

Atiyah-Patodi-Singer (APS) index theorem on a lattice

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Collaboration with

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Atiyah-Patodi-Singer (APS) index theorem and bulk-edge correspondence

APS index theorem is important to understand bulk-edge correspondence in topological insulator.

[Witten 2015]

3+1-dim bulk – 2+1-dim edge

$$Z_{\text{edge}} \propto \exp(-i\pi\eta(iD^{\text{3D}}/2)) \quad \text{T-anomalous}$$

$$Z_{\text{bulk}} \propto \exp\left(i\pi\frac{1}{32\pi^2} \int_{x_4>0} d^4x \epsilon^{\mu\nu\rho\sigma} \text{tr} F_{\mu\nu} F_{\rho\sigma}\right) \quad \text{T-anomalous}$$

$$Z_{\text{edge}} Z_{\text{bulk}} \propto (-1)^{\mathcal{J}} \quad \text{T-symmetry is protected}$$

APS index

$$\mathcal{J} = \frac{1}{32\pi^2} \int_{x_4>0} d^4x \epsilon^{\mu\nu\rho\sigma} \text{tr}_c F_{\mu\nu} F_{\rho\sigma} - \frac{\eta(iD^{\text{3D}})}{2}$$

Eta-invariant

$$\mathcal{J} = \frac{1}{32\pi^2} \int_{x_4 > 0} d^4x \epsilon^{\mu\nu\rho\sigma} \text{tr}_c F_{\mu\nu} F_{\rho\sigma} - \boxed{\frac{\eta(iD^{3D})}{2}}$$

APS eta-invariant

Eta-invariant is defined by summing up all signs of eigenvalues.

$$\eta(H) = \sum_i \text{sgn} \lambda_i = \text{Tr} \frac{H}{\sqrt{H^2}} \quad H\phi_i = \lambda_i \phi_i$$

Eta-invariant in APS index

$$\frac{\eta(iD^{3D})}{2} = \frac{\text{CS}}{2} + \text{integer} \quad \text{CS} = \frac{1}{4\pi} \int d^3x \text{tr}_c \left[\epsilon^{\mu\nu\rho} \left(A_\mu \partial_\nu A_\rho + \frac{2i}{3} A_\mu A_\nu A_\rho \right) \right]$$

Eta-invariant = Chern-Simons term and integer(non-local effect)

APS index theorem using domain-wall fermion

Topological insulator and domain-wall fermion [Kaplan 1992] share the same properties.

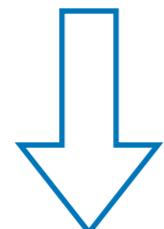
Massive fermion in the bulk + edge localized mode

The “new” APS index is formulated using domain-wall fermion in continuum theory.

[Fukaya-Onogi-Yamaguchi 2017]

$$\mathcal{J} = -\frac{1}{2}\eta(H_{\text{DW}})$$

$$H_{\text{DW}} = \gamma_5(D_{4D} - M\epsilon(x_4))$$



Fujikawa method

$$= \frac{1}{32\pi^2} \int_{x_4>0} d^4x \epsilon^{\mu\nu\rho\sigma} \text{tr}_c F_{\mu\nu} F_{\rho\sigma} - \frac{\eta(iD^{3D})}{2}$$

Index theorems on continuum theory

Atiyah-Singer index theorem

$$\text{Index}(D) = -\frac{1}{2}\eta(\gamma_5(D - M)) \quad \leftarrow \quad \text{Fukaya's talk}$$

Atiyah-Patodi-Singer index theorem

$$\text{Index}(D) = -\frac{1}{2}\eta(\gamma_5(D - M\epsilon(x_4)))$$

How about on a lattice ?

AS index is related to chiral symmetry.

However we break the chiral symmetry on a lattice to avoid the fermion doubling problem.

