Topological order in the color-flavor locked phase of (3+1)-dimensional U(N) gauge-Higgs system

Ryo Yokokura (KEK)

2019. 8. 19

Strings and Fields 2019 @ YITP

Based on Y. Hidaka, Y Hirono, M. Nitta, Y. Tanizaki, RY, 1903.06389

#### Overview of this talk



Color-flavor locked phase of a U(N) gauge theory with N-Higgs fields is

topologically ordered if the Higgs fields have non-trivial U(1) charge k.

- Non-Abelian vortex and Wilson loop have a Z<sub>Nk+1</sub> fractional linking phase.
- There are Z<sub>Nk+1</sub> 1- and 2-form symmetries, and both of them are spontaneously broken.



**2** Topological order in Abelian Higgs model

**3** Topological order in CFL phase of U(N) gauge-Higgs system

# Higgs phase of gauge theories

- Massive gauge fields
- Some Nambu-Goldstone (NG) bosons are eaten
- Admitting extended objects e.g. vortex
- Vortex in many contexts:
  - magnetic vortex in superconductor (SC),
  - (local) cosmic strings in cosmology

Higgs phases can be further classified by "topological order"

Topological order [Wen '89, '91]



Classification of phases by topology of non-local order parameters

- Order parameters: Wilson loop, vortex surface,...
- New classification e.g. SC  $\neq$  charge 1 Abelian Higgs

A characterization of the topologically ordered phase

- 1. Non-zero VEV of non-local order parameters
- 2. Fractional linking phases between non-local operators

Is topological ordered phase related to symmetry breaking?

#### p-form global symmetries & their breaking

[Banks & Seiberg '10; Kapustin & Seiberg '14; Gaiotto et al. 14]



Symmetry under transf. of *p*-dim. extended objects

• Charged objects: Wilson loop, vortex surface, ...

 $\rightarrow$  topological order parameters can be charged objects

- Example: phase rotation of a Wilson loop (1-form sym.)
- Symmetry breaking: non-zero VEV of charged objects

## Topological order & p-form symmetry

[Banks & Seiberg '10; Kapustin & Seiberg '14; Gaiotto et al. 14]

Topologically ordered phase can be characterized by

- 1. p-form symmetry and their breaking
- 2. Fractional linking phases between non-local operators

In this talk, we consider the possibility of topologicaly ordered phase in

3+1 dim. non-Abelian gauge theories

in order to understand phases of non-Abelian gauge theories.

# Topological order in Abelian Higgs model

Review based on

Hansson, et al. 04,; Banks & Seiberg '10;

Seiberg & Kapustin '14; Gaiotto, et al. '14

#### Message



Abelian Higgs models can be classified by topological order.

- Order parameters are non-local: Wilson loop & Vortex surface.
- Fractional linking phase of extended objects
- Symmetry breaking: 1- & 2-form symmetries
- E.g. (s-wave) superconductor  $\neq$  charge 1 Higgs model

In the following, we consider the low energy limit with no local excitations

BF-theory as a dual of Abelian Higgs model [Horowitz '89; Blau & Tompson '89]

Low energy  $(\ll v)$  limit of Abelian Higgs model is given by

Stückelberg action  $\stackrel{\text{dual}}{\longleftrightarrow} BF$ -action

$$\frac{v^2}{2}\int |d\chi-kA|^2 \stackrel{\text{dual}}{\longleftrightarrow} \frac{ik}{2\pi}\int B\wedge dA \text{ or } \frac{ik}{2\pi}\int d^4x \epsilon^{mnpq} B_{mn}\partial_p A_q$$

- k: charge of Higgs field
- A: U(1) 1-form gauge field
- NG boson  $\chi \stackrel{\text{dual}}{\longleftrightarrow}$  2-form gauge field B
- B can be coupled with a magnetic vortex  $\int_{\mathcal{S}} B$  (S: worldsheet of vortex)
- No local excitations in both theories

How about observables and correlation functions in BF-theory?

