Foliated-Exotic Duality in Fractonic BF Theories

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Overview

• We consider a new type of continuum QFT called a **fracton system**, which has been getting attention in condensed matter physics.

A generalization of QFT

• We show a new type of duality between fractonic QFTs.

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Fracton phase

A fracton phase is a new kind of phase of matter that has sub-dimensional excitations:

fracton : immobile; cannot move in space
lineon : move along a one-dimensional line
planon : move on a two-dimensional plane

These excitations with restricted mobility are theoretically novel.

For example, on fractons:

a) A single fracton **cannot move** in any direction.

b) A dipole of fractons can move along a line or plane orthogonal to the direction of the dipole moment like a lineon or planon.

c) A single fracton can move at the cost of creating a new dipole.



Fracton phases are described by fracton systems (fracton models).

Fracton systems have the following new features:

 Subsystem symmetry: a global symmetry supported on a rigid submanifold like a point, line or plane.

• log (ground state degeneracy) \propto (the size of the system) log GSD $\sim L$

Theoretically interesting and expected to be applied to quantum information.

aL

Subsystem symmetry

Ordinary global symmetry: the corresponding symmetry operator is supported on **the whole space** *M*.

The operator is **topological** (*M* can be smoothly deformed in spacetime).

Subsystem symmetry: the corresponding symmetry operator is supported on a rigid submanifold like a point, line or plane.

The operator is **not completely topological**.







An example: The X-cube model

The X-cube model (The \mathbb{Z}_2 X-cube model) [Vijay, Haah, Fu (2016)] is a gapped fracton model in 3+1d, which has fracton and lineon excitations.

Now we consider the model on a simple cubic lattice with periodic boundary condition.

We assign a two-dim Hilbert space and the Pauli operators on each edge.

$$\mathcal{H}_e = \{ |0\rangle_e, |1\rangle_e \}$$

edge e

$$Z_{e} |0\rangle_{e} = |0\rangle_{e}, \ Z_{e} |1\rangle_{e} = -|1\rangle_{e}$$
$$X_{e} |0\rangle_{e} = |1\rangle_{e}, \ X_{e} |1\rangle_{e} = |0\rangle_{e}$$
$$X_{e} Z_{e} = -Z_{e} X_{e}$$



Then the total Hilbert space is $\mathcal{H} = \bigotimes_{e} \mathcal{H}_{e}$.

The number of edges is $3L^1L^2L^3$: dim $\mathcal{H} = 2^{3L^1L^2L^3}$

The Hamiltonian of the X-cube model is

$$H = -\kappa^2 \sum_{v:\text{sites}} \left(A_v^1 + A_v^2 + A_v^3 \right) - \gamma^2 \sum_{c:\text{cubes}} B_c$$





Ground state degeneracy

The total Hilbert space: $\dim \mathcal{H} = 2^{3L^1L^2L^3}$

The ground state conditions :

$$B_c |GS\rangle = |GS\rangle$$
$$A_v^k |GS\rangle = |GS\rangle$$

The ground state degeneracy = $2^{2L^1+2L^2+2L^3-3}$ log (ground state degeneracy) \propto (the size of the system)

Fracton

Fracton excitations are created by a Z operator acting on some state.

At four cubes around the Z_e , the eigenvalues of each B_c are -1.

Then the energy increases. \rightarrow Some gapped excitations



This excitation cannot move alone by any Pauli operators,

but a dipole can move like a planon by Z operators.

Lineon

Another excitation is a lineon.

 x^k -lineon that can move along the x^k direction is created by a X operator acting on some state. x^k -lineon at v is characterized by the eigenvalues $A_v^k = 1$ and $A_v^i = -1$ ($i \neq k$).

Lineon excitations cannot turn without creating another lineon.



Subsystem symmetry

The \mathbb{Z}_2 X-cube model has two \mathbb{Z}_2 subsystem symmetries.

 C^{12} : closed zigzag line x^2 Z_e $\rightarrow x^1$

• The \mathbb{Z}_2 dipole global symmetries The symmetry operators

$$W_3(C^{12}) = \prod_{e \in C^{12}} Z_e$$

(and $W_1(C^{23}), W_2(C^{31})$)

Subsystem symmetry

• The \mathbb{Z}_2 tensor global symmetries The symmetry operators

$$L_3(C^3) = \prod_{e \in C^3} X_e$$

(and $L_1(C^1), L_2(C^2)$)



These operators cannot be deformed freely. \rightarrow subsystem symmetry

Continuum QFT?

