

# Space-time Generalization of Mutual Information

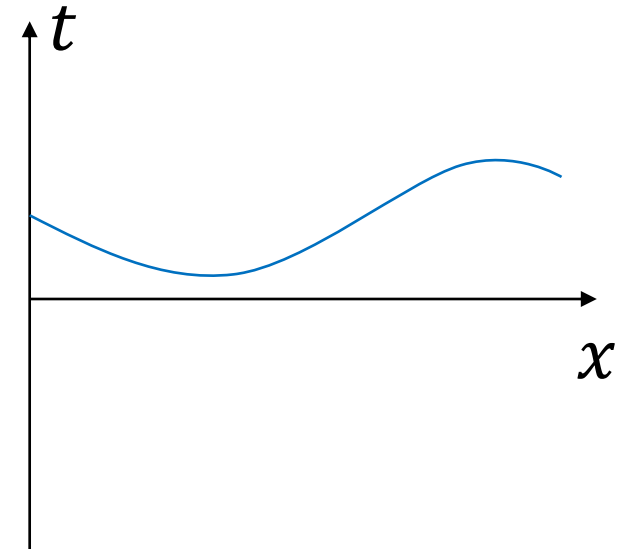
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**QIEP2022, YITP, 3/24/2022**

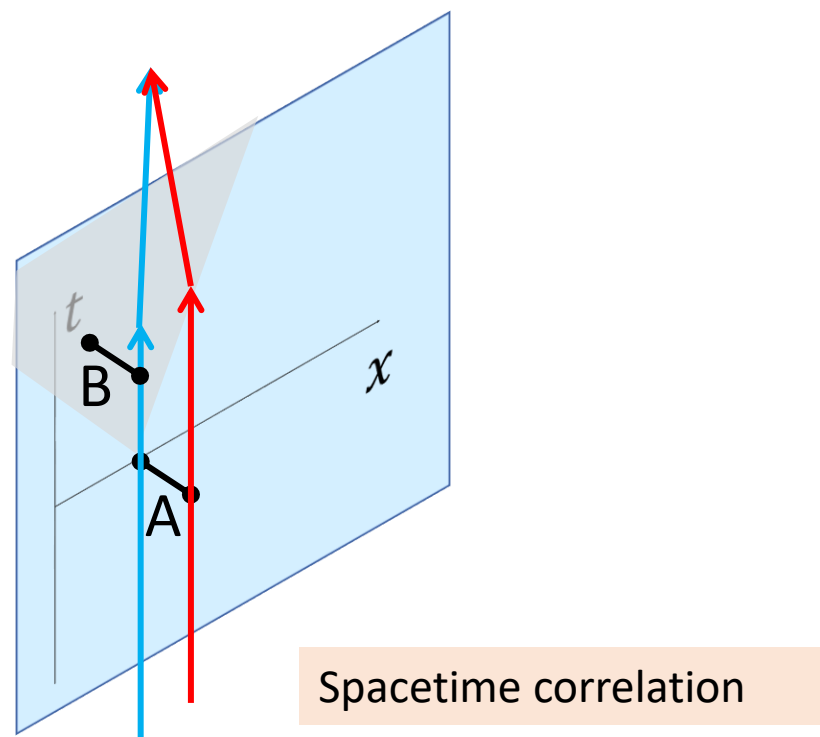
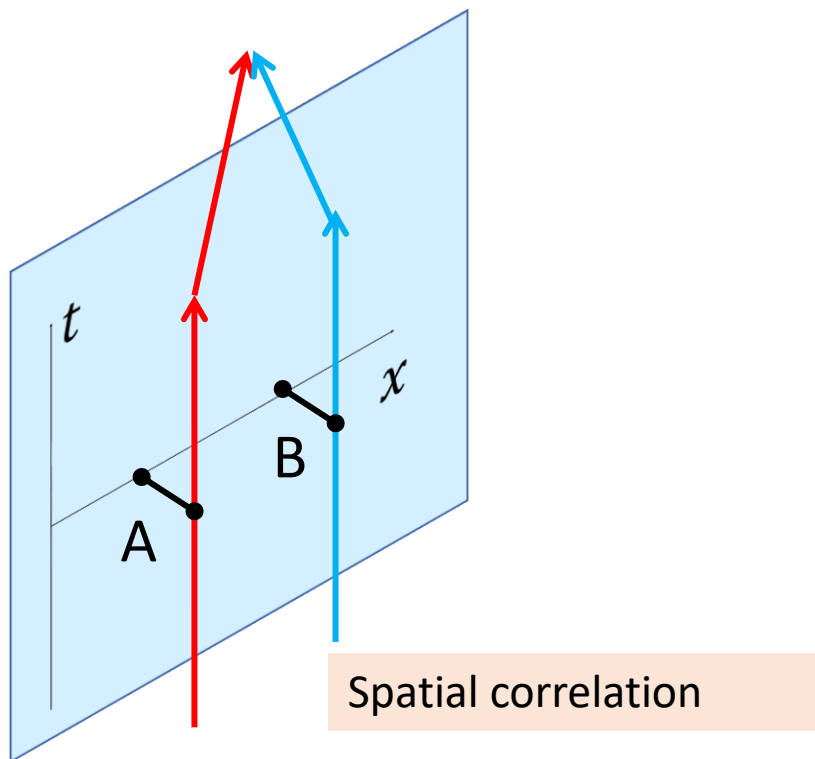
# Motivation

- An essential insight of Einstein's theory of *relativity*: Don't talk about absolute "reality" but talk about what an **observer** will see.
- Spacetime is not a fixed given background but a description of correlation.
- A lesson from holographic duality (also known as AdS/CFT): spacetime may be emergent from quantum information.
- Quantum mechanics still depend on an "absolute" time
- $i \frac{\partial}{\partial t} |\Psi\rangle = \hat{H} |\Psi\rangle$
- Quantum information mainly characterizes quantum states.



# Motivation

- Inspired by relativity, we should focus on observables.
- A (quantum) experimentalist can measure correlation between different spatial points in a similar way as between different time.
- Spacetime correlation should be characterized by quantum information measures similar to those for spatial correlation.

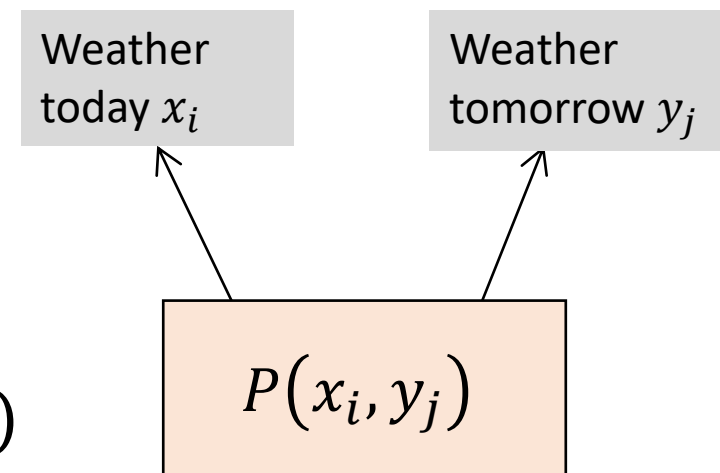






# Outline

- Review of mutual information and spatial correlation
  - Relative entropy and hypothesis testing
  - Setup for the spacetime generalization
  - Properties
  - Examples
- 
- Ref: Ongoing work with Paolo Glorioso and Zhenbin Yang
  - Related previous works:
    - [1] Cotler, J., Jian, C. M., Qi, X. L., & Wilczek, F. (2018). [Superdensity operators for spacetime quantum mechanics](#). *Journal of High Energy Physics*, 2018(9), 1-57.
    - [2] Cotler, J., Han, X., Qi, X. L., & Yang, Z. (2019). [Quantum causal influence](#). *Journal of High Energy Physics*, 2019(7), 1-67.
    - [3] Aharonov, D., Cotler, J., & Qi, X. L. (2021). [Quantum algorithmic measurement](#). arXiv preprint arXiv:2101.04634.

# Classical mutual information

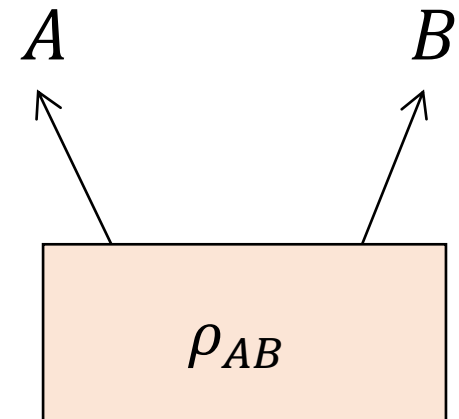
- Two random variables  $X$  and  $Y$  with values  $x_i, y_j$  and joint probability distribution  $P(x_i, y_j)$
- Shannon entropy  $S(X) = -\sum_i P(x_i) \log P(x_i)$
- If I measure  $Y$ , how much do I know about  $X$ ?
- $I(X:Y) = S(X) + S(Y) - S(XY) = S(X) - S(X|Y)$
- Perfect correlation:  $x_i = y_i, P(x_i, y_j) = p(x_i)\delta_{ij}$
- $I(X:Y) = S(X)$
- Knowing  $Y$  is the same as knowing  $X$