(Nielsen-Ninomiya theorem)

Ginsparg-Wilson relation and Overlap Dirac operator

Chiral symmetry on a lattice

a : lattice spacing

$$\delta_\alpha \psi(x) = i\alpha \gamma_5 \left(1 - \frac{1}{2}aD\right) \psi(x) \quad \delta_\alpha \bar{\psi}(x) = i\alpha \bar{\psi}(x) \gamma_5 \left(1 - \frac{1}{2}aD\right)$$

Ginsparg-Wilson relation [Ginsparg-Wilson 1982]

$$D\gamma_5 + \gamma_5 D = aD\gamma_5 D$$

Overlap Dirac operator [Neuberger 1998]

$$D_{ov} = \frac{1}{a} \left(1 + \gamma_5 \frac{H_W}{\sqrt{H_W^2}} \right) \quad H_W = \gamma_5 (D_W - M)$$

D_W : Wilson Dirac operator

AS index theorem on a lattice

[Hasenfratz 1998]

AS index theorem on lattice

[Neuberguer 1998]

Overlap Dirac operator

$$Ind(D_{ov}) = \text{Tr} \gamma_5 \left(1 - \frac{a D_{ov}}{2} \right) \quad D_{ov} = \frac{1}{a} \left(1 + \gamma_5 \frac{H_W}{\sqrt{H_W^2}} \right)$$

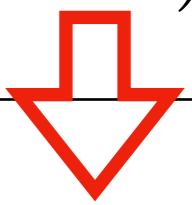
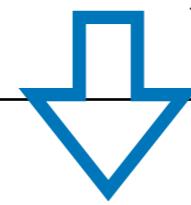
$$= -\frac{1}{2} \text{Tr} \frac{H_W}{\sqrt{H_W^2}} = -\frac{1}{2} \eta(\gamma_5(D_W - M))$$

AS index can be written by eta-invariant of
massive Wilson-Dirac operator.

Chiral symmetry is NOT important.

Index theorems on continuum and on lattice

	continuum	lattice
AS	$-\frac{1}{2}\eta (\gamma_5(D^{4D} - M))$	$-\frac{1}{2}\eta (\gamma_5(D_W - M))$
APS	$-\frac{1}{2}\eta (\gamma_5(D^{4D} - M\epsilon(x_4)))$	$-\frac{1}{2}\eta (\gamma_5(D_W - M\epsilon(x_4)))$



Eta-invariant is always an integer on 4-dim lattice

Eta-invariant

$$\eta(H) = \sum_i \text{sgn} \lambda_i = \text{Tr} \frac{H}{\sqrt{H^2}} \quad H\phi_i = \lambda_i \phi_i$$

$-\frac{1}{2}\eta(H_{\text{DW}})$ is **always** an integer.

Its variation is always zero.

$$H_{\text{DW}} = \gamma_5 \left(D_{\text{W}} - M\epsilon \left(x_4 - \frac{a}{2} \right) \right)$$

$$\begin{aligned} \delta(\eta(H_{\text{DW}})) &= \text{Tr} \left[\delta H_{\text{DW}} (H_{\text{DW}}^2)^{-1/2} - \frac{1}{2} H_{\text{DW}} (H_{\text{DW}}^2)^{-3/2} (\delta H_{\text{DW}} H_{\text{DW}} + H_{\text{DW}} \delta H_{\text{DW}}) \right] \\ &= 0 \end{aligned}$$

Our goal

Our goal is to show

$$\begin{aligned} \mathcal{J} &= -\frac{1}{2}\eta(H_{\text{DW}}) & H_{\text{DW}} &= \gamma_5 \left(D_{\textcolor{red}{W}} - M\epsilon \left(x_4 - \frac{a}{2} \right) \right) \\ &= \frac{1}{32\pi^2} \int_{x_4>0} d^4x \epsilon^{\mu\nu\rho\sigma} \text{tr}_c F_{\mu\nu} F_{\rho\sigma} - \frac{\eta(iD^{3\text{D}})}{2} + \mathcal{O}(a) & \text{in continuum limit} \end{aligned}$$

Contents

- 1. Introduction
- 2. How to evaluate eta invariant
- 3. Evaluation step 1 : Find a good complete set
- 4. Evaluation step 2 : Perturbative calculation
- 5. Summary

How to evaluate eta-invariant

$$\eta(H_{\text{DW}}) = \text{Tr} \frac{H_{\text{DW}}}{\sqrt{H_{\text{DW}}^2}} = a^4 \sum_{x,n} \Phi_n^\dagger(x) \frac{H_{\text{DW}}}{\sqrt{H_{\text{DW}}^2}} \Phi_n(x)$$

