Quantitative analysis of many-body localization in Sachdev-Ye-Kitaev type models

YITP workshop "Recent progress in theoretical physics based on quantum information theory"

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Plan

• Sachdev-Ye-Kitaev model  $\hat{H} = \sum_{1 \le a \le b \le c \le d \le N} J_{abcd} \hat{\chi}_a \hat{\chi}_b \hat{\chi}_c \hat{\chi}_d$ 



- Maximally chaotic quantum mechanical model
- SYK4+2
  - Departure from chaotic behavior
- Quantitative analysis of Fock-space localization 2006
  - Many-body transition point
  - Inverse participation ratio
  - Entanglement entropy



 $\mathcal{H} = \chi_0 \chi_5 \chi_{19} \chi_{27} + \chi_0 \chi_6 \chi_{21} \chi_{23} - \chi_0 \chi_9 \chi_{14} \chi_{24} - \chi_0 \chi_{14} \chi_{18} \chi_{30} - \chi_0 \chi_{14} \chi_{20} \chi_{25} - \chi_1 \chi_2 \chi_{16} \chi_{22}$  $+\chi_1\chi_{18}\chi_{22}\chi_{23} + \chi_2\chi_4\chi_5\chi_{15} + \chi_2\chi_{13}\chi_{16}\chi_{21} + \chi_2\chi_{14}\chi_{19}\chi_{24} + \chi_2\chi_{20}\chi_{27}\chi_{33} + \chi_2\chi_{22}\chi_{31}\chi_{32}$  $+\chi_{3}\chi_{4}\chi_{5}\chi_{29} - \chi_{3}\chi_{8}\chi_{14}\chi_{28} - \chi_{3}\chi_{8}\chi_{29}\chi_{31} + \chi_{3}\chi_{21}\chi_{26}\chi_{29} - \chi_{3}\chi_{22}\chi_{25}\chi_{33} + \chi_{4}\chi_{7}\chi_{13}\chi_{30}$  $-\chi_{4}\chi_{9}\chi_{14}\chi_{17} - \chi_{5}\chi_{6}\chi_{17}\chi_{29} + \chi_{5}\chi_{12}\chi_{29}\chi_{31} - \chi_{5}\chi_{13}\chi_{19}\chi_{24} - \chi_{5}\chi_{14}\chi_{22}\chi_{31} - \chi_{5}\chi_{17}\chi_{31}\chi_{33}$  $+\chi_5\chi_{20}\chi_{30}\chi_{31} - \chi_6\chi_{23}\chi_{27}\chi_{29} + \chi_7\chi_{12}\chi_{13}\chi_{18} + \chi_8\chi_{10}\chi_{24}\chi_{28} - \chi_9\chi_{12}\chi_{20}\chi_{33} + \chi_{10}\chi_{11}\chi_{28}\chi_{32}$  $+\chi_{10}\chi_{21}\chi_{27}\chi_{29} - \chi_{12}\chi_{20}\chi_{22}\chi_{24} + \chi_{14}\chi_{17}\chi_{26}\chi_{27} - \chi_{15}\chi_{24}\chi_{26}\chi_{27} - \chi_{16}\chi_{18}\chi_{23}\chi_{27} - \chi_{18}\chi_{24}\chi_{30}\chi_{32}$ 

# Publications and collaborators

- Sachdev-Ye-Kitaev model
  - Proposal for experiment: PTEP 2017, 083I01 and arXiv:1709.07189
    - with Ippei Danshita and Masanori Hanada
  - Black Holes and Random Matrices: JHEP 1705(2017)118
    - with J. S. Cotler, G. Gur-Ari, M. Hanada, J. Polchinski, P. Saad, S. H. Shenker, D. Stanford, and A. Streicher
- SYK4+2
  - Chaotic-integrable transition: PRL 120, 241603 (2018)
    - with Antonio M. García-García, Bruno Loureiro, and Aurelio Romero-Bermúdez
  - Characterization of quantum chaos: JHEP 1904(2019)082 and Phys. Rev. E 102, 022213 (2020)
    - with Hrant Gharibyan, M. Hanada, and Brian Swingle
  - Related setups:
    - [short-range interactions] Phys. Rev. B 99, 054202 (2019) with A. M. García-García
    - Phys. Lett. B **795**, 230 (2019) and J. Phys. A **54**, 095401 (2021) with Pak Hang Chris Lau, Chen-Te Ma, and Jeff Murugan
- Quantitative analysis of Fock-space localization in SYK4+2
  - Many-body transition point and inverse participation ratio
    - Phys. Rev. Research 3, 013023 (2021) with Felipe Monteiro, Tobias Micklitz, and Alexander Altland
  - Entanglement entropy
    - arXiv:2012.07884 with F. Monteiro, A. Altland, David A. Huse, and T. Micklitz

## Sachdev-Ye-Kitaev (SYK) model

$$\widehat{H} = \frac{\sqrt{3!}}{N^{3/2}} \sum_{1 \le a < b < c < d \le N} J_{abcd} \widehat{\chi}_a \widehat{\chi}_b \widehat{\chi}_c \widehat{\chi}_d$$

[A. Kitaev: talks at KITP (Feb 12, Apr 7 and May 27, 2015)]

$$\hat{\chi}_{a=1,2,...,N}$$
: *N* Majorana fermions ({ $\hat{\chi}_{a}, \hat{\chi}_{b}$ } =  $\delta_{ab}$ )

 $J_{abcd}$ : independent Gaussian random couplings  $(\overline{J_{abcd}}^2 = J^2 (= 1), \ \overline{J_{abcd}} = 0)$ 



