

# Two-dimensional AKLT states as (1) ground states of gapped Hamiltonians and (2) resource for quantum computation

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support:



**Nothing more enjoyable than finally meeting  
the person whose work is the basis of your  
works**



the 'T' in AKLT

**And to meet old friends and new friends you can  
share work with and/or collaborate with**

# Acknowledgment

**Collaborators:** Robert Raussendorf, Ian Affleck, Valentin Murg, Artur Garica-Saez, Ching-Yu Huang, Abhishodh Prakash, **Nikko Pomata**, Hendrik Poulsen Nautrup, David Stephen, Dong-Sheng Wang,...

**Helpful and enlightening discussions from:** Akimasa Miyake, Andrew Darmawan, Bruno Nachtergaele, Vladimir Korepin, ...

Many of you in this wonderful workshop!

# Outline

I. Introduction

II. AKLT models and states for universal quantum computation (in MBQC framework)

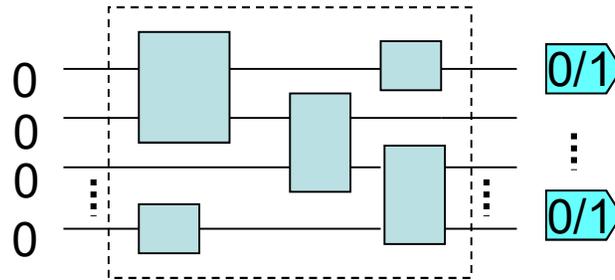
III. Nonzero gap for some 2D AKLT models

Ref: [arXiv:1905.01275](https://arxiv.org/abs/1905.01275)

IV. Summary

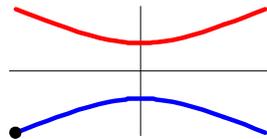
# (Frameworks of) Quantum Computation

## I. Circuit:



- ✓ Major scheme by most labs: IBM, Intel Rigetti, IonQ, Alibaba

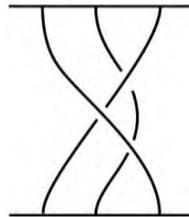
## II. Adiabatic:



$$H(t) = \left(1 - \frac{t}{T}\right)H_{\text{initial}} + \frac{t}{T}H_{\text{final}}$$

- ✓ Approach by D-Wave

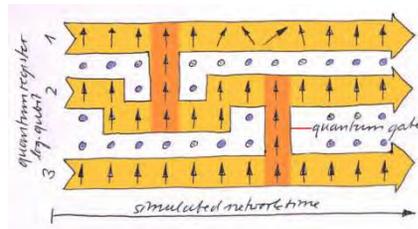
## III. Topological:



quantum gates = braiding anyons

- ✓ Approach by Microsoft, Google uses a hybrid of III and I (circuit version of IV)

## IV. Measurement-based:



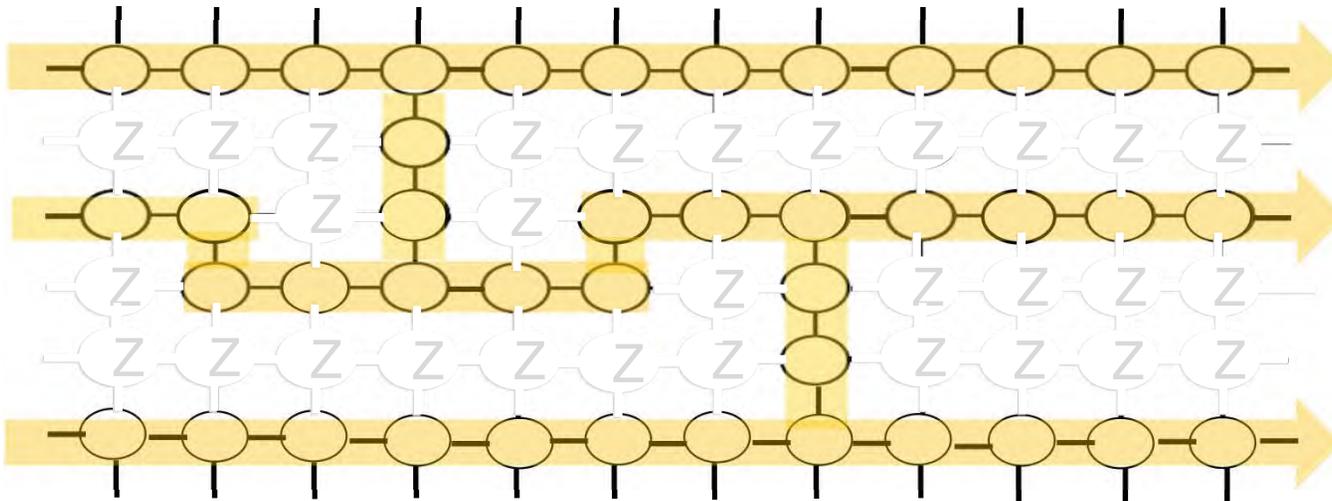
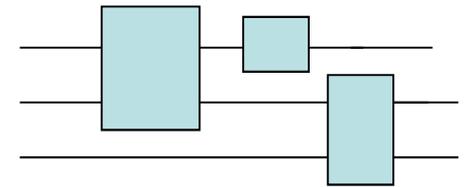
local measurement is the only operation needed

- ✓ Used in photonic systems, such as PsiQuantum

# QC by Local Measurement

[Raussendorf & Brigel '01]

- First: carve out entanglement structure by local Pauli Z measurement



- Then:

- (1) Measurement along each wire simulates one-qubit evolution (gates)
- (2) Measurement near & on each bridge simulates two-qubit gate (CNOT)



2D or higher dimensions are needed for universal QC

# How much entanglement is needed?

[Gross, Flammia & Eisert '09;  
Bremner, Mora & Winter '09]

- States ( $n$ -qubit) possessing too much **geometric entanglement**  $E_g$  are not universal for QC ( i.e if  $E_g > n - \delta$  )

$$E_g(|\Psi\rangle) = -\log_2 \max_{\phi \in \mathcal{P}} |\langle \phi | \Psi \rangle|^2 \quad \mathcal{P} = \text{set of product states}$$

- Intuition: if state is very high in **geometric entanglement**, every local measurement outcome has low probability

→ whatever local measurement strategy, the distribution of outcomes is so random that one can simulate it with a random coin (**thus not more powerful than classical random string**)

- Moreover, states with high entanglement are typical:

those with  $E_g < n - 2 \log_2(n) - 3$  is rare, i.e. with fraction  $< e^{-n^2}$

→ **Universal resource states are rare** ☹

Search in moderate entanglement (accessible by polynomial-size circuits)



Very high  $E_g$ : not accessible anyway

# Key questions for MBQC

- Characterizing all resource states? Still open
- Can they be unique ground state with 2-body Hamiltonians with a finite gap? → If so, create resources by cooling!
- ❖ Affleck-Kennedy-Lieb-Tasaki (AKLT) family of states [AKLT '87, '88]
  - 1D (not universal): [Gross & Eisert et al. '07, '10] [Brennen & Miyake '08]
  - 2D (universal): [Miyake '11] [Wei, Affleck & Raussendorf '11] [Wei et al. '13-'15]
    - Nonzero 2D gap still not proven (after 30 yrs) [see also Abdul-Rahman et al. 1901.09297; Pomata & Wei 1905.01275]
- ❖ Symmetry-protected topological states
  - 1D (not universal): [Miyake '10, Miller&Miyake '15] [Else, Doherty & Bartlett '12] [Prakash & Wei '15] [Stephen et al. '17, Raussendorf et al. '17]
  - 2D (universal, *but not much explored*): [Miller & Miyake '15] [Poulsen Nautrup & Wei '15]
    - Important progress for QC in entire symmetry-protected phases: [Raussendorf et al. PRL '19, and Devakul & Williamson, PRA'18, Daniel, Alexander& Miyake (talk yesterday)]
- ❖ Thermal states (density matrices at finite T): some topologically protected [Li et al '11, Fujii & Morimae '12, Fujii, Nakata, Ohzeki& Mura'o'13, Wei, Li&Kwek '14 ']



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# Valence-bond ground states of isotropic antiferromagnet

## □ AKLT (Affleck-Kennedy-Lieb-Tasaki) states/models

- ❖ Importance: provide strong support for Haldane's conjecture on spectral properties of spin chains [AKLT '87,88]
- ❖ Provide concrete example for symmetry-protected topological order [Gu & Wen '09, '11, ...]

## □ States of spin $S=1, 3/2, 2, \dots$ (defined on any lattice/graph)

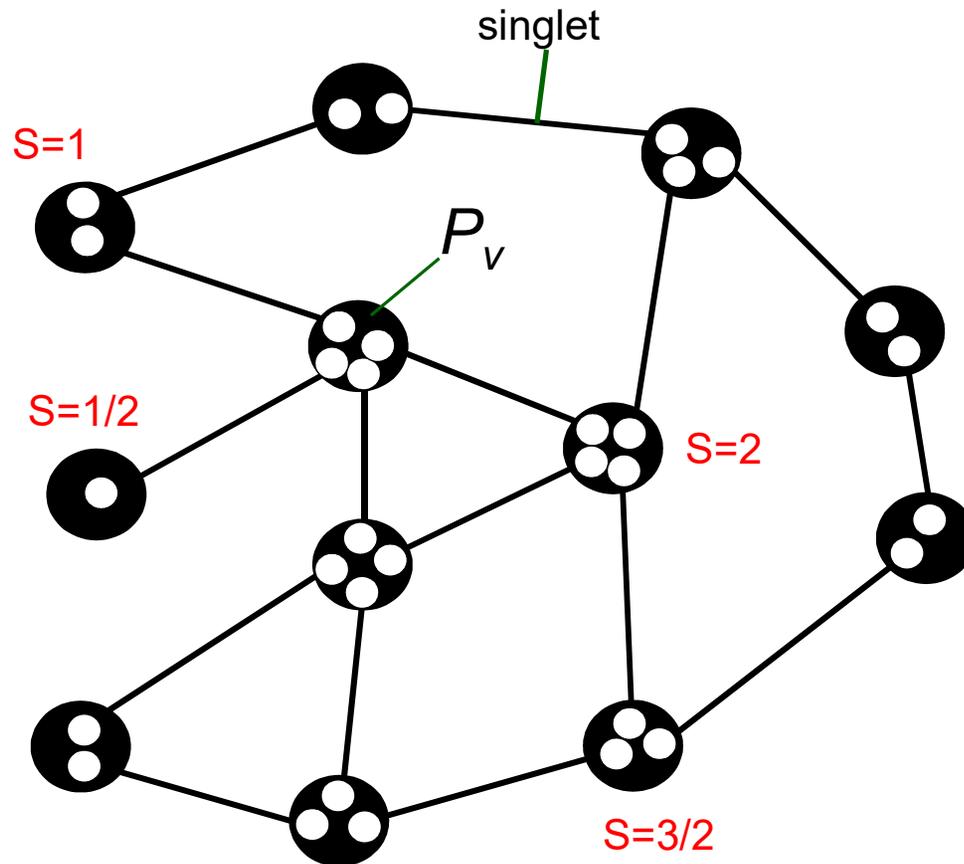
→ Unique\* ground states of gapped# two-body isotropic Hamiltonians

$$H = \sum_{\langle i,j \rangle} f(\vec{S}_i \cdot \vec{S}_j) \quad f(x) \text{ is a polynomial}$$

$$\text{e.g. 1D: } S=1 \quad H_{1D} = \sum_i \hat{P}_{i,i+1}^{(S=2)} = \frac{1}{2} \sum_{\text{edge } \langle i,j \rangle} \left[ \vec{S}_i \cdot \vec{S}_j + \frac{1}{3} (\vec{S}_i \cdot \vec{S}_j)^2 + \frac{2}{3} \right]$$

\*w/ appropriate boundary conditions [Kennedy, Lieb & Tasaki '88]

# (hybrid) AKLT state defined on any graph



- # virtual qubits = # neighbors
- $S = \# \text{ neighbors} / 2$
- Physical spin Hilbert space = symmetric subspace of qubits

$P_v =$  projection to symmetric subspace of  $n$  qubit  $\equiv$  spin  $n/2$

# Warm up: 1D AKLT state for gates

□ 1D spin-1 AKLT state can be used to implement arbitrary one-qubit gate

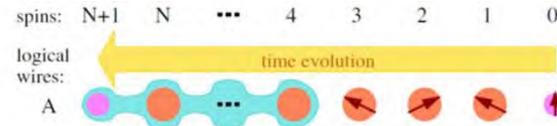
➤ Using matrix-product representation: [Gross & Eisert et al. '07, '10]

$$\left( \bigotimes_i^n \langle \phi_i | \right) | \Psi \rangle = [L] \rightarrow [A[\phi_1]] \rightarrow \dots \rightarrow [A[\phi_n]] \rightarrow [R^\dagger]. \quad A_{\alpha=x,y,z} = \sigma_\alpha$$

➤ Using edge degrees of freedom:

[Brennen & Miyake '08]

[Miyake '10]



□ Alternative view by reduction to 1D cluster state by local measurement

[Chen, Duan, Ji & Zeng '10]

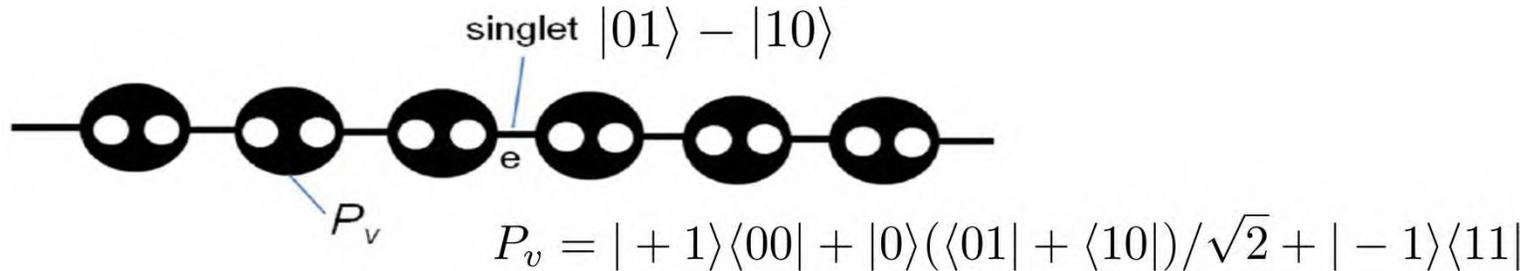
➤ Adaptive:

$$\begin{array}{|c|c|c|c|} \hline & 1 & & \\ \hline I & I & I & I \\ X & X & & X \\ & & Z & Z \\ \hline \end{array} \simeq \begin{array}{|c|c|c|c|} \hline & 2 & & \\ \hline I & I & I & I \\ X & Z & X & Z \\ \hline \end{array} \quad \begin{array}{|c|} \hline 3 \\ \hline I & I \\ X & Z \\ \hline \end{array} = \begin{array}{|c|c|} \hline & 4 \\ \hline IH & HI \\ XH & HZ \\ \hline \end{array} = \begin{array}{|c|c|} \hline & 5 \\ \hline H & H \\ HZ & HZ \\ \hline \end{array}$$

➤ Fixed measurement: (see next)

[Wei, Affleck & Raussendorf '11]

# Converting 1D AKLT state to cluster state



□ Via fixed POVM  $\rightarrow$  generalizable to 2D AKLT:

[Wei, Affleck & Raussendorf '11]

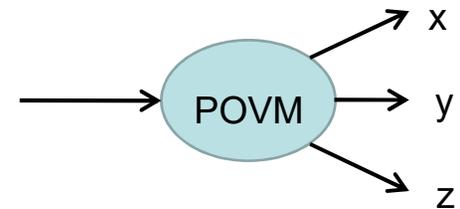
$$F_x^\dagger F_x + F_y^\dagger F_y + F_z^\dagger F_z = I$$

$$F_x \sim |S_x = 1\rangle\langle S_x = 1| + |S_x = -1\rangle\langle S_x = -1| \sim |++\rangle\langle ++| + |--\rangle\langle --|$$

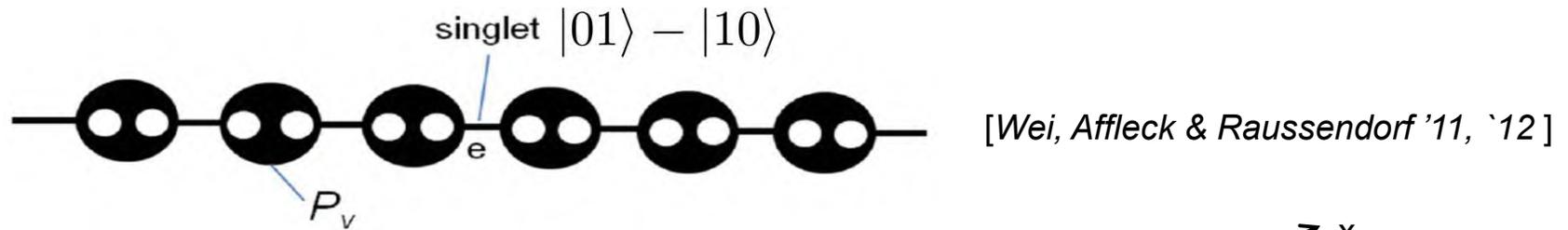
$$F_y \sim |S_y = 1\rangle\langle S_y = 1| + |S_y = -1\rangle\langle S_y = -1| \sim |i, i\rangle\langle i, i| + |-i, -i\rangle\langle -i, -i|$$

$$F_z \sim |S_z = 1\rangle\langle S_z = 1| + |S_z = -1\rangle\langle S_z = -1| \sim |00\rangle\langle 00| + |11\rangle\langle 11|$$

