

# Unified models of inflation, dark energy, and high-scale SUSY breaking in supergravity

Yermek Aldabergenov

in collaboration with Auttakit Chatrabhuti and Sergei V. Ketov  
arXiv:1907.10373

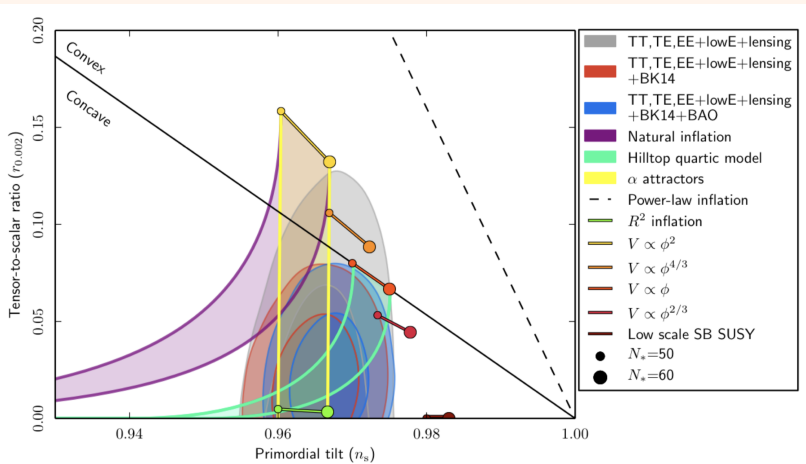
Chulalongkorn University

YITP Strings and Fields 2019

# Introduction

- ▶ Supergravity – extension of (M)SSM, low-energy effective action of superstrings.
- ▶ Inflation – succesful idea solving the initial condition problems of pre-inflationary cosmology.
- ▶ Dark energy – describes present day expansion of the universe. Simplest case – small positive cosmological constant.
- ▶ SUSY breaking – in inflationary supergravity models SUSY is typically restored at the minimum. No evidence at LHC – perhaps SUSY is broken at a (very) high scale?

# Inflationary models



[PLANCK '18]

# N=1 D=4 supergravity

Superspace action:

$$\mathcal{L} = \int d^2\Theta 2\mathcal{E} \left\{ \frac{3}{8}(\bar{D}^2 - 8\mathcal{R})e^{-K/3} + W + \frac{1}{4}f_{AB}\mathcal{W}^A\mathcal{W}^B \right\} + \text{h.c.} \quad (1)$$

$K(\Phi_i, \bar{\Phi}_i, V)$  – Kähler potential;  $W(\Phi_i)$  – superpotential;  
 $f_{AB}(\Phi_i)$  – gauge kinetic function;

Bosonic components:

$$e^{-1}\mathcal{L} = \frac{1}{2}R - K_{i\bar{j}}D_m\Phi^i\bar{D}^m\bar{\Phi}^{\bar{j}} - \frac{1}{4}\text{Re}(f_{AB})F_{mn}^AF^{B,mn} - \frac{i}{4}\text{Im}(f_{AB})\tilde{F}_{mn}^AF^{B,mn} - V_F - V_D \quad (2)$$

F- and D-term scalar potentials:

$$V_F = e^K \left[ K^{i\bar{j}}(W_i + K_i W)(\bar{W}_{\bar{j}} + K_{\bar{j}}\bar{W}) - 3|W|^2 \right] \quad (3)$$
$$V_D = \frac{g^2}{2}\text{Re}(f^{AB})\mathcal{D}_A\mathcal{D}_B, \quad f^{AB} = f_{AB}^{-1}$$

$\mathcal{D}_A$  – Killing potentials (moment maps);  
 $D_m\Phi^i = \partial_m\Phi^i - gA_m^AX_A^i$ ;  $X_A^i$  – Killing vectors;

# Starobinsky model in SUGRA

F-term:

