

# Higgs branch localization of 3d N=2 theories

**Yutaka Yoshida (KIAS)**

mainly based on

M.Fujistuka(Sokendai), M. Honda(HRI) and YY

arXiv:[1312.3627](https://arxiv.org/abs/1312.3627) [hep-th]

and partially based on Y. Y [arXiv:1403.0891](https://arxiv.org/abs/1403.0891)[hep-th]

# Factorization of 3d partition function(PF)

The partition function of  $\mathcal{N} = 2$   $G = U(1)$   $2N_f$ -flavor chiral multiplets (R-charge: $\Delta = 0$ ) on  $S_b^3$  :

$$Z(S_b^3) = \int d\sigma_0 e^{2\pi i \zeta \sigma} \prod_{j=1}^{2N_f} s_b \left( \sigma_0 + m_j + i \frac{Q}{2} \right)$$

$\sigma_0$ : saddle point value of the scalar in vector multiplet

$m_j$ : real masses    $\zeta$  : FI-parameter

$s_b \left( \sigma_0 + m_j + i \frac{Q}{2} \right)$ : 1-loop determinants of chiral multiplets

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Pasquetti (arXiv:1111.6905) showed that the PF on  $S_b^3$  factorized to vortex and anti-vortex partition functions

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$Z_V^{3d,l}$ : 3d vortex PF on  $S_\beta^1 \times \mathbb{R}_\varepsilon^2$  ( $\beta\varepsilon = 2\pi i/b^2, \dots$ )

$\bar{Z}_V^{3d,l}$ : 3d anti-vortex PF on  $S_\beta^1 \times \mathbb{R}_\varepsilon^2$  ( $\beta\varepsilon = 2\pi i b^2, \dots$ )

Superconformal indices ( $S^1 \times S^2$ ) also factorize to vortex and anti-vortex partition functions (Hwang-Kim-Park 1211.6023 )

generalization to  $G = U(N)$  on  $S_b^3$  (Taki 1303.5915 )

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( $\varepsilon$ ,  $\beta$ ) are determined ?

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( $\varepsilon$ ,  $\beta$ ) are determined ?

Higgs branch localization  
can answer these questions.

$$Z = \int \mathcal{D}\Phi e^{-S[\Phi]}$$

one-parameter family of Q-exact term



$$Z = \int \mathcal{D}\Phi e^{-S[\Phi] - tQV[\Phi]}$$

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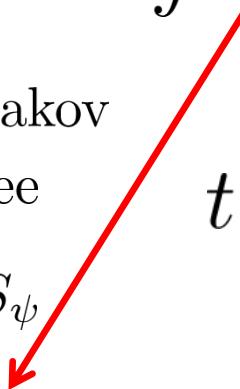
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Kapsutin-Willet-Yaakov

Hama-Hosomichi-Lee

$$t \rightarrow \infty$$

$$QV[\Phi] = S_{\text{YM}} + S_\psi$$



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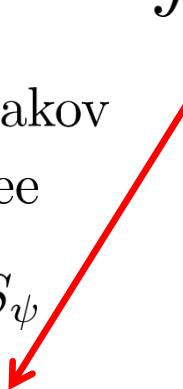


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Evaluating the multi-contour integrals

(Pasquetti, Hwang-Kim-Park, Taki)

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Fujistuka-Honda-Y.Y  
Benini-Pealeers

$$QV[\Phi] = S_{\text{YM}} + S_\psi + QV_H$$

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Evaluating the multi-contour integrals

(Pasquetti, Hwang-Kim-Park, Taki)

# Content of the talk

- Introduction
- 3d  $N=2$  theories on ellipsoid
- Higgs branch localization
- vortex partition function
- Factorization of 4d  $N=1$  superconformal index
- Summary

# 3d $\mathcal{N} = 2$ theories on ellipsoid

We consider  $G = U(N)$  SYM theory +  
 $N_f$ - fundamental chiral multiplets ( $N_f \geq N$ )  
with generic real masses  $M = \text{diag}(m_1, \dots, m_{N_f})$  on  $S_b^3$

3d ellipsoid  $S_b^3$  is defined by

$$x_0^2 + x_1^2 + x_2^2 + x_3^2 = 1$$

Torus fibration coordinate:  $(\vartheta, \varphi_1, \varphi_2)$

$$(x_0, x_1, x_2, x_3) = (\cos \vartheta \cos \varphi_2, \cos \vartheta \sin \varphi_2, \sin \vartheta \cos \varphi_1, \sin \vartheta \sin \varphi_1)$$

metric

$$ds^2 = R^2 (f(\vartheta)^2 d\vartheta^2 + b^2 \sin^2 \vartheta d\varphi_1^2 + b^{-2} \cos^2 \vartheta d\varphi_2^2)$$

Hopf fibration coordinate  $\vartheta = \frac{1}{2}\theta, \quad \varphi_1 = \frac{1}{2}(\psi - \phi), \quad \varphi_2 = \frac{1}{2}(\psi + \phi)$