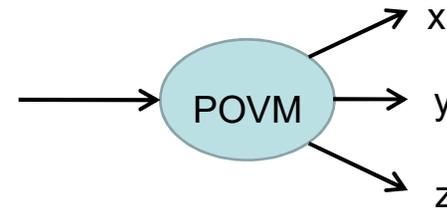
$\rightarrow$  Outcome labeled by  $x, y, z$ :  $|\psi\rangle \rightarrow F_\alpha |\psi\rangle$  projects to local two-level space



# POVM: 1D AKLT state $\rightarrow$ cluster state



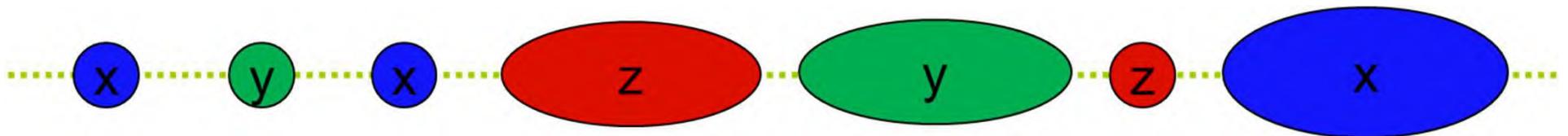
□ POVM:  $F_x^\dagger F_x + F_y^\dagger F_y + F_z^\dagger F_z = I$



e.g. for the outcome (labeled x, y, z)



$\rightarrow$  the post-measurement state is an encoded 1D cluster state with graph:



$\rightarrow$  1 logical qubit = 1 domain = consecutive sites with same outcome

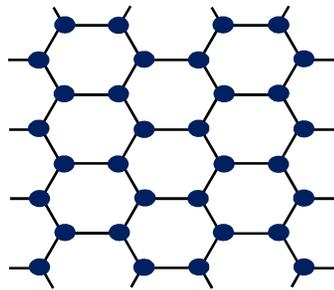
$\rightarrow$  This generalizes to some 2D AKLT states (with  $S \leq 2$ )

# 2D AKLT states for quantum computation?

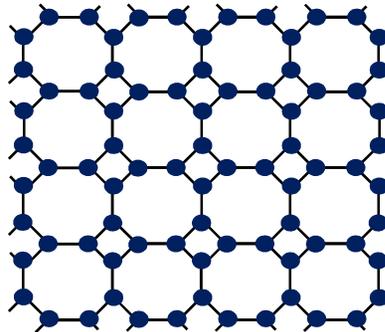
## □ On various lattices

Miyake '11; *Wei, Affleck & Raussendorf*, PRL '11  
*Wei*, PRA '13, *Wei, Haghnegahdar & Raussendorf*, PRA '14  
*Wei & Raussendorf* '15

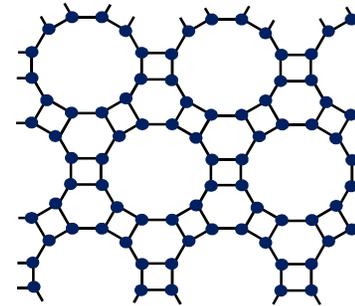
😊 honeycomb



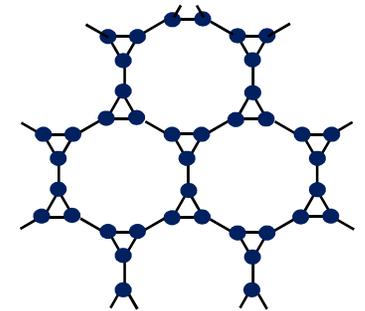
😊 square-octagon



😊 'cross'

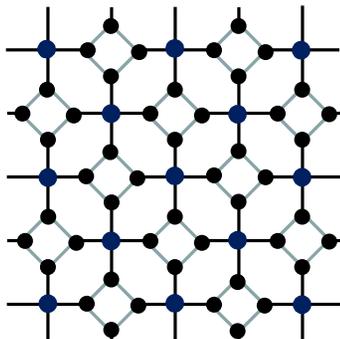


☹️ star

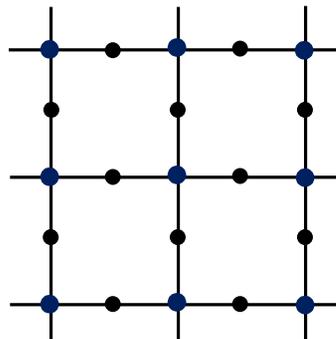


spin-3/2:

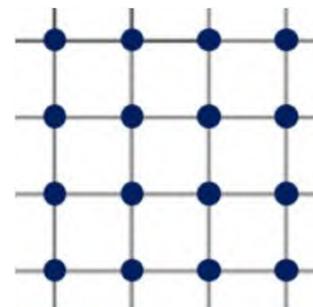
😊 square-hexagon  
(spin-2 spin-3/2 mixture)



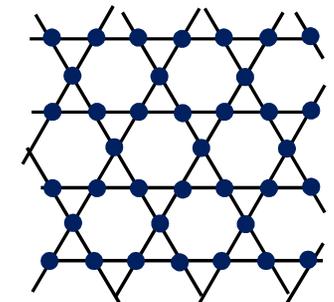
😊 decorated-square  
(spin-2 spin-1 mixture)



😊 square  
(spin-2)

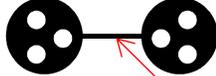


☹️ Kagome  
(spin-2)

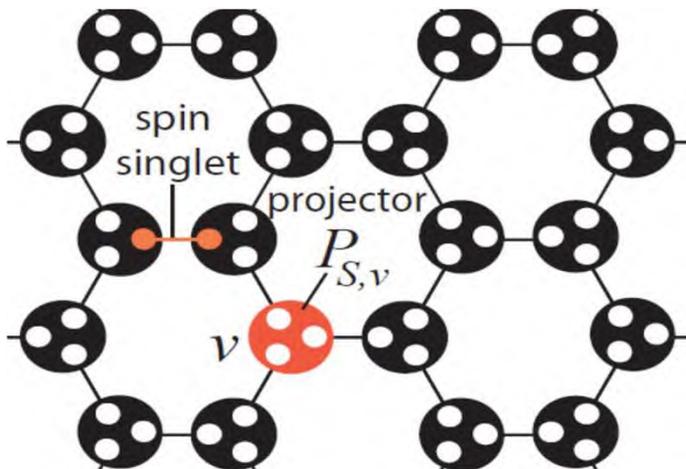


# AKLT states on trivalent lattices

- Each site: three virtual qubits   $\equiv$  spin  $3/2$  (in general:  $S = \text{\#nbr} / 2$ )  
 $\rightarrow$  physical spin = symmetric subspace of qubits

- Two virtual qubits on an edge form a **singlet**   $|01\rangle - |10\rangle$

$$P = |3/2\rangle\langle 000| + | - 3/2\rangle\langle 111| + |1/2\rangle\langle W| + | - 1/2\rangle\langle \bar{W}|$$



$$|000\rangle \leftrightarrow \left| S = \frac{3}{2}, S_z = \frac{3}{2} \right\rangle$$

$$|111\rangle \leftrightarrow \left| \frac{3}{2}, -\frac{3}{2} \right\rangle$$

$\rightarrow$  Effective qubit

$$|W\rangle \equiv \frac{1}{\sqrt{3}}(|001\rangle + |010\rangle + |100\rangle) \leftrightarrow \left| \frac{3}{2}, \frac{1}{2} \right\rangle$$

$$|\bar{W}\rangle \equiv \frac{1}{\sqrt{3}}(|110\rangle + |101\rangle + |011\rangle) \leftrightarrow \left| \frac{3}{2}, -\frac{1}{2} \right\rangle$$

# POVM for spin-3/2

$$F_z = \sqrt{\frac{2}{3}} \left( \left| \frac{3}{2} \right\rangle \left\langle \frac{3}{2} \right|_z + \left| -\frac{3}{2} \right\rangle \left\langle -\frac{3}{2} \right|_z \right)$$

[Miyake '11, **Wei**, Affleck & Raussendorf '11]

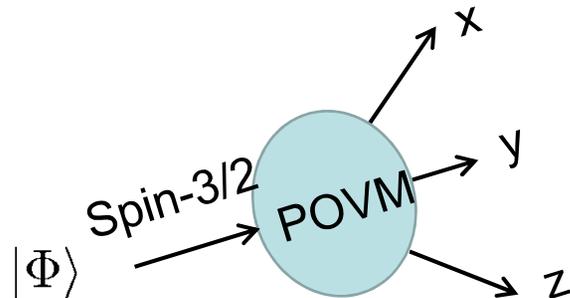
$$F_x = \sqrt{\frac{2}{3}} \left( \left| \frac{3}{2} \right\rangle \left\langle \frac{3}{2} \right|_x + \left| -\frac{3}{2} \right\rangle \left\langle -\frac{3}{2} \right|_x \right)$$

Completeness:

$$F_y = \sqrt{\frac{2}{3}} \left( \left| \frac{3}{2} \right\rangle \left\langle \frac{3}{2} \right|_y + \left| -\frac{3}{2} \right\rangle \left\langle -\frac{3}{2} \right|_y \right)$$

$$F_x^\dagger F_x + F_y^\dagger F_y + F_z^\dagger F_z = I$$

- POVM gives random outcome x, y and z at each site



$$|\Phi\rangle \longrightarrow F_{\alpha=x,y,\text{or } z} |\Phi\rangle$$

# Tensor-network picture

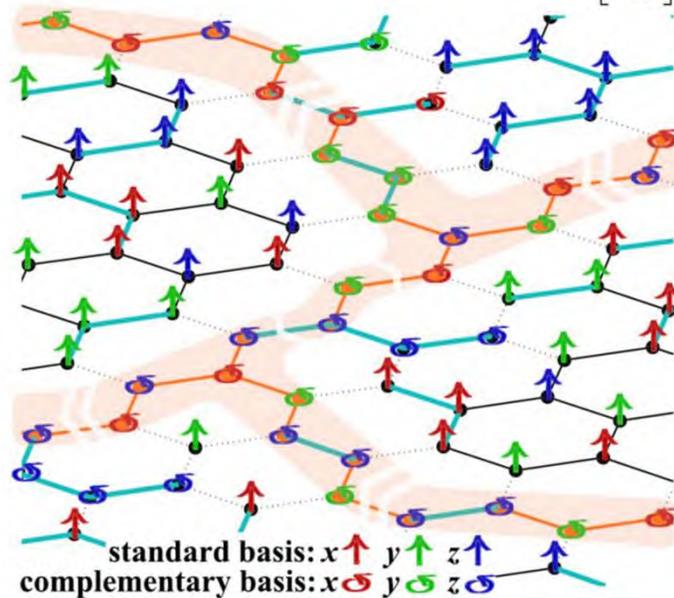
[Miyake '11]

- After POVM, each site effectively has two physical values

e.g. outcome  $z$ :

$$A_{\perp} \left[ \frac{3^z}{2} \right] = -|0^z\rangle\langle 1^z| \otimes |0^z\rangle \quad A_{\top} \left[ \frac{3^z}{2} \right] = |0^z\rangle\langle 1^z| \otimes \langle 1^z|$$

$$A_{\perp} \left[ -\frac{3^z}{2} \right] = |1^z\rangle\langle 0^z| \otimes |1^z\rangle \quad A_{\top} \left[ -\frac{3^z}{2} \right] = |1^z\rangle\langle 0^z| \otimes \langle 0^z|$$



- ✓ Further local measurements give rise to single- and two-qubit gates (in virtual bond space)
- ✓ Notion of computational backbone

# Alternative: Reduction to 2D graph states

$$F_z = \sqrt{\frac{2}{3}} \left( \left| \frac{3}{2} \right\rangle \left\langle \frac{3}{2} \right|_z + \left| -\frac{3}{2} \right\rangle \left\langle -\frac{3}{2} \right|_z \right)$$

[Wei, Affleck & Raussendorf '11  
Miyake '11]

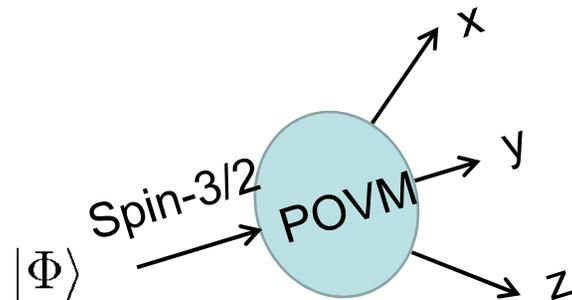
$$F_x = \sqrt{\frac{2}{3}} \left( \left| \frac{3}{2} \right\rangle \left\langle \frac{3}{2} \right|_x + \left| -\frac{3}{2} \right\rangle \left\langle -\frac{3}{2} \right|_x \right)$$

Completeness:

$$F_y = \sqrt{\frac{2}{3}} \left( \left| \frac{3}{2} \right\rangle \left\langle \frac{3}{2} \right|_y + \left| -\frac{3}{2} \right\rangle \left\langle -\frac{3}{2} \right|_y \right)$$

$$F_x^\dagger F_x + F_y^\dagger F_y + F_z^\dagger F_z = I$$

- POVM gives random outcome x, y and z at each site



$$|\Phi\rangle \longrightarrow F_{\alpha=x,y,\text{or } z} |\Phi\rangle$$

- ➔ Can show POVM on all sites converts AKLT to a graph state  
(graph depends on random x, y and z outcomes)

# Probability of POVM outcomes

- Measurement gives random outcomes, but what is the probability of a given set of outcomes?

$$P(\{\alpha(v)\}) \sim \langle \psi_{\text{AKLT}} | \bigotimes_v F_{\alpha(v)}^\dagger F_{\alpha(v)} | \psi_{\text{AKLT}} \rangle$$

- Can evaluate this using coherent states; alternatively use tensor product states
- Turns out to be a geometric object

$$P(\{\alpha(v)\}) \sim 2^{|V| - |\mathcal{E}|}$$

[Wei, Affleck & Raussendorf, PRL '11 & PRA '12]

# Difference from 1D case: graph & percolation

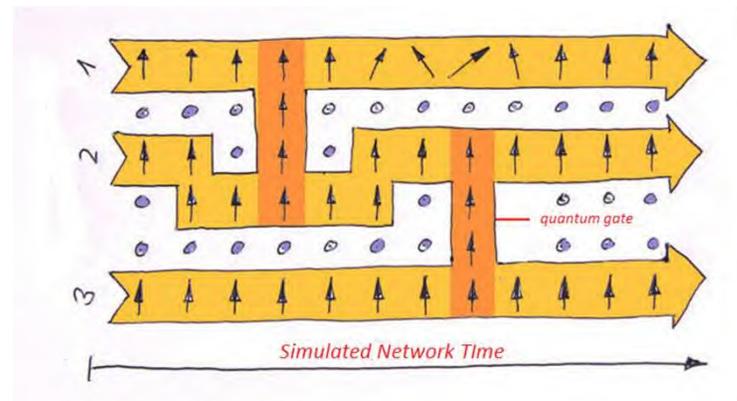
[Wei, Affleck & Raussendorf PRL'11]

1. What is the graph? which determines the graph state  
→ How to identify the graphs ?

✓ From these graphs we can 'cut out' the computational backbone

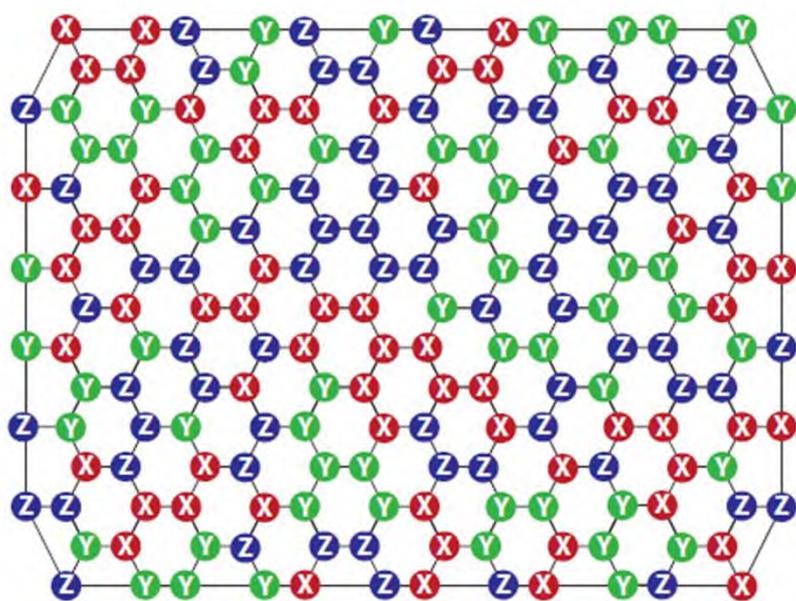
2. How do we know these graph states are universal?

