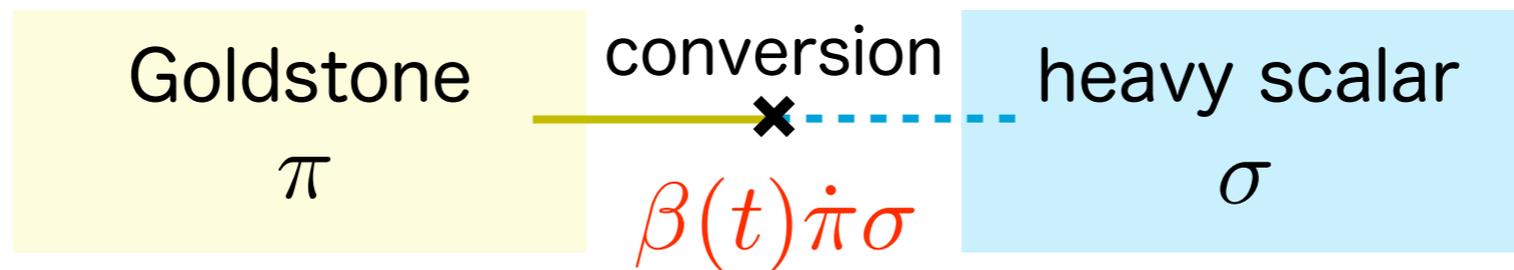
Friedman equation:  $-2M_{\text{Pl}}^2 \dot{H} = \dot{\phi}_{\text{sr}}^2 + \dot{\phi}_{\perp}^2$  oscillating

- deformed Hubble parameter  $\dot{H} = \dot{H}_{\text{sr}} + \delta\dot{H}$

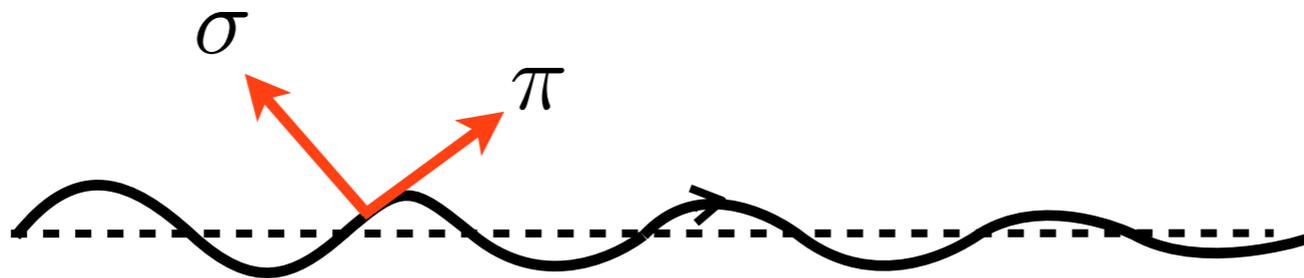
→  $\pi - \pi$  interaction  $\int dt d^3x a^3 (-M_{\text{Pl}}^2 \delta\dot{H}) \left[ \dot{\pi}^2 - \frac{(\partial_i \pi)^2}{a^2} \right]$

# # conversion interaction

two dof of scalar perturbations:



- for turning background trajectory...



→  $\pi$  -  $\sigma$  conversion appears during the turn

- coupling  $\beta$  oscillates with frequency  $m$

→ oscillating  $\pi$  -  $\sigma$  interaction:  $\int dt d^3x a^3 \beta(t) \dot{\pi} \sigma$

two effects of heavy field oscillations:

① Hubble deformation  $\rightarrow \pi - \pi$  interaction

$$\int dt d^3x a^3 (-M_{\text{Pl}}^2 \delta \dot{H}) \left[ \dot{\pi}^2 - \frac{(\partial_i \pi)^2}{a^2} \right]$$