# $Q$ -exact terms

Vector multiplet:  $(A_\mu, \sigma, D, \lambda, \bar{\lambda})$

$$\begin{aligned}\mathcal{L}_{\text{YM}} &= Q \text{Tr} \frac{(Q\lambda)^\dagger \lambda + (Q\bar{\lambda})^\dagger \bar{\lambda}}{4} \\ &= \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} D_\mu \sigma D^\mu \sigma + \frac{1}{2} \left( D + \frac{\sigma}{Rf(\vartheta)} \right)^2 + \dots\end{aligned}$$

chiral multiplet:  $(\phi, \psi, F)$

$$\begin{aligned}\mathcal{L}_\psi &= Q \frac{(Q\psi)^\dagger \psi + (Q\bar{\psi})^\dagger \bar{\psi}}{2} \\ &= D^\mu \bar{\phi} D_\mu \phi + \frac{\Delta(2 - \Delta)}{(Rf(\vartheta))^2} \bar{\phi} \phi + \bar{F} F + i \bar{\phi} D \phi \\ &\quad + \bar{\phi} (\sigma + M)^2 \phi + \frac{i(2\Delta - 1)}{Rf(\vartheta)} \bar{\phi} (\sigma + M) \phi + \dots\end{aligned}$$

$\mathcal{L}_{\text{YM}}$  and  $\mathcal{L}_\psi$  are taken as  $QV$  in the ordinary localization saddle points equation ( $\mathcal{L}_{\text{YM}} = 0, \mathcal{L}_\psi = 0$ )

$$A_\mu = 0, \sigma = \sigma_0 = \text{constant}, D + \frac{\sigma}{Rf(\vartheta)} = 0$$

$$\phi = 0, F = 0$$

- $Q$ -closed terms

$$\mathcal{L}_{\text{CS}} = \epsilon^{\mu\nu\rho} \left( A_\mu \partial_\nu A_\rho + \frac{2i}{3} A_\mu A_\nu A_\rho \right) - \bar{\lambda} \lambda + 2D\sigma$$

$$\mathcal{L}_{\text{FI}} = -\frac{\zeta}{2\pi R} \left( D - \frac{\sigma}{R f(\vartheta)} \right)$$

The saddle point values of  $Q$ -closed terms contribute to the localization computation.

In the ordinary localization, the partition function is expressed by multi-contour integrals.

$$Z = \int d^{\text{rank}(G)} \sigma_0 e^{-S(\sigma_0)} Z_{\text{1-loop}}(\sigma_0)$$


Summation over the saddle points

- $Q$ -closed terms

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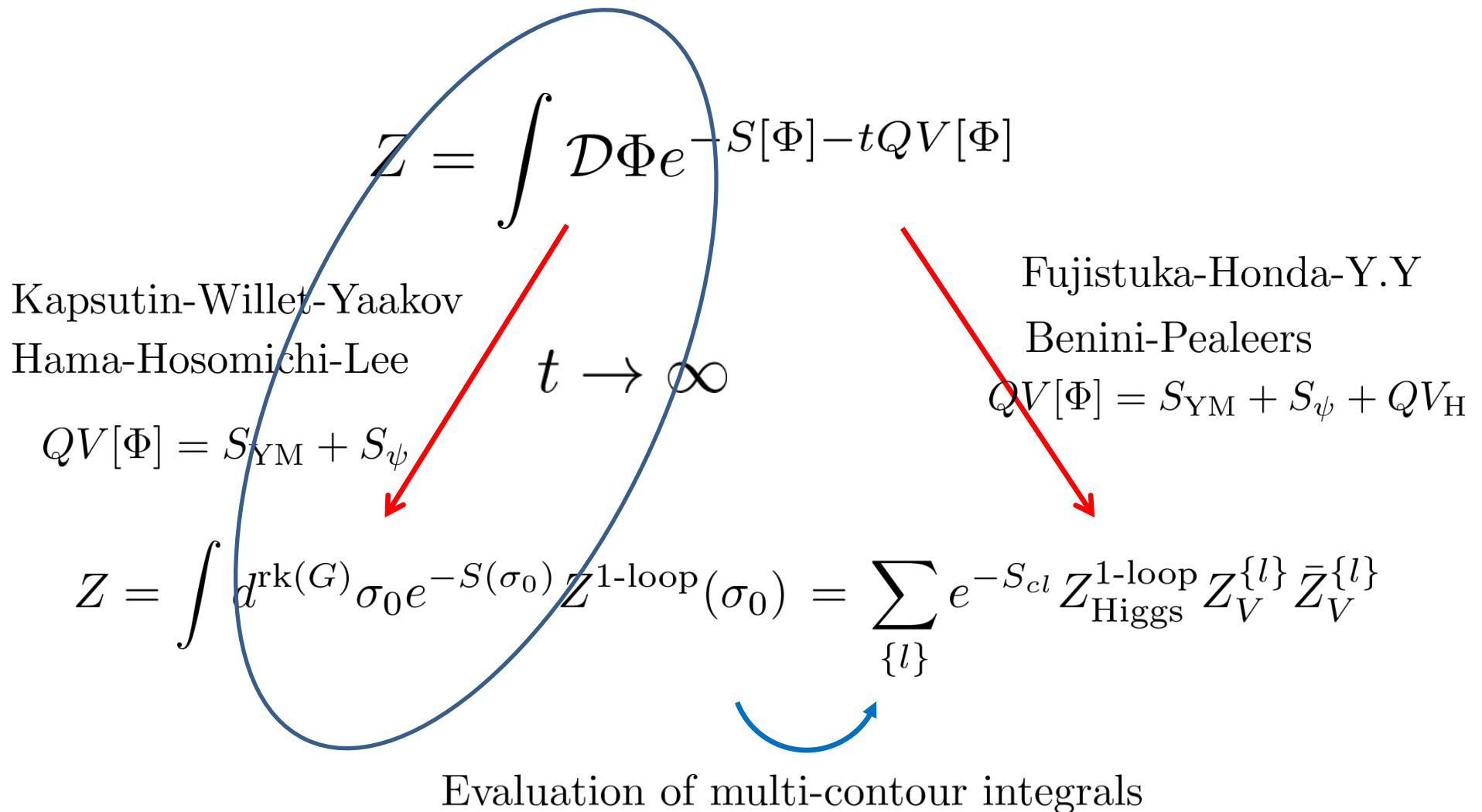
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The one-loop determinant of  $QV$



