

# Sine-Square Deformation (SSD) and its Relevance to String Theory



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Based on work with N. Ishibashi  
and [arXiv:1404.6343]

# Conformal Field Theory in 2 dim.

(Holomorphic part of)

$$\mathcal{H}_{\text{Hamiltonian}} \sim L_0 = \frac{1}{2\pi i} \oint dz z T(z)$$

**Let us consider a simple (almost trivial) modification to the Hamiltonian**

*Add  $L_1$  and  $L_{-1}$*

$\frac{1}{2\pi i} \oint dz T(z)$

$\frac{1}{2\pi i} \oint dz z^2 T(z)$

$L_0, L_1, L_{-1}$  form  $SL(2, \mathbb{R})$  subalgebra  
of Virasoro algebra

Global Conformal Transformation  
on the Riemann surface

**Introduce**

$$L_+ \equiv \frac{L_1 + L_{-1}}{2} \quad L_- \equiv \frac{L_1 - L_{-1}}{2i}$$

**Casimir Operator**  $(L_0)^2 - (L_+)^2 - (L_-)^2$



# Now the modification

$$e^{-it_0 L_0 - it_+ L_+ - it_- L_-} \left( x_0 L_0 + x_+ L_+ + x_- L_- \right) e^{it_0 L_0 + it_+ L_+ + it_- L_-}$$

