Superconformal field theories and cyclic homology

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Consider a stack of N D3 branes filling $\mathbb{R}^{1,3}$ in $\mathbb{R}^{1,3} \times \mathbb{C}^3$.



At low energies, the open string degrees of freedom decouple from the bulk. The resulting theory on the brane world-volume is $\mathcal{N}=4$ super Yang-Mills.

Less ambitious goal:

Prove part of $\mathsf{AdS}/\mathsf{CFT}$ for a subset of protected BPS operators and observables.

This talk:

Show that the BPS operators agree under the correspondence.

- Based on joint work with J. Schmude, Y. Tachikawa [arXiv:1207.0573, ATMP to appear]
- and work in progress

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AdS/CFT Cartoon

Gauge Theory

 $\mathbb{R}^{3,1} imes X_6$ N D3 branes X₆ Calabi-Yau 6-manifold

Gravity Theory

 $AdS_5 \times L_5$ N units of RR-flux L_5 Sasaki-Einstein 5-manifold



Figure: N D3-branes



Figure: AdS Space-Time

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 $\mathcal{N}=4$ SYM has three adjoint chiral scalar superfields Φ^1, Φ^2, Φ^3 . Their interactions are described by the superpotential

$$W = \operatorname{Tr} \Phi^1 \left[\Phi^2, \Phi^3 \right]$$

Consider an operator of the form

$$\mathcal{O} = \mathcal{T}^{z_1 z_2 \dots z_k} = \operatorname{Tr} \Phi^{z_1} \Phi^{z_2} \dots \Phi^{z_k}.$$

If $T^{z_1z_2...z_k}$ is symmetric in its indices, then the operator is in a short representation of the superconformal algebra. If $T^{z_1z_2...z_k}$ is not symmetric, then the operator is a descendant, because the commutators $[\Phi^{z_i}, \Phi^{z_j}]$ are derivatives of the superpotential W [Witten '98].

Under the AdS/CFT dictionary, a scalar excitation Φ in AdS obeying

$$(\Box_{AdS_5} - m^2)\Phi = 0$$

with asymptotics $\rho^{-\Delta}$ near the boundary of AdS $(\rho \to \infty)$ is dual to an operator of scaling dimension

$$m^2=\Delta(\Delta-d)
ightarrow \Delta_{\pm}=rac{d}{2}\pm\sqrt{rac{d^2}{4}=m^2}$$

Matching protected operators in $\mathcal{N}=4$ SYM

The operator

$$\mathcal{O} = \mathsf{Tr}\, \Phi^{z_1} \Phi^{z_2} \dots \Phi^{z_k}$$

has conformal dimension k and is dual to a supergravity state of spin zero and mass

$$m^2 = k(k-4).$$



FIG. 2. Mass spectrum of scalars.

Figure: From Kim-Romans-van Nieuwenhuizen [Phys.Rev. D32 (1985) 389] - 노 (종) (은 사용) 문 것이 $\mathcal{N}=4$ SYM has superpotential

$$W = \operatorname{Tr}\left(XYZ - XZY\right).$$

What happens when we deform it by giving a mass to one of the scalars

$$W = \operatorname{Tr} \left(XYZ - XZY + mZ^2 \right)$$

or deform the coupling constants?

$$W = \operatorname{Tr}\left(qXYZ - q^{-1}XZY\right)$$

Can we still match the spectrum of protected operators?

Goal: Match Closed String States in the large-N limit

Gauge Theory

 $\mathbb{R}^{3,1} imes X_6$

Closed strings:

 $HC_{\bullet}(\mathbb{C}Q/\partial W)$

Gravity Theory $AdS_5 \times L_5$ Closed strings: $HP_{\bullet}(X, \pi = 0)$





The Superconformal Algebra

The 4D superconformal algebra combines both the conformal algebra and $\mathcal{N} = 1$ supersymmetry algebra. The conformal algebra consists of Lorentz generators $M_{\mu\nu}$, momenta P_{μ} , special conformal generators K_{μ} and a dilatation D.



