

Recent progress in theoretical physics based on quantum information theory @ YITP  
March 2nd 2021 (JST)

**Can we probe the microstates of spacetime?**

**Naritaka Oshita  
(Perimeter Institute)**

# Role of gravitational entropy in cosmology

Gregory, Moss, NO (2020) 2003.04927

Gregory, Moss, NO, Patrick (2020) 2007.11428

NO, Ueda, Yamaguchi, (2019) 1909.01378

Gregory, Moss, NO, Patrick (in preparation)

# What is the origin of gravitational entropy?

NO, Afshordi, Mukohyama (2021) 2102.01741

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NO, Wang, Afshordi (2019) 1905.00464

# BH spectroscopy and CFT thermal state

Ongoing work

**Could the total entropy decrease?**

**YES**

(non-equilibrium situations)

# Jarzynski equality

Jarzynski (1997)

## non-equilibrium paths

$$e^{-\beta \Delta F} = \langle e^{-\beta W} \rangle$$

inverse temperature  $\beta$       free energy  $\Delta F$       work  $W$

equilibrium state A      equilibrium state B

$W_1$        $W_2$        $W_\infty$

non-equilibrium paths

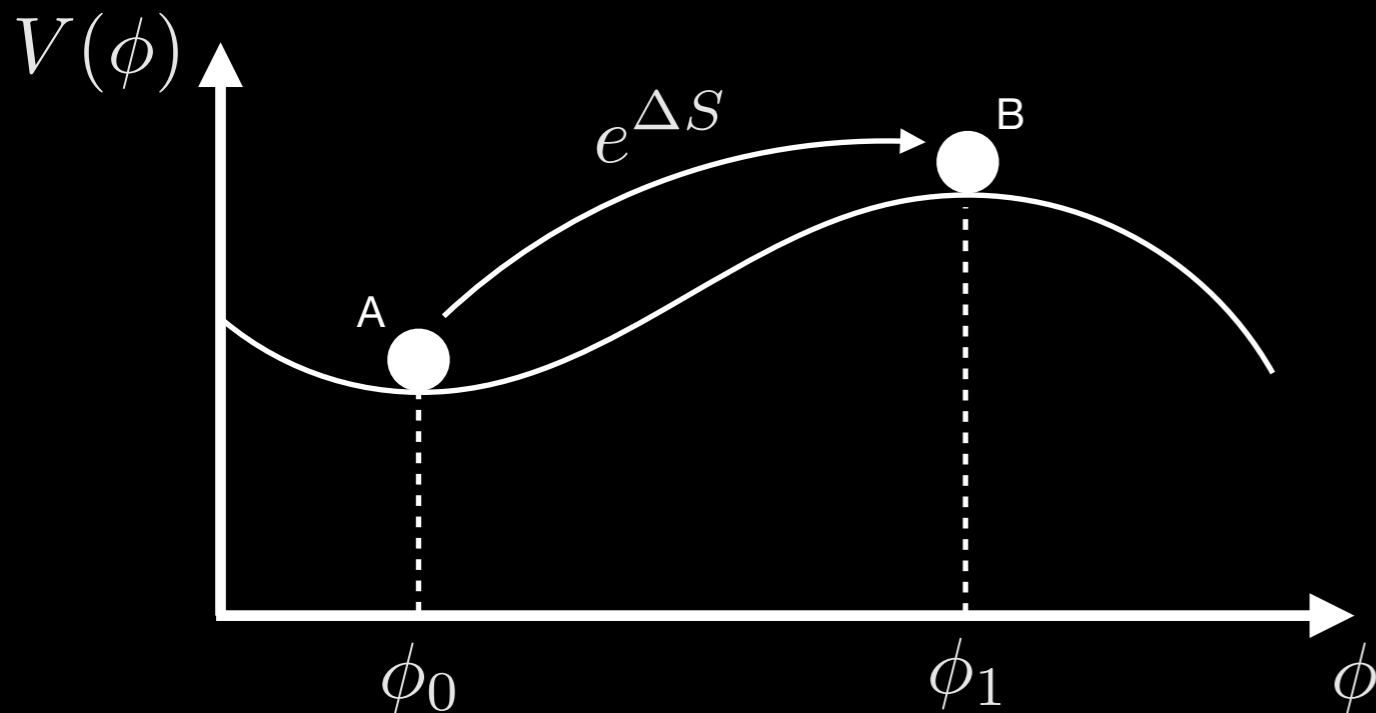
$$\langle e^{-\Delta S} \rangle = 1$$

Entropy (B) - Entropy (A)

Entropy decreases with small probability!

$$p \sim e^{\Delta S} \ll 1 \quad (\Delta S < 0)$$

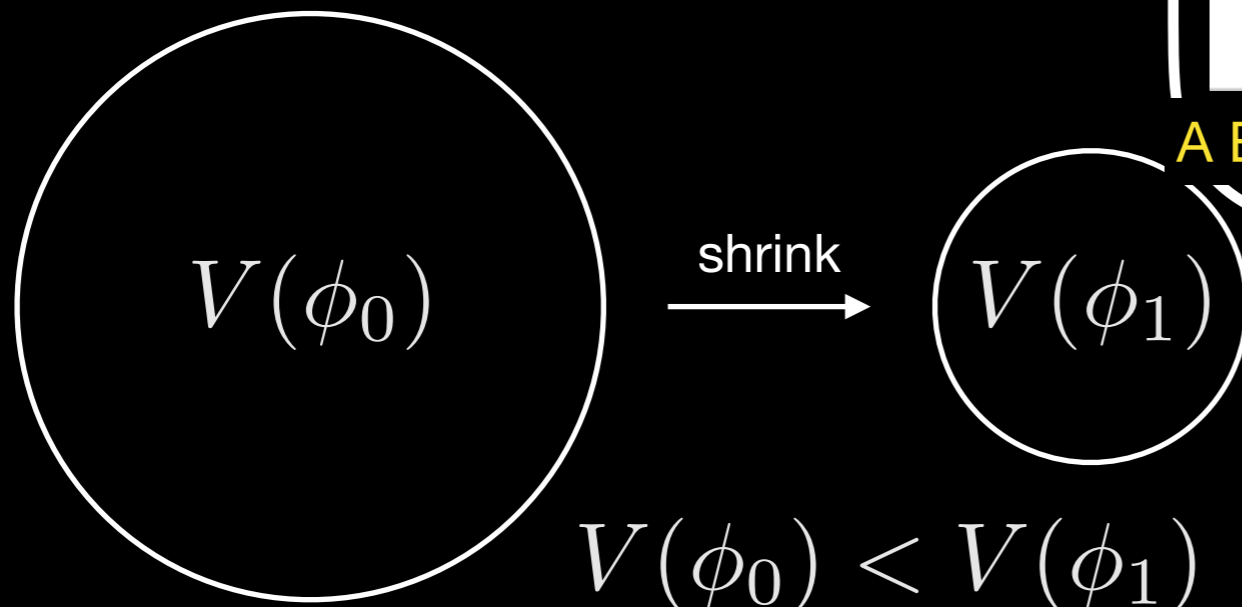
# Cosmological horizon is no exception!



## Hawking-Moss transition

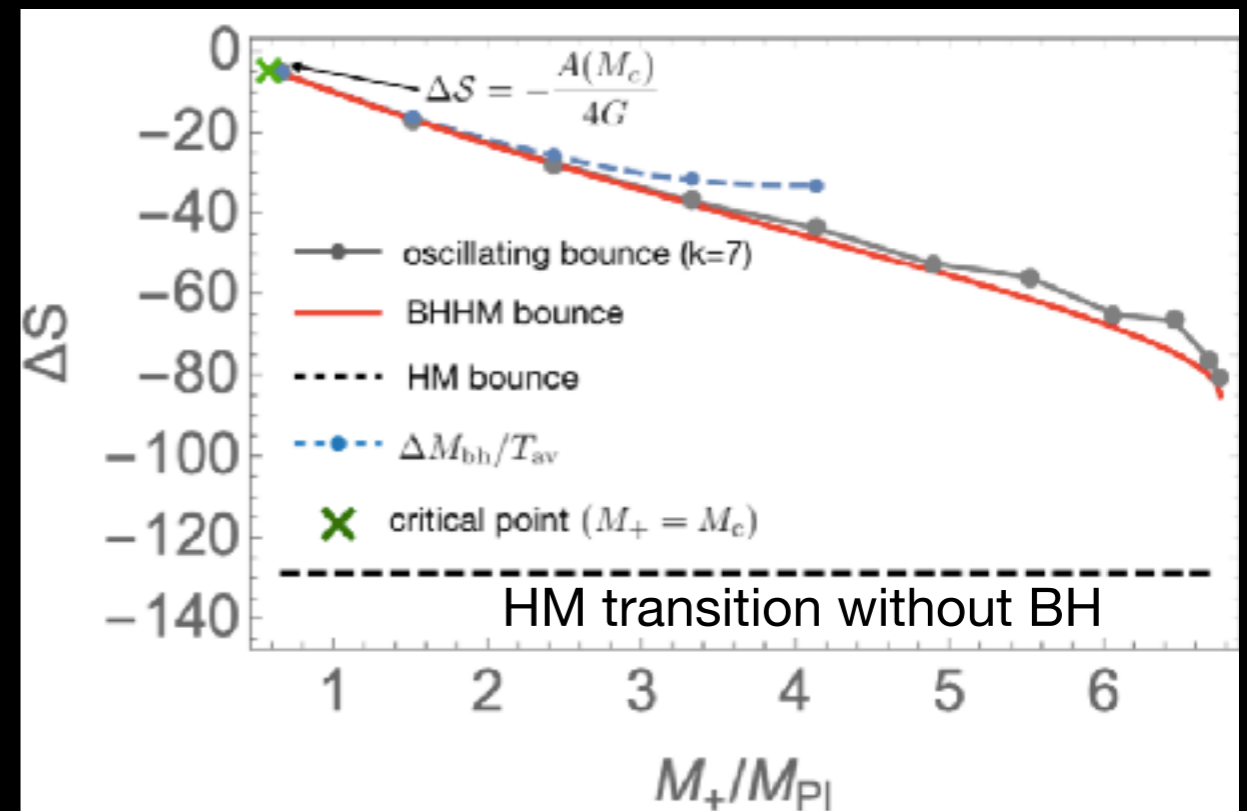
Hawking and Moss (1982)