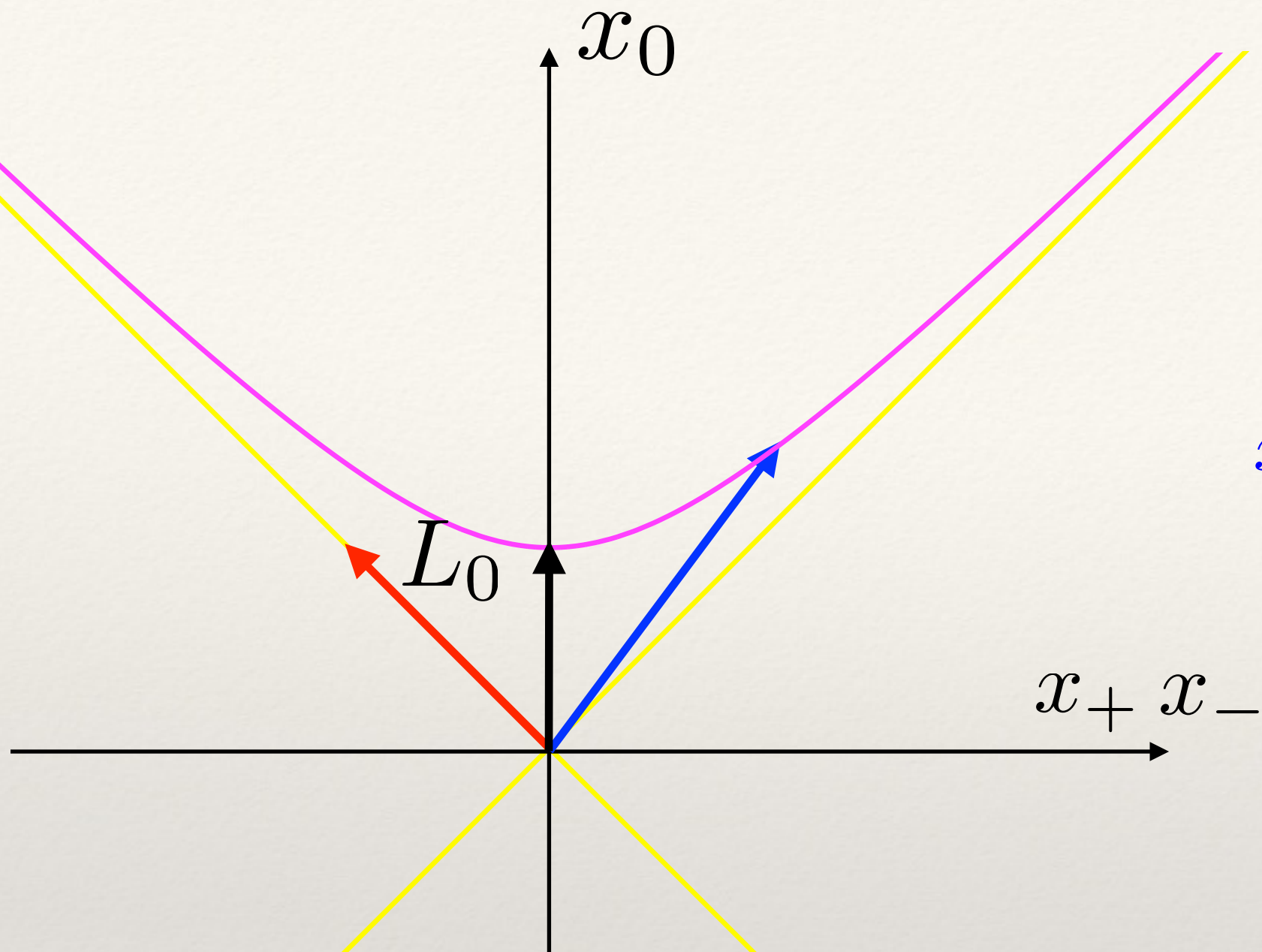


$SL(2, \mathbb{R})$

$$= x'_0 L_0 + x'_1 L_+ + x'_2 L_-$$

$$= L_0 \quad x'_0 = 1, x'_1 = x'_2 = 0$$

$$(x_0)^2 - (x_1)^2 - (x_2)^2 = (x'_0)^2 - (x'_1)^2 - (x'_2)^2$$



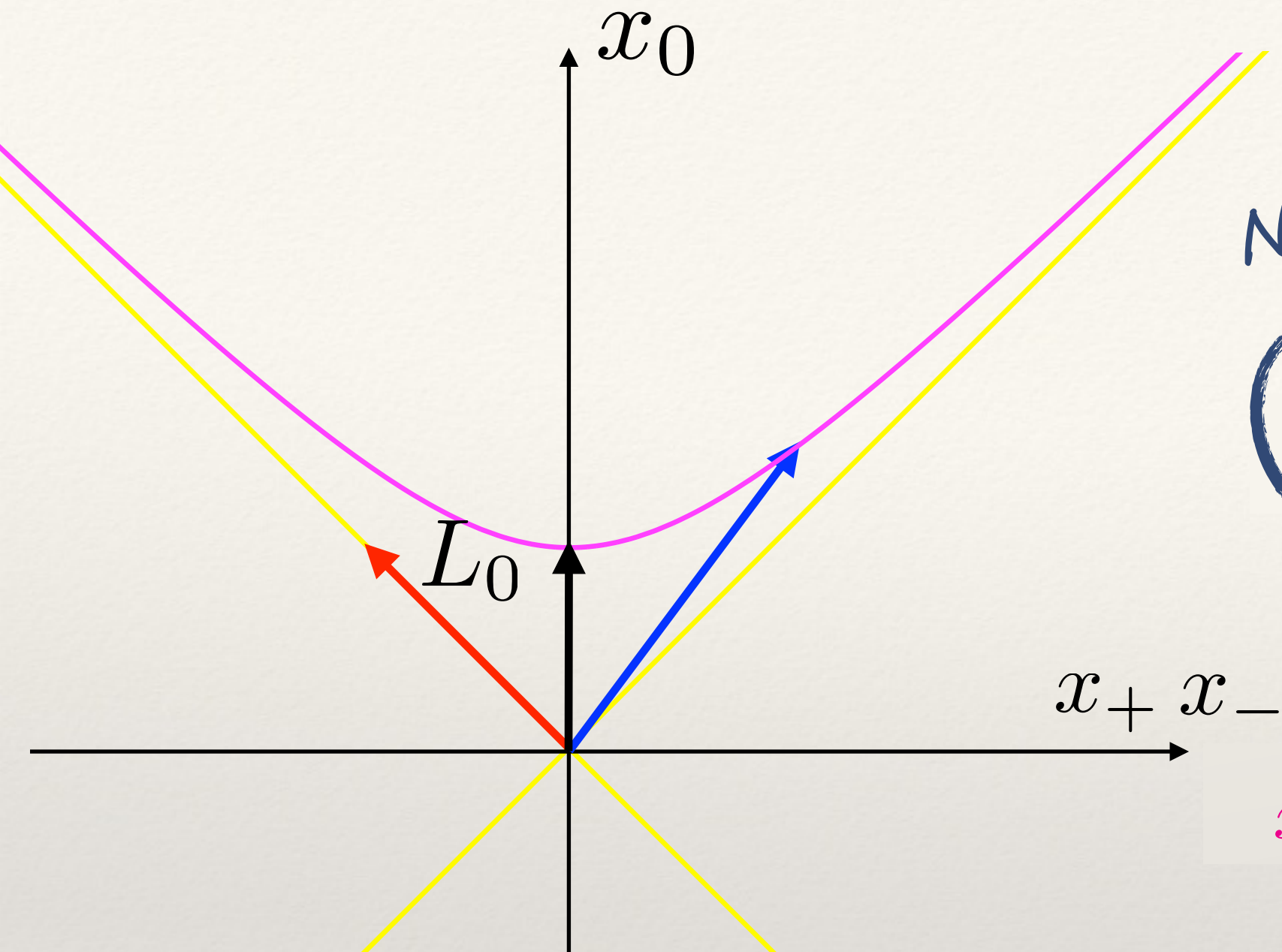
$$x_0 L_0 + x_+ L_+ + x_- L_-$$



$SL(2, \mathbb{R})$

$L_0$

$$(x_0)^2 - (x_+)^2 - (x_-)^2$$



Non-trivial modification

$$L_0 - L_+$$

$SL(2, \mathbb{R})$

$$x_0 L_0 + x_+ L_+ + x_- L_-$$

$$(x_0)^2 - (x_+)^2 - (x_-)^2$$

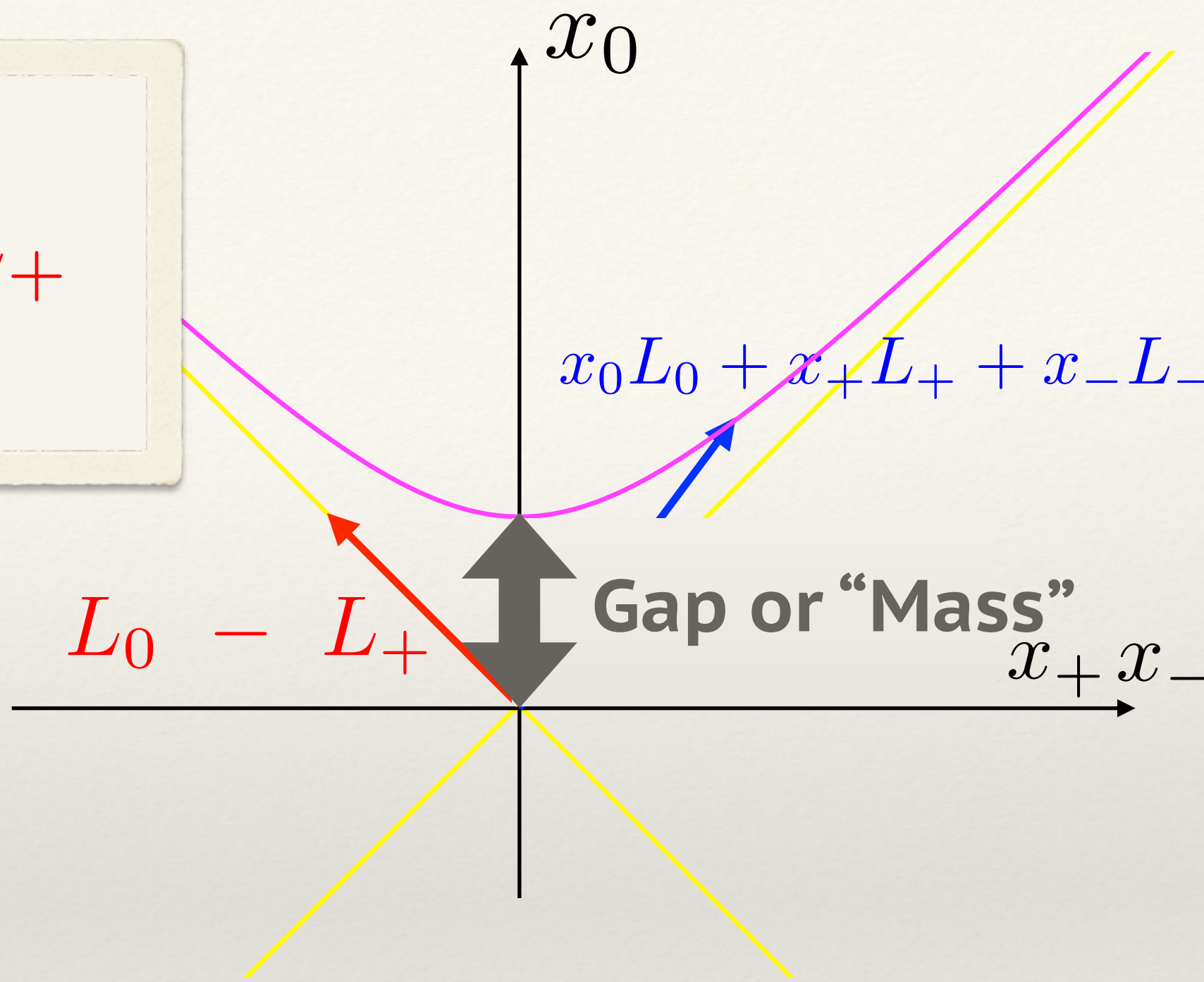
with  $(x_0)^2 - (x_+)^2 - (x_-)^2 = 0$

No way to realize

$$x_0 = 1, x_+ = x_- = 0$$



What does  
 $\mathcal{H} \sim L_0 - L_+$   
 suggest?



“Continuous Spectrum”

**c.f. “Level” structure of excited states in CFT**

$$L_0 - L_+$$

**To motivate further, let me  
introduce an interesting work  
by A. Gendiar, R. Krcmar and T.  
Nishino**

*Prog. Theor. Phys.* 122 (2009) 953;  
*ibid.* 123 (2010) 393.



## They Started With

Gendiar, Krcmar, Nishino (2009)