Insert complete set

Step1: find a good complete set

Step2: perturbative calculation

How to evaluate eta-invariant

$$\eta(H_{\text{DW}}) = \text{Tr} \frac{H_{\text{DW}}}{\sqrt{H_{\text{DW}}^2}} = a^4 \sum_{x,n} \Phi_n^\dagger(x) \frac{H_{\text{DW}}}{\sqrt{H_{\text{DW}}^2}} \Phi_n(x)$$

Insert complete set

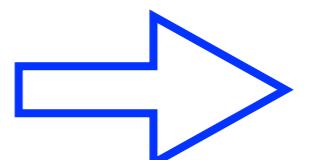
Step1: find the complete set

x_1, x_2, x_3 -direction have no kink structure

Plane wave

x_4 -direction has kink structure

Plane wave and Edge localized mode


$$\Phi_n(x) = \phi_{\text{plane}}^{\text{3D}}(\vec{x}) \otimes \phi_\pm(x_4)$$

How to evaluate eta-invariant

$$\eta(H_{\text{DW}}) = \text{Tr} \frac{H_{\text{DW}}}{\sqrt{H_{\text{DW}}^2}} = a^4 \sum_{x,n} \Phi_n^\dagger(x) \frac{H_{\text{DW}}}{\sqrt{H_{\text{DW}}^2}} \Phi_n(x)$$

Step2: perturbative calculation

$$H_{\text{DW}}^2 = H_{\text{DW}0}^2 (\text{free part}) + (\text{including } A_\mu)$$

Expand the denominator in gauge field

We choose $\Phi_n(x)$ as eigenfunction of the free part.

$$a^2 H_{\text{DW}0}^2 \Phi_n(x) = \lambda^2 \Phi_n(x)$$

Set up

Domain-wall Dirac operator

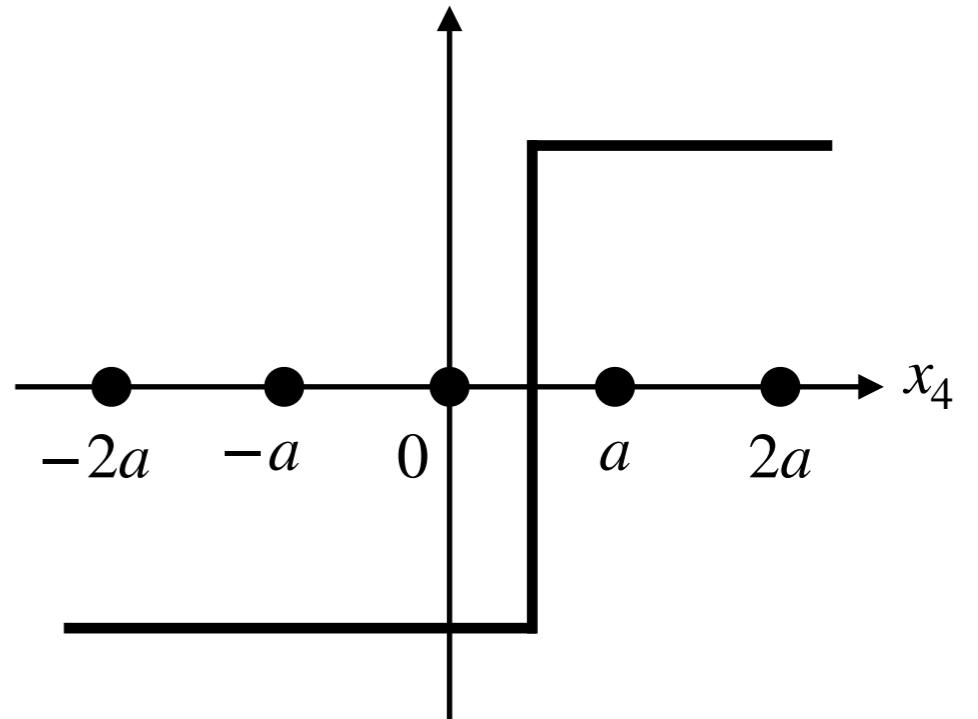
$$H_{\text{DW0}} = \gamma_5 \left(\gamma_\mu \partial_\mu + R - M \epsilon \left(x_4 - \frac{a}{2} \right) \right)$$

Kink on Link

Wilson term

$$R = -\frac{ar}{2} \nabla_\mu^* \nabla_\mu$$

We set Wilson parameter = 1



Domain-wall mass is asymmetric due to Wilson term.

