# Use of q-Virasoro algebra at root of unity limit for 2d-4d connection

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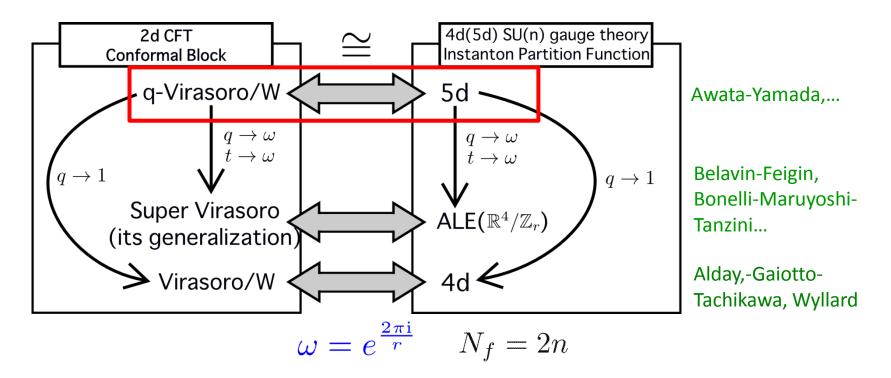
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## **1. introduction**

We regard q-Vir/W block–"5d" gauge theory correspondence as a parent one.



#### **Procedure proposed:**

- (1) assume the q-(or K lifted) version of (W)AGT conjecture
- (2) find the limiting procedure  $q \rightarrow \omega$  for q-Virasoro/W block
- (3) apply the same limiting procedure to  $Z_{inst.}^{5d}$ , which automatically generates ALE instanton partition function

# Contents

- 1. introduction
- 2. q-Virasoro algebra and root of unity limit  $(q \rightarrow -1)$
- 3. conformal block and q-lift
- 4. ALE  $(\mathbf{R}^4/\mathbf{Z}_r)$  instanton partition function
- 5. summary

### 2. q-Virasoro algebra

$$q, t = q^{\beta}, p = q/t$$

Shiraishi, Kubo, Awata, Odake '96, Frenkel, Reshetikhin '96 ...

$$f(w/z)\mathcal{T}(z)\mathcal{T}(w) - f(z/w)\mathcal{T}(w)\mathcal{T}(z) = \frac{(1-q)(1-t^{-1})}{(1-p)} \Big[\delta(pz/w) - \delta(p^{-1}z/w)\Big],$$
$$f(z) = \exp\left(\sum_{n=1}^{\infty} \frac{1}{n} \frac{(1-q^n)(1-t^{-n})}{(1+p^n)} z^n\right), \quad \delta(z) = \sum_{n \in \mathbb{Z}} z^n$$

• q-deformed Heisenberg algebra

$$\begin{split} & [\alpha_n, \alpha_m] = -\frac{1}{n} \frac{(1-q^n)(1-t^{-n})}{(1+p^n)} \delta_{n+m,0}, \quad (n \neq 0), \\ & [\alpha_n, Q] = \delta_{n,0}, \\ & \mathcal{T}(z) =: \exp\left(\sum_{n \neq 0} \alpha_n z^{-n}\right) : p^{1/2} q^{\sqrt{\beta}\alpha_0} + : \exp\left(-\sum_{n \neq 0} \alpha_n (pz)^{-n}\right) : p^{-1/2} q^{-\sqrt{\beta}\alpha_0}, \\ & \implies \mathcal{T}(z) = 2 + h^2 \left(z^2 L(z) + \frac{Q_E^2}{4}\right) + O(h^4) \qquad Q_E = \sqrt{\beta} - 1/\sqrt{\beta} \\ & q = e^{-h} \to 1, \\ & L(z) : \text{ Virasoro operator} \end{split}$$