1d systems w/ nearest neighbor coupling

$$\mathcal{H} = - \sum J_{n,n+1} (\sigma_n \cdot \sigma_{n+1})$$

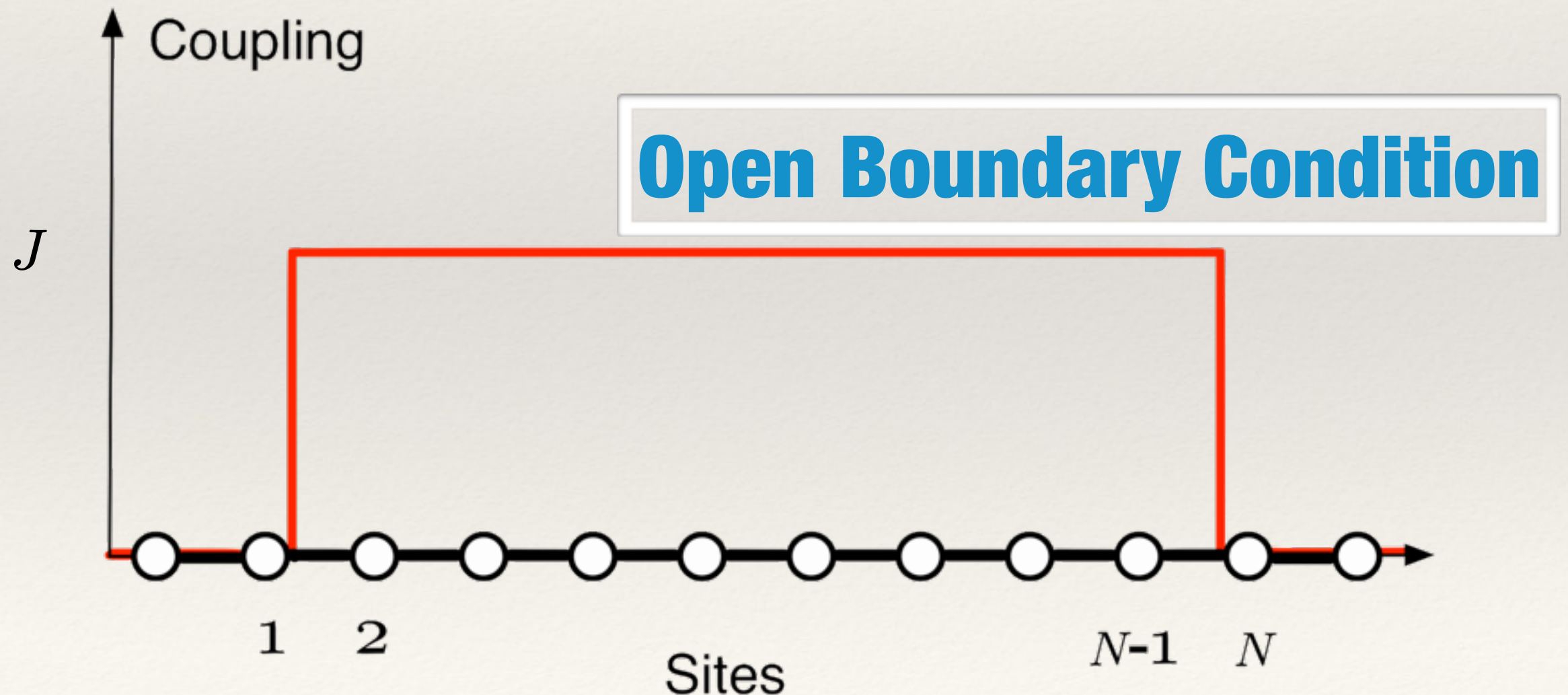
and

**Open Boundary Condition**

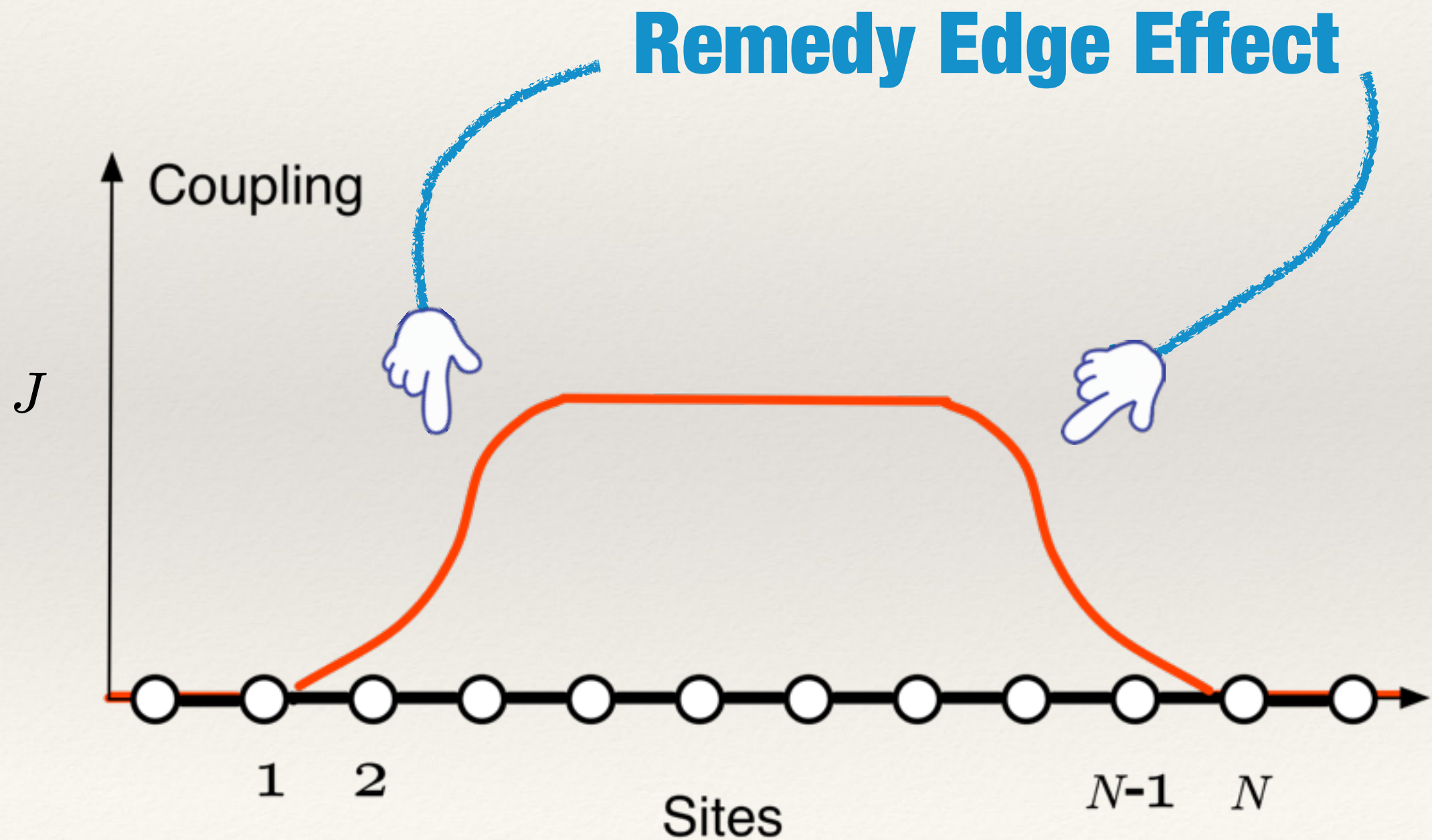
$$\mathcal{H} = - \sum J_{n,n+1} (\sigma_n \cdot \sigma_{n+1})$$

