

5D AGT correspondence of supergroup gauge theories from Ding-Iohara-Miki algebra

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based on an ongoing work

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- 2 Supergroup gauge theory
- 3 Nekrasov partition functions
- 4 Quantum toroidal algebra and intertwiners
- 5 Relation with topological vertex and anti-vertex
- 6 Conclusion and future directions

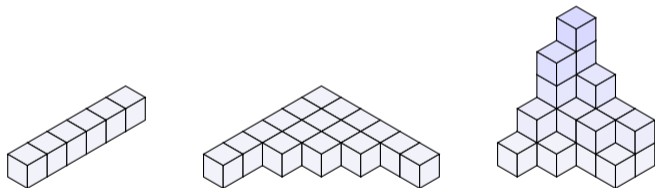
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Introduction

- AGT correspondence [[Alday-Gaiotto-Tachikawa 2009](#)]
 Nekrasov partition functions \leftrightarrow conformal blocks of Liouville/Toda CFT
 supersymmetric gauge theory \leftrightarrow algebra (Virasoro, W_N algebra,...)
- There is a 5D lift-up of the correspondence (5D AGT).
- On the algebra side, quantum algebras appear (q -Virasoro, q - W_N , q - $Y_{L,M,N}$...).
- They are understood as truncations of **Ding-Iohara-Miki algebra** (quantum toroidal \mathfrak{gl}_1).

- One application of quantum toroidal algebras is the intertwiner formalism [Awata-Feigin-Shiraishi 2011].
- Using two basic representations “crystal” and “vertex operator” representations, we can construct algebraic objects called “intertwiners”. Composition of them gives Nekrasov partition functions.



Crystal representations

- Changing the algebra itself or the representations, we can get different intertwiners leading to different correspondences with gauge theories.

Algebra	Geometry	Gauge theory	Representation
QT \mathfrak{gl}_1	$\mathbb{C}_{q_1} \times \mathbb{C}_{q_2} \times \mathbb{C}_{q_3}$	5D $\mathcal{N} = 1$ on $\mathbb{C}_{q_1, q_2}^2 \times S^1$	$\mathcal{F}_3^{\text{cryst}}, \mathcal{F}_3^{\text{op}}$
QT \mathfrak{gl}_1	$\mathbb{C}_{q_1} \times \mathbb{C}_{q_2} \times \mathbb{C}_{q_3}$	3D $\mathcal{N} = 2$ on $\mathbb{C}_{q_1} \times S^1$	$\mathcal{V}_1^{\text{cryst}}, \mathcal{F}_3^{\text{op}}$

- How about **supergroup** gauge theories?? [Vafa 2001, Okuda-Takayanagi 2006, Dijkgraaf-Heidenreich-Jefferson-Vafa 2016]
- Nekrasov partition function [Kimura-Pestun 2019], anti-refined topological vertex [Kimura-Sugimoto 2020],....
 \Rightarrow Unified description using quantum algebra

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Supergroup gauge theory [Vafa 2001, Okuda-Takayanagi 2006, Dijkgraaf-Heidenreich-Jefferson-Vafa 2016]

- Yang-Mills theory
 - Defining data: Space-time \mathbb{R}^4 , gauge group G , $\mathfrak{g} = \text{Lie } G$, gauge fields $A_\mu(x)$
 - Action:

$$S_{\text{YM}} = \frac{1}{g_{\text{YM}}^2} \int d^4x |F|^2 = -\frac{1}{g_{\text{YM}}^2} \int \text{Tr} (F \wedge *F), \quad F = dA + A \wedge A$$

- Usually, the gauge group is chosen to be a compact Lie group so that we have a gauge invariant **positive Killing form** (e.g. Tr). Physically, this is necessary for the energy to be bounded from below.
- What if G is a supergroup?
 - For this talk, unitary group $G = U(N_+ | N_-)$ is enough.
 - The $U(N_+ | N_-)$ invariant trace is the **supertrace**

$$U = \begin{pmatrix} A & B \\ C & D \end{pmatrix}, \quad \text{Str } U := \text{Tr } A - \text{Tr } D.$$

- Yang-Mills theory with $G = U(N_+ | N_-)$:

$$S_{\text{YM}} = -\frac{1}{g_{\text{YM}}^2} \int d^4x \text{Str} (F \wedge *F),$$

$$A_\mu : \mathfrak{g} \text{ valued, } A_\mu \rightarrow g A_\mu g^{-1} + g \partial_\mu g^{-1} \quad (g \in G)$$

- Is this physical?

- The gauge fields A_μ take values in the superalgebra, which means the components of them include Grassmann numbers. \rightarrow **breaks spin-statistics theorem**
- The action is not positive semidefinite, so the spectrum is unbounded and thus the theory is **non-unitary**

$$S_{\text{YM}} = -\frac{1}{g_{\text{YM}}^2} \int d^4x \text{Tr}_{\mathbb{C}^{N_+}} (F \wedge *F)^+ - \left(-\frac{1}{g_{\text{YM}}^2}\right) \int d^4x \text{Tr}_{\mathbb{C}^{N_-}} (F \wedge *F)^-$$

The kinetic term of the negative part has a wrong sign.

- Seems NOT physical (?) Not much studies have been done from the physical view-point.

- Although the physical meaning of this theory is still not clear, it seems we can study non-perturbative effects for supersymmetric gauge theories. We also have brane realizations of it.
- In particular, we are interested in 4D $\mathcal{N} = 2$ or 5D $\mathcal{N} = 1$ supersymmetric gauge theories.
- Brane construction: including “ghost”-branes (not anti-branes) give supergroup gauge theories



- Taking T-duality gives the 5D theory.