②  $\pi - \sigma$  conversion interaction

$$\int dt d^3x a^3 \beta(t) \dot{\pi} \sigma$$

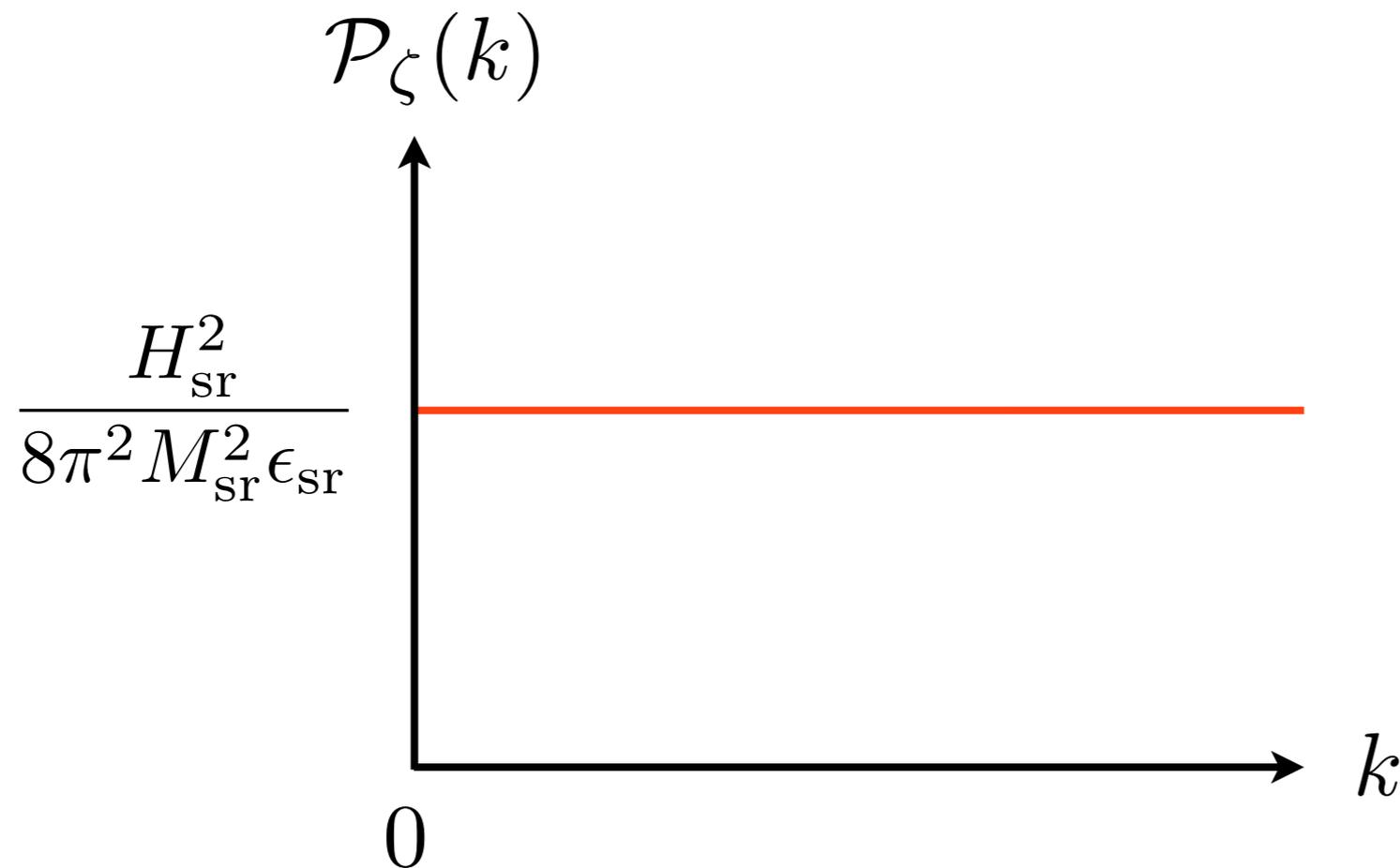
※  $\delta H(t)$  and  $\beta(t)$  is oscillating

## # effects on primordial power spectrum

if there are no oscillations...

single slow-roll  $\rightarrow$  almost scale invariant power spectrum

$$\mathcal{P}_\zeta(k) = \frac{H_{\text{sr}}^2}{8\pi^2 M_{\text{sr}}^2 \epsilon_{\text{sr}}}$$