$$J_{1,2} = J_{2,3} = \dots = J_{N-1,N} \equiv J$$

$$J_{0,1} = J_{N,N+1} = 0$$



$$\mathcal{H} = - \sum J_{n,n+1} (\sigma_n \cdot \sigma_{n+1})$$

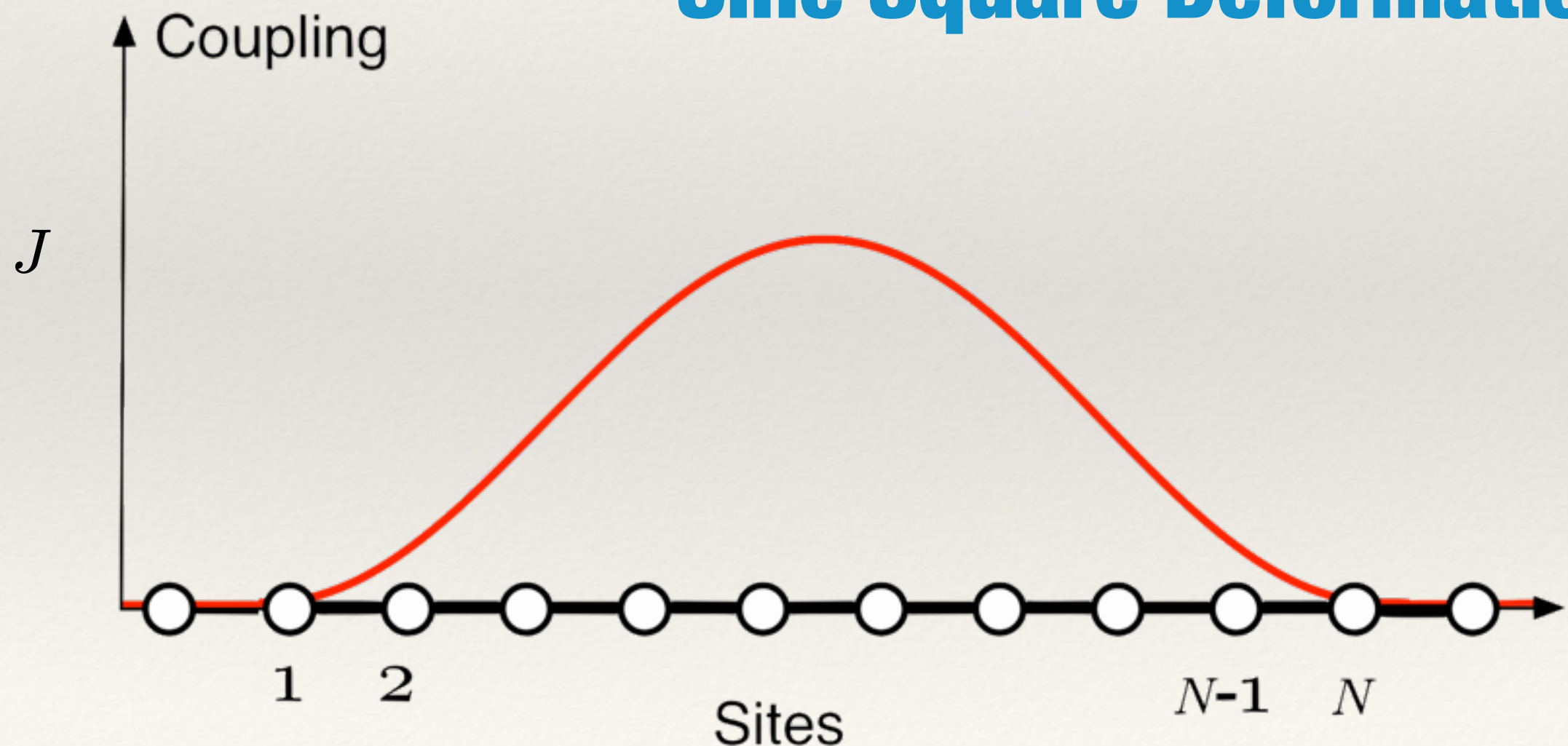


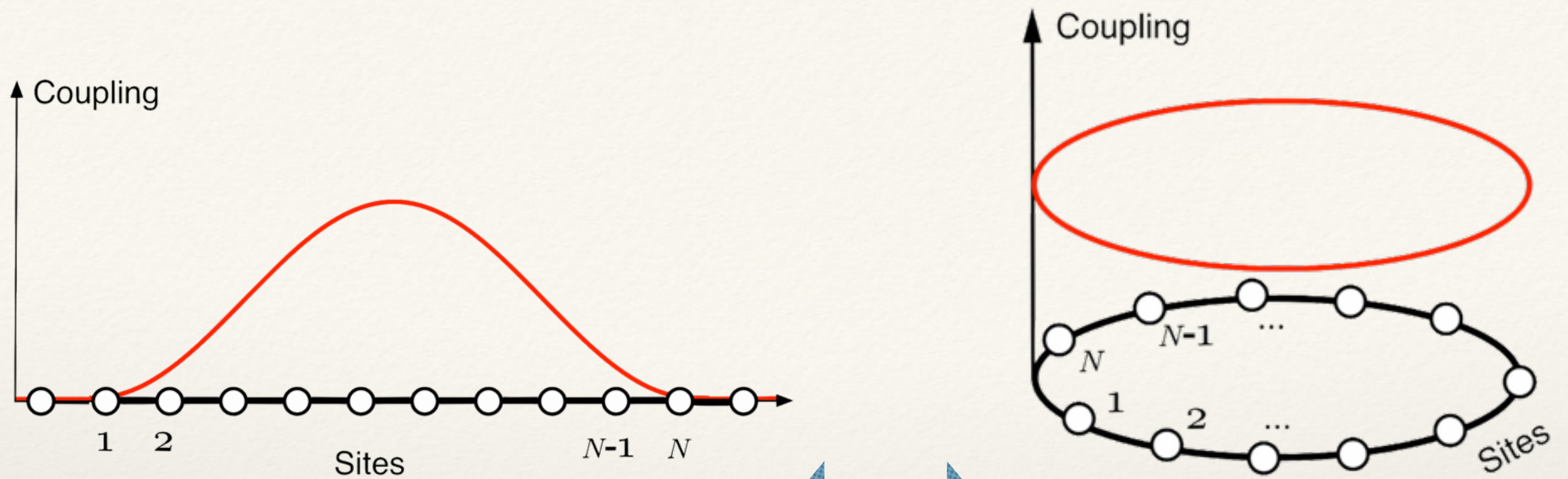


$$\mathcal{H} = - \sum J_{n,n+1} (\sigma_n \cdot \sigma_{n+1})$$

$$J_{i,i+1} \equiv J \sin^2 \left( \frac{n}{N} \pi \right)$$

## Sine Square Deformation





**Sine Square  
Deformation**

**Closed**

**Same Ground  
State**

A. Gendiar, R. Krcmar and T. Nishino

Prog. Theor. Phys. 122 (2009) 953; *ibid.* 123 (2010) 393.