- ▶  $K = -3 \log(T + \bar{T} - |\Phi|^2)$  and  $W = a\Phi(T - 1/2)$ , Inflaton =  $\text{Re} T$  [Cecotti '87];  $\rightarrow$  dual to old-minimal  $R + R^2$  SUGRA
- ▶  $K = -3 \log(T + \bar{T} - |\Phi|^2)$  and  $W = a\Phi^2 + b\Phi^3$ , Inflaton  $\subset \Phi$  [Ellis et al. '13];
- ▶  $K = -2 \log(T + \bar{T}) + |\Phi|^2$  and  $W = a\Phi(T - b)$ , Inflaton =  $\text{Re} T$  [Pallis, Toumbas '16];

$$\Rightarrow V_F \sim (e^{-\sqrt{\frac{2}{3}}\varphi} - 1)^2 + \dots \quad (4)$$

D-term:

- ▶  $K = -3 \log(T + \bar{T} - 2gV) + 3(T + \bar{T} - 2gV)$ , Inflaton =  $\text{Re} T$  [Farakos et al. '13, Ferrara et al. '13];  $\rightarrow$  dual to new-minimal  $R + R^2$  SUGRA

$$\Rightarrow V_D \sim (e^{-\sqrt{\frac{2}{3}}\varphi} - 1)^2 \quad (5)$$

...But after inflation SUSY is restored and minimum is Minkowski.

## Alternative Fayet-Iliopoulos D-term

A class of alternative FI constructions is introduced in [Cribiori et al. '17, Kuzenko '18]. We consider the simplest model:

$$\mathcal{L}_{\text{FI}} = g\xi \int d^2\Theta d^2\mathcal{E}\bar{\mathcal{P}} \left( \frac{W^2\bar{W}^2}{\mathcal{P}W^2\bar{\mathcal{P}}\bar{W}^2} DW \right) + \text{h.c.}, \quad \mathcal{P} \equiv D^2 - 8\bar{\mathcal{R}} \quad (6)$$

$$\implies \boxed{V_D = \frac{1}{2}g^2\xi^2} \quad (7)$$

- ▶ Does not require gauging of R-symmetry;
- ▶ Necessarily breaks SUSY,  $\langle D \rangle \neq 0$ ;
- ▶ Generates positive cosmological constant;

One cannot simply add this FI term to inflationary models (with SUSY Minkowski minimum), because then  $\Lambda_{\text{C.C.}} = \Lambda_{\text{SUSY}}$ . This problem is avoided if the minimum is AdS.

# The setup

Consider the following  $SU(1,1)/U(1)$  model with the FI term:

$$\boxed{\begin{aligned} K &= -\alpha \log(T + \bar{T}) \\ W &= \lambda + \mu T, \quad f = 1 \end{aligned}} \quad \& \quad \boxed{V_D = \frac{1}{2} g^2 \xi^2} \quad (8)$$

With the parametrization (for canonical  $\phi$ )

$$T = e^{-\sqrt{\frac{2}{\alpha}}\phi} + it, \quad (9)$$

the resulting potential reads

$$V_F + V_D = \frac{\alpha - 3}{2\alpha} (|\lambda|^2 + \omega_2 t + |\mu|^2 t^2) e^{\alpha\sqrt{\frac{2}{\alpha}}\phi} + \frac{(\alpha - 5)\omega_1}{2\alpha} e^{(\alpha-1)\sqrt{\frac{2}{\alpha}}\phi} + \frac{(\alpha^2 - 7\alpha + 4)|\mu|^2}{2\alpha} e^{(\alpha-2)\sqrt{\frac{2}{\alpha}}\phi} + \frac{g^2 \xi^2}{2}$$

$\alpha \geq 3$  for stability

(10)

introducing

$$\begin{cases} \omega_1 \equiv \bar{\lambda}\mu + \lambda\bar{\mu} = 2\lambda_R\mu_R + 2\lambda_I\mu_I, \\ \omega_2 \equiv i(\bar{\lambda}\mu - \lambda\bar{\mu}) = 2\lambda_I\mu_R - 2\lambda_R\mu_I. \end{cases} \quad (11)$$

