

”Seiberg-Witten Theory and AGT Relation”

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We consider $\mathcal{N} = 2$ supersymmetric gauge theories in 4-dimensions and study the case when the theory possesses **the conformal invariance**.

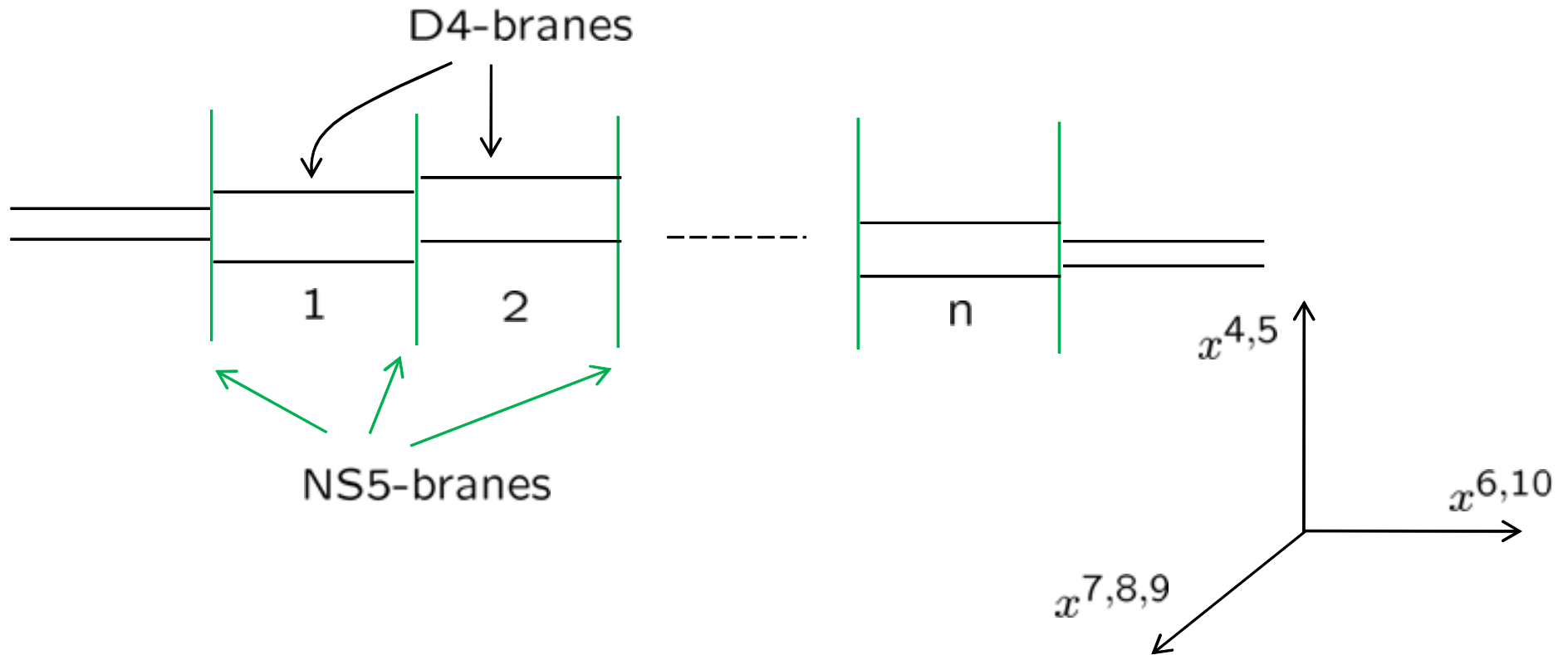
Simplest example of a conformal invariant theory:

$SU(2)$ gauge theory with $N_f = 4$ hypermultiplets

We may consider its generalizations

A chain of $SU(2)$ gauge theories with bifundamentals and fundamental at the ends: **quiver gauge theories**

As is well-known, such quiver theories are obtained using the brane construction as shown in the figure:



One has $n + 1$ NS5 branes and a pair of $D4$ branes are sus-

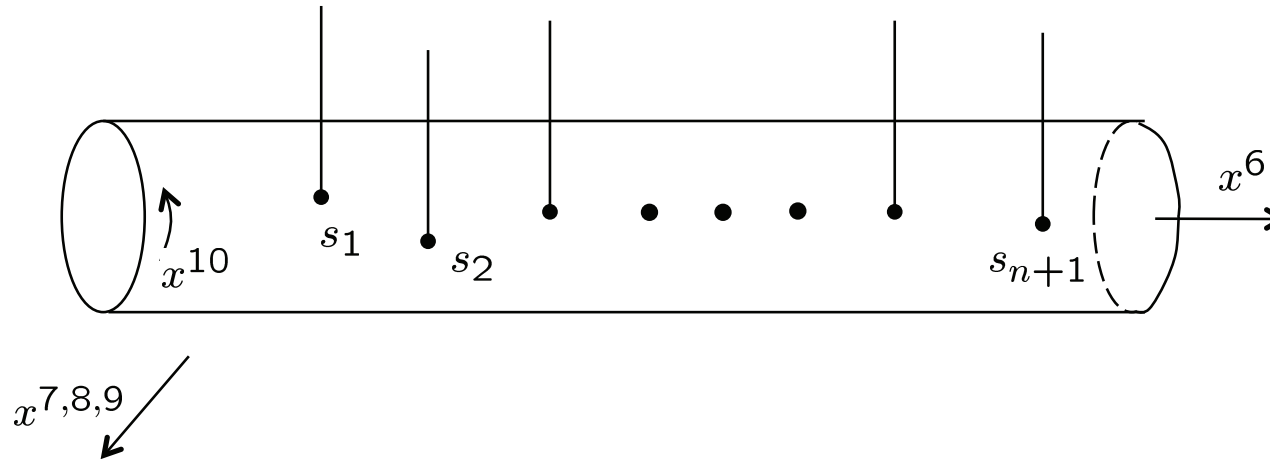
pended between neighbouring NS5 branes giving rise to $SU(2)_1 \times SU(2)_2 \cdots \times SU(2)_n$ gauge symmetry. Two D4 branes at extreme left and right extend to $x_6 = \pm\infty$ representing fundamental hypermultiplets. In such a configuration each $SU_i(2)$ theory couples to $N_f = 4$ hypermultiplets and is conformally invariant. Thus there exists a set of marginal parameters in the theory

$$\{\tau_i = \frac{\theta_i}{\pi} + \frac{8i\pi}{g_i^2}, \quad i = 1, \dots, n\}$$

Uplifting this brane configuration to 11 dimensions

\implies M theory picture with an M5 brane wrapping a Riemann

surface (cylinder) with punctures.



Thus, conformal $\mathcal{N} = 2$ theories

\approx an M5 brane wrapping a Riemann surface C with a number of punctures.

Number of parameters of Riemann surface $C_{g,n}$ of genus g

with n punctures: $3g - 3 + n$

This agrees with the number of gauge theory parameters $\{\tau_i\}$.

Hence one expects

Gaiotto

S-duality group of quiver gauge theory =

mapping class group of Riemann surface $C_{g,n}$

Remarkable observation

Alday, Gaiotto, Tachikawa

AGT relation

$$\langle \prod V_{m_i}(\tau_i) \rangle = \int [da] |Z_{\text{Nek}}(\tau; a; m, \epsilon_i)|^2$$