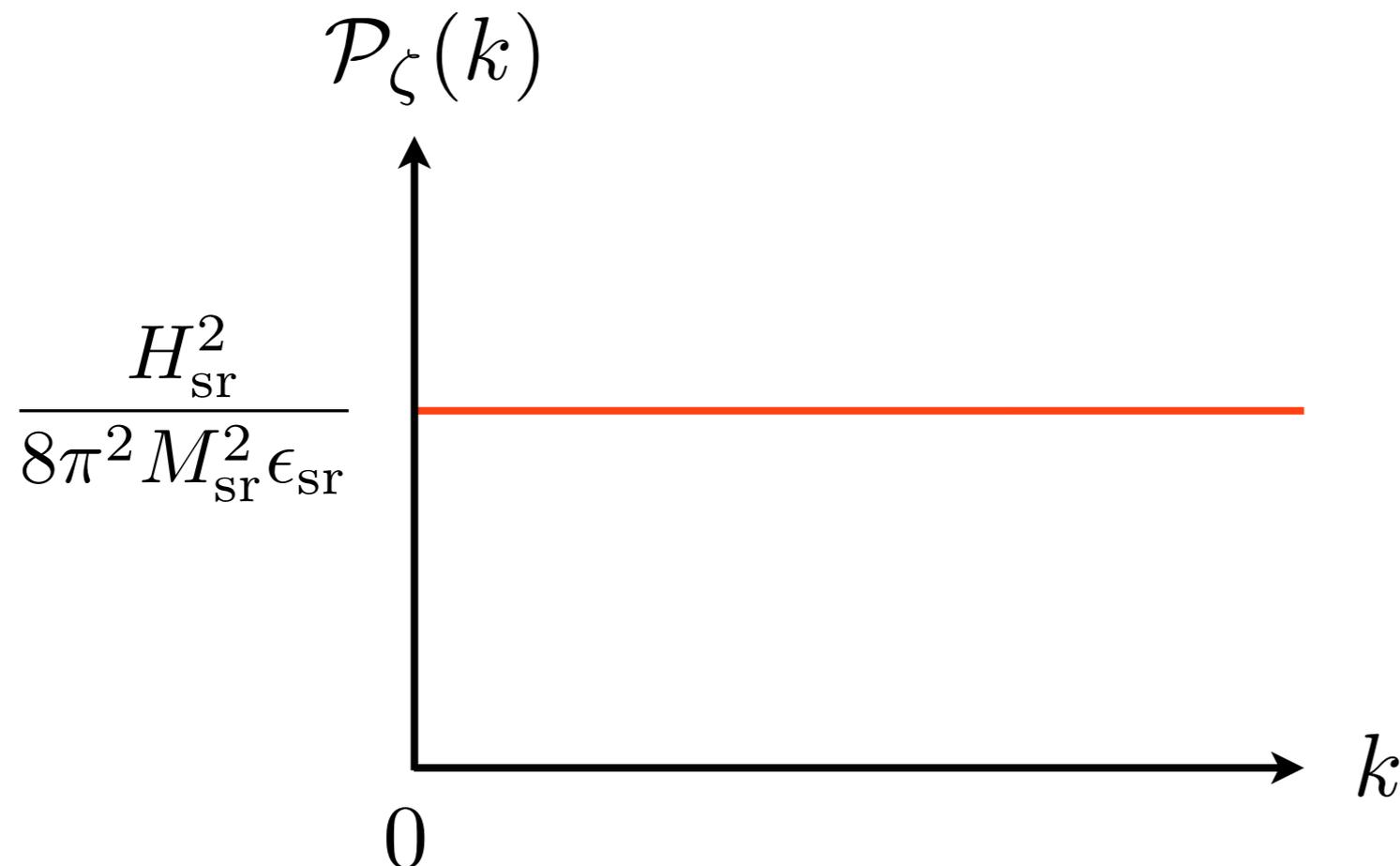
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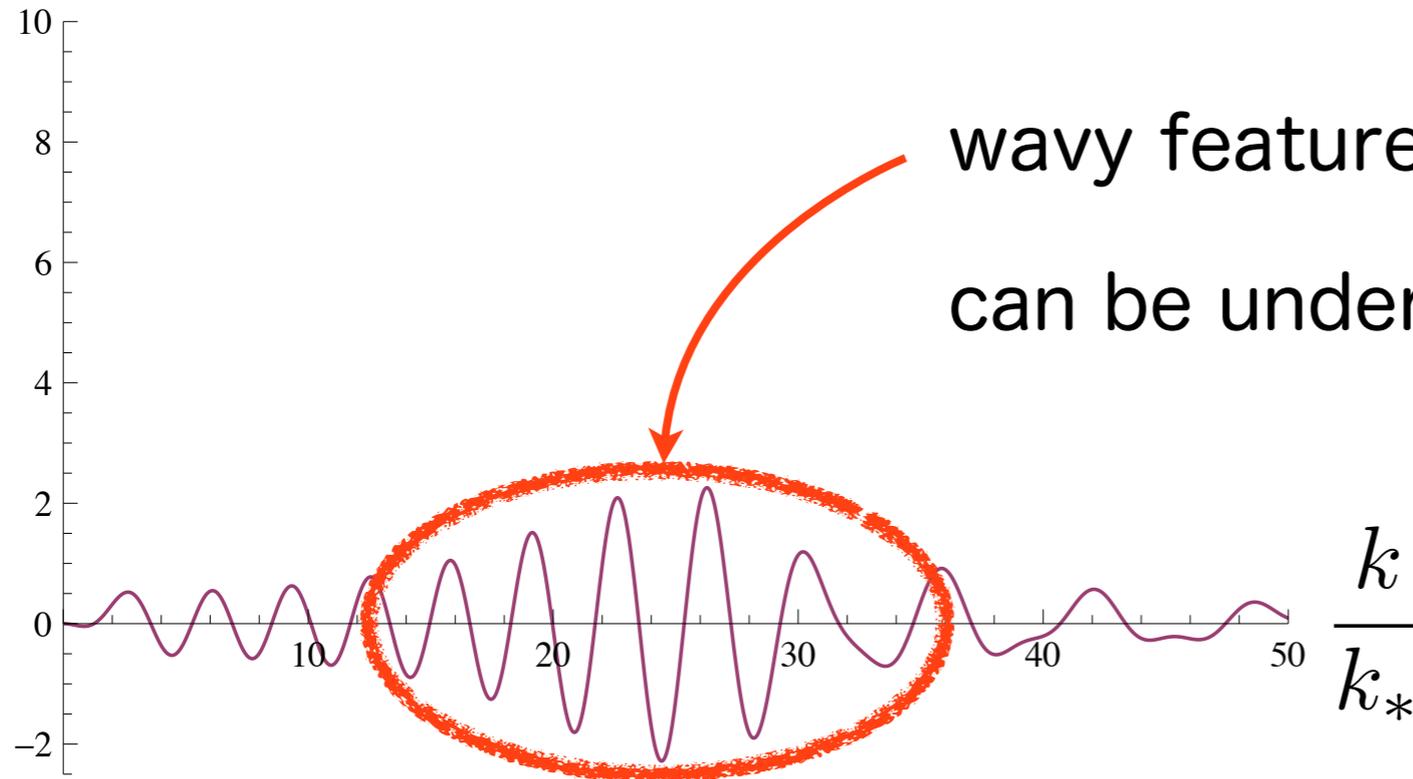
heavy field oscillation  $\rightarrow \mathcal{P}_\zeta(k) = \frac{H_{\text{sr}}^2}{8\pi^2 M_{\text{sr}}^2 \epsilon_{\text{sr}}} (1 + \mathcal{C}_{\delta H} + \mathcal{C}_{\text{conv}})$