## Starobinsky case, $\alpha = 3$

Given  $\alpha = 3$ , the scalar potential takes the simple form

$$V = -\frac{\omega_1}{4} e^{\sqrt{\frac{8}{3}}\phi} - \frac{|\mu|^2}{3} e^{\sqrt{\frac{2}{3}}\phi} + \frac{g^2 \xi^2}{2}, \quad (12)$$

and has a minimum at

$$\phi_0 = \sqrt{\frac{3}{2}} \log \left( -\frac{2|\mu|^2}{3\omega_1} \right) \Rightarrow V_0 = \frac{g^2 \xi^2}{2} + \frac{|\mu|^4}{9\omega_1}, \quad (13)$$

provided that  $\omega_1 < 0$ . Defining  $\varphi \equiv \phi - \phi_0$  the potential can be written as

$$V = V_0 + \frac{|\mu|^4}{9|\omega_1|} \left( e^{\sqrt{\frac{2}{3}}\varphi} - 1 \right)^2 \quad (14)$$

- ▶ SUSY is broken by  $\langle D \rangle$  and possibly  $\langle F \rangle$ , and  $m_{3/2} \geq m_\varphi/2$ .
- ▶ The classical  $\alpha = 3$  potential is  $t$ -flat  $\rightarrow$  the mass for the  $t$  has to be generated by e.g. loop corrections.

For  $\alpha > 3$  the  $t$  is massive already at tree level!



## The case $\alpha > 3$ : vacuum solutions

Once the axion  $t$  acquires VEV,  $t_0 = -\omega_2/(2|\mu|^2)$ , we have effectively single-field scalar potential,

$$V|_{t=t_0} = \frac{(\alpha-3)\omega_1^2}{2^{\alpha+2}|\mu|^2} e^{\sqrt{2\alpha}\phi} + \frac{(\alpha-5)\omega_1}{2^\alpha} e^{(\alpha-1)\sqrt{\frac{2}{\alpha}}\phi} + \frac{(\alpha^2-7\alpha+4)|\mu|^2}{2^\alpha\alpha} e^{(\alpha-2)\sqrt{\frac{2}{\alpha}}\phi} + \frac{g^2\xi^2}{2}, \quad (15)$$

Critical points:

$$e^{\sqrt{\frac{2}{\alpha}}\phi_\pm} = \gamma_\pm \frac{|\mu|^2}{\omega_1} \quad \begin{cases} \gamma_+ \equiv \frac{2(-\alpha^2+7\alpha-4)}{\alpha(\alpha-3)} \\ \gamma_- \equiv \frac{2(2-\alpha)}{\alpha} \end{cases} \quad (16)$$

Since  $\alpha > 3$ ,  $\gamma_- < 0$  and (defining  $\alpha_* \equiv \frac{1}{2}(7 + \sqrt{33}) \approx 6.37$ )

$$3 < \alpha < \alpha_* \longrightarrow \gamma_+ > 0 \quad (17)$$

$$\alpha = \alpha_* \longrightarrow \gamma_+ = 0 \quad (18)$$

$$\alpha > \alpha_* \longrightarrow \gamma_+ < 0 \quad (19)$$

# The case $\alpha > 3$ : vacuum solutions

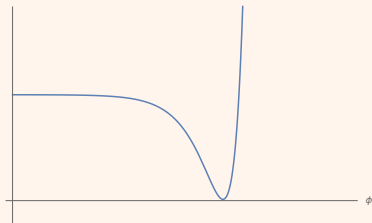
So,

(A)  $\omega_1 > 0$  and  $3 < \alpha < \alpha_*$  : Stable vacuum at  $\phi_+$

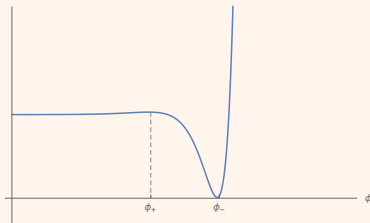
(B)  $\omega_1 < 0$  and  $3 < \alpha \leq \alpha_*$  : Stable vacuum at  $\phi_-$

(C)  $\omega_1 < 0$  and  $\alpha > \alpha_*$  : Stable vacuum at  $\phi_-$  and local max. at  $\phi_+$

all other cases unstable or runaway



(A)(B)



(C)