		
	$p_{00}$	$p_{10}$
	$p_{01}$	$p_{11}$

# Quantum mutual information

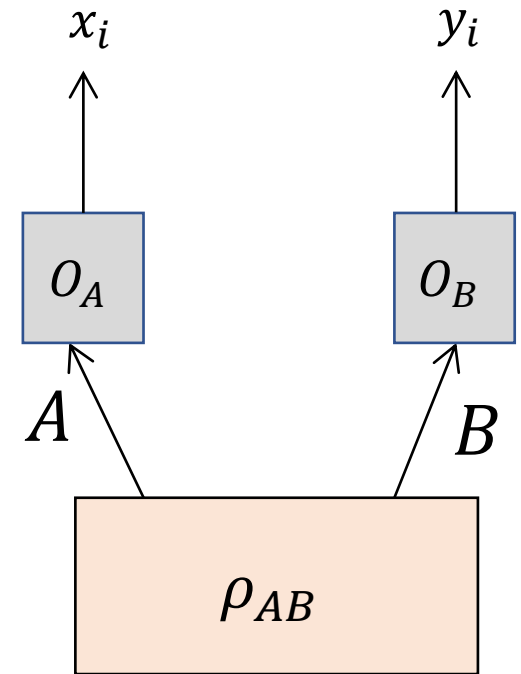
- A quantum system with subsystems A, B
- $\rho_{AB} = \text{tr}_{AB}(|\Psi\rangle\langle\Psi|)$
- $\rho_{AB}$  determines all correlation functions  
 $\langle O_A O_B \rangle = \text{tr}(\rho_{AB} O_A O_B)$
- A quantum information measure independent from the choice of operators is the mutual information
- $I(A:B) = S_A + S_B - S_{AB}$
- $S(A) = -\text{tr}(\rho_A \log \rho_A)$  is the von Neumann entropy



# Quantum mutual information

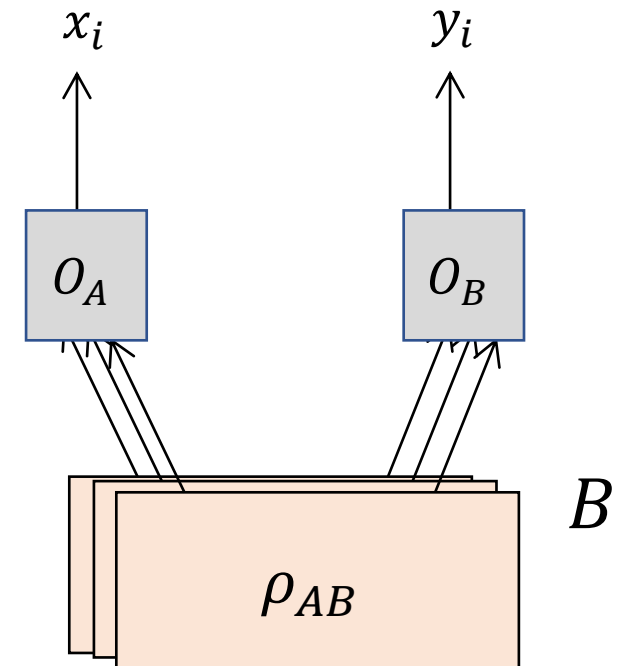
- In general  $S_A + S_B \geq S_{AB}$
- $S_A + S_B = S_{AB}$  if and only if  $\rho_{AB} = \rho_A \otimes \rho_B$
- Mutual information measures the difference between  $\rho_{AB}$  and  $\rho_A \otimes \rho_B$
- Mutual information gives an upper-bound to correlation (Wolf Verstraete Hastings Cirac '08)
- Connected correlation function  $\langle O_A O_B \rangle_c \equiv \langle O_A O_B \rangle - \langle O_A \rangle \langle O_B \rangle$
- $\frac{\langle O_A O_B \rangle_c^2}{2\|O_A\|_\infty \|O_B\|_\infty} \leq I(A: B)$
- The bound also works for multiple copies
- $\frac{\langle O_A O_B \rangle_c^2}{2\|O_A\|_\infty \|O_B\|_\infty} \leq NI(A: B)$

measurement



joint measurement

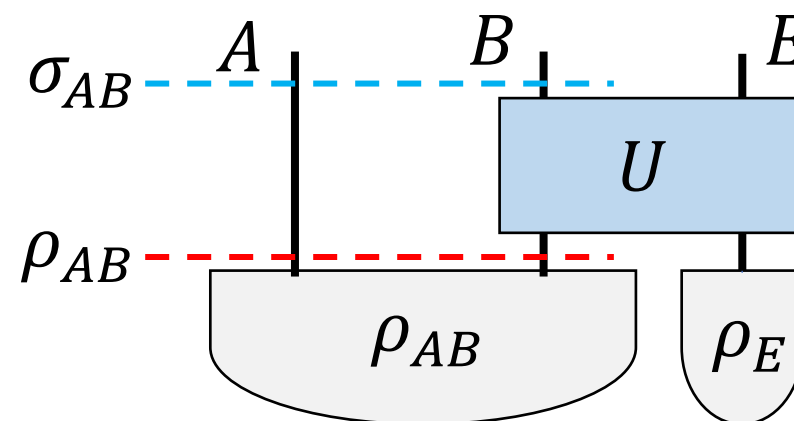
A



B

# Quantum mutual information

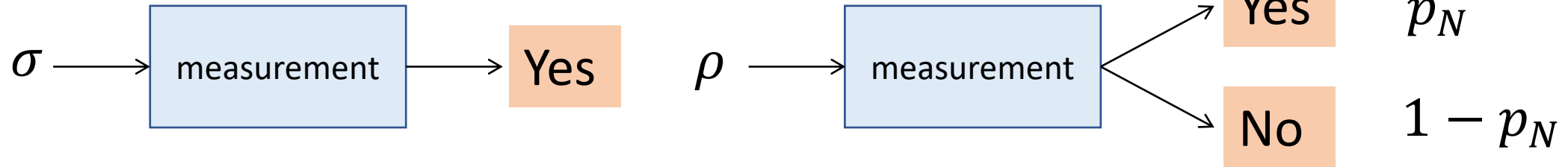
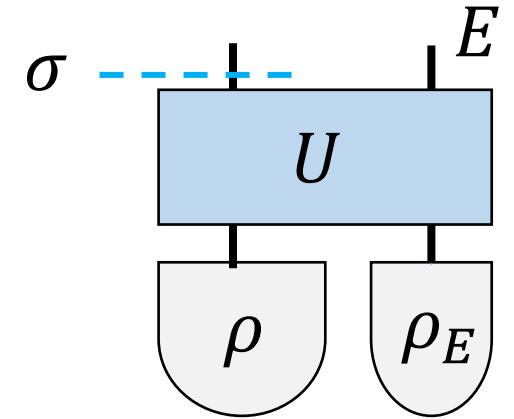
- $I(A:BC) \geq I(A:B)$
- Equivalent to strong subadditivity  
 $S_{BC} + S_{AB} \geq S_B + S_{ABC}$ .
- Mutual information does not increase when a quantum channel is applied to A or B
- $I(A:B)[\sigma_{AB}] \leq I(A:B)[\rho_{AB}]$





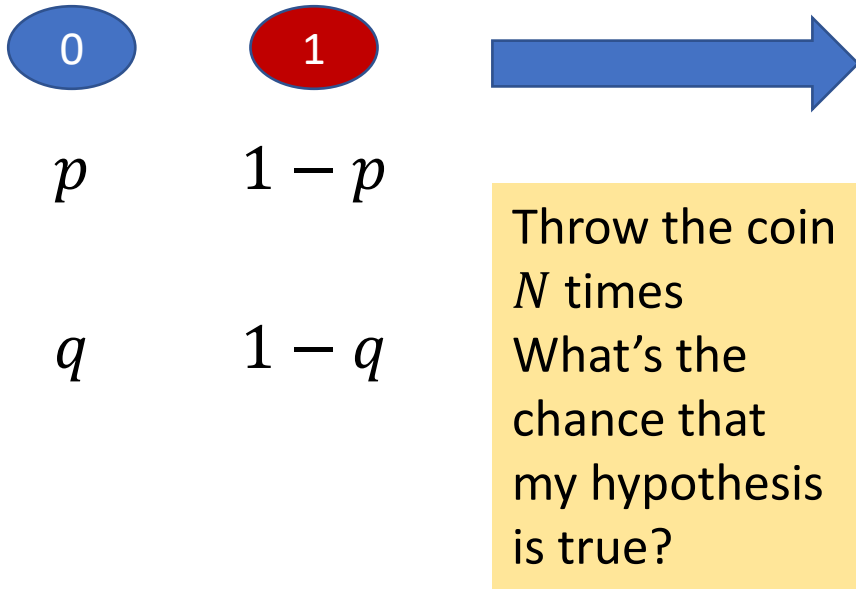
# Mutual information and relative entropy

- Relative entropy  $S(\rho|\sigma) = \text{tr}(\rho \log \rho - \rho \log \sigma)$
- $S(\rho_{AB}|\rho_A \otimes \rho_B) = I(A:B)$
- Relative entropy is monotonous under quantum channel  $S(\mathcal{N}(\rho)|\mathcal{N}(\sigma)) \leq S(\rho|\sigma)$
- Relative entropy is an asymmetric measure of how different are  $\rho$  and  $\sigma$
- **Hypothesis testing:** An observer is given  $N$  copies of the same state. She has a hypothesis that the state is  $\sigma$ , and does an experiment to test if this is true. If the actual state is  $\rho$ , the chance that the observer will conclude (by mistake) that the state is  $\sigma$  is  $p_N = e^{-NS(\rho|\sigma)}$



# Mutual information and relative entropy

- A classical example of hypothesis testing



$$p_N = \binom{N}{M} p^M (1-p)^{N-M} \simeq e^{-Ns(q|p)}$$
$$S(q|p) = q \log \frac{q}{p} + (1-q) \log \frac{1-q}{1-p}, q = \frac{M}{N}$$

When the actual state is  $\rho$ , after the measurement, we get an output with  $M \simeq qN$ . The prior probability (that the hypothesis is correct) is  $p_N$

# Mutual information and relative entropy

- Bound of connected correlation is also a property of relative entropy

- $S(\rho|\sigma) \geq \frac{1}{2} \|\rho - \sigma\|_1^2 \geq \frac{|\text{tr}(\rho O) - \text{tr}(\sigma O)|^2}{2\|O\|^2}$

