



Swampland Conjectures and Gravitational Positivity

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Swampland Conjectures and Gravitational Positivity

1. Swampland program

- quantum gravity constraints on QFT models
- toward quantum gravity phenomenology

2. Gravitational positivity bounds

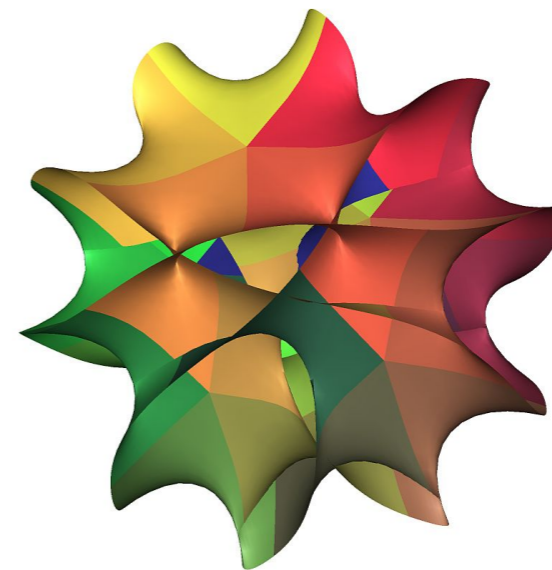
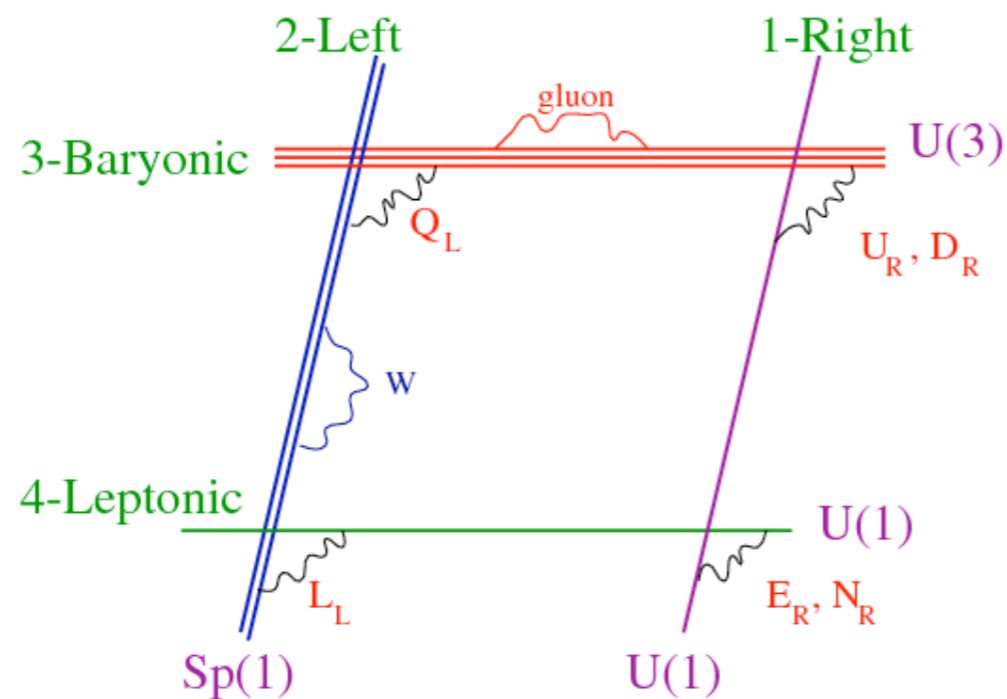
- consistency of gravitational scattering

3. Exploring swampland w/gravitational positivity

- QED and dark photon
- bound on scalar potential

String Theory Landscape

string theory = a framework to generate QFT models
which incorporate quantum gravity appropriately



infinitely many QFT models can be generated

by changing brane configurations, shapes of extra dimensions etc,

→ string theory landscape

Landscape vs Swampland

Q. Is every QFT model realized in the string theory landscape?

1. \exists minimum length in string theory

→ we cannot set extra dimensions arbitrary small (cf. string duality)

→ bounds on model parameters (ex. axion decay const. $f \lesssim M_{\text{Pl}}$)

[Banks-Dine-Fox-Gorbatov '03, ...]

2. AdS/CFT

- consistency requirements from the dual CFT

- **holographic quantum information**, conformal bootstrap, etc

ex. **no global symmetry in QG** [Banks-Dixon '88, Banks-Seiberg '10, Harlow-Ooguri '18, ...]

