

# Instanton Effects in Orientifold ABJM Theory

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# Grand potential of CSM

The **grand potential**  $J_k(\mu)$  turns out to be useful for discussing instanton effects.

$$\sum_{n=-\infty}^{\infty} e^{J_k(\mu+2\pi in)} = \sum_{N=0}^{\infty} |Z_k(N)| e^{\mu N}.$$

For ABJM theory,

$$J_k(\mu) = \frac{2}{3\pi^2 k} \mu_{\text{eff}}^3 + \left( \frac{k}{24} + \frac{1}{3k} \right) \mu_{\text{eff}} + A(k) + J_k^{(\text{np})}(\mu_{\text{eff}}).$$

$\Rightarrow$  **1/N corrections** [Fuji, Hirano, Moriyama]

$J_k^{(\text{np})}(\mu_{\text{eff}})$  consists of instanton contributions. There is a relation to topological string theory on local  $\mathbb{P}^1 \times \mathbb{P}^1$ : [Hatsuda et al.]

$$J_k^{(\text{np})}(\mu_{\text{eff}}) = \sum_{j_L, j_R} \sum_{\mathbf{d}=(d^1, d^2)} N_{j_L, j_R}^{\mathbf{d}} \times \sum_{n=1}^{\infty} \left[ \frac{s_R \sin 2\pi g_s n s_L}{n(2 \sin \pi g_s n)^2 \sin 2\pi g_s n} e^{-n\mathbf{d} \cdot \mathbf{T}_{\text{eff}}} + \frac{\partial}{\partial g_s} \left( g_s \frac{-\sin \frac{\pi n}{g_s} s_L \sin \frac{\pi n}{g_s} s_R}{4\pi n^2 (\sin \frac{\pi n}{g_s})^3} e^{-\frac{n\mathbf{d} \cdot \mathbf{T}_{\text{eff}}}{g_s}} \right) \right].$$

**Question: Is this a general story?**

Consider OSp theory, a generalization of ABJM with

- $\mathcal{N} = 5$  supersymmetry,
- gauge group  $O(2N)_k \times \text{USp}(2N)_{k/2}$ , ( $k \in 2\mathbb{Z}$ )
- 2 bi-fundamental hypermultiplets.

OSp theory is an orientifold of ABJM theory, describing **M2-branes** on a background dual to an **orientifold** in Type IIB.

Despite the large SUSY, its non-perturbative effects have not been investigated so far.

⇒ Interesting to determine  $J_k(\mu)$  including instanton effects.

⇒ How general is the relation to topological string?

We find

[MS]

$$J_k^{\text{OSp}[2]}(\mu) = J_k^{\text{ABJM}}(\mu/2) - \log 2,$$

where  $J_k^{[2]}(\mu)$  is the **duplication** of  $J_k(\mu)$ .

How to compute  $J_k(\mu)$ ?

(See [Hatsuda,Moriyama,Okuyama])

## 1. Fermi gas formalism

[Marino,Putrov]

The grand partition function  $\Xi_k(\mu)$  can be written as [MS]

$$\Xi_k(z) = \det \left( 1 - ze^{-\frac{i}{2\hbar}\hat{q}^2} \frac{\hat{\Pi}_+}{2 \cosh \frac{\hat{p}}{2}} e^{\frac{i}{2\hbar}\hat{q}^2} \frac{\hat{\Pi}_+}{2 \cosh \frac{\hat{p}}{2}} \right) \\ \times \langle \tilde{0} | \cosh \frac{\hat{q}}{2k} \left( 1 - ze^{-\frac{i}{2\hbar}\hat{q}^2} \frac{\hat{\Pi}_+}{2 \cosh \frac{\hat{p}}{2}} e^{\frac{i}{2\hbar}\hat{q}^2} \frac{\hat{\Pi}_+}{2 \cosh \frac{\hat{p}}{2}} \right)^{-1} | 0 \rangle,$$

where  $\hat{\Pi}_+$  is a projector acting on eigenstates of  $\hat{q}$ .