- Take  $O = O_A O_B$ ,  $\rho = \rho_{AB}$ ,  $\sigma = \rho_A \otimes \rho_B$

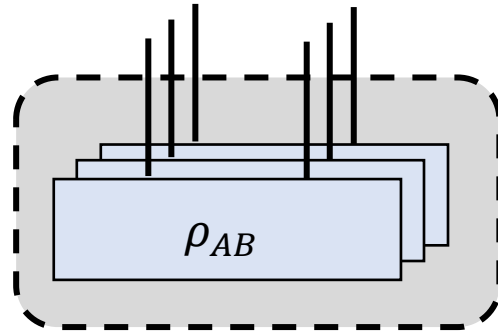
- $\text{tr}(O\rho - O\sigma) = \langle O_A O_B \rangle - \langle O_A \rangle \langle O_B \rangle \equiv \langle O_A O_B \rangle_c$

- Thus

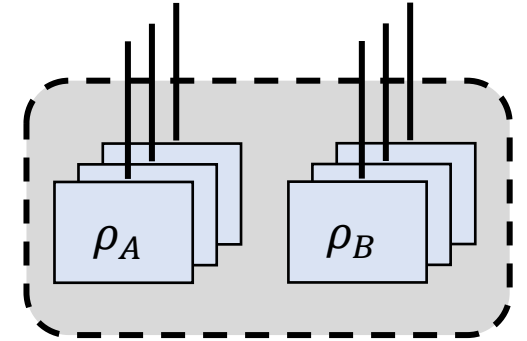
$$\frac{|\langle O_A O_B \rangle_c|^2}{2 \|O_A\|^2 \|O_B\|^2} \leq I(A:B)$$

# Space-time generalization

- Hypothesis testing in spatial case



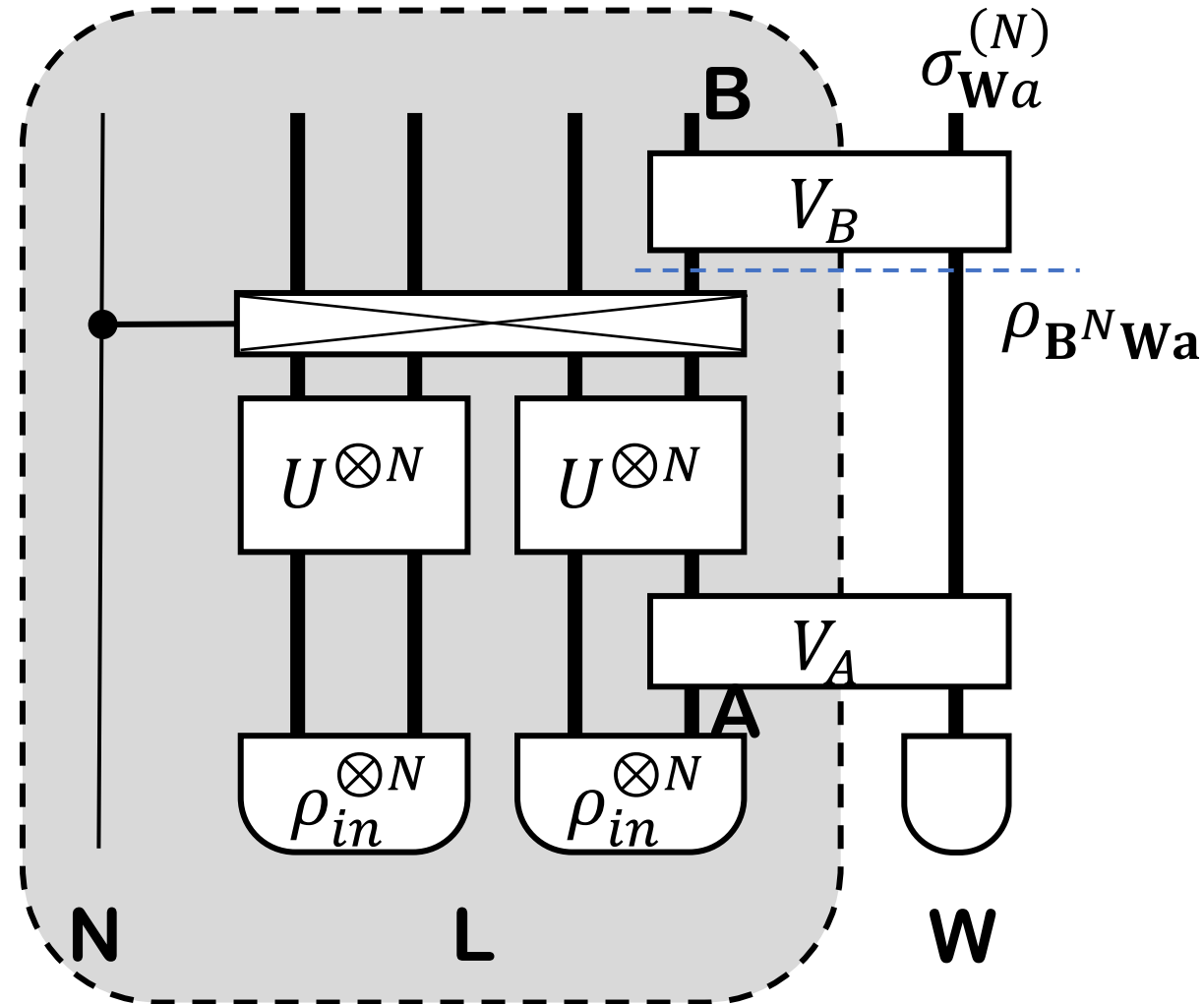
or

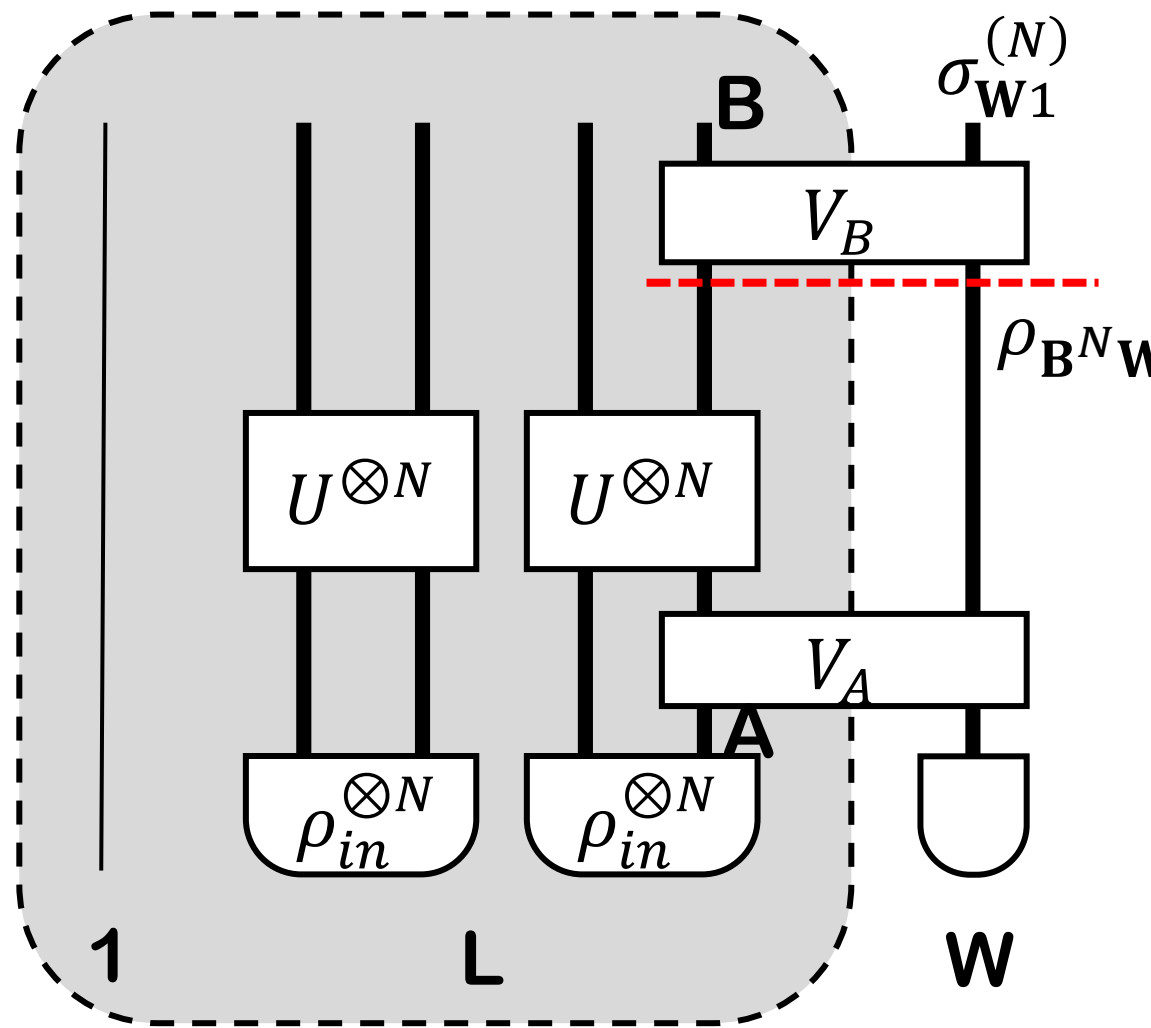
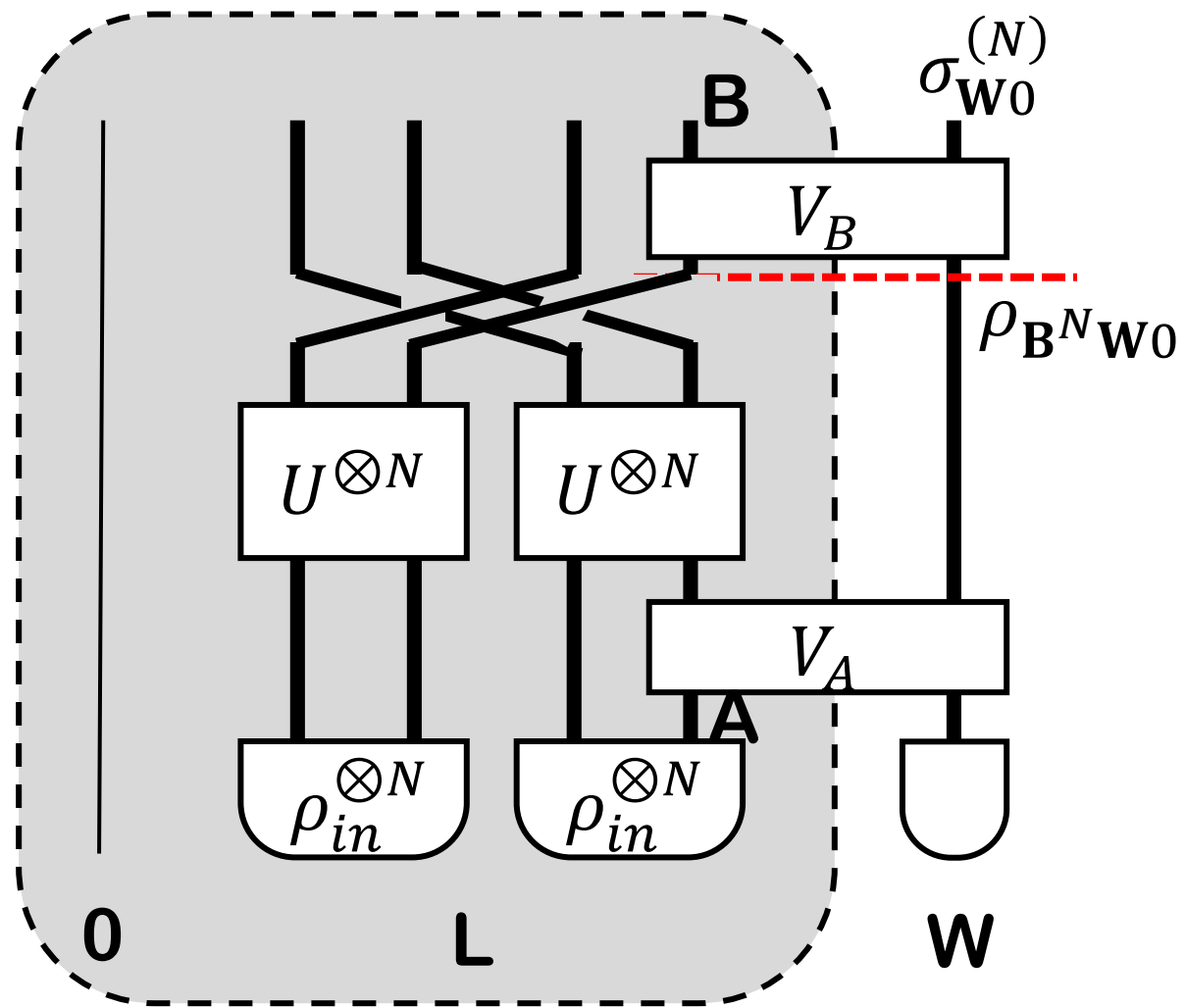