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Free domain-wall eigenfunction set

We need to solve eigenvalue problem of the free domain-wall Dirac operator .

$$a^2 H_{\text{DW}0}^2 \Phi_n(x) = \lambda^2 \Phi_n(x)$$

Assuming the plane wave form in the x_1, x_2, x_3 -directions.

$$\Phi_n(x) = \phi_{\text{plane}}^{\text{3D}}(\vec{x}) \otimes \phi_{\pm}(x_4)$$

Denoting $\sin(p_i a) = s_i$ $\cos(p_i a) = c_i$

$$\begin{aligned} & a^2 H_{\text{DW}0}^2 \phi_{\pm}(x_4) = \lambda^2 \phi_{\pm}(x_4) \\ &= s_i^2 + M_{\pm}^2 - a^2 [1 + M_{\pm}] \nabla_4^* \nabla_4 + 2Ma \left[P_+ \delta_{x_4, 0} \hat{S}_+ - P_- \delta_{x_4, a} \hat{S}_- \right] \end{aligned}$$

$$M_{\pm} = \sum_i (1 - c_i) \mp Ma \quad P_{\pm} = \frac{1}{2} (1 \pm \gamma_4) \quad \hat{S}_{\pm} f(x) = f(x \pm a)$$

Complete set

Solve this difference equation

$$\begin{aligned}[s_i^2 + M_\epsilon] \phi_{\pm}(x_4) - [1 + M_\epsilon] [\phi_{\pm}(x_4 + a) + \phi_{\pm}(x_4 - a) - 2\phi_{\pm}(x_4)] \\ + 2Ma [P_+ \delta_{x_4,0} \phi_{\pm}(x_4 + a) - P_- \delta_{x_4,a} \phi_{\pm}(x_4 - a)] = \lambda^2 \phi_{\pm}(x_4)\end{aligned}$$

Complete set

Solve this difference equation

$$[s_i^2 + M_\epsilon] \phi_{\pm}(x_4) - [1 + M_\epsilon] [\phi_{\pm}(x_4 + a) + \phi_{\pm}(x_4 - a) - 2\phi_{\pm}(x_4)] \\ + 2Ma [P_+ \delta_{x_4,0} \phi_{\pm}(x_4 + a) - P_- \delta_{x_4,0} \phi_{\pm}(x_4 - a)] = \lambda^2 \phi_{\pm}(x_4)$$

(1) Edge localized mode

$$\gamma_4 u_{\pm} = \pm u_{\pm}$$

$$\phi_-^{\text{edge}}(x_4) = \begin{cases} Au_- e^{-\kappa_+(x_4 - a)} & (x_4 \geq a), \\ Au_- e^{\kappa_-(x_4 - a)} & (x_4 \leq 0), \end{cases}$$

$$A = \sqrt{\frac{M_+ M_- (2 + M_+) (2 + M_-)}{a(M_+ - M_-)(M_+ + M_- + 2)}}$$

Normalizable only if

$$|1 + M_+| < 1$$

$$e^{-\kappa_+ a} = 1 + M_+ \quad e^{\kappa_- a} = 1 + M_- \quad (0 < Ma < 2 \text{ in the continuum limit})$$

Complete set

Solve this difference equation

$$[s_i^2 + M_\epsilon] \phi_{\pm}(x_4) - [1 + M_\epsilon] [\phi_{\pm}(x_4 + a) + \phi_{\pm}(x_4 - a) - 2\phi_{\pm}(x_4)] + 2Ma [P_+ \delta_{x_4,0} \phi_{\pm}(x_4 + a) - P_- \delta_{x_4,0} \phi_{\pm}(x_4 - a)] = \lambda^2 \phi_{\pm}(x_4)$$

