

Nuclear states and spectra in holographic QCD

Yoshinori Matsuo
Osaka University

Based on

arXiv:1807.11352

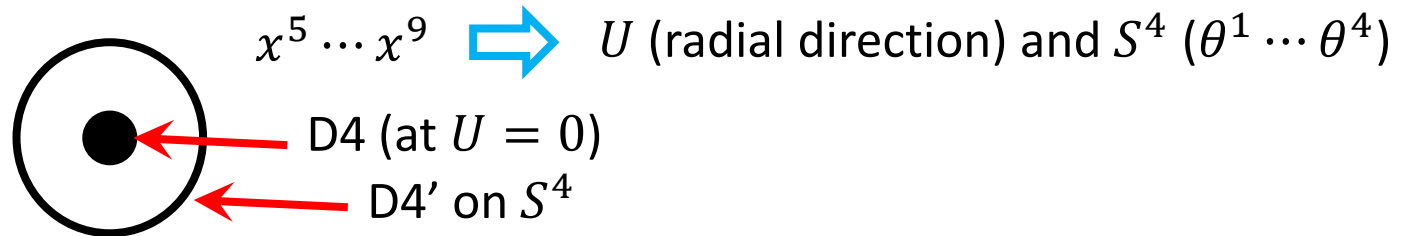
with Koji Hashimoto (Osaka U.),
Takeshi Morita (Shizuoka U.)

Aug 19, 2019@Strings and Fields 2019

Introduction

Baryons in Sakai-Sugimoto model

⇒ D-branes (D4') wrapping color D-branes (D4)



	x^0	x^1	x^2	x^3	x^4	U	θ^1	θ^2	θ^3	θ^4
D4	✓	✓	✓	✓	✓					
D8	✓	✓	✓	✓		✓	✓	✓	✓	✓
D4'	✓						✓	✓	✓	✓

D4 ⇒ Background geometry (holography)

S^4 ⇒ Integrate out

Effective theory on D8 ⇒ Effective theory of mesons

D4' in D8 effective theory ⇒ Instanton on D8 ⇒ Skyrmion

Effective fields on D4' ⇒ ADHM data of instantons

Introduction

Baryons in holographic QCD

solitonic D4-brane geometry

$$ds^2 = \left(\frac{U}{R}\right)^{\frac{3}{2}} (-dt^2 + dx^2 + f(U)dx_4^2) + \left(\frac{R}{U}\right)^{\frac{3}{2}} \left(\frac{dU^2}{f(U)} + U^2 d\Omega_4^2\right)$$

Anti-periodic b.c. for x^4



A similar factor to BH $f(U)$



Geometry ends at some U

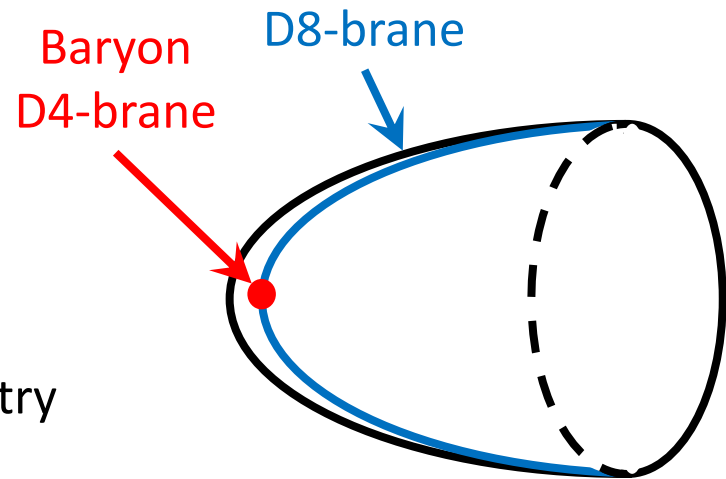
Baryon is located near the tip of geomtry

Nuclear matrix model

Matrix model of Baryon vertex (D4-brane)

with bosonic field of D4-D8 open string

near the tip of solitonic (color) D4-brane background



Nuclear matrix model

Action for A baryons

[Hashimoto-Iizuka-Yi,'10]

$$S = S_0 + N_c \int dt \operatorname{tr} A_t$$

$$S_0 = \int dt \operatorname{tr} \left[\frac{1}{2} (D_t X^I)^2 + \frac{1}{2} (D_t \bar{w}^{\dot{\alpha}i})(D_t w_{\dot{\alpha}i}) - \frac{1}{2} M^2 \bar{w}^{\dot{\alpha}i} w_{\dot{\alpha}i} \right. \\ \left. + \frac{1}{4\lambda} (D^I)^2 + D^I \left(2i\epsilon^{IJK} X^J X^K + \bar{w}^{\dot{\alpha}i} (\tau^I)_{\dot{\alpha}}^{\dot{\beta}} w_{\dot{\beta}i} \right) \right]$$