cosmological horizon



## What if a black hole is involved?

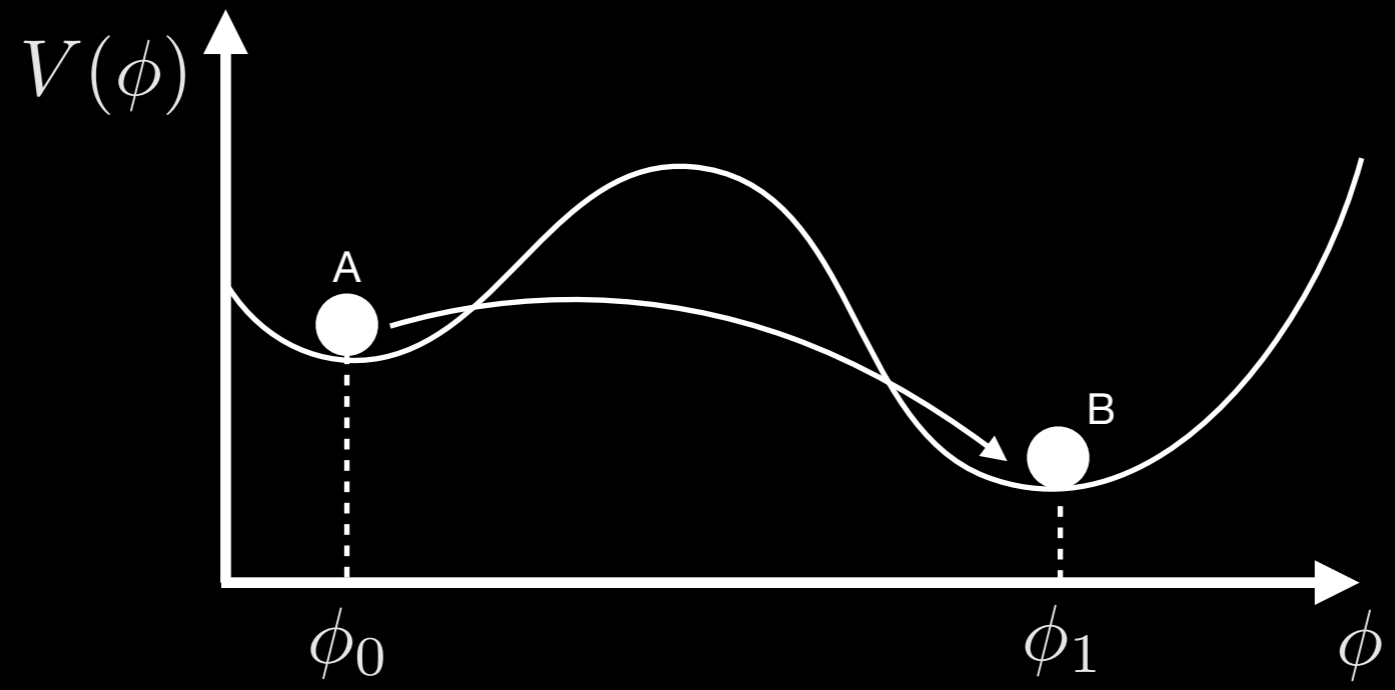
Gregory, Moss, NO (2020)



A BH behaves like a catalyst for vacuum transition!!

Bekenstein-Hawking entropy decreases!

$$\Delta S < 0$$

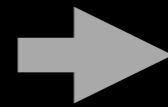


Coleman-de Luccia tunneling

Coleman and de Luccia (1980)

$\phi = \phi_0$

empty



$\phi = \phi_0$

AdS  
 $\phi = \phi_1$

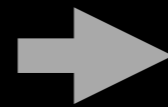
bubble nucleation

$\phi = \phi_0$

BH

empty

Decay rate enhanced!!



$\phi = \phi_0$

AdS  
●  
 $\phi = \phi_1$

bubble nucleation

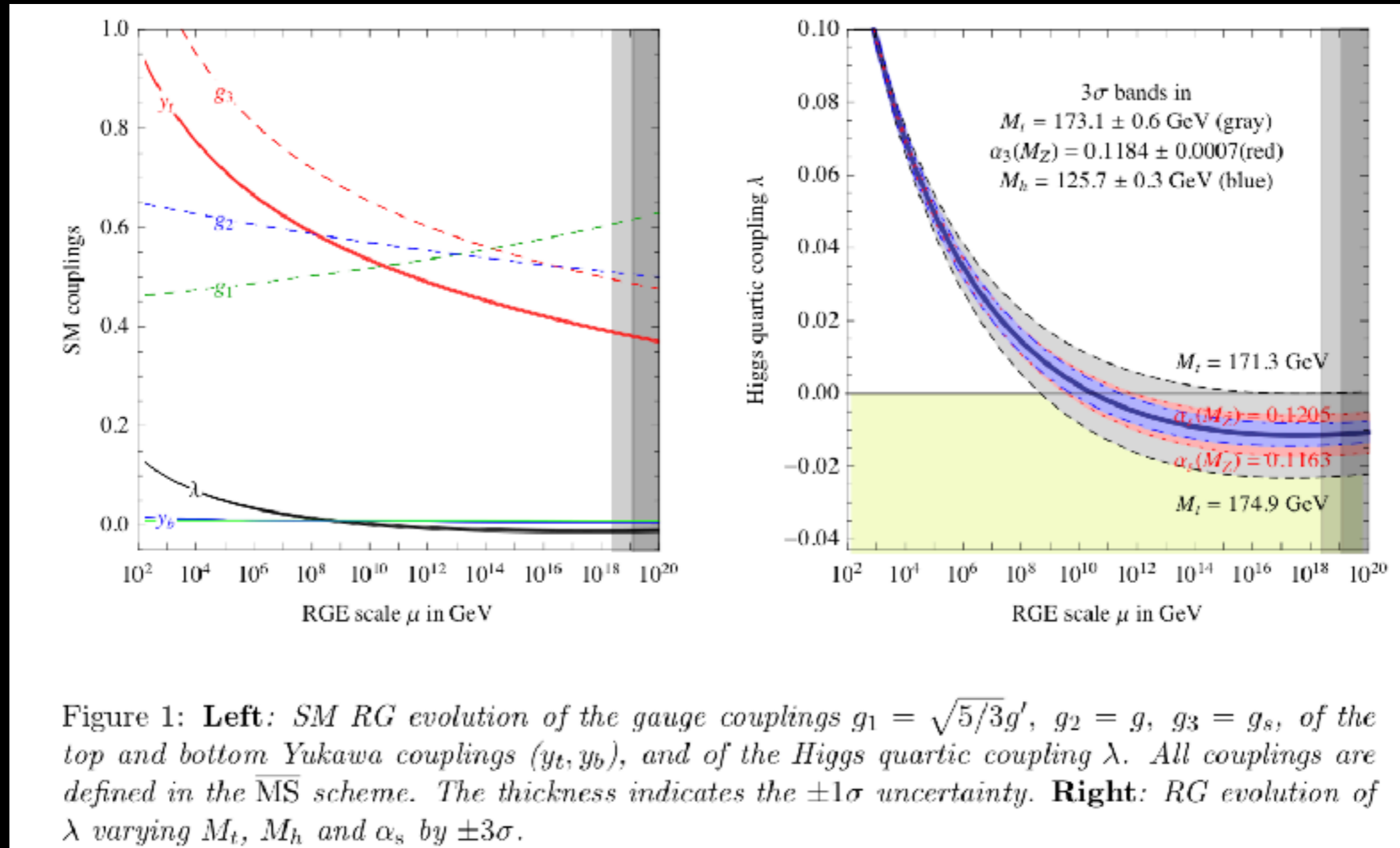
Gregory et al. (2013)

# Running coupling

$$V = \frac{1}{4} \lambda_{\text{eff}}(\phi) \phi^4$$

We are here

Second minimum?

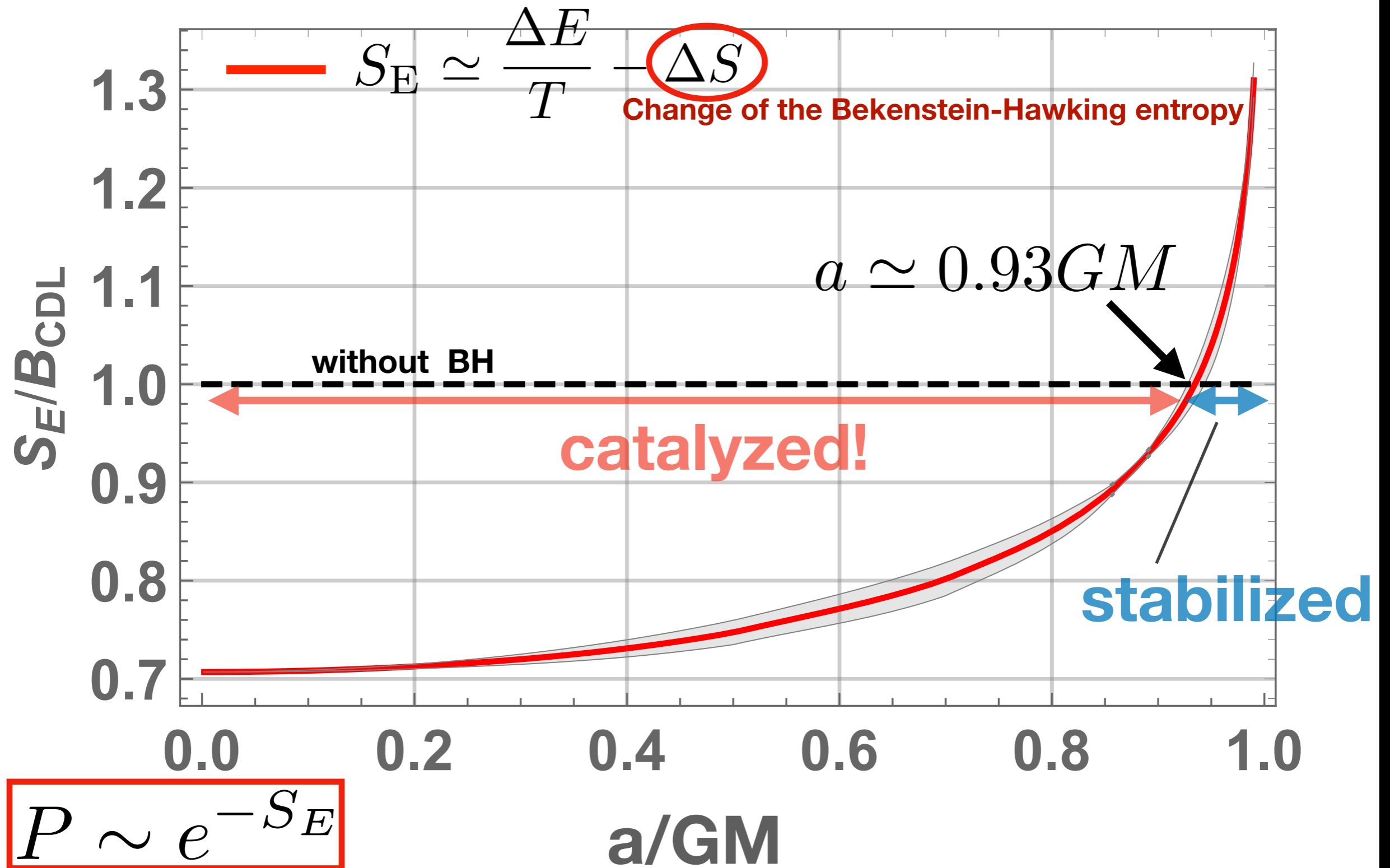


Degrassi et al. (2013)

The Higgs self-coupling can be negative at high energies.