# Observables and correlation functions in BF-theory

[Horowitz & Srednicki '89; Oda & Yahikozawa '89]

Observables: non-local, gauge invariant



Correlation functions are topological: linking number  $\, \mathrm{link}\,(\mathcal{C},\mathcal{S})$  &  $\mathbb{Z}_k$ 



•  $\langle W(\mathcal{C}) \rangle = \langle V(\mathcal{S}) \rangle = 1$ 

1- and 2-form symmetries exist, and are broken spontaneously.

1- & 2-form symmetries [Kapustin & Seiberg '14; Gaiotto, et al. '14]



Symmetry under transf. of 1- & 2-dim. objects

	Group	Object	Generator	Transf.
1-form	$\mathbb{Z}_k$	$W(\mathcal{C})$	$V(\mathcal{S})$	$\langle V(\mathcal{S})W(\mathcal{C})\rangle = e^{\frac{2\pi i}{k}} \langle W(\mathcal{C})\rangle$
2-form	$\mathbb{Z}_k$	$V(\mathcal{S})$	$W(\mathcal{C})$	$\langle W(\mathcal{C})V(\mathcal{S})\rangle = e^{\frac{2\pi i}{k}} \langle V(\mathcal{S})\rangle$

• Symmetry breaking:  $\langle W(\mathcal{C})
angle
eq 0$ ,  $\langle V(\mathcal{S})
angle
eq 0$  (at large distance limit)

Notes

- ordinary symmetry is 0-form symmetry for particles
- BF-action is invariant under 1- & 2-form transf. (up to 2πZ).

Topological order in Abelian Higgs model [Hansson et al. '04]



1. Existence of fractional linking phase

 $\langle W(\mathcal{C})V(\mathcal{S})\rangle = \exp\left(\frac{2\pi i}{k}\operatorname{link}\left(\mathcal{C},\mathcal{S}\right)\right)$ 

- 2. 1- & 2-form symmetries are broken spontaneously.
  - Order parameters = charged objects  $W(\mathcal{C})$  &  $V(\mathcal{S})$
  - Non-zero VEVs of order parameters:  $\langle W(\mathcal{C}) \rangle = \langle V(\mathcal{S}) \rangle = 1$

E.g. superconductor  $(\mathbb{Z}_2) \neq \text{charge 1 Higgs system } (\mathbb{Z}_1 = 1)$ 

# Summary of Abelian Higgs model



Abelian Higgs models can be classified by topological order.

- Order parameters are Wilson loop & Vortex surface.
- Fractional linking phase of extended objects
- Symmetry breaking: 1- & 2-form symmetries

# Topological order in CFL phase of U(N) gauge-Higgs system

Y. Hidaka, Y Hirono, M. Nitta, Y. Tanizaki, RY,

1903.06389

# Topological order in non-Abelian Higgs models?

- Many symmetry breaking patterns
  - Some of gauge fields are massive, others are not.
  - Some NG boson may not be eaten.

(cf. no topological order in CFL of QCD [Hirono & Tanizaki '18])

- Hints from Abelian case:
  - No local excitations in low-energy limit
  - Existence of extended objects

The hints suggest:

there may be a topologically ordered phase  $\label{eq:logical} \mbox{in a } U(N) \mbox{ gauge theory with } N\mbox{-flavor Higgs fields}$ 

# U(N) gauge theory with N-flavor Higgs

[Hanany & Tong '03; Auzzi et al. '03; Gorsky et al. 04]

Action  

$$\int \frac{1}{2g_1^2} \operatorname{tr} |F|^2 + \frac{1}{2g_2^2} |\operatorname{tr} F|^2 + |d\Phi - iA\Phi - ik \operatorname{tr} (A)\Phi|^2 + V(\Phi)$$

- Higgs fields:  $N(\text{color}) \times N(\text{flavor})$  matrix  $\Phi = (\Phi_{cf})$
- Transf. law  $\Phi \to (\det U_{\rm col})^k U_{\rm col} \Phi U_{\rm flav}^T$

 $U_{\rm col} \in U(N)_{\rm col}, U_{\rm flav} \in SU(N)_{\rm flav}/(\mathbb{Z}_N)_{\rm flav}$ 

• A: U(N) 1-form gauge field

How about Higgs phase?