- When the conjectured state is  $\rho_A \otimes \rho_B$ , and the actual state is  $\rho_{AB}$ , the chance that the conjecture is true (after measuring  $N$  copies of systems) is  $p_N = e^{-NI(A:B)}$
- **Generalize the hypothesis testing to spacetime:** a black box which can be accessed only at A and B. Define a generalized mutual information by the error probability of hypothesis testing

# Space-time mutual information

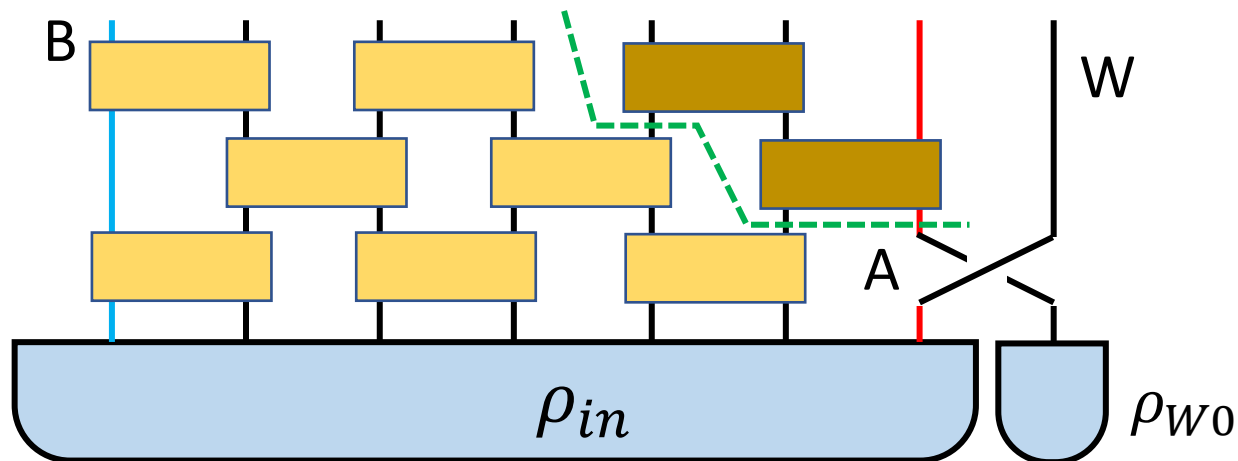
- $2N$  copies of the physical system (**L**) coupled with lab equipment **W** by  $V_A, V_B$  (related to [Cotler Jian XLQ Wilczek '18](#))
- A classical bit  $\mathbf{N}=0,1$  controls two situations
- Case 0: SWAP before applying  $V_B$
- Case 1: Identity before applying  $V_B$
- Task: measure  $W$  to distinguish the two cases.
- This is an example of quantum algorithmic measurement (QUALM, [Aharonov-Cotler-XLQ '21](#))





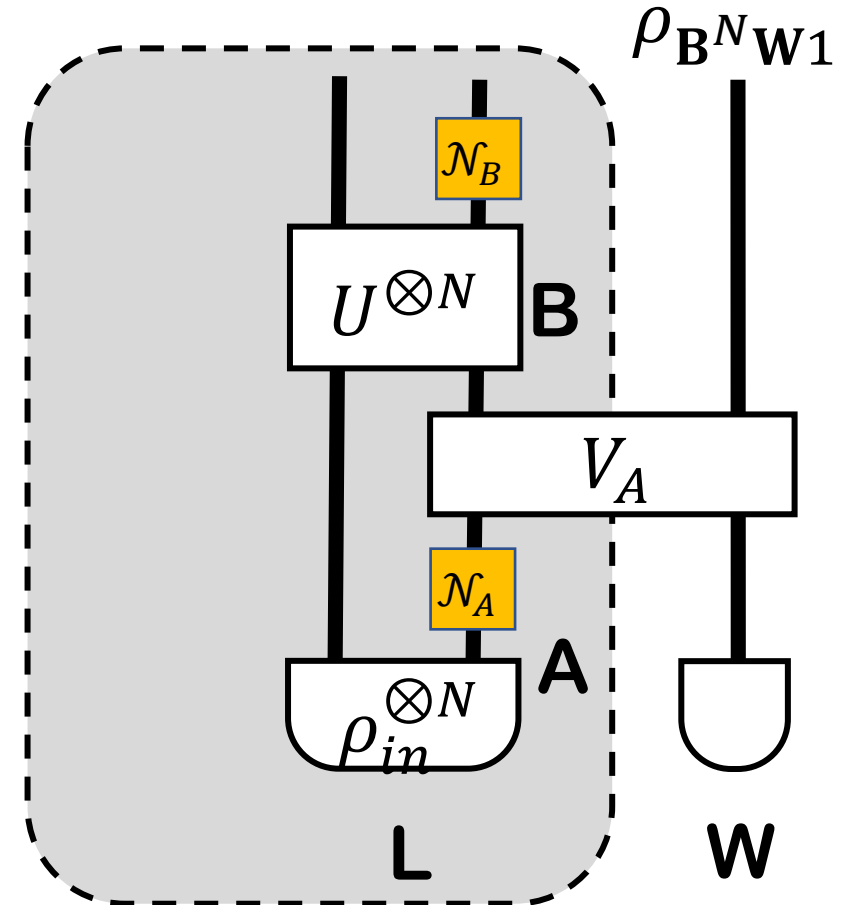
# Space-time mutual information

- $W$  couples with  $2N$  copies of  $L$
- $W$  is arbitrarily large.
- Definition  $J_N(A: B) = \frac{1}{N} \sup_{V_A, V_B} S(\sigma_{W1} | \sigma_{W0})$ ,  $J(A: B) = \lim_{N \rightarrow +\infty} J_N(A: B)$
- $V_B$  optimization is trivial:  $J_N(A: B) = \frac{1}{N} \sup_{V_A} S(\rho_{BW1} | \rho_{BW0})$
- If  $A, B$  are space-like separated,  $J_N(A: B) = I(A: B)$  reduces to the mutual information. Supreme is achieved by  $V_A = SWAP$



# Properties of space-time mutual information

- Monotonicity under quantum channels.  $J_N(A: B) \geq J_N(\mathcal{N}_A(A): \mathcal{N}_B(B))$
- $J_N(A: B) = 0$  implies that there is no connected correlation function between  $A$  and  $B$ .
- We can always choose  $\mathbf{W}$  initial state to be  $|0\rangle$ . This allows us to view  $V_A$  as an isometry from  $\mathbf{A}$  to  $\mathbf{AW}$ .