Liouville
correlation function

Nekrasov partition function
of $SU(2)$ gauge theory in Ω background

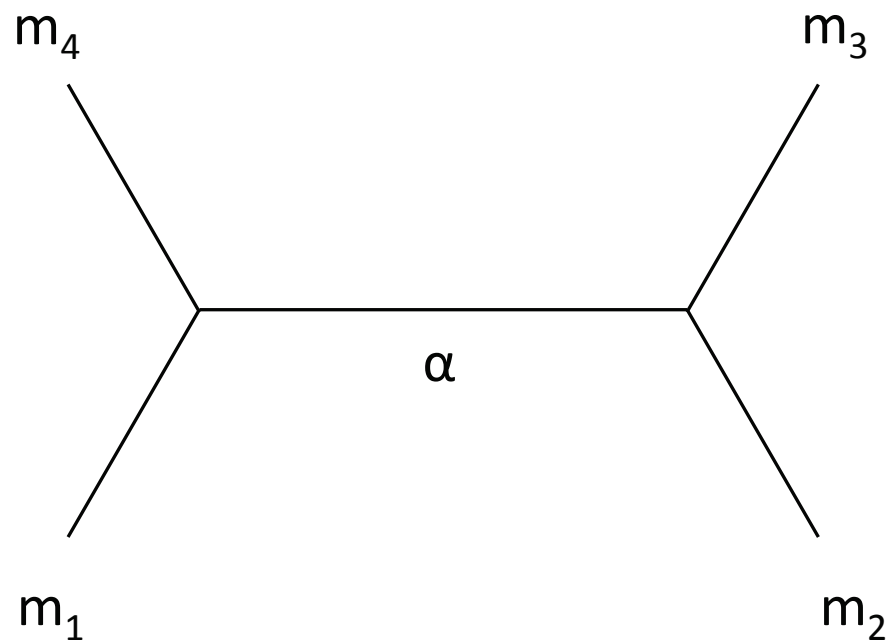
Liouville momentum

$$\left\{ \begin{array}{l} \text{external line: } \frac{m_i}{\hbar}, \quad \Delta_i = \frac{m_i}{\hbar} \left(Q - \frac{m_i}{\hbar} \right) \\ \text{interbal line: } \alpha = \frac{Q}{2} + \frac{a}{\hbar} \end{array} \right.$$

Background charges

$$Q = b + \frac{1}{b}, \quad c = 1 + 6Q^2$$

$$\epsilon_1 = b\hbar, \quad \epsilon_2 = \frac{\hbar}{b}$$



Nekrasov formula

Sum over Yang tableau; $Y = (\lambda_1 \geq \lambda_2 \geq \dots)$

$$\begin{aligned} Z_{\text{Nek}} = & \sum_{(Y_1, Y_2)} q^{|\vec{Y}|} Z_{\text{vector}}(\vec{a}, \vec{Y}) Z_{\text{antifund}}(\vec{a}, \vec{Y}, m_1) \\ & \times Z_{\text{antifund}}(\vec{a}, \vec{Y}, m_2) Z_{\text{fund}}(\vec{a}, \vec{Y}, -m_3) Z_{\text{fund}}(\vec{a}, \vec{Y}, -m_4) \end{aligned}$$

Here

$$\begin{aligned} Z_{\text{vector}}(\vec{a}, \vec{Y}) &= \prod_{i,j=1,2} \prod_{s \in Y_i} \left(a_{ij} - \epsilon_1 L_{Y_j}(s) + \epsilon_2 (A_{Y_i}(s) + 1) \right)^{-1} \\ &\quad \times \prod_{t \in Y_j} \left(a_{ji} + \epsilon_1 L_{Y_j}(t) - \epsilon_2 (A_{Y_i}(t) + 1) + \epsilon_+ \right)^{-1} \end{aligned}$$

$$Z_{\text{fund}}(\vec{a}, \vec{Y}, \mu) = \prod_{i=1,2} \prod_{s \in Y_i} (a_i + \epsilon_1(\ell - 1) + \epsilon_2(m - 1) - \mu + \epsilon_+)$$

$$Z_{\text{antifund}}(\vec{a}, \vec{Y}, \mu) = \prod_{i=1,2} \prod_{s \in Y_i} (a_i + \epsilon_1(\ell - 1) + \epsilon_2(m - 1) + \mu)$$

$\epsilon_+ = \epsilon_1 + \epsilon_2$, $a_{ij} = a_i - a_j$. $L_Y(s)$ and $A_Y(s)$ are leg and arm length of the site s .

Nekrasov formula is obtained by summing over contributions from fixed points in the ADHM formula under gauge and Lorenz transformation ($SO(4) = SU(2)_L \times SU(2)_R \in (\epsilon_1, \epsilon_2)$).

First exact relationship between 4-dim CFT and 2-dim CFT.