(2) Bulk mode 1 : Plane wave on both side of the kink

$$\phi_{1,\pm}^{\text{bulk}}(x_4) = \begin{cases} u_{\pm} T_1^{\pm} e^{i\omega_{\pm} x_4} & (x_4 \geq a) \\ u_{\pm} [e^{-i\omega_{\pm} x_4} + R_1^{\pm} e^{-i\omega_{\pm} x_4}] & (x_4 \leq 0) \end{cases}$$

$$\phi_{2,\pm}^{\text{bulk}}(x_4) = \begin{cases} u_{\pm} [e^{-i\omega_{\pm} x_4} + R_2^{\pm} e^{i\omega_{\pm} x_4}] & (x_4 \geq a) \\ u_{\pm} T_2^{\pm} e^{-i\omega_{\pm} x_4} & (x_4 \leq 0) \end{cases}$$

orthogonal

$$R_1^{\pm} = -\frac{(1 + M_{\pm}) - (1 + M_{\mp}) e^{-i(\omega_{+} - \omega_{-})a}}{(1 + M_{\pm}) - (1 + M_{\mp}) e^{-i(\omega_{+} + \omega_{-})a}}$$

$$R_2^{\pm} = -\frac{(1 + M_{\mp}) - (1 + M_{\pm}) e^{-i(\omega_{+} - \omega_{-})a}}{(1 + M_{\mp}) - (1 + M_{\pm}) e^{-i(\omega_{+} + \omega_{-})a}}$$

$$T_1^{\pm} = \frac{(1 + M_{-}) e^{-i\omega_{+}a} 2i \sin(\omega_{-}a)}{(1 + M_{\pm}) - (1 + M_{\mp}) e^{-i(\omega_{+} + \omega_{-})a}}$$

$$T_2^{\pm} = \frac{-(1 + M_{+}) e^{-i\omega_{-}a} 2i \sin(\omega_{+}a)}{(1 + M_{\mp}) - (1 + M_{\pm}) e^{-i(\omega_{+} + \omega_{-})a}}$$

Complete set

Solve this difference equation

$$\begin{aligned} [s_i^2 + M_\epsilon] \phi_{\pm}(x_4) - [1 + M_\epsilon] [\phi_{\pm}(x_4 + a) + \phi_{\pm}(x_4 - a) - 2\phi_{\pm}(x_4)] \\ + 2Ma [P_+ \delta_{x_4,0} \phi_{\pm}(x_4 + a) - P_- \delta_{x_4,0} \phi_{\pm}(x_4 - a)] = \lambda^2 \phi_{\pm}(x_4) \end{aligned}$$

(3) Bulk mode 2 : Plane wave on one side of the kink
and localized mode on the other side

$$\phi_{\pm}^{\text{bulk}}(x_4) = \begin{cases} \frac{u_{\pm}}{\sqrt{2\pi}|A_{\pm}|} [A_{\pm} e^{i\omega x_4} - A_{\pm}^* e^{-i\omega x_4}] & (x_4 \geq a) \\ \frac{u_{\pm}}{\sqrt{2\pi}|A_{\pm}|} B_{\pm} e^{kx_4} & (x_4 \leq 0) \end{cases}$$

$$A_{\pm} = \frac{1 + M_{\mp}}{1 + M_{\pm}} e^{\kappa a} - e^{-i\omega a} \quad B_+ = e^{i\omega a} - e^{-i\omega a}$$

$$B_- = \frac{1 + M_+}{1 + M_-} [e^{i\omega a} - e^{-i\omega a}]$$

Shamir-type domain-wall

Introduce an additional mass M_2

[Shamir 1993]

[Furman-Shamir 1995]

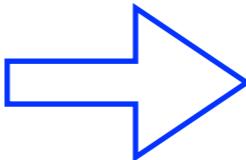
$$H_{\text{DW0}} = \gamma_5 \left[\gamma_\mu \partial_\mu + R - M_1 \epsilon \left(x_4 - \frac{a}{2} \right) + M_2 \right]$$

$$a^2 (H_{\text{DW0}})^2$$

$$= s_i^2 + M_\pm^2 - a^2 [1 + M_\pm] \nabla_4^* \nabla_4 + 2M_1 a \left[P_+ \delta_{x_4,0} \hat{S}_+ - P_- \delta_{x_4,a} \hat{S}_- \right]$$

$M_1 + M_2 = \infty$ while $M_1 - M_2 = M$ is fixed

$$\begin{aligned} M_+ &= \sum (1 - c_i) - M_1 a + M_2 a \\ M_- &= \sum (1 - c_i) + M_1 a + M_2 a \end{aligned}$$



$$\begin{aligned} M_+ &= \sum (1 - c_i) - Ma \\ M_- &= \infty \end{aligned}$$

The index is independent of M_2 .