## One term of the 10-Majorana fermion $SYK_{q=4}$

ΧαΧςΧεΧg



### Sachdev-Ye-Kitaev model

N Majorana- or Dirac- fermions randomly coupled to each other

[Majorana version]  $\widehat{H} = \frac{\sqrt{3!}}{N^{3/2}} \sum_{1 \le a < b < c < d \le N} J_{abcd} \widehat{\chi}_a \widehat{\chi}_b \widehat{\chi}_c \widehat{\chi}_d$ [A. Kitaev: talks at KITP (2015)] [Dirac version]  $\hat{n} = \frac{1}{2} \sum_{i=1}^{n} \hat{n}$ 

$$\widehat{H} = \frac{1}{(2N)^{3/2}} \sum_{ij;kl} J_{ij;kl} \widehat{c}_i^{\dagger} \widehat{c}_j^{\dagger} \widehat{c}_k \widehat{c}_l$$

[A. Kitaev's talk] [S. Sachdev: PRX **5**, 041025 (2015)]

cf. SY model [S. Sachdev and J. Ye, 1993]

Studied for long time in the nuclear theory context

- [French and Wong, Phys. Lett. B **33**, 449 (1970)]
- [Bohigas and Flores, Phys. Lett. B 34, 261 (1971)]

"Two-body Random Ensemble"

*N*: number of fermions

(after sample average  $\langle \cdots \rangle_{\{I\}}$ )

## SYK: Solvable in the $N \gg 1$ limit

Non-perturbative Hamiltonian = 0,

$$\widehat{H} = \frac{\sqrt{3!}}{N^{3/2}} \sum_{1 \le a < b < c < d \le N} J_{abcd} \widehat{\chi}_a \widehat{\chi}_b \widehat{\chi}_c \widehat{\chi}_d$$

as perturbation

$$\langle J_{abcd}^2 \rangle_{\{J\}} = J^2$$
, Gaussian distribution

 $\langle J_{abcd}J_{abce}\rangle_{\{J\}} = 0$  if  $d \neq e \rightarrow$  Most diagrams average to zero

Free two-point function

 $G_{0,ij}(t) = -\langle \mathrm{T}\psi_i(t)\psi_j(0) \rangle$ 

 $=-\frac{1}{2}\operatorname{sgn}(t)\delta_{ij}$ 

#### Only "melon-type" diagrams survive sample averaging



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## Feynman diagrams

[J. Polchinski and V. Rosenhaus, JHEP 1604 (2016) 001] [J. Maldacena and D. Stanford, PRD **94**, 106002 (2016)]



Large-N: "Melon diagrams" dominate

#### Dominant diagrams in the $N \gg 1$ limit



[Sachdev and Ye 1993], [Parcollet and Georges 1999], ...

$$G(1 - \Sigma G_0) = G_0$$
$$G^{-1} = G_0^{-1} - \Sigma$$
$$G(i\omega)^{-1} = i\omega - \Sigma(i\omega)$$



↑ Figure from [I. Danshita, M. Tezuka, and M. Hanada: Butsuri 73(8), 569 (2018)]

Reparametrization degrees of freedom  $G(i\omega)^{-1} = i\omega - \Sigma(i\omega)$  Low energy  $(\omega, T \ll J)$ : ignore  $i\omega$   $\int d\tau_2 G(\tau_1, \tau_2) \tilde{\Sigma}(\tau_2, \tau_3) = -\delta(\tau_1 - \tau_3),$  $\tilde{\Sigma}(\tau_1, \tau_2) = -J^2 [G(\tau_1, \tau_2)]^2 G(\tau_2, \tau_1)$ 



Invariant under imaginary time reparametrization

$$\begin{aligned} \boldsymbol{\tau} &= f(\boldsymbol{\sigma}), \\ G(\tau_1, \tau_2) &= [f'(\sigma_1)f'(\sigma_2)]^{-1/4} \frac{g(\sigma_1)}{g(\sigma_2)} G(\sigma_1, \sigma_2), \\ \tilde{\boldsymbol{\Sigma}}(\tau_1, \tau_2) &= [f'(\sigma_1)f'(\sigma_2)]^{-3/4} \frac{g(\sigma_1)}{g(\sigma_2)} \tilde{\boldsymbol{\Sigma}}(\sigma_1, \sigma_2), \end{aligned}$$

with f and g being arbitrary monotonic, differentiable functions.

"System nearly invariant under a full reparametrization (Virasoro) symmetry, NCFT<sub>1</sub>"

#### emergent conformal gauge invariance

[S. Sachdev, Phys. Rev. X 5, 041025 (2015)]

[J. Maldacena and D. Stanford, Phys. Rev. D **94**, 106002 (2016)] Study of the Goldstone modes: *e.g.* [D. Bagrets, A. Altland, and A. Kamenev, Nucl. Phys. B **911**, 191 (2016)]

## Saddle point solution

Obtain large-*N* saddle point solution (in replica formalism; assume replica symmetry)

 $G_s(\tau_1 - \tau_2) \sim (\tau_1 - \tau_2)^{-1/2}$ ,  $\Sigma_s(\tau_1 - \tau_2) \sim (\tau_1 - \tau_2)^{-3/2}$ 

Not invariant under arbitrary reparametrization, but invariant under

 $f(\tau) = \frac{a\tau + b}{c\tau + d} \quad , \quad ad - bc = 1.$ 

Symmetry broken to *SL*(2, *R*). cf. isometry group of AdS<sub>2</sub> [see e.g. A. Strominger, hep-th/9809027]

as expected for a theory dual to 1+1d gravity

Jackiw-Teitelboim (JT) gravity: 1+1d dilaton gravity near the horizon of a near-extremal black hole