Higher rank generalization: Toda theories

♠ Attempts at direct proof

Fateev-Litvinov

Detailed study of the algebraic structure of conformal block in Liouville theory , i.e. the recursion relation by A.I.B.Zamolodchikov.

$$\langle V_\alpha \rangle \approx \mathcal{F}_\alpha^\Delta(q), \quad \mathcal{F}_\alpha^\Delta(q) = \sum q^{mn} \frac{R_{n,m}}{\Delta - \Delta_{m,n}} \mathcal{F}_\alpha^{\Delta_{m,-n}}(q)$$

and comparison with the sum over Yang tableaux of gauge theory side.

Conformal block of 1-point function in Liouville theory on a torus = $\mathcal{N} = 4$ gauge theory perturbed by the mass of the adjoint hypermultiplet ($\mathcal{N} = 2^*$ theory)

♠ Exact Integration

Consider Liouville correlation function in free field representation

$$\left\langle \prod_a e^{im_a \phi(q_a)} \prod_{i=1}^N \int e^{b\phi(z_i)} dz_i \right\rangle$$

screening ops.

$$= \prod_{a < b} (q_a - q_b)^{2m_a m_b} \int \prod_{i,a} dz_i (z_i - q_a)^{-2ibm_a} \prod_{i < j} (z_i - z_j)^{-2b^2},$$

$$\sum_i im_a + Nb = Q$$

Dotsenko-Fatteev integral

This is an integration of Selberg type.

$$\begin{aligned} I_N(a, c, \beta) &= \int \prod_{i=1}^N dx_i \prod_{i < j} (x_i - x_j)^{2\beta} \prod_{i=1}^N x_i^a (1 - x_i)^c \\ &= \prod_{j=0}^{N-1} \frac{\Gamma(a + 1 + j\beta)\Gamma(c + 1 + j\beta)\Gamma(1 + (j + 1)\beta)}{\Gamma(a + c + 2 + (N + j - 1)\beta)\Gamma(1 + \beta)} \end{aligned}$$

Attempts at exact evaluation and comparison with conformal blocks.

Morozov-Kironov-Shakirov,

Itoyama-Oota...

♠ Monodromy transformations

SW curve Σ : $x^2 = \phi_2(z)$,

ϕ_2 has double poles $\approx \frac{m_i^2}{(z - z_i)^2}$

$$\frac{1}{2\pi i} \oint_{A_i} x dz = a_i, \quad \frac{1}{2\pi i} \oint_{B_i} x dz = a_D^i, \quad a_D^i = \frac{1}{4\pi i} \frac{\partial F}{\partial a_i}$$

In the semi-classical limit $\hbar \rightarrow 0$, $\epsilon_{1,2} \ll a_i, m_i$

$$Z \approx \exp\left(-\frac{F(a_i)}{\hbar^2}\right)$$

Liouville stress tensor $T(z)$

$$\langle T(z) V_{m_1}(z_1) \cdots V_{m_n}(z_n) \rangle \approx \frac{-1}{\hbar^2} \phi_2(z) \langle V_{m_1}(z_1) \cdots V_{m_n}(z_n) \rangle$$

\Downarrow

$$\frac{-\frac{m_i^2}{\hbar^2}}{(z - z_i)^2} \approx \frac{\Delta_i}{(z - z_i)^2}$$

Degenerate field

Consider a field $\Phi_{2,1}(z) = e^{\frac{-b}{2}\phi(z)}$ which possesses a degeneracy at level 2

$$\partial_z^2 \Phi_{2,1}(z) = -b^2 : T(z) \Phi_{2,1}(z) :$$

Correlation function with an extra insertion of $\Phi_{2,1}$

$$Z(a_i; z) = \langle \Phi_{2,1}(z) V_{m_1}(z_1) \cdots V_{m_n}(z_n) \rangle$$

In the semi-classical limit

$$Z(a_i; z) \approx \exp \left(-\frac{F(a_i)}{\hbar^2} + \frac{bW(a_i; z)}{\hbar} + \cdots \right)$$

One finds

$$(\partial W)^2 = \phi_2(z) = x(z)^2$$

Hence

$$W_{\pm}(z) = \pm \int_{z^*}^z x dz$$

shift around A, B cycles gives

$$Z(a_i; z + A_j) = \exp\left(\frac{2\pi i b}{\hbar} a_j\right) Z(a_i; z)$$
$$Z(a_i; z + B_j) = \exp\left(\frac{2\pi i b}{\hbar} a_D^j\right) Z(a_i; z)$$