[Fukaya-Onogi-Yamaguchi 2017]

Shamir-type domain-wall

Complete set is reduced to

(1) Edge localized mode

$$\gamma_4 u_{\pm} = \pm u_{\pm}$$

$$\phi_-^{\text{edge}}(x_4) = \sqrt{-\frac{1}{a} M_+ (2 + M_+)} u_- e^{-\kappa_+(x_4 - a)}$$

(2) Bulk mode

$$\phi_+^{\text{bulk}}(x_4) = \frac{1}{\sqrt{2\pi}} u_+ [e^{i\omega x_4} - e^{-i\omega x_4}]$$

$$\phi_-^{\text{bulk}}(x_4) = \frac{1}{\sqrt{2\pi}} u_- [C_{\omega} e^{i\omega x_4} - C_{\omega}^* e^{-i\omega x_4}]$$

$$C_{\omega} = -\frac{(1 + M_+) - e^{-i\omega a}}{|(1 + M_+) - e^{-i\omega a}|}$$

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Calculation of eta-invariant

$$\mathcal{J} = -\frac{1}{2}\eta(H_{\text{DW}}) = -\frac{1}{2}\text{Tr}H_{\text{DW}} \frac{1}{\sqrt{H_{\text{DW}}^2}}$$

Bulk and edge decomposition

$$= -\frac{1}{2}a^4 \sum_{\vec{x}, x_4 \geq a} \int_{\frac{\pi}{a}}^{\frac{\pi}{a}} d^3 p \int_0^{\frac{\pi}{a}} d\omega \sum_{\eta=\pm} \text{tr}_c \Phi_{\eta}^{\text{bulk}\dagger}(x) \left[\frac{H_{\text{DW}}}{\sqrt{H_{\text{DW}}^2}} \right] \Phi_{\eta}^{\text{bulk}}(x)$$

bulk part

$$\Phi_{\eta}^{\text{bulk}}(x) = \phi_{\eta}^{\text{bulk}}(x_4) \otimes \phi_p^{\text{3D}}(\vec{x})$$

$$-\frac{1}{2}a^4 \sum_{\vec{x}, x_4 \geq a} \int_{\frac{\pi}{a}}^{\frac{\pi}{a}} d^3 p \text{tr}_c \Phi_{-}^{\text{edge}\dagger}(x) \left[\frac{H_{\text{DW}}}{\sqrt{H_{\text{DW}}^2}} \right] \Phi_{-}^{\text{edge}}(x)$$

edge part

$$\Phi_{-}^{\text{edge}}(x) = \phi_{-}^{\text{edge}}(x_4) \otimes \phi_p^{\text{3D}}(\vec{x})$$

Calculation of eta-invariant (1) : bulk part

$$-\frac{1}{2}a^4 \sum_{\vec{x}, x_4 \geq a} \int_{\frac{\pi}{a}}^{\frac{\pi}{a}} d^3 p \int_0^{\frac{\pi}{a}} d\omega \sum_{\eta=\pm} \text{tr}_c \Phi_\eta^{\text{bulk}\dagger}(x) \begin{bmatrix} H_{\text{DW}} \\ \sqrt{H_{\text{DW}}^2} \end{bmatrix} \Phi_\eta^{\text{bulk}}(x)$$

$$H_{\text{DW}}^2 = H_{\text{DW}0}^2 + \Delta H_{\text{DW}}^2 \quad \Delta H_{\text{DW}}^2 \ni [\gamma^\mu, \gamma^\nu] [D_\mu, D_\nu] , \quad [\gamma^\mu D_\mu, R]$$

$$\begin{aligned} [H_{\text{DW}}^2]^{-\frac{1}{2}} &= [H_{\text{DW}0}^2 + \Delta H_{\text{DW}}^2]^{-\frac{1}{2}} \\ &= \frac{1}{(H_{\text{DW}0})^{\frac{1}{2}}} - \frac{1}{2} \Delta H_{\text{DW}}^2 \frac{1}{(H_{\text{DW}0}^2)^{\frac{3}{2}}} + \frac{3}{8} (\Delta H_{\text{DW}}^2)^2 \frac{1}{(H_{\text{DW}0}^2)^{\frac{5}{2}}} + \dots \\ &\underline{\hspace{10em}} \\ &= 0 \text{ due to the spinor structure} \end{aligned}$$