$D_t = \partial_t - iA_t$: covariant derivative

X^I : D4-D4 scalar  $A \times A$ matrix

Diagonal comp.: position of D-brane (= baryon)

Off-diagonal: interaction between D-branes

w (\bar{w}): D4-D8 scalar

carries charges of quarks (spin, flavor, baryon number)

A_t : gauge field (baryon $SU(A)$)

Non-dynamical field \Rightarrow EOM gives constraints

$$0 = \frac{\delta S}{\delta A_t} = \frac{\delta S_0}{\delta A_t} - N_c \mathbb{I} = Q_{U(A)} - N_c \mathbb{I}$$

Eigenstates of Hamiltonian must be

$$Q_{SU(A)} = 0 \quad \Rightarrow \quad \text{Singlet in baryon } SU(A) \text{ symmetry}$$

$$Q_{U(1)_B} = N_c A \quad \Rightarrow \quad \text{Baryon (quark) number must be } N_c A$$

Perturbation around harmonic potential

V : perturbation

$$H = H_0 + V$$

$$H_0 = \frac{1}{2} \text{tr}(\Pi^I)^2 + \frac{1}{2} m^2 \text{tr}(X^I)^2 + \frac{1}{2} \bar{\pi}_{\dot{\alpha}i}^a \pi_a^{\dot{\alpha}i} + \frac{1}{2} M^2 \bar{w}_a^{\dot{\alpha}i} w_{\dot{\alpha}i}^a$$

$$V = -\frac{1}{2} m^2 (X^I)^2 - 2\lambda [X^I, X^J]^2$$

$$-4i\lambda \epsilon^{IJK} X_A^J X_B^K f^{AB}{}_C \bar{w}_a^{\dot{\alpha}i} (\tau^I)_{\dot{\alpha}}{}^{\beta} (t^C)^a{}_b w_{\dot{\beta}i}^b + \lambda \left(\bar{w}^{\dot{\alpha}i} (\tau^I)_{\dot{\alpha}}{}^{\beta} w_{\dot{\beta}i} \right)^2$$

Ground state and constraint

0-th order Hamiltonian H_0 \Rightarrow Harmonic oscillators of X^I , w and \bar{w} .

Constraint 1: baryon $U(1)$ charge must be $N_c A$

Baryon $U(1)$ charges $X^I: 0$ $w: 1$ $\bar{w}: -1$

Constraint for excitation of harmonic oscillators

$$(\text{Number of } w) - (\text{Number of } \bar{w}) = N_c A$$

Lowest energy state \Rightarrow Smallest number of excitations

$$(\text{Number of } w) = N_c A \quad (\text{Number of } \bar{w}) = 0$$

Constraint 2: physical state must be singlet of baryon $SU(A)$

Physical ground state for $A \leq 2N_f$

$$|\psi_0\rangle = \underbrace{\left(\epsilon_{a_1 \dots a_A} w_{\dot{\alpha}_1 i_1}^{a_1} \dots w_{\dot{\alpha}_A i_A}^{a_A} \right) \times \dots \times \left(\epsilon_{b_1 \dots b_A} w^{b_1} \dots w^{b_A} \right)}_{N_c \text{ of } \left(\epsilon_{a_1 \dots a_A} w^{a_1} \dots w^{a_A} \right)} |0\rangle$$

$|0\rangle$: ground state of harmonic oscillators

w^a : raising operator of oscillator

Physical ground state for $A \leq 2N_f$

$$|\psi_0\rangle = \underbrace{\left(\epsilon_{a_1 \dots a_A} w_{\dot{\alpha}_1 i_1}^{a_1} \dots w_{\dot{\alpha}_A i_A}^{a_A} \right)}_{N_C \text{ of } \left(\epsilon_{a_1 \dots a_A} w^{a_1} \dots w^{a_A} \right)} \times \dots \times \left(\epsilon_{b_1 \dots b_A} w^{b_1} \dots w^{b_A} \right) |0\rangle$$

Only $2 \times N_f$ of different $w_{\dot{\alpha}i}$: 2 different spins, N_f different flavors