Figure: Generators of the Superconformal Algebra

The SCI is a 4D analog of the Witten index in quantum mechanics

Defined as

$$\mathcal{I}(\mu_i) = \mathsf{Tr}(-1)^{\mathsf{F}} e^{-\beta\delta} e^{-\mu_i \mathcal{M}_i}$$

- The trace is over the Hilbert space of states on S³
- \mathcal{Q} is one of the Poincare supercharges
- \mathcal{Q}^{\dagger} is the conjugate conformal supercharge

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$$\delta \equiv \frac{1}{2} \left\{ \mathcal{Q}, \mathcal{Q}^{\dagger} \right\}$$

• \mathcal{M}_i are \mathcal{Q} -closed conserved charges

Key commutation relations:

$$\{Q_{\alpha}, Q^{\dagger\beta}\} = E + 2M_{\alpha}^{\beta} + \frac{3}{2}r$$
$$\{\overline{Q}_{\dot{\alpha}}, \overline{Q}^{\dagger\dot{\beta}}\} = E + 2\overline{M}_{\dot{\alpha}}^{\dot{\beta}} - \frac{3}{2}r$$

Operators for which $\overline{\mathcal{Q}}^{\dot{\alpha}}\mathcal{O} = 0$ are called chiral primaries. Operators contributing to the (right-handed) index have $\delta = \{\mathcal{Q}, \mathcal{Q}^{\dagger}\} = 0$. Choosing $\mathcal{Q} = \overline{\mathcal{Q}}_{-}$, operators contributing to the index satisfy

$$E - 2j_2 - \frac{3}{2}r = 0. (0.1)$$



$\partial_{\pm -}$	$(\pm 1/2, 1/2)$	$t^3y^{\pm 1}$

Letter	(j_1, j_2)	I
λ_1	(1/2, 0)	$-t^3y$
λ_2	(-1/2,0)	$ -t^{3}y^{-1} $
\overline{f}_{22}	(0,1)	t ⁶
$\partial_{\pm -}$	$(\pm 1/2, 1/2)$	$t^3y^{\pm 1}$

• Fields contributing to the index, from a chiral multiplet (left) and from a vector multiplet (right) ¹

1[F. Dolan, H. Osborn],[A. Gadde, L. Rastelli, S. S. Razamat, W. Yan] 🛛 🦉 🔊

Letter	(j_1, j_2)	\mathcal{I}
ϕ	(0,0)	t ^{3r}
$\overline{\psi}_2$	(0,1/2)	$-t^{3(2-r)}$

Letter	(j_1, j_2)	\mathcal{I}
\overline{f}_{22}	(0,1)	t ⁶

Table: Fields contributing to the index, from a chiral multiplet (left) and from a vector multiplet (right), after the cancellation of W_{α} and the spacetime derivatives ∂_{μ} are taken into account.

Ginzburg's DG algebra is a free differential-graded algebra

$$\mathfrak{D} = \mathbb{C}\langle x_1, \ldots, x_n, \theta_1, \ldots, \theta_n, t_1, \ldots, t_m \rangle$$

where $\phi, \overline{\psi}_2, \overline{f}_{22}$ correspond to x, θ, t respectively.

The differential $\mathcal Q$ on Ginzburg's DG algebra

$$\begin{aligned} \mathcal{Q}\phi_{e} &= 0, \\ \mathcal{Q}\bar{\psi}_{e,2} &= \partial W(\phi_{e})/\partial\phi_{e}, \\ \mathcal{Q}\bar{f}_{v,22} &= \sum_{h(e)=v} \phi_{e}\bar{\psi}_{e,2} - \sum_{t(e)=v} \bar{\psi}_{e,2}\phi_{e}. \end{aligned}$$

Let $[\mathfrak{D}, \mathfrak{D}]$ be a \mathbb{C} -linear space spanned by commutators. The basis of $\mathfrak{D}_{cyc} = \mathfrak{D}/(\mathbb{C} + [\mathfrak{D}, \mathfrak{D}])$ corresponds to the set of closed path of \hat{Q} , or equivalently, the single-trace operators formed from ϕ_{e} , $\bar{\psi}_{e,2}$ and $\bar{f}_{v,22}$.