✓ Percolation is the key

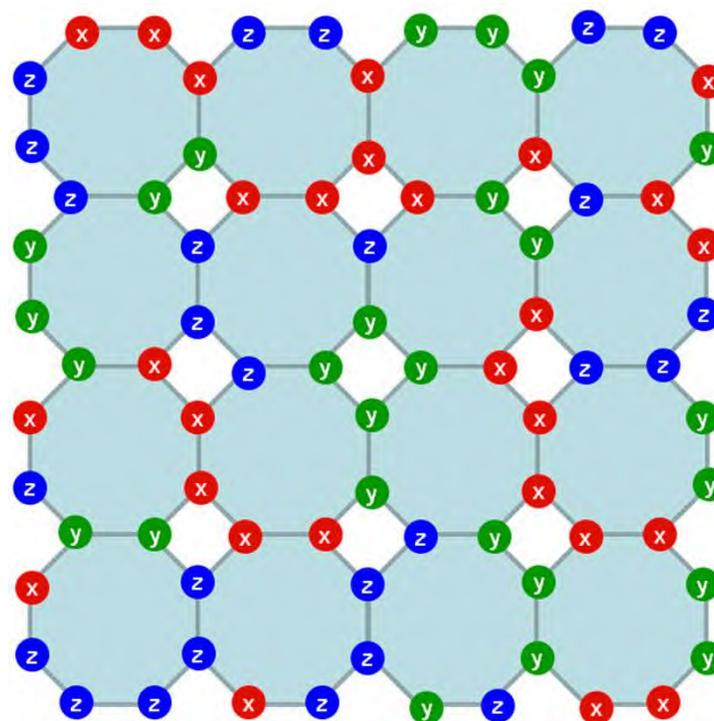


# Recipe: construct graph for 'the graph state'

- Examples: random POVM outcomes  $x, y, z$



honeycomb

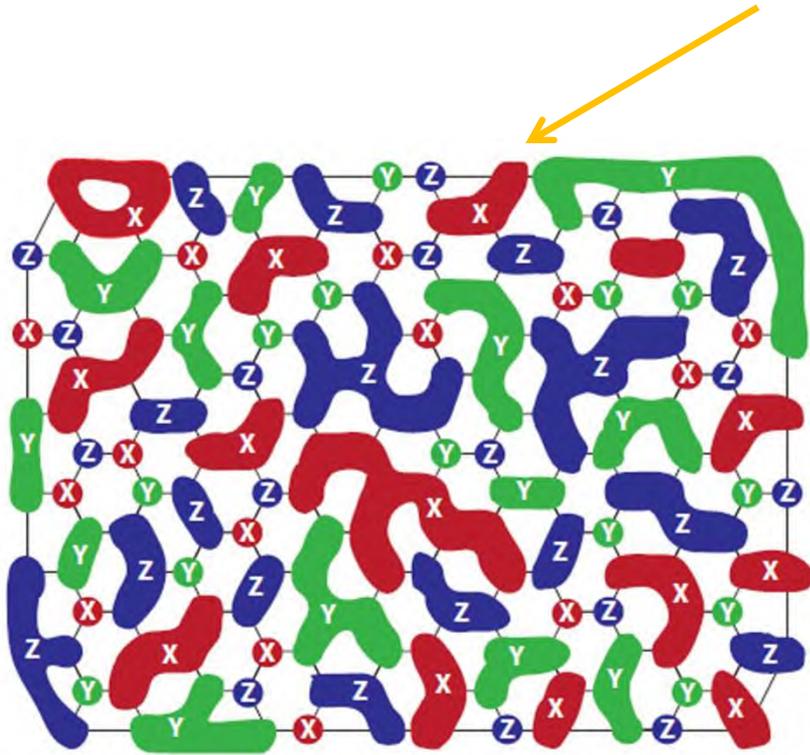


square octagon

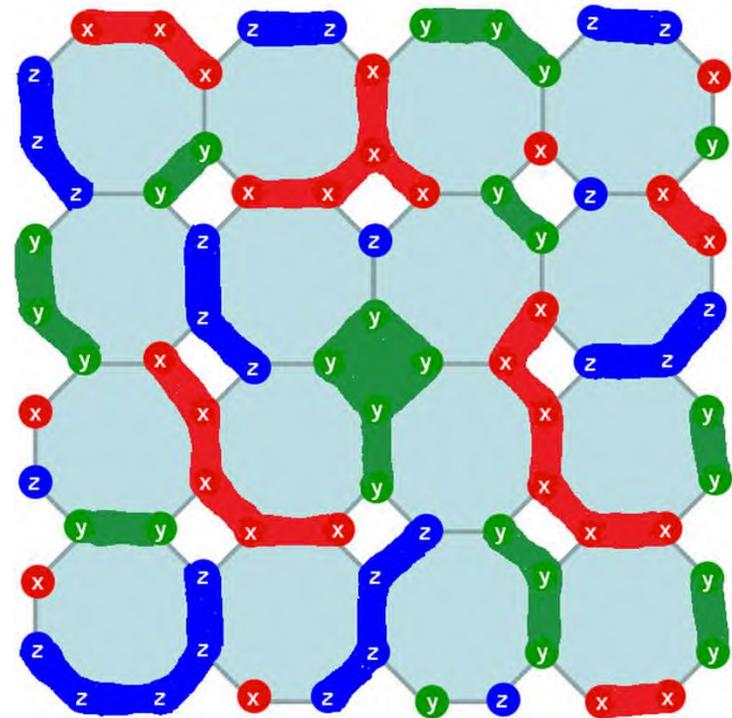
$$P(\{\alpha(v)\}) \sim 2^{|V|-|\mathcal{E}|}$$

# Step 1: Merge sites to “domains” → vertices

➤ 1 domain = 1 logical qubit



honeycomb

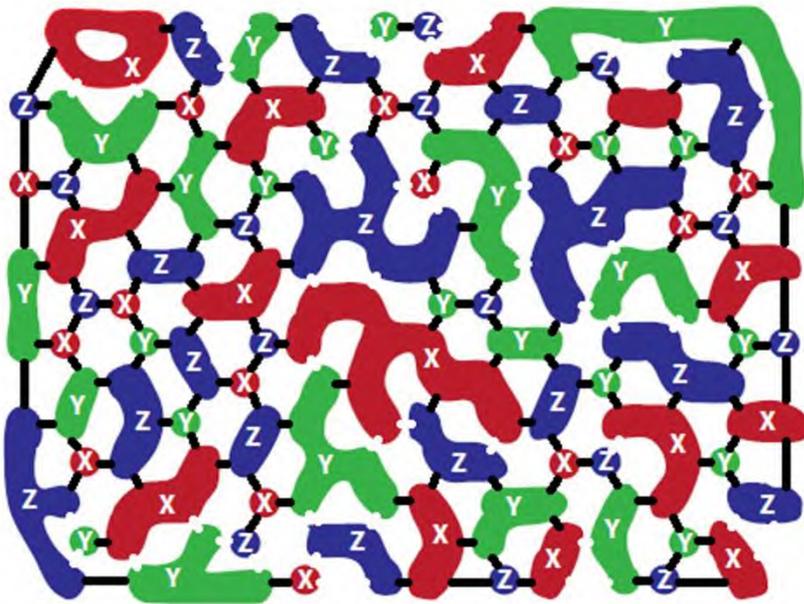


square octagon

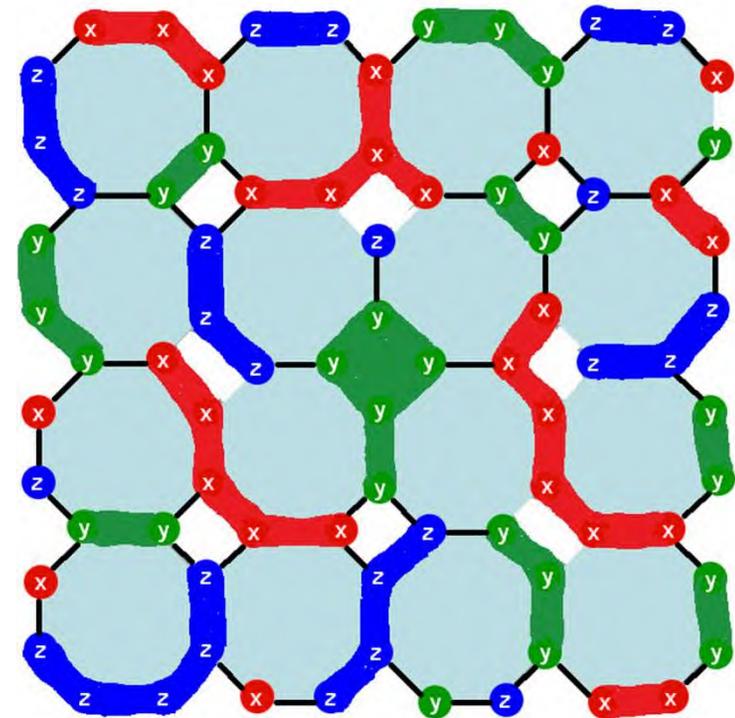
$|\uparrow\uparrow\uparrow\uparrow\rangle$   $|\downarrow\uparrow\uparrow\downarrow\rangle$  : encoding of a logical qubit

# Step 2: edge correction between domains

- Even # edges = 0 edge, Odd # edges = 1 edge  
(due to  $\sigma_z^2 = I$  in the C-Z gate)



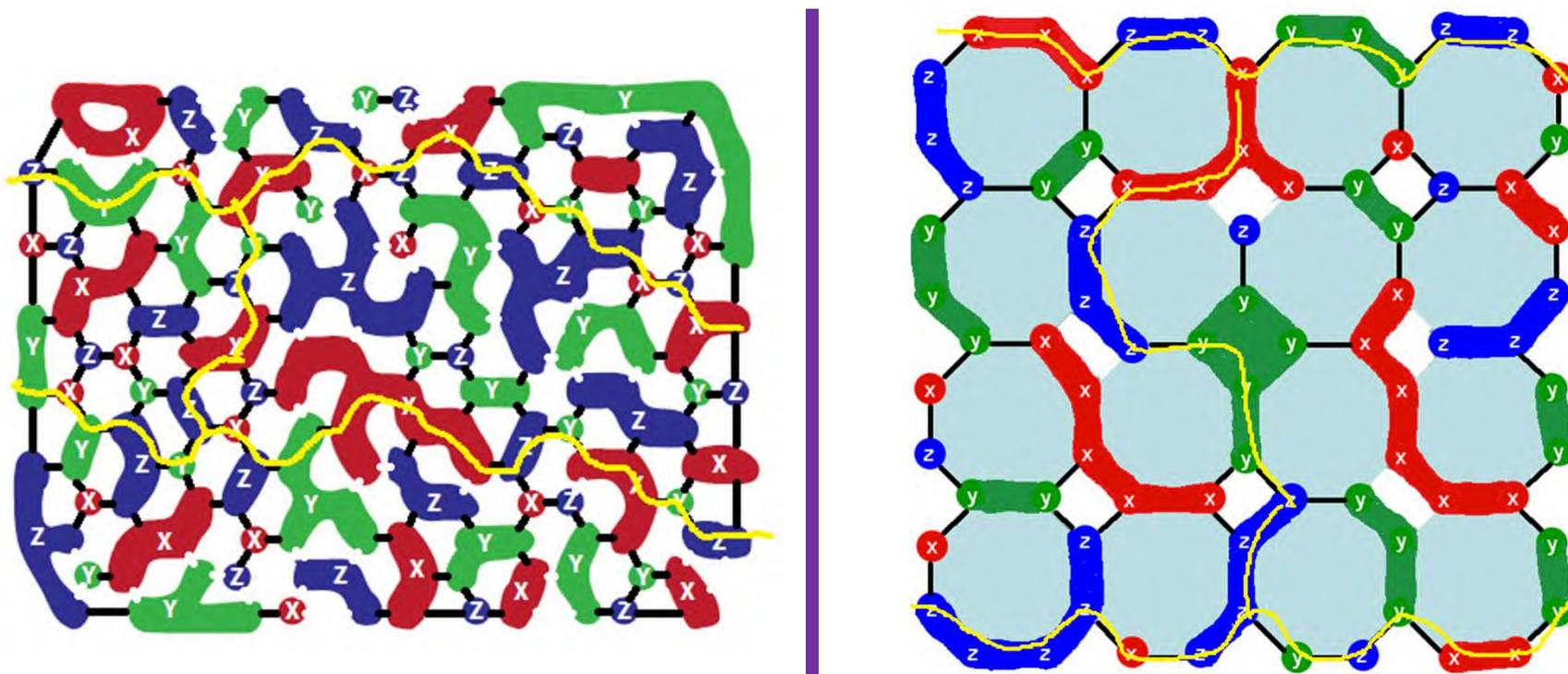
honeycomb



square octagon

# Step 3: Check connections (percolation)

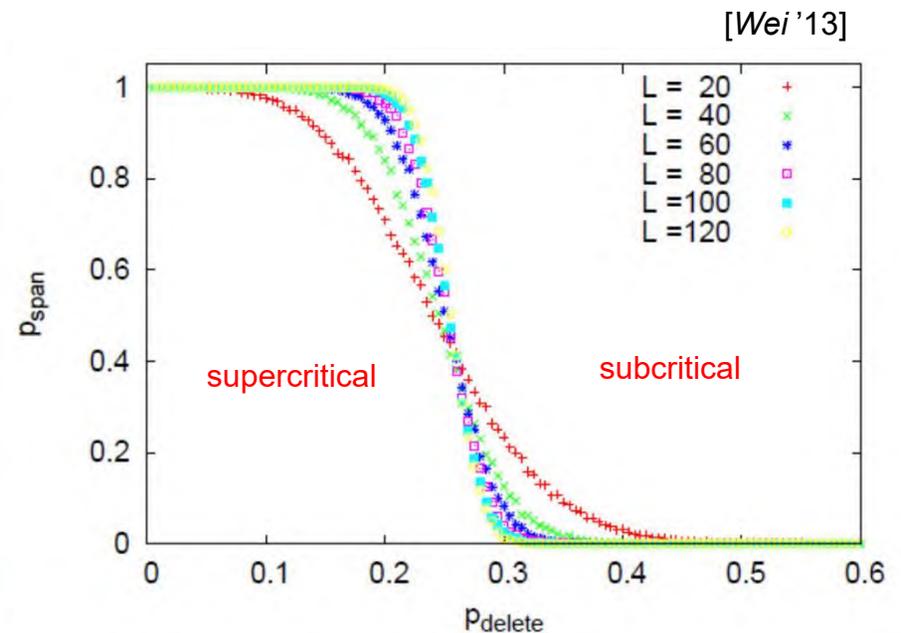
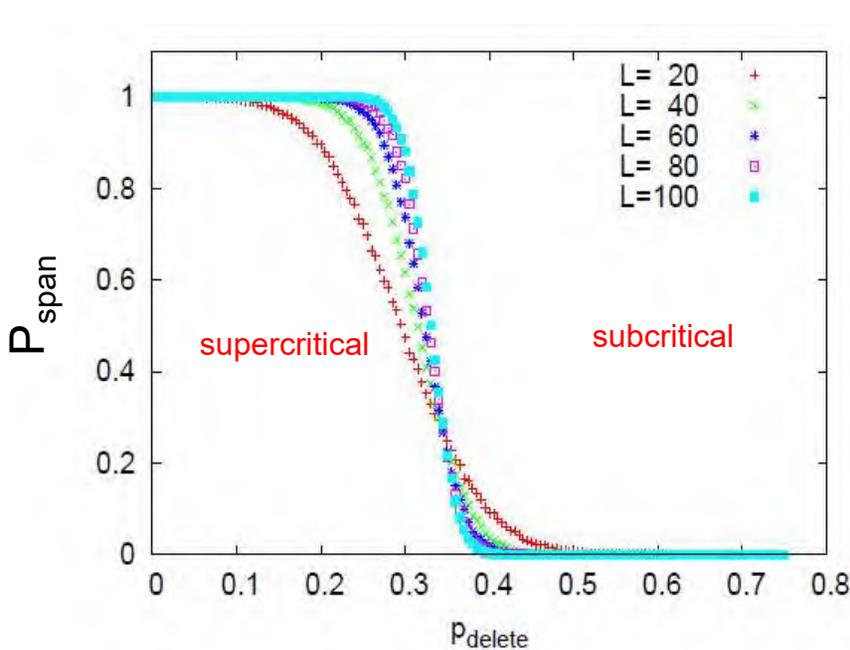
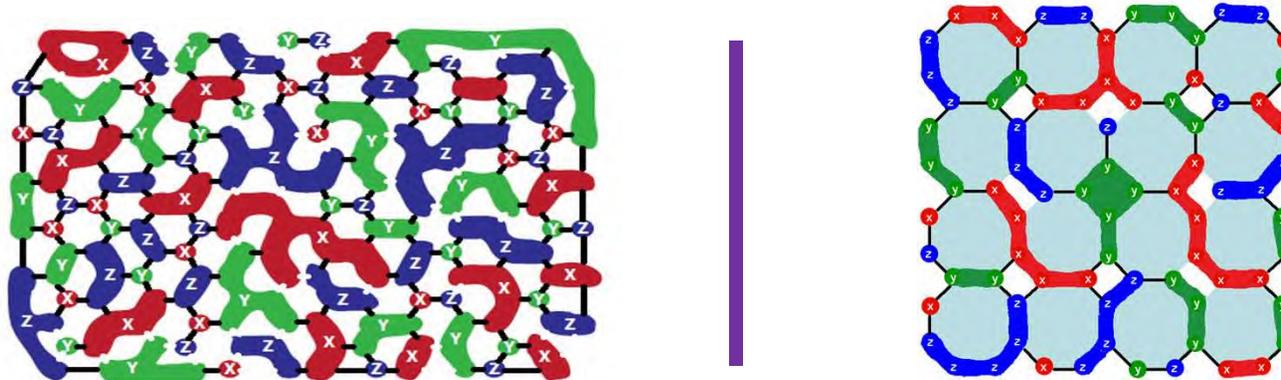
- Sufficient number of wires if graph is in supercritical phase (percolation)