# Higgs phase with non-Abelian vortex

[Hanany & Tong '03; Auzzi et al. '03; Gorsky et al. 04]

1. There is a Higgs phase with no massless excitation

(# of gauge fields = # of Higgs fields)

- VEV of Higgs can be diagonized  $\langle \Phi \rangle = v \mathbf{1}_{N \times N}$
- Color-flavor locked (CFL) phase:

simultaneous color-flavor transf. remains

(cf. QCD case [Alford et al. '98])

- 2. CFL phase Admits non-Abelian vortices  $\Phi \sim \operatorname{diag}(e^{i\theta}, 1, ..., 1)$ 
  - Fractional (1/N) magnetic flux

We will show that this CFL phase can be a topologically ordered phase.

 $\operatorname{diag}\left(e^{i\theta},1,...,1\right) = e^{i\theta/N} \operatorname{diag}\left(e^{i(N-1)\theta/N}, e^{-i\theta/N}, ..., e^{-i\theta/N}\right) \sim e^{i\theta/N} \mathbf{1}_{N \times N}$ 

#### How to see topological order?

Conditions for the topological ordered phase

- 1. Existence of fractional linking phase,
- 2. Spontaneously broken 1- and 2-form symmetries

Procedure: similar to the case of Abelian Higgs model

1. Dual theory: 
$$\int |d\Phi - iA\Phi - ik \operatorname{tr} (A)\Phi|^2 \quad \stackrel{\text{dual}}{\longleftrightarrow} \quad \frac{i}{2\pi} K_{iA} \int b_i \wedge da_A$$

- 2. Fractional linking phase
- 3. 1- & 2-form symmetry breaking

In this talk, we consider N = 3 case for simplicity.

#### Low energy limit in CFL phase

Action is simplified in Abelian gauge: (cf. ['t Hooft '81])

Stückelberg action $\frac{v^2}{2}\int |d\phi_i - K_{iA}a_A|^2, \quad K_{iA} = \begin{pmatrix} k+1 & k & k\\ k & k+1 & k\\ k & k & k+1 \end{pmatrix}$ 

- Abelian gauge:  $\Phi = \frac{1}{\sqrt{2}} v \operatorname{diag} \left( e^{i\phi_1}, e^{i\phi_2}, e^{i\phi_3} \right) \in U(3)$
- $a_A$ : Cartan of U(3) gauge field
- $K_{iA}$ : matrix of charges  $(\det(K_{iA}) \neq 0)$

$$\begin{aligned} |d\phi_i - K_{iA}a_A|^2 &= |d\phi_1 - (k+1)a_1 - ka_2 - ka_3|^2 + |d\phi_2 - ka_1 - (k+1)a_2 - ka_3|^2 \\ &+ |d\phi_3 - ka_1 - ka_2 - (k+1)a_3|^2 \end{aligned}$$

#### Dual BF-type theory

The action

 $\frac{i}{2\pi} K_{iA} \int b_i \wedge da_A$ 

NG boson  $\phi_i \stackrel{\mathsf{dual}}{\longleftrightarrow} 2\text{-form } b_i$ 

Deriavtion

- 1. Original action  $\frac{v^2}{2} \int |d\phi_i K_{iA}a_A|^2$
- 2. First order action by adding 3-form  $H_{3i}$  $\frac{1}{8\pi^2 v^2} \int |H_{3i}|^2 + \frac{i}{2\pi} \int H_{3i} \wedge (d\phi_i - K_{iA}a_A)$
- 3. Eliminating  $\phi_i$  by EOM:  $dH_{3i} = 0 \rightarrow H_{3i} = db_i$
- 4. Take low-energy limit  $(v \to \infty)$