The definition of  $\Xi_k(\mu)$

$$\Xi_k(z) = \sum_{N=0}^{\infty} z^N Z_k(N)$$

allows us to evaluate  $Z_k(N)$  **exactly** for small  $k$  and  $N$ .

**2. Ansatz for  $J_k(\mu) = J_k^{(\text{pert})}(\mu) + J_k^{(\text{np})}(\mu)$ :**

$$J_k^{(\text{pert})}(\mu) = \frac{C_k}{3}\mu^3 + B_k\mu + A_k, \quad J_k^{(\text{np})}(\mu) = \sum_a f_a(\mu)e^{-a\mu},$$

where  $f_a(\mu)$  are polynomials of  $\mu$ .

### **3. Numerical fitting**

Choose parameters in  $J_k(\mu)$  s.t.

$$|Z_k(N)| = \int_{-\infty i}^{+\infty i} \frac{d\mu}{2\pi i} e^{J_k(\mu) - \mu N}$$

is realized with **(incredibly) high accuracy**.

## Our results

$Z_k(N)$  for small  $k$  and  $N$ :

$$|Z_1(1)| = \frac{1}{4\sqrt{2}}, \quad |Z_1(2)| = \frac{-2 + \pi}{64\pi}, \quad |Z_1(3)| = \frac{-2\sqrt{2} + (8 - 5\sqrt{2})\pi}{512\pi},$$

$$|Z_1(4)| = \frac{36 - 4\pi + (-25 + 16\sqrt{2})\pi^2}{8192\pi^2},$$

$$|Z_2(1)| = \frac{1}{16}, \quad |Z_2(2)| = \frac{-8 + \pi^2}{512\pi^2}, \quad |Z_2(3)| = \frac{-8 - 32\pi + 11\pi^2}{8192\pi^2},$$

$$|Z_2(4)| = \frac{192 - 560\pi^2 - 384\pi^3 + 177\pi^4}{1572864\pi^4},$$

$$|Z_3(1)| = \frac{3\sqrt{2} - 2\sqrt{3}}{24}, \quad |Z_3(2)| = \frac{6 + (3 - 2\sqrt{6})\pi}{192\pi},$$

$$|Z_3(3)| = \frac{54\sqrt{2} + 60\sqrt{3} + (40 - 135\sqrt{2} + 54\sqrt{3})\pi}{13824\pi},$$

$$|Z_3(4)| = \frac{1188 + 36(9 + 10\sqrt{6})\pi + (-4721 + 240\sqrt{2} + 864\sqrt{3} + 972\sqrt{6})\pi^2}{663552\pi^2},$$

$$|Z_4(1)| = \frac{1}{16\pi}, \quad |Z_4(2)| = \frac{10 - \pi^2}{1024\pi^2}, \quad |Z_4(3)| = \frac{78 - 121\pi^2 + 36\pi^3}{147456\pi^3},$$

$$|Z_4(4)| = \frac{876 - 4148\pi^2 - 2016\pi^3 + 1053\pi^4}{18874368\pi^4},$$

$$\begin{aligned}
|Z_5(1)| &= \frac{-5 + 2\sqrt{5 + \sqrt{5}}}{20\sqrt{2}}, & |Z_5(2)| &= \frac{-10 + (5 + 4\sqrt{5} - 4\sqrt{5 + \sqrt{5}})\pi}{320\pi}, \\
|Z_5(3)| &= \frac{250 + 60\sqrt{5 + \sqrt{5}} + (625 + 52\sqrt{2} - 100\sqrt{5} - 64\sqrt{10} - 150\sqrt{5 + \sqrt{5}})\pi}{32000\sqrt{2}\pi}, \\
|Z_6(1)| &= \frac{-3 + 2\sqrt{3}}{48}, & |Z_6(2)| &= \frac{-216 + (209 - 108\sqrt{3})\pi^2}{41472\pi^2}, \\
|Z_6(3)| &= \frac{(1944 - 4752\sqrt{3}) + 1440\pi + (-9171 + 5398\sqrt{3})\pi^2}{5971968\pi^2}, \\
|Z_6(4)| &= \frac{16860 - 136700\pi^2 + 190800\pi^3 + 207413\pi^4 - 81000\pi^5}{7549747200\pi^5}, \\
|Z_8(1)| &= \frac{-2 + \pi}{64\pi}, & |Z_8(2)| &= \frac{36 - 4\pi + (-25 + 16\sqrt{2})\pi^2}{8192\pi^2}, \\
|Z_8(3)| &= \frac{-600 + 324\pi + (2242 + 2016\sqrt{2})\pi^2 + (-1431 - 144\sqrt{2})\pi^3}{4718592\pi^3}, \\
|Z_{12}(1)| &= \frac{9 - \sqrt{3}\pi}{432\pi}, & |Z_{12}(2)| &= \frac{702 - 12\sqrt{3}\pi + (-1561 + 864\sqrt{3})\pi^2}{248832\pi^2}, \\
|Z_{12}(3)| &= \frac{17982 - 2106\sqrt{3}\pi - 27(4585 + 864\sqrt{3})\pi^2 + (45684 + 3679\sqrt{3})\pi^3}{322486272\pi^3}.
\end{aligned}$$