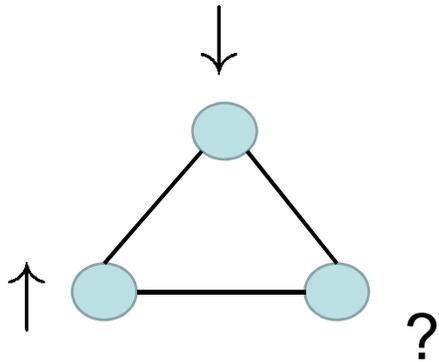
- ✓ Verified this for honeycomb, square octagon and cross lattices  
➔ AKLT states on these are universal resources

# How robust is connectivity?

- Characterized by artificially removing domains to see when connectivity collapses (phase transition)



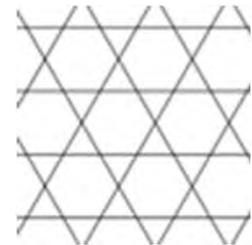
# Frustration on star lattice



→ Cannot have POVM outcome  $xxx$ ,  $yyy$  or  $zzz$  on a triangle

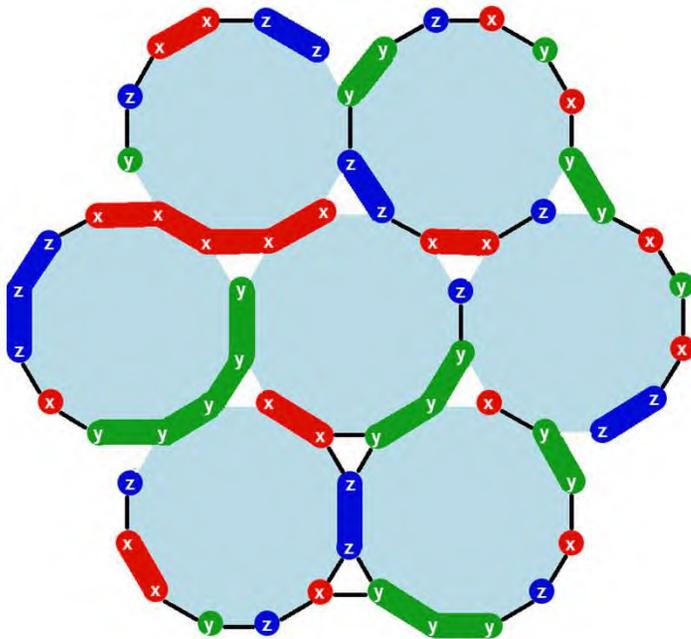
→ Consequences:

(1) Only 50% edges on triangles occupied  
<  $p_{th} \approx 0.5244$  of Kagome  
→ disconnected graph



(2) Simulations confirmed: graphs not percolated

→ AKLT on star likely NOT universal



# Difficulty for spin-2

- Technical problem: trivial extension of POVM does NOT work!

$$\begin{aligned}
 F_z &= |2\rangle\langle 2|_z + |-2\rangle\langle -2|_z \\
 F_x &= |2\rangle\langle 2|_x + |-2\rangle\langle -2|_x \\
 F_y &= |2\rangle\langle 2|_y + |-2\rangle\langle -2|_y
 \end{aligned}$$

$$F_x^\dagger F_x + F_y^\dagger F_y + F_z^\dagger F_z \neq c \cdot I$$

→ Leakage out of logical subspace (error)!

- Fortunately, can add elements K's to complete the identity

$$\left\{ \begin{array}{l}
 F_\alpha = \sqrt{\frac{2}{3}} (|S_\alpha = +2\rangle\langle S_\alpha = +2| + |S_\alpha = -2\rangle\langle S_\alpha = -2|) \quad [\text{Wei, Haghnegahdar, Raussendorf '14}] \\
 K_\alpha = \sqrt{\frac{1}{3}} (|\phi_\alpha^-\rangle\langle\phi_\alpha^-|) \quad |\phi_\alpha^-\rangle \equiv \sqrt{\frac{1}{2}} (|S_\alpha = 2\rangle - |S_\alpha = -2\rangle) \\
 \alpha = x, y, z
 \end{array} \right.$$

Completeness:  $\sum_{\alpha=x,y,z} F_\alpha^\dagger F_\alpha + \sum_{\alpha=x,y,z} K_\alpha^\dagger K_\alpha = I$

# Another difficulty: sample POVM outcomes

$$p(\{F, K\}) = \langle \text{AKLT} | \bigotimes_u F_{\alpha(u)}^\dagger F_{\alpha(u)} \bigotimes_v K_{\beta(v)}^\dagger K_{\beta(v)} | \text{AKLT} \rangle = ? \quad [\text{Wei, Raussendorf '15}]$$

□ How to calculate such an  $N$ -body correlation function?

**Lemma.** If there exists a set  $Q$  (subset of  $D_K$ ) such that  $-\bigotimes_{\mu \in Q} (-1)^{|V_\mu|} X_\mu$  is in the stabilizer group  $\mathcal{S}(|G_0\rangle)$  of the state  $|G_0\rangle$ , then  $p(\{F, K\}) = 0$ . Otherwise,

$$p(\{F, K\}) = c \left( \frac{1}{2} \right)^{|\mathcal{E}| - |V| + 2|J_K| - \dim(\ker(H))},$$

where  $c$  is a constant.

$$\left\{ \begin{array}{l} |G_0\rangle \sim \bigotimes_v F_{\alpha(v)} | \text{AKLT} \rangle \\ D_K: \text{ set of domains having all sites POVM } K \\ (H)_{\mu\nu} = 1 \text{ if } \{\mathcal{K}_\mu, X_\nu\} = 0, \text{ and } (H)_{\mu\nu} = 0 \text{ otherwise} \end{array} \right.$$

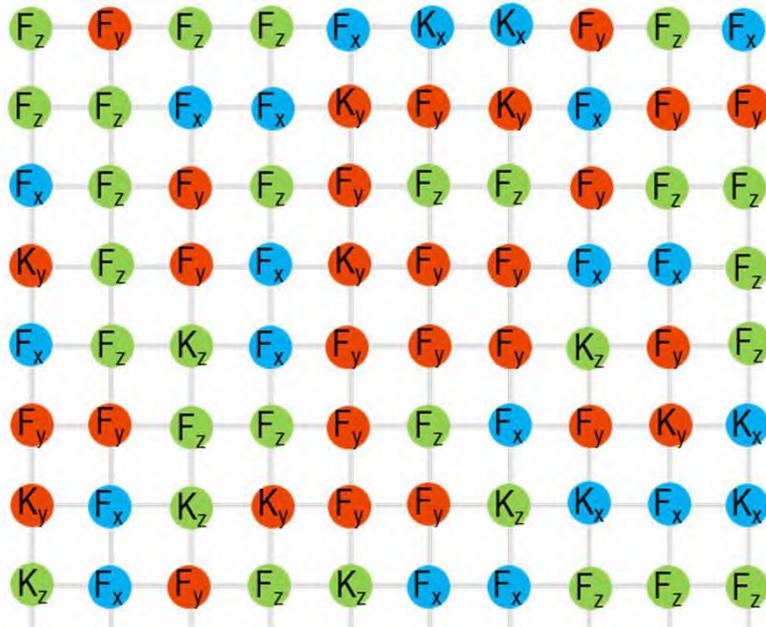
➔ Bottom line: can use Monte Carlo sampling

# Local POVM: 5-level to (2 or 1)-level

$$\left\{ \begin{array}{l}
 F_\alpha = \sqrt{\frac{2}{3}} (|S_\alpha = +2\rangle\langle S_\alpha = +2| + |S_\alpha = -2\rangle\langle S_\alpha = -2|) \quad [\text{Wei, Haghnegahdar, Raussendorf '14}] \\
 K_\alpha = \sqrt{\frac{1}{3}} (|\phi_\alpha^-\rangle\langle\phi_\alpha^-|) = \frac{1}{\sqrt{2}} |\phi_\alpha^-\rangle\langle\phi_\alpha^-| F_\alpha \quad |\phi_\alpha^\pm\rangle \equiv \sqrt{\frac{1}{2}} (|S_\alpha = 2\rangle \pm |S_\alpha = -2\rangle) \\
 \alpha = x, y, z
 \end{array} \right.$$

Completeness:  $\sum_{\alpha=x,y,z} F_\alpha^\dagger F_\alpha + \sum_{\alpha=x,y,z} K_\alpha^\dagger K_\alpha = I$

- POVM gives random outcome  $F_x, F_y, F_z, K_x, K_y, K_z$  at each site



→ Local action (depends on outcome):

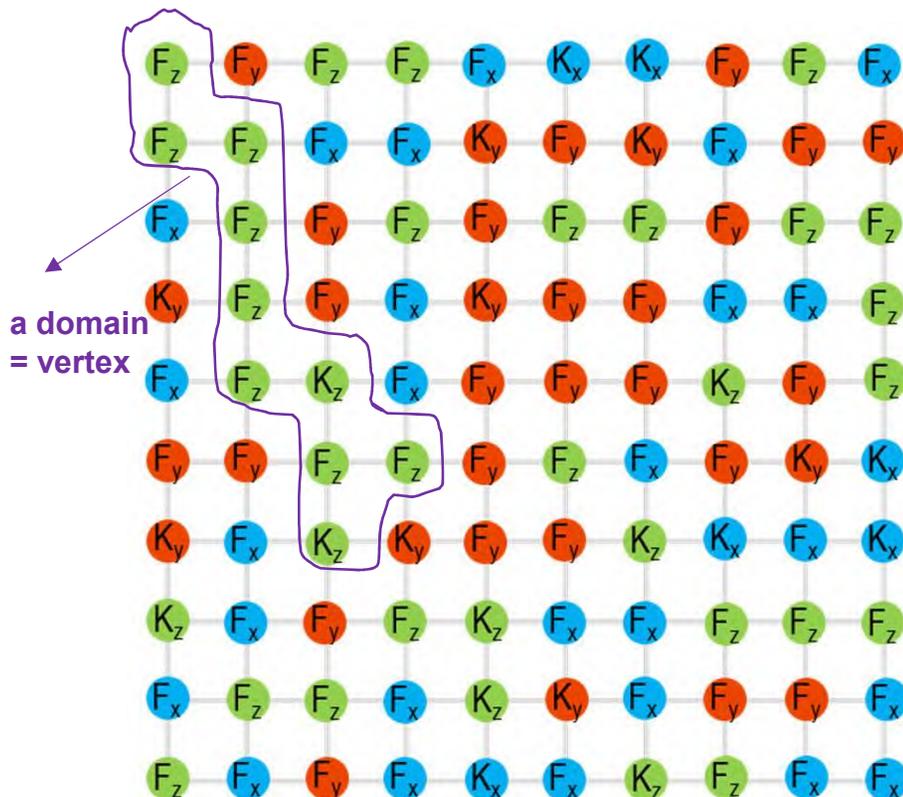
$$|\Phi\rangle \longrightarrow F_{\alpha=x,y,\text{or }z} |\Phi\rangle$$

or

$$|\Phi\rangle \longrightarrow K_{\alpha=x,y,\text{or }z} |\Phi\rangle$$

# Post-POVM state: graph state

$$\left\{ \begin{array}{l}
 F_\alpha = \sqrt{\frac{2}{3}} (|S_\alpha = +2\rangle\langle S_\alpha = +2| + |S_\alpha = -2\rangle\langle S_\alpha = -2|) \quad [\text{Wei, Haghnegahdar, Raussendorf '14}] \\
 K_\alpha = \sqrt{\frac{1}{3}} (|\phi_\alpha^-\rangle\langle\phi_\alpha^-|) = \frac{1}{\sqrt{2}} |\phi_\alpha^-\rangle\langle\phi_\alpha^-| F_\alpha \quad |\phi_\alpha^\pm\rangle \equiv \sqrt{\frac{1}{2}} (|S_\alpha = 2\rangle \pm |S_\alpha = -2\rangle) \\
 \alpha = x, y, z
 \end{array} \right.$$



- If  $F$  outcome on **all** sites  
 $\rightarrow$  a *planar* graph state

$$|G_0\rangle = \bigotimes_v F_{\alpha_v}^{(v)} |\text{AKLT}\rangle$$

- ✓ **Vertex** = a domain of sites with same color (x, y or z)

- $K$  outcome =  $F$  followed by  $\phi^\pm$  measurement (then *post-selecting* '-' result)

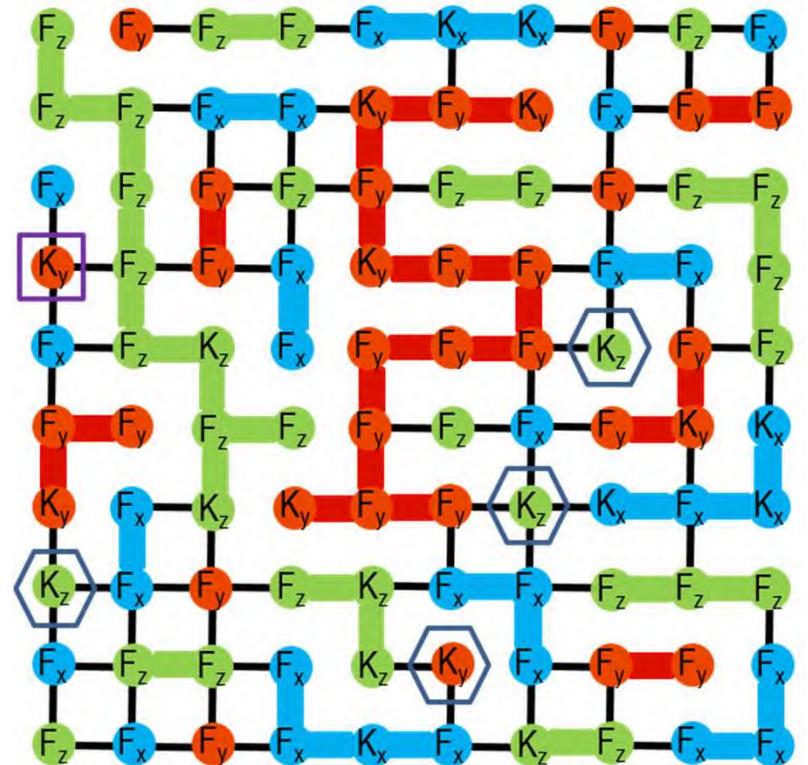
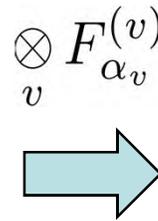
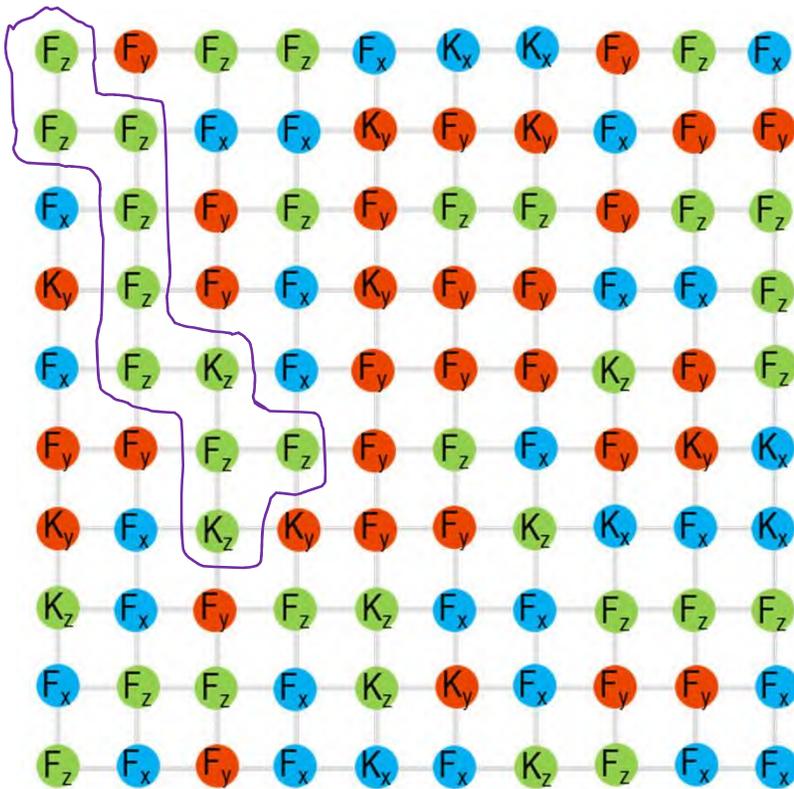
$\rightarrow$  **Either**