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Instantons [Kimura-Pestun 2019, Kimura review]

- We also have instantons in supergroup gauge theories.
- They are characterized by the topological number

$$\frac{1}{g_{\text{YM}}^2} \int \text{Str } F \wedge F = k_+ - k_- \equiv k \in \mathbb{Z}$$

- $k_+ > 0 \cdots$ positive instanton number
 $k_- > 0 \cdots$ negative instanton number
- We can add the so-called θ -term

$$S_\theta[A] = -\frac{i\theta}{8\pi^2} \int \text{Str } F \wedge F$$

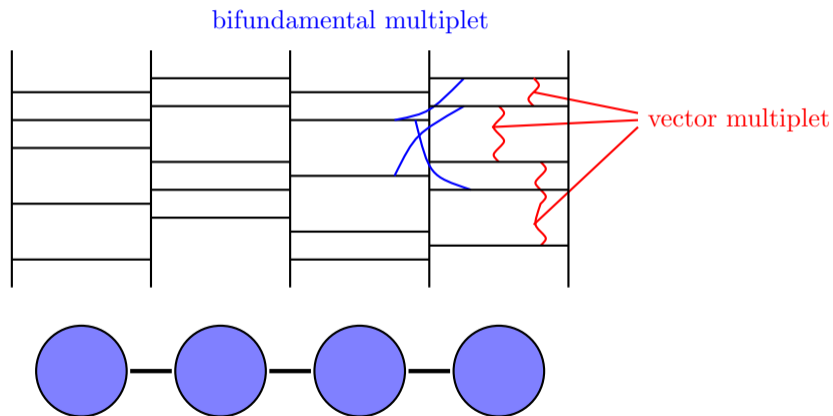
- Evaluating the total action around the k -instanton configuration, the path integral is schematically derived as

$$\mathcal{Z} = \int [\mathcal{D}\mathcal{A}] e^{-S_{\text{tot}}} = \sum_k \mathfrak{q}^k \int [\mathcal{D}A_{\text{inst}}^{(k)}] \int [\mathcal{D}\delta A] e^{-S_{\text{fluc}}[\delta A]} = \sum_{k \in \mathbb{Z}} \mathfrak{q}^k \mathcal{Z}_k$$

- We can introduce matters and the partition function similarly decomposes into topological sectors.
- Computing the partition function is difficult but under suitable number of supersymmetries and Ω background, we can compute it explicitly.
 → instanton partition function, Nekrasov partition function [Kimura-Pestun 2019]

Instanton partition function [Kimura-Pestun 2019]

- Non-supergroup linear quiver gauge theory:



- Assign a Young diagram λ and a parameter v to each D-brane.
- The Nekrasov factor is defined as

$$N_{\lambda_1\lambda_2}(Q) = \prod_{x \in \lambda_1} \left(1 - Qq_1^{l_{\lambda_1}(x)+1} q_2^{-a_{\lambda_2}(x)} \right) \prod_{x \in \lambda_2} \left(1 - Qq_1^{-l_{\lambda_2}(x)} q_2^{a_{\lambda_1}(x)+1} \right), \quad Q = v_1/v_2.$$

- Vector multiplet contribution from D-brane (v_1, λ_1) to (v_2, λ_2) :

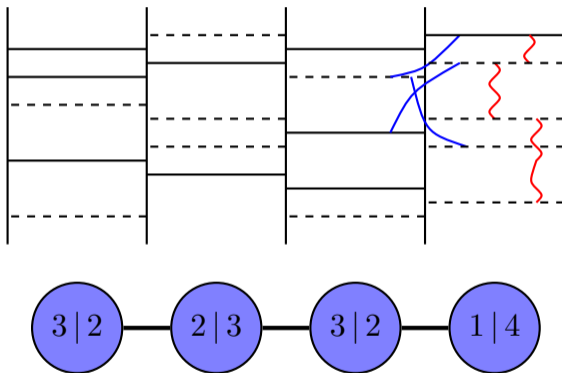
$$N_{\lambda_1\lambda_2}(v_1/v_2)^{-1}$$

- Bifundamental contribution from D-brane (v_1, λ_1) to (v_2, λ_2) with bifundamental mass μ :

$$N_{\lambda_1\lambda_2}(\mu^{-1}v_1/v_2)^{+1}$$

- After taking the composition of each contributions, take the sum for all possible Young diagrams.

- Supergroup case \Rightarrow need to include **negative branes**



vector multiplet

$D4^+ \leftrightarrow D4^+$

$D4^- \leftrightarrow D4^-$

$D4^+ \leftrightarrow D4^-$

bifundamental multiplet

$D4^+ \leftrightarrow D4^+$

$D4^- \leftrightarrow D4^-$

$D4^+ \leftrightarrow D4^-$

- Assign a Young diagram λ and a parameter v to each D-brane. **Additionally, we need to distinguish the parities of the D-branes.** We assign $\sigma = \pm 1$ to each D-brane depending on its parity. Namely, each D-brane is labeled by (v, λ, σ) .
- Define four Nekrasov factors:

$$N_{\lambda_1 \lambda_2}^{\sigma_1 \sigma_2}(v_1/v_2) = \prod_{x \in \lambda_1} \left(1 - \frac{\chi_x^{(\sigma_1)}}{q_3 v_2} \right) \prod_{x \in \lambda_2} \left(1 - \frac{v_1}{\chi_x^{(\sigma_2)}} \right) \prod_{\substack{x \in \lambda_1 \\ y \in \lambda_2}} S \left(\frac{\chi_x^{(\sigma_1)}}{\chi_y^{(\sigma_2)}} \right),$$

$$S(z) = \frac{(1 - q_1 z)(1 - q_2 z)}{(1 - z)(1 - q_3^{-1} z)}, \quad \chi_x^{(\sigma)} = v q_3^{\frac{1-\sigma}{2}} q_1^{\sigma(i-1)} q_2^{\sigma(j-1)}, \quad \sigma, \sigma_{1,2} = \pm 1$$

- $N_{\lambda_1, \lambda_2}^{++}(Q)$ is just the Nekrasov factor defined a while ago.