### q-deformed free boson

• q-boson fields 
$$\widetilde{\varphi}^{(\pm)}(z) = \beta^{\pm 1/2} Q + 2\beta^{\pm 1/2} \alpha_0 \log z + \sum_{n \neq 0} \frac{(1+p^{-n})}{(1-\xi_{\pm}^n)} \alpha_n z^{-n}$$
  
 $\xi_+ = q, \ \xi_- = t$ 

$$\begin{split} \langle \widetilde{\varphi}^{(\pm)}(z_1) \widetilde{\varphi}^{(\pm)}(z_2) \rangle &= \log \left[ z_1^{2\beta^{\pm 1}} \left( 1 - \frac{z_2}{z_1} \right) \frac{(p^{\pm 1} z_2/z_1; \xi_{\pm})_{\infty}}{(\xi_{\mp} z_2/z_1; \xi_{\pm})_{\infty}} \right], \\ \langle \widetilde{\varphi}^{(\pm)}(z_1) \widetilde{\varphi}^{(\mp)}(z_2) \rangle &= \log(z_1 - z_2) + \log(z_1 - p^{\mp 1} z_2). \\ (x; \xi)_{\infty} &= \prod_{k=0}^{\infty} (1 - x \, \xi^k). \end{split}$$

• introduce deformed screening current:

$$S_{\pm}(z) =: e^{\pm \tilde{\varphi}^{(\pm)}(z)} : \qquad Q_{[a,b]}^{+} = \int_{a}^{b} d_{q} z S_{+}(z),$$
$$Q_{[a,b]}^{-} = \int_{a}^{b} d_{t} z S_{-}(z),$$

Jackson integral: 
$$\int_0^a d_{\xi_{\pm}} z f(z) = a(1-\xi_{\pm}) \sum_{k=0}^\infty f(a\xi_{\pm}^k) \xi_{\pm}^k$$

### $q \rightarrow -1, t \rightarrow -1$ limit $\omega = -1$

Realized by

$$q = -e^{-(1/\sqrt{\beta})h}, \quad t = -e^{-\sqrt{\beta}h}, \quad p = q/t = e^{Q_E h}, \quad h \to +0$$
$$t = q^\beta \quad \Rightarrow \quad \beta = \frac{k_- + 1/2}{k_+ + 1/2} = \frac{2k_- + 1}{2k_+ + 1}, \quad k_{\pm} : \text{non-negative integer}$$

• decompose the q-boson fields into even and odd parts,

•  $h \to +0$  limit  $\widetilde{\varphi}_{\text{even}}^{(\pm)}(z) = \beta^{\pm 1/2} \phi(w) + O(h), \quad \widetilde{\varphi}_{\text{odd}}^{(\pm)}(z) = \varphi(w) + O(h),$  $w = z^2$ 

$$\phi(w) = Q + a_0 \log w - \sum_{n \neq 0} \frac{a_n}{n} w^{-n}, \qquad [a_n, a_m] = n\delta_{n+m,0}, \quad [a_n, Q] = \delta_{n,0},$$
  
$$\varphi(w) = \sum_{n \in \mathbb{Z}} \frac{\tilde{a}_{n+1/2}}{n+1/2} w^{-n-1/2}. \qquad [\tilde{a}_{n+1/2}, \tilde{a}_{-m-1/2}] = (n+1/2)\delta_{n,m}.$$

$$\langle \phi(w_1)\phi(w_2)\rangle = \log(w_1 - w_2), \quad \langle \varphi(w_1)\varphi(w_2)\rangle = \log\left(\frac{\sqrt{w_1} - \sqrt{w_2}}{\sqrt{w_1} + \sqrt{w_2}}\right).$$

• the screening currents in the  $q \rightarrow -1$  limit:

$$\lim_{q \to -1} S_+(z) =: e^{\sqrt{\beta}\phi(w)} e^{\varphi(w)} :, \quad \lim_{q \to -1} S_-(z) =: e^{-(1/\sqrt{\beta})\phi(w)} e^{-\varphi(w)} :$$