**The mechanism behind this deformation was clarified by H. Katsura and his collaborators.**

H. Katsura, *J. Phys. A:Math.Theor.* 44 (2011) 252001

I. Maruyama, H. Katsura and T. Hikihara,

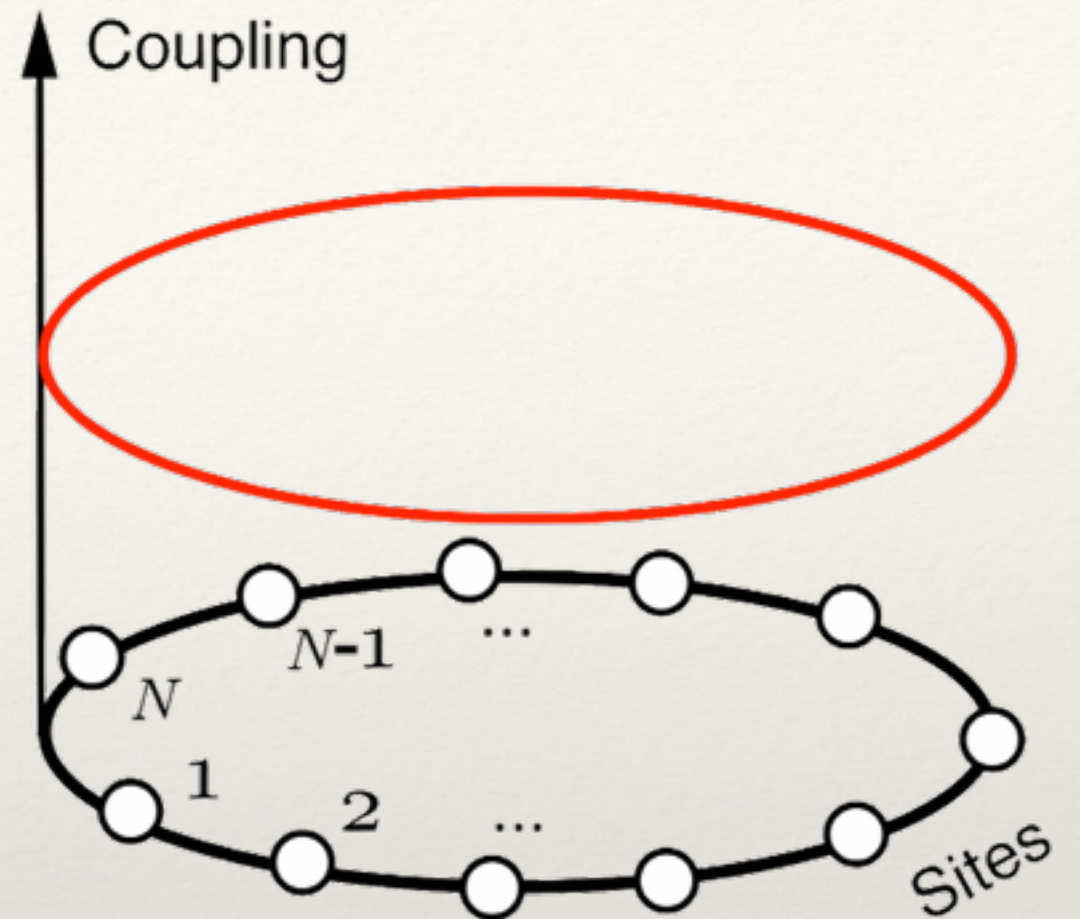
*Phys.Rev.B*84(2011)165132



# Closed Hamiltonian

$$\mathcal{H}_c = \sum_{n=1}^N h_{n,n+1}$$

$$h_{N,1} \neq 0$$



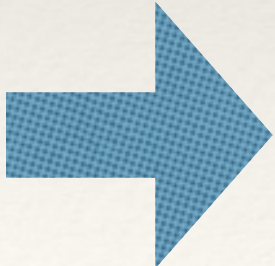
$$\mathcal{H}_{\pm 1} = \sum_{n=1}^N e^{\pm 2\pi i \frac{n}{N}} h_{n,n+1}$$

$$\mathcal{H}_c = \sum_{n=1}^N h_{n,n+1}$$

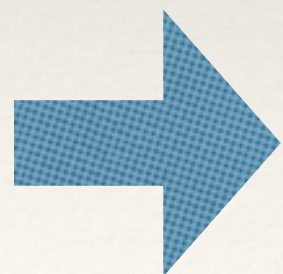
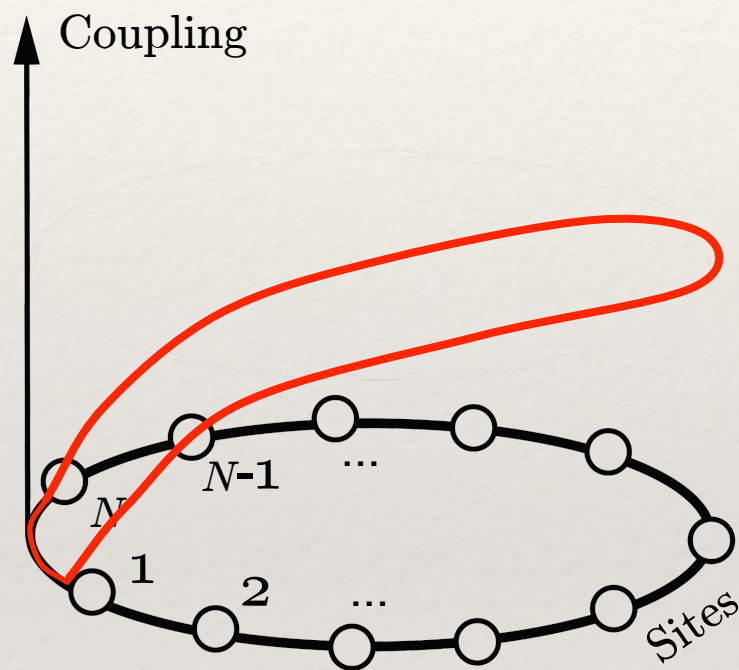
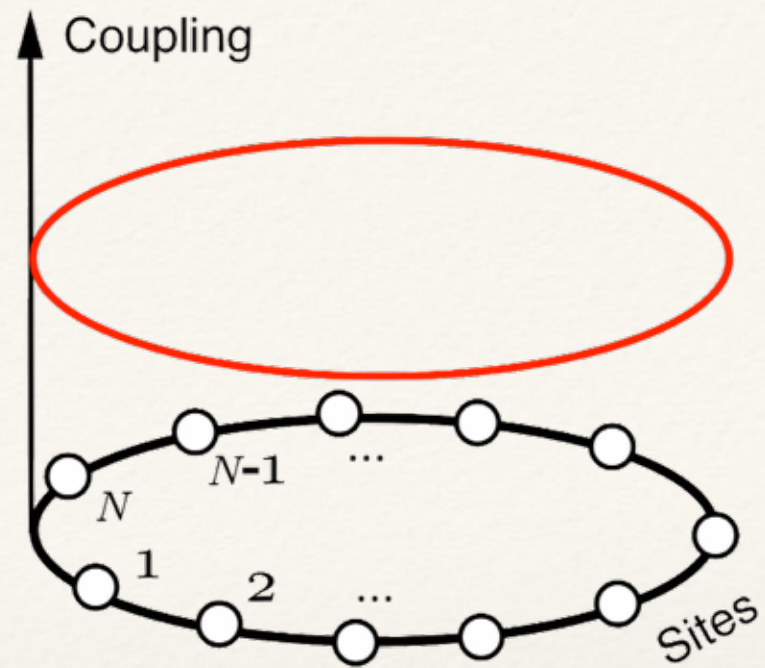
$$\mathcal{H}_{\pm 1} = \sum_{n=1}^N e^{\pm 2\pi i \frac{n}{N}} h_{n,n+1}$$

$$\mathcal{H}_{SSD} \equiv \frac{1}{2} \mathcal{H}_c - \frac{1}{4} (\mathcal{H}_{+1} + \mathcal{H}_{-1})$$

$$\begin{aligned} \frac{1}{2} - \frac{1}{4} (e^{2\pi i \frac{n}{N}} + e^{-2\pi i \frac{n}{N}}) &= \frac{1}{2} \left( 1 - \cos 2\pi \frac{n}{N} \right) \\ &= \sin^2 \pi \frac{n}{N} \end{aligned}$$


$$\mathcal{H}_{SSD} = \sum_{n=1}^N \sin^2 \left( \pi \frac{n}{N} \right) h_{n,n+1}$$