deviations



# # effects on primordial power spectrum

$$\frac{C_{\delta H}(k)}{\alpha^2} \text{ for } m = 20H_{\text{sr}}$$



wavy features around  $k \sim 20k_*$  :  
can be understood as resonances

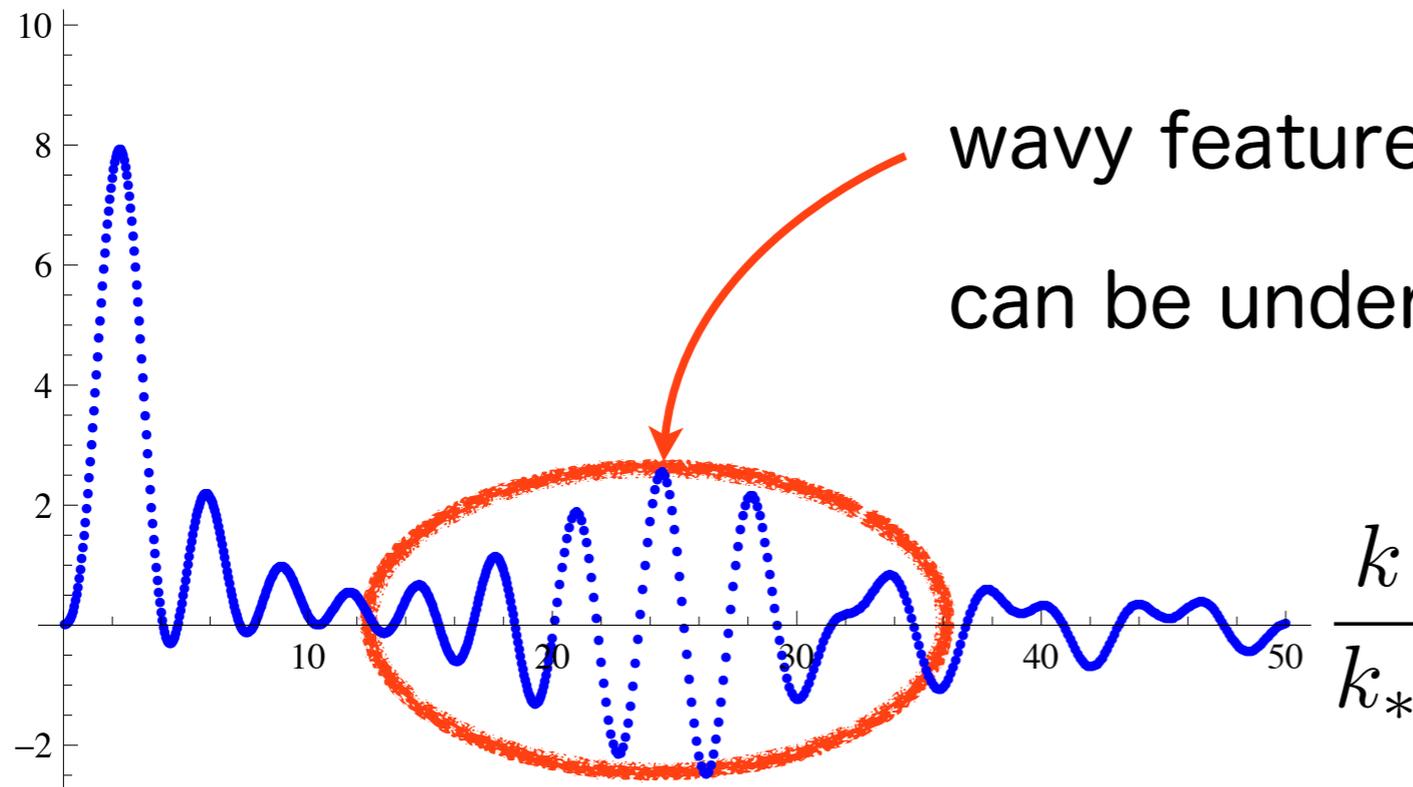
cf. swing

$k_*$  : scale of turning/transition



# # effects on primordial power spectrum

$$\frac{\mathcal{C}_{\text{conv}}(k)}{\alpha^2} \text{ for } m = 20H_{\text{sr}}$$