3. thought experiments on BH evaporation [cf. various talks in this workshop]

nontrivial stringy/QG constraints on QFT models → **swampland** [Vafa '06]


We would like to use such QG constraints for phenomenology

No Global Symmetry in Quantum Gravity

option 1: the symmetry is gauged


charged particles are coupled to gauge bosons

 no global symmetry just says gauge coupling $g \neq 0$

 if $g = 10^{-100}$ is allowed, phenomenologically useless

option 2: the symmetry is broken at some scale

ex. no global symmetry just prohibits exactly flat potentials

 if very very weak symmetry breaking is allowed,
phenomenologically useless (cf. Nakata-san's talk)

For pheno, we need more quantitative QG constraints!

In the swampland program [a review: Palti '19],
various quantitative QG constraints are proposed
ex. Weak Gravity Conjecture, Distance Conjecture, ...
But, most of them are still at the level of conjectures.

Our motivation:

Can we derive such quantitative QG constraints
from consistency of gravitational scattering amplitudes?

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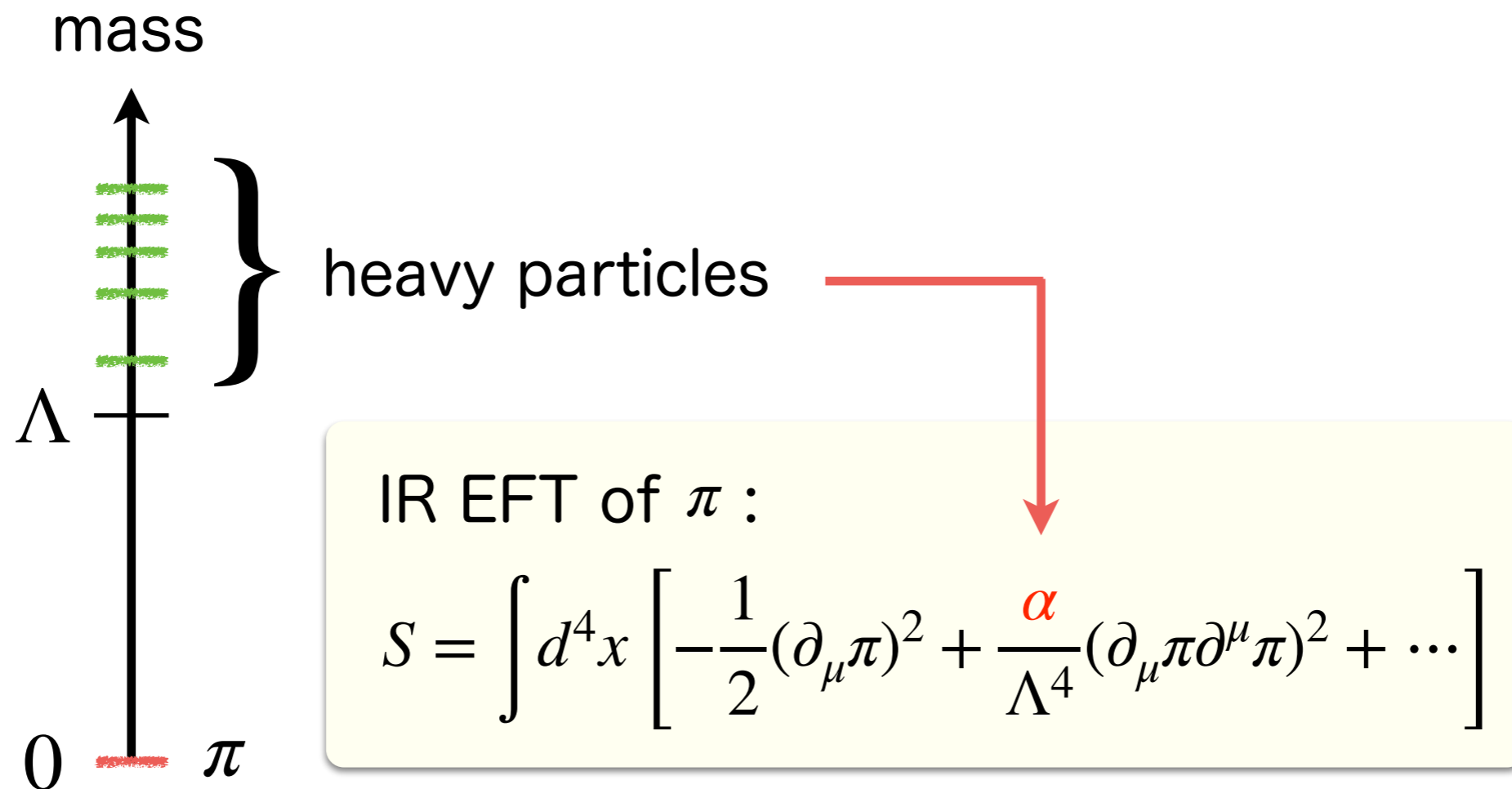
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Basic idea of positivity: UV constraints on IR EFT