- (1) shrinks domain size [trivial] or
- (2) logical X or Y measurement [**nontrivial**]

# POVM $\rightarrow$ Graph of the graph state

Vertex = domain = connected sites of same color  
 Edge = links between two domains (modulo 2)

$$|G_0\rangle = \bigotimes_v F_{\alpha_v}^{(v)} |AKLT\rangle$$



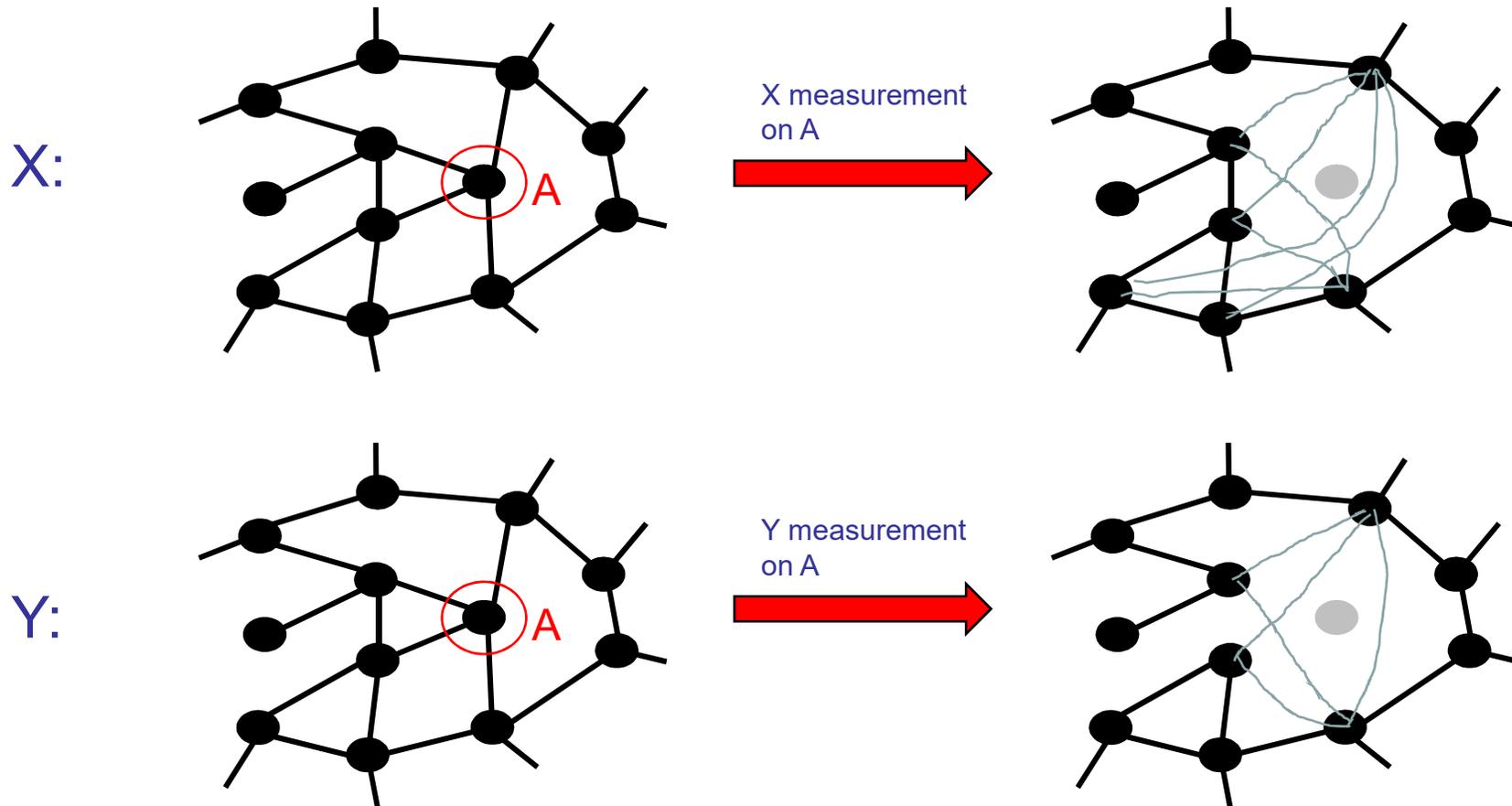
- Effect of nontrivial  $K_\alpha = \frac{1}{\sqrt{2}} |\phi_\alpha^-\rangle \langle \phi_\alpha^-| F_\alpha$   
 $\rightarrow$  non-planar graph

 :logical X measurement

 :logical Y measurement

# Non-planarity from X/Y measurement

[See e.g. *Hein et '06*]

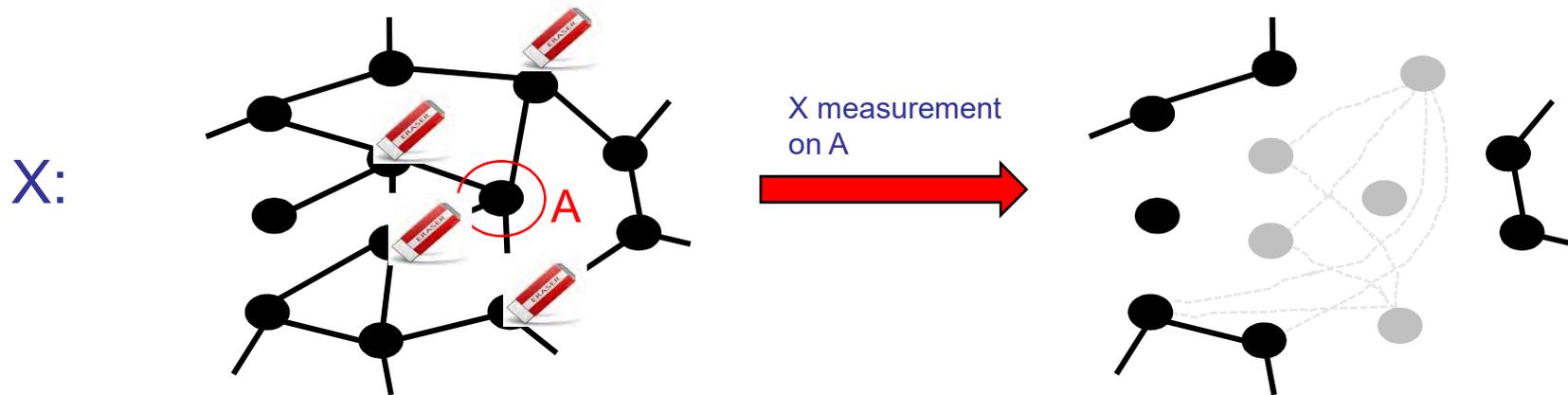


➔ Effect of X measurement is more complicated than Y measurement

# Restore planarity: further measurement

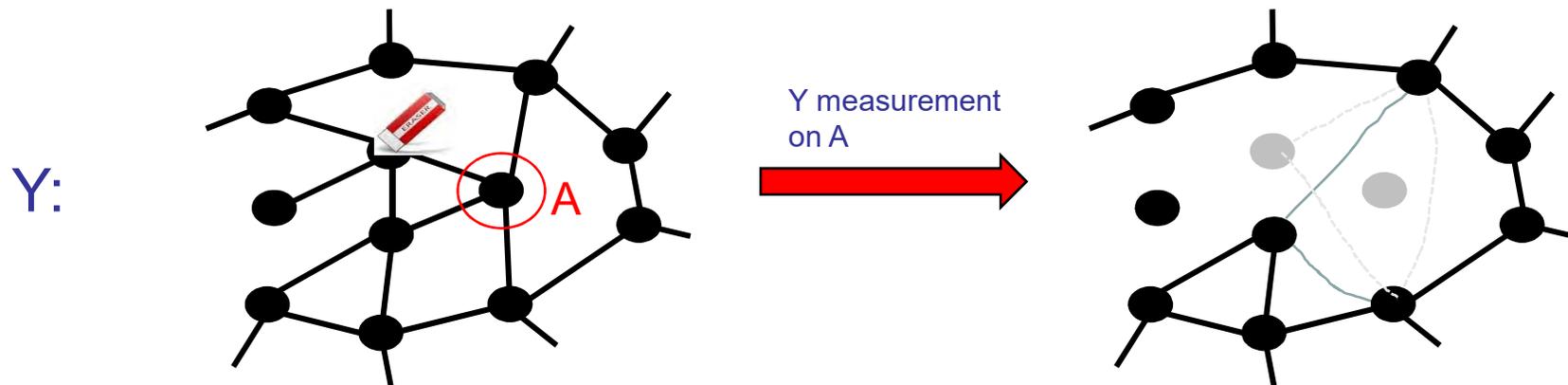
- Deal with non-planarity due to Pauli **X** measurement:

*remove all vertices* surrounding that of X measurement (via Z measurement)



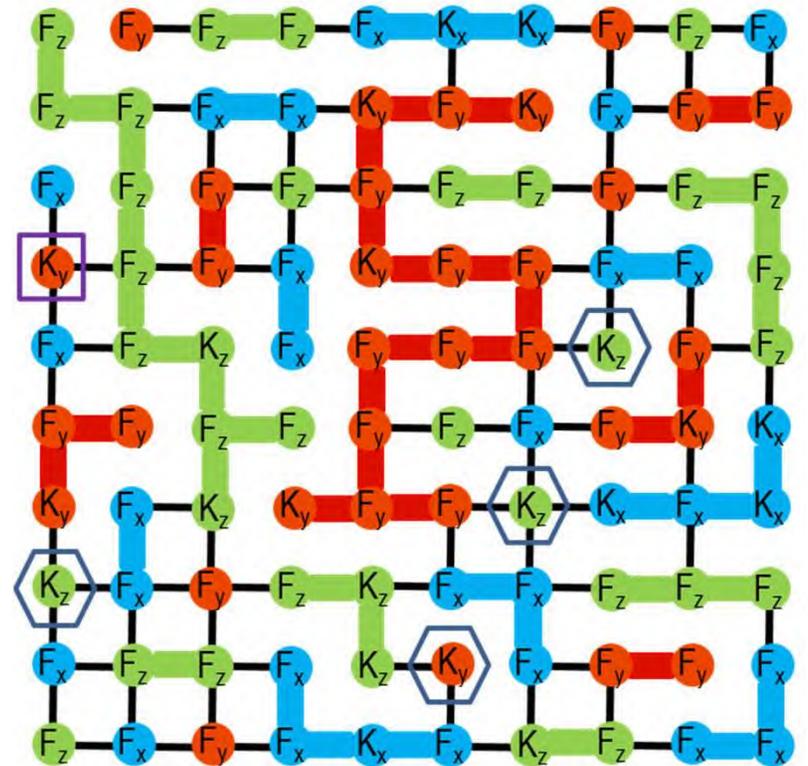
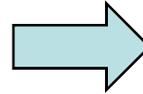
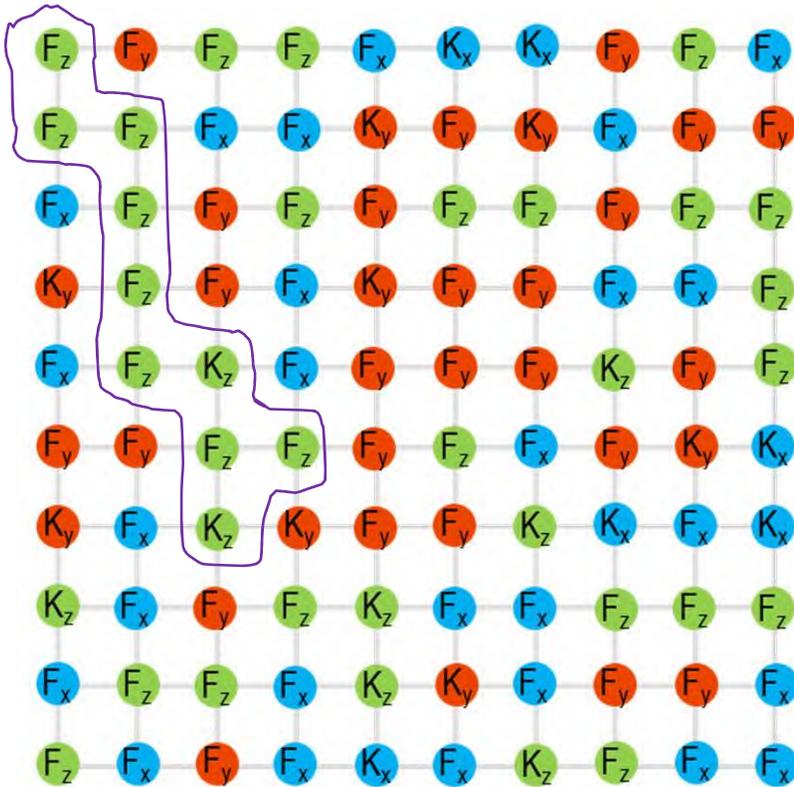
- Deal with non-planarity due to Pauli **Y** measurement:

*remove only subset of vertices* surrounding that of Y measurement



# POVM $\rightarrow$ Graph of the graph state

Vertex = domain = connected sites of same color  
 Edge = links between two domains (modulo 2)

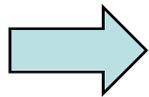


- Pauli X or Y measurement on planar graph state  $\rightarrow$  non-planar graph

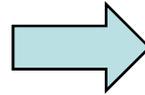
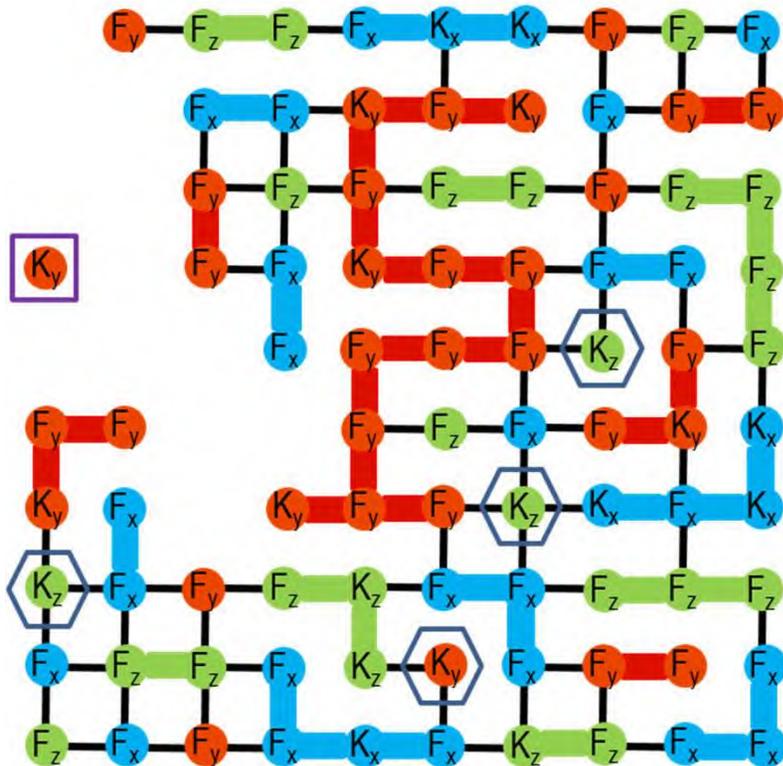
□ :logical X measurement