# The case $\alpha > 3$ : scalar masses and SUSY breaking

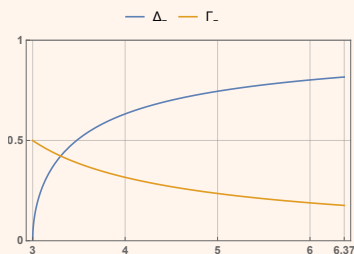
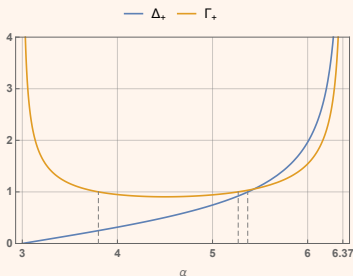
$$\phi_0 = \phi_+ \longrightarrow D, F \neq 0, \quad \phi_0 = \phi_- \longrightarrow D \neq 0, F = 0 \quad (20)$$

The scalar and gravitino masses have the similar form

$$M^2 = f(\alpha) \frac{|\mu|^{2(\alpha-1)}}{\omega_1^{\alpha-2}} \quad (21)$$

so that their mass ratios depend only on  $\alpha$  ( $\varphi = \phi - \phi_0$ ):

$$\Delta_{\pm} \equiv \left. \frac{m_t}{m_{\varphi}} \right|_{\phi_0 = \phi_{\pm}}, \quad \Gamma_{\pm} \equiv \left. \frac{m_{3/2}}{m_{\varphi}} \right|_{\phi_0 = \phi_{\pm}} \quad (22)$$



## Inflationary observables

Trading  $g\xi$  for the cosmological constant  $V_0$ , and restoring  $\kappa$  we have

$$V = V_0 + \kappa^2 \left(\frac{\gamma}{2}\right)^\alpha \frac{|\mu|^{2(\alpha-1)}}{\omega_1^{\alpha-2}} \left[ \frac{\alpha-3}{4} e^{\sqrt{2\alpha}\kappa\varphi} + \frac{\alpha-5}{\gamma} e^{(\alpha-1)\sqrt{\frac{2}{\alpha}}\kappa\varphi} + \frac{\alpha^2-7\alpha+4}{\alpha\gamma^2} e^{(\alpha-2)\sqrt{\frac{2}{\alpha}}\kappa\varphi} - \frac{\alpha(\gamma+2)^2}{4\gamma^2} + \frac{(\gamma+2)(3\gamma+14)}{4\gamma^2} - \frac{4}{\alpha\gamma^2} \right]. \quad (23)$$

Next,

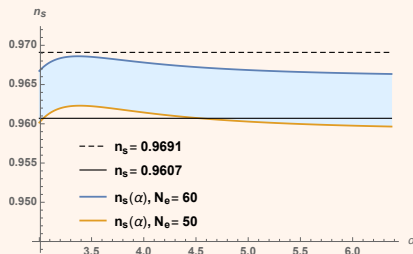
- ▶ calculate the predictions for  $n_s \simeq 1 + 2\eta_i - 6\epsilon_i$  and  $r \simeq 16\epsilon_i$ ,
- ▶ use the observed value of  $A_s \simeq \frac{\kappa^4 V(\varphi_i)}{24\pi^2 \epsilon_i}$  to fix the composite parameter  $|\mu|^{2(\alpha-1)}/\omega_1^{\alpha-2}$  – this fixes  $m_\varphi$ ,  $m_t$ , and  $m_{3/2}$ .

PLANCK 2018:

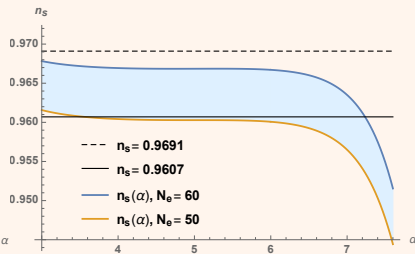
$$n_s = 0.9649 \pm 0.0042 \text{ (68\%CL)}, \quad r < 0.064 \text{ (95\%CL)}, \\ \log(10^{10} A_s) = 2.975 \pm 0.056 \text{ (68\%CL)} \Rightarrow A_s \approx 1.96 \times 10^{-9}.$$

# Constraining $\alpha$

Numerical results for  $n_s(\alpha)$ :



(a)  $\omega_1 > 0$



(b)  $\omega_1 < 0$

$\alpha > \alpha_{\max} \approx 7.235$  is incompatible with observations at 68%CL.