• We can construct two fermions,

$$\psi(w) \equiv \frac{\mathrm{i}}{2\sqrt{2w}} \Big(:\mathrm{e}^{\varphi(w)}: -:\mathrm{e}^{-\varphi(w)}:\Big), \quad \widehat{\psi}(w) \equiv \frac{1}{2\sqrt{2w}} \Big(:\mathrm{e}^{\varphi(w)}: +:\mathrm{e}^{-\varphi(w)}:\Big).$$

$$\langle 0|\psi(w_1)\psi(w_2)|0\rangle = \frac{1}{w_1 - w_2}, \qquad \langle 0|\widehat{\psi}(w_1)\widehat{\psi}(w_2)|0\rangle = \frac{1}{2(w_1 - w_2)} \left(\sqrt{\frac{w_1}{w_2}} + \sqrt{\frac{w_2}{w_1}}\right), \\ \langle 0|\psi(w_1)\widehat{\psi}(w_2)|0\rangle = 0$$

• Jackson integral in the limit

### super Virasoro algebra

fermionic current for both NS & R

$$G(w) = \psi(w)\partial\phi(w) + Q_E \,\partial\psi(w),$$
 appears

Through OPE,

$$T(w) = \frac{1}{2} : (\partial \phi(w))^2 : + \frac{Q_E}{2} \partial^2 \phi(w) - \frac{1}{2} : \psi(w) \partial \psi(w) :,$$

is generated.

T(w) and G(w) forming  $\mathcal{N}=1$  superconformal algebra.

• central charge:  $c = \frac{3}{2}\hat{c}$   $\hat{c} = 1 - 2Q_E^2 = 1 - \frac{8(k_- - k_+)^2}{(2k_+ + 1)(2k_- + 1)}$   $m = 2k_+ + 1,$  $m = 1 - \frac{2(m' - m)^2}{mm'}$   $m' = 2k_- + 1$ 

getting the one for the minimal model (odd integers only).

### q-vertex operator

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efined by 
$$V_{\alpha}(z) =: e^{\Phi_{\alpha}(z)} :,$$
  
 $\Phi_{\alpha}(z) = \alpha Q + 2\alpha \alpha_0 \log z + \sum_{n \neq 0} \frac{q^{-n}(1 - q^{2\sqrt{\beta}\alpha}|n|)}{(1 - q^{-|n|})(1 - t^{-n})} \alpha_n z^{-n}$ 

We restrict the parameter  $\alpha$  to take values corresponding to those of the primary fields of the minimal theories in the NS sector:

$$\alpha = \alpha_{r,s} = -\left(\frac{1-r}{2}\right)\frac{1}{\sqrt{\beta}} + \left(\frac{1-s}{2}\right)\sqrt{\beta} \qquad r-s \in 2\mathbb{Z}$$

In the  $q \rightarrow -1$  limit,

$$\lim_{q \to -1} V_{\alpha_{r,s}}(z) =: e^{\alpha_{r,s}\phi(w)} : \text{ for } L_{r,s} \text{ even } L_{r,s} \equiv (2k_+ + 1)\sqrt{\beta}\alpha_{r,s}$$

which is exactly equal to the Coulomb gas representation of the bosonic primary field in the NS sector with scaling dimension,

$$\Delta_{\alpha_{r,s}} = \frac{1}{2}\alpha_{r,s}(\alpha_{r,s} - Q_E) = -\frac{1}{8}Q_E^2 + \frac{1}{8}\left(-\frac{r}{\sqrt{\beta}} + s\sqrt{\beta}\right)^2.$$