# BH catalyzing effect and the Higgs metastability

NO, Ueda, Yamaguchi (2019)



$$r_s = 10^3 \ell_{\text{Pl}}, \quad H = 1 \times 10^{-4} M_{\text{Pl}}, \quad \Sigma = 1.5 \times 10^{-5} M_{\text{Pl}}, \quad \Sigma/M_{\text{Pl}} = 1.3 \times 10^{-5}$$



# Higgs metastability and PBHs

Higgs potential

Dai et al. (2019)

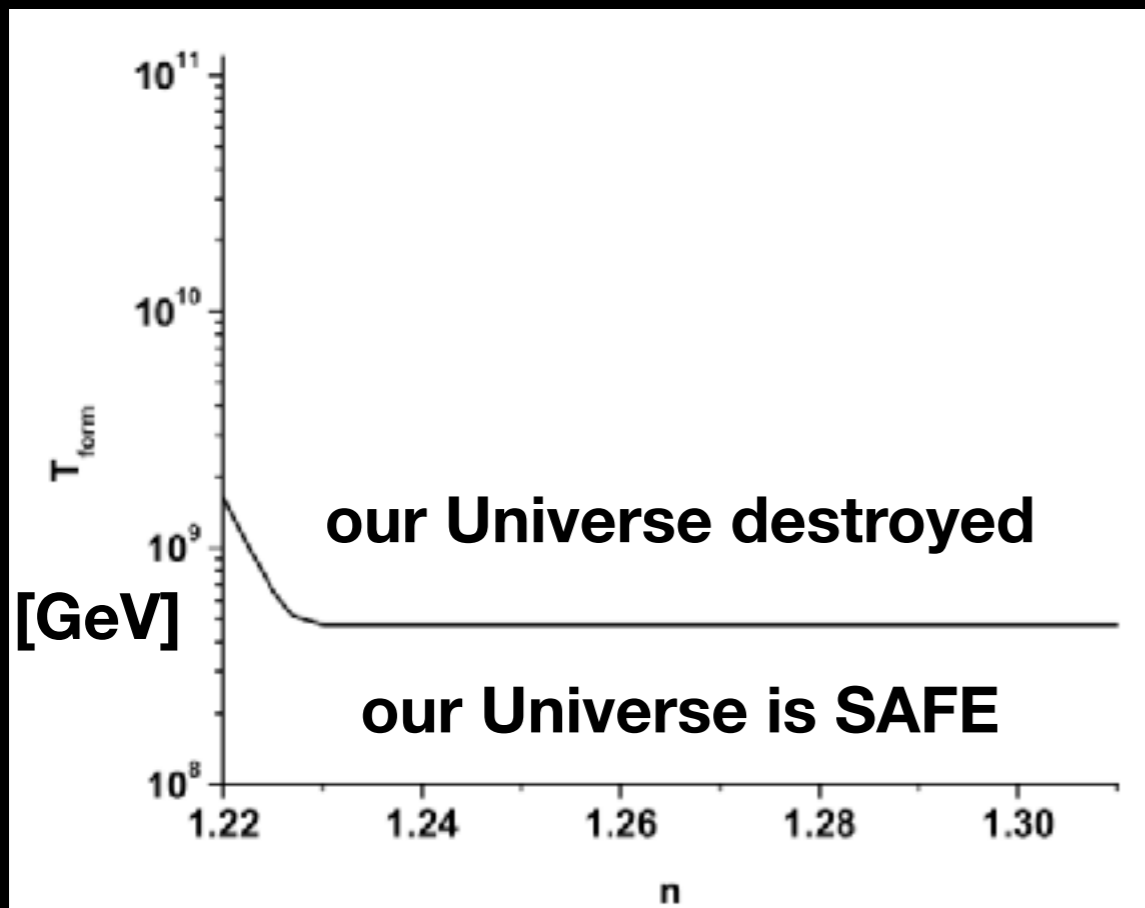
$$V = \frac{1}{4} \lambda_{\text{eff}}(\phi) \phi^4 \quad \lambda_{\text{eff}} = \lambda_* + b \left( \ln \frac{\phi}{M_{Pl}} \right)^2 + c \left( \ln \frac{\phi}{M_{Pl}} \right)^4$$

$$\lambda_* = -0.0004 \quad b = 1.5 \times 10^{-5} \quad c = 0$$



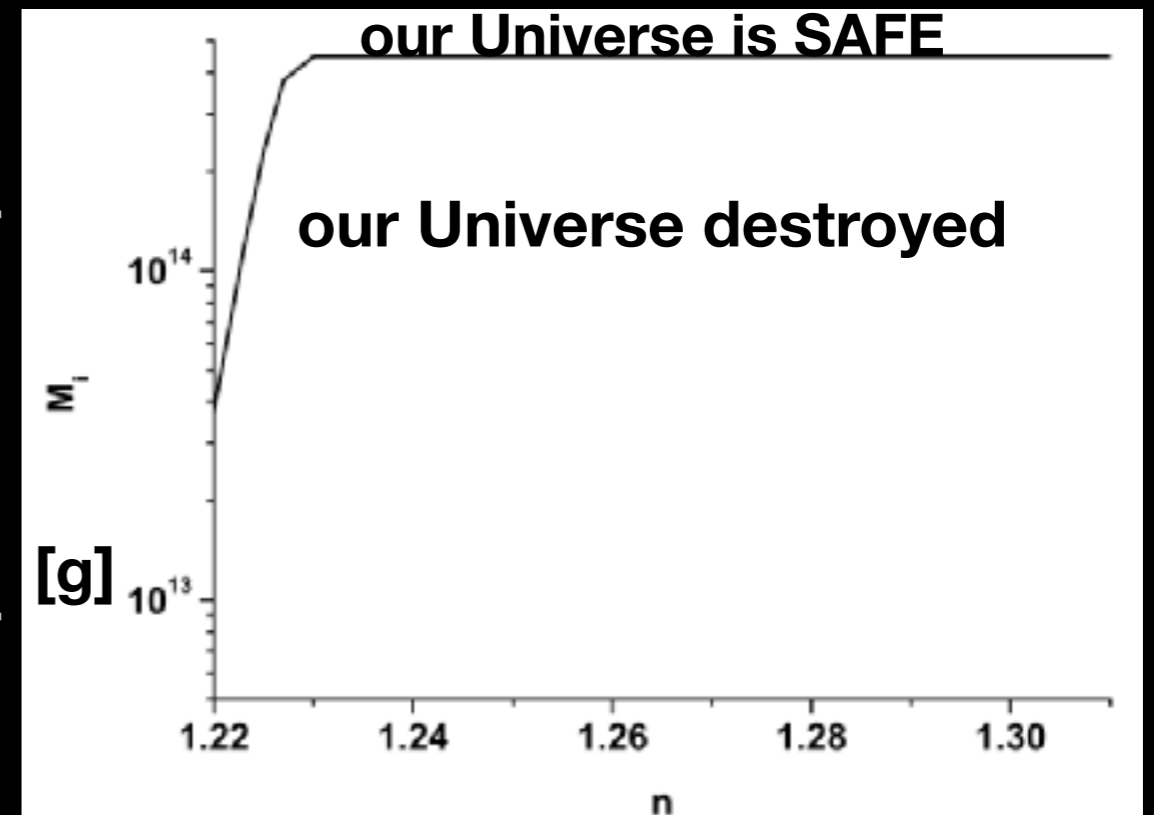
PBHs (spectral index of the density fluctuations, mass, temp.)

(temperature at the formation time)



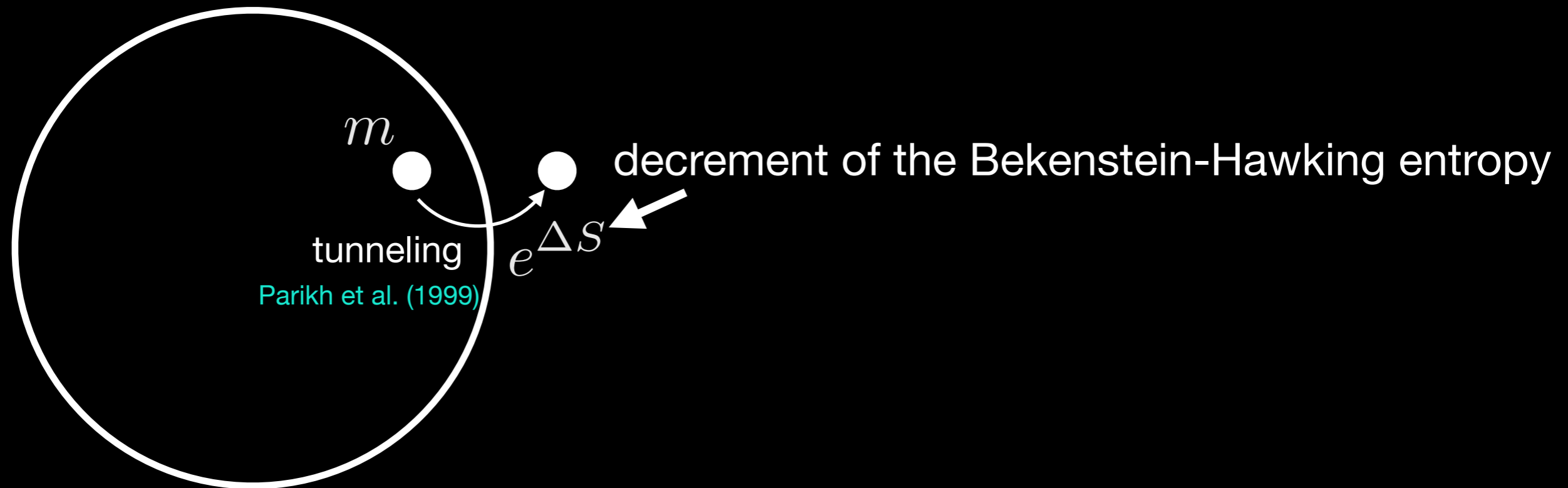
(spectral index)

(Mass of PBH)



(spectral index)

# Hawking radiation



# What we can learn

## quantum mechanics in gravity

### non-equilibrium statistical mechanics

[Jarzynski equality]

transition probability  $p \sim e^{\Delta S}$



gravitational entropy plays an important role in phenomenology

Constraints on cosmological models and  
the parameters of the (beyond) Standard Model

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# BH spectroscopy and CFT thermal state

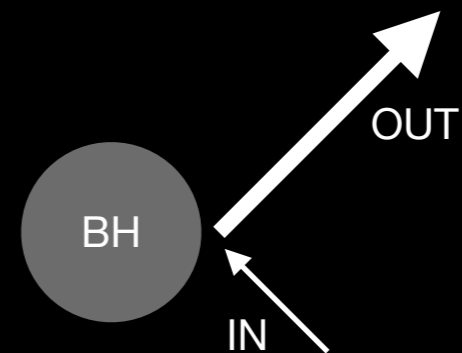
Ongoing work

# 1. microstructure which breaks the Lorentz symmetry

(e.g. Horava-Lifshitz quantum gravity)

Superradiance of Primordial Black Holes (PBHs)

NO, Afshordi, Mukohyama (2021)



amplification in scattering process

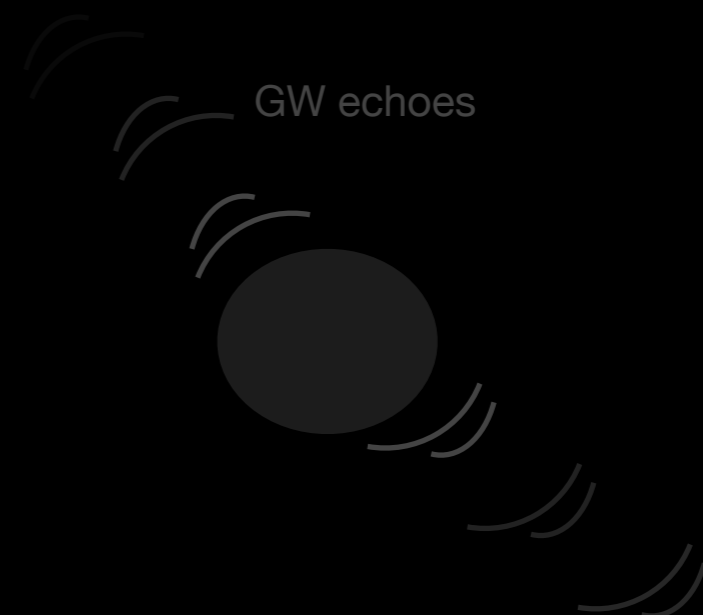
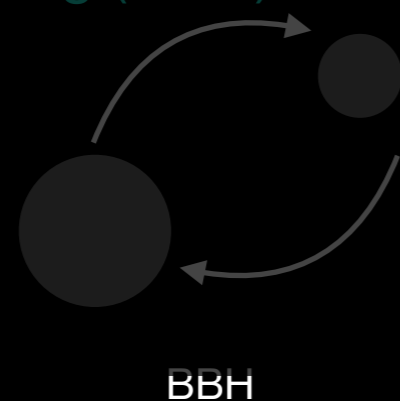
# 2. microstructure which is an ensemble of two level systems

GW echoes from BH ringing (late-time GW signal from binary black hole merger)

NO, Wang, Afshordi (2019)

NO, Tsuna, Afshordi (2020)

Abedi, Afshordi, NO, Wang (2020)



# Lifshitz scaling

Anisotropy between space and time

$$x \rightarrow bx \quad t \rightarrow b^z t$$

Applying this to quantum gravity theory,  
it becomes a renormalizable theory in a power-counting level.