Positivity Bounds (w/o gravity) [Adams et al '06]



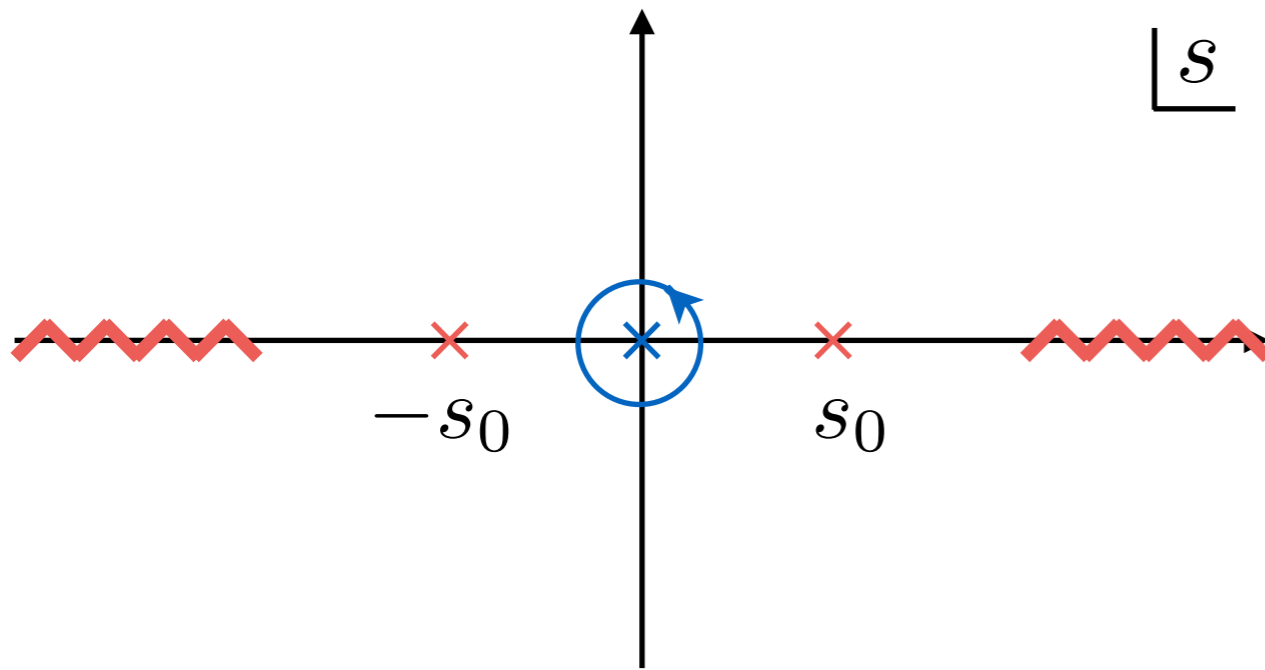
ex. a shift symmetric scalar π coupled to heavy particles

- info of heavy particles are encoded into α and higher orders
- unitarity and analyticity of scattering amplitudes imply $\alpha > 0$

[see the next slide for derivation]

Positivity Bounds (w/o gravity) [Adams et al '06]