- Vector multiplet from D-brane $(v_1, \lambda_1, \sigma_1)$ to $(v_2, \lambda_2, \sigma_2)$:

$$N_{\lambda_1 \lambda_2}^{\sigma_1 \sigma_2} (v_1/v_2)^{-\sigma_1 \sigma_2}$$

- Bifundamental multiplet from D-brane $(v_1, \lambda_1, \sigma_1)$ to $(v_2, \lambda_2, \sigma_2)$ with bifundamental mass μ :

$$N_{\lambda_1 \lambda_2}^{\sigma_1 \sigma_2} (\mu^{-1} v_1/v_2)^{\sigma_1 \sigma_2}$$

- Also have Chern-Simons term, topological term, (anti)fundamental part,....

- Nekrasov partition function of pure $U(N | \sigma_1, \dots, \sigma_N)$ gauge theory:

$$\mathcal{Z}_{N,(\sigma_1, \dots, \sigma_N)}^{\text{inst}} = \sum_{\lambda_1, \dots, \lambda_N} q^{\sum_{i=1}^N \sigma_i |\lambda_i|} \left(\prod_{i=1}^N \prod_{x \in \lambda_i} \left(\chi_x^{(\sigma_i)} \right)^{\sigma_i \kappa} \right) \prod_{i,j=1}^N N_{\lambda_i \lambda_j}^{\sigma_i \sigma_j} (v_i / v_j)^{-\sigma_i \sigma_j},$$

(v_1, σ_1)
(v_2, σ_2)
(v_3, σ_3)
\vdots
(v_i, σ_i)
\vdots
(v_N, σ_N)

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Quantum toroidal \mathfrak{gl}_1 [Miki, Ding-Iohara, Feigin-Feigin-Jimbo-Miwa-Mukhin,...]

- Currents:

$$x^\pm(z) = \sum_{m \in \mathbb{Z}} x_m^\pm z^{-m}, \quad \psi^\pm(z) = \sum_{r \geq 0} \psi_{\pm r}^\pm z^{\mp r}, \quad \hat{\gamma}, \quad \psi_0^+ / \psi_0^-,$$

- Defining relations:

$$[\psi^\pm(z), \psi^\pm(w)] = 0, \quad \psi^+(z)\psi^-(w) = \frac{g(\hat{\gamma}z/w)}{g(\hat{\gamma}^{-1}z/w)} \psi^-(w)\psi^+(z),$$

$$\psi^\pm(z)x^+(w) = g\left(\hat{\gamma}^{\pm \frac{1}{2}}z/w\right)x^+(w)\psi^\pm(z), \quad \psi^\pm(z)x^-(w) = g\left(\hat{\gamma}^{\mp \frac{1}{2}}z/w\right)^{-1}x^-(w)\psi^\pm(z),$$

$$x^\pm(z)x^\pm(w) = g(z/w)^{\pm 1}x^\pm(w)x^\pm(z),$$

$$[x^+(z), x^-(w)] = \frac{(1-q_1)(1-q_2)}{(1-q_3^{-1})} \left(\delta(\hat{\gamma}w/z)\psi^+\left(\hat{\gamma}^{\frac{1}{2}}w\right) - \delta(\hat{\gamma}^{-1}w/z)\psi^-\left(\hat{\gamma}^{-\frac{1}{2}}w\right) \right),$$

where

$$g(z) = \frac{(1-q_1z)(1-q_2z)(1-q_3z)}{(1-q_1^{-1}z)(1-q_2^{-1}z)(1-q_3^{-1}z)}.$$

Representations

- Representations are specified by central charges $(\hat{\gamma}, \psi_0^-) = (\gamma^{l_1}, \gamma^{l_2})$, $\gamma = q_3^{1/2}$.
→ Level (l_1, l_2) representation.
- Crystal representations:
 - Level $(0, 1)$: Well known representation

$$\mathcal{Y}_\lambda^{(+)}(z) := (1 - v/z) \prod_{x \in \lambda} S(\chi_x^{(+)} / z), \quad \chi_x^{(+)} = v q_1^{i-1} q_2^{j-1},$$

$$x^+(z) |v, \lambda\rangle = \sum_{x \in A(\lambda)} \delta(z / \chi_x^{(+)}) \operatorname{Res}_{z=\chi_x} \frac{1}{z \mathcal{Y}_\lambda^{(+)}(z)} |v, \lambda + x\rangle,$$

$$x^-(z) |v, \lambda\rangle = \gamma^{-1} \sum_{x \in R(\lambda)} \delta(z / \chi_x^{(+)}) \operatorname{Res}_{z=\chi_x} z^{-1} \mathcal{Y}_\lambda^{(+)}(z q_3^{-1}) |v, \lambda - x\rangle,$$

$$\psi^\pm(z) |v, \lambda\rangle = [\Psi_\lambda^{(+)}(z)]_\pm |v, \lambda\rangle$$