(Pasquetti, Hwang-Kim-Park, Taki)

# Higgs branch localization

We add a  $Q$ -exact term

Fujistuka-Honda-Y.Y  
Benini-Pealeers

$$\mathcal{L}_H = QV_H = -iQ\text{Tr} \left[ \frac{(\epsilon^\dagger \lambda - \bar{\epsilon}^\dagger \bar{\lambda})(\phi \bar{\phi} - \chi \mathbf{1}_N)}{4i} \right]$$

real number

$$tQV = t \int \sqrt{g} d^3x (\mathcal{L}_{\text{YM}} + \mathcal{L}_\psi + \mathcal{L}_H)$$

The final result does not depend on both  $t$  and  $\chi$

The saddle point equations change !

In the limit  $\chi \sim \infty$ , the saddle points ( $QV = 0$ ) become

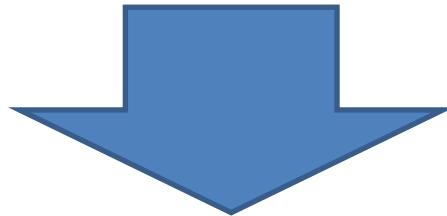
the saddle points ( $\theta \neq 0, \pi$ )

$$F_{\mu\nu} = 0, D_\mu \sigma = 0, D + \frac{1}{Rf(\vartheta)}(\sigma + M) = 0,$$