**Horava (2009)**

Afterwards, the renormalizability of the (projectable) Horava-Lifshitz gravity was performed!

**Barvinsky et al. (2015)**

# dispersion relation in the Horava-Lifshitz quantum gravity

GR at high energy

$$\omega^2 = k^2$$

HL at high energy

$$\omega^2 = \Xi_6 k^6 + \Xi_4 k^4 + k^2 \quad \Xi_4 \equiv \frac{\mathcal{O}(1)}{M_{\text{HL}}^2} \quad \Xi_6 \equiv \frac{\mathcal{O}(1)}{M_{\text{HL}}^4}$$

HL quantum gravity is consistent with observational cosmology!!

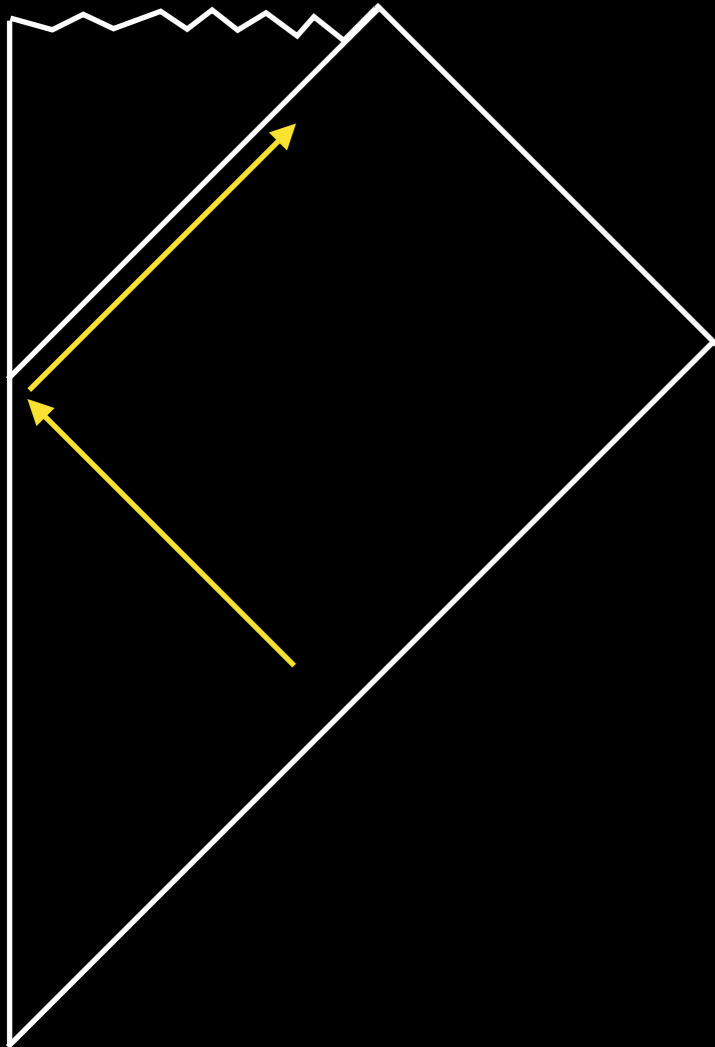
1. HL gravity solves the **Horizon problem** in cosmology  
and leads to the **scale-invariant perturbations**  
(alternative to inflation)

Mukohyama (2009), Kiritsis et al. (2009)

2. HL gravity has no Hamiltonian constraint

→ **cold dark matter** as integration constant Mukohyama (2009)

# Lifshitz scaling and Hawking radiation



Hawking radiation

||

Scattering around a BH

$$N(\omega) = \frac{\Gamma(\omega)}{e^{(\omega-\mu)/T} - 1}$$

$\mu$  : chemical potential

greybody factor

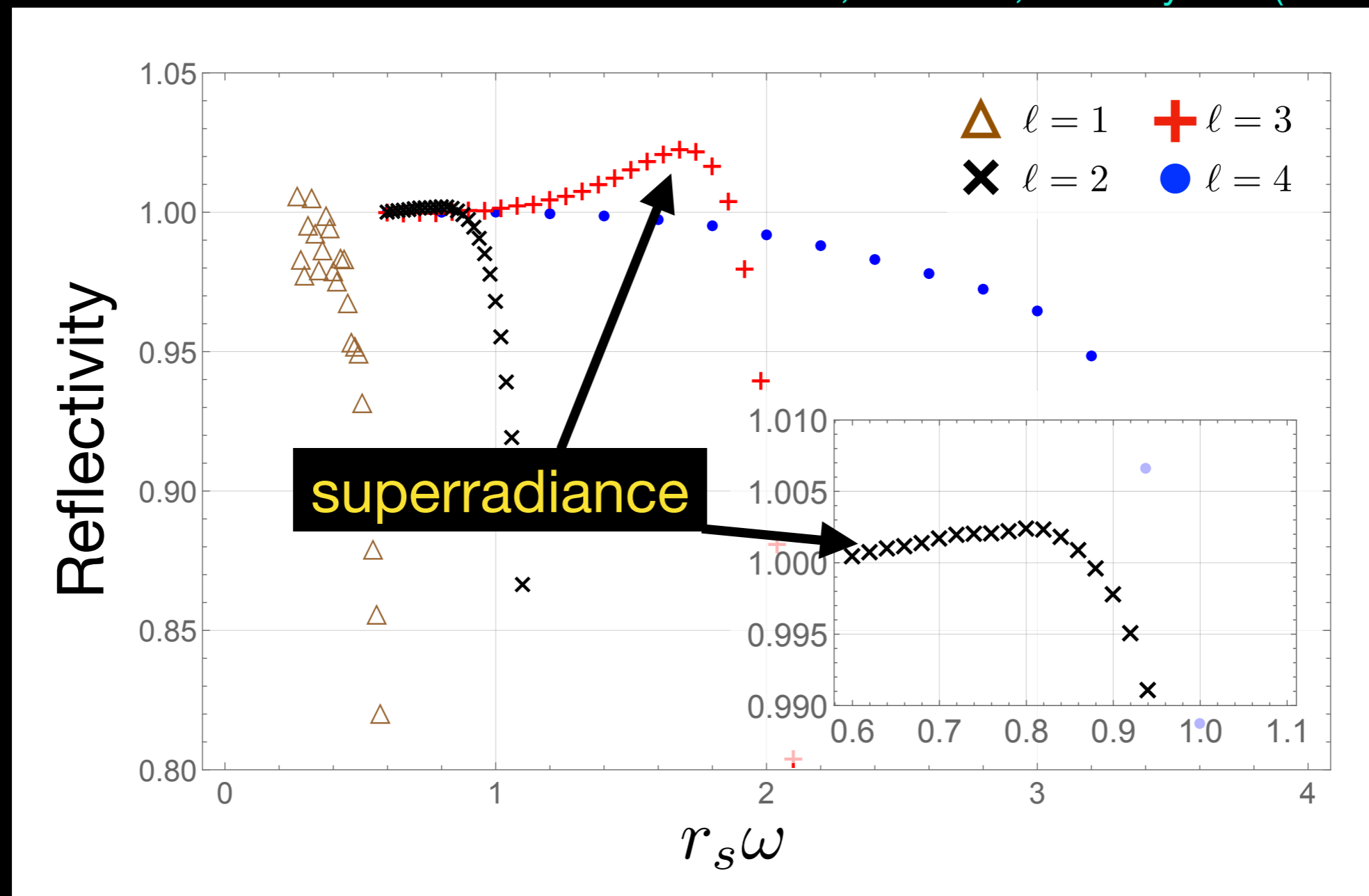
determined by the **reflectivity** of a BH



# superradiance around a Schwarzschild BH

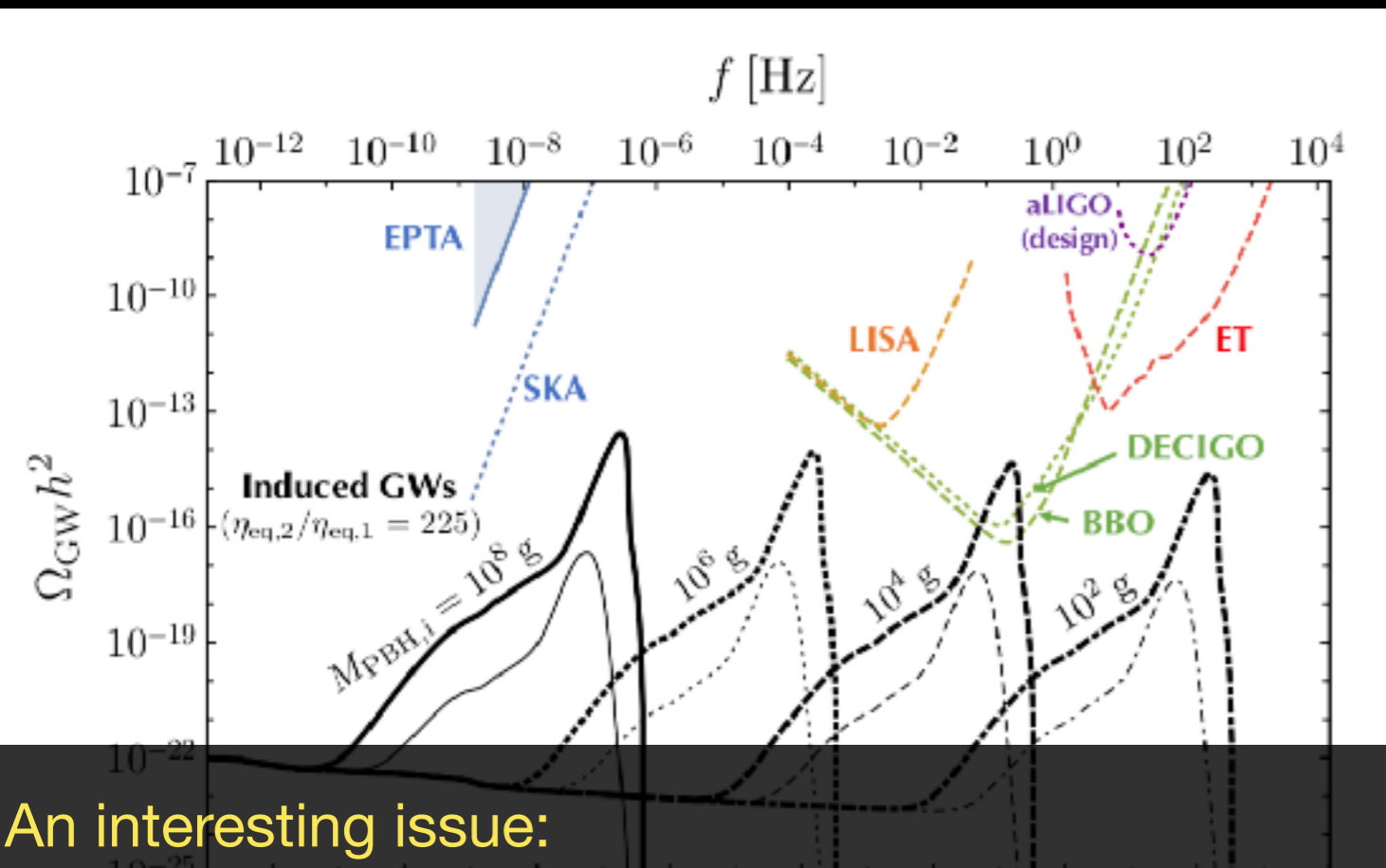
$$\left[ -\Xi_6 \Delta^3 + \Xi_4 \Delta^2 + \square \right] \psi(t, r) = 0$$

NO, Afshordi, Mukohyama (2021)



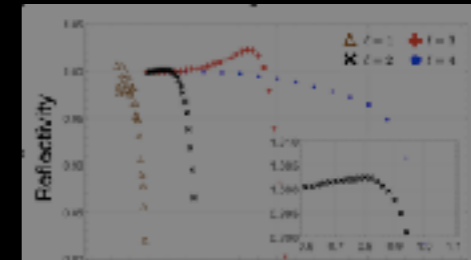
# Future observations to probe Lifshitz scaling

[Inomata et al. (2020)]



An interesting issue:  
Spectrum induced GWs caused by the PBH evaporation  
is drastically modified by the Lifshitz scaling.