S. Sachdev, Phys. Rev. X **5**, 041025 (2015); J. Maldacena and D. Stanford, Phys. Rev. D **94**, 106002 (2016) Antal Jevicki, Kenta Suzuki, and Junggi Yoon, JHEP07(2016)007

## Definition of Lyapunov exponent using out-oftime-order correlators (OTOC)

 $F(t) = \langle \hat{W}^{\dagger}(t)\hat{V}^{\dagger}(0)\hat{W}(t)\hat{V}(0)\rangle W(t) = e^{iHt}We^{-iHt}$ 

Classical chaos:

Infinitesimally different initial coords



<u>Quantum dynamics</u>:  $C_T(t) = \langle [\hat{x}(t), \hat{p}(0)]^2 \rangle$ 

For operators V and W, consider  $C(t) = \langle |[W(t), V(t = 0)]|^2 \rangle = \langle W^{\dagger}(t)V^{\dagger}(0)W(t)V(0) \rangle + \cdots$ [Wiener 1938][Larkin & Ovchinnikov 1969]

OTOC ~  $e^{2\lambda_{\rm L}t}$  at long times,  $\lambda_{\rm L} > 0$ : chaotic

"Black holes are fastest quantum scramblers" [P. Hayden and J. Preskill 2007] [Y. Sekino and L. Susskind 2008] [Shenker and Stanford 2014]

 $\lambda_{\rm L} \leq 2\pi k_{\rm B}T/\hbar$  (chaos bound) [J. Maldacena, S. H. Shenker, and D. Stanford, JHEP08(2016)106]

## Out-of-time-ordered correlators (OTOCs)

 $\left\langle \hat{\chi}_i(t_1)\hat{\chi}_i(t_2)\hat{\chi}_j(t_3)\hat{\chi}_j(t_4)\right\rangle$ 

 $10^{2}$ 



(a)

Regularized OTOC can be calculated for large-N SYK model, satisfies the chaos bound  $\lambda_{\rm L} = 2\pi k_{\rm B}T/\hbar$  at low T limit



[J. Polchinski and V. Rosenhaus, JHEP 1604 (2016) 001] [J. Maldacena and D. Stanford, Phys. Rev. D **94**, 106002 (2016)]



## Maximally chaotic systems



#### Gaussian random matrices

 $a_{ii} = a_{ii}^{*}$  $(a_{ij})^K$ Gaussian distribution 1.2 GOE GUE GSE 1 Poisson 0.8 P(S)0.6 0.4 0.2 0 1.5 0.5 2 2.5 0 S . .

$$r = \frac{\min(e_{i+1} - e_i, e_{i+2} - e_{i+1})}{\max(e_{i+1} - e_i, e_{i+2} - e_{i+1})}$$

Density  $\propto e^{-\frac{\beta K}{4} \operatorname{Tr} H^2} = \exp\left(-\frac{\beta K}{4} \sum_{i,j}^{K} |a_{ij}|^2\right)$ 

Real ( $\beta$ =1): Gaussian Orthogonal Ensemble (GOE) Complex ( $\beta$ =2): G. Unitary E. (GUE) Quaternion ( $\beta$ =4): G. Symplectic E. (GSE)

Joint distribution function for eigenvalues  $\{e_j\}$  $p(e_1, e_2, ..., e_K) \propto \prod_{1 \le i < j \le K} |e_i - e_j|^{\beta} \prod_{i=1}^{K} e^{-\beta K e_i^2/4}$ 

P(s): Distribution of normalized level separation  $s_j = \frac{e_{j+1} - e_j}{\Delta(\bar{e})}$ 

GOE/GUE/GSE:  $P(s) \propto s^{\beta}$  at small *s*, has  $e^{-s^2}$  tail Uncorrelated:  $P(s) = e^{-s}$  (Poisson distribution)

 $\langle r 
angle$  : Average of neighboring gap ratio

	Uncorrelated	GOE	GUE	GSE
$\langle r \rangle$	2log 2 – 1 = 0.38629	0.5307(1)	0.5996(1)	0.6744(1)

[Y. Y. Atas *et al.* PRL 2013]

[Fidkowski and Kitaev 2010]

[You, Ludwig, and Xu 2017]

Majorana SYK4 with

 $N \equiv 2, 6 \pmod{8}$ 

 $N \equiv 0 \pmod{8}$ 

 $N \equiv 4 \pmod{8}$ 

Corresponds to

→ SYK model: level correlation indistinguishable from corresponding Gaussian ensemble

### Proposals for experimental realization



#### s: molecular levels

$$\hat{H}_{\mathrm{m}} = \sum_{s=1}^{n_s} \left\{ \nu_s \hat{m}_s^{\dagger} \hat{m}_s + \sum_{i,j} g_{s,ij} \left( \hat{m}_s^{\dagger} \hat{c}_i \hat{c}_j - \hat{m}_s \hat{c}_i^{\dagger} \hat{c}_j^{\dagger} \right) \right\}$$

$$\begin{split} \swarrow |\nu_s| \gg |g_{s,ij}| \\ \hat{H}_{\text{eff}} = \sum_{s,i,j,k,l} \frac{g_{s,ij}g_{s,kl}}{\nu_s} \hat{c}_i^{\dagger} \hat{c}_j^{\dagger} \hat{c}_k \hat{c}_l. \end{split}$$

Quantum circuits [L. García-Álvarez et al., PRL 2017] Majorana wire array [Chew, Essin, and Alicea, PRB 2017 (R)]

*N* quanta of magnetic flux through a nanoscale hole [D. I. Pikulin and M. Franz, PRX 7, 031006 (2017)]





Graphene flake with an irregular boundary in magnetic field



Review: M. Franz and M. Rozali, "Mimicking black hole event horizons in atomic and solid-state systems", Nature Reviews Materials 3, 491 (2018)