wavy features around  $k \sim 20k_*$  :  
can be understood as resonances

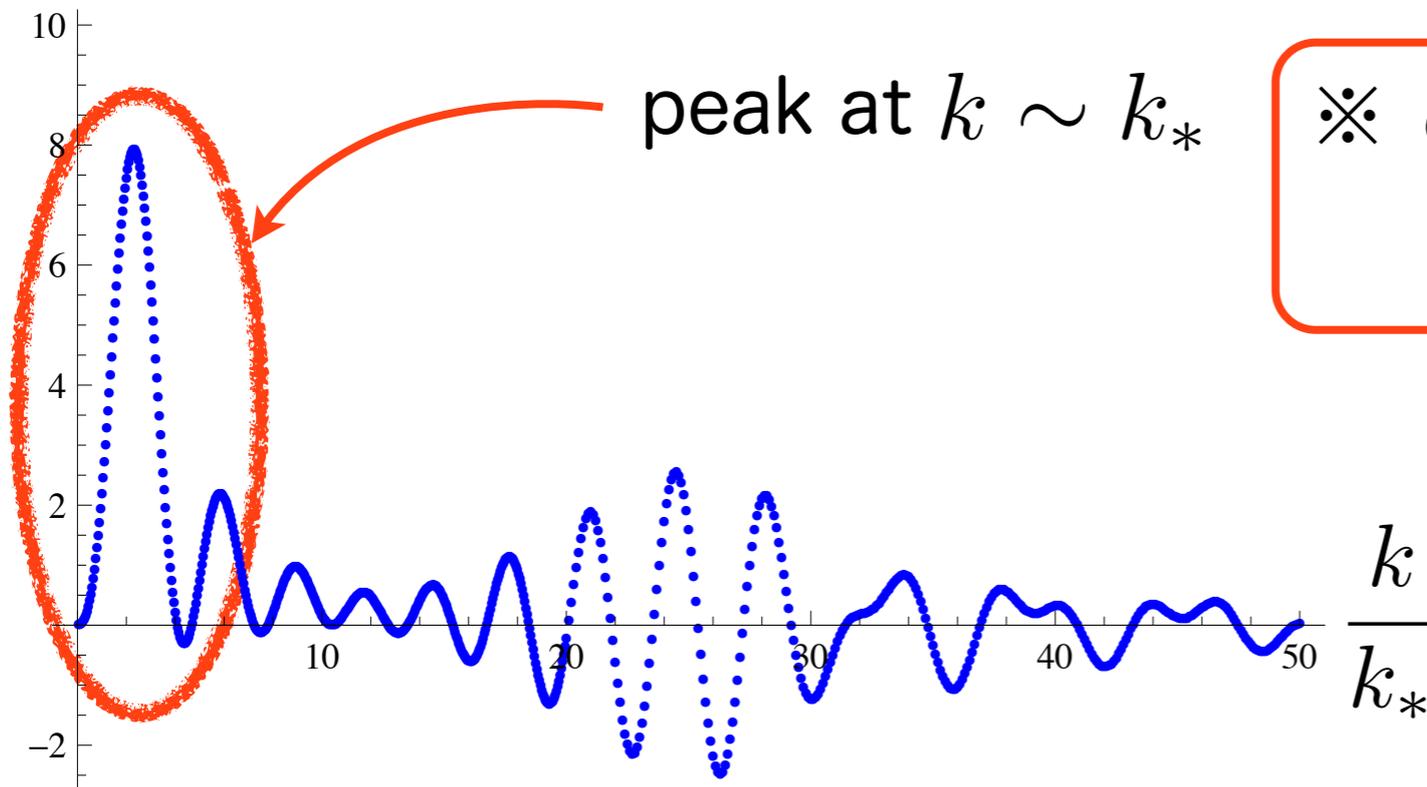
cf. swing

$k_*$  : scale of turning/transition



# # effects on primordial power spectrum

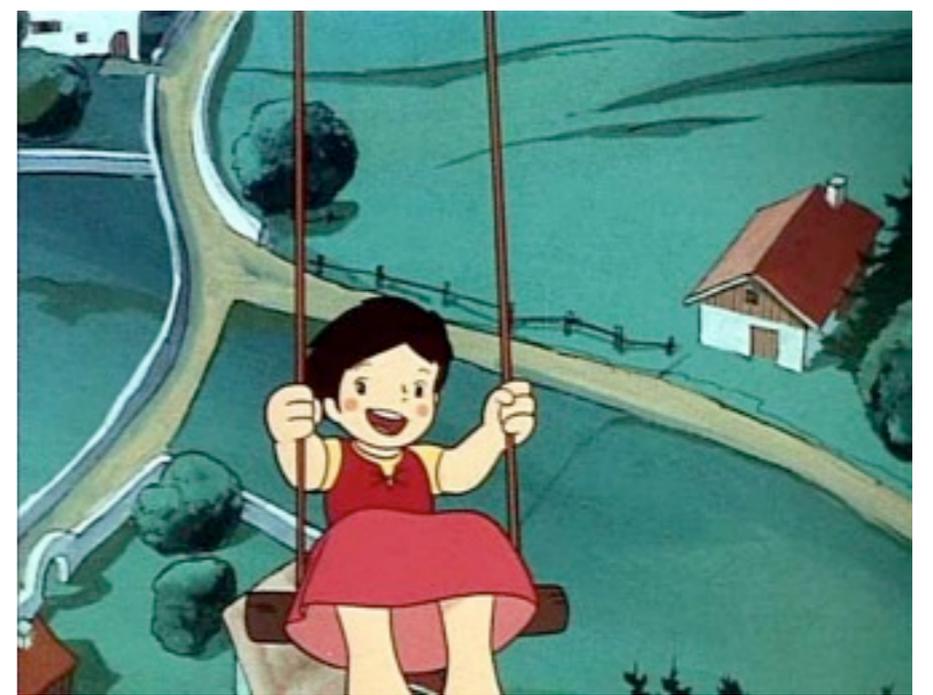
$$\frac{C_{\text{conv}}(k)}{\alpha^2} \text{ for } m = 20H_{\text{sr}}$$