⬡ :logical Y measurement

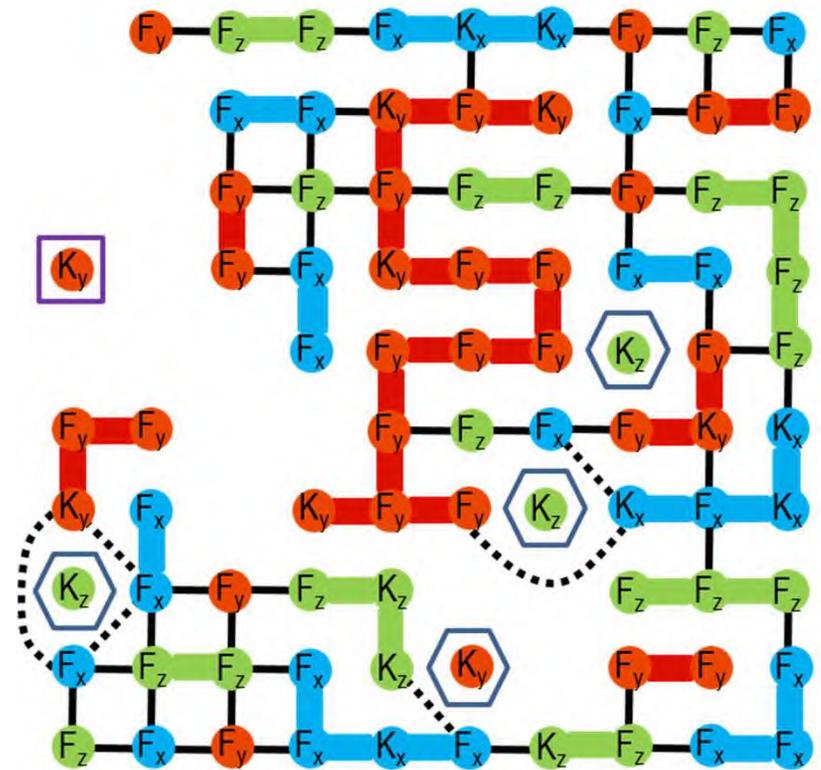
# Restore Planarity by Another round of measurement



Deal with X measurement

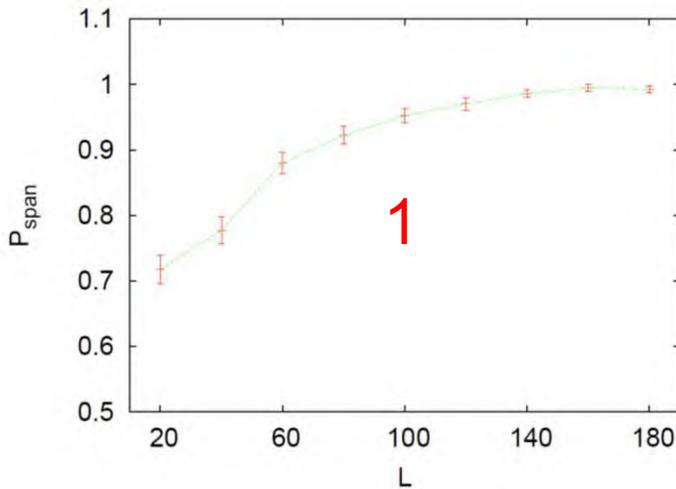


Deal with Y measurement

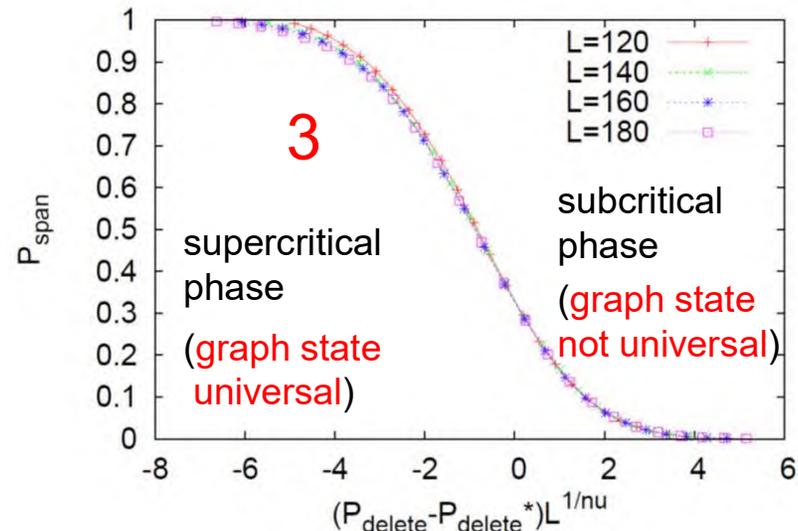
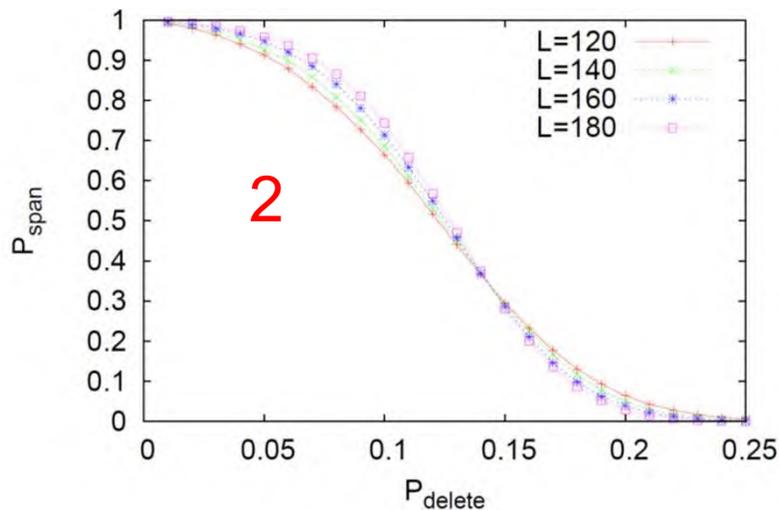


# Examining percolation of typical graphs

(resulting from POVM and active logical Z measurement)



- ✓ 1. As system size  $N=L \times L$  increases, exists a spanning cluster with high probability
- ✓ 2. Robustness of connectivity: finite percolation threshold (deleting each vertex with increasing probability)
- ✓ 3. Data collapse: verify that transition is continuous (critical exponent  $\nu = 4/3$ )



# Spin-2 AKLT on square is universal for quantum computation

- Because the typical graph states (obtained from local measurement on AKLT) are universal → hence AKLT itself is universal
- Difference from spin-3/2 on honeycomb: **not all** randomly assigned POVM outcomes are allowed  
→ **weight formula is crucial**
- If there are different spin magnitudes in the system, **we can apply corresponding POVMs** (for spin-1/2, we do nothing)
- **Emerging (partial) picture for AKLT family:**  
AKLT states involving spin-2 and other lower spin entities are universal if they reside on a 2D frustration-free lattice (e.g. w/o triangles) with any combination of spin-2, spin-3/2, spin-1 and spin-1/2

# Outline

- I. Introduction
- II. AKLT models and states for universal quantum computation (in MBQC framework)
- III. Nonzero gap for some 2D AKLT models
- IV. Summary

# AKLT Hamiltonians and gap(?)

- On honeycomb lattice

$$H = \sum_{\text{edge } \langle i,j \rangle} \hat{P}_{i,j}^{(S=3)} = \sum_{\text{edge } \langle i,j \rangle} \left[ \vec{S}_i \cdot \vec{S}_j + \frac{116}{243} (\vec{S}_i \cdot \vec{S}_j)^2 + \frac{16}{243} (\vec{S}_i \cdot \vec{S}_j)^3 + \frac{55}{108} \right]$$

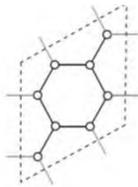
- Kennedy, Lieb & Tasaki (KLT) proved decay of correlation functions (including on square lattice): [KLT '88]

$$0 \leq (-1)^{|i-j|} \langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle \leq C \exp(-|i-j|/\xi) \quad C, \xi \text{ const. } > 0$$

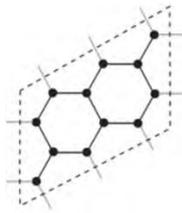
==> strongly suggests nonzero gap (no analytic proof after 30 yrs!)

==> they also showed ground state is unique

- Some example numerical values

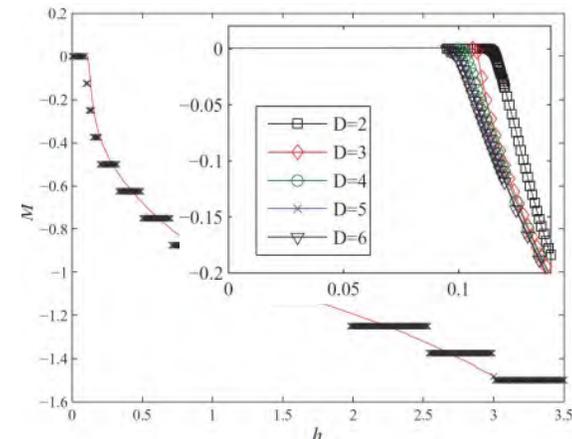


$$\Delta_{2 \times 4} = 0.092029$$



$$\Delta_{3 \times 4} = 0.095345$$

[Garcia-Saez, Murg & Wei '13]

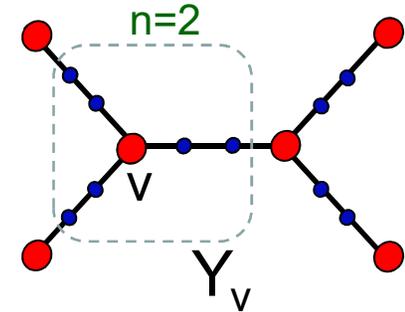
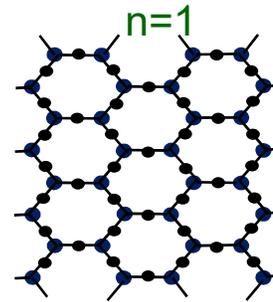


iPEPS tensor network  $\infty$  system:  $\Delta=0.10$   
[see also Vanderstraeten '15]

# Progress in proving nonzero gap

- Decorating lattice  $\Lambda$  into  $\Lambda^{(n)}$  by adding  $n$  spin-1 sites to each edge

$$H_{\Lambda^{(n)}}^{\text{AKLT}} = \sum_{e \in \mathcal{E}_{\Lambda^{(n)}}} P_e^{(z(e)/2)}$$



- Abdul-Rahman, Lemm, Luica, Nachtegale & Young (ALLNY), arXiv:1901.09297

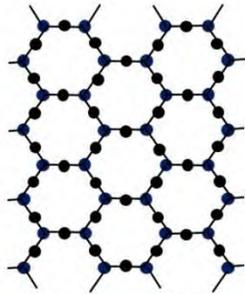
**Theorem 2.2.** *The spectral gap above the ground state of the AKLT model on the edge-decorated honeycomb lattice with  $n \geq 3$  has a strictly positive lower bound uniformly for all finite volumes with periodic boundary conditions.*

- ✓ First analytic proof of nonzero gap for some 2D AKLT models ☺  
(but not the undecorated honeycomb model)

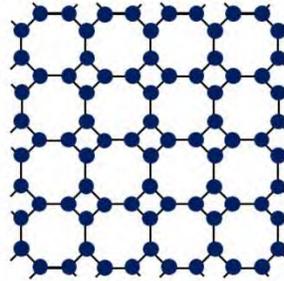
- ❖ Nothing can be said about  $n=1$  &  $2$  cases regarding spectral gap  
Can we prove  $n=0$  case?  
What about other lattices? Decorated square lattices? Triangular?

# Other lattices

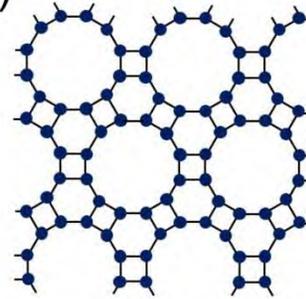
(a)



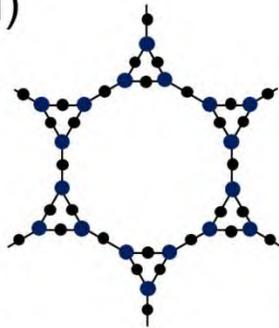
(b)



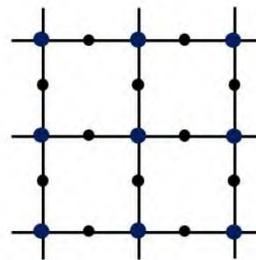
(c)



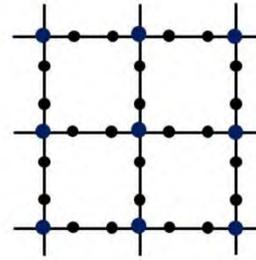
(d)



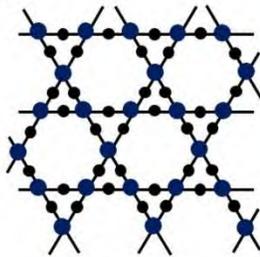
(e)



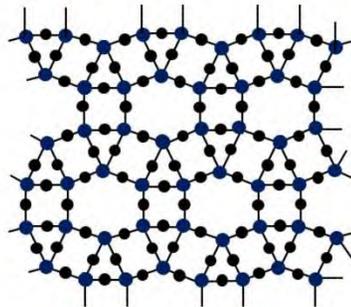
(f)



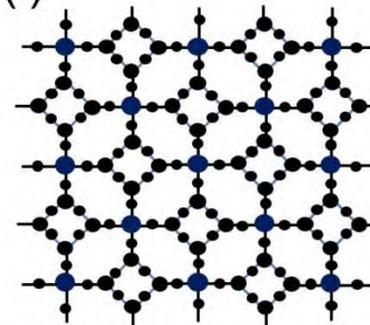
(g)



(h)



(i)



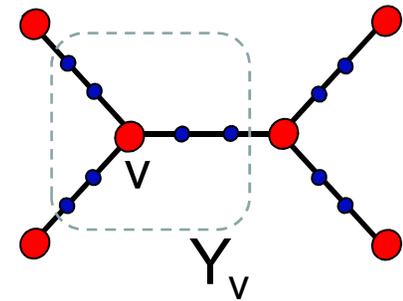
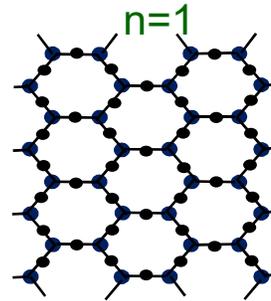
# Ideas by ALLNY '19

- Decorating lattice  $\Lambda$  into  $\Lambda^{(n)}$  by adding  $n$  spin-1 sites to each edge

$$H_{\Lambda^{(n)}}^{\text{AKLT}} = \sum_{e \in \mathcal{E}_{\Lambda^{(n)}}} P_e^{(z(e)/2)}$$

- Also consider two modified H:

[Abdul-Rahman et al. 1901.09297]



$$(1) \quad H_Y \equiv \sum_{v \in \Lambda} h_v = \sum_{v \in \Lambda} \sum_{e \in \mathcal{E}_{Y_v}} P_e^{(z(e)/2)} \Rightarrow H_{\Lambda^{(n)}}^{\text{AKLT}} \leq H_Y \leq 2H_{\Lambda^{(n)}}^{\text{AKLT}}$$

$$(2) \quad \tilde{H}_{\Lambda^{(n)}} \equiv \sum_{v \in \Lambda} P_v, \quad P_v: \text{projection to range of } h_v$$

$$\Rightarrow \frac{\gamma_Y}{2} \tilde{H}_{\Lambda^{(n)}} \leq H_{\Lambda^{(n)}}^{\text{AKLT}} \leq \|h_v\| \tilde{H}_{\Lambda^{(n)}} \quad \begin{array}{l} \gamma_Y \text{ is the smallest} \\ \text{nonzero eigenvalue of } h_v \end{array}$$

- They proved gap of (2) for  $n \geq 3$  (hence lower bound on gap of AKLT models)

# How to prove nonzero gap?

## □ Squaring H:

[Knabe '88, Fannes, Nachtergaele & Werner '92, ..., Abdul-Rahman et al. 1901.09297]

$$(\tilde{H}_{\Lambda(n)})^2 = \tilde{H}_{\Lambda(n)} + \sum_{v \neq w} (P_v P_w + P_w P_v) \quad [\text{Note } P_v^2 = P_v]$$

➤ Throwing out non-overlapping  $P_v P_w \geq 0$

$$\geq \tilde{H}_{\Lambda(n)} + \sum_{(v,w) \in \mathcal{E}_\Lambda} (P_v P_w + P_w P_v)$$

## ❖ Overlapping $P_v P_w$ can be non-positive.

**But if we have:**  $P_v P_w + P_w P_v \geq -\eta(P_v + P_w)$

$\eta > 0$  is smallest as possible

then we have

$$(\tilde{H}_{\Lambda(n)})^2 = \tilde{H}_{\Lambda(n)} - \sum_{(v,w) \in \mathcal{E}_\Lambda} (P_v + P_w)$$

$$\geq (1 - z\eta_n) \tilde{H}_{\Lambda(n)} = \gamma \tilde{H}_{\Lambda(n)} \quad [z: \text{coordination \#}]$$