### NMR experiment for the SYK model

"Quantum simulation of the non-fermi-liquid state of Sachdev-Ye-Kitaev model" Zhihuang Luo, Yi-Zhuang You, Jun Li, Chao-Ming Jian, Dawei Lu, Cenke Xu, Bei Zeng and Raymond Laflamme, npj Quantum Information **5**, 53 (2019)

 $e^{-iHt}$  )

M



 $\mathbf{Gz}$ 

 $\mathbf{Gz}$ 

 $\mathbf{Gz}$ 

 $=e^{-i heta_j\sigma_j^x/2}$   $igstarrow =e^{-i\pi\sigma_j^z\sigma_k^z/4}$ 

$$H=rac{J_{ijkl}}{4!}\chi_i\chi_j\chi_k\chi_l+rac{\mu}{4}C_{ij}C_{kl}\chi_i\chi_j\chi_k\chi_l$$

$$\chi_{2i-1}=rac{1}{\sqrt{2}}\sigma_x^1\sigma_x^2\cdots\sigma_x^{i-1}\sigma_z^i, \chi_{2i}=rac{1}{\sqrt{2}}\sigma_x^1\sigma_x^2\cdots\sigma_x^{i-1}\sigma_y^i.$$

$$H=\sum_{s=1}^{70}H_s=\sum_{s=1}^{70}a^s_{ijkl}\sigma^1_{lpha_i}\sigma^2_{lpha_j}\sigma^3_{lpha_k}\sigma^4_{lpha_l}$$





A. M. García-García, A. Romero-Bermúdez, B. Loureiro, and MT, Phys. Rev. Lett. **120**, 241603 (2018) also see: reply (arXiv:2007.06121) in press to comment (J. Kim and X. Cao, arXiv:2004.05313).

Q.: Minimum requirements for chaotic behavior? ( $\rightarrow$  gravity interpretation?) Study a simple model with analytical + numerical methods

$$\widehat{H} = \sum_{1 \le a < b < c < d}^{N} \frac{\text{SYK}_{4}}{J_{abcd}\hat{\chi}_{a}\hat{\chi}_{b}\hat{\chi}_{c}\hat{\chi}_{d}} + i \sum_{1 \le a < b}^{N} \frac{\text{SYK}_{2}}{K_{ab}\hat{\chi}_{a}\hat{\chi}_{b}}$$
Gaussian random couplings  

$$\int_{abcd}^{J_{abcd}: \text{ average 0, standard deviation } \frac{\sqrt{6}J}{N^{3/2}}}{K_{ab}: \text{ average 0, standard deviation } \frac{K}{\sqrt{N}}}$$

$$J = 1: \text{ unit of energy}$$
SYK<sub>4</sub> as unperturbed Hamiltonian,

K controls the strength of  $SYK_2$  (one-body random term, solvable)

Here we take (GUE)  $N \equiv 2 \pmod{4}$ 

Both terms respect charge parity in complex fermion description  $\rightarrow$  Full numerical exact diagonalization (ED) of 2<sup>N/2-1</sup>-dimensional matrix,  $N \leq 34$  possible

SYK<sub>4+2</sub>

### RMT-like behavior lost as SYK2 term is introduced



P(s): level spacing distribution Ratio of consecutive level spacing  $E_{i+1} - E_i$ to the local mean level spacing  $\Delta$ (requires unfolding of the spectrum)

SYK<sub>4</sub> limit (small K): Obeys random matrix theory (RMT) (GUE (Gaussian Unitary Ensemble) if  $N \equiv 2 \pmod{4}$ 

SYK<sub>2</sub> (large K): Poisson ( $e^{-S}$ )

N=30, Central 10 % of eigenvalues

Also see: T. Nosaka, D. Rosa, and J. Yoon, JHEP **1809**, 041 (2018) for other symmetry cases cf. A. V. Lunkin, K. S. Tikhonov, and M. V. Feigel'man, PRL 121, 236601 (2018); Y. Yu-Xiang, F. Sun, J. Ye, and W. M. Liu, 1809.07577, ...



Deviation from Gaussian random matrix as SYK<sub>2</sub> component is introduced

## Many-body localization

ETH: "(almost) all eigenstates are thermal (expectation values of operators = microcanonical average)"

- Anderson localization: concept in non-interacting systems
  - Localization of wavefunctions due to scatterings at impurities
  - Many experiments in cold atom gases, optical fibers, etc.
- MBL: does localization occur in interacting systems?

[Gornyi, Mirlin, Polyakov 2005, Basko, Aleiner, Altshuler 2006, Oganesyan and Huse 2007, ... many others]

- Memory of initial conditions remains accessible at long times
- Reduced density matrix on a subsystem does not approach a thermal one
- Energy eigenstates do not obey Eigenstate Thermalization Hypothesis (ETH)
- Area law, rather than volume law, of entanglement entropy
- "Standard model": spin-1/2 Heisenberg model + random field in z direction
  - Much debate on the location of the localization transition

 $\widehat{H} = \sum_{i}^{N} \widehat{S_{i}} \cdot \widehat{S_{i+1}} + \sum_{i}^{N} h_{i} \widehat{S_{i}^{z}}$ 

 $h_i \in [-h, h]$  uniform distribution

F. Monteiro, T. Micklitz, MT, and A. Altland, Phys. Rev. Research 3, 013023 (2021)

Our model and choice of basis

## $SYK_4 + \delta SYK_2$

$$\widehat{H} = -\sum_{1 \le a < b < c < d}^{N=2N_{\mathrm{D}}} J'_{abcd} \widehat{\psi}_{a} \widehat{\psi}_{b} \widehat{\psi}_{c} \widehat{\psi}_{d} + i \sum_{1 \le a < b}^{N} K_{ab} \widehat{\psi}_{a} \widehat{\psi}_{b}$$