Similarly we may consider the process

- 1. Insert identity operator inside the Liouville correlator**
- 2. $\Phi_{2,1} \otimes \Phi_{2,1} \approx 1$**
- 3. Transport one of $\Phi_{2,1}$'s around A, B cycle**
- 4. Pair annihilate two Φ 's into identity**

$$\implies \mathcal{L}(\gamma)\mathcal{F}_\alpha = \frac{\cos(\pi b(2\alpha - Q))}{\cos(\pi bQ)}\mathcal{F}_\alpha$$

These processes give monodromy factors corresponding to the action of Wilson loop, 't Hooft loop and surface operators.

Alday-Gaiotto-Gukov-Tachikawa-Verlinde

Drukker-Gomis-Okuda-Teschner

♠ Matrix Model

Dotsenko-Fatteev integral when $b = i$ suggests a matrix model interpretation with an action

$$S = \sum_a m_a \log(M - q_a)$$

and $\{z_i\}$ are identified as matrix eigenvalues.

Dijkgraaf-Vafa

We find that this model in fact reproduces Seiberg-Witten theory (also for the asymptotically free cases $N_f = 2, 3$). But it still has mysterious features.

T.E.-Maruyoshi

Let us consider the simple case of 4 hypermultiplets with masses

m_{\pm}, \tilde{m}_{\pm} . Define

$$m_0 = \frac{1}{2}(m_+ - m_-), \quad m_1 = \frac{1}{2}(\tilde{m}_+ - \tilde{m}_-)$$
$$m_2 = \frac{1}{2}(m_+ + m_-), \quad m_3 = \frac{1}{2}(\tilde{m}_+ + \tilde{m}_-)$$

Condition:

$$\sum_i m_i = 2g_s N$$

M theory curve is given by

$$C_M : (v - m_+)(v - m_-)z^2 + c_1(v^2 + Mv - U)z + c_1(v - \tilde{m}_+)(v - \tilde{m}_-) = 0$$

For convenience, set $c_1 = -(1 + q)$, $c_2 = q$. By shifting v to eliminate the linear term and setting $v = xz$

$$C_M : x^2 = \left(\frac{m_2 z^2 + (1 + q) \frac{M}{2} z + m_3 q}{z(z - 1)(z - q)} \right)^2 + \frac{(m_0^2 - m_2^2) z^2 - (1 + q) U z + (m_1^2 - m_3^2) q}{z^2(z - 1)(z - q)}$$

Seiberg-Witten differential behaves at a pole as

$$\lambda_{SW} = \frac{x dz}{2\pi i} \approx \frac{m_*}{z - z_*}$$

Mass appears at residues.

Pole at $z = 0, z = \infty$; residue $\pm m_1, \pm m_0$.

Require pole at $z = 1$ with residue $\pm m_2$ and $z = q$ with residue $\pm m_3 \implies$

$$M = \frac{-2q}{1+q}(m_2 + m_3)$$

♣ UV and IR gauge coupling constant

Standard SW curve of $N_f = 4$ in massless case

$$C_{SW} : y^2 = 4x^3 - g_2 u x^2 - g_3 u^3$$

Here

$$g_2(\omega_1, q) = \left(\frac{\pi}{\omega_1}\right)^4 \frac{1}{24} \left(\vartheta_3(q)^8 + \vartheta_2(q)^8 + \vartheta_4(q)^8 \right),$$

$$g_3(\omega_1, q) = \left(\frac{\pi}{\omega_1}\right)^6 \frac{1}{432} \left(\vartheta_4(q)^4 - \vartheta_2(q)^4 \right) \\ \times \left(2\vartheta_3(q)^8 + \vartheta_4(q)^4 \vartheta_2(q)^4 \right)$$

On the other hand M theory curve in the massless limit is

given by

$$C_M : x^2 = -\frac{(1+q)U}{z(z-1)(z-q')}$$

Here U is related to $u = \text{tr}\phi^2$ as

$$U = Au$$

and we have used q' in order to distinguish it from q of C_{SW} .