Consider $\pi\pi \rightarrow \pi\pi$ scattering in the forward limit



analyticity of forward amplitude $M(s)$

IR expansion of the amplitude:

$$M(s) = \sum_{n=1}^{\infty} a_{2n} s^{2n}$$

- we show that $a_n > 0$

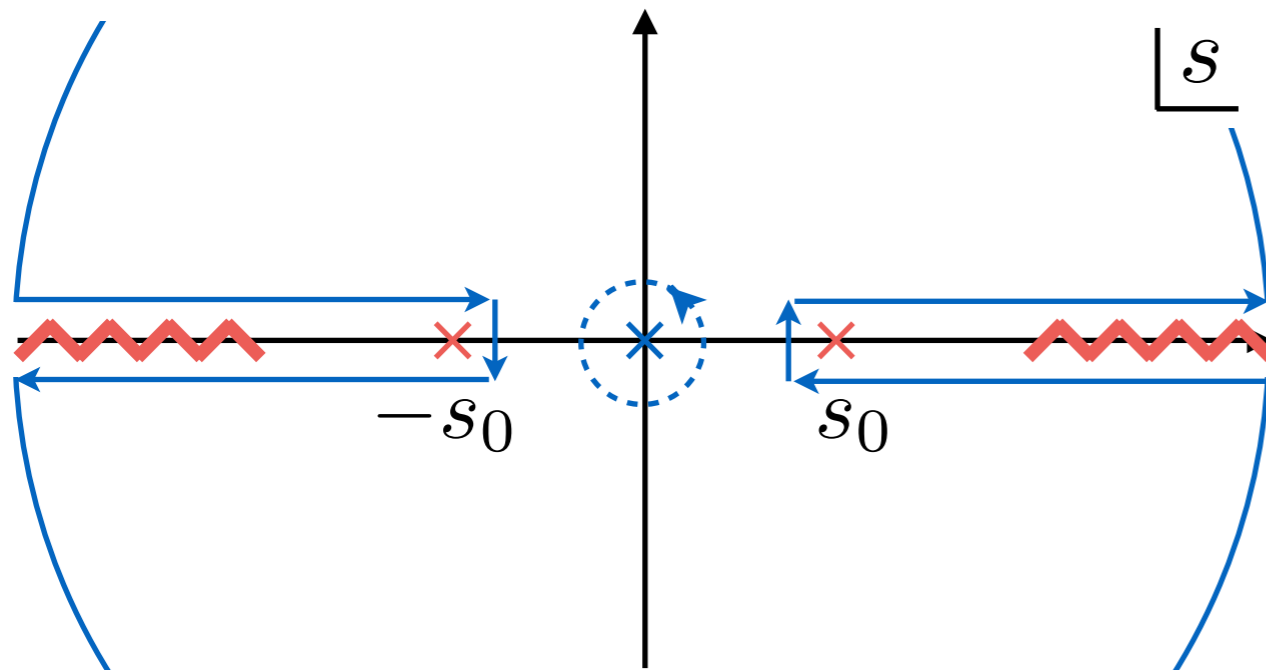
- in particular, $a_2 = \frac{4\alpha}{\Lambda^4} > 0$

a_n can be evaluated as follows:

$$a_{2n} = \oint_{C_0} \frac{ds}{2\pi i} \frac{M(s)}{s^{2n+1}}$$

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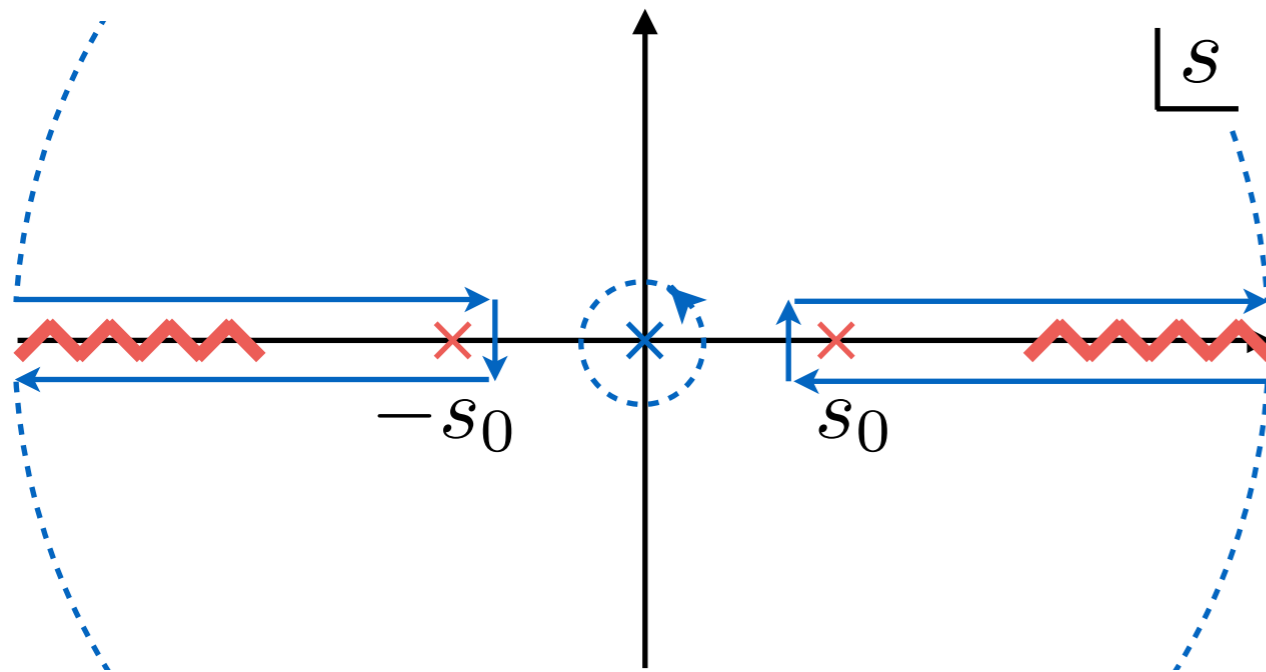
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$$a_{2n} = \oint_{C_0} \frac{ds}{2\pi i} \frac{M(s)}{s^{2n+1}} = \oint_{C_\infty} \frac{ds}{2\pi i} \frac{M(s)}{s^{2n+1}} + \frac{2}{\pi} \int_{s_0}^{\infty} \frac{ds}{s^{2n+1}} \text{Im} M(s)$$