- The X-cube model is a lattice model. What is the low-energy continuum description ?
 - Fractonic quantum field theory (QFT)

They are not Lorentz invariant and not fully rotational invariant.

Fractonic QFT has the discrete rotational symmetry that the corresponding lattice model has.

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Foliated QFT

Foliation

A decomposition of d-dimensional manifold to a stack of an infinite of (d-1)-dimensional submanifolds: leaves.

We consider three simultaneous foliations x^1, x^2, x^3 .



Foliated BF theory

We consider the foliated BF theory in 3+1d [Slagle, 2021] that is a lowenergy continuum QFT of the \mathbb{Z}_N X-cube model. The Lagrangian is

$$\mathcal{L}_f = \sum_{k=1}^3 \frac{iN}{2\pi} (dB^k + b) \wedge A^k \wedge dx^k + \frac{iN}{2\pi} b \wedge da$$

 A^k , B^k are **the foliated gauge fields** that is the gauge fields on planes orthogonal to the k direction.

They have discontinuities in the k direction.

2+1d BF theories



Interactions by the bulk gauge fields *a*, *b*

Time-like operators

How can we express fractons ?

In the low-energy theory, gapped excitations are described by the gauge-invariant operators extending in the time-like direction.

The manifold on which the operator is defined is **the trajectory of the excitation in the spacetime**.



Time-like operators

The fracton operator $F[C^0] = \exp\left[i\oint_{C^0}a\right]$

where C^0 is a one-dimensional line along the time direction.

The deformation C^0 to the space would break the gauge invariance.



a particle that cannot move in space: fracton <u>This operator is specific to fractonic QFT.</u>

x⁰ ↑ **Time-like operators**

The lineon operators
$$L_1[C^{01}] = \exp\left[i\oint_{C^{01}} (B^2 - B^3)\right]$$

and $L_2[C^{02}], L_3[C^{03}]$

where C^{0k} is a one-dimensional loop on a (x^0, x^k) -plane.

 \Rightarrow a particle that can only move in the x^k -direction : x^k -lineon

 C^{01}

Subsystem symmetry

Global symmetry \rightarrow gauge invariant operators defined in space.

Ordinary QFT ••• Noether charge $Q(M) = \int_M j^0$ symmetry operator $U(M) = e^{iQ}$



Fractonic QFT • • • A symmetry operator U(S) is defined on partially topological submanifold S.

Subsystem symmetry



Subsystem symmetry

• \mathbb{Z}_N tensor global symmetries

$$L_k[C^k] = \exp\left[i\oint_{C^k} (B^i - B^j)\right] \quad (i, j, k) = (1, 2, 3), (2, 3, 1), (3, 1, 2)$$

subsystem symmetries on one-dimensional lines

• \mathbb{Z}_N dipole global symmetries

$$W_k[S^k] = \exp\left[i\oint_{S^k} A^k \wedge dx^k + d(a_k dx^k)\right] \quad (k = 1, 2, 3)$$

subsystem symmetries on two-dimensional strips



 x^k

 C^{κ}

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Exotic QFT (Tensor gauge theory)

Second description of fractonic QFT is **exotic QFT** that contains **tensor gauge fields**.

As in the foliated case, we consider the exotic BF theory in 3+1d .[Seiberg-Shao, 2021] that is a low-energy continuum QFT of the \mathbb{Z}_N X-cube model.

Each tensor gauge field is in a representation of S_4 (90-degree rotations).