## ❖ If $\gamma = (1 - z\eta) > 0$ , then there is a nonzero gap

# Useful lemma to upper bound $\eta$

□ [Fannes, Nachtergaele, Werner '92]:

For two projectors  $E$  &  $F$ :

$$EF + FE \geq -\varepsilon(E + F) \quad (\varepsilon \geq \eta \text{ in our case})$$

$$\varepsilon = \|EF - E \wedge F\| \quad E \wedge F : \text{projection onto } \text{ran}(E) = E\mathcal{H} \\ \text{ \& } \text{ran}(F) = F\mathcal{H}$$

➤ Proof discussed later

❖  $(1 - z\varepsilon) > 0$  implies  $\gamma = (1 - z\eta) > 0$ , then there is a nonzero gap

➤ Want  $\varepsilon < 1/z$  ( $z=3$  for honeycomb)

**Proposition 2.1.** *Let*

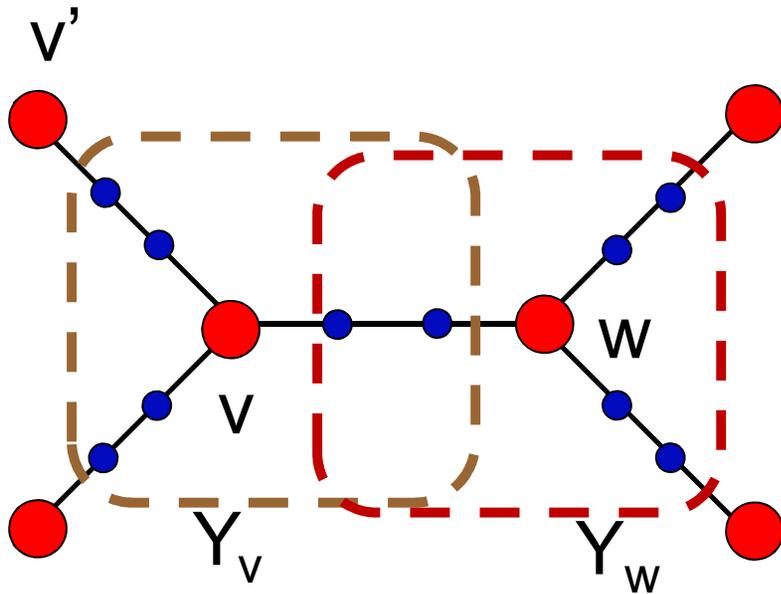
[Abdul-Rahman et al. (ALLNY) 1901.09297]

$$A_n = \frac{4}{3^n \left(1 - \frac{8(1+3^{-2n-1})}{3^n(1-3^{-2n})}\right)}$$

*Then, for all  $n \geq 3$ , the quantity  $\varepsilon_n$  defined in (2.7) satisfies*

$$(2.10) \quad \varepsilon_n \leq A_n + A_n^2 \left(1 + \frac{8(1 + 3^{-2n-1})^2}{3^n(1 - 3^{-2n})^2}\right) < 1/3.$$

# Key point in upper bounding $\epsilon$



- Use  $E=I-P_v$  (projection to local ground space supported on  $Y_v$ ),  $F=I-P_w$  (projection to local ground space supported on  $Y_w$ ) &  $E \wedge F$  (projection to local ground space supported on  $Y_v \cup Y_w$ ) in

$$\epsilon = \|EF - E \wedge F\| = \sup \frac{|\langle \phi | EF - E \wedge F | \psi \rangle|}{\|\phi\| \|\psi\|}$$

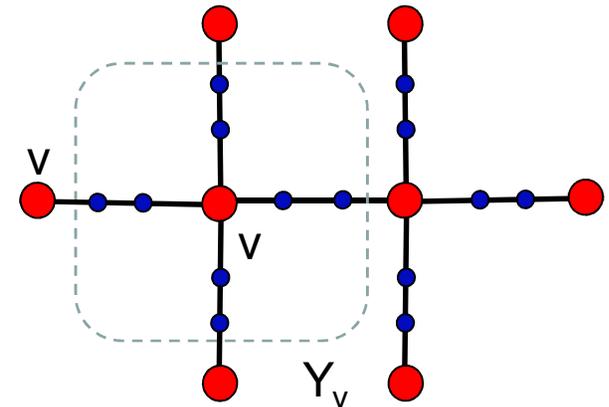
$$\Rightarrow \epsilon = \sup \left\{ \frac{|\langle \phi, \psi \rangle|}{\|\phi\| \|\psi\|} \mid \phi \in E\mathcal{H}, \psi \in F\mathcal{H}, \phi, \psi \perp E\mathcal{H} \cap F\mathcal{H} \right\}$$

- ALLNY 1901.09297 used tensor-network approaches (e.g. MPS) to give an upper bound on  $\epsilon$  [No time for details here]
- $n=1$  case:  $EF - E \wedge F$  is operator roughly on size of 12 qubits, unfortunately  $\epsilon \approx 0.4778 > 1/3$ ;  $n=2$  operator on  $\sim 20$  qubits (not accessible);  $n=5 \rightarrow \sim 43.6$  qubits

# Our main results

[Pomata & Wei: 1905.01275]

- Analytically prove AKLT models on decorated square lattice (spin-2 + spin-1 decoration) are gapped for  $n \geq 4$
- Prove AKLT models on decorated mixed degree 3 & 4 lattices are gapped for  $n \geq 4$
- Proof extends to lattices with same local structure: e.g. decorated square lattices gapped  $\leftrightarrow$  decorated kagome lattices gapped  $\leftrightarrow$  decorated diamond lattices gapped



- Reduce the effective size to obtain  $\varepsilon$  by exact diagonalization

$n$	deg. 3, e.g. honeycomb	deg. 4, e.g. square	mixed deg. 3&4	deg. 6
1	0.4778328889	0.5234369088	0.5001917602	0.6027622993
2	0.1183378500	0.1218467396	0.1200794787	0.1285855428
3	0.0384373228	0.0389033280	0.0386700977	
4	0.0124460198	0.0124961718	0.0124710706	
5	0.0041321990			

gapped



# Useful lemma to upper bound $\eta$

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For two projectors  $E$  &  $F$ :

$$EF + FE \geq -\varepsilon(E + F) \quad (\varepsilon \geq \eta \text{ in our case})$$

$$\varepsilon = \|EF - E \wedge F\| \quad E \wedge F : \text{projection onto } \text{ran}(E) = E\mathcal{H} \\ \text{ \& } \text{ran}(F) = F\mathcal{H}$$

➤ Proof discussed later

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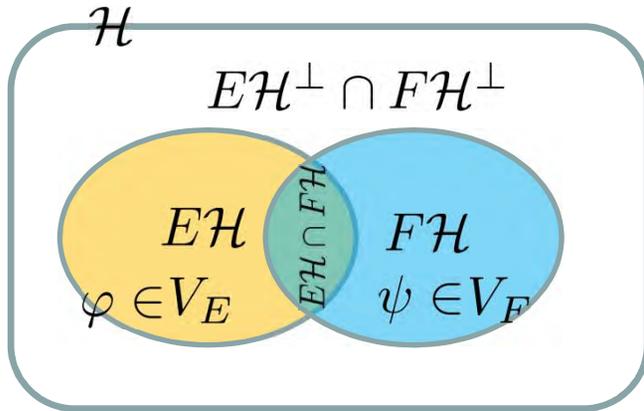
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*Then, for all  $n \geq 3$ , the quantity  $\varepsilon_n$  defined in (2.7) satisfies*

$$(2.10) \quad \varepsilon_n \leq A_n + A_n^2 \left(1 + \frac{8(1 + 3^{-2n-1})^2}{3^n(1 - 3^{-2n})^2}\right) < 1/3.$$

# Hilbert space and two projectors



$E$  &  $F$  are projectors;  
 $V_E \equiv E\mathcal{H} \cap (E\mathcal{H} \cap F\mathcal{H})^\perp$   
 and similarly  $V_F$  do not  
 include intersection

$$EF + FE \geq -\varepsilon(E + F)$$

$$\varepsilon = \|EF - E \wedge F\|$$

- Consider eigenvalue equation  $\alpha$  in  $[-1,1]$ :

$$(E + F)\Upsilon = (1 - \alpha)\Upsilon$$

- If  $\alpha = -1$ ,  $\Upsilon \in E\mathcal{H} \cap F\mathcal{H}$

- If  $\alpha = 1$ ,  $\Upsilon \in E\mathcal{H}^\perp \cap F\mathcal{H}^\perp$

- If  $\alpha$  in  $(-1,1)$ , unique decomposition

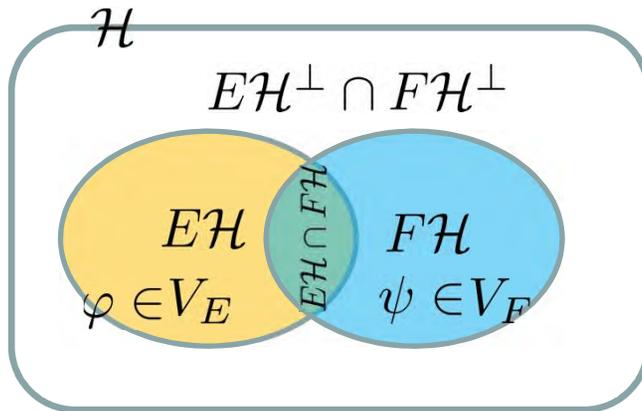
$$\Upsilon = \varphi + \psi \quad (\varphi \in V_E \text{ \& } \psi \in V_F)$$

and  $F\varphi = -\alpha\psi$ ,  $E\psi = -\alpha\varphi$  (can prove this)

hence  $(EF + FE)\Upsilon = -\alpha(1 - \alpha)\Upsilon$

- So  $\varepsilon = \max_{\text{eigen } \alpha \neq 1} \alpha$

# Proving $\varepsilon = \|EF - E \wedge F\|$



$E$  &  $F$  are projectors;  
 $V_E$  and  $V_F$  do not  
include intersection

- $E \wedge F$  projects onto  $E\mathcal{H} \cap F\mathcal{H}$
- If  $\alpha$  in  $(-1,1)$ ,

$$(E + F)\Upsilon = (1 - \alpha)\Upsilon$$

has unique decomposition

$$\Upsilon = \varphi + \psi \quad F\varphi = -\alpha\psi, \quad E\psi = -\alpha\varphi$$

- Then  $(EF - E \wedge F)\psi = EF\psi = -\alpha\varphi$   
(can show  $\varphi$  &  $\psi$  have same norm)

$$\|EF - E \wedge F\| \geq |\alpha|$$

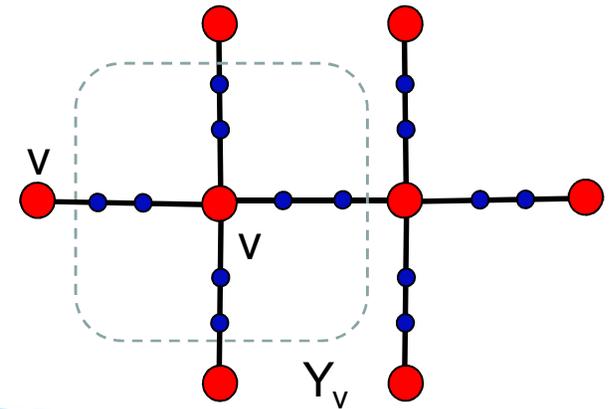
hence 
$$\varepsilon = \max_{\text{eigen } |\alpha| \neq 1} \alpha$$

$$= \|EF - E \wedge F\|$$

# Our main results

[Pomata & Wei: 1905.01275]

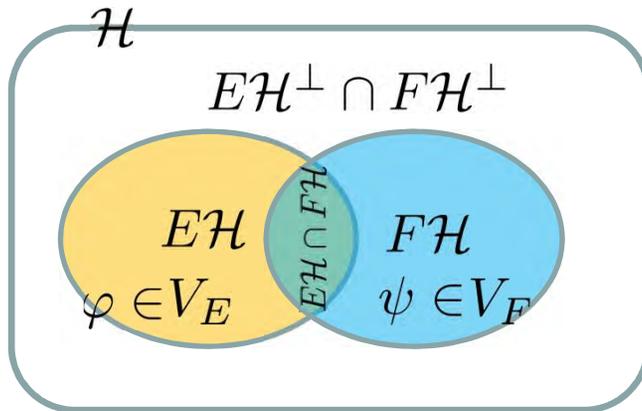
- Analytically prove AKLT models on decorated square lattice (spin-2 + spin-1 decoration) are gapped for  $n \geq 4$
- Prove AKLT models on decorated mixed degree 3 & 4 lattices are gapped for  $n \geq 4$
- Proof extends to lattices with same local structure: e.g. decorated square lattices gapped  $\leftrightarrow$  decorated kagome lattices gapped



- Reduce the effective size to obtain  $\varepsilon$  by exact diagonalization

$n$	deg. 3, e.g. honeycomb	deg. 4, e.g. square	mixed deg. 3&4	deg. 6
1	0.4778328889	0.5234369088	0.5001917602	0.6027622993
2	0.1183378500	0.1218467396	0.1200794787	0.1285855428
3	0.0384373228	0.0389033280	0.0386700977	
4	0.0124460198	0.0124961718	0.0124710706	
5	0.0041321990			

# Reducing Hilbert space size



$E$  &  $F$  are projectors;  
 $V_E$  and  $V_F$  do not  
include intersection

□ Consider a projector  $A$  satisfies:

(1)  $AE = EA = E$  (so  $E\mathcal{H} \in A\mathcal{H}$ )

(2)  $AF = FA$  (commute)

□ If  $\alpha$  in  $(-1,1)\setminus\{0\}$ ,  $(E + F)\Upsilon = (1 - \alpha)\Upsilon$

then  $A\Upsilon = \Upsilon$  (spectrum preserved)

$$FE\psi = -\alpha F\varphi = \alpha^2\psi$$

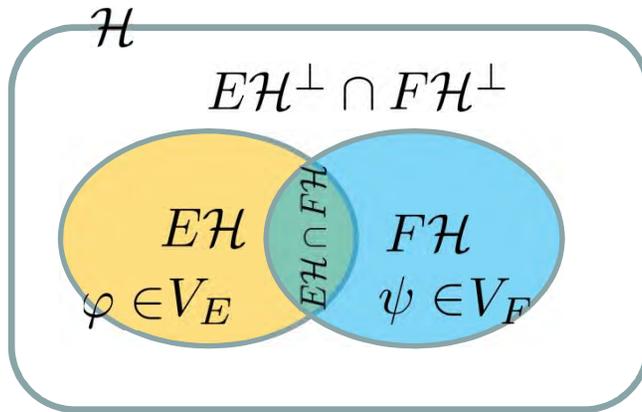
$$(\alpha \neq 0) \rightarrow A\psi = \alpha^{-2}AFE\psi = \psi$$

□ SVDecompose  $A = U_A^\dagger U_A$  so  $U_A : \mathcal{H} \rightarrow \mathcal{H}'$  (smaller space)  $U_A U_A^\dagger = I_{\mathcal{H}'}$

“Smaller projectors”:  $E' = U_A E U_A^\dagger$   $F' = U_A F U_A^\dagger$

but preserve the norm  $\varepsilon = \|EF - E \wedge F\| = \|E'F' - E' \wedge F'\|$

# Eigenvalue $\max \alpha$ is preserved



$E$  &  $F$  are projectors;  
 $V_E$  and  $V_F$  do not  
include intersection

□ Decompose  $A = U_A^\dagger U_A$ ,  $U_A U_A^\dagger = I'$   
so  $U_A : \mathcal{H} \rightarrow \mathcal{H}'$  ( $E' = U_A E U_A^\dagger$ )

□ Consider

$$\begin{aligned} (E' + F')\Upsilon' &= (1 - \alpha)\Upsilon' \\ \Rightarrow U_A(E + F)U_A^\dagger \Upsilon' &= (1 - \alpha)\Upsilon' \\ \Rightarrow (E + F)U_A^\dagger \Upsilon' &= (1 - \alpha)U_A^\dagger \Upsilon' \end{aligned}$$