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optical theorem



- assumed $|M(s)| < s^2$ for $|s| \rightarrow \infty$ (cf. Froissart bound)

In this way,

unitarity & analyticity of UV theory imply $\alpha > 0$ in IR EFT

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quantum gravity

QFT models

Gravitational Positivity Bounds

forward limit of $\pi\pi \rightarrow \pi\pi$ scattering in the presence of gravity

$$\text{IR expansion: } M(s) = -\frac{2s^2}{M_{\text{Pl}}^2 t} + \sum_{n=1}^{\infty} a_{2n} s^{2n} + \mathcal{O}(t)$$

- the first term is from t-channel graviton exchange
- $a_2 > 0$ does not follow from the previous argument anymore

approximate positivity [Hamada-TN-Shiu '18, Tokuda-Aoki-Hirano '20, ...]

- intuitively, positivity should hold if gravity is subdominant
- if we assume weakly coupled UV completion of gravity,

$$a_2 > \mathcal{O}(1) \cdot \frac{1}{M_{\text{Pl}}^2 M_s^2} \quad (M_s: \text{mass of higher spin Regge states})$$

- sign and value of $\mathcal{O}(1)$ depend on details of Regge trajectories

[see Tokuda-Aoki-Hirano '20 for details]

Gravitational positivity bound:

for weakly coupled UV completion of gravity,

$$a_2 > \mathcal{O}(1) \cdot \frac{1}{M_{\text{Pl}}^2 M_s^2} \quad (M_s: \text{mass of higher spin Regge states})$$

$$\text{IR expansion: } M(s) = -\frac{2s^2}{M_{\text{Pl}}^2 t} + \sum_{n=1}^{\infty} a_{2n} s^{2n} + \mathcal{O}(t)$$