## **3. conformal block: integral representation**

- $\phi(z)$ :  $\mathfrak{h}$ -valued chiral boson,  $\mathfrak{h}$ : Cartan subalgebra of SU(n)  $\langle \phi_a(z)\phi_b(w)\rangle = (e_a, e_b)\log(z - w), \quad \phi_a(z) = \langle e_a, \phi(z)\rangle,$  $e_a \in \mathfrak{h}^*$ : a simple root of  $A_{n-1}, \quad a = 1, \cdots, n-1$
- vertex operator:  $V_{\alpha}(z) =: e^{\langle \alpha, \phi(z) \rangle} : (\alpha \in \mathfrak{h}^*)$
- screening charge:  $Q_a = \int_0^{\Lambda} dz \, V_{\sqrt{\beta}e_a}(z), \qquad \widetilde{Q}_a = \int_1^{\infty} dz \, V_{\sqrt{\beta}e_a}(z)$
- the block:

$$\mathcal{F}(c,\Delta_{I},\Delta_{i}|\Lambda) = \left\langle V_{(1/\sqrt{\beta})\alpha_{1}}(0)V_{(1/\sqrt{\beta})\alpha_{2}}(\Lambda)V_{(1/\sqrt{\beta})\alpha_{3}}(1)V_{(1/\sqrt{\beta})\alpha_{4}}(\infty)\prod_{a=1}^{n-1}\mathcal{Q}_{a}{}^{N_{a}}\widetilde{\mathcal{Q}}_{a}{}^{\widetilde{N}_{a}}\right\rangle,$$

rep. theoretic part of 4 point function (model independent)

 $\Lambda$  : cross ratio

$$\mathcal{F} = Z_S(\Lambda) = \left\langle \! \left\langle F(x, y | \Lambda) \right\rangle_+ \right\rangle_-$$

$$\begin{split} F(x,y|\Lambda) &:= \prod_{a=1}^{n-1} \left\{ \prod_{I=1}^{N_a} (1 - \Lambda x_I^{(a)})^{v_{a-}} \prod_{J=1}^{\tilde{N}_a} (1 - \Lambda y_J^{(a)})^{v_{a+}} \right\} \prod_{a=1}^{n-1} \prod_{I=1}^{n-1} \prod_{J=1}^{N_a} \prod_{J=1}^{\tilde{N}_b} (1 - \Lambda x_I^{(a)} y_J^{(b)})^{\beta C_{ab}}, \\ \langle f(x) \rangle_+ &= \prod_{a=1}^{n-1} \prod_{I=1}^{N_a} \int_0^1 dx_I^{(a)} \prod_{I=1}^{N_a} (x_I^{(a)})^{u_{a+}} (1 - x_I^{(a)})^{v_{a+}} \prod_{1 \le I < J \le N} (x_I^{(a)} - x_J^{(a)})^{2\beta} f(x) \\ \langle f(y) \rangle_- &= \prod_{a=1}^{n-1} \prod_{I=1}^{\tilde{N}_a} \int_0^1 dx_I^{(a)} \prod_{I=1}^{\tilde{N}} (y_I^{(a)})^{u_{a-}} (1 - y_I^{(a)})^{v_{a-}} \prod_{1 \le I < J \le \tilde{N}} (y_I^{(a)} - y_J^{(a)})^{2\beta} f(y) \\ v_{a+} &= (\alpha_2, e_a), \quad v_{a-} = (\alpha_3, e_a) \\ u_{a+} &= (\alpha_1, e_a), \quad u_{a-} = (\alpha_4, e_a) \end{split} C_{ab} : \text{ Cartan matrix} \end{split}$$

For n = 2, AGT at lower orders successfully checked due to Kadell formula Itoyama, Oota '10 For general n, some progress on the generalization