Evaporation of PBHs



changes the evaporation rate

induce curvature perturbations

induce GW

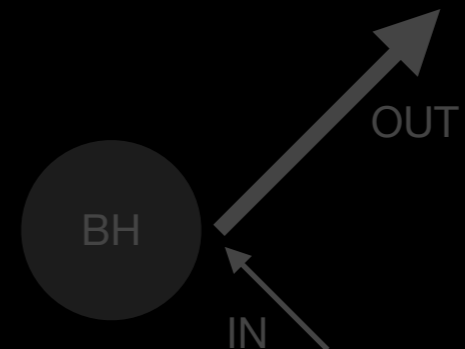
Observation of DECIGO / BBO

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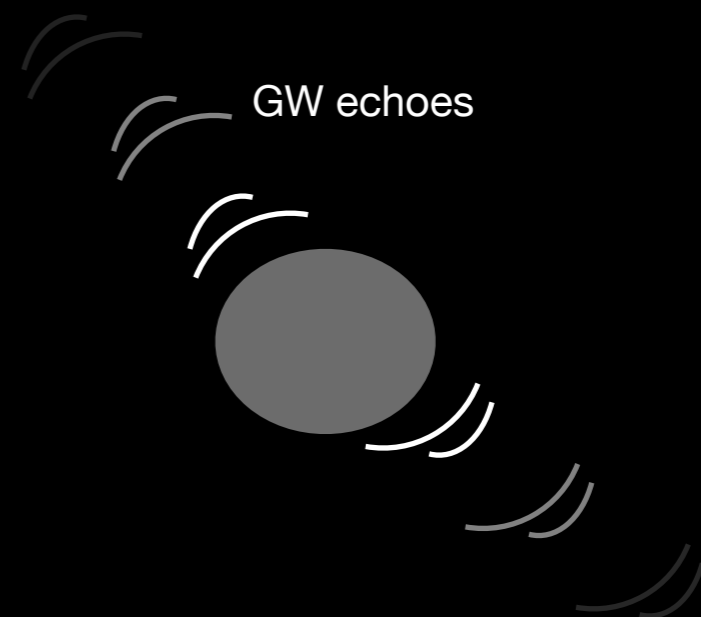
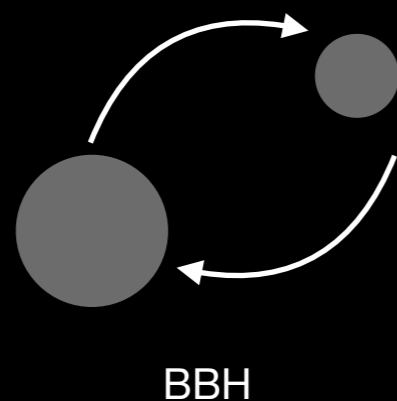
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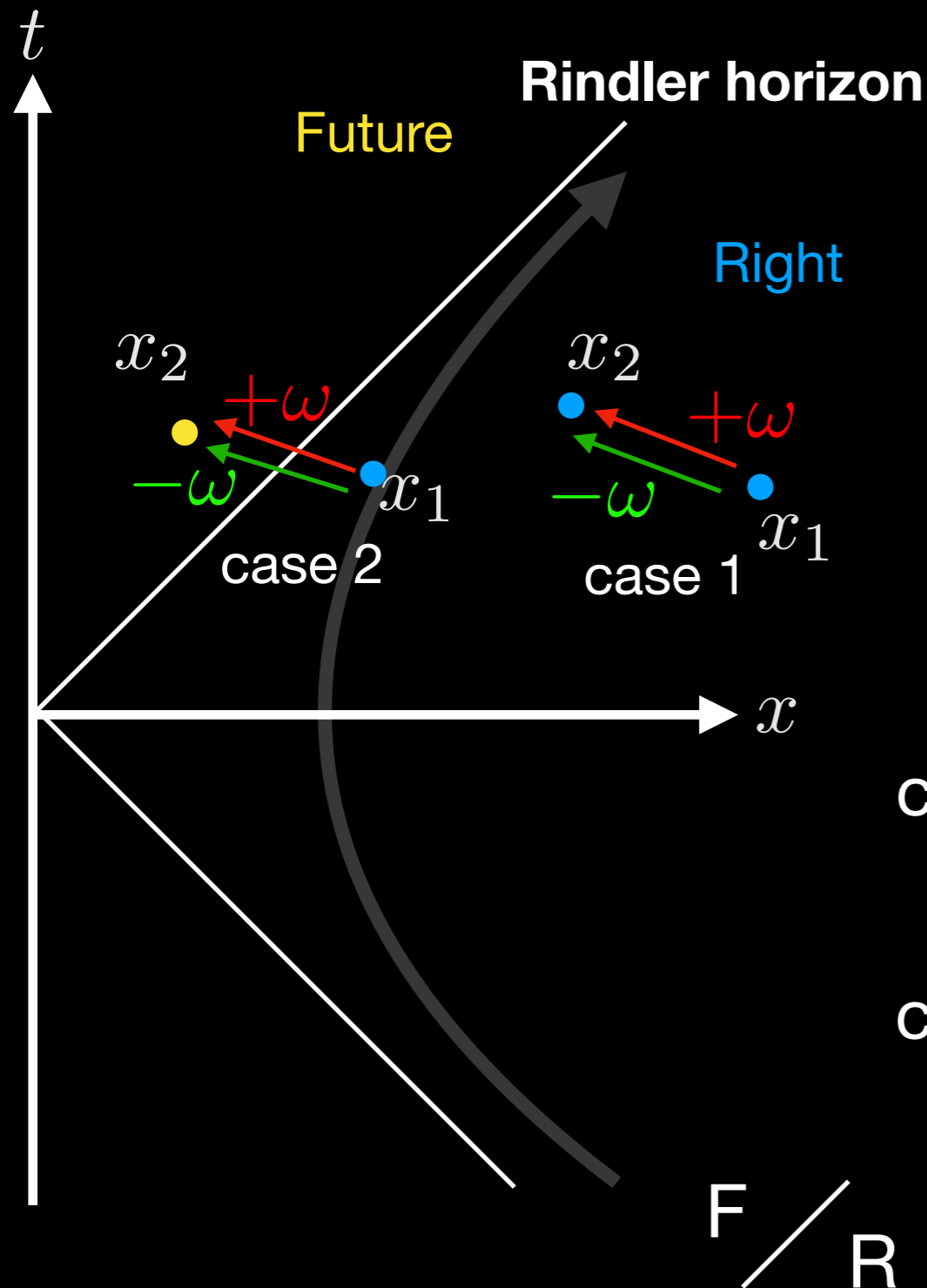


# Thermality of horizon

Padmanabhan (2019)

Hartle & Hawking (1976)

Path integral approach



Amplitude of propagation with  $E=\omega$  from  $x_1$  to  $x_2$

$$A(\omega) = \int d\tau G(\tau) e^{-i\omega\tau}$$

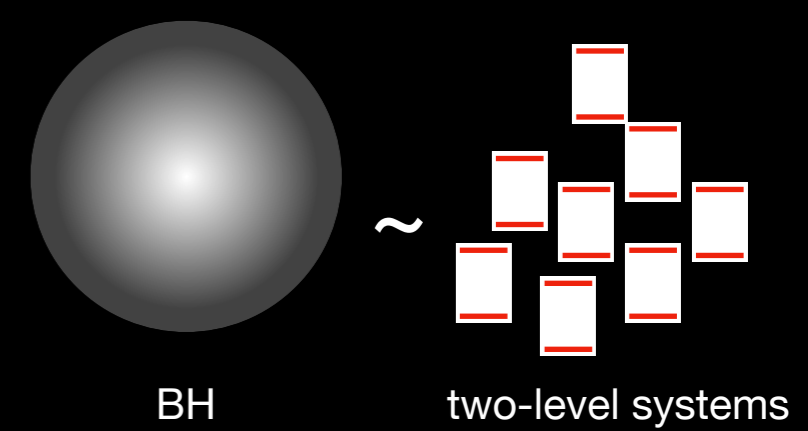
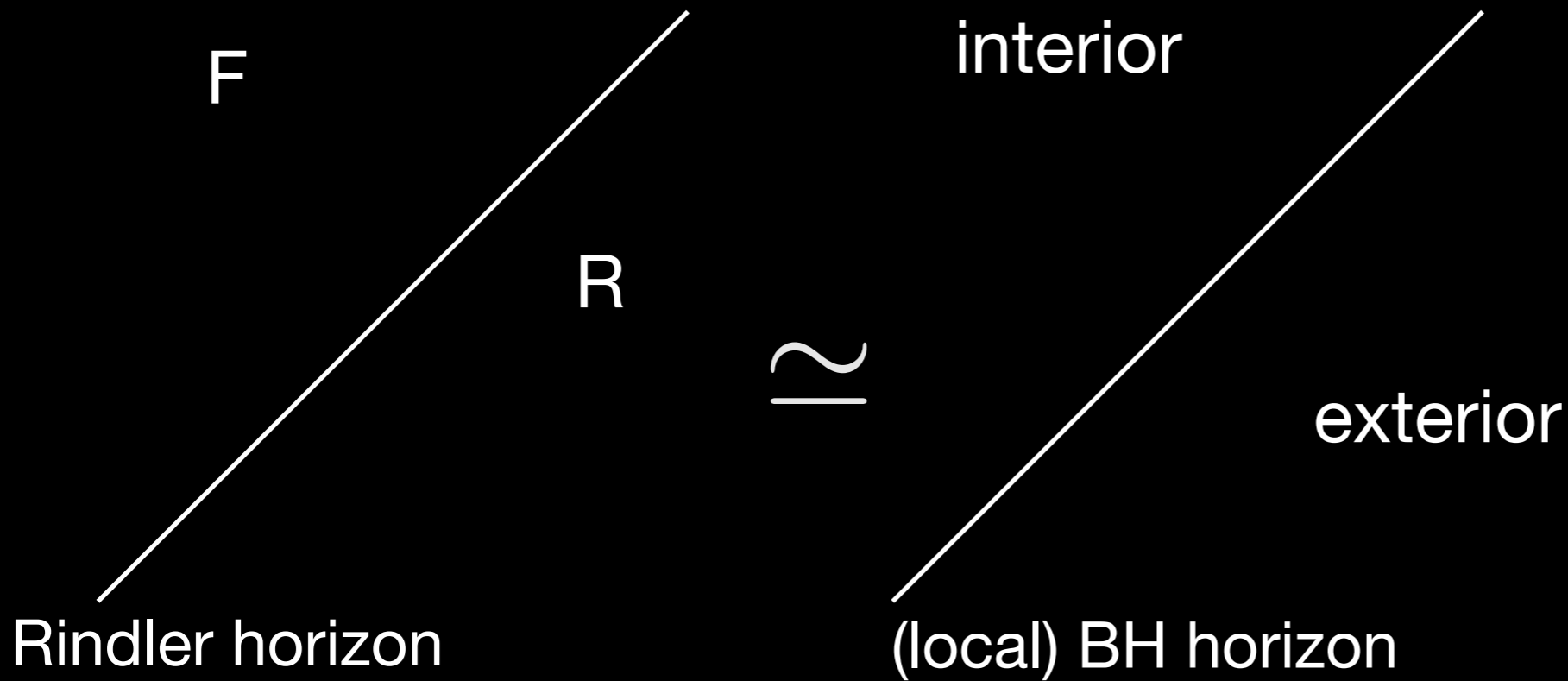
Feynman propagator