$$(\sigma + M)\phi = 0, \phi\bar{\phi} - \chi \mathbf{1}_N = 0$$

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root of Higgs branch

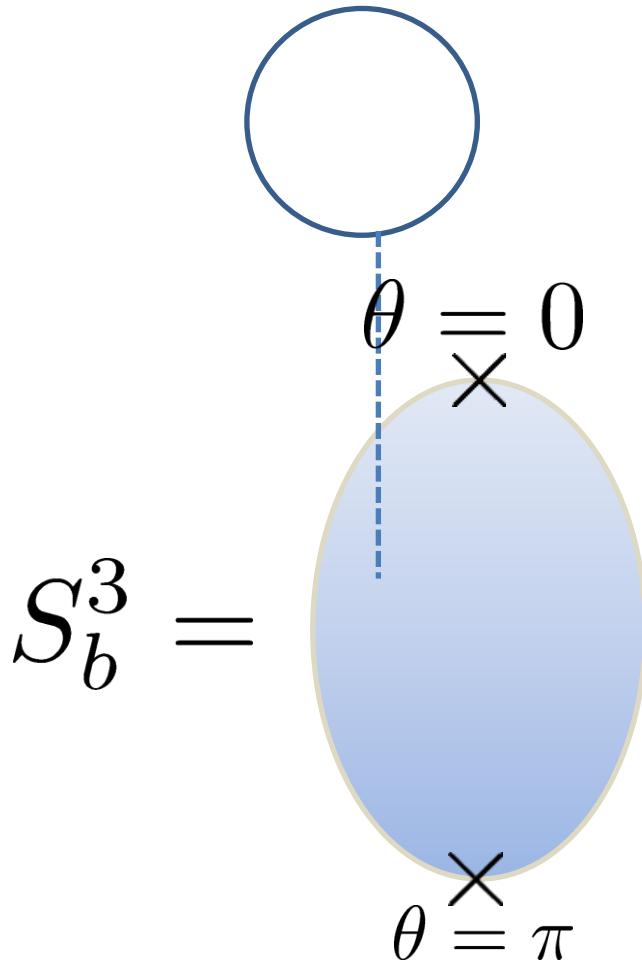


$$\sigma_i = -m_{l_i}, \quad \phi_{iA} = \sqrt{\chi} \delta_{l_i A}$$

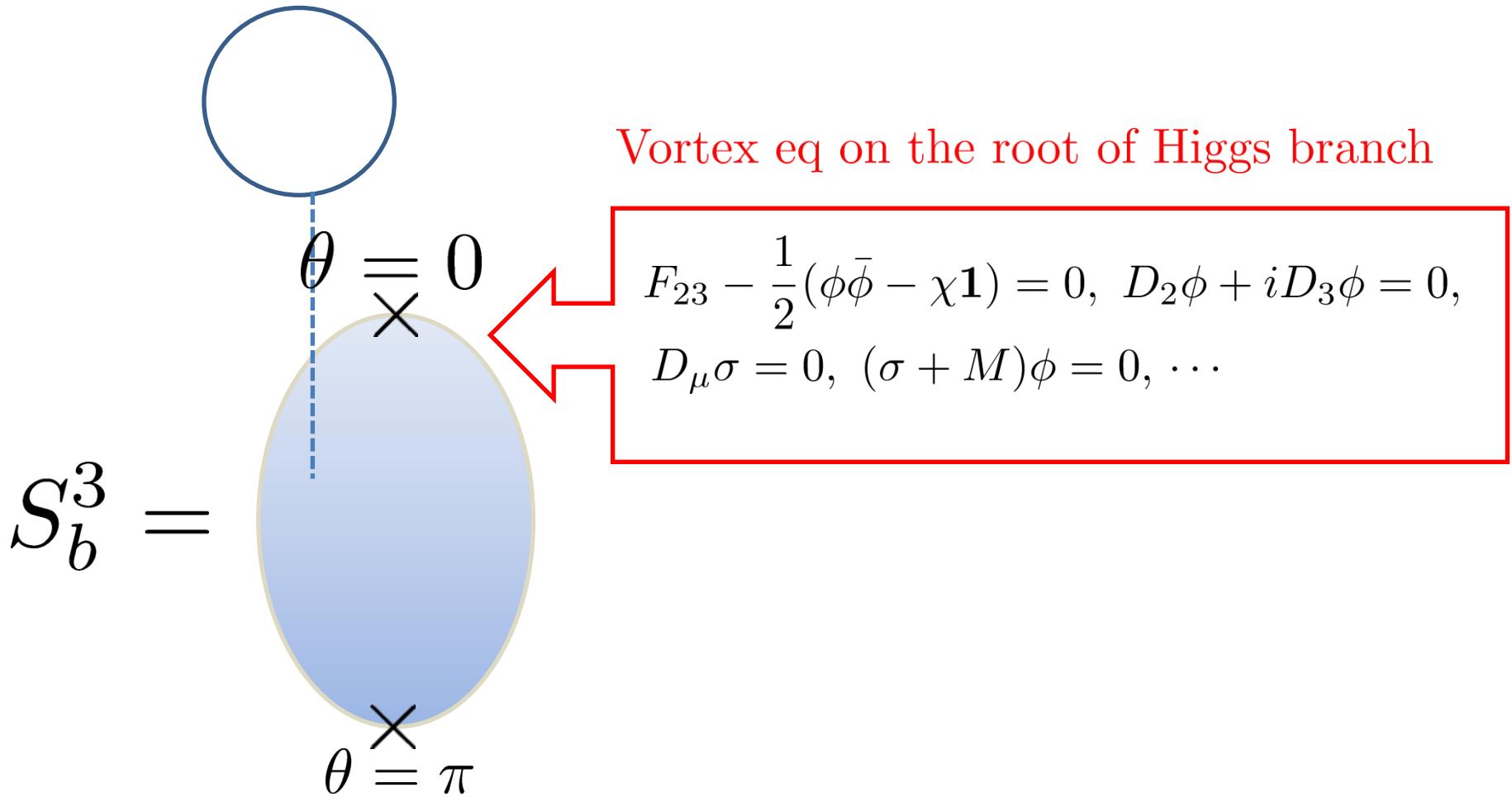
$$\begin{aligned} \sigma = \text{diag}(\sigma_1, \dots, \sigma_N) \quad i = 1, \dots, N & \quad \{l_1, \dots, l_N\} \subset \{1, \dots, N_f\} \\ & \quad A = 1, \dots, N_f \end{aligned}$$