Exotic BF theory

The exotic BF theory in 3+1d contains

A tensor gauge field :
$$(A_0, A_{ij})$$
, $A_{ij} = A_{ji}$, $i \neq j$, $i, j = 1, 2, 3$

 \hat{A} tensor gauge field : $(\hat{A}_0^{k(ij)}, \hat{A}^{ij})$,

$$\hat{A}_{0}^{k(ij)} = \hat{A}_{0}^{k(ji)}, i \neq j \neq k, i, j, k = 1, 2, 3, \text{ the sum} = 0$$

 $\hat{A}^{ij} = \hat{A}^{ji}, i \neq j, i, j = 1, 2, 3$

Exotic BF theory

The Lagrangian is

$$\mathcal{L}_e = \frac{iN}{2\pi} \sum_{i,j,k=1,2,3} \left(\frac{1}{2} A_{ij} \left(\partial_0 \hat{A}^{ij} - \partial_k \hat{A}_0^{k(ij)} \right) + \frac{1}{2} A_0 \partial_i \partial_j \hat{A}^{ij} \right)$$

The gauge transformations are

$$\begin{aligned} A_0 &\to A_0 + \partial_0 \alpha \,, \\ A_{ij} &\to A_{ij} + \partial_i \partial_j \alpha \,, \\ \hat{A}_0^{i(jk)} &\to \hat{A}_0^{i(jk)} + \partial_0 \hat{\alpha}^{i(jk)} \,, \\ \hat{A}^{ij} &\to \hat{A}^{ij} + \partial_k \hat{\alpha}^{k(ij)} \end{aligned}$$

Gauge-invariant operators

• The fracton operator
$$ilde{F}[C^0] = \exp\left[i\oint_{C^0} dx^0 A_0\right]$$

• The lineon operators $\tilde{L}_k[C^{0k}] = \exp\left[i\oint_{C^{0k}} (dx^0 \hat{A}_0^{k(ij)} + dx^k \hat{A}^{ij})\right]$ (k = 1, 2, 3)

•
$$\mathbb{Z}_N$$
 tensor symmetries $\tilde{L}_k[C^k] = \exp\left[i\oint_{C^k} dx^k \hat{A}^{ij}\right]$ $(k = 1, 2, 3)$

• \mathbb{Z}_N dipole symmetries $\tilde{W}_k\left[S^k\right] = \exp\left[i\oint_{S^k} (dx^0dx^k\partial_kA_0 + dx^idx^kA_{ki} + dx^jdx^kA_{jk})\right]$ (i, j, k) = (1, 2, 3), (2, 3, 1), (3, 1, 2)

The exotic BF theory is a fractonic QFT that has the same types of operators as the foliated BF thoery

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Correspondences

- Both the foliated BF theory and the exotic BF theory are the continuum QFTs of the X-cube model and have the same types of gauge-invariant operators.
- By matching them, we can find the explicit correspondences of the gauge fields and parameters.
- We call this correspondences the foliated-exotic duality [Ohmori-Shimamura, 2022].

Field correspondences

For example, the fracton operators are extended along the time direction. By matching them,

$$\exp\left[i\oint_{C^0}a\right] \simeq \exp\left[i\oint_{C^0}dx^0A_0\right]$$

Foliated Exotic
$$a_0 \simeq A_0$$

 C^0

 x^0

Field correspondences

By matching the other operators, we can obtain the field correspondences

$$\begin{aligned} A_i^k + \partial_i a_k &\simeq A_{ki}, \quad k \neq i \quad k, i \in \{1, 2, 3\}, \\ B_0^i - B_0^j &\simeq \hat{A}_0^{k(ij)} \quad (i, j, k) = (1, 2, 3), (2, 3, 1), (3, 1, 2), \\ B_k^i - B_k^j &\simeq \hat{A}^{ij} \quad (i, j, k) = (1, 2, 3), (2, 3, 1), (3, 1, 2) \end{aligned}$$

Foliated Exotic

The foliated gauge fields correspond to the tensor gauge fields nontrivially. Also, we can show correspondences among the gauge parameters. Lagrangian correspondence

Integrating out *b* on the foliated side and using the field correspondences, we can derive the exotic BF Lagraingian from the foliated Lagrangian.



The foliated BF theory can be said to be equivalent to the exotic BF theory.

A duality in fractonic QFT

Conclusion

- Fracton phase is a new kind of phase of matter that has new characteristic features. Ex) the X-cube model
- There are two types of continuum QFTs of the X-cube model: the foliated BF theory and the exotic BF theory.
- We showed the explicit correspondences of the gauge fields between the foliated BF theory and the exotic BF theory.