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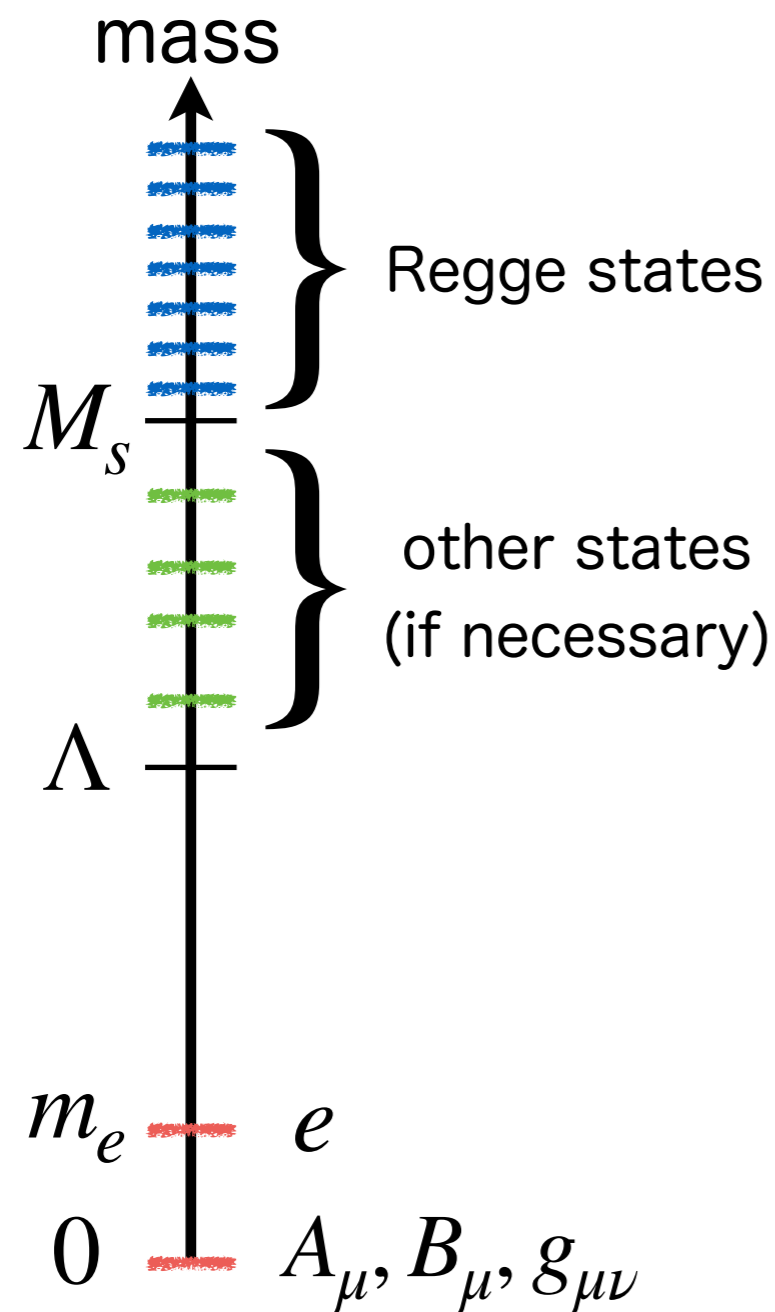
Strategy

1. Suppose that we have a UV complete QFT model w/o gravity
2. If we couple it to gravity, the model is no more UV complete
3. We can ask if the model w/gravity is UV completable
4. If we assume weakly coupled UV completion,
we can use **gravitational positivity** as a criterion
→ provides **swampland conditions**

application 1: QED and dark photon

[Andriolo-Junghans-TN-Shiu '18 + a bit more]

A Toy Model for Dark Physics



our world (QED)

$$S = \int d^4x \sqrt{-g} \left[-\frac{1}{4} F_{\mu\nu}^2 - \bar{\psi}(\mathcal{D} + m)\psi \right]$$

gravity: $S = \int d^4x \sqrt{-g} \left[\frac{M_{\text{Pl}}^2}{2} R + \dots \right]$

dark sector (pure Maxwell)

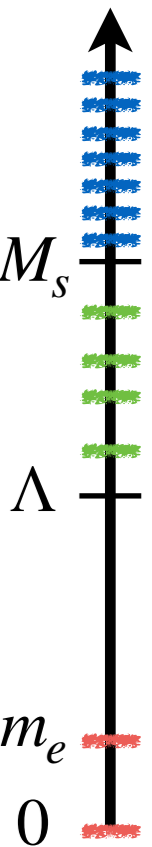
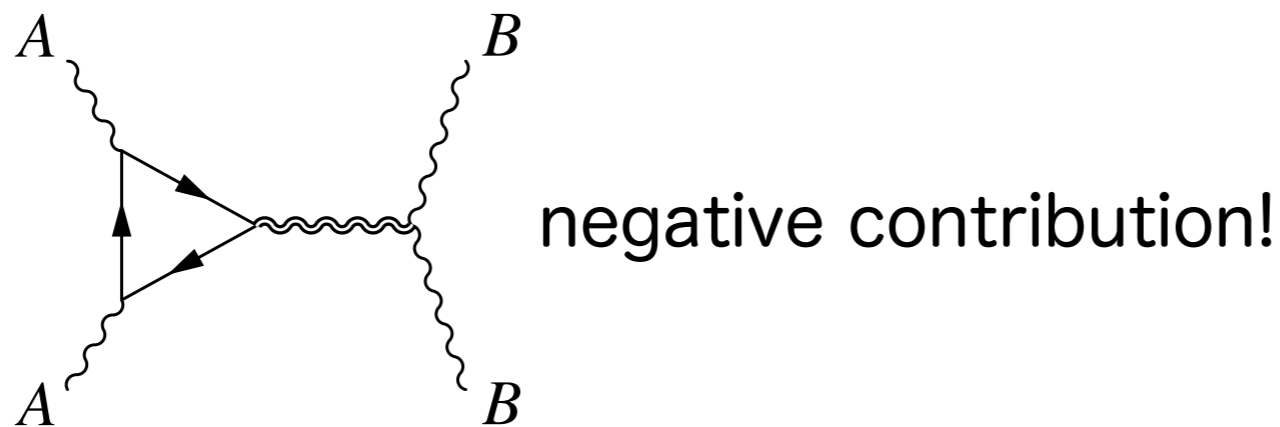
$$S = \int d^4x \sqrt{-g} \left[-\frac{1}{4} H_{\mu\nu}^2 \right] \quad (H = dB)$$