Block-diagonalize the SYK<sub>2</sub> part (the skew-symmetric matrix ( $K_{ab}$ ) has eigenvalues  $\pm v_i$ )

$$\widehat{H} = -\sum_{\substack{1 \le a < b < c < d}}^{2N_{D}} J_{abcd} \widehat{\chi}_{a} \widehat{\chi}_{b} \widehat{\chi}_{c} \widehat{\chi}_{d} + i \sum_{\substack{1 \le j \le N}}^{2N_{D}} v_{j} \widehat{\chi}_{2j-1} \widehat{\chi}_{2j}$$
Normalization of  $J_{abcd}$ ,  $v_{j}$ :  
SYK<sub>4</sub> bandwidth = 1,  
Width of  $v_{j}$  distribution =  $\delta$ 
We choose  $\{\widehat{\psi}_{a}, \widehat{\psi}_{b}\} = \{\widehat{\chi}_{a}, \widehat{\chi}_{b}\} = 2\delta_{ab}$  as the normalization for the  $N = 2N_{D}$  Majorana fermions.  
For  $\widehat{c}_{j} = \frac{1}{2}(\widehat{\chi}_{2j-1} + i\widehat{\chi}_{2j})$  we have  $\{\widehat{c}_{i}, \widehat{c}_{j}^{\dagger}\} = \delta_{ij}$ .

F. Monteiro, T. Micklitz, MT, and A. Altland, Phys. Rev. Research 3, 013023 (2021)

## Our model and choice of basis

 $N = 2N_{\rm D} = 14:2^7 = 128$  states



Basis diagonalizing the complex fermion number operators  $\hat{n}_i = \hat{c}_i^{\dagger} \hat{c}_i \rightarrow$  Sites: the  $2^{N_D}$  vertices of an  $N_D$ -dim. hypercube.  $\hat{c}_j = \frac{1}{2} \left( \hat{\chi}_{2j-1} + \mathrm{i} \hat{\chi}_{2j} \right)$  $\begin{aligned} \widehat{H} &= -\sum_{\substack{1 \le a < b < c < d}}^{2N_{\rm D}} J_{abcd} \hat{\chi}_{a} \hat{\chi}_{b} \hat{\chi}_{c} \hat{\chi}_{d} + i \sum_{\substack{1 \le j \le N}}^{N_{\rm D}} v_{j} \hat{\chi}_{2j-1} \hat{\chi}_{2j} \\ &= -\sum_{\substack{2N_{\rm D} \\ 1 \le a < b < c < d}}^{2N_{\rm D}} J_{abcd} \hat{\chi}_{a} \hat{\chi}_{b} \hat{\chi}_{c} \hat{\chi}_{d} + \sum_{\substack{1 \le j \le N}}^{N_{\rm D}} v_{j} (2\hat{n}_{j} - 1) \end{aligned}$ Each term of  $SYK_4$  connects vertices with distance = 0, 2, 4. For N = 14, each vertex is directly connected with 1 (distance=0, itself) + 21 (distance=2) + 35 (distance=4) vertices out of the possible  $2^N = 128$  (64 per parity).

F. Monteiro, T. Micklitz, MT, and A. Altland, Phys. Rev. Research 3, 013023 (2021)

## Our model and choice of basis



Basis diagonalizing the complex fermion number operators  $\hat{n}_j = \hat{c}_j^{\dagger} \hat{c}_j \rightarrow$  Sites: the 2<sup>N<sub>D</sub></sup> vertices of an N<sub>D</sub>-dim. hypercube.

$$SYK_4 + \delta SYK_2$$

$$\hat{H} = -\sum_{1 \le a < b < c < d}^{2N} J_{abcd} \hat{\chi}_a \hat{\chi}_b \hat{\chi}_c \hat{\chi}_d + \sum_{1 \le j \le N}^{N} v_j (2\hat{n}_j - 1)$$

Each term of  $SYK_4$  connects vertices with distance = 0, 2, 4.

For N = 34, each vertex is directly connected with 1 (distance=0, itself) + 136 (distance=2) + 2380 (distance=4) vertices out of the possible  $2^{N/2} = 131072$  (65536 per parity).



Diagnostic quantities: Moments of wave functions and spectral two-point correlation function

 Moments of eigenstate wave functions  $I_q = \nu^{-1} \sum \left\langle |\langle \psi | n \rangle|^{2q} \delta(E_{\psi}) \right\rangle_J$ 

with average density of states at band center

$$\nu = \nu(E \simeq 0), \nu(E) = \sum_{\psi} \langle \delta(E - E_{\psi}) \rangle$$

→ Parametrizes localization, allows comparison with numerics

$$I_{2} = \nu^{-1} \sum_{n,\psi} \langle |\langle \psi | n \rangle|^{4} \delta(E_{\psi}) \rangle_{J}$$

*D*: dimension of  $\{|n\rangle\} = 2^{N-1}$ 

inverse participation ratio (IPR),  $\frac{1}{D} \leq I_2 \leq 1$ 

Single non-Equal weights zero element

• Spectral two-point correlation function  $K(\omega) = \nu^{-2} \left\langle \nu \left(\frac{\omega}{2}\right) \nu \left(-\frac{\omega}{2}\right) \right\rangle_{c}$ c: connected part  $\langle AB \rangle_c = \langle AB \rangle_J - \langle A \rangle_J \langle B \rangle_J$   $\rightarrow$  Reflects level repulsion if the spectrum is random matrix-like