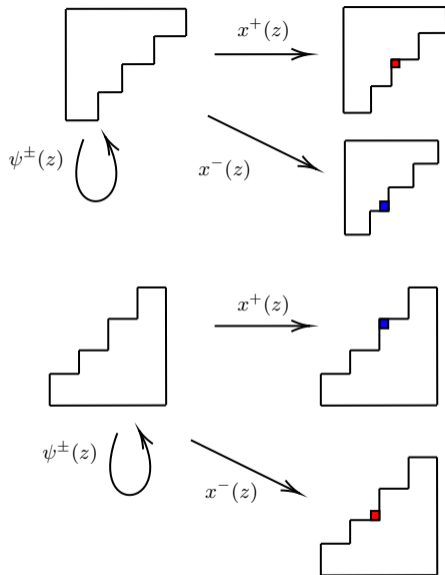
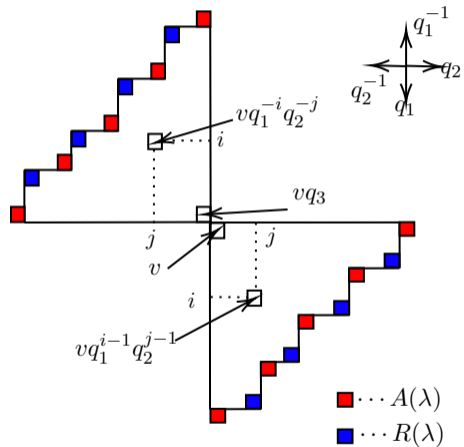
- Level $(0, -1)$: Known to exist, but only one paper used it to study [Bourgine 2018].

$$\mathcal{Y}_\lambda^{(-)}(z) = (1 - v/z) \prod_{x \in \lambda} S(\chi_x^{(-)}/z), \quad \chi_x^{(-)} = vq_1^{-i}q_2^{-j},$$

$$x^+(z) |v, \lambda\rangle = \gamma \sum_{x \in R(\lambda)} \delta(z/\chi_x^{(-)}) \operatorname{Res}_{z=\chi_x^{(-)}} z^{-1} \mathcal{Y}_\lambda^{(-)}(z) |v, \lambda - x\rangle,$$

$$x^-(z) |v, \lambda\rangle = \sum_{x \in A(\lambda)} \delta(z/\chi_x^{(-)}) \operatorname{Res}_{z=\chi_x^{(-)}} z^{-1} \mathcal{Y}_\lambda^{(-)}(q_3^{-1}z)^{-1} |v, \lambda + x\rangle,$$

$$\psi^\pm(z) |v, \lambda\rangle = [\Psi_\lambda^{(-)}(z)]_\pm |v, \lambda\rangle,$$



- Vertex operator representations with level $(1, n)$

$$x^+(z) \mapsto u\gamma^n z^{-n} \eta(z), \quad x^-(z) \mapsto u^{-1} \gamma^{-n} z^n \xi(z), \quad \psi^\pm(z) \mapsto \gamma^{\mp n} \varphi^\pm(z), \quad \hat{\gamma} \mapsto \gamma,$$

$$\eta(z) = \exp\left(-\sum_{n=1}^{\infty} \frac{z^n}{n} q_1^n (1 - q_2^n) a_{-n}\right) \exp\left(-\sum_{n=1}^{\infty} \frac{z^{-n}}{n} (1 - q_1^{-n}) a_n\right),$$

$$\xi(z) = \exp\left(\sum_{n=1}^{\infty} \frac{z^n}{n} q_1^n (1 - q_2^n) \gamma^n a_{-n}\right) \exp\left(\sum_{n=1}^{\infty} \frac{z^{-n}}{n} (1 - q_1^{-n}) \gamma^n a_n\right),$$

$$\varphi^+(z) = \exp\left(\sum_{n>0} \frac{z^{-n}}{n} \gamma^{-\frac{n}{2}} (1 - q_1^{-n}) (q_3^n - 1) a_n\right),$$

$$\varphi^-(z) = \exp\left(\sum_{n>0} \frac{z^n}{n} q_1^n \gamma^{-\frac{n}{2}} (1 - q_2^n) (q_3^n - 1) a_{-n}\right),$$

$$[a_n, a_m] = n\delta_{n+m,0}.$$

- How about level $(-1, n)$?? \rightarrow Later discuss (future work).

Intertwiners

- Intertwiner relations:

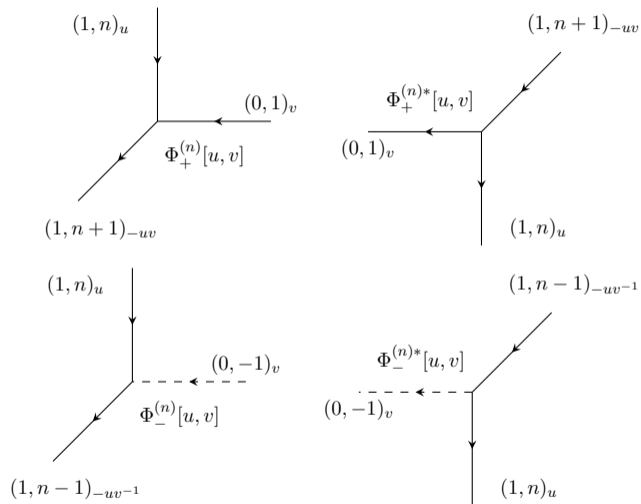
$$\begin{aligned} \rho_{u'}^{(1,n\pm 1)}(g(z))\Phi_{\pm}^{(n)}[u, v] &= \Phi_{\pm}^{(n)}[u, v](\rho_v^{(0,\pm 1)} \otimes \rho_u^{(1,n)})\Delta(g(z)), \\ (\rho_u^{(1,n)} \otimes \rho_v^{(0,\pm 1)})\Delta(g(z))\Phi_{\pm}^{(n)*}[u, v] &= \Phi_{\pm}^{(n)*}[u, v]\rho_{u'}^{(1,n\pm 1)}(g(z)), \end{aligned}$$