※  $\sigma$  oscillates with frequency  $m$   
→ a kind of resonance

$k_*$  : scale of turning/transition

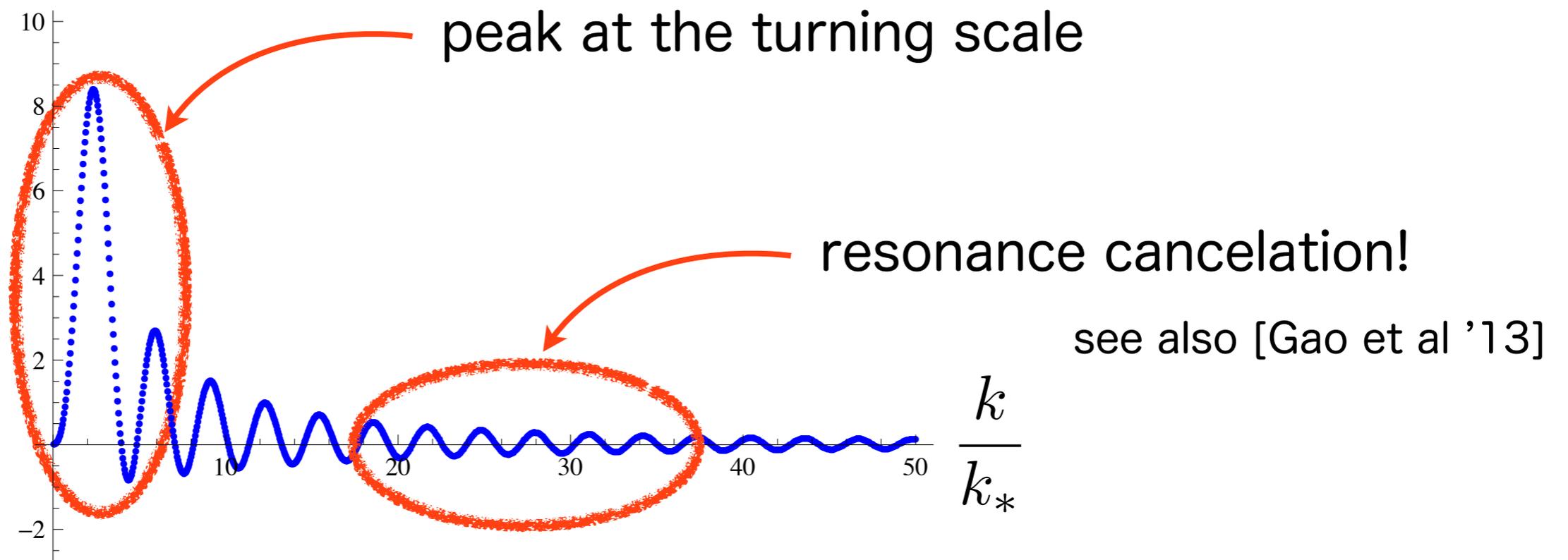
cf. swing



in particular,

when inflaton and heavy scalar have canonical kinetic terms,

- power spectrum  $\mathcal{C}_{\delta H}(k) + \mathcal{C}_{\text{conv}}(k)$



- bispectra (non-Gaussianities) are also evaluated

# # Summary and future direction

## # summary

conversion + heavy scalar via EFT approach

- general action as expansions in derivatives and perturbations
- interactions at different orders are related by symmetry

example: heavy field oscillations

- peak feature and resonance feature
- resonance cancellation for canonical kinetic terms

## # future direction

tensor correlations from EFT (in progress)

EFT approach to bottom-up holography

- non-conformal, non-relativistic,...

detectability of heavy field oscillations

**resonance cancellation**

# # effects on primordial power spectrum

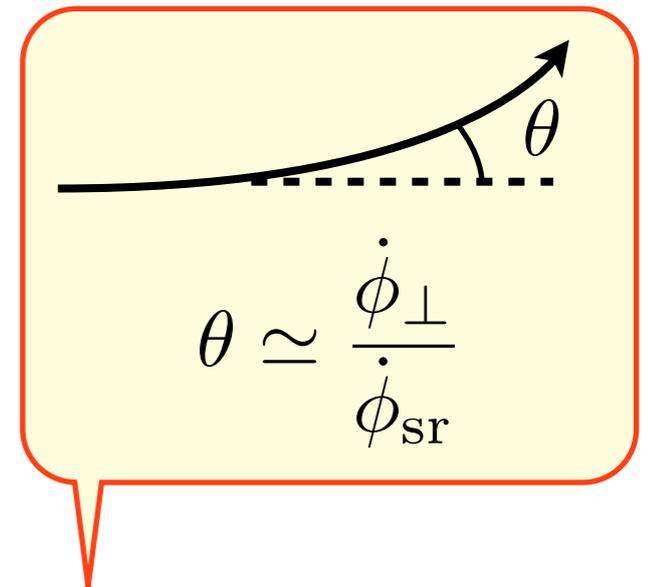
why resonances cancel each other out?

- Hubble deformation effects  $M_{\text{Pl}}^2 \delta \dot{H} \pi^2 \sim \dot{\phi}_\perp^2 \pi^2$

※  $\delta \dot{H}$  originates from velocity  $\dot{\phi}_\perp$

- conversion interactions  $\beta \dot{\pi} \sigma \sim \ddot{\phi}_\perp \pi \sigma$

※ conversion originates from angular velocity  $\frac{\ddot{\phi}_\perp}{\dot{\phi}_{\text{sr}}}$



$$\rightarrow \begin{array}{ccccccc} \mathbf{x} & \text{---} & \mathbf{x} & \text{---} & \mathbf{x} & \text{---} & \mathbf{x} \\ \pi & & \pi\sigma & & \pi\sigma & & \pi \end{array} \sim \begin{array}{ccc} \mathbf{x} & \text{---} & \mathbf{x} \\ \pi & & \ddot{\phi}_\perp^2 \pi^2 & & \pi \end{array}$$

- couplings of the two interactions have opposite phases

$$\dot{\phi}_\perp^2 \sim \cos^2 mt \rightarrow \ddot{\phi}_\perp^2 \sim \sin^2 mt$$

→ negative correlation between the two resonances

$$\cos^2 mt + \sin^2 mt = 1 : \text{no oscillations} \rightarrow \text{no resonances}$$

**primordial bispectrum**

# # primordial bispectra

scalar three-point functions:

$$\langle \pi_{\mathbf{k}_1} \pi_{\mathbf{k}_2} \pi_{\mathbf{k}_3} \rangle$$

$$= \begin{array}{c} \pi \\ | \\ \pi \quad \pi \end{array} + \begin{array}{c} \pi \\ | \\ \pi \quad \times \quad \pi \end{array} \quad \mathcal{O}(\alpha^2)$$

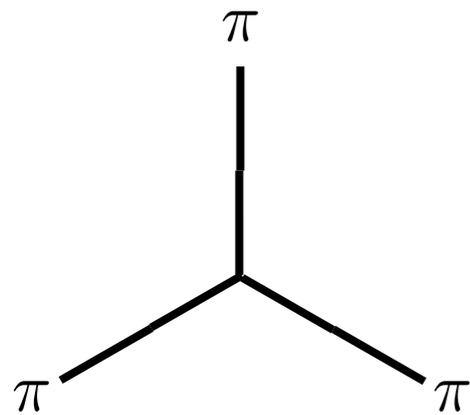
Hubble deformation                      conversion

$$+ \begin{array}{c} \pi \\ | \\ \times \\ | \\ \times \\ / \quad \backslash \\ \pi \quad \pi \end{array} \quad \mathcal{O}(\tilde{\lambda}\alpha^2) \quad (\tilde{\lambda} \sim \sigma \text{ cubic interaction})$$