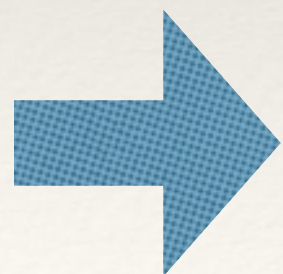
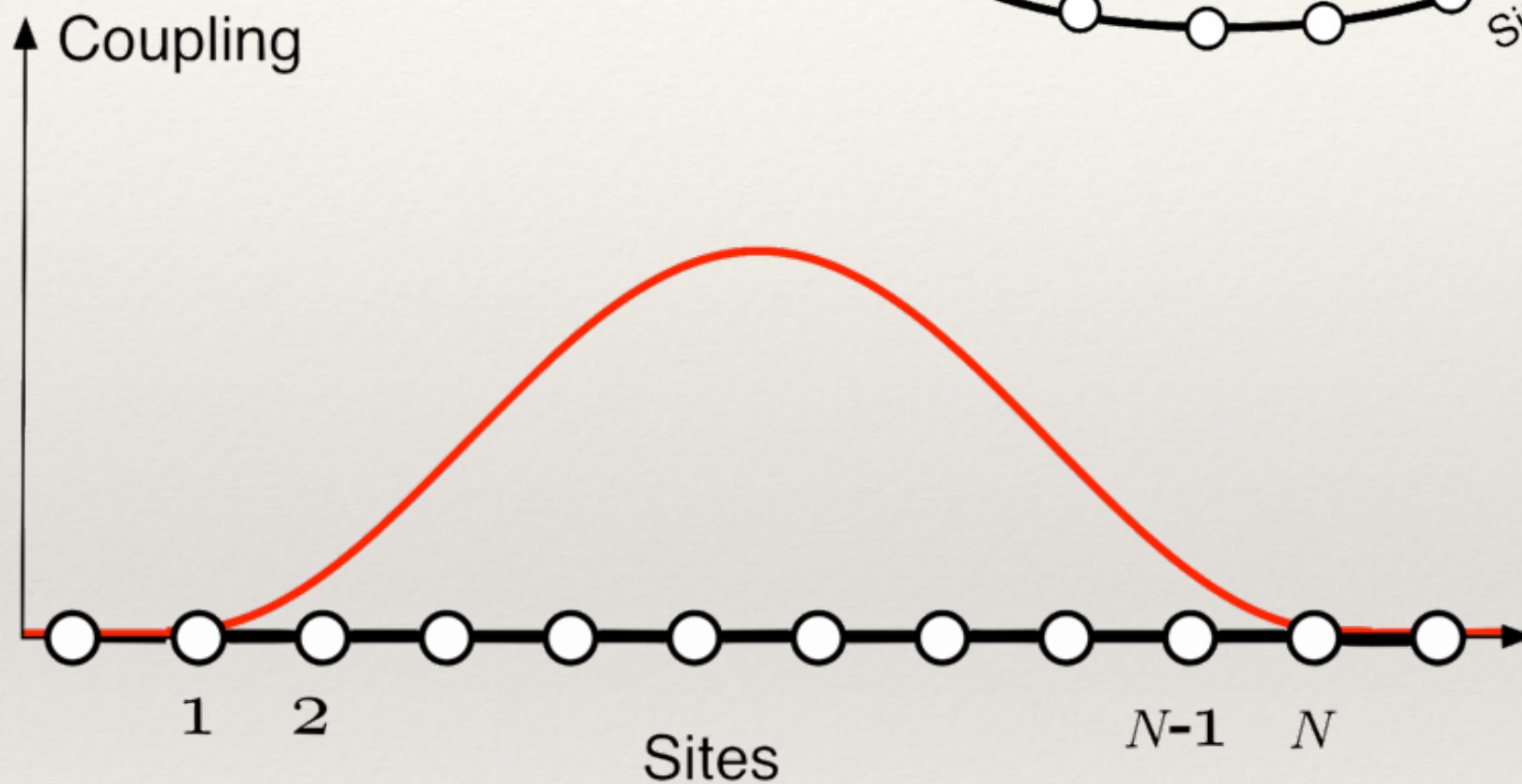
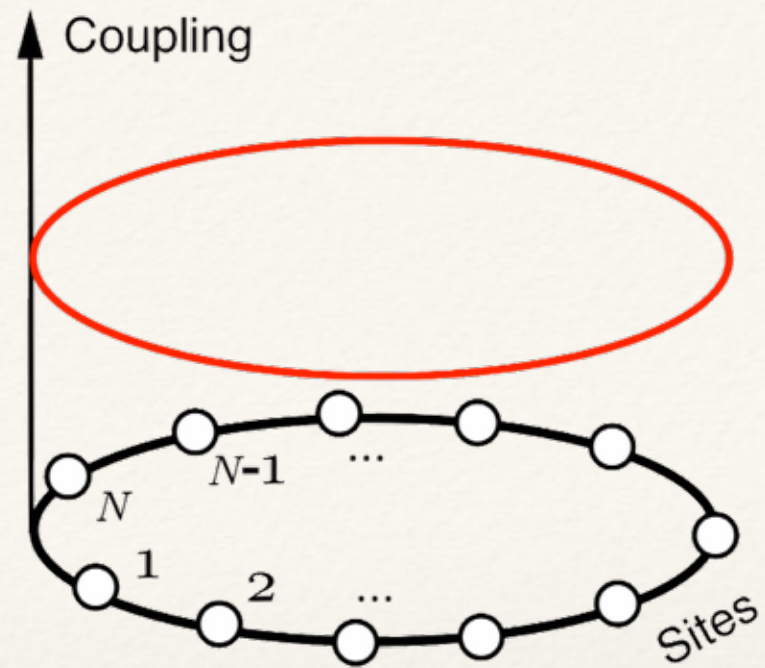
$$\mathcal{H}_c = \sum_{n=1}^N h_{n,n+1}$$



$$\mathcal{H}_{SSD} = \sum_{n=1}^N \sin^2\left(\pi \frac{n}{N}\right) h_{n,n+1}$$



$$\mathcal{H}_c = \sum_{n=1}^N h_{n,n+1}$$





$$\mathcal{H}_{SSD} = \sum_{n=1}^N \sin^2\left(\pi \frac{n}{N}\right) h_{n,n+1}$$

Katsura (2011), Maruyama, Katsura, Hikihara (2011)


## *Provided*

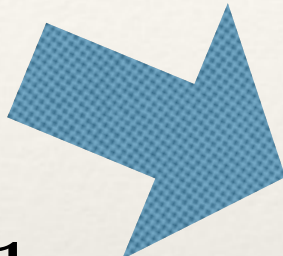
  $\mathcal{H}_{\pm 1}$  annihilates  $\mathcal{H}_c$ 's vacuum  $|\text{vac}\rangle$

  $\mathcal{H}_{\pm 1}|\text{vac}\rangle = 0$


 Either  $\mathcal{H}_{\text{SSD}}$ 's vacuum is unique  
or  $\mathcal{H}_{\text{SSD}}$  is bounded below

$|\text{vac}\rangle$  is also  $\mathcal{H}_{\text{SSD}}$ 's vacuum

  $\mathcal{H}_{\pm 1} |\text{vac}\rangle = 0$


$$\mathcal{H}_{SSD} \equiv \frac{1}{2} \mathcal{H}_c - \frac{1}{4} (\mathcal{H}_{+1} + \mathcal{H}_{-1})$$

$$\mathcal{H}_c |\text{vac}\rangle = E_0 |\text{vac}\rangle$$


$$\mathcal{H}_{SSD} |\text{vac}\rangle = \frac{E_0}{2} |\text{vac}\rangle$$



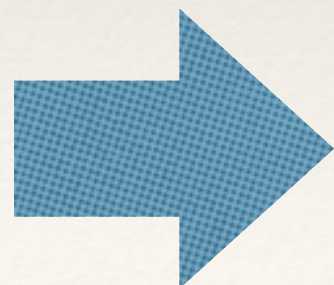
## 2D Cft On A Cylinder

$$\mathcal{H}_c = \frac{2\pi}{\ell} (L_0 + \bar{L}_0) - \frac{\pi c}{6\ell}$$

$$\mathcal{H}_{\pm 1} = \frac{2\pi}{\ell} (L_{\pm 1} + \bar{L}_{\mp 1})$$

$$L_0|0\rangle = \bar{L}_0|0\rangle = 0 \iff \mathcal{H}_c \text{'s vacuum } |0\rangle$$

$$\text{sl}(2,c)\text{invariance} \quad L_{\pm 1}|0\rangle = \bar{L}_{\pm 1}|0\rangle = 0$$



$$\mathcal{H}_{\pm 1}|\text{vac}\rangle = 0$$

$$\mathcal{H}_c = \frac{2\pi}{\ell} (L_0 + \bar{L}_0) - \frac{\pi c}{6\ell} \quad \mathcal{H}_{\pm 1} = \frac{2\pi}{\ell} (L_{\pm 1} + \bar{L}_{\mp 1})$$

$$\mathcal{H}_{SSD} = \frac{1}{2} \mathcal{H}_c - \frac{1}{4} (\mathcal{H}_{+1} + \mathcal{H}_{-1})$$

$$\sim \frac{1}{2} \left( L_0 - \frac{L_1 + L_{-1}}{2} \right) + (\text{anti-holomorphic})$$

$$\mathcal{H}_{SSD} |0\rangle = \frac{E_0}{2} |0\rangle \quad \longleftrightarrow \quad \mathcal{H}_c |0\rangle = E_0 |0\rangle$$

$$E_0 = -\frac{\pi c}{6\ell}$$

H. Katsura, J. Phys. A: Math. Theor. 45 (2012) 115003.

$$\mathcal{H}_c = \frac{2\pi}{\ell} (L_0 + \bar{L}_0) - \frac{\pi c}{6\ell} \quad \mathcal{H}_{\pm 1} = \frac{2\pi}{\ell} (L_{\pm 1} + \bar{L}_{\mp 1})$$