Zhang, Matsuo '12

## q-lift(deformation)

$$\begin{split} Z_S & \qquad \text{Mironov, Morozov, Shakirov, Smirnov '11} \\ \downarrow \\ Z_S^{(q)} &= \left\langle \! \left\langle \! \left\langle \prod_{a=1}^{n-1} \left\{ \prod_{I=1}^{N_a} \prod_{i=0}^{v_a - 1} (1 - \Lambda x_I^{(a)} q^i) \prod_{J=1}^{\widetilde{N}_a} \prod_{j=0}^{v_a + -1} (1 - \Lambda y_J^{(a)} q^j) \right\} \times \right. \\ & \left. \times \prod_{a,b=1}^{n-1} \prod_{\ell=0}^{\beta-1} \prod_{I=1}^{N_a} \prod_{J=1}^{\widetilde{N}_a} (1 - \Lambda x_I^{(a)} y_J^{(b)} q^\ell)^{C_{ab}} \right\rangle_{N+,q} \right\rangle_{\widetilde{N}-,q} \end{split}$$

Here

$$\left\langle f(x) \right\rangle_{N\pm,q} = \left( \prod_{I=1}^{N} \int_{0}^{1} d_{q} x_{I} \right) \prod_{I=1}^{N} x_{I}^{u_{a\pm}} \prod_{i=1}^{v_{a\pm}-1} (1-x_{I} q^{i}) \prod_{1 \le I \ne J \le N} \prod_{i=1}^{\beta-1} (x_{I} - q^{i} x_{J}) f(x)$$

Kaneko '96, Warnaar '05...

$$Z_{S}^{(q)} = \sum_{k=0}^{\infty} \Lambda^{k} \sum_{\substack{\vec{Y} \\ |\vec{Y}|=k}} \left\langle \prod_{a=1}^{n} M_{Y_{a}} \left( -r_{k}^{(a)} - \frac{[v_{a+}]'_{q^{k}}}{[\beta]_{q^{k}}} \right) \right\rangle_{N+,q} \times \left\langle \prod_{a=1}^{n} M_{Y_{a}} \left( \tilde{r}_{k}^{(a)} + \frac{[v_{a-}]'_{q^{k}}}{[\beta]_{q^{k}}} \right) \right\rangle_{\tilde{N}-,q}$$

conjectured equality

 $M_{Y_{\alpha}}$ : Macdonald polynomial

$$= Z_{\text{inst.}}^{5d} = \sum_{k=0}^{\infty} \widetilde{\Lambda}^k \sum_{\substack{\vec{Y} \\ |\vec{Y}|=k}} Z_{\vec{Y}}^{5d} \implies \text{ALE instanton}$$

. .

- Both sides in the limit are identified and the expansions generated with no difficulty
- Once the dictionary is found, the conjecture at q-lifted case provides the equality between the block & ALE instanton partition function.

e.g. SU(2):  

$$\begin{array}{l} \beta N = -a + m_2, \quad \beta \tilde{N} = a + m_3 \\ \beta u_+ = m_1 - m_2 - (1 - \beta), \quad \beta v_+ = -(m_1 + m_2) \\ \beta v_- = -(m_3 + m_4), \quad \beta u_- = m_4 - m_3 - (1 - \beta) \end{array}$$

$$\begin{array}{l} m_i = m_i^{5d} + \frac{1}{2}(1 - \beta) \\ m_i = m_i^{5d} + \frac{1}{2}(1 - \beta) \end{array}$$

$$\begin{array}{l} 13 \end{array}$$

### 4.

#### brief review of SU(n) instanton partition function on ALE:

Kronheimer, Nakajima '90 Fucito, Morales, Poghossian '04

- localization
- torus action generated by  $(\epsilon_1, \epsilon_2, a_1, \cdots, a_n)$
- fixed points labeled by an n-tuple of Young diagrams  $Y_{lpha}, \ lpha=1,\cdots,n$
- weight of  $(i,j) \in Y_{lpha}; \ a_{lpha} + (i-1)\epsilon_1 + (j-1)\epsilon_2$
- $\mathbf{Z}_r$  orbifold action is

$$\epsilon_1 \to \epsilon_1 - \frac{2\pi i}{r}, \quad \epsilon_2 \to \epsilon_2 + \frac{2\pi i}{r}, \quad a_\alpha \to a_\alpha + q_\alpha \frac{2\pi i}{r},$$