⇒ $A (> 2N_f)$ of w^a cannot form antisymmetric combination

construct different operator by using X^I :

$$(X^I w)^a = (X^I)^a_b w^b, \quad (X^I X^J w)^a, \quad (X^I X^J X^K w)^a, \quad \dots$$

Physical ground state for $A > 2N_f$

$$|\psi_0\rangle = \left[\epsilon_{a_1 \dots a_A} w^{a_1} \dots w^{a_{2N_f}} (X^I w) \dots (X^J w) \dots (X^K \dots X^L w)^{a_A} \right] \\ \times \dots \times \left[\epsilon_{b_1 \dots b_A} w^{b_1} \dots w^{b_{2N_f}} (X w) \dots (X \dots X w)^{b_A} \right] |0\rangle$$

$$\underbrace{\hspace{15em}}_{N_C \text{ of } \left[\epsilon_{a_1 \dots a_A} w^{a_1} \dots (X^I \dots X^J) w^{a_A} \right]}$$

Magic numbers

Nuclei are **stable** (small energy) for some **specific numbers** of proton (neutron)

Magic number for u (or d) quarks ($N_c = 1$ case for simplicity)

$$w = u^{\dot{\alpha}} \quad \dot{\alpha} = 1, 2 \quad (i = 1) \quad \Rightarrow \quad 2 \text{ of } u \text{ (spin } \uparrow \text{ and } \downarrow)$$

$$A = 1 \quad |\psi_0\rangle = \epsilon u_{\uparrow} |0\rangle$$

Additional energy of X^I

Magic number \rightarrow $A = 2$

$$|\psi_0\rangle = \epsilon u_{\uparrow} u_{\downarrow} |0\rangle$$

$$A = 3 \quad |\psi_0\rangle = \epsilon u_{\uparrow} u_{\downarrow} u_{\uparrow} |0\rangle = 0 \quad \Rightarrow$$

$$|\psi_0\rangle = \epsilon u u (X u) |0\rangle$$

$$A = 4 \quad |\psi_0\rangle = \epsilon u u (u X) (u X) |0\rangle$$

\vdots

\vdots

Magic number \rightarrow $A = 8$

$$|\psi_0\rangle = \epsilon u u \underbrace{(X u) \cdots (X u)}_{6 \text{ of } X u} |0\rangle$$

6 of Xu

$$\begin{array}{c} X^I \quad I = 1, 2, 3 \\ \Downarrow \\ 2 \times 3 = 6 \text{ of } X u \end{array}$$

$$A = 9 \quad |\psi_0\rangle = \epsilon u u \underbrace{(X u) \cdots (X u)}_{6 \text{ of } X u} (X u) |0\rangle = 0$$



6 of Xu

$$A = 9 \quad |\psi_0\rangle = \epsilon u u \underbrace{(X u) \cdots (X u)}_{6 \text{ of } X u} (X X u) |0\rangle$$

6 of Xu

Magic numbers

Magic number for either proton or neutron ($N_c = 3$)

$N_f = 2 \Rightarrow$ Both quarks and nucleons have isospin $I = 1/2$

Example: ($N_p = 8, N_n = 2$)

$$|\psi_0\rangle = \begin{array}{l} dd \, uu(Xu)(Xu)(Xu)(Xu)(Xu)(Xu) \\ \times dd \, uu(Xu)(Xu)(Xu)(Xu)(Xu)(Xu) \\ \times uu \, dd(Xd)(Xd)(Xd)(Xd)(Xd)(Xd) |0\rangle \end{array} \left. \vphantom{|\psi_0\rangle} \right\} \begin{array}{l} 3 \text{ sets of anti-sym.} \\ \text{combinations} \\ \epsilon W \cdots (XW) \end{array}$$

2 neutrons 8 protons

Proton and neutron configurations \Rightarrow Quark configurations

Example: $N_u = 6, N_d = 3$; same to 3 protons (3 p 's cannot be in ground state)

$$|\psi_0\rangle = (uu \, d)(uu \, d)(uu \, d) |0\rangle \quad \text{State without } X \text{ excitation is possible}$$

\Rightarrow corresponds to nucleus with Δ
will be heavier if 1st order perturbation V is taken into account

First order perturbation

Energy at first order = expectation value of H for $|\psi_0\rangle$

$$E = \langle \psi_0 | H | \psi_0 \rangle = E_0 + \langle \psi_0 | V | \psi_0 \rangle$$

Energy at 0-th order $\Rightarrow E_0 = N_c A M$

Linear order correction $\Rightarrow \langle V \rangle$ for $N_c A$ excitations of w