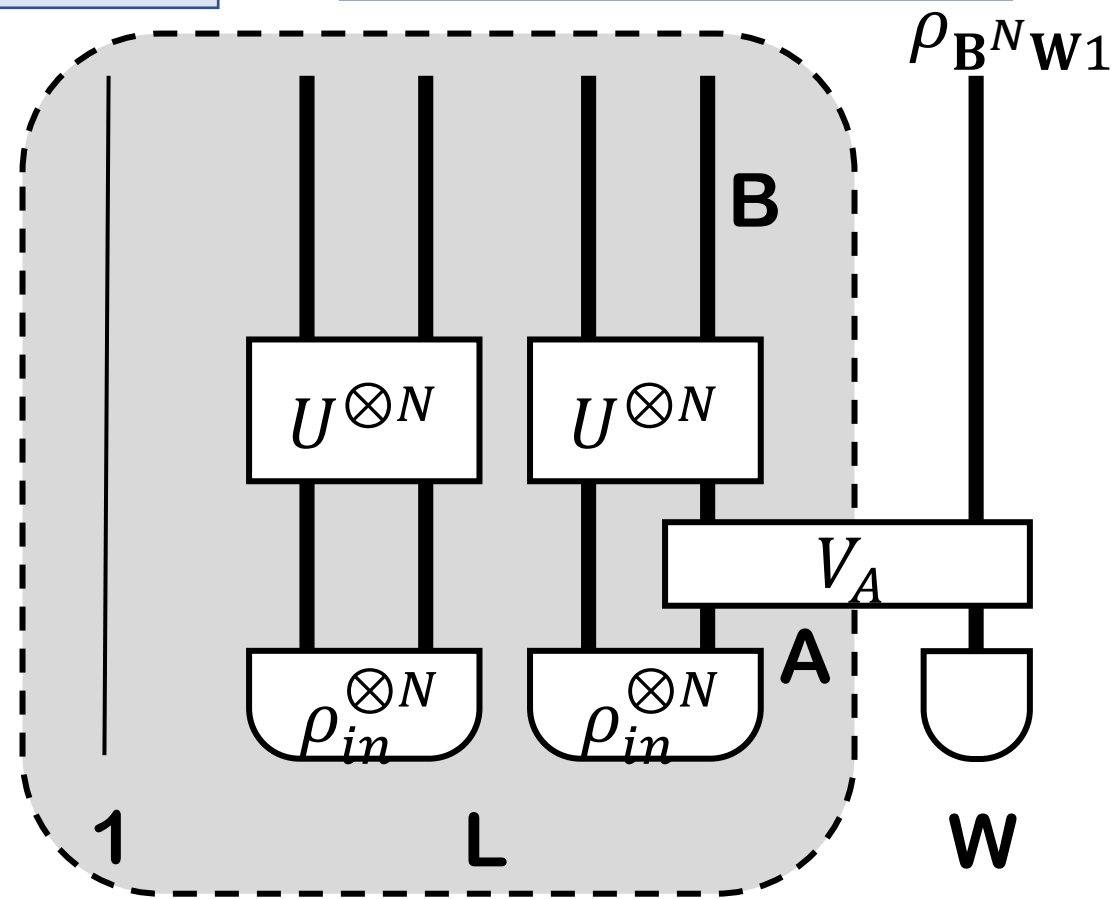
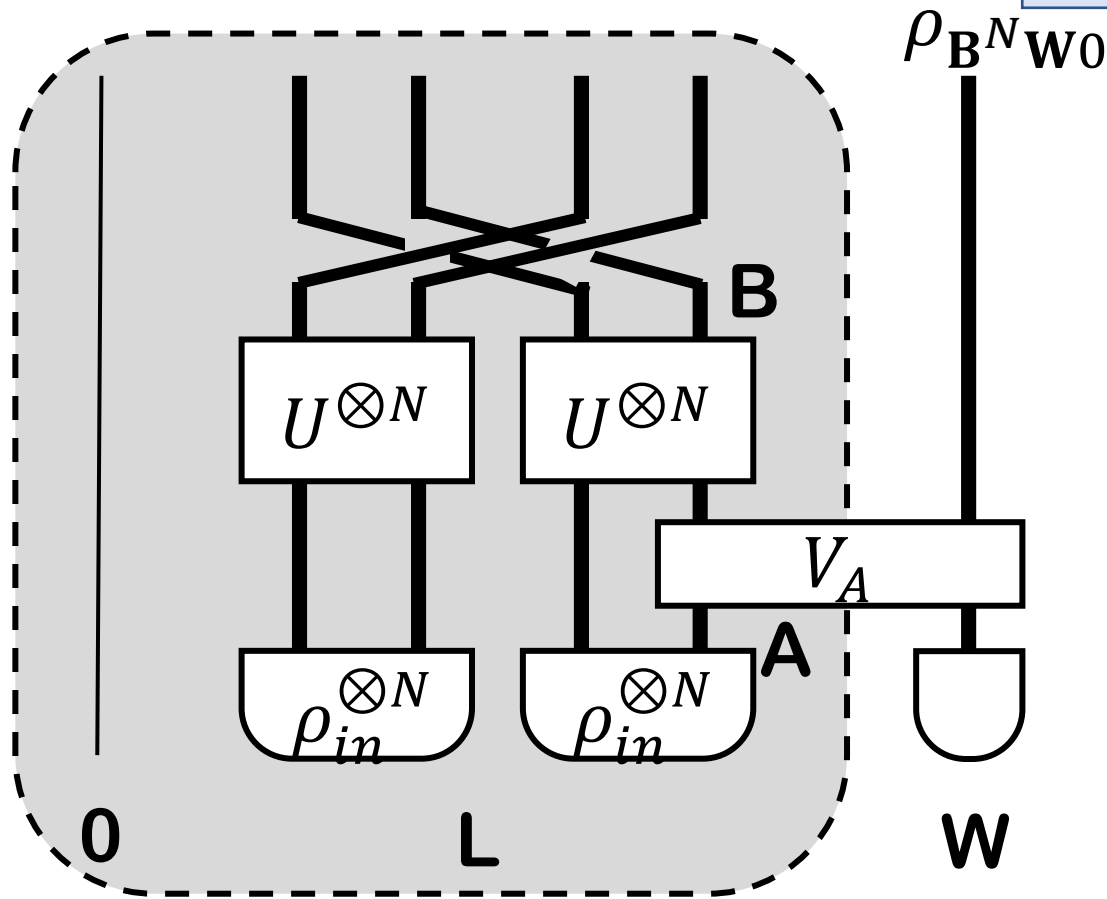
- $$S(\rho_{BW_1}|\rho_{BW_0}) = -S(\rho_{BW_1}) - \text{tr}(\rho_{BW_1} \log(\rho_{B_0} \otimes \rho_W))$$

$$= I(B:W)[\rho_{BW_1}] + S(\rho_B|\rho_{B_0})$$

- $$\rho_B = \text{tr}_W(\rho_{BW_1})$$

Mutual information between B and W

Relative entropy of "affected state"  $\rho_B$  and original state  $\rho_{B_0}$ .  
New term in spacetime case.  
This term may diverge.





# Bound of correlation function

- Theorem: For arbitrary Hermitian operators  $O_A, O_B$  acting on  $A, B$ , denote  $\hat{O}_A, \hat{O}_B$  as the corresponding Heisenberg operators. We have

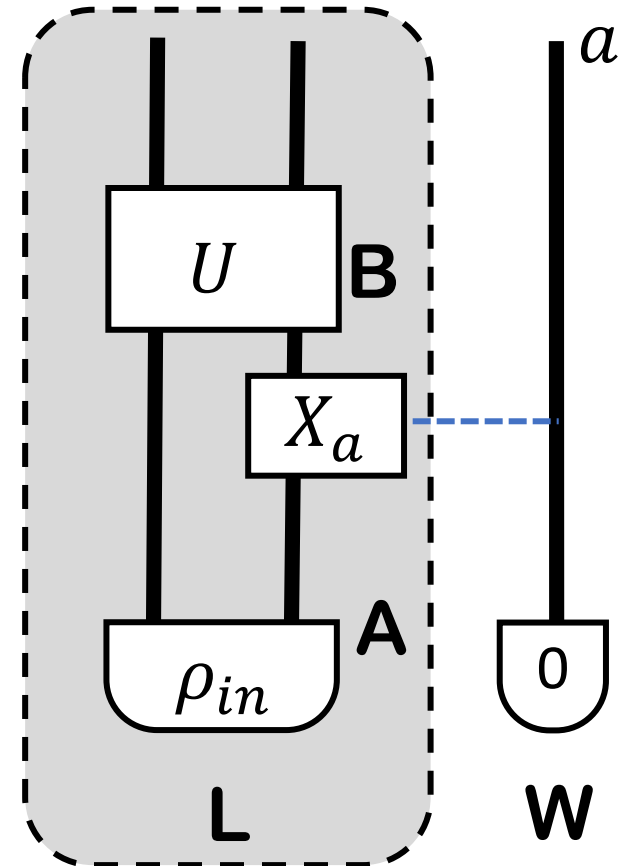
$$\bullet \frac{1}{8} \left( \frac{\text{tr}(\rho_{in}(-i)[\hat{O}_B, \hat{O}_A])}{\|O_B\| \|O_A\|} \right)^2 \leq J_1(A: B)$$

$$\bullet \frac{1}{8} \left( \frac{\text{tr}(\rho_{in}\{\hat{O}_B, \hat{O}_A\})_c}{\|O_B\| \|O_A\|} \right)^2 \leq J_1(A: B)$$