•  $Z_r$  charge carried by the box  $(i, j) \in Y_{\alpha}$ 

$$q_{\alpha,(i,j)} = q_{\alpha} - (i-1) + (j-1).$$

#### more on labeling of ALE instanton:

- $n_\ell$  : the number of Young diagrams  $\{Y_lpha\}$  such that  $oldsymbol{Z}_r$  charge  $\;q_lpha=\ell\;$
- $k_\ell$  : the total number of the boxes such that  $oldsymbol{Z}_{oldsymbol{r}}$  charge  $\, q_{lpha,(i,j)} = \ell$

$$k = \sum_{\ell=0}^{r-1} k_{\ell}, \quad n = \sum_{\ell=0}^{r-1} n_{\ell}$$

• condition of vanishing 1st Chern class

$$n_{\ell} - 2k_{\ell} + k_{\ell+1} + k_{\ell-1} = 0$$

• 
$$n=2$$
,  $r=2$  (SU(2) in  $oldsymbol{R}^4/oldsymbol{Z}_2$ ) case

• 
$$(n_0, n_1) = (0, 2), (k_0, k_1) = (k_0, k_0 + 1)$$

• 
$$(n_0, n_1) = (2, 0), (k_0, k_1) = (k_0, k_0)$$

$$k_0 = 0, 1, 2, \cdots$$

limiting procedure from 5d instanton partition function:

$$Z^{\mathbb{R}^{5}} = \sum_{k=0}^{\infty} \widetilde{\Lambda}^{k} \sum_{|\vec{Y}|=k} \mathcal{A}_{\vec{Y}},$$
$$\mathcal{A}_{\vec{Y}} = \frac{\prod_{s=1}^{n} \prod_{k=1}^{n} f_{Y_{s}}^{q+}(m_{k}+a_{s}) f_{Y_{s}}^{q-}(m_{k+n}+a_{s})}{\prod_{s,t=1}^{n} g_{Y_{s}Y_{t}}^{q}(a_{t}-a_{s})},$$

$$\begin{split} g_{YW}^q(x) &= \prod_{(i,j)\in Y} [x + \beta \ell_Y(i,j) + a_W(i,j) + \beta]_q [-x - \beta \ell_Y(i,j) - a_W(i,j) - 1]_q, \\ f_A^{q\pm}(x) &= \prod_{(i,j)\in A} \left[ \pm x \mp i\beta \pm j \mp \frac{1}{2}(1-\beta) \right]_q, \qquad [x]_q = \frac{1-q^x}{1-q}. \\ \text{e.g. Awata, Kanno '08} \end{split}$$

This reduces to that on  $R^4/Z_r$  by

$$q = \omega e^{h\epsilon_2}, \quad t = \omega e^{-h\epsilon_1}, \quad q^{\frac{a_\alpha}{\epsilon_2}} = \omega^{q_\alpha} e^{ha_\alpha}, \qquad h \to +0.$$

Kimura

This automatically generates the projection onto 4d ALE.

#### **ALE instanton partition function:**

• SU(2) & r =2

$$Z_{k+1/2}^{(2)} := \lim_{h \to 0} \frac{h^2}{2^2} \sum_{|A|+|B|=2k+1} \mathcal{A}_{AB}^{(1,1)}$$

$$Z_k^{(2)} := \lim_{h \to 0} \sum_{|A|+|B|=2k} \mathcal{A}_{AB}^{(0,0)} \qquad \qquad \mathcal{A}_{(1)(1)}^{(0,0)} \to 0$$

$$\Rightarrow \quad Z_{SU(2)}^{\mathbb{R}^4/\mathbb{Z}_2} = \sum_{k=0}^{\infty} (\Lambda')^k Z_k^{(2)} + \sum_{k=0}^{\infty} (\Lambda')^{k+1/2} Z_{k+1/2}^{(2)}$$