No X^I excitation for $A \leq 2N_f$ $\Rightarrow V = \lambda \left(\bar{w}^{\dot{\alpha}i} (\tau^I)_{\dot{\alpha}}^{\beta} w_{\dot{\beta}i} \right)^2$

No excitations of X^I or \bar{w} (only w excitations)

$$\langle V \rangle = \frac{4\lambda}{M^2} C_f + \frac{\lambda}{M^2} \frac{2A - N_f}{N_f A} N_w^2$$

C_f : quadratic Casimir of flavor $SU(N_f)$

N_w : Number of excitations of w

Smaller flavor charge \Rightarrow more stable

Allowed states ($N_f = 2$)

$w_{\dot{\alpha}i}$: raising operator of $w_{\dot{\alpha}i}$

$$A = 1 \text{ and } N_c = 3$$

$$|\psi_0\rangle = w_{\dot{\alpha}_1 i_1} w_{\dot{\alpha}_2 i_2} w_{\dot{\alpha}_3 i_3} |0\rangle$$

(No baryon index)

w are bosonic (= symmetric)  Spin J and isospin I are in same rep.

$$(J, I) = \left(\frac{1}{2}, \frac{1}{2}\right) \quad \text{or} \quad (J, I) = \left(\frac{3}{2}, \frac{3}{2}\right)$$

proton or neutron

Δ

Mass

$$E = 3M + \frac{4\lambda}{M^2} I(I + 1)$$

proton and neutron have smaller mass than Δ

Allowed states ($N_f = 2$)

$$A = 2 \text{ and } N_c = 1$$

$$|\psi_0\rangle = \epsilon_{a_1 a_2} w_{\dot{\alpha}_1 i_1}^{a_1} w_{\dot{\alpha}_2 i_2}^{a_2} |0\rangle$$

w are antisymmetric

$$\left\{ \begin{array}{c} \text{spin} \\ \text{isospin} \end{array} \right\} \text{ is } \left\{ \begin{array}{c} \text{symmetric} \\ \text{antisymmetric} \end{array} \right\} \text{ or } \left\{ \begin{array}{c} \text{antisymmetric} \\ \text{symmetric} \end{array} \right\}$$

$$(J, I) = (1, 0) \qquad (J, I) = (0, 1)$$

stable

$$A = 2 \text{ and } N_c = 3$$

Symmetric combination of 3 sets of $A = 2$ and $N_c = 1$

$$\begin{array}{ccc} (J, I) = (1, 0) & (J, I) = (3, 0) & (J, I) = (1, 2) \\ (J, I) = (0, 1) & (J, I) = (0, 3) & (J, I) = (2, 1) \end{array}$$

Most stable states

$$\begin{array}{cc} (J, I) = (1, 0) & (J, I) = (3, 0) \\ \text{Deuteron} & \text{Dibaryon } D_{03} \end{array}$$

Hyperon

$N_f = 3$ u, d, s quarks

s quark has larger mass \Rightarrow Put larger mass for $w_{(s)} = w_{i=3}$ by hand

$$\sum_{i=1}^3 M^2 \bar{w}_a^{\dot{\alpha}i} w_{\dot{\alpha}i}^a \quad \Rightarrow \quad \sum_{i=1}^2 M^2 \bar{w}_a^{\dot{\alpha}i} w_{\dot{\alpha}i}^a + M_S^2 (\bar{w}_{(s)})_{\dot{\alpha}}^a (w_{(s)})_{\dot{\alpha}}^a$$

Number of $w_s (= w_{i=3})$ in $|\psi_0\rangle$ \Rightarrow Number of s quarks in nucleus

Assumption: no $SU(3)$ breaking effect in $V = \lambda \left(\bar{w}_a^{\dot{\alpha}i} (\tau^I)_{\dot{\alpha}}^{\beta} w_{\beta i}^a \right)^2$

Effect of mass in raising and lowering operators

$$w = \frac{1}{\sqrt{M}} (a^\dagger + \bar{a})$$

a^\dagger : raising (creation) operator of w

\bar{a} : lowering (annihilation) operator of \bar{w}

Hyperon mass

A : Number of baryons

Y : Hypercharge

Mass formula

$$M_{\text{hyperon}} = \tilde{M}_{D4} + 4\tilde{\lambda}(1 - \delta)C_f - \left(M_S\delta - 2\tilde{\lambda}\delta(1 - \delta)\right)Y + 4\tilde{\lambda}\delta\left(I(I + 1) - \frac{1}{4}Y^2\right) + \tilde{\lambda}\delta^2Y^2$$

$$\tilde{M}_{D4}: \text{D-brane tension} \quad \tilde{\lambda} = \frac{\lambda}{M^2} \quad \delta = 1 - \frac{M}{M_S}$$