- Positive and negative intertwiners:

$$\begin{aligned} \Phi_{\pm}^{(n)}[u, v] &: (0, \pm 1)_v \otimes (1, n)_u \rightarrow (1, n \pm 1)_{u'}, \quad u' = -uv^{\pm 1} \\ \Phi_{\pm}^{(n)}[u, v] &= \sum_{\lambda} a_{\lambda}^{(\pm)} \langle v, \lambda | \otimes \Phi_{\pm, \lambda}^{(n)}[u, v], \end{aligned}$$

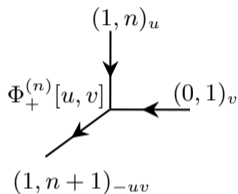
- Positive and negative dual intertwiners:

$$\begin{aligned} \Phi_{\pm}^{(n)*}[u, v] &: (1, n \pm 1)_{u'} \rightarrow (1, n)_u \otimes (0, \pm 1)_v, \\ \Phi_{\pm}^{(n)*}[u, v] &= \sum_{\lambda} a_{\lambda}^{(\pm)} \Phi_{\pm, \lambda}^{(n)*}[u, v] \otimes |v, \lambda \rangle \end{aligned}$$

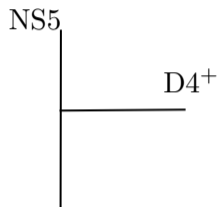


- Correspondences with brane realization

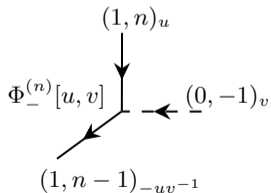
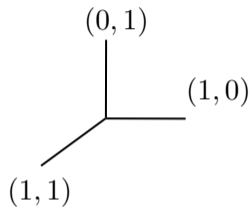
Representation



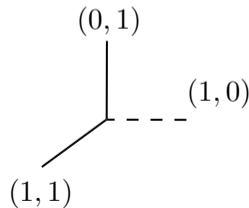
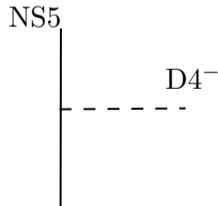
Type IIA



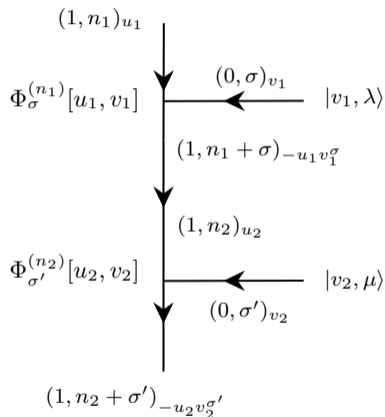
Type IIB



NS5



- Contractions of the intertwiners give the Nekrasov factors and the perturbative contribution



$$\begin{aligned}
 &= \Phi_{\sigma', \mu}^{(n_2)}[u_2, v_2] \Phi_{\sigma, \lambda}^{(n_1)}[u_1, v_1] \\
 &= (\mathcal{G}(q_3^{-1} v_1/v_2))^{\sigma\sigma'} N_{\lambda\mu}^{\sigma\sigma'} (v_1/v_2)^{-\sigma\sigma'} \\
 &\quad \times : \Phi_{\sigma', \mu}^{(n_2)}[u_2, v_2] \Phi_{\sigma, \lambda}^{(n_1)}[u_1, v_1] :
 \end{aligned}$$

- Similarly for the dual intertwiners.

Example: Pure supergroup gauge theory

- In realizing pure supergroup gauge theory using D-branes, we need to specify the order of them.
- We denote a supergroup gauge theory with N -D4(D5) branes with signatures $\sigma_1, \dots, \sigma_N$ from the top to the bottom as $U(N | \sigma_1, \sigma_2 \cdots, \sigma_N)$.

$$\mathcal{Z}_{N,(\sigma_1, \dots, \sigma_N)} =
 \begin{array}{c}
 (1, n)_u \qquad \qquad \qquad (1, n^*)_{u^*} \\
 \left| \begin{array}{c}
 (0, \sigma_1)_{v_1} \\
 (0, \sigma_2)_{v_2} \\
 (0, \sigma_3)_{v_3} \\
 \vdots \\
 (0, \sigma_i)_{v_i} \\
 \vdots \\
 (0, \sigma_N)_{v_N}
 \end{array} \right. \\
 \begin{array}{c}
 \downarrow (1, n_i)_{u_i} \\
 \downarrow (1, n_i^*)_{u_i^*}
 \end{array}
 \end{array}
 =
 \begin{array}{c}
 \widehat{\underline{0}} \qquad \qquad \qquad \widehat{\underline{0}} \\
 \Phi_{\sigma_1}^{(n_1)}[u_1, v_1] \cdot \Phi_{\sigma_1}^{(n_1^*)^*}[u_1^*, v_1] \\
 \Phi_{\sigma_2}^{(n_2)}[u_2, v_2] \cdot \Phi_{\sigma_2}^{(n_2^*)^*}[u_2^*, v_2] \\
 \vdots \\
 \Phi_{\sigma_N}^{(n_N)}[u_N, v_N] \cdot \Phi_{\sigma_N}^{(n_N^*)^*}[u_N^*, v_N] \\
 \widehat{\underline{0}} \qquad \qquad \qquad \widehat{\underline{0}}
 \end{array}$$