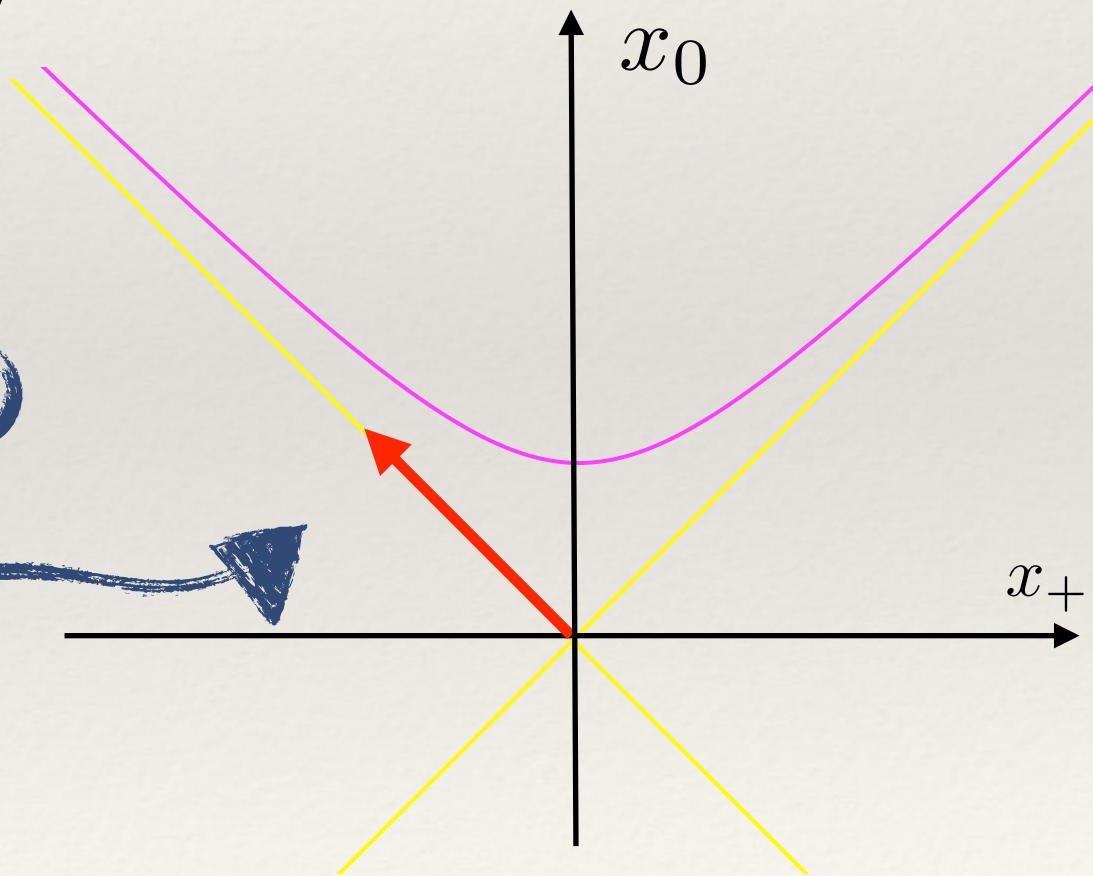
$$\mathcal{H}_{SSD} = \frac{1}{2} \mathcal{H}_c - \frac{1}{4} (\mathcal{H}_{+1} + \mathcal{H}_{-1})$$

$$\sim \frac{1}{2} \left( L_0 - \frac{L_1 + L_{-1}}{2} \right) + (\text{anti-holomorphic})$$

$$L_0 - L_+$$

$$\mathcal{H}_{SSD}|0\rangle = \frac{E_0}{2}|0\rangle \longleftrightarrow \mathcal{H}_c|0\rangle = E_0|0\rangle$$

$$E_0 = -\frac{\pi c}{6\ell}$$



Non-trivial modification



# Implication For String Theory?

**Non-Trivial Modification (Deformation)**

**Affects Boundary Condition**



**World Sheet Dynamics Of**

**D-Brane**

**Open/Closed  
Duality**



# Implication For String Theory?

**Non-Trivial Modification (Deformation)**

**Affects Background Modification Of World Sheet Metric**



**World Sheet Dynamics Of**

**D-Brane**

**Open/Closed  
Duality**



**Worth Further Exploration**

# Let Me Elaborate

**Boundary condition — set by hand**

**Compartmentalize characteristic physics**

**Useful to concentrate each idiosyncrasy**

**Often non-perturbative effects involve different boundary conditions**

**D-brane, open closed duality**

**Understanding Non-perturbative dynamics in terms of the world sheet gravity**



# Lagrangean

$$\mathcal{L}_\alpha = \frac{1}{2} \int_0^\ell dx \{ (\partial_t \varphi) F(x) (\partial_t \varphi) - (\partial_x \varphi) G(x) (\partial_x \varphi) \}$$

$$F(x) = N \sum_{k \in \mathbb{Z}} r^{|k|} e^{2\pi i k x / \ell}$$

$$G(x) = 1 - \alpha \cos \frac{2\pi x}{\ell}$$

$$= \frac{g\ell}{2} \sum_{n,k} \dot{\phi}_n \dot{\phi}_{-n-k} N r^{|k|}$$

$$-\frac{2\pi^2 g}{\ell} \left\{ n^2 \phi_n \phi_{-n} - \frac{\alpha}{2} (n(n+1) \phi_n \phi_{-n-1} + n(n-1) \phi_n \phi_{-n+1}) \right\}$$

$$\mathcal{L}_\alpha = \frac{g\ell}{2} \sum_{n,k} \dot{\phi}_n \dot{\phi}_{-n-k} N r^{|k|} - \frac{2\pi^2 g}{\ell} \left\{ n^2 \phi_n \phi_{-n} - \frac{\alpha}{2} (n(n+1) \phi_n \phi_{-n-1} + n(n-1) \phi_n \phi_{-n+1}) \right\}$$

Now conjugate momenta are

$$\pi_n = g\ell \sum_k N r^{|k|} \dot{\phi}_{-n-k}$$

$$\begin{aligned} \mathcal{H}_\alpha &= \sum_n \pi_n \dot{\phi}_n - \mathcal{L}_\alpha \quad \text{Provided } r = \frac{1 - \sqrt{1 - \alpha^2}}{\alpha}, \quad N = \frac{1}{\sqrt{1 - \alpha^2}} \\ &= \frac{1}{2g\ell} \left[ \pi_n \pi_{-n} - \frac{\alpha}{2} \pi_n \pi_{-n+1} - \frac{\alpha}{2} \pi_n \pi_{-n-1} \right. \\ &\quad \left. + (2\pi g)^2 n^2 \phi_n \phi_{-n} - \frac{\alpha}{2} (2\pi g)^2 n(n+1) \phi_n \phi_{-n-1} \right. \\ &\quad \left. - \frac{\alpha}{2} (2\pi g)^2 n(n-1) \phi_n \phi_{-n+1} \right] \end{aligned}$$

$$\mathcal{H}_\alpha = \frac{1}{2g\ell} \left[ \pi_n \pi_{-n} - \frac{\alpha}{2} \pi_n \pi_{-n+1} - \frac{\alpha}{2} \pi_n \pi_{-n-1} \right. \\ \left. + (2\pi g)^2 n^2 \phi_n \phi_{-n} - \frac{\alpha}{2} (2\pi g)^2 n(n+1) \phi_n \phi_{-n-1} \right. \\ \left. - \frac{\alpha}{2} (2\pi g)^2 n(n-1) \phi_n \phi_{-n+1} \right]$$