Q. Is this UV completable? Consistent w/gravitational positivity?

Gravitational Positivity

positivity of $AB \rightarrow AB$ scattering in the presence of gravity

$$a_2 = -\frac{11}{2880\pi^2} \frac{e^2}{m_e^2 M_{\text{Pl}}^2} + \frac{\alpha}{\Lambda^4} > \mathcal{O}(1) \cdot \frac{1}{M_{\text{Pl}}^2 M_s^2} \quad (\alpha : \text{coupling of heavy states})$$



- If we decouple gravity, we can safely take the limit $\Lambda \rightarrow \infty$
(recall that the original QFT model w/o gravity is UV complete)
- If there are no heavy states other than gravitational Regge states,

a natural order estimate is $\frac{\alpha}{\Lambda^4} \sim \frac{1}{M_{\text{Pl}}^2 M_s^2}$

→ the model is in the swampland unless $M_s \lesssim \frac{m_e}{e}$!!!

How to get out of the swampland?

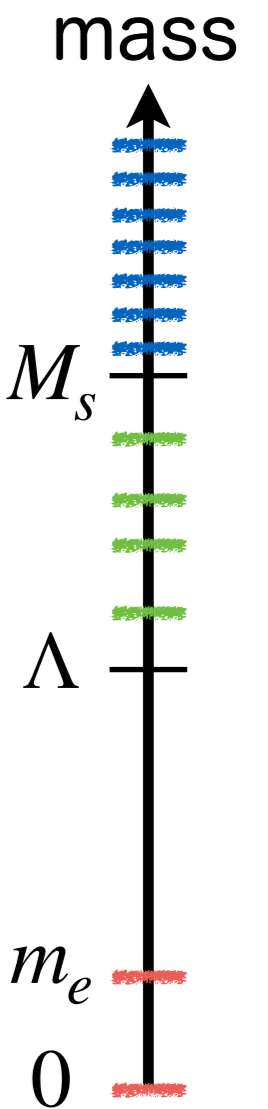
option 1: turn on tiny electron-dark photon coupling \tilde{e}

$$a_2 \simeq \frac{11}{2880\pi^2} \frac{e^2}{m_e^2 M_{\text{Pl}}^2} \left(\frac{2\tilde{e}^2 M_{\text{Pl}}^2}{m_e^2} - 1 \right) > \mathcal{O}(1) \cdot \frac{1}{M_{\text{Pl}}^2 M_s^2}$$

$\rightarrow \tilde{e}^2 > \frac{m_e^2}{2M_{\text{Pl}}^2}$: hidden electric force > gravity (weak gravity)

option 2: introduce heavy states mediating two sectors

$$\text{s.t. } \frac{\alpha}{\Lambda^4} > \frac{11}{2880\pi^2} \frac{e^2}{m_e^2 M_{\text{Pl}}^2} \rightarrow \Lambda \lesssim \alpha^{1/4} \sqrt{\frac{m_e M_{\text{Pl}}}{e}} \quad (\alpha : \text{coupling})$$



- positivity requires **non-gravitational interactions mediating the two sectors**
- more comprehensive study is given in [Aoki-TN-Tokuda-Tran in progress] especially in the connection with Tower Weak Gravity Conjecture