- Proof: Define three operators acting on A:

$$X_0 = \sqrt{1-p} \mathbb{I}, X_1 = \sqrt{p} \frac{O_A}{\|O_A\|}, X_2 = \sqrt{p} \sqrt{\mathbb{I} - \frac{O_A^2}{\|O_A\|^2}}$$

$$\bullet X_0^\dagger X_0 + X_1^\dagger X_1 + X_2^\dagger X_2 = \mathbb{I}$$



# Bound of correlation function

- Now define an operator applying to  $W$ :
 
$$Y_W = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

- One can prove

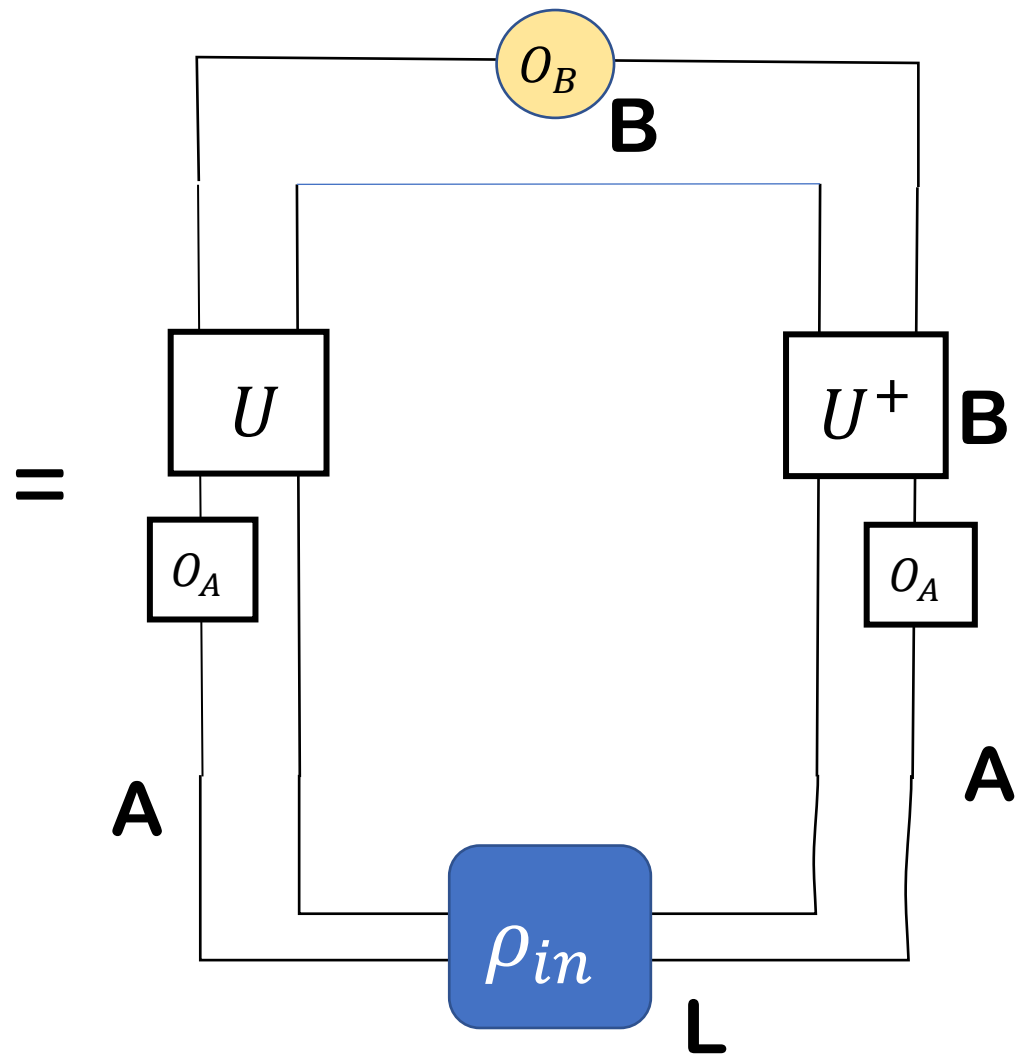
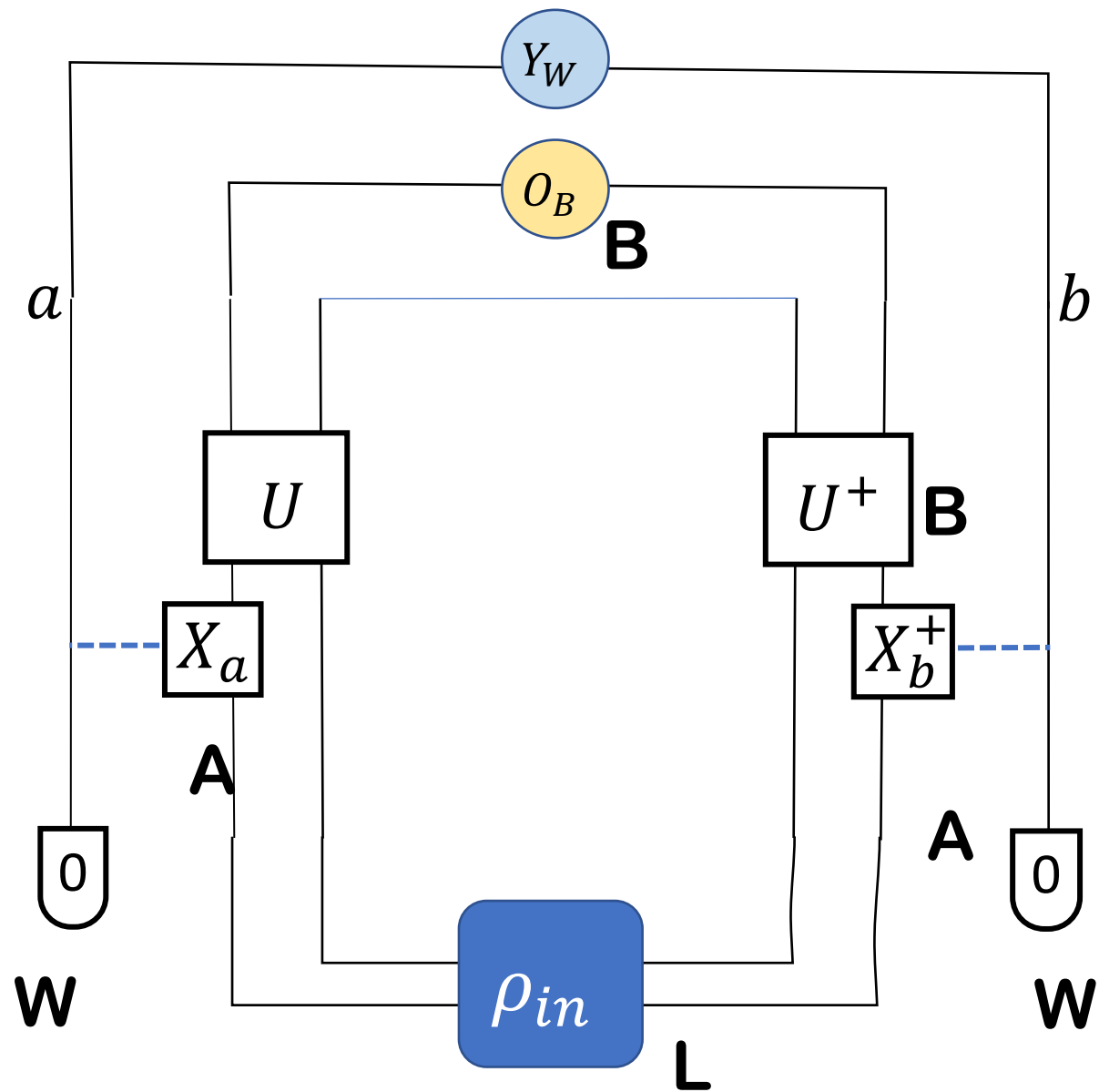
$$\begin{aligned} \text{tr}(\rho_{BW1} Y_W O_B) &= -i \frac{\sqrt{p(1-p)}}{\|O_A\|} \text{tr}([\hat{O}_A, \hat{O}_B] \rho_{in}) \\ \text{tr}(\rho_{BW0} Y_W O_B) &= 0 \end{aligned}$$

- On the other hand,

$$\left( \frac{|\text{tr}((\rho_{BW1} - \rho_{BW0}) Y_W O_B)|}{\|Y_W\| \|O_B\|} \right)^2 \leq \|\rho_{BW1} - \rho_{BW0}\|_1^2 \leq 2S(\rho_{BW1} | \rho_{BW0})$$

- $\rightarrow \frac{1}{8} \left( \frac{-i \text{tr}([\hat{O}_A, \hat{O}_B] \rho_{in})}{\|O_A\| \|O_B\|} \right)^2 \leq J_1(A:B)$  (we have taken  $p = \frac{1}{2}$ )

For related ideas on measuring correlation with ancilla, see e.g. R. Somma, et al, Phys. Rev. A 65, 042323



# Bound of correlation function

- The other formula  $\frac{1}{8} \left( \frac{\text{tr}(\rho_{in}\{\hat{O}_B, \hat{O}_A\})_c}{\|O_B\| \|O_A\|} \right)^2 \leq J_1(A: B)$  can be proven similarly by replacing  $Y_W$  with

$$X_W = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

- Comments
- 1. For spacelike separated regions, this bound reduces to the Wolf et al result.
- 2. Physically, a bound can be found because all correlators can be measured by an appropriate  $W$ .
- 3. In the case of response function (commutator),  $J_1(A: B)$  can be replaced by  $\sup I(B: W)$ , which is a tighter bound. (This is because the disconnected term  $V_A$  vanishes.)

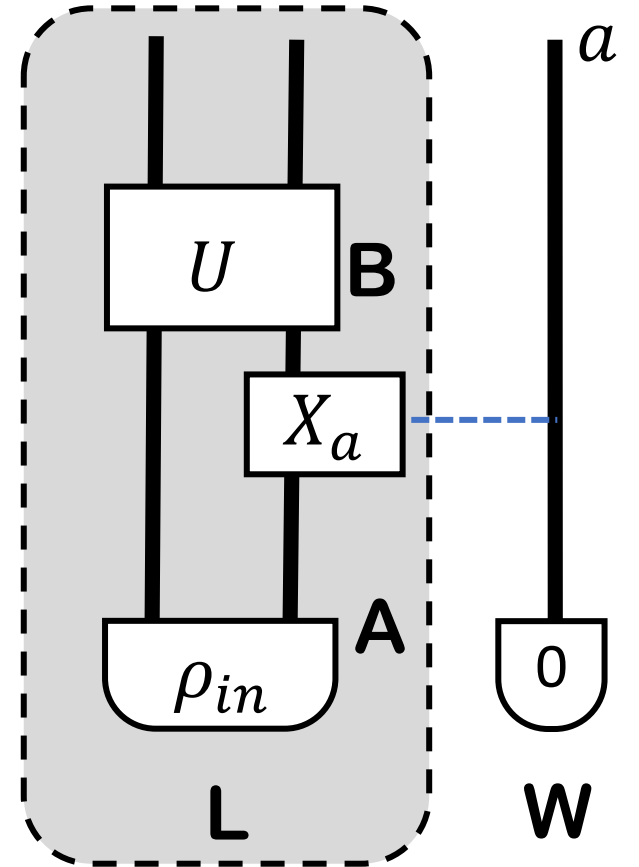
# Bound of causal influence

- Consider a similar setup but with  $W$  a qubit.
- $X_0 = \frac{1}{\sqrt{2}} \mathbb{I}$ ,  $X_1 = \frac{1}{\sqrt{2}} R_A$ ,  $R_A$  is unitary
- Define  $Z_W = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$
- For Hermitian operator  $O_B$ ,  

$$\frac{\text{tr}((\rho_{BW_1} - \rho_{BW_0}) Z_W O_B)}{\|O_B\|} = \frac{\langle O_B \rangle_{R_A} - \langle O_B \rangle_{\mathbb{I}}}{2\|O_B\|}.$$
- This gives a bound of causal influence [\(Cotler-Han-XLQ-Yang\)](#).