By comparing the periods we find

$$q' = \frac{\vartheta_2(q)^4}{\vartheta_3(q)^4}, \quad A = \frac{1}{\vartheta_2(q)^4 + \vartheta_3(q)^4}$$

We regard q in SW curve as the gauge coupling in the infra-red regime $q = q_{IR}$ and q' in M theory curve as the ultra-violet gauge coupling constant $q' = q_{UV}$. Relation

$$q_{UV} = \frac{\vartheta_2(q_{IR})^4}{\vartheta_3(q_{IR})^4}$$

has been obtained by various authors.

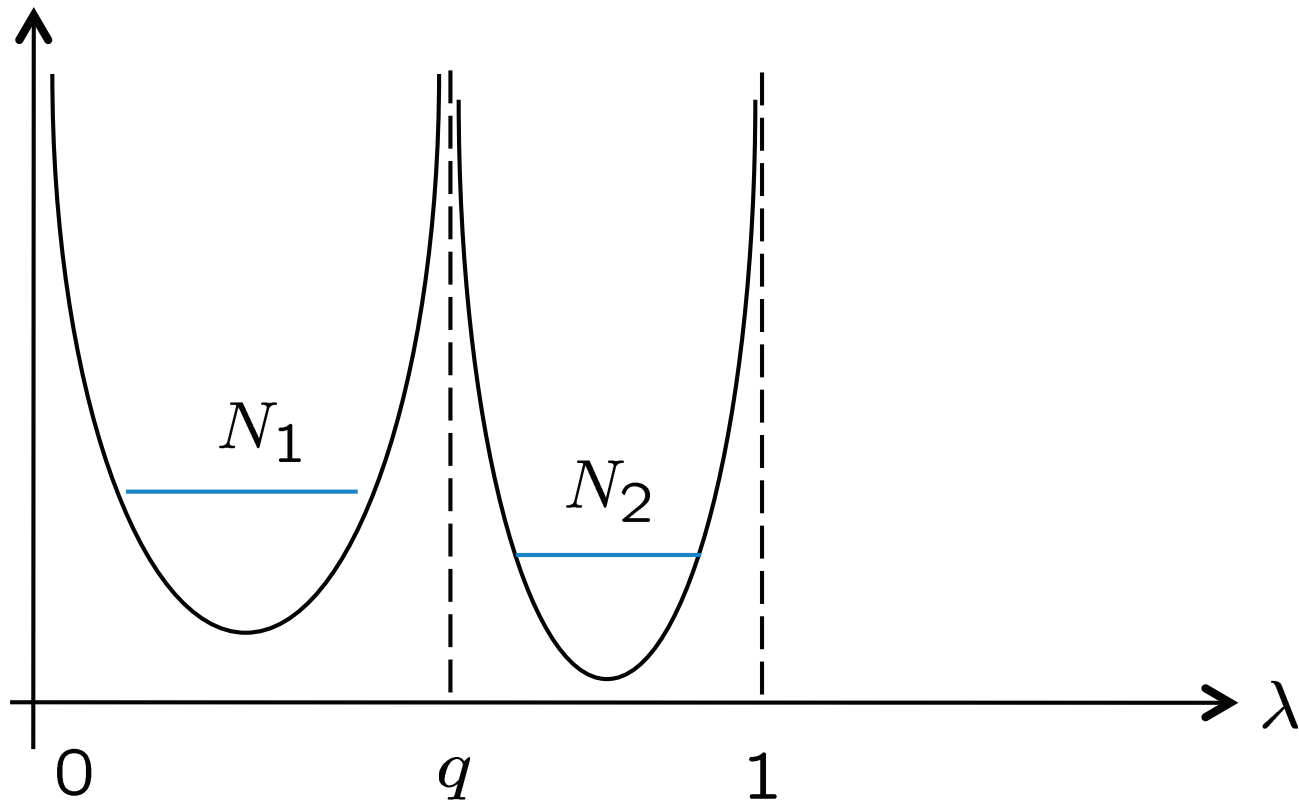
Grimm et al, Marshakov et al

♠ Matrix model and modular invariance

Equation of motion

$$\sum \frac{m_i}{\lambda_I - q_i} + 2g_s \sum_{I \neq J} \frac{1}{\lambda_I - \lambda_J} = 0$$

We have $q_1 = 0, q_2 = 1, q_3 = q_{UV}$. Eigenvalue distribution is as given in the figure.