> We calculate these quantities for large N and compare against numerical results



PRR 3, 013023 (2021)



$$I_q = \frac{q(2q-3) \, \text{!!}}{\delta^{2(1-q)}} \left(\frac{\pi D}{4\sqrt{N_{\rm D}}}\right)^{1-q} = q(2q-3) \, \text{!!} \, \left(\frac{4\sqrt{N_{\rm D}}\delta^2}{2^{N-1}\pi}\right)^{q-1} \text{in III}$$

Central 1/7 of the energy spectrum

### Higher moments of eigenvectors $I_q = v^{-1} \sum_{n,\psi} \langle |\langle \psi|n \rangle|^{2q} \delta(E_{\psi}) \rangle_j$ $N_D = 15$



#### PRR 3, 013023 (2021) Spectral statistics: gap ratio distribution



### PRR 3, 013023 (2021) Departure from random matrix P(r) occurs after $I_2$ has grown significantly



# Summary so far...

Fock space localization in many-body quantum systems

Analytical estimate of inverse participation ratio, spectral statistics



Felipe Monteiro, Tobias Micklitz, <u>Masaki</u> <u>Tezuka</u>, and Alexander Altland, Phys. Rev. Research 3, 013023 (2021) arXiv:2005.12809

> Sachdev-Ye-Kitaev model as tractable system

Numerical calculation of inverse participation ratio, energy spectrum correlation

Four regimes (I: ergodic, II: localization starts, III: localization rapidly progresses, IV: MBL) found in SYK<sub>4</sub> +  $\delta$  SYK<sub>2</sub> system (in SYK<sub>2</sub>-diagonal basis); I, II, III are chaotic while IV is not

Prediction for momenta of eigenstate wavefunctions  $I_q$  is verified by **parameter free comparison**, and **energy spectrum statistics** is consistent with GUE/Poisson transition well after entering regime III

### Behavior of the entanglement entropy?

## Physics just outside MBL (regions II & III)?

- Thermal phase smoothly connected to extended states (as those in translationally invariant models)?
- Non-ergodic extended (NEE) states discussed for several models (Bethe lattice, random regular graphs, disordered Josephson junction chains, ...)



"golf course" potential energy landscape

"Non-ergodic extended phase of the Quantum Random Energy Model" [L. Faoro, M. V. Feigel'man, L. Ioffe, Ann. Phys. **409**, 167916 (2019)]

# Evaluation of entanglement entropy



Fock space 
$$\mathcal{F} = \mathcal{F}_A \otimes \mathcal{F}_B$$
  
 $n = (l, m)$ 

Zero-energy eigenstate  $|\psi\rangle$ , density matrix  $\rho = |\psi\rangle\langle\psi|$ 

Reduced density matrix  $\rho_A = tr_B \rho$ 

Entanglement entropy  $S_A = -\text{tr}_A(\rho_A \ln \rho_A)$ 

Evaluate disorder averaged moments  $M_r = \langle \operatorname{tr}_A(\rho_A^r) \rangle$ ,  $S_A = -\partial_r M_r|_{r=1}$ .



#### arXiv:2012.07884

## Evaluation of power of reduced density matrix

 $n^1$ 

 $\overline{n}^1$   $n^2$ 

 $\overline{n}^2$ 

$$\rho_A^r = \sum_{\substack{l^1, \dots, l^r \\ m^1, \dots, m^r}} \psi^{(l^1, m^1)} \,\overline{\psi}^{(l^2, m^1)} \psi^{(l^2, m^2)} \,\overline{\psi}^{(l^3, m^2)} \cdots \psi^{(l^r, m^r)} \,\overline{\psi}^{(l^1, m^r)}$$

For this sum to survive disorder averaging,  $\mathcal{N} = (n^1, n^2, ..., n^r)$  and  $\overline{\mathcal{N}} = (\overline{n}^1, \overline{n}^2, ..., \overline{n}^r)$  should be equal as sets,  $\mathcal{N}^i = \overline{\mathcal{N}}^{\sigma(i)}$ 



 $n^{1} = \overline{n}^{1}, n^{2} = \overline{n}^{2}, n^{3} = \overline{n}^{3}, n^{4} = \overline{n}^{4}, n^{5} = \overline{n}^{5}$   $n^{1} = \overline{n}^{1}, n^{2} = \overline{n}^{4}, n^{3} = \overline{n}^{3}, n^{4} = \overline{n}^{2}, n^{5} = \overline{n}^{5}$ 

$$M_{r} = \langle \operatorname{tr}_{A}(\rho_{A}^{r}) \rangle = \sum_{\sigma} \sum_{\mathcal{N}} \prod_{i=1}^{r} \left\langle \left| \psi_{n^{i}} \right|^{2} \right\rangle \delta_{\mathcal{N}_{A},(\sigma \circ \tau) \mathcal{N}_{A}} \, \delta_{\mathcal{N}_{B},\sigma \mathcal{N}_{B}}$$

# PRR 3, 013023 (2021) Analytical results I: Uniform distribution of wave functions, $v_n = v$ ► E Nearest neighbors remain energetically close, $\delta \ll \Delta_4$ , and level broadening $\kappa = \Delta_4$ • II, III: Global DoS $D\nu \approx \frac{D}{\sqrt{2\pi N_{\rm D}}\delta}$ $\Delta_4 = \mathcal{O}(1)$ Only $O\left(\left(\frac{\Delta_4}{\delta}\right)^2\right)$ of nearest neighbors remain in resonance, broadening reduced to $\kappa \sim \Delta_4^2/\delta$ $\delta_{ m c}$ $\delta_{\rm c} = \frac{N_{\rm D}^2}{4\sqrt{3}} \log N_{\rm D} \text{ for large } N$ • IV: All eigenstates localized to $\mathcal{O}(1)$ sites