The saddle points are  $N_f C_N$  discrete points

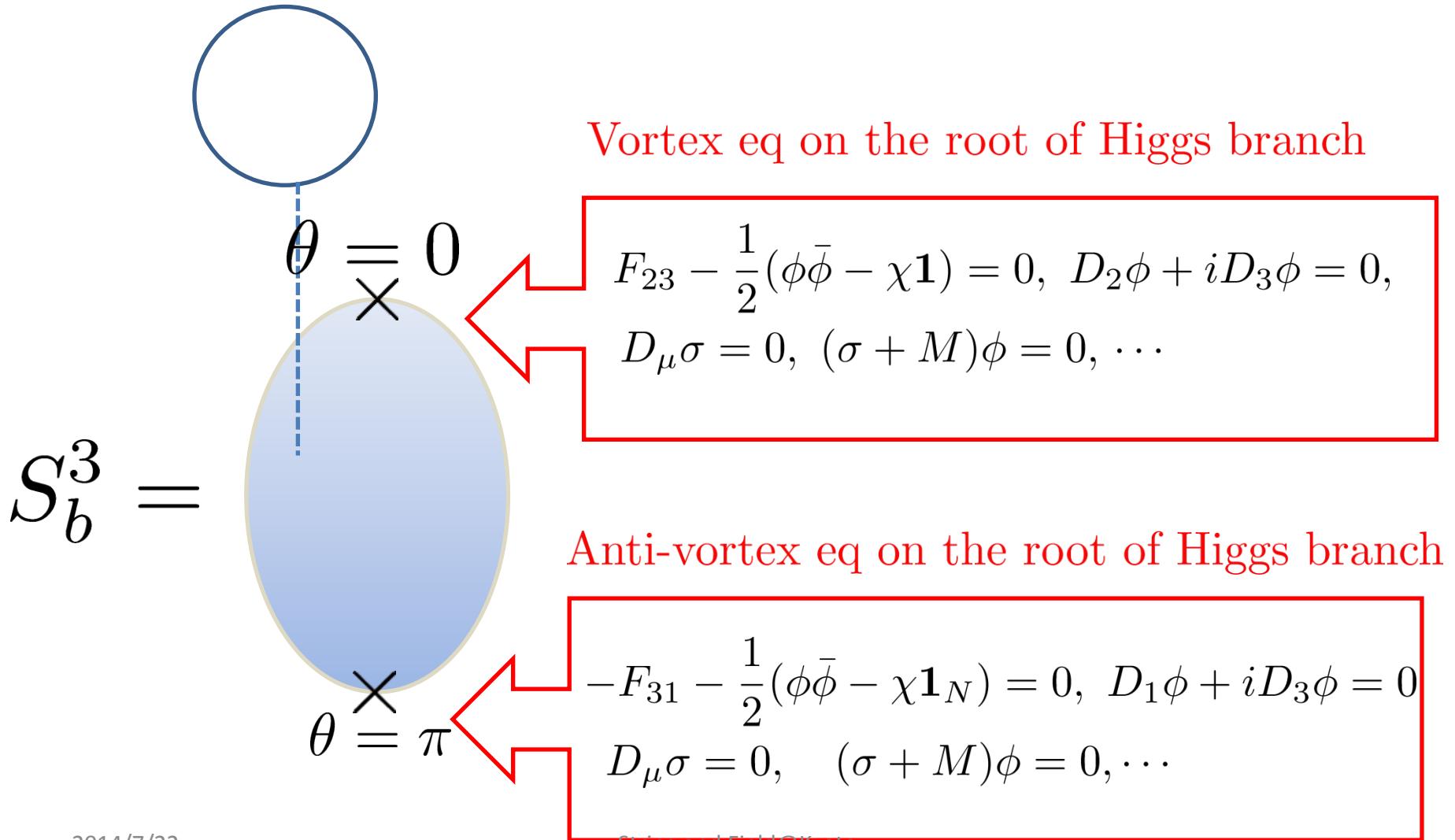
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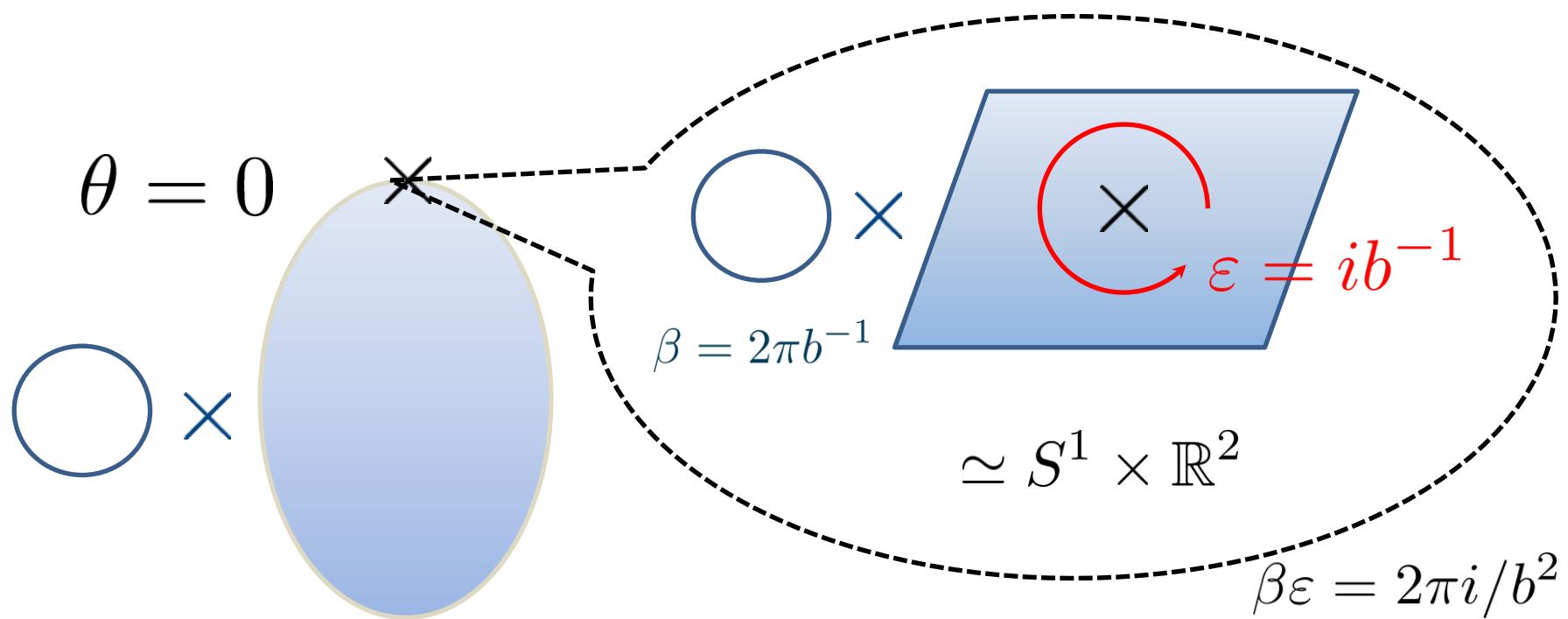