• SU(2) & general r

$$Z_{k+\frac{q_a(r-q_a)}{r}}^{(r)} := \lim_{h \to 0} \Xi_{q_a} \sum_{\substack{|A|+|B| \\ =rk+q_a(r-q_a)}} \mathcal{A}_{AB}^{(q_a,-q_a)}$$
  
$$\Xi_0 = 1, \quad \Xi_1 = h^2 \frac{1}{(1-\omega)(1-\omega^{-1})},$$
  
$$\Xi_i = h^{2i} \frac{\prod_{k=1}^{i-1} (1-\omega^k)^{2i-3k} (1-\omega^{-k})^{2i-3k}}{\prod_{l=1}^{i} (1-\omega^{i+l-1})(1-\omega^{-(i+l-1)})} \qquad 2 \le i \le \left\lfloor \frac{r}{2} \right\rfloor,$$
  
$$Z_{SU(2)}^{\mathbb{R}^4/\mathbb{Z}_r} = \sum_{q_a=0}^{\lfloor \frac{r}{2} \rfloor} \sum_{k=0}^{\infty} (\Lambda')^{k+\frac{q_a(r-q_a)}{r}} Z_{k+\frac{q_a(r-q_a)}{r}}^{(r)}$$

17

• SU(n) & general r

$$Z_{k+\frac{d}{r}}^{(r)} := \lim_{h \to 0} \Xi_{q_{\alpha}} \sum_{\substack{|\vec{Y}| \\ =rk+d}} \mathcal{A}_{\vec{Y}}^{(q_{\alpha})}$$
$$d = \sum_{\alpha=1}^{n-1} q_{\alpha} \left( r - \sum_{\alpha'=1}^{\alpha} q_{\alpha'} \right)$$

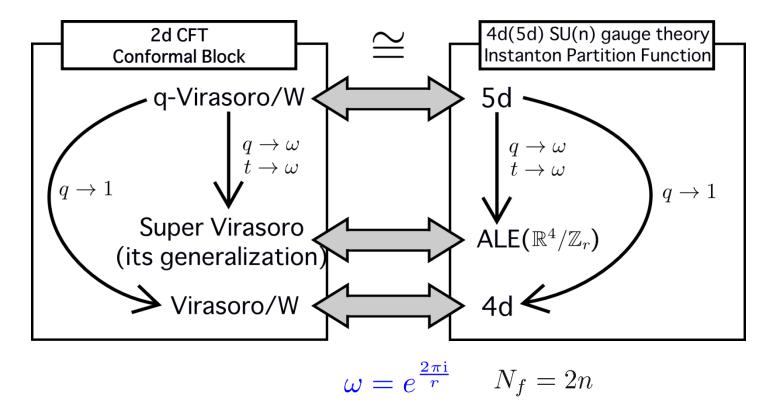
$$q_{\alpha} = (q_1, q_2, \cdots, q_n),$$
$$q_n = -q_1 - \cdots - q_{n-1}$$

$$\Xi_{q_{\alpha}} = h^{2\sum_{\alpha=1}^{n-1} \alpha q_{\alpha}} \xi_{q_{\alpha}}(\omega) \xi_{q_{\alpha}}(\omega^{-1}) \quad \text{ explicit form obtained}$$

$$Z_{SU(n)}^{\mathbb{R}^4/\mathbb{Z}_r} = \sum_{q_\alpha} \sum_{k=0}^{\infty} \left(\Lambda'\right)^{k+\frac{d}{r}} Z_{k+\frac{d}{r}}^{(r)}$$

#### • <u>q-lift (5d K-theoretic lift) is useful to 2d-4d connection</u>

We regard q-Vir/W block—"5d" gauge theory correspondence as a parent one.



#### **Procedure proposed:**

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