## Predictions for $n_s$ and $r$

- Starobinsky-like inflation ( $3 \leq \alpha \leq \alpha_*$ ):

$\alpha$	3	4		5	6		$\alpha_*$
$\text{sgn}(\omega_1)$	-	+	-	+/-	+	-	-
$n_s$	0.9650	0.9649	0.9640	0.9639	0.9634	0.9637	0.9632
$r$	0.0035	0.0010	0.0013	0.0007	0.0005	0.0004	0.0003
$-\kappa\varphi_i$	5.3529	3.5542	3.9899	3.2657	3.0215	2.7427	2.5674
$-\kappa\varphi_f$	0.9402	0.7426	0.8067	0.7163	0.6935	0.6488	0.6276

Predictions for  $n_s$ ,  $r$  for integer  $\alpha$  and  $\alpha_* \equiv (7 + \sqrt{33})/2$ .  $N_e = 55$  is assumed.

- Hilltop inflation ( $\alpha_* < \alpha \leq \alpha_{\max}$ ): taking  $\alpha = 7$  and  $N_e = 60$  ( $N_e = 55$  gives incompatible  $n_s$  when  $\alpha = 7$ ), we find  $n_s \approx 0.9635$ ,  $r \approx 0.0002$ .

## Fixing SUSY breaking scale and scalar masses

$\alpha$	3	4		5		6		7
$\text{sgn}(\omega_1)$	-	+	-	+	-	+	-	-
$m_\varphi$	2.83	2.95	2.73	2.71	2.71	2.53	2.58	1.86
$m_t$	0	0.93	1.73	2.02	2.02	4.97	2.01	1.56
$m_{3/2}$	$\geq 1.41$	2.80	0.86	2.56	0.64	3.91	0.49	0.29
$\langle F \rangle$	?	$\neq 0$	0	$\neq 0$	0	$\neq 0$	0	0
$\langle D \rangle$	8.31	4.48	5.08	3.76	3.76	3.25	2.87	1.73

$\left. \begin{array}{l} m_\varphi \\ m_t \\ m_{3/2} \end{array} \right\} \times 10^{13} \text{ GeV}$   
 $\left. \begin{array}{l} \langle F \rangle \\ \langle D \rangle \end{array} \right\} \times 10^{31} \text{ GeV}^2$

The masses of inflaton ( $m_\varphi$ ), axion ( $m_t$ ) and gravitino ( $m_{3/2}$ ), and the VEVs of  $F$ - and  $D$ -fields derived from our models by fixing the amplitude  $A_5$  according to PLANCK data.

# Summary

- ▶ We studied the models with  $K = -\alpha \log(T + \bar{T})$ ,  $W = \lambda + \mu T$ , and the alternative FI term. The Kähler potential with  $\alpha = 1, 2, \dots, 7$  can be obtained from e.g. M-theory on  $G_2$  [Ferrara, Kallosh '16].
- ▶  $3 \leq \alpha \leq \alpha_*$  → Starobinsky-like inflation,  $\alpha > \alpha_*$  → hilltop inflation ( $\alpha_* \approx 6.372$ ).
- ▶ For  $\alpha > 3$  the axion  $t$  has non-tachyonic (tree-level) mass comparable to the inflaton mass.
- ▶ Spectral tilt  $n_s$  is compatible with PLANCK 2018 data for  $3 \leq \alpha \leq \alpha_{\max} \approx 7.235$ .
- ▶ Observations of the scalar amplitude  $A_s$  fix SUSY breaking scale,  $m_{3/2} \sim 10^{13}$  GeV (or larger if we allow fractional  $\alpha$ ).
- ▶ Some models have mixed F-/D-term SUSY breaking, while the others have pure D-term breaking ( $\langle D \rangle$  is fixed by  $A_s$ ,  $\langle F \rangle$  is not).
- ▶ Small positive C.C. (dark energy) can be obtained by fine-tuning the parameters.



ご清聴ありがとうございました。