case 1  $A(\omega) = A(-\omega)$

case 2  $|A(\omega)|^2 / |A(-\omega)|^2 = \exp[-\omega/T(a)]$   
Boltzmann factor

$$T(a) \equiv \frac{a}{2\pi}$$

Rindler horizon  $\simeq$  a two-level system



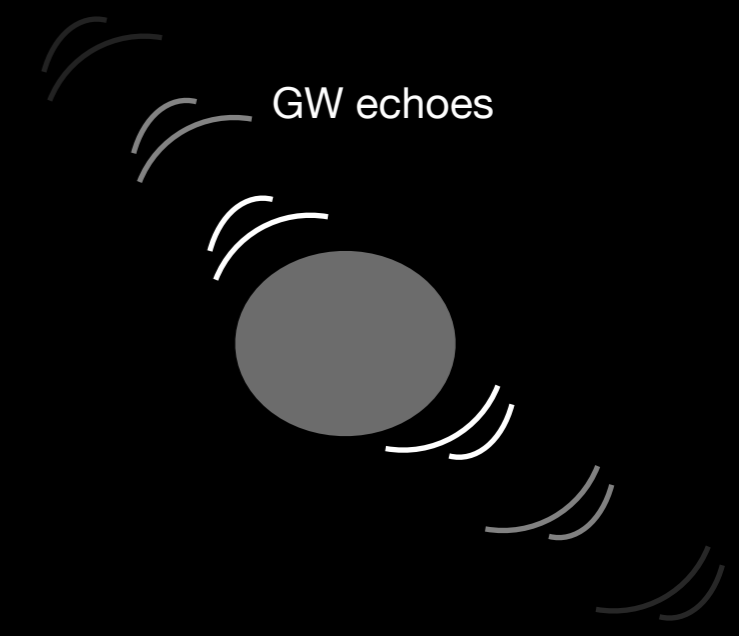
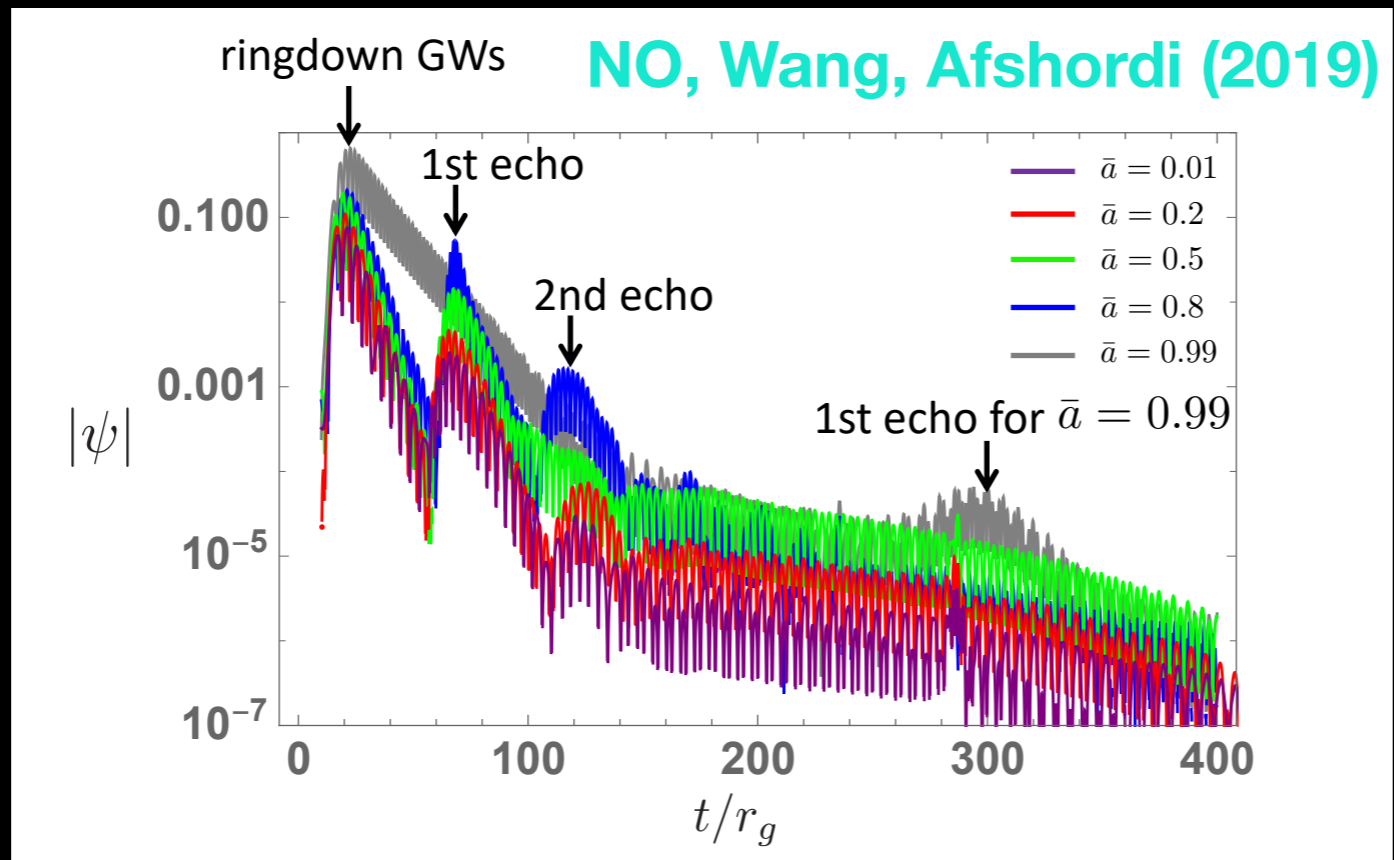
# What if a BH horizon has its Boltzmann reflectivity?

## GW echoes at late time

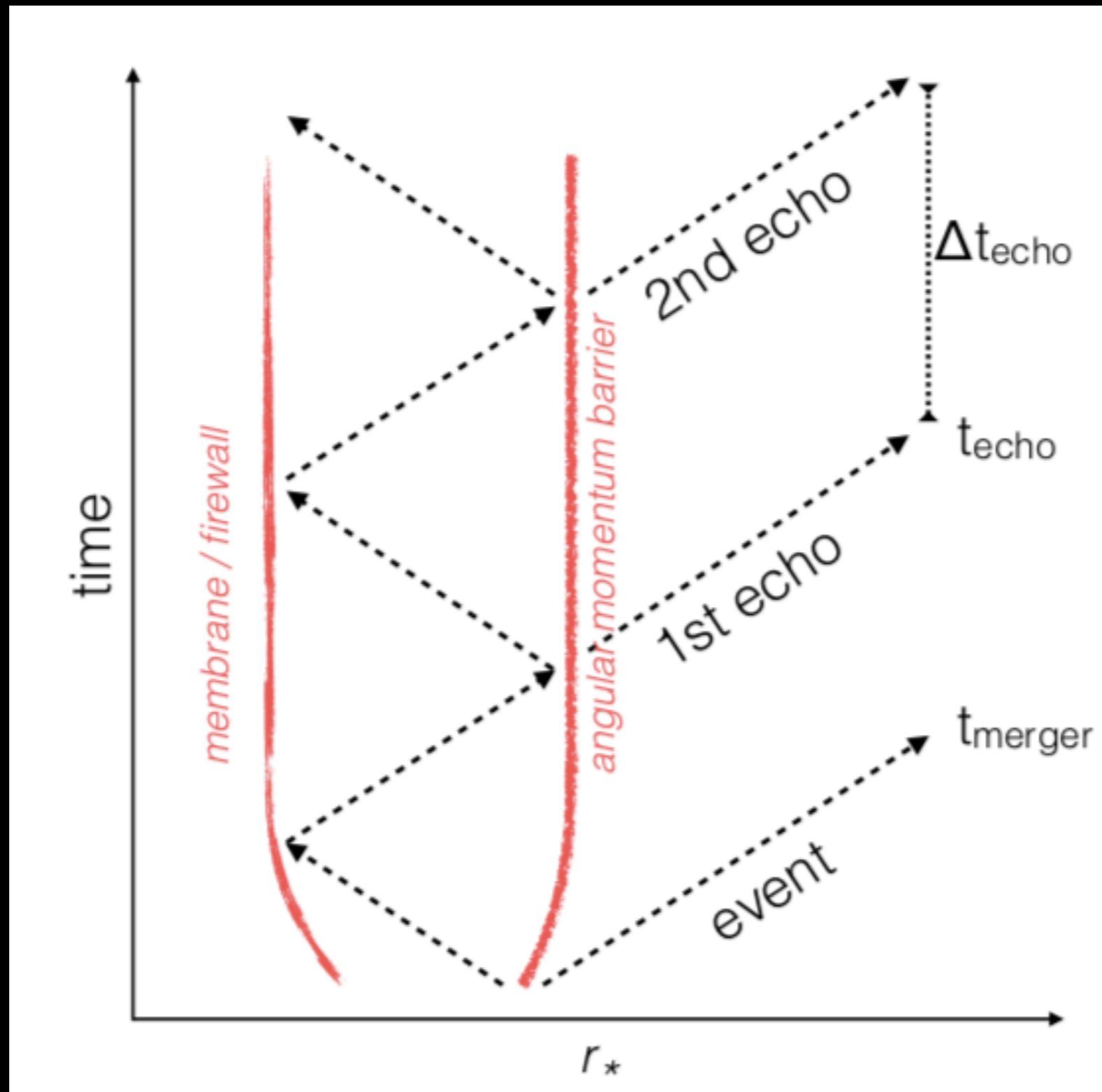
\* similar idea was out in 2019

[Gravitational wave echoes from black hole quantization]

Cardoso et al. (2019)