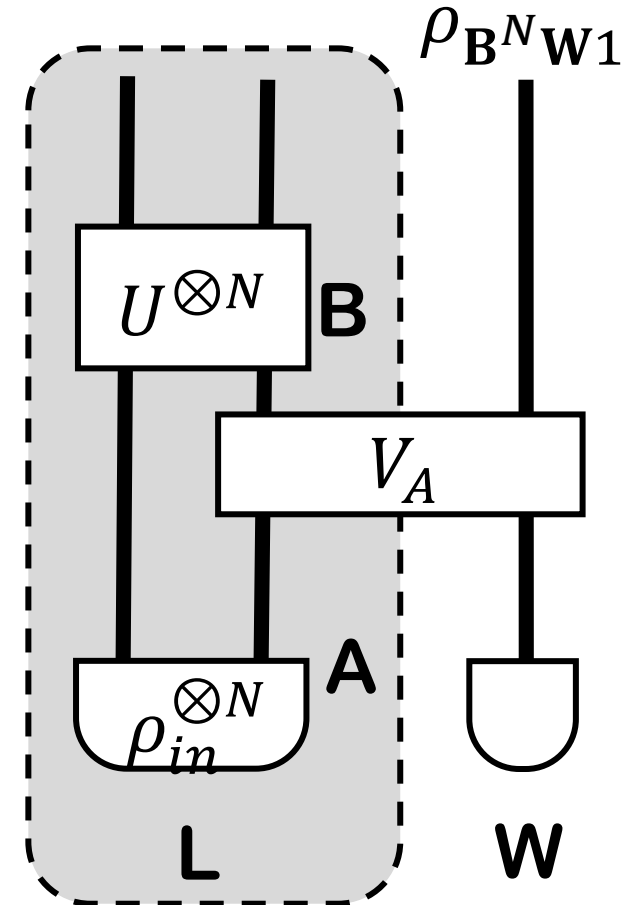
If we define

$$CI(A: B) = \sup_{R_A} \frac{|\langle O_B \rangle_{R_A} - \langle O_B \rangle_{\mathbb{I}}|}{2\|O_B\|}, \text{ then } CI(A: B)^2 \leq 2J_1(A: B)$$



# An upper bound of spacetime MI

- How big can the space-time mutual information be?
- Spatial mutual information  $I(A: B) \leq \min\{2S_A, 2S_B\}$
- Spacetime mutual information:
  - $I(B: W) \leq \min\{2S_B, 2S_W\} \leq \min\{2S_B, 2S_A + 2|A|\}$
  - $S(\rho_B | \rho_{B0}) \leq -\log p_{min}(\rho_{B0})$
  - $p_{min}$  is the minimal eigenvalue of  $\rho_{B0}$ .
  - For given size of  $A, B$ ,  $I(B: W) \leq \min\{2|B|, 4|A|\}$  but the relative entropy term does not have a universal upper bound.





# Analytic results

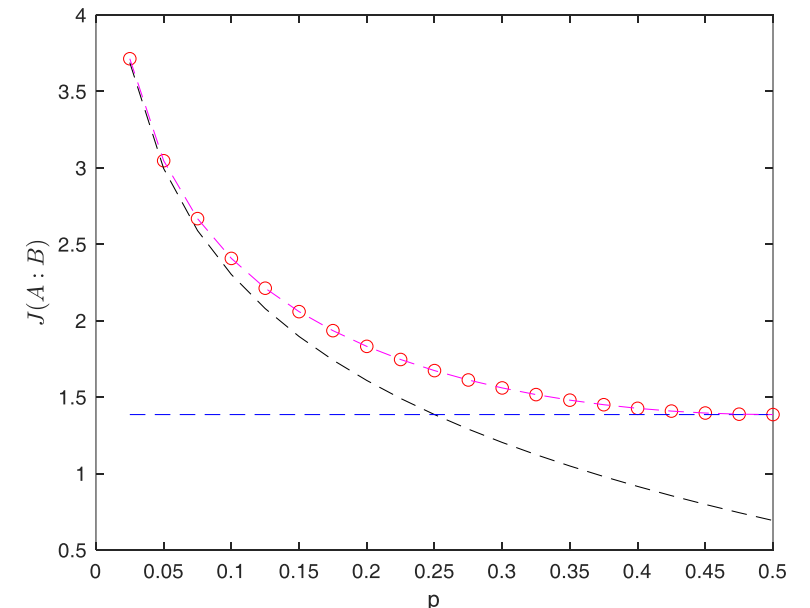
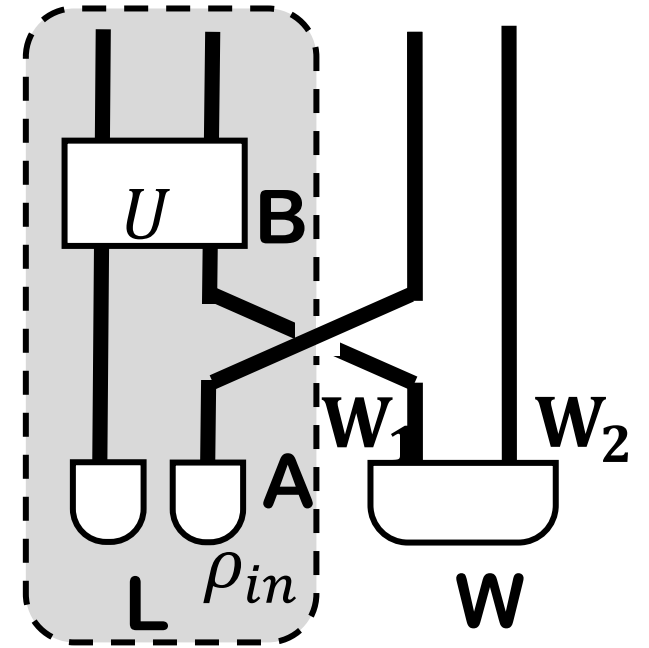
- If the initial state of  $A$  is not entangled
- $\rho_{B0} = \mathcal{N}(\rho_{in})$ , we prove that  $V_A = SWAP$  is always a saddle point
- We only need to variationally determine  $\rho_{W1}$
- In addition if  $\mathcal{N}: A \rightarrow B$  is unitary,

$$\rho_{W1} = C \rho_{in}^{-1},$$

$$J_1(A:B) = J(A:B) = 2S(\rho_{W1}) + S(\rho_{W1}|\rho_{in})$$

$$= \log(\sum_i p_i^{-1}).$$

- $p_i$  are eigenvalues of  $\rho_{in}$ .
- For example,  $\rho_{in} = \begin{pmatrix} p & 0 \\ 0 & 1-p \end{pmatrix}$
- $J(A:B) = -\log(p) - \log(1-p)$

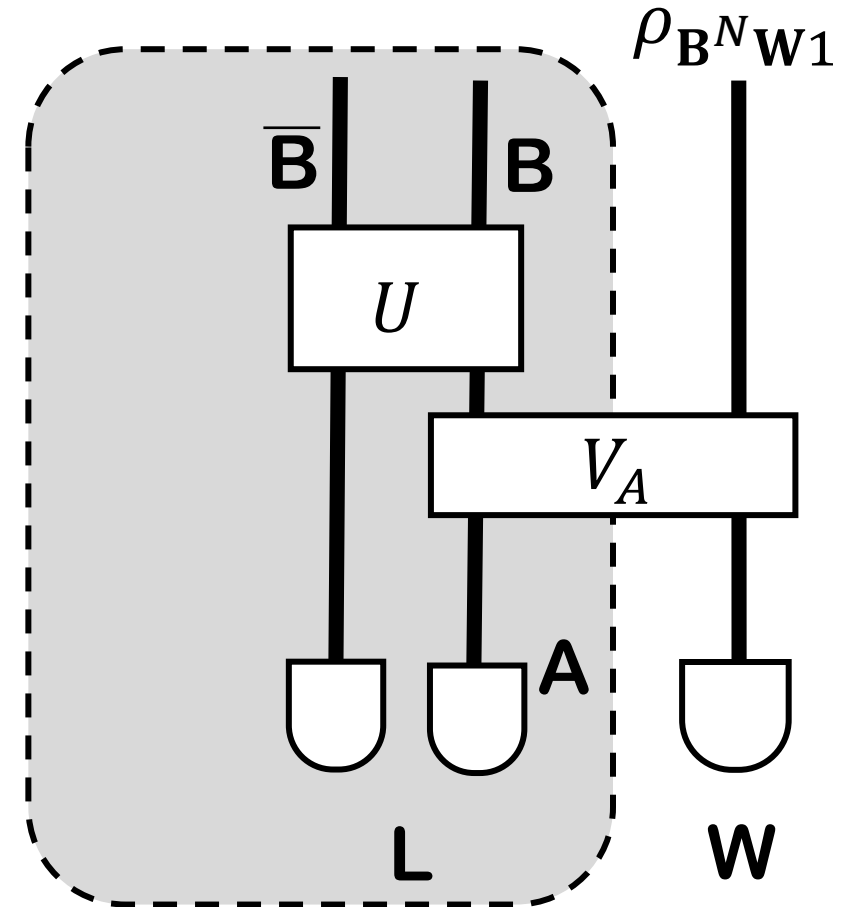


# Relation with quantum channel discrimination

- If the initial state is pure  $\rho_{in} = |\psi_{in}\rangle\langle\psi_{in}|$ , then  $V_A|\psi_{in}\rangle^{\otimes N} \otimes |0\rangle_W$  can be an arbitrary state, denoted as  $\rho_{AW}$ .  $\rho_{B^N W_1}$  and  $\rho_{B^N W_0}$  are output of two different channels for the same input state.
- This is the quantum channel discrimination problem
- $D_N(\mathcal{N}_1|\mathcal{N}_2) = \frac{1}{N} \sup_{\rho_{AW}} S(\mathcal{N}_1^{\otimes N}(\rho_{AW})|\mathcal{N}_2^{\otimes N}(\rho_{AW}))$
- $J_N(A:B) = D_N(\mathcal{N}|\mathcal{R})$
- $\mathcal{R}$  is a replacer channel.  $\mathcal{R}(\rho_{AW}) = \rho_{A0}^{\otimes N} \otimes tr_A(\rho_{AW})$ . For replacer channel, it is known that  $D_N(\mathcal{N}|\mathcal{R})$  is independent from  $N$   
(Cooney, Mosonyi, Wilde '16)
- This additivity does not hold for general channels (Fang, Fawzi, Renner, Sutter '20).