conversion

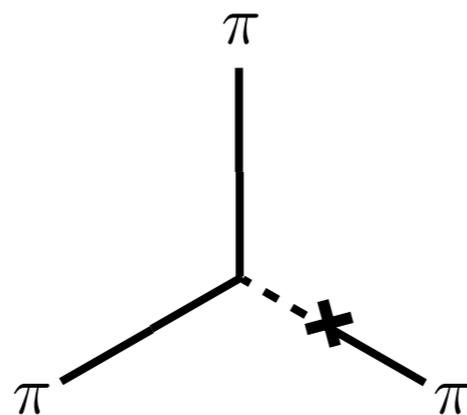
# # primordial bispectra

※ shape function:  $S(k_1, k_2, k_3) \sim \frac{(3\text{-pt})}{(2\text{-pt})^2}$



Hubble deformation

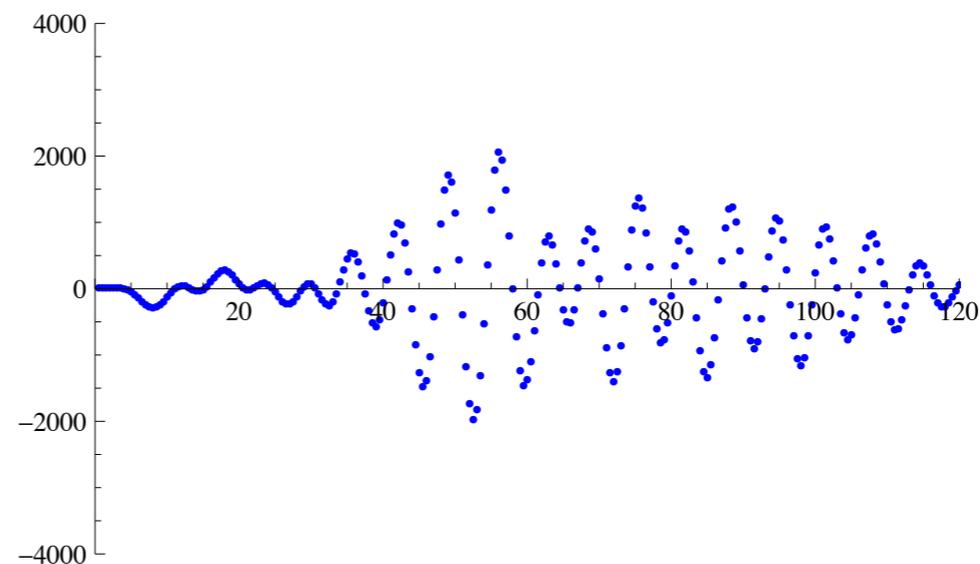
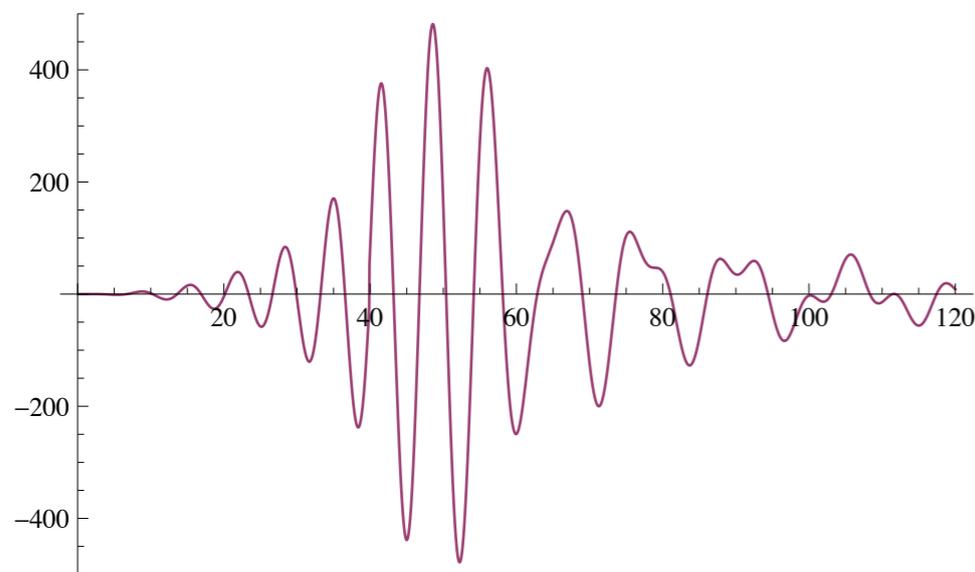
+



conversion

$\mathcal{O}(\alpha^2)$

scale-dependence for equilateral configurations  $k_1 = k_2 = k_3$



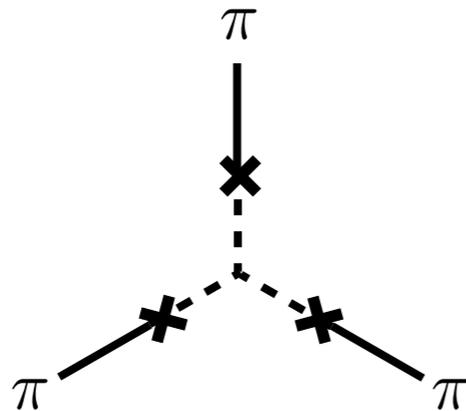
$$\frac{\sum_i k_i}{k_*}$$

- resonances at the mass scale

- not so large non-Gaussianities  $f_{NL} \sim \alpha^2 \left( \frac{m}{H_{\text{sr}}} \right)^{5/2} \times \mathcal{O}(1)$