Global fit with hyperon mass

$$\tilde{M}_{D4} = 933 \text{ [MeV]} \quad M_S = 603 \text{ [MeV]} \quad \tilde{\lambda} = 24.9 \text{ [MeV]} \quad \delta = 0.339$$

	N	Λ	Σ	Ξ	Δ	Σ^*	Ξ^*	Ω
I	1/2	0	1	1/2	3/2	1	1/2	0
Y	1	0	0	-1	1	0	-1	-2
Exp.	939	1116	1193	1318	1232	1385	1533	1672
GMO	939	1117	1183	1328	1238	1383	1528	1673
Our	941	1115	1182	1327	1240	1380	1525	1676

GMO: Gell-Mann-Okubo formula

Our: Our mass formula

Dibaryon

2nd order corrections are partially calculated

Octet	N(939)	$\Lambda(1116)$	$\Sigma(1193)$	$\Xi(1318)$
GMO	939	1117	1183	1328
Our	975	1126	1237	1347

Decuplet	$\Delta(1232)$	$\Sigma^*(1385)$	$\Xi^*(1533)$	$\Omega(1672)$
GMO	1238	1383	1528	1673
Our	1311	1407	1516	1639

Dibaryon	$D(1876)$	$D_{03}(2370)$	H	$\Omega\Omega$
Our	1876	2285	2084	3007

Dibaryon?	D_{10}	D_{30}	$D_{12}(2160?)$	D_{21}
Our	1855	2157	2100	2058

Threshold	N + N	$\Delta + \Delta$	N + Δ	$\Lambda + \Lambda$	$\Omega + \Omega$
Experiment	1878	2464	2171	2232	3344
Our	1950	2622	2286	2252	3278

Baryon resonance

Internal excitations in baryons

$A = 1 \Rightarrow X^I \text{ is } U(1) \Rightarrow \text{No internal excitation from } X^I$

Only possible source of internal excitation is w

Constraint: (Number of w) - (Number of \bar{w}) = $N_c A$



$\bar{w}w$ pair excitation(s)

Wave function

$$|\psi_0\rangle = w_{\dot{\alpha}_1 i_1} w_{\dot{\alpha}_2 i_2} w_{\dot{\alpha}_3 i_3} w_{\dot{\alpha}_4 i_4} \bar{w}^{\dot{\alpha}_5 i_5} |0\rangle$$



$w_{\dot{\alpha}i}$: raising operator of $w_{\dot{\alpha}i}$



$\bar{w}^{\dot{\alpha}i}$: raising operator of $\bar{w}^{\dot{\alpha}i}$

Baryon resonance

Input $N(938)$, $\Delta(1232)$ and excited state of N at 1440 MeV

$N(I = 1/2)$	PDG	Our	$\Delta(I = 3/2)$	PDG	Our
$J = 1/2$	938(****)	(938)	$J = 1/2$	1750(*)	
	1440(****)	(1440)		1910(****)	1821
	1720(***)		$J = 3/2$	1232(****)	(1232)
	1880(***)	1859		1600(***)	1478
	2100(*)			1920(***)	2409
$J = 3/2$	1720(****)	1821	$J = 5/2$	1905(****)	
	1900(***)			2000(**)	2213
	2040(*)				

All parity +

$I = 5/2$	Our
$J = 3/2$	2213
$J = 5/2$	1723

Summary

Nuclear matrix model from D-branes in holographic QCD

X^I : position of baryons (nucleons)

$w^{\dot{a}i}$: carries charges of quarks (spin, flavor)

EOM of gauge field \Rightarrow constraints

U(1) constraint \Rightarrow appropriate quark number as nuclei

SU(A) constraint \Rightarrow anti-symmetric (fermionic) wave function

Interaction terms

$[X^I, X^J]^2$ \Rightarrow Effective trapping potential (?)

$\epsilon^{IJK} [X^I, X^J] \bar{w} \tau^K w$ \Rightarrow Spin-orbit interaction?

$(\bar{w} \tau^I w)^2$ \Rightarrow Flavor dependence of mass

Matrix model gives good results for small baryon number

We calculated mass of hyperons, dibaryons and baryon resonance

For larger baryons number, more studies on X^I are necessary

Thank you