# Observables in $\frac{i}{2\pi} K_{iA} \int b_i \wedge da_A$



- Wilson loop:  $W(\mathcal{C}) = \frac{1}{N} \operatorname{tr} \mathcal{P} e^{i \int_{\mathcal{C}} A} = \frac{1}{N} \sum_{A=1}^{N} e^{i \int_{\mathcal{C}} a_A}$
- Vortex surface operator:  $V_i(\mathcal{S}) = e^{i \int_{\mathcal{S}} b_i}$

#### Observables depends on only topology

(No local DOF:  $da_A = 0, db_i = 0$  by EOM)

CFL phase is topologically ordered!

$$=\exp\frac{2\pi ik}{3k+1}\in\mathbb{Z}_{3k+1}$$

1.  $\mathbb{Z}_{3k+1}$  fractional phase in correlation function

$$\langle W(\mathcal{C})V_i(\mathcal{S})\rangle = \exp\left(\frac{2\pi ik}{3k+1}\operatorname{link}\left(\mathcal{C},\mathcal{S}\right)\right)$$

2.  $\mathbb{Z}_{3k+1}$  1- & 2-form symmetries & breaking

• 
$$\langle W(\mathcal{C})V_i(\mathcal{S})\rangle = \exp\left(\frac{2\pi ik}{3k+1}\operatorname{link}(\mathcal{C},\mathcal{S})\right)\langle W(\mathcal{C})\rangle$$

- $\langle W(\mathcal{C})V_i(\mathcal{S})\rangle = \exp\left(\frac{2\pi ik}{3k+1}\operatorname{link}\left(\mathcal{C},\mathcal{S}\right)\right)\langle V(\mathcal{S})\rangle$
- Non-zero VEVs  $\langle W(\mathcal{C}) \rangle = \langle V_i(\mathcal{S}) \rangle = 1$

#### Some comments

$$=\exp\frac{2\pi ik}{3k+1}\in\mathbb{Z}_{3k+1}$$

- U(1) charge k in  $\Phi \to (\det U_{col})^k U_{col} \Phi U_{flav}^T$  is important for the existence of topological order.
- Non-Abelian vortices in the previous research [Gorsky, et al. 04]: k = 0 case (Z<sub>1</sub>). No nontrivial topological order.
- Possible fractional phase is restricted by color N:

e.g.  $\mathbb{Z}_4, \mathbb{Z}_7, \mathbb{Z}_{11}, \dots$  are allowed for N = 3 case.

# Summary

CFL phase of a U(N) gauge theory with N-Higgs fields is topologically ordered if the Higgs fields have non-trivial U(1) charge k.

- Non-Abelian vortex and Wilson loop has Z<sub>Nk+1</sub> fractional linking phase.
- There are Z<sub>Nk+1</sub> 1- and 2-form symmetries, and both of them are spontaneously broken.

Future work: more general gauge group, numerical solutions of charge k non-Abelian vortex, adding  $\theta$ -term,...

# Appendix

#### Derivation of correlation function in BF-theory I

We begin with the following correlation function [Chen, et al. '15]

$$\langle W(\mathcal{C})V(\mathcal{S})\rangle = \mathcal{N} \int \mathcal{D}A\mathcal{D}Be^{\frac{ik}{2\pi}\int B \wedge dA + i\int A \wedge J_3(\mathcal{C}) + i\int B \wedge J_2(\mathcal{S})}$$

where  $J_3(\mathcal{C})$  and  $J_2(\mathcal{S})$  are delta function forms. They can be rewritten as

$$J_3(\mathcal{C}) = J_3(\partial \mathcal{S}(\mathcal{C})) = dJ_2(\mathcal{S}(\mathcal{C})), \quad J_2(\mathcal{S}) = J_2(\partial \mathcal{V}(\mathcal{S})) = -dJ_1(\mathcal{V}(\mathcal{S})),$$

where  $\mathcal{S}(\mathcal{C})$  and  $\mathcal{V}(\mathcal{S})$  are boundaries of  $\mathcal{C}$  and  $\mathcal{S}$ :

$$\partial \mathcal{S}(\mathcal{C}) = \mathcal{C}, \qquad \partial \mathcal{V}(\mathcal{S}) = \mathcal{S}$$