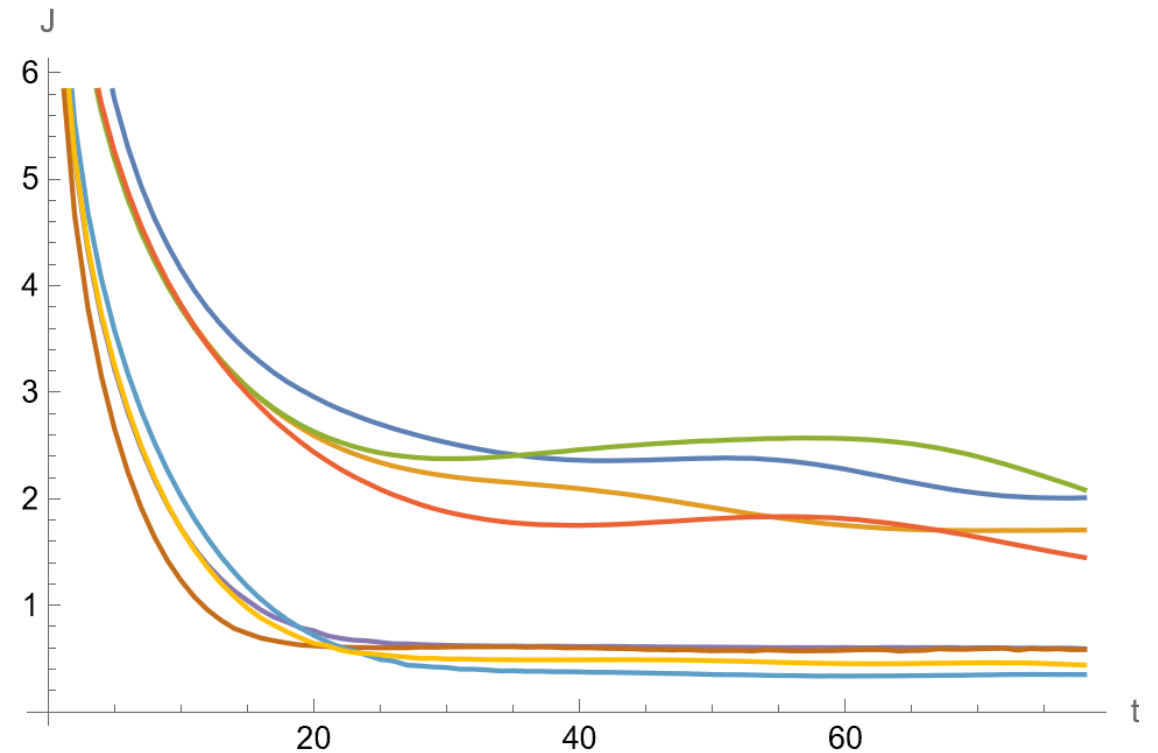
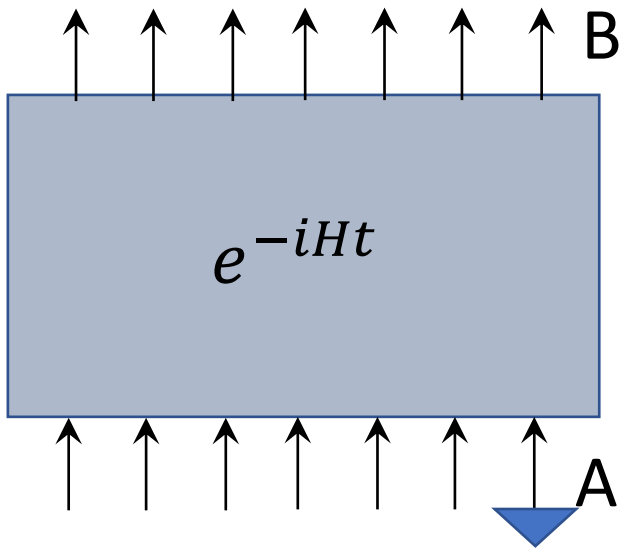
# Example: thermodynamics

- Consider a  $U$  that is a Haar random unitary, and the initial state is a product of pure states.
- If  $B$  is smaller than half system,  $\rho_B$  is almost maximally mixed independent from  $V_A$ , so that  $S(\rho_B | \rho_{B0}) = 0$ .
- If  $U = e^{-iHt}$ , in long time, small region  $B$  thermalizes to  $\rho = \frac{1}{Z} e^{-\beta H_B}$ . In this case, the correlation is nonzero. For a small  $B$ , the mutual information  $I(B:W)$  comes from classical correlation of  $\beta$  with the energy input from  $A$ . The leading contribution to  $J_1(A: B)$  comes from  $S(\rho_B | \rho_{B0}) = \beta_0 (F(\beta) - F(\beta_0))$



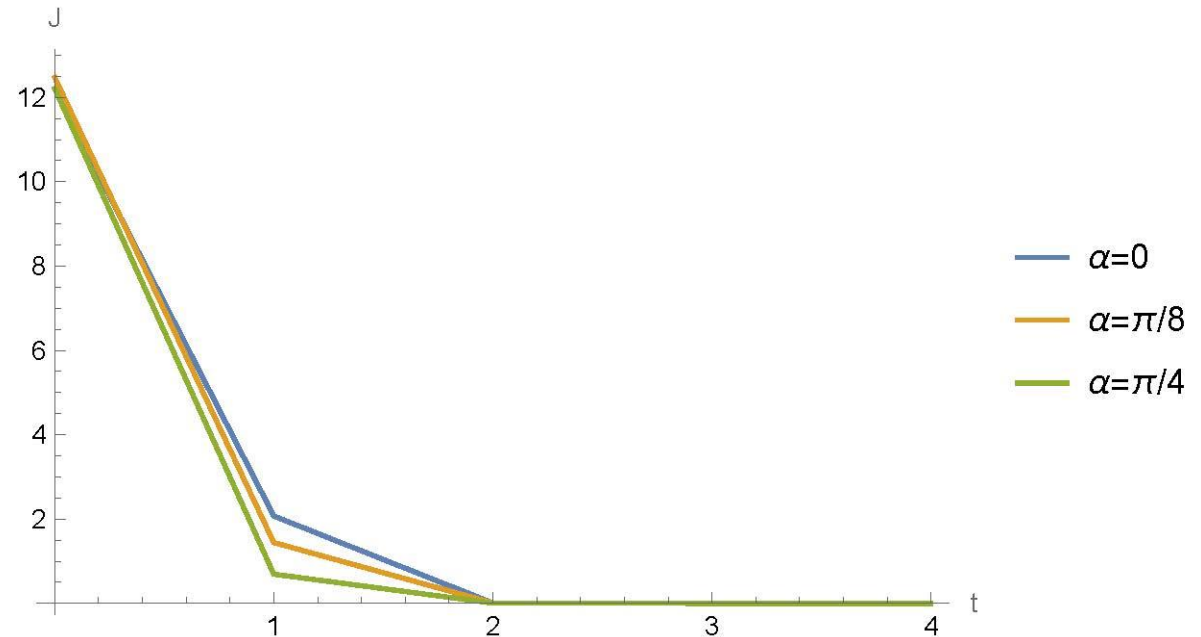
# Example: many-body localization

- MBL in random-field spin chain  $H = \sum_{j=1}^{L-1} \vec{\sigma}_j \cdot \vec{\sigma}_{j+1} + \sum_{j=1}^L h_j \sigma_j^z$
- Initial state  $|\chi\rangle\langle\chi| \otimes \frac{\mathbb{I}}{D}$ ,  $|\chi\rangle = \cos \alpha |0\rangle + \sin \alpha |1\rangle$
- B is the same site as A at later time.
- Long time residual  $J_{AB}$  nonzero,  $|\chi\rangle$  dependent.



# Example: thermalizing Floquet system

- $U = e^{-i\frac{\tau}{2}H_x} e^{-i\tau H_z} e^{-i\frac{\tau}{2}H_x}$
- $H_x = g \sum_{j=1}^L \sigma_j^x$ ,  $H_z = \sum_{j=1}^{L-1} \sigma_j^z \sigma_{j+1}^z + h \sum_{j=1}^L \sigma_j^z$
- $J_1(A:B)$  vanishes after some finite time.



# Summary and open questions

- We proposed related quantities  $J_N(A: B)$  and  $J(A: B)$  which are space-time generalizations of mutual information.
- They have interpretation in hypothesis testing, and provide upper bounds to different kinds of correlation functions.
- For pure un-entangled initial state,  $J_N = J_1$
- For mixed un-entangled initial state, probably  $J_N = J_1$  but not proven.
- Open questions:
  1. Explicit computation of  $J_N(A: B)$ , especially  $J_1(A: B)$  and  $J(A: B)$ . Does  $J_N(A: B)$  actually grow with  $N$ ?
  2. Relation to other quantum information measures, such as quantum channel capacity.
  3. Other examples.