- The spectral parameters obey the conservation law:

$$n_i = n + \sum_{l=1}^{i-1} \sigma_l, \quad u_i = u \prod_{l=1}^{i-1} (-v_l^{\sigma_l}), \quad n_i^* = n^* - \sum_{l=1}^i \sigma_l, \quad u_i^* = u^* \prod_{l=1}^i (-v_l^{-\sigma_l})$$

- Result:

$$\begin{aligned} \mathcal{Z}_{N,(\sigma_1, \dots, \sigma_N)} &= \prod_{i < j} \mathcal{G} \left(q_3^{-1} \frac{v_i}{v_j} \right)^{\sigma_i \sigma_j} \mathcal{G} \left(\frac{v_i}{v_j} \right)^{\sigma_i \sigma_j} \\ &\times \sum_{\lambda_1, \dots, \lambda_N} \mathfrak{q}^{\sum_{i=1}^N \sigma_i |\lambda_i|} \left(\prod_{i=1}^N \prod_{x \in \lambda_i} \left(\chi_x^{(\sigma_i)} \right)^{\sigma_i \kappa} \right) \prod_{i,j=1}^N N_{\lambda_i \lambda_j}^{\sigma_i \sigma_j} (v_i/v_j)^{-\sigma_i \sigma_j}, \end{aligned}$$

where

$$\mathfrak{q} = -\frac{u}{u^*} \gamma^{n-n^*} \prod_{i=1}^N (-v_i)^{\sigma_i}, \quad \kappa = n^* - n - \sum_{i=1}^N \sigma_i.$$

- The result matches with the localization formula.
- The partition function does not depend on the order of the signatures

$$\mathcal{Z}_{N,(\sigma_1,\dots,\sigma_N)} = \mathcal{Z}_{N,(\omega\cdot\sigma_1,\dots,\omega\cdot\sigma_N)}, \quad \omega \cdot \sigma_i = \sigma_{\omega(i)}, \quad \omega \in \mathfrak{S}_N$$

under redefinition of the Coulomb vev parameters

$$v_i \rightarrow \omega \cdot v_i = v_{\omega(i)}.$$

- Actually, the independence of the order of the signatures is related to the underlying superalgebra structure.

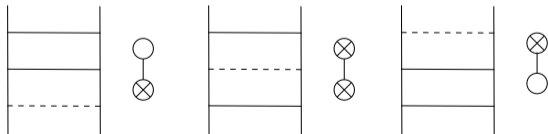


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Refined topological vertex and anti-vertex [Iqbal-Kozcaz-Vafa 2007, Awata-Kanno

2005, 2008, Kimura-Sugimoto 2020]

- The positive intertwiner is known to be related with the refined topological vertex.
- How about the negative intertwiner? Actually, recently, Kimura-Sugimoto defined the anti refined topological vertex.

$$C_{\lambda\mu\nu}^{(\sigma)}(t, q) = t^{-\frac{1}{2}\|\mu^T\|^2} q^{\frac{1}{2}(\|\mu\|^2 + \sigma\|\nu\|^2)} \tilde{Z}_\nu(t^\sigma, q^\sigma) \\ \times \sum_{\eta} \left(\frac{q}{t}\right)^{\frac{1}{2}(|\eta| + |\lambda| - |\mu|)} s_{\lambda^T/\eta}(t^{-\sigma\rho} q^{-\sigma\nu}) s_{\mu/\eta}(q^{-\sigma\rho} t^{-\sigma\nu^T}), \quad \sigma = \pm$$

$$\tilde{Z}_\nu(t, q) = \prod_{(i,j) \in \nu} (1 - t^{l_\nu(i,j)+1} q^{a_\nu(i,j)})^{-1}$$

- Taking nontrivial matrix elements, we obtain the topological vertices

$$\langle \mu | \Phi_{\sigma, \lambda}^{(n)}[u, v] | \nu \rangle \propto C_{\mu^T \nu \lambda}^{(\sigma)}(q, t), \quad \langle \nu | \Phi_{\sigma, \lambda}^{(n)*}[u, v] | \mu \rangle \propto C_{\mu \nu^T \lambda^T}^{(\sigma)}(t, q), \quad q_1 = q, \quad q_2 = t^{-1}$$

- The gluing rules also match with the gluing of the topological vertices (the framing factors match exactly).

$$\begin{array}{c} \widehat{\nu_1} \\ \Phi_{\sigma}^{(N)}[u, v_1] \\ \Phi_{\sigma'}^{(N+\sigma)}[-uv_1^{\sigma}, v_2] \\ \widehat{\nu_2} \end{array} \begin{array}{c} |\lambda_1\rangle \\ |\lambda_2\rangle \end{array} \propto \sum_{\mu} \left(-\frac{v_1}{v_2}\right)^{|\mu|} \left(\frac{q}{t}\right)^{\frac{|\mu|}{2}} f_{\mu}(q, t) C_{\mu^T \nu_1 \lambda_1}^{(\sigma)}(q, t) C_{\nu_2^T \mu \lambda_2}^{(\sigma')}(q, t)$$

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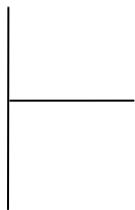
- 1 Introduction
- 2 Supergroup gauge theory
- 3 Nekrasov partition functions
- 4 Quantum toroidal algebra and intertwiners
- 5 Relation with topological vertex and anti-vertex
- 6 Conclusion and future directions**

Conclusion

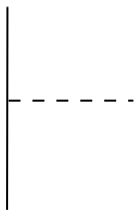
- Supergroup gauge theory is a non-unitary theory whose physical usefulness is not studied well.
- Instanton partition functions can be derived by localization and the basic components are four Nekrasov factors.
- From the AGT correspondence perspective, there should be a quantum algebraic structure lying behind it. Using the representation theory of quantum toroidal \mathfrak{gl}_1 , we construct a new intertwiner called the negative intertwiner.
- Composition of the positive and negative intertwiners give the Nekrasov factors and reproduce the partition function of the supergroup gauge theory.
- Non-trivial matrix elements of the intertwiners indeed reproduce the (anti-) refined topological vertex.
- Many things to do: generalizations to 2d/3d/4d/5d/6d, integrable structure from algebra side (R-matrix, q-KZ eq,...), relation with BPS crystals,....