Calculation of eta-invariant (1) : bulk part

$$\begin{aligned}
& -\frac{3}{16}a^4 \sum_{\vec{x}, x_4 \geq a} \int_{\frac{\pi}{a}}^{\frac{\pi}{a}} d^3 p \int_0^{\frac{\pi}{a}} d\omega \sum_{\eta=\pm} \text{tr}_c \Phi_\eta^{\text{bulk}\dagger}(x) \left[H_{\text{DW}} (\Delta H_{\text{DW}})^2 \frac{1}{(H_{\text{DW}0})^{\frac{5}{2}}} \right] \Phi_\eta^{\text{bulk}}(x) \\
& = \frac{1}{32\pi^2} a^4 \sum_{\vec{x}, x_4 > a} I(M) \epsilon^{\mu\nu\rho\sigma} \text{tr}_c F_{\mu\nu} F_{\rho\sigma} + \mathcal{O}(a)
\end{aligned}$$

It is the same expression as [Suzuki 1999] which derives AS index on lattice by using perturbative expansion.

$$I(Ma) \rightarrow \theta(Ma) - 4\theta(Ma - 2) + 6\theta(Ma - 4) - 4\theta(Ma - 6) + \theta(Ma - 8)$$

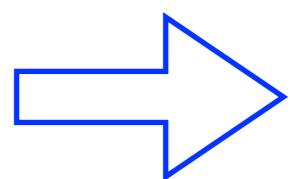
We choose $0 < Ma < 2$ in continuum limit.

[Kikukawa-Yamada 1998]

[Luscher 1998]

[Fujikawa 1998]

[Adams 1998]



$$\frac{1}{32\pi^2} a^4 \sum_{\vec{x}, x_4 \geq a} \epsilon^{\mu\nu\rho\sigma} \text{tr}_c F_{\mu\nu} F_{\rho\sigma} + \mathcal{O}(a)$$

Calculation of eta-invariant (2) : edge part

Domain-wall Dirac operator in $A_4 = 0$ gauge.

$$H_{\text{DW}} = \gamma_5 [-aP_- \nabla_4 + aP_+ \nabla_4^* + \underline{\gamma_i D_i(U_i(x))} + \underline{M_+(U_i(x))}]$$

Expanding in $\|U^\dagger \partial_{x_4} U\|/M$ (adiabatic expansion)

$\phi_-^{\text{edge}}(x_4)$ satisfies

$$-aP_- \nabla_4 \phi_-^{\text{edge}}(x_4) = -M_+ \phi_-^{\text{edge}}(x_4)$$

∇_4 : forward derivative

∇_4^* : backward derivative

Then

$$\begin{aligned} H_{\text{DW}} \phi_-^{\text{edge}}(x_4) &= \gamma_5 \gamma_i D_i \phi_-^{\text{edge}}(x_4) \\ &= \begin{pmatrix} -i\sigma_i D_i & \\ & i\sigma_i D_i \end{pmatrix} \phi_-^{\text{edge}}(x_4) \\ &\longrightarrow \begin{pmatrix} 0 & 0 \\ 0 & i\sigma_i D_i \end{pmatrix} \phi_-^{\text{edge}}(x_4) \end{aligned}$$