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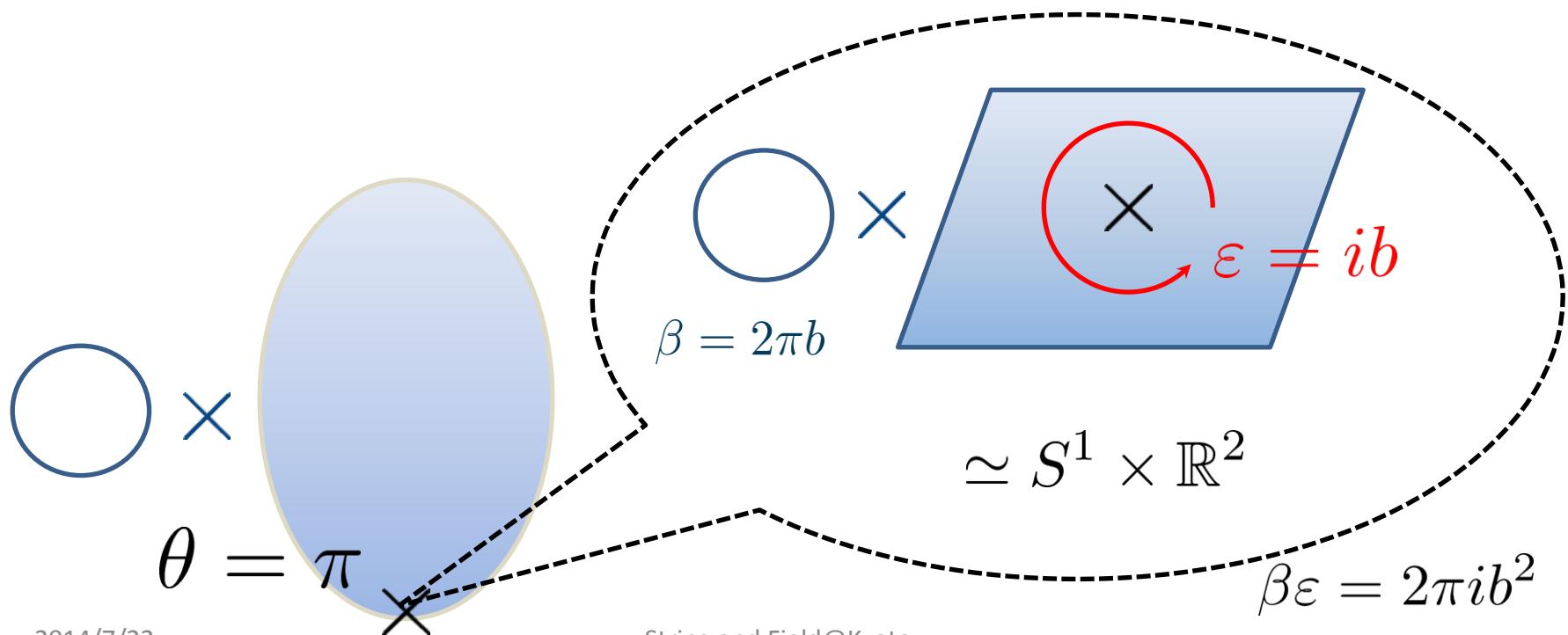
In the limit  $\chi \rightarrow \infty$ , (the size of vortex)  $\sim 1/\sqrt{\chi} \rightarrow 0$ .  
 Thus, point like (anti-)vortices sit on north (south) pole  
 of the squashed 2-sphere.