**Phase of  $Z_k(N)$  is**

$$\underline{Z_k(N) = (-i)^N |Z_k(N)|.}$$

$J_k(\mu)$  for small  $k$ :

$$\begin{aligned}
J_1^{(\text{np})} &= \frac{1}{\sqrt{2}}e^{-\mu} - \frac{4}{3\sqrt{2}}e^{-3\mu} + \left[ \frac{2\mu^2 + \mu/2 + 1/8}{\pi^2} \right] e^{-4\mu} - \frac{16}{5\sqrt{2}}e^{-5\mu} + \frac{64}{7\sqrt{2}}e^{-7\mu} \\
&+ \left[ -\frac{13\mu^2 + \mu/8 + 9/64}{\pi^2} + 2 \right] e^{-8\mu} + \frac{256}{9\sqrt{2}}e^{-9\mu} - \frac{1024}{11\sqrt{2}}e^{-11\mu} \\
&+ \left[ \frac{368\mu^2 - 76\mu/3 + 77/36}{3\pi^2} - 32 \right] e^{-12\mu} - \frac{4096}{13\sqrt{2}}e^{-13\mu} + \frac{16384}{15\sqrt{2}}e^{-15\mu} + \mathcal{O}(e^{-16\mu}),
\end{aligned}$$

$$\begin{aligned}
J_2^{(\text{np})} &= e^{-\mu} + \left[ -\frac{2\mu^2 + \mu + 1/2}{\pi^2} \right] e^{-2\mu} + \frac{16}{3}e^{-3\mu} + \left[ -\frac{13\mu^2 + \mu/4 + 9/16}{\pi^2} + 2 \right] e^{-4\mu} \\
&+ \frac{256}{5}e^{-5\mu} + \left[ -\frac{368\mu^2 - 152\mu/3 + 77/9}{3\pi^2} + 32 \right] e^{-6\mu} + \frac{4096}{7}e^{-7\mu} + \mathcal{O}(e^{-8\mu}),
\end{aligned}$$

$$\begin{aligned}
J_3^{(\text{np})} &= \frac{1}{\sqrt{2}}e^{-\mu} - \frac{1}{3}e^{-\frac{4}{3}\mu} + \frac{1}{2}e^{-\frac{8}{3}\mu} - \frac{4}{3\sqrt{2}}e^{-3\mu} + \left[ \frac{2\mu^2 + \mu/2 + 1/8}{3\pi^2} - \frac{8}{9} \right] e^{-4\mu} - \frac{16}{5\sqrt{2}}e^{-5\mu} \\
&+ \frac{25}{36}e^{-\frac{16}{3}\mu} - \frac{12}{5}e^{-\frac{20}{3}\mu} + \frac{64}{7\sqrt{2}}e^{-7\mu} + \left[ -\frac{13\mu^2 + \mu/8 + 9/64}{3\pi^2} + \frac{88}{9} \right] e^{-8\mu} + \frac{256}{9\sqrt{2}}e^{-9\mu} \\
&- \frac{947}{189}e^{-\frac{28}{3}\mu} + \mathcal{O}(e^{-\frac{32}{3}\mu}),
\end{aligned}$$