#### arXiv:2012.07884

 $D_{A(B)} = 2^{N_{A(B)}-1}$ 

## Regime I: maximally random case

 $M_r = \langle \operatorname{tr}_A(\rho_A^r) \rangle, S_A = -\partial_r M_r|_{r=1}$ 

Uniform distribution of wave functions,  $v_n = v$ 

$$M_r \approx D_A^{1-r} + \binom{r}{2} D_A^{2-r} D_B^{-1}$$

Difference from the thermal value  $S_{th} = \ln D_A$ 

 $S_A - S_{\rm th} = -\frac{D_A}{2D_B}$ 

Up to single transpositions

Exponentially small if  $N_A \ll N_B$ ;  $S_A$  very close to the thermal value



## Regimes II and III: reduced effective dimension

- Assume ergodicity and calculate S<sub>A</sub>
- Energy shell: extended cluster of resonant sites (width κ) embedded in the Fock space
- Neighboring sites of n: energy  $v_m = v_n \pm O(\delta)$ , much more likely to be in the same shell because  $\delta \ll \Delta_2 = \sqrt{N_{\rm D}}\delta$

- Additional assumptions
  - Exponentially large number of sites → self averaging (sum over site energies = average over approx. Gaussian distributed contributions of subsystem energies to the total energy)
  - Total energy  $E \sim E_A + E_B$

→ Up to single transpositions (justified in 
$$1 \ll N_A \ll N_D$$
 & replica limit):  

$$S_A - S_{\text{th}} = -\frac{1}{2} \ln \left( \frac{N_D}{N_B} \right) + \frac{N_A}{2N_D} - \sqrt{\frac{N_D}{2N_A}} \frac{D_A}{2D_B} \quad \text{in Regimes II, III} \\ \left( \frac{1}{\sqrt{N_D}} \ll \delta < \delta_c \sim N_D^2 \ln N_D \right)$$

#### arXiv:2012.07884

## Offset from the thermal value



#### Phys. Rev. Research 3, 013023 (2021) arXiv:2005.12809 arXiv:2012.07884 Summary of the main part

- The Sachdev-Ye-Kitaev (SYK) model: quantum mechanical model realizing chaos bound (~ random matrix, black holes)
- Several experimental proposals, small systems realized
- SYK<sub>4+2</sub>: analytically tractable model for many-body localization (MBL)
  - Fock space: (N/2)-dimensional hypercube
- Analytical results on eigenfunction moments and MBL point
   Agreement with numerical results without free paramters
- Evaluation of entanglement entropy S<sub>A</sub> assuming ergodicity in energy shells
   Agreement between the numerical and analytical results

#### Question: simpler model with random matrix behavior?

Sparse (or pruned) SYK  

$$H = \sum_{i < j < k < l} x_{ijkl} J_{ijkl} \chi_i \chi_j \chi_k \chi_l, x_{ijkl} = \begin{cases} 1 & (\text{probability } p) \\ 0 & (\text{probability } 1 - p) \end{cases}, P(J_{ijkl}) = \frac{\exp\left(-\frac{J_{ijkl}^2}{2J^2}\right)}{\sqrt{2\pi J^2}}$$

- $k = \binom{N}{4}p/N$  : Number of non-zero  $x_{ijkl}$  per fermion
  - $k \sim 1$  enough for
  - Random matrix-like behavior
  - Large entropy per fermion at low *T* !

$$p \sim \frac{4!}{N^3} = \mathcal{O}(N^{-3})$$

- Talk by Brian Swingle at Simons Center (18 September 2019)
- "Sparse Sachdev-Ye-Kitaev model, quantum chaos and gravity duals" A. M. García-García, Y. Jia, D. Rosa, J. J. M. Verbaarschot, arXiv:2007.13837
- "A Sparse Model of Quantum Holography" S. Xu, L. Susskind, Y. Su, and B. Swingle, arXiv:2008.02303

The product of two Gaussians = Gaussian

The product of two (Gaussians +  $(1 - p)\delta(x)$ ) = Gaussian +  $(1 - p')\delta(xx')$ 

## Sparse (or pruned) SYK with interaction = $\pm 1$

The product of two  $\pm 1s = \pm 1$ 

The product of two  $(p\delta(x^2 - 1) + (1 - p)\delta(x)) = (p'\delta(x^2 - 1) + (1 - p')\delta(x))$ 

$$H = \sum_{i < j < k < l} x_{ijkl} \chi_i \chi_j \chi_k \chi_l, x_{ijkl} = \begin{cases} 1 & \text{(probability } p/2) \\ -1 & \text{(probability } p/2) \\ 0 & \text{(probability } 1 - p) \end{cases}$$