# # primordial bispectra

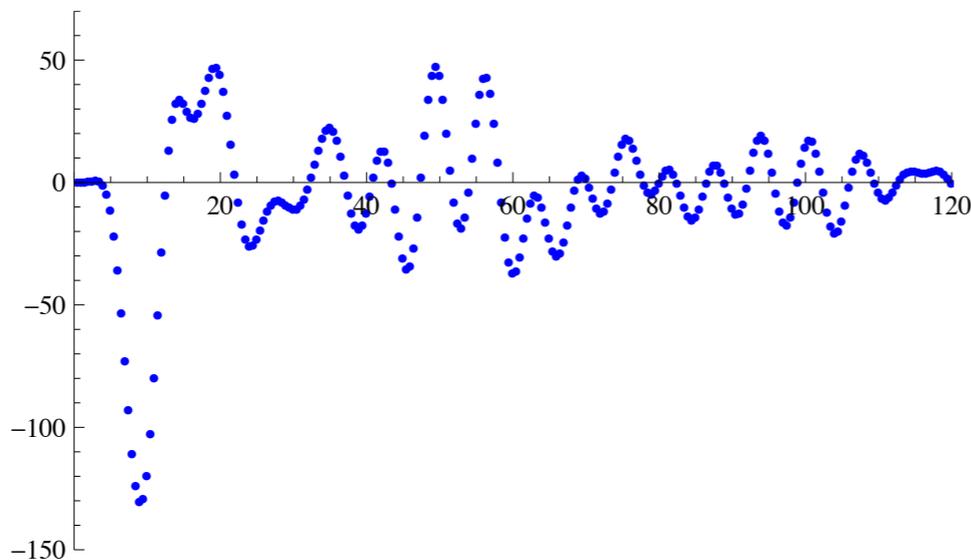
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$$\mathcal{O}(\tilde{\lambda}\alpha^2)$$

conversion

scale-dependence for equilateral configurations  $k_1 = k_2 = k_3$

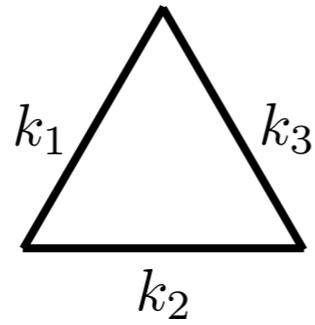


$$\frac{\sum_i k_i}{k_*}$$

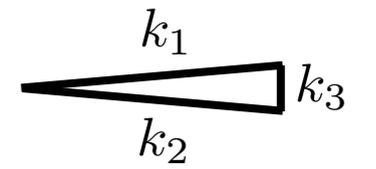
- peak at the turning scale

- not so large non-Gaussianities  $f_{NL} \sim \tilde{\lambda}\alpha^2 \left(\frac{m}{H_{\text{sr}}}\right)^3 \times \mathcal{O}(0.1)$

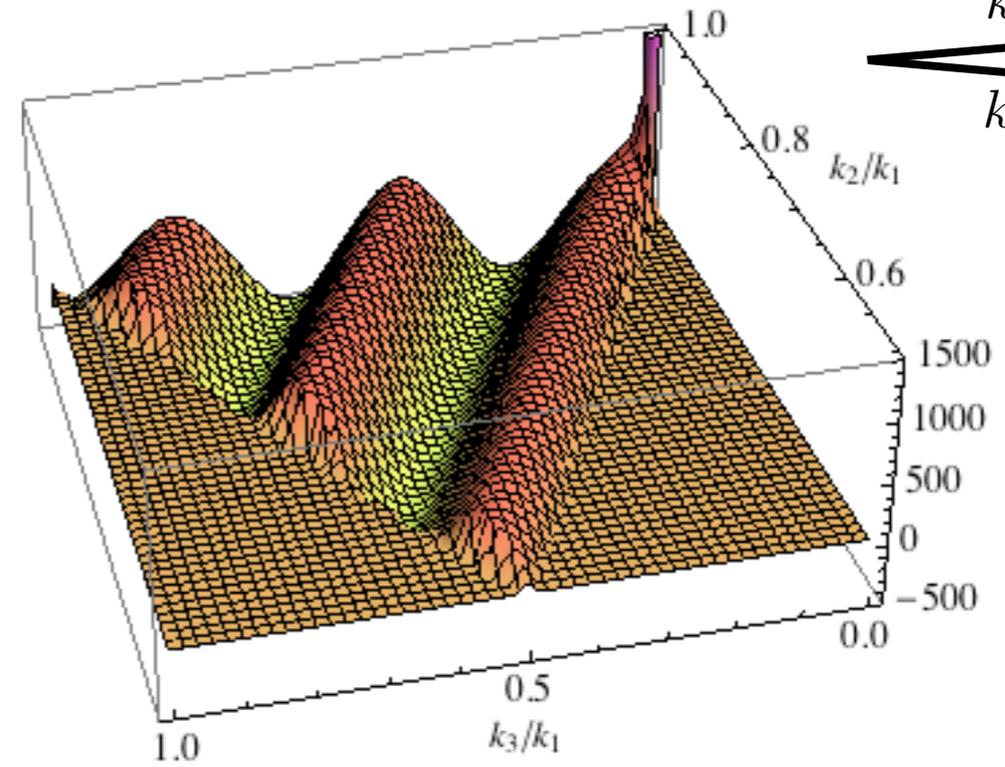
equilateral



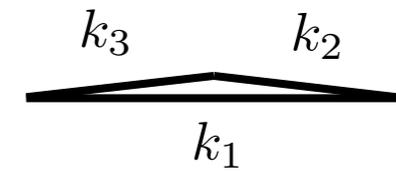
squeezed



shape around resonances



folded



shape around the peak