$$\begin{aligned}
J_4^{(\text{np})} &= \left[ \frac{2\mu^2 + \mu + 1/2}{2\pi^2} \right] e^{-2\mu} + \left[ -\frac{13\mu^2 + \mu/4 + 9/16}{2\pi^2} + 2 \right] e^{-4\mu} \\
&\quad + \left[ \frac{184\mu^2 - 76\mu/3 + 77/18}{3\pi^2} - 32 \right] e^{-6\mu} + \mathcal{O}(e^{-8\mu}), \\
J_5^{(\text{np})} &= \frac{-5 + 3\sqrt{5}}{10} e^{-\frac{4}{5}\mu} - \frac{1}{\sqrt{2}} e^{-\mu} + \frac{5 + 7\sqrt{5}}{20} e^{-\frac{8}{5}\mu} + \frac{5 - 3\sqrt{5}}{15} e^{-\frac{12}{5}\mu} + \frac{4}{3\sqrt{2}} e^{-3\mu} \\
&\quad + \frac{5 - 31\sqrt{5}}{40} e^{-\frac{16}{5}\mu} + \left[ \frac{2\mu^2 + \mu/2 + 1/8}{5\pi^2} - \frac{1 + 5\sqrt{5}}{10} \right] e^{-4\mu} + \frac{485 - 91\sqrt{5}}{150} e^{-\frac{24}{5}\mu} \\
&\quad + \frac{16}{5\sqrt{2}} e^{-5\mu} + \frac{170 + 263\sqrt{5}}{175} e^{-\frac{28}{5}\mu} + \mathcal{O}(e^{-\frac{32}{5}\mu}), \\
J_6^{(\text{np})} &= \frac{1}{3} e^{-\frac{2}{3}\mu} - e^{-\mu} + \frac{1}{2} e^{-\frac{4}{3}\mu} + \left[ -\frac{2\mu^2 + \mu + 1/2}{3\pi^2} + \frac{8}{9} \right] e^{-2\mu} + \frac{25}{36} e^{-\frac{8}{3}\mu} - \frac{16}{3} e^{-3\mu} \\
&\quad + \frac{12}{5} e^{-\frac{10}{3}\mu} + \left[ -\frac{13\mu^2 + \mu/4 + 9/16}{3\pi^2} + \frac{88}{9} \right] e^{-4\mu} + \frac{947}{189} e^{-\frac{14}{3}\mu} - \frac{256}{5} e^{-5\mu} + \mathcal{O}(e^{-\frac{16}{3}\mu}), \\
J_8^{(\text{np})} &= \frac{\sqrt{2}}{2} e^{-\frac{1}{2}\mu} - \frac{2\sqrt{2}}{3} e^{-\frac{3}{2}\mu} + \left[ \frac{2\mu^2 + \mu + 1/2}{4\pi^2} \right] e^{-2\mu} - \frac{8\sqrt{2}}{5} e^{-\frac{5}{2}\mu} + \frac{32\sqrt{2}}{7} e^{-\frac{7}{2}\mu} + \mathcal{O}(e^{-4\mu}), \\
J_{12}^{(\text{np})} &= \sqrt{3} e^{-\frac{1}{3}\mu} - \frac{7}{6} e^{-\frac{2}{3}\mu} + \frac{9}{4} e^{-\frac{4}{3}\mu} - \frac{16\sqrt{3}}{5} e^{-\frac{5}{3}\mu} + \left[ \frac{2\mu^2 + \mu + 1/2}{6\pi^2} + \frac{74}{9} \right] e^{-2\mu} \\
&\quad - \frac{185\sqrt{3}}{21} e^{-\frac{7}{3}\mu} + \mathcal{O}(e^{-\frac{8}{3}\mu}).
\end{aligned}$$