Future directions 1: Superquiver gauge theory

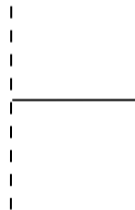
- In deriving the negative intertwiner, we newly introduced the level $(0, -1)$ representation. We should also introduce the level $(-1, n)$ representation. This should give new intertwiners.



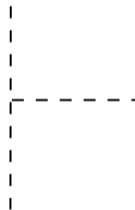
positive intertwiner



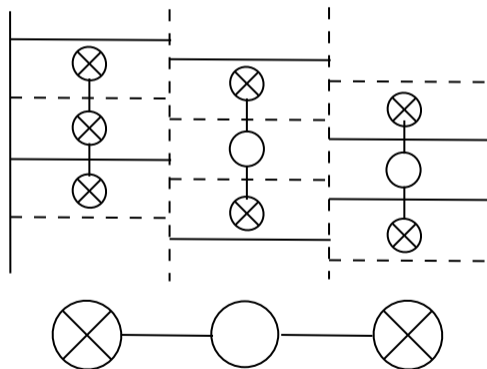
negative intertwiner



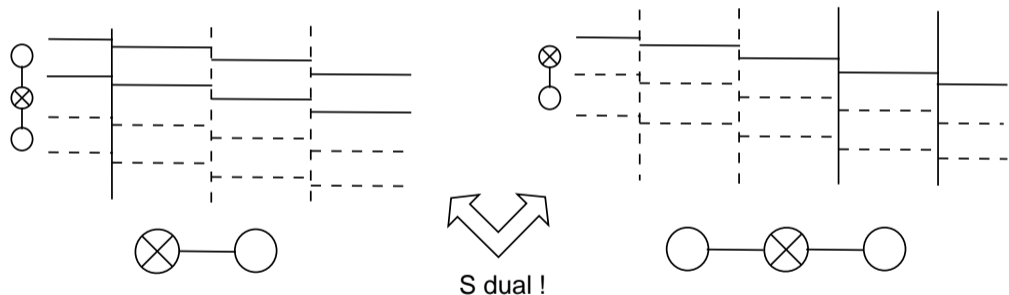
new intertwiners



- Taking the contractions of the new intertwiners, we should obtain partition functions of *supersymmetric supergroup superquiver gauge theories*. ←conjecture

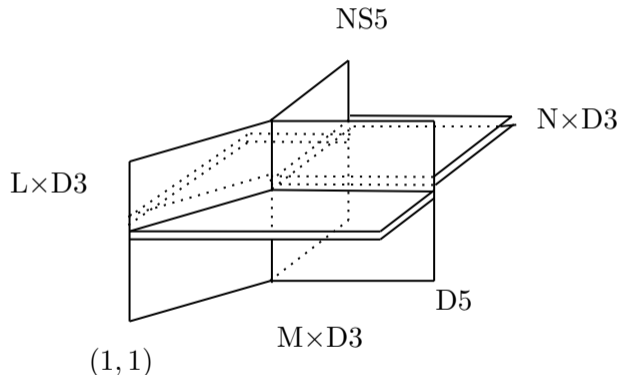


- We should have a duality between supergroup gauge theories and superquiver gauge theories, which comes from S-duality. This should be a strong consistency check.



Future directions 2: Corner (q-)VOA [Gaiotto-Rapcak 2017 etc.]

- Corner VOA $Y_{L,M,N}$ is obtained by stacking D3-branes in junctions of (p, q) branes.



- The D3-branes do not have to be positive branes. We should insert negative D3-branes too.

- The corner VOA should be generalize to an algebra

$$Y_{L,M,N}, \quad L = (L_+, L_-), \quad M = (M_+, M_-) \quad N = (N_+, N_-)$$

- $Y_{0,0,(N_+,N_-)}$ should be the AGT dual algebra of $U(N_+ | N_-)$ supergroup gauge theory.
- The q -deformed version of this generalized CVOA should be obtained by taking tensor products of the well known representations $\mathcal{F}_i(u)$ ($i = 1, 2, 3$) with positive central charges and $\bar{\mathcal{F}}_i(u)$ ($i = 1, 2, 3$) with negative central charges.
- I have studied only the algebra $\bar{\mathcal{F}}_3(u_1) \otimes \bar{\mathcal{F}}_3(u_2)$ and actually it is just the q -Virasoro algebra. This is not special since the AGT dual gauge theories $U(2|0)$ and $U(0|2)$ give the same partition functions and are equivalent.
- Other algebras are yet to be studied. I still do not know how to remove the extra Heisenberg algebra.

Example: q -Virasoro(?)