# Mechanism of GW-echo emission

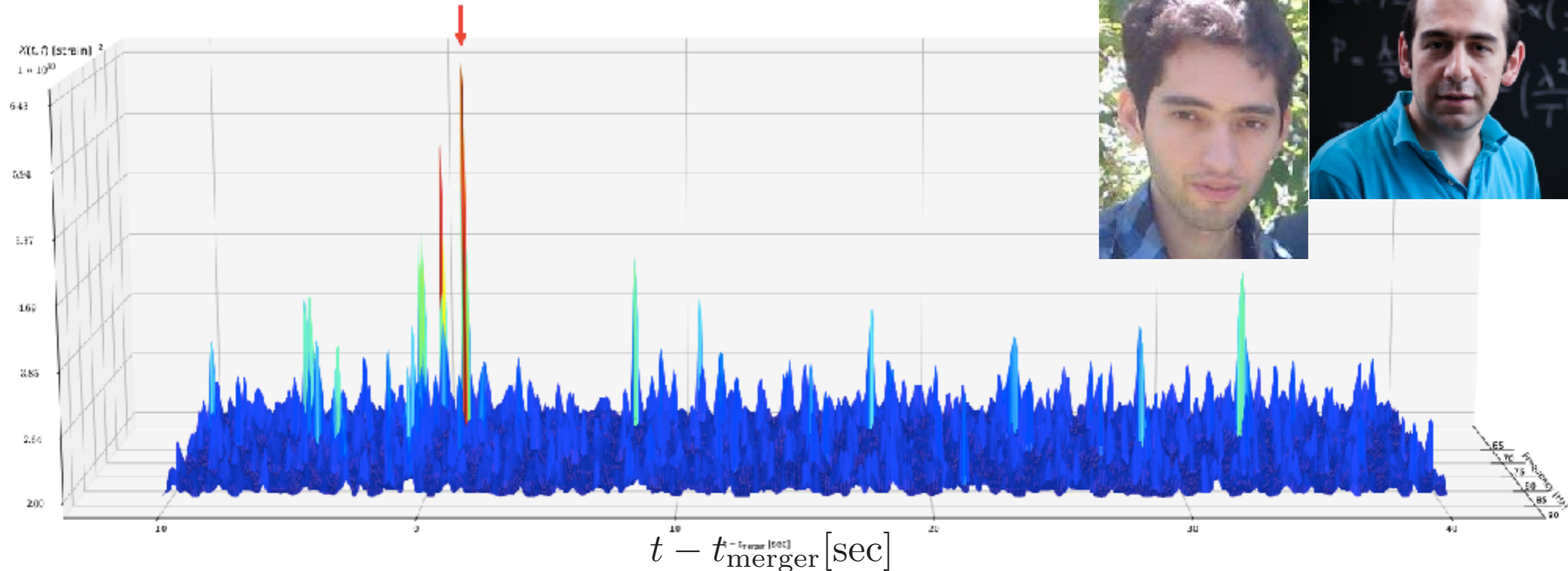


Abedi & Afshordi (2016)

# Tentative detection of GW echoes

Abedi and Afshordi (2018)

$$t - t_{\text{merger}} \simeq 1.0[\text{sec}]$$



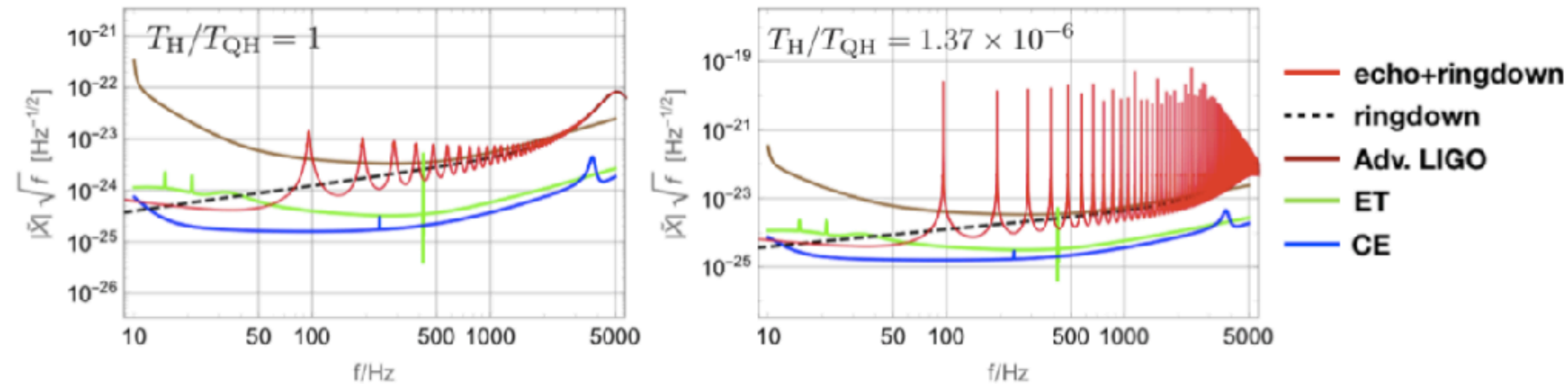
**Figure 4:** A 3d rendition of Fig. (3) within our echo search frequency range  $f = 63 - 92$  Hz, showing that our tentative detection of echoes at  $f_{\text{peak}} = 72 (\pm 0.5)$  Hz and  $t - t_{\text{merger}} \simeq 1.0$  sec clearly stands above noise.

GW170817  
binary neutron star mergers

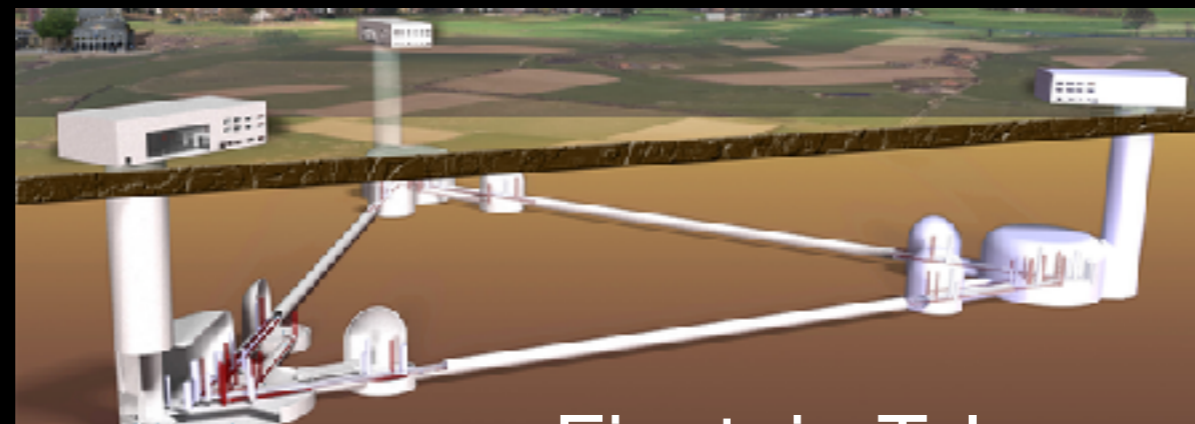
tentative detection of GW echoes  
with  $4.2\sigma$

# Third generation of GW detectors

Abedi, Afshordi, NO, Wang (2020)



**Figure 40.** Spectra of ringdown and echo phases in the Boltzmann reflectivity model with  $\bar{a} = 0.1$ ,  $\epsilon_{\text{rd}} = 6 \times 10^{-7}$ ,  $M = 2.4M_{\odot}$ ,  $\theta = 90^{\circ}$ , and  $D_o = 1$  Mpc. Here we also assume  $\gamma = 10^{-10}$ ,  $T_{\text{H}}/T_{\text{QH}} = 1$  (left) and  $T_{\text{H}}/T_{\text{QH}} = 1.37 \times 10^{-6}$  (right).



$$|R|^2 = e^{-|\omega|/T_{\text{QH}}}$$

Cosmic Explorer

Einstein Telescope



What's the microstates of spacetime?

Lifshitz scaling of spacetime

ensemble of two-level systems  
(Boltzmann reflectivity)

superradiance

GW ringdown -> echoes

DECIGO / BBO

Cosmic explorer /  
Einstein telescope

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# BH spectroscopy and CFT thermal state

Ongoing work

# BH spectroscopy and CFT thermal state

$$\left[ \frac{\partial^2}{\partial r^{*2}} + \omega^2 - V(r) \right] \psi_\omega(r^*) = 0$$

Boundary condition

$$\psi_\omega(r^*) \begin{cases} \rightarrow \exp(-i\omega_n r^*) & (r^* \rightarrow -\infty) \\ \rightarrow \exp(+i\omega_n r^*) & (r^* \rightarrow +\infty) \end{cases}$$

Nunez et al. (2003)

Poles of the retarded correlators of the thermal  $\mathcal{N} = 4$  SYM theory operators

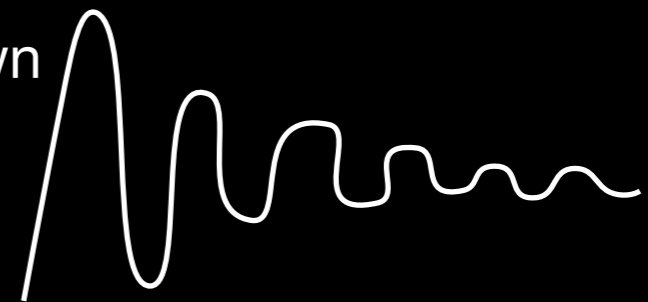


$\omega_n$ : nth-quasinormal mode (QNM) frequency  
**testable!!**

Dissipation for quasiparticle excitations in the finite temperature gauge theory



GW ringdown



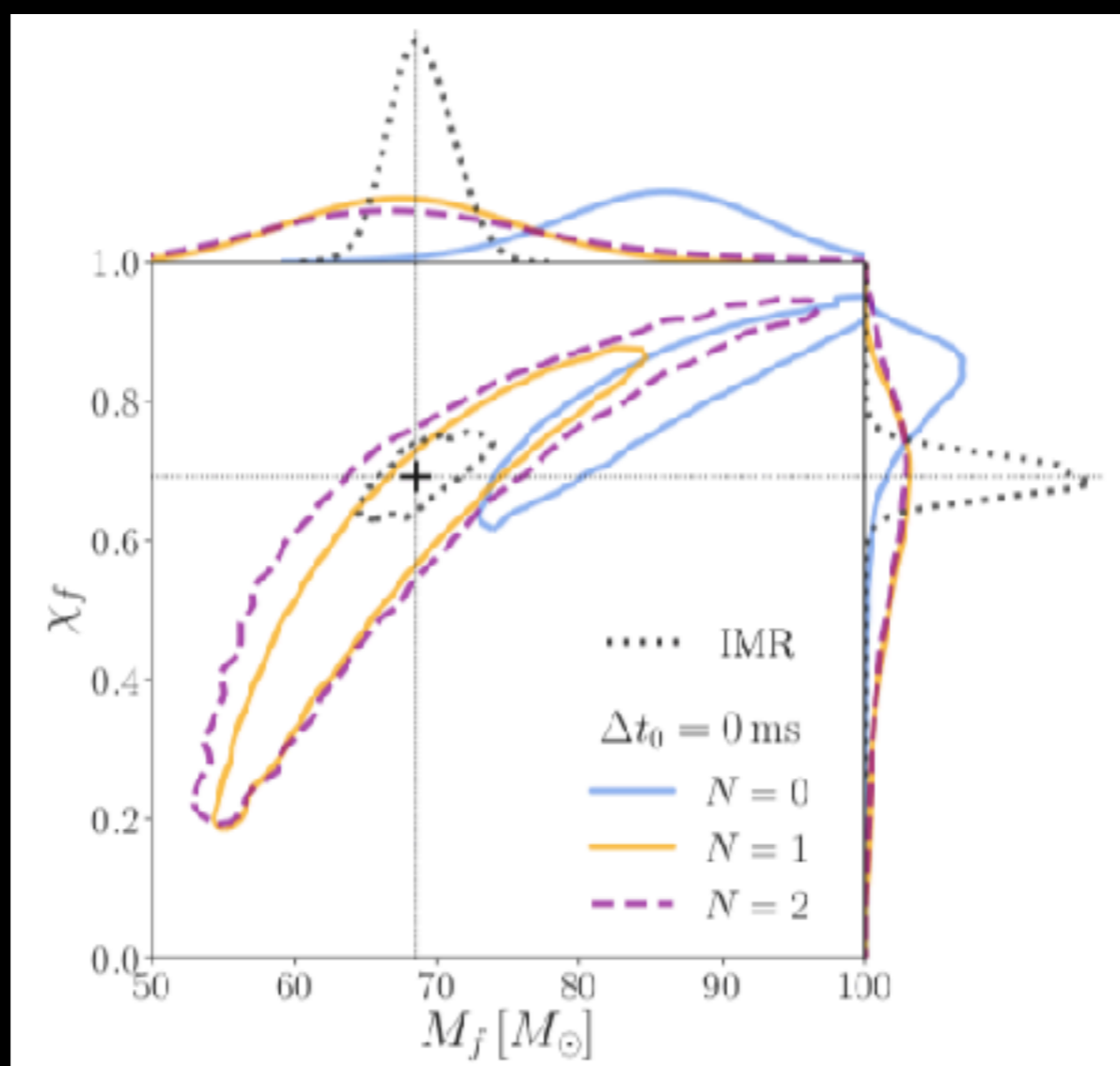
$$e^{-i\omega_n t} = e^{\text{Im}[\omega_n]t} e^{-i\text{Re}[\omega_n]t}$$