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Consider single-trace operators, up to the pairing given by the supersymmetry transformation Q. This corresponds to taking the homology $H_*(\mathfrak{D}_{cyc}, Q)$. This homology is known as (reduced) cyclic homology of the algebra \mathfrak{D} , and is usually denoted by $\overline{HC}_*(\mathfrak{D})$.

The single-trace index is the Euler characteristic of cyclic homology

$$\mathcal{I}_{s.t.}(t) \doteq \mathsf{Tr}(-1)^F t^{3R} |\mathfrak{D}_{\mathsf{cyc}} = \sum_i (-1)^i \operatorname{Tr} t^{3R} |\overline{\mathit{HC}}_i(\mathfrak{D}).$$

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A Simple Example

N D3 branes filling $\mathbb{R}^{1,3}$ in $\mathbb{R}^{1,3} \times \mathbb{C}^3$.



 $\mathcal{N}=4$ SYM has superpotential

$$W = \operatorname{Tr}\left(XYZ - XZY\right)$$

where X, Y, Z are adjoint-valued chiral superfields.

Superpotential algebra

$$\mathcal{A} = \mathbb{C}\langle x, y, z \rangle / (xy - yx, yz - zy, zx - xz) \cong \mathbb{C}[x, y, z]$$

Consider an operator which is not symmetric in x, y, z. For example $\mathcal{O} = xyz - xzy$. Since the operator is not symmetric, it is \mathcal{Q} -closed, $\mathcal{O} = \mathcal{Q}(x\bar{\psi}_x)$ so it vanishes in \mathcal{Q} -cohomology. Continuing in this way, we can find all of the protected operators. For example there are six operators $x^2, y^2, z^2, xy + yx, xz + zx, yz + zy$ of conformal dimension 2.

Operators in $\mathcal{N} = 4$ Super Yang-Mills

For $X = \mathbb{C}^3$, $L^5 = S^5$. The corresponding gauge theory is $\mathcal{N} = 4$ SYM, whose superpotential algebra is

$$\mathcal{A} = \mathbb{C}\langle x, y, z \rangle / (xy - yx, yz - zy, zx - xz) \cong \mathbb{C}[x, y, z]$$

	1	t ²	t ⁴	t ⁶	t ⁸	t ¹⁰	t ¹²	
HC_0	1	3	6	10	15	21	28	
HC_1	0	0	3	8	15	24	35	
HC_2	0	0	0	1	3	6	10	
$\mathcal{I}(t)$	1	3	3	3	3	3	3	

Table: Cyclic homology group dimensions for $\mathcal{N} = 4$ SYM

Elements $\mathcal{O} \in HC_0(\mathcal{A}) = \mathcal{A}/[\mathcal{A},\mathcal{A}]$ are of the form

$$\mathcal{O} = \operatorname{Tr} x^i y^j z^k, \qquad i, j, k \in \mathbb{N}_{\geq 0}$$

The large-N superconformal index was first computed as a large-N matrix integral by mathematicians [P. Etingof, V. Ginzburg] and independently by physicists [A. Gadde, L. Rastelli, S. S. Razamat, W. Yan].

The index can also simply computed as the Euler characteristic of a free dg-algebra [P. Etingof, V. Ginzburg].