- $\bar{\mathcal{F}}_3(u_1) \otimes \bar{\mathcal{F}}_3(u_2)$
 - Current

$$T(z) = u_1 \Lambda_1(z) + u_2 \Lambda_2(z)$$

$$\Lambda_1(z) = \xi(z^{-1}) \otimes 1, \quad \Lambda_2(z) = \varphi^+(\gamma^{1/2} z^{-1}) \otimes \xi(\gamma z^{-1})$$

- Quadratic relation:

$$\begin{aligned} & S(w/z)T(z)T(w) - S(z/w)T(w)T(z) \\ &= \frac{(1-q_1)(1-q_2)}{(1-q_3^{-1})} \left\{ \delta\left(\frac{q_3 w}{z}\right) : \Lambda_1(q_3^{-1} z) \Lambda_2(z) : - \delta\left(\frac{w}{q_3 z}\right) : \Lambda_1(z) \Lambda_2(q_3 z) : \right\} \end{aligned}$$

- Usually, we can decouple the blue terms by dressing the currents

$$T(z) \rightarrow \alpha(z)T(z)\beta(z).$$

After mixing with reps with other colors and positive central charges, I do not know what will happen.

Appendix: Equivariant index formula

- Quiver gauge theory: $\Gamma = (\Gamma_0, \Gamma_1)$, $\Gamma_0 = \{i\}$, $\Gamma_1 = \{e : i \rightarrow j\}$
- Framing bundle $\mathbf{N} = (\mathbf{N}_i)_{i \in \Gamma_0}$, instanton bundle $\mathbf{K} = (\mathbf{K}_i)_{i \in \Gamma_0}$
- Supercharacters:

$$\text{sch } \mathbf{N}_i = \text{ch } \mathbf{N}_i^+ - \text{ch } \mathbf{N}_i^-, \quad \text{sch } \mathbf{K}_i = \text{ch } \mathbf{K}_i^+ - \text{ch } \mathbf{K}_i^-$$

where each is defined as

$$\text{ch } \mathbf{N}_i^\sigma = \sum_{\alpha=1}^{N_{i,\sigma}} v_{i,\alpha}^{(\sigma)}, \quad \text{ch } \mathbf{K}_i^\sigma = \sum_{x \in \lambda_i^{(\sigma)}} \chi_x^{(\sigma)}, \quad \sigma = \pm$$

where

$$\begin{aligned} \chi_x^{(+)} &= v_{i,\alpha}^{(+)} q_1^{i-1} q_2^{j-1}, & (\alpha, i, j) \in \lambda_{i,\alpha}^{(+)}, & \alpha = 1, \dots, N_{i,+}, \\ \chi_x^{(-)} &= v_{i,\alpha}^{(-)} q_1^{-i} q_2^{-j}, & (\alpha, i, j) \in \lambda_{i,\alpha}^{(-)}, & \alpha = 1, \dots, N_{i,-}, \end{aligned}$$

- Vector multiplet:

$$\text{sch } \mathbf{V}_i^{\text{inst}} = -\text{sch } \mathbf{N}_i^{\vee} \text{sch } \mathbf{K}_i - q_3 \text{sch } \mathbf{K}_i^{\vee} \text{sch } \mathbf{N}_i + \text{ch } \wedge \mathbf{Q}^{\vee} \text{sch } \mathbf{K}_i^{\vee} \text{sch } \mathbf{K}_i,$$

$$\text{sch } \mathbf{V}_i^{\text{inst}} = \sum_{\sigma, \sigma' = \pm} \sigma \sigma' \text{ch } \mathbf{V}_{i, \sigma \sigma'}^{\text{inst}},$$

$$\text{ch } \mathbf{V}_{i, \sigma \sigma'}^{\text{inst}} = -\text{ch } \mathbf{N}_i^{\sigma \vee} \text{ch } \mathbf{K}_i^{\sigma'} - q_3 \text{ch } \mathbf{K}_i^{\sigma \vee} \text{ch } \mathbf{N}_i^{\sigma'} + \text{ch } \wedge \mathbf{Q}^{\vee} \text{ch } \mathbf{K}_i^{\sigma \vee} \text{ch } \mathbf{K}_i^{\sigma'},$$

where

$$\text{ch } \wedge \mathbf{Q} = (1 - q_1)(1 - q_2),$$

$$\text{ch } \mathbf{X} = \sum_X x \longrightarrow \text{ch } \mathbf{X}^{\vee} = \sum_X x^{-1}.$$

- The partition functions are obtained by taking the index

$$\mathbb{I}[\mathbf{X}] = \prod_{x \in \mathbf{X}} (1 - x^{-1})$$

Appendix: Quantum toroidal \mathfrak{gl}_1

- Coproduct:

$$\Delta(x^+(z)) = x^+(z) \otimes 1 + \psi^-(\hat{\gamma}_{(1)}^{1/2} z) \otimes x^+(\hat{\gamma}_{(1)} z),$$

$$\Delta(x^-(z)) = x^-(\hat{\gamma}_{(2)} z) \otimes \psi^+(\hat{\gamma}_{(2)}^{1/2} z) + 1 \otimes x^-(z),$$

$$\Delta(\psi^+(z)) = \psi^+(\hat{\gamma}_{(2)}^{1/2} z) \otimes \psi^+(\hat{\gamma}_{(1)}^{-1/2} z),$$

$$\Delta(\psi^-(z)) = \psi^-(\hat{\gamma}_{(2)}^{-1/2} z) \otimes \psi^-(\hat{\gamma}_{(1)}^{1/2} z),$$

$$\Delta(\hat{\gamma}) = \hat{\gamma} \otimes \hat{\gamma},$$

where $\hat{\gamma}_{(1)} = \hat{\gamma} \otimes 1$ and $\hat{\gamma}_{(2)} = 1 \otimes \hat{\gamma}$.

- Miki automorphism \mathcal{S} :

$$\mathcal{S}^2 \cdot x^\pm(z) = -x^\mp(z^{-1}), \quad \mathcal{S}^2 \cdot \psi^\pm(z) = \psi^\mp(z^{-1}), \quad \mathcal{S}^2 \cdot (c, \bar{c}) = (-c, -\bar{c})$$