**dissipation**      **oscillation**

# Testing the no-hair theorem with GW150914

Isi et al. (2019)

QNM frequency depends on the mass and spin only!!! (no-hair theorem)

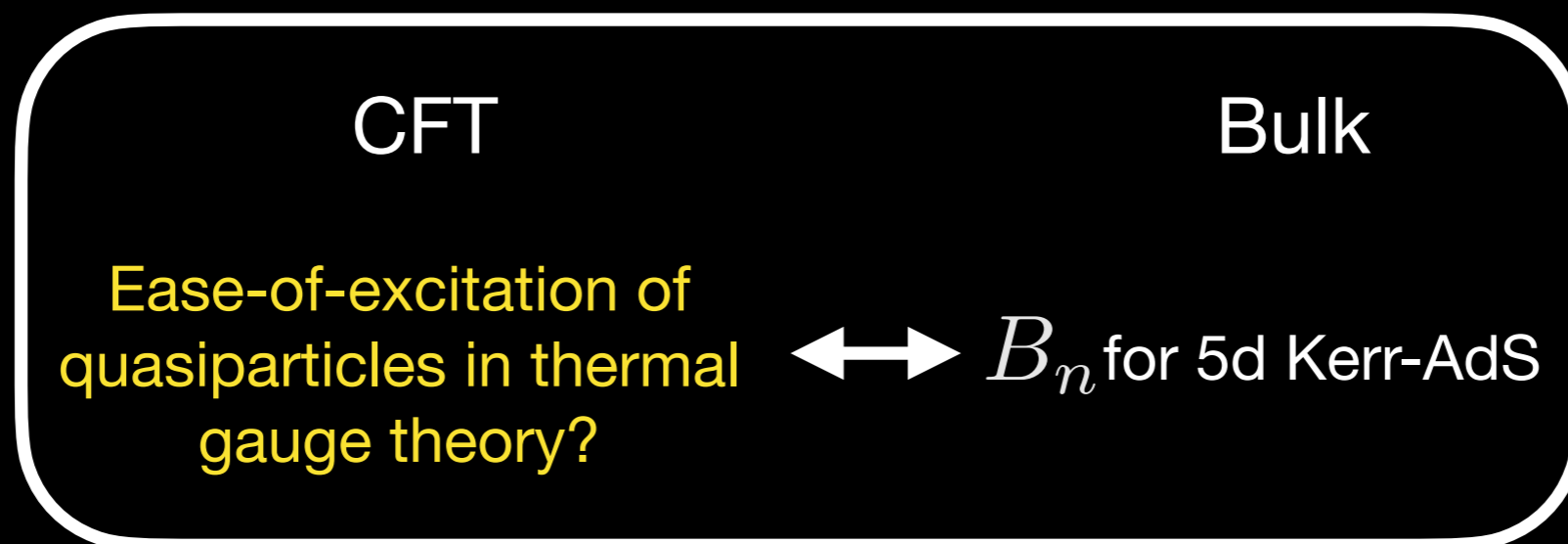


QNMs are characterized by

1. frequency  $\omega_n$

2. Excitation factor  $B_n$

(quantify the ease-of-excitation of QNMs)



# Role of gravitational entropy in cosmology

Phase transition or tunneling process in strong gravity

=> decay rate is governed by the change of Bekenstein-Hawking entropy

gravitational entropy plays an important role for putting constraints on cosmological models and Standard Model.

# What is the origin of gravitational entropy?

Future GW observations (ET, CE, DECIGO, BBO,....)

are very important to probe the microstructure of spacetime

# BH spectroscopy and CFT thermal state

no-hair nature of QNMs is testable

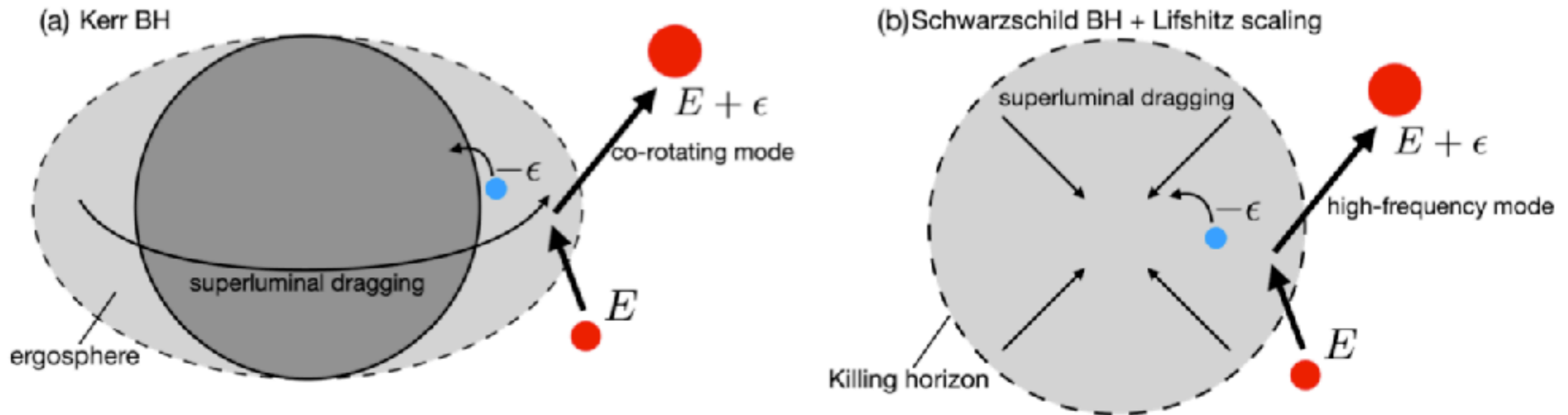
QNM frequencies  $\Leftrightarrow$  dissipation of quasiparticles in thermal gauge theory

QNM excitation factors  $\Leftrightarrow$  ease-of-excitation of quasiparticles  
in thermal gauge theory??



# Why superradiance?

[NO, Afshordi, Mukohyama (2021)]

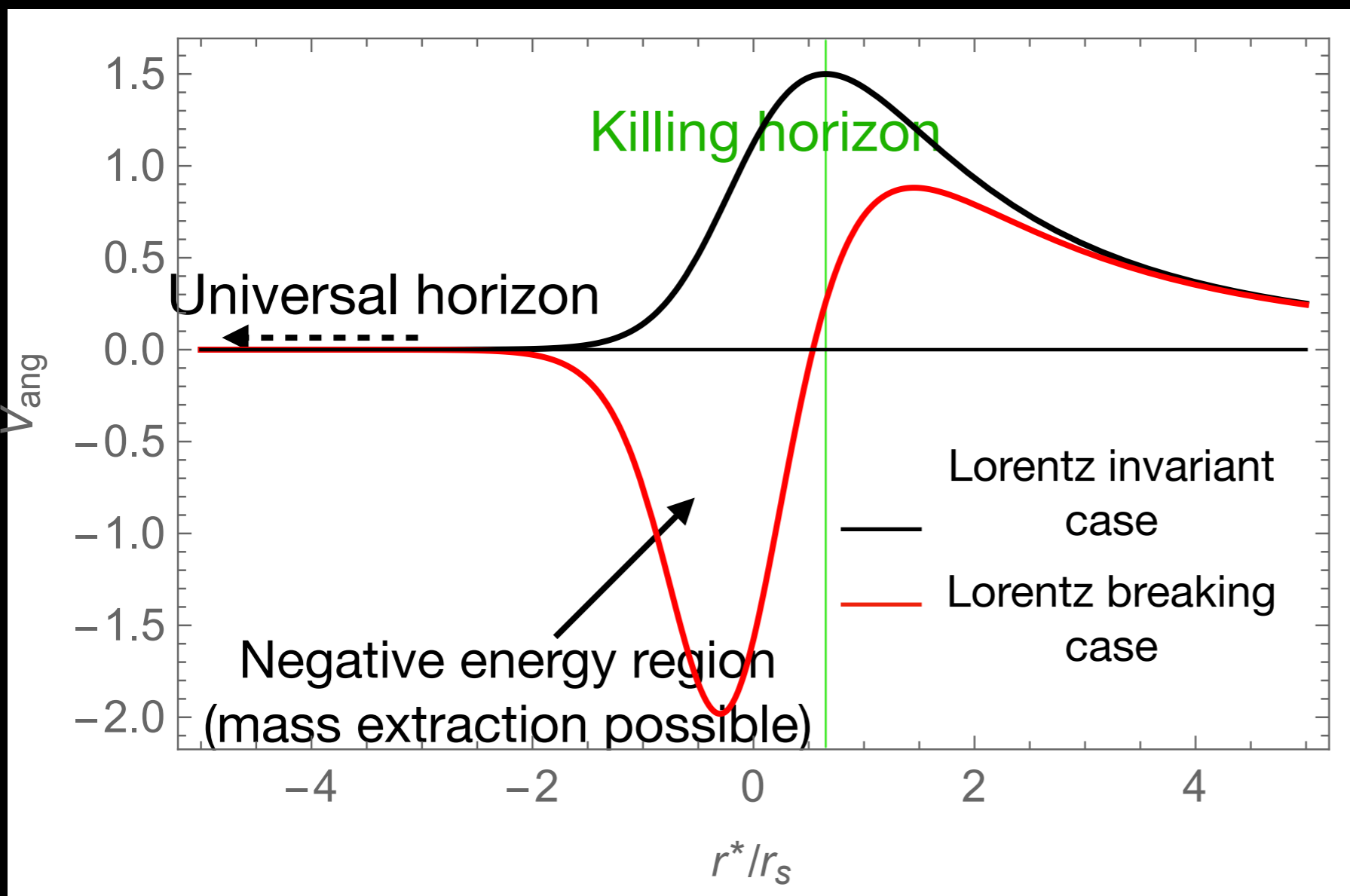


**Figure 1.** A schematic picture showing the superradiant scattering around (a) a Kerr black hole and (b) Schwarzschild black hole with a Lifshitz field. The negativity of energy is allowed in the region where spacetime is superluminally dragged such as the ergosphere of a Kerr black hole or the interior of Killing horizon of a Schwarzschild black hole. Therefore, modes leaving from such a region can carry out additional positive energy to infinity while leaving negative energy there. This is nothing but the superradiance effect.



# Why superradiance? -What causes the SR?-

$$\bar{\Xi}_4 = 0.1 \quad \bar{\Xi}_6 = 0.01 \quad c_\chi = 0$$



Kerr spacetime: positive (rotational) energy extraction at ergosphere

Schwarzschild with the Lifshitz scaling: Positive (mass) energy extraction near the horizon