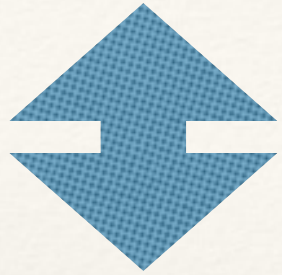
$$= \frac{2\pi}{\ell} \left( L_0 + \bar{L}_0 - \frac{\alpha}{2} (L_1 + \bar{L}_1 + L_{-1} + \bar{L}_{-1}) \right)$$

$$\mathcal{H}_{+1} + \mathcal{H}_{-1}$$

$$= \frac{2\pi}{\ell} (L_1 + \bar{L}_1 + L_{-1} + \bar{L}_{-1}) = \frac{1}{2g\ell} \sum_{n \in \mathbb{Z}} \left\{ \pi_n \pi_{-(n+1)} + \pi_n \pi_{-(n-1)} \right. \\ \left. + (2\pi g)^2 n(n+1) \phi_n \phi_{-(n+1)} + (2\pi g)^2 n(n-1) \phi_n \phi_{-(n-1)} \right\}$$

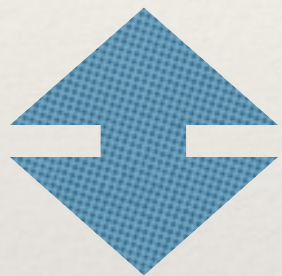


$$\mathcal{L}_\alpha = \frac{1}{2} \int_0^\ell dx \{ (\partial_t \varphi) F(x) (\partial_t \varphi) - (\partial_x \varphi) G(x) (\partial_x \varphi) \}$$



$$F(x) = N \sum_{k \in \mathbb{Z}} r^{|k|} e^{2\pi i k x / \ell} = N \delta(x)$$

$$\mathcal{H}_\alpha = \frac{2\pi}{\ell} \left( L_0 + \bar{L}_0 - \frac{\alpha}{2} (L_1 + \bar{L}_1 + L_{-1} + \bar{L}_{-1}) \right)$$



$$G(x) = 1 - \alpha \cos \frac{2\pi x}{\ell}$$

$$= 2 \sin^2 \frac{\pi x}{\ell}$$

$$\alpha = 1$$

$$N \equiv \frac{1}{\sqrt{1 - \alpha^2}}$$

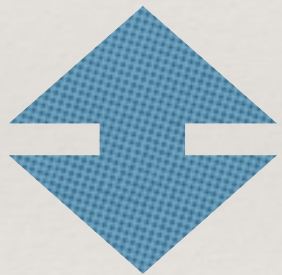
$$r \equiv \frac{1 - \sqrt{1 - \alpha^2}}{\alpha}$$

$$\mathcal{H}_{SSD} = \frac{1}{2} \mathcal{H}_c - \frac{1}{4} (\mathcal{H}_{+1} + \mathcal{H}_{-1})$$

$$= \frac{\pi}{\ell} \left( L_0 + \bar{L}_0 - \frac{L_1 + L_{-1} + \bar{L}_1 + \bar{L}_{-1}}{2} \right) - \frac{\pi c}{12\ell}$$

# Worldsheet Metric $g^{ab}$

$$\mathcal{L}_{\text{SSD}} = \frac{1}{2} \int_0^\ell dx \left\{ (\partial_t \varphi) \overset{g^{00}}{\underset{N \rightarrow \infty}{N \delta(x)}} (\partial_t \varphi) - (\partial_x \varphi) \overset{g^{11}}{2 \sin^2 \frac{\pi x}{\ell}} (\partial_x \varphi) \right\}$$



$$\mathcal{H}_{\text{SSD}} = \frac{\pi}{\ell} \left( L_0 + \bar{L}_0 - \frac{L_1 + L_{-1} + \bar{L}_1 + \bar{L}_{-1}}{2} \right) - \frac{\pi c}{12\ell}$$

**Non-Trivial Divergence Confirmed**

**Difficult To Tackle Directly**

**Explore States Other Than  $|0\rangle$**



# Other Than

 $|0\rangle$ 

- ❖ “Excited” states - work in progress

## A candidate for the implied “continuous” states

$$\sum_{r=2}^{\infty} \sum_{k=3}^{r+1} \frac{\mu^k}{k!} \frac{(r-2)!}{(k-3)!(r-k+1)!} L_{-r} |0\rangle$$

$\mu$  : continuous parameter

- ❖ Exotic states

# Other Than

$|0\rangle$

❖ Exotic states

by H. Katsura

$$\left( L_0 - \frac{L_1 + L_{-1}}{2} \right) \sum_{r=2}^{\infty} L_{-r} |0\rangle = 0$$

The lowest energy state  
for  $\mathcal{H}_{\text{SSD}}$

# Other Than

$|0\rangle$

❖ Exotic states

by H. Katsura

$$\left( L_0 - \frac{L_1 + L_{-1}}{2} \right) = 0$$

The lowest energy state  
for  $\mathcal{H}_{\text{SSD}}$

$$\left| \sum_{r=2}^{\infty} L_{-r} |0\rangle \right| \rightarrow \infty$$



# Other Than

$|0\rangle$

- ❖ Exotic states

by H. Katsura

$$\left( L_0 - \frac{L_1 + L_{-1}}{2} \right) \sum_{r=2}^{\infty} \sum_{k=3}^{r+1} \frac{\mu^k}{k!} \frac{(r-2)!}{(k-3)!(r-k+1)!} L_{-r} |0\rangle$$

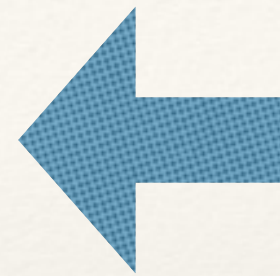
$$\left| \sum_{r=2}^{\infty} L_{-r} |0\rangle \right| \rightarrow \infty$$

So as the previously mentioned candidate states

# Other Than

$|0\rangle$

❖ Exotic states



$$L_0|h\rangle = h|h\rangle$$

$$L_n|h\rangle = 0$$

$$(n > 0)$$

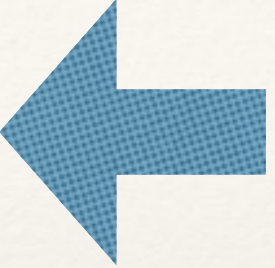
$$\left(L_0 - \frac{L_1 + L_{-1}}{2}\right) e^{L_{-1}}|h\rangle = 0$$

The lowest energy state  
for  $\mathcal{H}_{\text{SSD}}$

# Other Than

$$|0\rangle$$

❖ Exotic states


$$\begin{aligned} L_0 |h\rangle &= h |h\rangle \\ L_n |h\rangle &= 0 \\ &(n > 0) \end{aligned}$$

$$\left( L_0 - \frac{L_1 + L_{-1}}{2} \right) = 0$$

The lowest energy state  
for  $\mathcal{H}_{\text{SSD}}$

$$\left| e^{L-1} |h\rangle \right| \rightarrow \infty$$

**Need More Work To Understand  
The Whole Structure**



# Summary

**Sine Square Deformation**

**String Theory**

**Duality**

**Divergence In Worldsheet**

**Dynamics**

Condensation of  
world sheet metric

**Thank You For  
Your Attention**