Resolvent of the theory is defined by

$$R_m(z) = g_s \text{Tr} \frac{1}{z - M}$$

and satisfies the loop equation

$$\langle R_m(z) \rangle^2 = -\langle R_m(z) \rangle W'(z) + \frac{f(z)}{4}$$
$$f(z) = 4g_s \text{Tr} \left\langle \frac{W'(z) - W'(M)}{z - M} \right\rangle = \sum_{i=1}^3 \frac{c_i}{z - q_i}$$

Matrix model curve (spectral curve) is defined by the dis-

criminant of the loop eq.

$$\begin{aligned} C_{spec.curve} : x^2 &= W'(z)^2 + f(z) \\ &= \left(\frac{m_1}{z} + \frac{m_2}{z-1} + \frac{m_3}{z-q} \right)^2 + \frac{(m_0^2 - \sum_i m_i^2)z + qc_1}{z(z-1)(z-q)} \end{aligned}$$

Eq. of motion $\implies \sum_i c_i = 0$

Residue at ∞ being $\pm m_0$ $\implies c_2 + qc_3 = m_0^2 - (\sum m_i)^2$

Then

$$\begin{aligned} qc_1 &= (1+q)m_1^2 + (1-q)m_3^2 + 2qm_1m_2 - 2qm_2m_3 \\ &\quad + 2m_1m_3 - (1+q)U \\ &\implies C_W = C_{spec.curve} \end{aligned}$$

- **Modular invariance**

Consider the massless limit of spectral curve

$$x^2 = -\frac{(1+q)U}{z(z-1)(z-q)} = -\frac{\frac{u}{\theta_3^4}}{z(z-1)(z-q)}$$

This is invariant under

$$\begin{aligned} I : (z, x) &\rightarrow (1-z, x), & q &\rightarrow 1-q, & u &\rightarrow -u, & S \\ II : (z, x) &\rightarrow \left(\frac{1}{z}, -z^2x\right), & q &\rightarrow \frac{1}{q}, & u &\rightarrow u, & STS \end{aligned}$$

Recall $q = \frac{\theta_2^4}{\theta_3^4}$.

Consider massive case. Under the S- and STS-transformations mass parameters are transformed into each other

$$I : (0, 1, q, \infty) \rightarrow (1, 0, 1 - q, \infty), \quad m_1 \leftrightarrow m_2$$

$$II : (0, 1, q, \infty) \rightarrow (\infty, 1, \frac{1}{q}, 0), \quad m_0 \leftrightarrow m_1$$

Under these transformations, the spectral curve should be invariant. By imposing the conditions

$$x^2(z; m_0, m_1, m_2, m_3; q) = x^2(1 - z; m_0, m_2, m_1, m_3; 1 - q)$$

$$x^2(z; m_0, m_1, m_2, m_3; q) = \frac{1}{z^4} x^2\left(\frac{1}{z}; m_1, m_0, m_2, m_3; \frac{1}{q}\right)$$

one can completely fix the mass dependence of the parameter U . Solution to the above conditions is given by

$$(1 + q)U = \frac{u}{\vartheta_3^4} - q(m_2 + m_3)^2 + \frac{1 + q}{3} \left(\sum_{i=0}^3 m_i^2 \right)$$

- Asymptotically free theory with $N_f = 3$

precise relationship between u and $Tr\phi^2$

$$u = \langle \text{Tr} \phi^2 \rangle - \frac{1}{6} (\vartheta_4^4 + \vartheta_3^4) \sum_{i=0}^3 m_i^2.$$