The general structure is

$$J_k(\mu) = \frac{1}{3\pi^2 k} \mu^3 + \left( \frac{5}{12k} + \frac{k}{48} \right) \mu + A_k + \sum_{m=1}^{\infty} d_m(k) e^{-\frac{4m}{k} \mu} \\ + \sum_{l=1}^{\infty} \left( a_{2l}(k) \mu^2 + b_{2l}(k) \mu + c_{2l} \right) e^{-2l\mu} + \sum_{l=1}^{\infty} c_{2l+1} e^{-(2l+1)\mu}.$$

**absent for ABJM**

“Odd instanton” terms can be **resumed** as

$$J_{k \equiv 1, 3 \pmod{8}}^{(\text{odd MB})}(\mu) = -J_{k \equiv 5, 7 \pmod{8}}^{(\text{odd MB})}(\mu) = \frac{1}{8} \log \frac{1 + 2\sqrt{2}e^{-\mu} + 4e^{-2\mu}}{1 - 2\sqrt{2}e^{-\mu} + 4e^{-2\mu}}, \\ J_{k \equiv 2 \pmod{8}}^{(\text{odd MB})}(\mu) = -J_{k \equiv 6 \pmod{8}}^{(\text{odd MB})}(\mu) = \frac{1}{8} \log \frac{1 + 4e^{-\mu}}{1 - 4e^{-\mu}}, \\ J_{k \equiv 0 \pmod{4}}^{(\text{odd MB})}(\mu) = 0.$$

## Relation to ABJM

### Some observations:

- The perturbative part satisfies

$$J_k^{\text{OSp(pert)}}\left(\mu + \frac{\pi i}{2}\right) + J_k^{\text{OSp(pert)}}\left(\mu - \frac{\pi i}{2}\right) = J_k^{\text{ABJM(pert)}}(\mu) - \log 2.$$

- By this  $\mu$ -shift, odd instanton terms cancel:

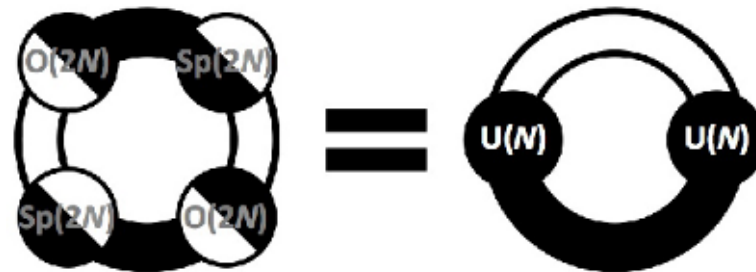
$$e^{-(2l+1)(\mu + \frac{\pi i}{2})} + e^{-(2l+1)(\mu - \frac{\pi i}{2})} = 0.$$

⇒ **We find**

$$J_k^{\text{OSp}^{[2]}}(\mu) = J_k^{\text{ABJM}}(\mu/2) - \log 2,$$

**including non-perturbative terms.**

$J_k^{\text{OSp}^{[2]}}(\mu)$  is the grand potential of the **duplicate quiver**:



Explicitly,

$$J_k^{[2]}(\mu) = J_k^{[1]}\left(\frac{\mu - \pi i}{2}\right) + J_k^{[1]}\left(\frac{\mu + \pi i}{2}\right) + \log \left[ 1 + \sum_{n \neq 0} e^{J_k^{[1]}\left(\frac{\mu - \pi i}{2} + 2\pi i n\right) + J_k^{[1]}\left(\frac{\mu + \pi i}{2} - 2\pi i n\right) - J_k^{[1]}\left(\frac{\mu - \pi i}{2}\right) - J_k^{[1]}\left(\frac{\mu + \pi i}{2}\right)} \right].$$

This is originally for orbifold theories.

[Honda, Moriyama]

**OSp theory is related to ABJM theory  
(and topological string theory)  
through the duplication of the quiver diagram.**

## Summary

- We have determined the grand potential of OSp theory including non-perturbative terms.
- The results are similar to ABJM theory, but interesting differences (e.g. odd instantons, phase factors).
- We have found a simple relation to ABJM theory in terms of the grand potential.

### Future directions

- General constraints on the grand potential of CSM.  
(modular invariance?)
- WKB analysis of OSp theory.
- Deeper understanding of the relation to ABJM theory.
- Several deformations.
- Wilson loops.
- etc.