Random-matrix statistics for  $k = \binom{N}{4}p/N \gtrsim 1!$ 

### N = 20, 1000 samples





#### Preliminary

## N = 22,1000 samples





#### Preliminary

N = 24,1000 samples





#### Preliminary

N = 34, kN = 36, 1 sample



**Preliminary** 

 $\mathcal{H} = \chi_0 \chi_5 \chi_{19} \chi_{27} + \chi_0 \chi_6 \chi_{21} \chi_{23} - \chi_0 \chi_9 \chi_{14} \chi_{24} - \chi_0 \chi_{14} \chi_{18} \chi_{30} - \chi_0 \chi_{14} \chi_{20} \chi_{25} - \chi_1 \chi_2 \chi_{16} \chi_{22} \\ + \chi_1 \chi_{18} \chi_{22} \chi_{23} + \chi_2 \chi_4 \chi_5 \chi_{15} + \chi_2 \chi_{13} \chi_{16} \chi_{21} + \chi_2 \chi_{14} \chi_{19} \chi_{24} + \chi_2 \chi_{20} \chi_{27} \chi_{33} + \chi_2 \chi_{22} \chi_{31} \chi_{32} \\ + \chi_3 \chi_4 \chi_5 \chi_{29} - \chi_3 \chi_8 \chi_{14} \chi_{28} - \chi_3 \chi_8 \chi_{29} \chi_{31} + \chi_3 \chi_{21} \chi_{26} \chi_{29} - \chi_3 \chi_{22} \chi_{25} \chi_{33} + \chi_4 \chi_7 \chi_{13} \chi_{30} \\ - \chi_4 \chi_9 \chi_{14} \chi_{17} - \chi_5 \chi_6 \chi_{17} \chi_{29} + \chi_5 \chi_{12} \chi_{29} \chi_{31} - \chi_5 \chi_{13} \chi_{19} \chi_{24} - \chi_5 \chi_{14} \chi_{22} \chi_{31} - \chi_5 \chi_{17} \chi_{31} \chi_{33} \\ + \chi_5 \chi_{20} \chi_{30} \chi_{31} - \chi_6 \chi_{23} \chi_{27} \chi_{29} + \chi_7 \chi_{12} \chi_{13} \chi_{18} + \chi_8 \chi_{10} \chi_{24} \chi_{28} - \chi_9 \chi_{12} \chi_{20} \chi_{33} + \chi_{10} \chi_{11} \chi_{28} \chi_{32} \\ + \chi_{10} \chi_{21} \chi_{27} \chi_{29} - \chi_{12} \chi_{20} \chi_{22} \chi_{24} + \chi_{14} \chi_{17} \chi_{26} \chi_{27} - \chi_{15} \chi_{24} \chi_{26} \chi_{27} - \chi_{16} \chi_{18} \chi_{23} \chi_{27} - \chi_{18} \chi_{24} \chi_{30} \chi_{32}$ 

2<sup>16</sup> dimensions/parity; Standard SYK: 46376 terms  $\rightarrow$  randomly chose 36, half +1, half -1



 $\mathcal{H} = \chi_0 \chi_5 \chi_{19} \chi_{27} + \chi_0 \chi_6 \chi_{21} \chi_{23} - \chi_0 \chi_9 \chi_{14} \chi_{24} - \chi_0 \chi_{14} \chi_{18} \chi_{30} - \chi_0 \chi_{14} \chi_{20} \chi_{25} - \chi_1 \chi_2 \chi_{16} \chi_{22} + \chi_1 \chi_{18} \chi_{22} \chi_{23} + \chi_2 \chi_4 \chi_5 \chi_{15} + \chi_2 \chi_{13} \chi_{16} \chi_{21} + \chi_2 \chi_{14} \chi_{19} \chi_{24} + \chi_2 \chi_{20} \chi_{27} \chi_{33} + \chi_2 \chi_{22} \chi_{31} \chi_{32}$ 

 $+\chi_{3}\chi_{4}\chi_{5}\chi_{29} - \chi_{3}\chi_{8}\chi_{14}\chi_{28} - \chi_{3}\chi_{8}\chi_{29}\chi_{31} + \chi_{3}\chi_{21}\chi_{26}\chi_{29} - \chi_{3}\chi_{22}\chi_{25}\chi_{33} + \chi_{4}\chi_{7}\chi_{13}\chi_{30}$ 

 $-\chi_{4}\chi_{9}\chi_{14}\chi_{17} - \chi_{5}\chi_{6}\chi_{17}\chi_{29} + \chi_{5}\chi_{12}\chi_{29}\chi_{31} - \chi_{5}\chi_{13}\chi_{19}\chi_{24} - \chi_{5}\chi_{14}\chi_{22}\chi_{31} - \chi_{5}\chi_{17}\chi_{31}\chi_{33}$ 

 $+\chi_5\chi_{20}\chi_{30}\chi_{31} - \chi_6\chi_{23}\chi_{27}\chi_{29} + \chi_7\chi_{12}\chi_{13}\chi_{18} + \chi_8\chi_{10}\chi_{24}\chi_{28} - \chi_9\chi_{12}\chi_{20}\chi_{33} + \chi_{10}\chi_{11}\chi_{28}\chi_{32}$ 

 $+\chi_{10}\chi_{21}\chi_{27}\chi_{29} - \chi_{12}\chi_{20}\chi_{22}\chi_{24} + \chi_{14}\chi_{17}\chi_{26}\chi_{27} - \chi_{15}\chi_{24}\chi_{26}\chi_{27} - \chi_{16}\chi_{18}\chi_{23}\chi_{27} - \chi_{18}\chi_{24}\chi_{30}\chi_{32}$ 

2<sup>16</sup> dimensions/parity; Standard SYK: 46376 terms  $\rightarrow$  randomly chose 36, half +1, half -1

#### Phys. Rev. Research 3, 013023 (2021) arXiv:2005.12809 arXiv:2012.07884

## Summary

- The Sachdev-Ye-Kitaev (SYK) model: quantum mechanical model realizing chaos bound (~ random matrix, black holes)
- Several experimental proposals, small systems realized
- A lot of possibilities for simplification? e.g. Sparse SYK with couplings = ±1
   → Scrambling properties, holography??
- SYK<sub>4+2</sub>: analytically tractable model for many-body localization (MBL)
  - Fock space: *N*-dimensional hypercube
- Analytical results on eigenfunction moments and MBL point
  - → Agreement with numerical results without free paramters
- Evaluation of entanglement entropy S<sub>A</sub> assuming ergodicity in energy shells
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