Superconformal index and Sasaki-Einstein manifolds

The advantage of reformulating the gauge theory index in terms of cyclic homology is that the cyclic homology groups can be directly related to the supergravity index using the HKR isomorphism and its generalisations. For any local Calabi-Yau X, we have

$$\mathbb{C} \oplus \overline{HC}_{0}(\mathfrak{D}) = H^{0}(\wedge^{0}\Omega'_{X}) \oplus H^{1}(\wedge^{1}\Omega'_{X}) \oplus H^{2}(\wedge^{2}\Omega'_{X}), \quad (0.2)$$
$$\overline{HC}_{1}(\mathfrak{D}) = H^{0}(\wedge^{1}\Omega'_{X}) \oplus H^{1}(\wedge^{2}\Omega'_{X}), \quad (0.3)$$

$$\mathbb{C} \oplus \overline{HC}_2(\mathfrak{D}) = H^0(\wedge^2 \Omega'_X), \tag{0.4}$$

We conclude that the single-trace index is

$$1 + \mathcal{I}_{s.t.}(t) = \sum_{0 \le p-q \le 2} (-1)^{p-q} \operatorname{Tr} t^{3R} | H^q(\wedge^p \Omega'_X).$$
(0.5)

This agrees with the field theory computation and is a non-trivial test of AdS/CFT.

However, we would like to go beyond Sasaki-Einstein geometries. The β -deformation of $\mathcal{N} = 4$ super Yang-Mills theory is a quiver gauge theory with potential $W = qxyz - q^{-1}xzy$ where $q = e^{i\beta}$. The F-term relations are

$$xy = q^{-2}yx$$
$$yz = q^{-2}zy$$
$$zx = q^{-2}xz$$

The cyclic homology groups were computed by Nuss and Van den Bergh.

Consider an operator $\mathcal{O} = \text{Tr } I_1 I_2 \dots I_n$, where I_i is one of the letters x, y, or z. Suppose that I_1 is an x. The F-term conditions imply that

$$\mathcal{O} = \operatorname{Tr} I_1 I_2 \dots I_{n-1} I_n = q^{2(|z|-|y|)} \operatorname{Tr} I_n I_1 I_2 \dots I_{n-1},$$

where |x|, |y|, and |z| are the total number of x's, y's, and z's in the operator \mathcal{O} . Thus the single-trace chiral primaries have charges (k, 0, 0), (0, k, 0), (0, k, 0), (k, k, k) [D. Berenstein, V. Jejjala, R. G. Leigh]. ² For q a k-th root of unity, the cyclic homology groups jump.

²For G = SU(N) there are additional chiral primaries Tr xy, Tr xz and Tr yz. This agrees with the perturbative one-loop spectrum of chiral operators found in [D. Z. Freedman, U. Gursoy]. Cyclic homology gives a prediction for the spectrum of protected operators in the β -deformation. The corresponding gravity solution was found by Lunin and Maldacena.

	1	t ²	t ⁴	t ⁶	t ⁸	t ¹⁰	t ¹²	
HC ₀	1	3	3	4	3	3	4	
HC_1	0	0	0	2	0	0	2	
HC ₂	0	0	0	1	0	0	1	
$\mathcal{I}(t)$	1	3	3	3	3	3	3	

Table: Cyclic homology group dimensions for the β -deformation

For CY-3 algebras

$$HC_j(\mathcal{A}) = 0$$
 for $j > 2$

This corresponds to the AdS dual theory having no particles of spin higher than 2.

$$HC_2(\mathcal{A}) = Z(\mathcal{A})$$

So the KK-spectrum of gravitons can be computed from the center of the superpotential algebra. For the Pilch-Warner solution, this has been checked explicitly.

Summary

We have shown how to compare the protected fields on both sides of the AdS/CFT correspondence at large-N.

• Further extension to finite *N* is possible, although the cyclic homology groups become much harder to compute.

Further directions

Use all fields that contribute to the SCI. This corresponds to proving AdS/CFT under a holomorphic twist of the both the gauge and gravity theories [K. Costello].

- Other twists are also interesting [C. Beem, L. Rastelli, B. C. van Rees].
- Extensions to M-theory compactifications [R.E., J. Schmude].

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Thank you for listening!

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