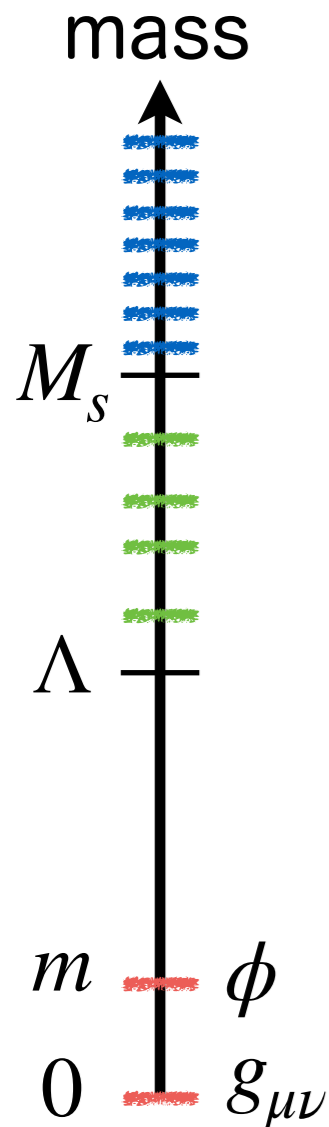
application 2: bounds on scalar potential

[TN-Tokuda to appear]

Bounds on scalar potential

$$S = \int d^4x \sqrt{-g} \left[\frac{M_{\text{Pl}}^2}{2} R - \frac{1}{2} (\partial_\mu \phi)^2 - \frac{m^2}{2} \phi^2 - \frac{\lambda}{4!} \phi^4 + \dots \right]$$

in this talk, I impose the Z_2 symmetry $\phi \rightarrow -\phi$ for simplicity
 [see our paper for more general cases]



the s^2 coefficient at 1 loop

$$a_2 = \frac{\lambda^2}{16\pi^2 m^4} - \frac{\lambda}{24\pi^2 M_{\text{Pl}}^2 m^2} + \frac{\alpha}{\Lambda^4} \quad (\alpha : \text{coupling of } \phi \text{ \& heavy states})$$

trivially satisfies the gravitational bound

a stronger bound ↙ 2 loop

$$\tilde{a}_2 \simeq \frac{\lambda^2}{16\pi^2 \Lambda^4} - \frac{10 - \pi^2}{4608\pi^4} \frac{\lambda^2}{M_{\text{Pl}}^2 m^2} + \frac{\alpha}{\Lambda^4} > \mathcal{O}(1) \cdot \frac{1}{M_{\text{Pl}}^2 M_s^2}$$

using the assumption that heavy states are above Λ

$$\tilde{a}_2 \simeq \frac{\lambda^2}{16\pi^2\Lambda^4} - \frac{10 - \pi^2}{4608\pi^4} \frac{\lambda^2}{M_{\text{Pl}}^2 m^2} + \frac{\alpha}{\Lambda^4} > \mathcal{O}(1) \cdot \frac{1}{M_{\text{Pl}}^2 M_s^2}$$

when r.h.s. is subdominant,

we obtain a lower bound $m > \frac{1}{150} \frac{\Lambda^2}{M_{\text{Pl}}} \times \sqrt{\frac{\lambda^2}{\lambda^2 + 16\pi^2\alpha}}$.

Therefore, we cannot set the mass arbitrary small,

even though it is allowed in QFT at least if we allow fine-tuning

Summary and Prospects

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1. Swampland program

- quantum gravity constraints on QFT models

2. Gravitational positivity bounds

- consistency of gravitational scattering
- in weakly coupled UV completion,

$$M(s) = -\frac{2s^2}{M_{\text{Pl}}^2 t} + \sum_{n=1}^{\infty} a_{2n} s^{2n} + \mathcal{O}(t) \text{ (IR expansion)}$$

$$a_2 > \mathcal{O}(1) \cdot \frac{1}{M_{\text{Pl}}^2 M_s^2} \text{ (} M_s \text{ : mass of higher spin Regge states)}$$

Q. Can we constrain the $\mathcal{O}(1)$ factor further?

- it is non-negative in known string theory examples
- bounds from holography, energy conditions etc?

Summary and Prospects

3. Exploring swampland w/gravitational positivity

- QED and dark photon

positivity requires non-gravitational coupling to hidden sector

→ more realistic models? implications for DM models?

- bound on scalar potential

positivity implies that mass cannot be set arbitrary small

→ implications for SM, inflation, dark energy, neutrino etc?

- other phenomenological applications?

Thank you!