$\Rightarrow$  spectrum  $(1 - \alpha)$  is preserved

$$\varepsilon = \|EF - E \wedge F\| = \|E'F' - E' \wedge F'\|$$

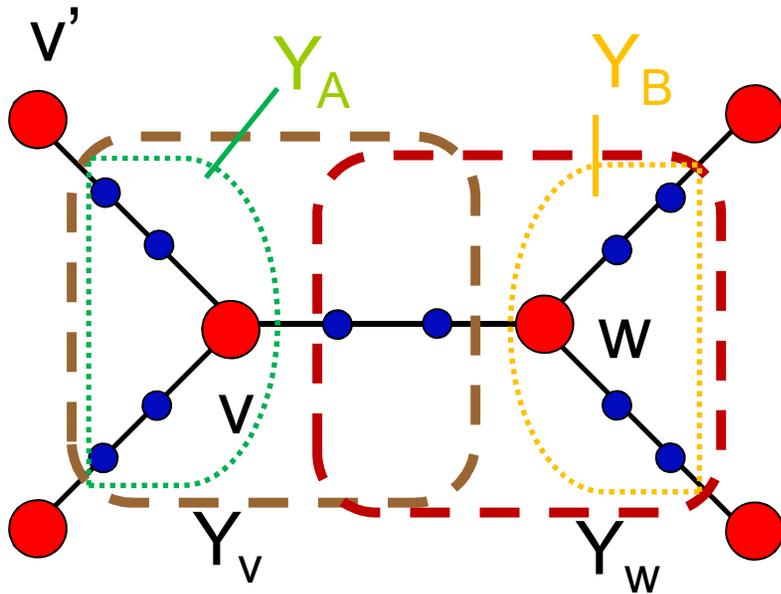
□ Can further reduce dimension if exists projector  $B$ :

$$(1) BF = FB = F \quad (2) BE = EB$$

then  $B' \equiv U_A B U_A^\dagger = U_B^\dagger U_B$  ( $E'' \equiv U_B E' U_B^\dagger$ )

$$\varepsilon = \|EF - E \wedge F\| = \|E'F' - E' \wedge F'\| = \|E''F'' - E'' \wedge F''\|$$

# Numerical procedure



- Obtain  $E=I-P_v$  via tensor  $\Psi$  of  $Y_v$  by SVD w.r.t.  $\mathcal{H}_{\text{phys}} \otimes \mathcal{H}_{\text{virt}}$

$$\Psi = W_s V^\dagger \Rightarrow E = W W^\dagger \equiv U_E^\dagger U_E$$

- Similarly for  $F=I-P_w$ ,  $A$  and  $B$

- Define  $E' \equiv U_E'^\dagger U_E'$ ,  $F' \equiv U_F'^\dagger U_F'$

where

$$U_E' \equiv U_E U_A^\dagger \quad U_F' \equiv U_F U_B^\dagger$$

- Calculate smallest eigenvalue  $1-\varepsilon$  of  $E'+F'$

- If  $\varepsilon < 1/z$ , then the model is gapped

- **Reduction:** for a pair of vertices of degrees  $z$  &  $z'$ :  
 $E+F$  acts on space of dimension  $(z+1)(z'+1)3^{(z+z'-1)n}$ ,  
but  $E'+F'$  acts on reduced dimension  $2^{(z+z'+2)}3^n$ .

e.g.  $z=z'=3$ ,  $n=5$   
--> reduction from  
43.6 to 15.9 qubits

# Improved lower bound on gap

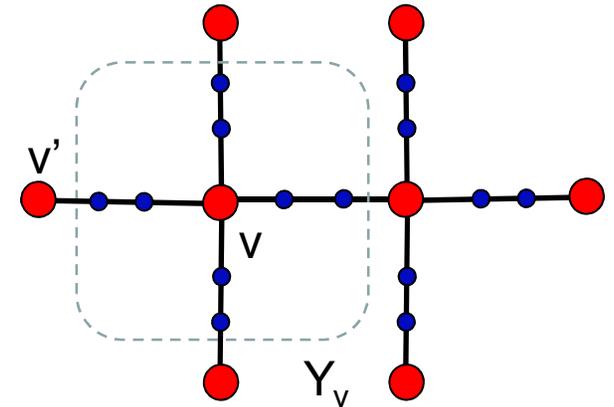
- Consider re-arrangement of H:

$$H_{\Lambda(n)}^{\text{AKLT}} = \sum_{v \in \Lambda} h'_{Y;v}$$

$$h'_{Y;v} = \sum_{e \in \mathcal{E}_{Y_v} \setminus \mathcal{E}_v} \frac{1}{2} P_e^{(z(e)/2)} + \sum_{e \in \mathcal{E}_v} P_e^{(z(e)/2)}$$

$$\Rightarrow \Delta_Y \tilde{H}_{\Lambda(n)} \leq H_{\Lambda(n)}^{\text{AKLT}} \leq \|h'_{Y;v}\| \tilde{H}_{\Lambda(n)}$$

$$\Rightarrow \text{gap}(H_{\Lambda(n)}^{\text{AKLT}}) \geq \gamma(n) \equiv \Delta_Y(n)(1 - z\varepsilon_n),$$



$\mathcal{E}_v$ : the set of edges incident on  $v$

$\Delta_Y(n)$ : smallest nonzero eigenvalue of  $h'_{Y;v}$

$n$	$\Delta_Y(n)$ for deg. 3	gap lower bound $\gamma(n)$	$\Delta_Y(n)$ for deg. 4	gap lower bound $\gamma(n)$
1	0.283484861		0.170646233	
2	0.239907874	0.154737328	0.197934811	0.101463966
3	0.207152231	0.183265099		

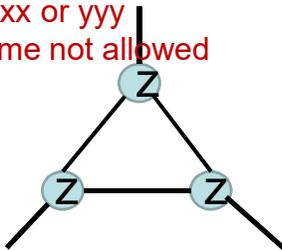
- Observation:** naive extrapolation of lower bound from  $n=3$  &  $n=2$  linearly [1] to  $n=1$ :  $\gamma(1) \approx 0.1262096$ , [2] to  $n=0$ :  $\gamma(0) \approx 0.097682$  cf. iPEPS:  $\Delta=0.10$

# Discussions

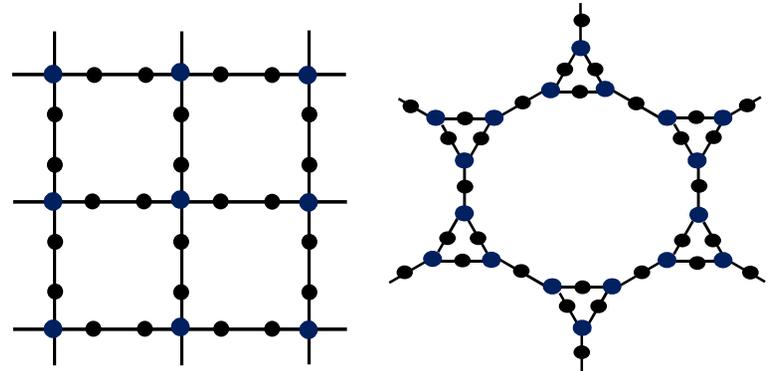
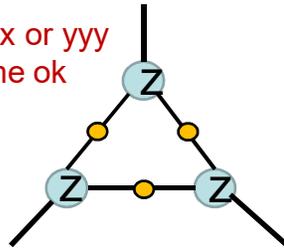
- Decoration of spin-1 sites make the AKLT state more likely to be universal
  - Short 1D AKLT wire between neighboring undecorated sites

- Decoration removes the frustration feature of measurement:

zzz, xxx or yyy  
outcome not allowed

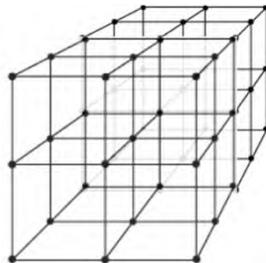


zzz, xxx or yyy  
outcome ok



- Decoration weakens/removes Néel order: e.g. on 3D cubic lattice

[Parameswaran, Sondhi & Arovas '09]: AKLT state on cubic lattice is Néel ordered



- AKLT model gapless, but
  - > adding decoration make the decorated model gapped (at least for  $n=2$  sites per edge)
  - > weakens tendency toward long-range order

# Discussions: “deformation”

- Can consider deformed AKLT states and investigate phase diagrams

[Niggemann, Klümper& Zittartz '97,'00, Hieida,Okunishi& Akutsu '99, Darmawan, Brennen, Bartlett '12, Huang, Wagner, Wei'16, Huang,Pomata,Wei '18]

- Example on square lattice:

$$H(\vec{a}) \equiv \sum_{\langle i,j \rangle} D(\vec{a})_i^{-1} \otimes D(\vec{a})_j^{-1} h_{ij}^{(\text{AKLT})} D(\vec{a})_i^{-1} \otimes D(\vec{a})_j^{-1}$$

$$D(a_1, a_2) = \frac{a_2}{\sqrt{6}} (|S_z = 2\rangle\langle S_z = 2| + |S_z = -2\rangle\langle S_z = -2|)$$

▪ deformation:

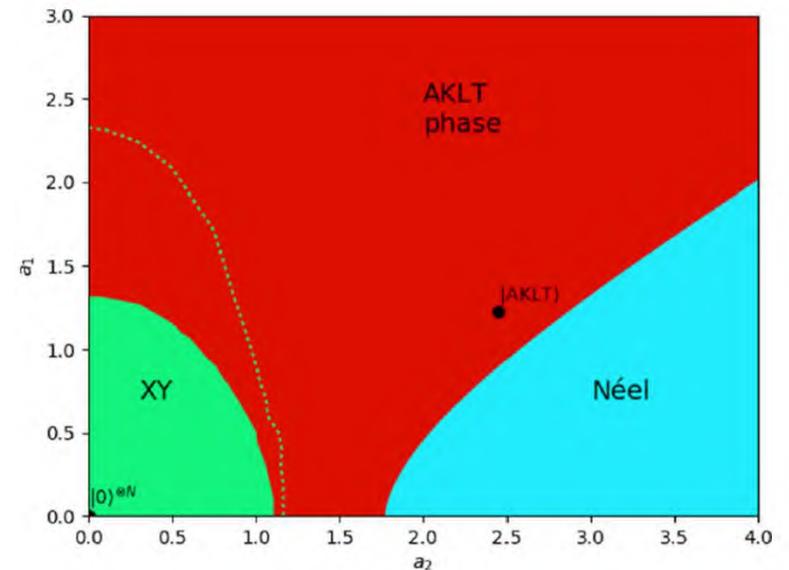
$$+ \frac{2a_1}{\sqrt{6}} (|S_z = 1\rangle\langle S_z = 1| + |S_z = -1\rangle\langle S_z = -1|) \\ + |S_z = 0\rangle\langle S_z = 0|$$

▪ ground state:

$$|\Psi(\vec{a})_{\text{deformed}}\rangle \propto D(\vec{a})^{\otimes N} |\psi_{\text{AKLT}}\rangle$$

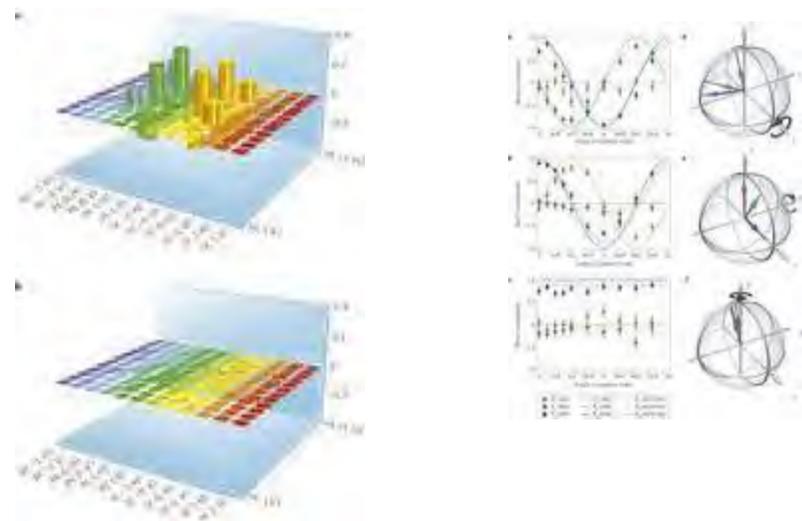
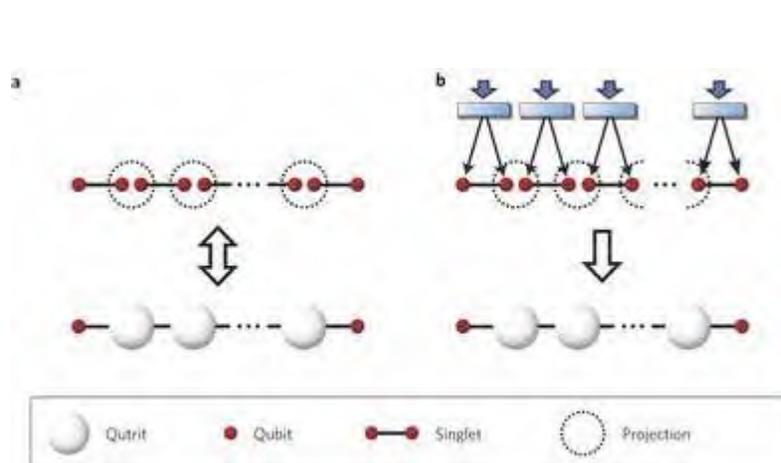
$$|\Psi_{\text{AKLT}}\rangle = |\Psi(a_1 = \sqrt{6}/2, a_2 = \sqrt{6})\rangle$$

[Huang,Pomata,Wei '18]



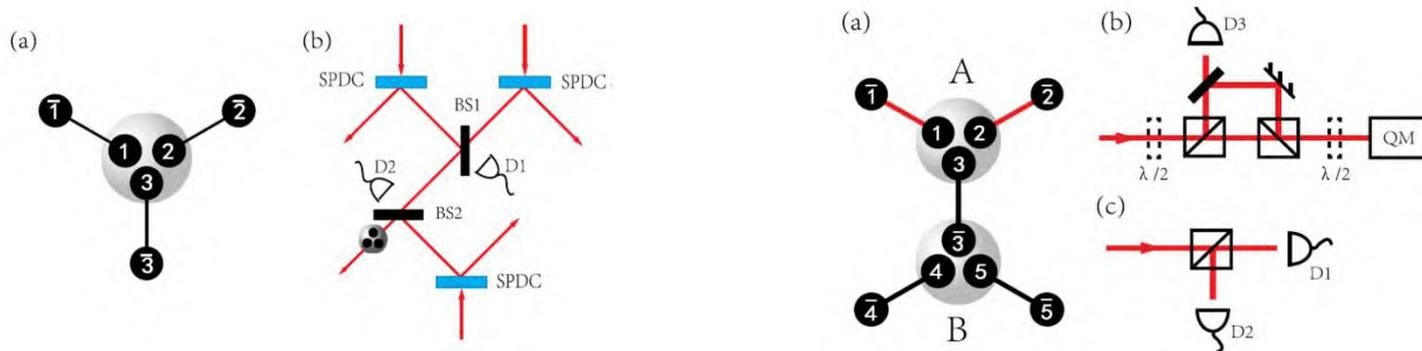
# Discussion: Realizations of 1D AKLT state

- Resch's group: photonic implementation (Nature Phys 2011)



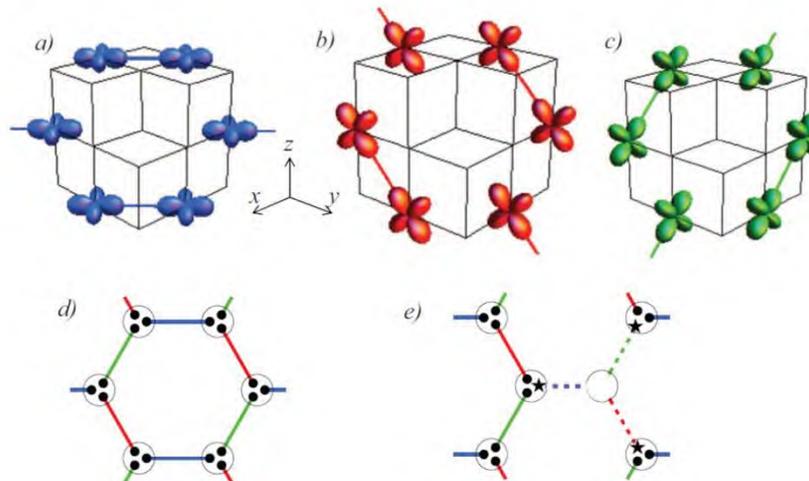
# Discussion: creating 2D AKLT states?

- Liu, Li and Gu [JOSA B 31, 2689 (2014)]



- Koch-Janusz, Khomskii & Sela [PRL 114, 247204 (2015)]

$t_{2g}$  electrons in Mott insulator



# Summary and open questions

- Discussed AKLT family of states for universal measurement-based QC
- Discussed how to establish nonzero gap for AKLT models on decorated lattices

- Universal MBQC using AKLT states with higher spins  $S > 2$ ?
- Using AKLT for QC but without the “preprocessing” POVM?
- What is essential symmetry that stabilizes the AKLT phase?  
Can the entire phase be universal resource?
- Proving nonzero gap for AKLT models on honeycomb and square lattices?

[see also Lemm, Sandvik & Yang 1904.01043 for gap on hexagonal chain]