From the point vortices,  $S_b^3$  can be regarded as  $S_\beta^1 \times \mathbb{R}_\varepsilon^2$ .  
 Then we can use vortex partition functions on  $S_\beta^1 \times \mathbb{R}_\varepsilon^2$ .



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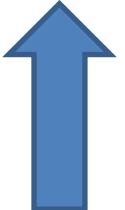

The summation over the saddle points

$$\{l\} = \{l_1, \dots, l_N\} \subset \{1, \dots, N_f\}$$

$$\sigma_1 = -m_{l_1}, \dots, \sigma_N = -m_{l_N}$$

$$Z(S_b^3) = \sum_{\{l\}} e^{-S_{cl}} Z_{\text{Higgs}}^{\text{1-loop}} Z_V^{\{l\}} \bar{Z}_V^l$$


The saddle point value of FI-term  $e^{-2\pi\zeta m_i}$

$$Z(S_b^3) = \sum_{\{l\}} e^{-S_{cl}} Z_{\text{Higgs}}^{\text{1-loop}, \{l\}} Z_V^{\{l\}} \bar{Z}_V^{\{l\}}$$


The one-loop determinant of  $QV$  around a saddle point labeled by  $\{l\}$

$$Z_{\text{vec}}^{(1-\text{loop}), \{l\}} = \prod_{i < j} \sinh \pi b(m_{l_i} - m_{l_j}) \sinh \pi b^{-1}(m_{l_i} - m_{l_j}),$$

$$Z_{\text{chi}}^{(1-\text{loop}), \{l\}} = \prod_{A \neq \{l_i\}} \prod_{i=1}^N s_b \left( \frac{iQ}{2} + m_{l_i} - m_A \right).$$

$$Z(S_b^3) = \sum_{\{l\}} e^{-S_{cl}} Z_{\text{Higgs}}^{\text{1-loop}, \{l\}} Z_V^{\{l\}} \bar{Z}_V^{\{l\}}$$



The contribution from 3d vortex PF  $Z_V^l$  at north poles

$$Z_V^{\{l\}} = \sum_{\{k\}} \frac{e^{-2\pi\zeta b^{-1} k}}{\prod_{i,j}^N \prod_{n=1}^{k_i} 2 \sinh \pi i b^{-2} (m_{l_j, l_i} + (n - i - k_j)) \prod_{l=1}^{k_i} 2 \sinh \pi b^{-1} (m_{j, l_i} + lib^{-1})}$$

$$Z(S_b^3) = \sum_{\{l\}} e^{-S_{cl}} Z_{\text{Higgs}}^{\text{1-loop}, \{l\}} Z_V^{\{l\}} \bar{Z}_V^{\{l\}}$$


The contribution from 3d anti-vortex PF  $\bar{Z}_V^l$  at south pole

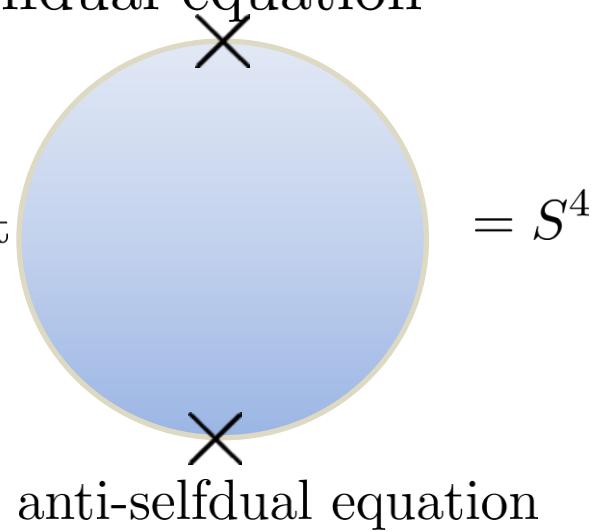
$$\bar{Z}_V^{\{l\}} = \sum_{\{k\}} \frac{e^{-2\pi\zeta b k}}{\prod_{i,j}^N \prod_{n=1}^{k_i} 2 \sinh \pi i b^2 (m_{l_j, l_i} + (n - i - k_j)) \prod_{l=1}^{k_i} 2 \sinh \pi b (m_{j, l_i} + lib)}$$

$$Z(S_b^3) = \sum_{\{l\}} e^{-S_{cl}} Z_{\text{Higgs}}^{\text{1-loop}, \{l\}} Z_V^{\{l\}} \bar{Z}_V^{\{l\}}$$

This structure is similar to the PF of  
4d  $\mathcal{N} = 2$  super YM theory on  $S^4$  selfdual equation

$$Z(S^4) = \int d^N a e^{-S(a)} Z^{\text{1-loop}}(a) Z_{\text{inst}} \bar{Z}_{\text{inst}}$$