#### Derivation of correlation function in BF-theory II

By the redefinition  $A \to A + \frac{2\pi}{k} J_1(\mathcal{V}(\mathcal{S}))$ , we obtain

$$\langle V(\mathcal{S})W(\mathcal{C})\rangle = \mathcal{N} \int \mathcal{D}A\mathcal{D}B \, e^{\frac{ik}{2\pi} \int B \wedge d(A - \frac{2\pi}{k} J_1(\mathcal{V}(\mathcal{S}))) + i \int A \wedge J_3(\mathcal{C})}$$
  
=  $\mathcal{N} \int \mathcal{D}A\mathcal{D}B \, e^{\frac{ik}{2\pi} \int B \wedge dA + i \int A \wedge J_3(\mathcal{C}) + \frac{2\pi i}{k} \int J_1(\mathcal{V}(\mathcal{S})) \wedge J_3(\mathcal{C})}$   
=  $e^{-\frac{2\pi i}{k} \operatorname{link}(\mathcal{C},\mathcal{S})} \langle W(\mathcal{C}) \rangle .$ 

By the redefinition  $B \to B - \frac{2\pi}{k}J_2(\mathcal{S}(\mathcal{C})),$  we also obtain

$$\begin{split} \langle V(\mathcal{S})W(\mathcal{C}) \rangle &= \mathcal{N} \int \mathcal{D}A\mathcal{D}B \, e^{\frac{ik}{2\pi} \int (B + \frac{2\pi}{k} J_2(\mathcal{S}(\mathcal{C}))) \wedge dA + i \int B \wedge J_2(\mathcal{S})} \\ &= \mathcal{N} \int \mathcal{D}A\mathcal{D}B \, e^{\frac{ik}{2\pi} \int B \wedge dA + i \int B \wedge J_2(\mathcal{S}) - \frac{2\pi i}{k} \int J_2(\mathcal{S}(\mathcal{C})) \wedge J_2(\mathcal{S})} \\ &= e^{-\frac{2\pi i}{k} \operatorname{link}\left(\mathcal{C},\mathcal{S}\right)} \left\langle V(\mathcal{S}) \right\rangle \end{split}$$

#### Derivation of correlation function in BF-theory III

We can similarly show  $\langle V(S) \rangle = 1$  and  $\langle W(C) \rangle = 1$  as follows:

$$\langle V(\mathcal{S}) \rangle = \mathcal{N} \int \mathcal{D}A\mathcal{D}B \, e^{\frac{ik}{2\pi} \int B \wedge d(A - \frac{2\pi}{k} J_1(\mathcal{V}(\mathcal{S})))}$$
$$= \mathcal{N} \int \mathcal{D}A\mathcal{D}B \, e^{\frac{ik}{2\pi} \int B \wedge dA} = 1,$$

and

$$\langle W(\mathcal{C}) \rangle = \mathcal{N} \int \mathcal{D}A\mathcal{D}Be^{\frac{ik}{2\pi}\int (B + \frac{2\pi}{k}J_2(\mathcal{S}(\mathcal{C}))) \wedge dA}$$
$$= \mathcal{N} \int \mathcal{D}A\mathcal{D}Be^{\frac{ik}{2\pi}\int B \wedge dA} = 1.$$

By using there relations, we also obtain

$$\langle W(\mathcal{C})V(\mathcal{S})\rangle = e^{-\frac{2\pi i}{k}\operatorname{link}(\mathcal{C},\mathcal{S})}.$$