Recall

$$m_{\pm} = m_2 \pm m_0, \quad \tilde{m}_{\pm} = m_3 \pm m_1,$$

We take the limit

$$\tilde{m}_- \rightarrow \infty, \quad q \rightarrow 0,$$

with

$$\tilde{m}_- q = \Lambda_3 \quad \text{fixed}$$

Matrix action reduces to

$$W(M) = \tilde{m}_+ \log M - \frac{\Lambda_3}{2M} + m_2 \log(M - 1).$$

the spectral curve for $N_f = 3$ theory becomes

$$x^2 = \frac{\Lambda_3^2}{4z^4} - \frac{\tilde{m}_+ \Lambda_3}{z^3(z-1)} - \frac{u - (m_2 + \frac{1}{2}\tilde{m}_+) \Lambda_3}{z^2(z-1)} + \frac{m_0^2}{z(z-1)} \\ + \frac{m_2^2}{z(z-1)^2} - \frac{m_2 \Lambda_3}{z^2(z-1)}.$$

Predicts the same free energy and discriminant as that of the

standard SW curve

$$\begin{aligned}x^2 = & z^2(z - u) - \frac{1}{4}\Lambda_3^2(z - u)^2 \\ & - \frac{1}{4}(m_+^2 + m_-^2 + \tilde{m}_+^2)\Lambda_3^2(z - u) + m_+m_- \tilde{m}_+ \Lambda_3 z \\ & - \frac{1}{4}(m_+^2 m_-^2 + m_-^2 \tilde{m}_+^2 + \tilde{m}_+^2 m_+^2)\Lambda_3^2\end{aligned}$$

- Asymptotically free theory with $N_f = 2$

Matrix action:

$$W(M) = \tilde{m}_+ \log M - \frac{\Lambda_2}{2M} - \frac{\Lambda_2 M}{2}$$

Spectral curve:

$$x^2 = \frac{\Lambda_2^2}{4z^4} + \frac{\tilde{m}_+ \Lambda_2}{z^3} + \frac{u}{z^2} + \frac{m_+ \Lambda_2}{z} + \frac{\Lambda_2^2}{4}$$

Computation of free energy

$$g_s N_1 = \frac{1}{4\pi i} \oint x(u) dz$$

(N_1 denotes the filling fraction of the first cut "1").

Derivative of free energy in Λ_2 is given by

$$\Lambda_2 \frac{\partial F}{\partial \Lambda_2} = -\Lambda_2 \frac{g_s}{2} \left\langle \sum_I \left(\frac{1}{\lambda_I} + \lambda_I \right) \right\rangle = 2u + \Lambda_2^2 - m_+^2 - \tilde{m}_+^2$$

On the other hand

$$4\pi i \frac{\partial(g_s N_1)}{\partial u} = \oint_{C_1} \frac{dz}{\sqrt{P_4(z)}}$$

where

$$P_4(z) = z^4 + \frac{4\tilde{m}_+}{\Lambda_2} z^3 + \frac{4u}{\Lambda_2^2} z^2 + \frac{4m_+}{\Lambda_2} z + 1$$

This is a complete elliptic integral and we can expand u in terms of $a = 2g_s N_1$ (we put $m_+ = \tilde{m}_+ \equiv m$ for simplicity)

$$u = a^2 + \frac{m^2}{2a^2} \Lambda_2^2 + \frac{a^4 - 6m^2 a^2 + 5m^4}{32a^6} \Lambda_2^4 + \dots$$

Then by integrating over Λ_2 we finally obtain

$$4F_m = 2(a^2 - m^2) \log \Lambda_2 + \frac{a^2 + m^2}{2a^2} \Lambda_2^2 + \frac{a^4 - 6a^2m^2 + 5m^4}{64a^6} \Lambda_2^4 + \dots$$

This gives the same free energy as the standard SW curve

$$x^2 = (z^2 - \frac{1}{4}\Lambda_2^4)(z - u) + m_+ \tilde{m}_+ \Lambda_2^2 z - \frac{1}{4}(m_+^2 + \tilde{m}_+^2) \Lambda_2^4$$

♠ Discussions

1. We want a much wider class of correspondences:

Liouville, Toda

\implies WZW, cosets, parafermions etc.

$\mathcal{N} = 2$ Yang-Mills on \mathbb{R}^4

\implies on ALE spaces, rational surfaces?

2. Want five-dimensional version of AGT. It is known that 5-dimensional Nekrasov formula counts the number of holomorphic curves in non-compact CY manifolds (geometric engineering). 5-dim. AGT \implies CFT acting on the space of Gromov-Witten invariants.