(Pestun 2007)



# Vortex partition function on $S^1_\beta \times \mathbb{R}^2_\varepsilon$

Before we explain the vortex PF, recall derivation of 5d instanton PF

$$Z_{\text{inst}}^{\text{5d}} = 1 + \sum_{k=1}^{\infty} e^{-\tau k} Z_{k\text{-inst}}^{\text{5d}}$$

instanton number  
 $k = \frac{1}{8\pi^2} \int_{\mathbb{R}^4} F \wedge F$

5-dim  $G = U(N)$   $k$ -instanton PF on  $S^1 \times \mathbb{R}^4$  ( $Z_{k\text{-inst}}^{\text{5d}}$ )  
 $\simeq$  PF of  $G = U(k)$  SUSY gauged QM on  $S^1$

SUSY gauged QM

matter contents:  $B_1, B_2, I, J$  (ADHM data)  $\dots$

$$(\text{D-term}) = \sum_{i=1}^2 [B_i, B_i^\dagger] + II^\dagger - J^\dagger J - \zeta_{\mathbb{R}} \mathbf{1}_k$$

$$(\text{F-term}) = B_1 B_2 + I J$$

$$\begin{aligned}
Z_{k\text{-inst}}^{\text{5d}} &= \int \mathcal{D}B_i \mathcal{D}I \mathcal{D}J \cdots e^{-\int_{S^1} \mathcal{L}_{QM}} \\
&= \sum_{\text{saddle pts}} Z_{\text{SUSY QM}}^{\text{1-loop}}
\end{aligned}$$

{The saddle points }

=The fixed points in  $k$ -instanton moduli space by equivariant  $U(1)_a^N \times U(1)_{\varepsilon_1} \times U(1)_{\varepsilon_2}$ -action

=The  $N$ -tuple Young diagrams  $\{Y_i\}$  with the total number of box  $k = \sum_{i=1}^N |Y_i|$

# Vortex partition function on $S^1_\beta \times \mathbb{R}^2_\varepsilon$

$$Z_V^{3d,\{l\}} = 1 + \sum_{k=1}^{\infty} e^{-\zeta k} Z_{k\text{-vortex}}^{3d,\{l\}}$$

vortex number  
 $k = \frac{1}{2\pi} \int_{\mathbb{R}^2} F_{12}$

$$\begin{aligned} \text{3-dim } G &= U(N) \text{ } k\text{-vortex PF on } S^1 \times \mathbb{R}^2 \text{ } (Z_{k\text{-vortex}}^{3d}) \\ &\simeq G = U(k) \text{ PF of SUSY QM on } S^1 \end{aligned}$$

SUSY gauged QM

matter contents:  $B, I, J \dots$

$$(\text{D-term}) = [B, B^\dagger] + II^\dagger - J^\dagger J - \chi \mathbf{1}_k$$

$$\mathcal{M}_{N,N_f}^{k\text{-vortex}} \simeq \{(B, I, J) | (\text{D-term})\}/U(k)$$

(Hanay-Tong 2002)

$$\begin{aligned}
Z_{k\text{-vortex}}^{3d,\{l\}} &= \int \mathcal{D}B \mathcal{D}I \mathcal{D}J \dots e^{-\int_{S^1} \mathcal{L}_{QM}} \\
&= \sum_{\text{saddle pts}} Z_{\text{SUSY QM}}^{\text{1-loop}}
\end{aligned}$$

(fixed points)

=The fixed points in  $k$ -vortex moduli space by  
 $U(1)_m^{N_f-1} \times U(1)_\varepsilon$ -equivariant action

=The  $N$ -tuple non-negative integers  $k_i$  (1d Young diagrams)  
with the total number  $k = \sum_{i=1} k_i$

# Factorization of 4d $\mathcal{N} = 1$ superconformal index

Y. Y 1403.0891

Peelaers 1403.2711

We consider  $G = U(N)(SU(N))$  SYM theory +  
 $N_f$ - fundamental and anti-fundamental chiral multiplets

If we consider SCI and evaluate the contour integrals, we find  
that the factorization of SCI only occur when traceless condition  
and anomaly free R-charge assignments ( $R = 1 - N/N_f$ ) are satisfied.

This means that the factorization only occurs for  $G = SU(N)$

There is a problem in Higgs branch localization for 4d SCI.

$\chi$ -term is necessary for Higgs branch localization to work well .

But we cannot introduce the  $\chi$ -term for  $G = SU(N)$  case(traceless).

# Summary

We developed Higgs branch localization of 3d  $\mathcal{N} = 2$  theories  
(In this talk we only mention on  $S_b^3$  case,  
we also performed Higgs branch localization on  $S^1 \times S^2$  (SCI). )

We directly derived the vortex and anti-vortex factorization by  
constructing Q-exact term whose saddle points admit vortex  
(anti-vortex) eq at north (south) pole.

4d SCI has similar factorization.