Calculation of eta-invariant (2) : edge part

$$-\frac{1}{2}a^4 \sum_{\vec{x}, x_4 \geq a} \int_{\frac{\pi}{a}}^{\frac{\pi}{a}} d^3 p \text{tr}_c \Phi_-^{\text{edge}\dagger}(x) \left[\frac{H_{\text{DW}}}{\sqrt{H_{\text{DW}}^2}} \right] \Phi_-^{\text{edge}}(x)$$

$$= -\frac{1}{2}a^4 \sum_{\vec{x}, x \geq a} \int_{-\frac{\pi}{a}}^{\frac{\pi}{a}} d^3 p \text{tr}_c \phi_p^{\text{3D}\dagger}(\vec{x}) \left[\frac{iD^{\text{3D}}}{\sqrt{(iD^{\text{3D}})^2}} \right] \phi_p^{\text{3D}}(\vec{x}) \phi_-^{\text{edge}}(x_4) \phi_-^{\text{edge}\dagger}(x_4)$$

$$D^{\text{3D}}(x) = \sigma_i D_i(x)$$

$$-M_+(2 + M_+) e^{-2\kappa_+(x_4 - a)}$$

In continuum and low-energy limit.

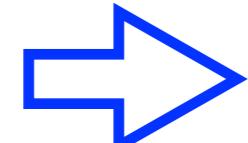
$$\longrightarrow \delta_{x_4, a}$$

$$= -\frac{1}{2} \text{Tr}_{\text{3D}} \frac{iD^{\text{3D}}}{\sqrt{(iD^{\text{3D}})^2}}|_{x_4=a} + \mathcal{O}(a) = -\frac{1}{2} \eta(iD^{\text{3D}})|_{x_4=a} + \mathcal{O}(a)$$

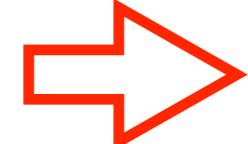
Calculation of eta-invariant : Result

$$\mathcal{J} = -\frac{1}{2}\eta(H_{\text{DW}}) = -\frac{1}{2}\text{Tr}H_{\text{DW}} \frac{1}{\sqrt{H_{\text{DW}}^2}}$$

$$= -\frac{1}{2}a^4 \sum_{\vec{x}, x_4 \geq a} \int_{\frac{\pi}{a}}^{\frac{\pi}{a}} d^3p \int_0^{\frac{\pi}{a}} d\omega \sum_{\eta=\pm} \text{tr}_c \Phi_\eta^{\text{bulk}\dagger}(x) \left[\frac{H_{\text{DW}}}{\sqrt{H_{\text{DW}}^2}} \right] \Phi_\eta^{\text{bulk}}(x)$$

 $\frac{1}{32\pi^2}a^4 \sum_{\vec{x}, x_4 \geq a} \epsilon^{\mu\nu\rho\sigma} \text{tr}_c F_{\mu\nu} F_{\rho\sigma} + \mathcal{O}(a)$

$$-\frac{1}{2}a^4 \sum_{\vec{x}, x_4 \geq a} \int_{\frac{\pi}{a}}^{\frac{\pi}{a}} d^3p \text{ptr}_c \Phi_-^{\text{edge}\dagger}(x) \left[\frac{H_{\text{DW}}}{\sqrt{H_{\text{DW}}^2}} \right] \Phi_-^{\text{edge}}(x)$$

 $-\frac{1}{2}\eta(iD^{\text{3D}}) + \mathcal{O}(a)$

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Summary

- The eta invariant of massive Dirac operator gives a unified view of index theorems.

	continuum	lattice
AS	$-\frac{1}{2}\eta(\gamma_5(D^{4D} - M))$	$-\frac{1}{2}\eta(\gamma_5(D_W - M))$
APS	$-\frac{1}{2}\eta(\gamma_5(D^{4D} - M\epsilon(x_4)))$	$-\frac{1}{2}\eta(\gamma_5(D_W - M\epsilon(x_4)))$

- We confirmed that the eta-invariant of Wilson-Dirac operator with domain-wall mass converges to the APS index in the continuum limit.

$$\mathcal{J} = -\frac{1}{2}\eta(H_{DW}) = \frac{1}{32\pi^2} \int_{x_4>0} d^4x \epsilon^{\mu\nu\rho\sigma} \text{tr}_c F_{\mu\nu} F_{\rho\sigma} - \frac{\eta(iD^{3D})}{2} + \mathcal{O}(a)$$

Outlook

- Our computation was approximately done in $x_4 \geq 0$ semi-infinite spacetime.
 - x_4 should be compactified with anti-domain-wall.
- Generalization to any even dimensional lattices.
- Application to odd dimensions.
- Discussion of locality and smoothness(admissibility condition).