

# Spatially covariant gravity and unifying framework for scalar-tensor theories

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*Based on [1406.0822] and [1409.xxxx]*

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- extension of (pseudo-)Riemannian geometry,
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→ How to introduce these extra degrees of freedom?

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Higher derivatives in the Lagrangian → Extra mode(s)?

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$$\mathcal{L}_5 = G_5(X, \phi) G^{\mu\nu} \nabla_\mu \nabla_\nu \phi - \frac{1}{6} \frac{\partial G_5}{\partial X} \left[ (\square\phi)^3 - 3\square\phi (\nabla_\mu \nabla_\nu \phi)^2 + 2(\nabla_\mu \nabla_\nu \phi)^3 \right].$$

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*[de Rham & Tolley 1003.5917]*

*[Hinterbichler, Trodden & Wesley 1008.1305]*

$$\mathcal{L} = \sqrt{-g} (\Lambda + c_1 K + c_2 R + c_3 \mathcal{K}_{\text{GB}})$$

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$$\downarrow g_{\mu\nu} = \eta_{\mu\nu} - \frac{\partial_\mu \pi \partial_\nu \pi}{1 + (\partial\pi)^2}$$

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[Tasinato's talk]

Additional degree(s) of freedom may arise when **symmetries are reduced**.

- Massive gravity:  $2t+2v+1s$
- Massive vector:  $2v+1s$  [Tasinato's talk]
- **Scalar-tensor theory:  $2t+1s$ ?**

# EFT (effective field theory) of inflation

Cosmological background breaks the full symmetries of GR, by choosing a preferred time direction or spatial slices, on which

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$$\begin{array}{cc} \delta N, & \delta K_{\mu\nu} \\ \text{lapse function} & \text{extrinsic curvature} \end{array}$$

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} R + \Lambda(t) + f_1(t) \delta N + f_2(t) \delta N^2 + \dots \right. \\ \left. + g_1(t) \delta K_{\mu}^{\mu} + g_2(t) (\delta K_{\mu}^{\mu})^2 + g_3(t) \delta K_{\mu\nu} \delta K^{\mu\nu} + \dots \right]$$

[Cheung, Creminelli, Fitzpatrick, Kaplan, and Senatore, JHEP 0803, 014 (2008)]

# Hořava gravity

GR in the ADM formalism:

$$S^{(\text{GR})} = \frac{1}{2} \int d^4x N \sqrt{h} \left( K_{ij} K^{ij} - K^2 + {}^{(3)}R \right)$$

→ respect full spacetime diffeomorphism  $t \rightarrow \tilde{t}(t, x^i), \quad x^i \rightarrow \tilde{x}^i(t, x^i)$

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$$S^{(\text{Horava})} = \frac{1}{2} \int d^4x N \sqrt{h} \left( K_{ij} K^{ij} - \lambda K^2 + \mathcal{V} \left[ h_{ij}, {}^{(3)}R_{ij}, D_i \right] \right)$$

[P. Horava, Phys.Rev. D79, 084008 (2009)]

- time-dependent spatial diffeomorphism
- space-independent time reparametrization

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Healthy extensions:

$$S^{(\text{Healthy Ext.})} = \frac{1}{2} \int d^4x N \sqrt{h} \left( c_1 a_i a^i + c_2 (a_i a^i)^2 + c_3 R_{ij} a^i a^j + \dots \right)$$

$$a_i = \partial_i \ln N$$

[Blas, Pujolas & Sibiryakov, JHEP 0910, 029 (2009)]

→  $N$  enters the Hamiltonian "nonlinearly"!

# Horndeski in ADM form and beyond

Fixing the unitary (uniform scalar field) gauge:  $\phi(t, \vec{x}) \equiv \phi_0(t) \equiv t$

$$\nabla_\mu \phi = -\frac{1}{N} \delta_\mu^0$$

$$\nabla_\mu \nabla_\nu \phi = -\delta_\mu^0 \delta_\nu^0 \frac{1}{N^2} (\partial_t \ln N - N^i \nabla_i \ln N) + \frac{2}{N} \delta_{(\mu}^0 \delta_{\nu)}^i \partial_i \ln N - \frac{1}{N} \delta_\mu^i \delta_\nu^j K_{ij}$$

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**Horndeski in the ADM form:**

[Gleyzes, Langlois, Piazza & Vernizzi, arXiv:1304.4840]

$$\begin{aligned} \mathcal{L}^{\text{Horndeski}} \simeq & G_2 + \frac{1}{N^2} \frac{\partial F_3}{\partial \phi} \\ & + \left[ G_4 - \frac{1}{2N^2} \frac{\partial (G_5 - F_5)}{\partial \phi} \right] {}^{(3)}R \\ & + \left[ \left( \frac{\partial F_3}{\partial N} - 2 \frac{1}{N} \frac{\partial G_4}{\partial \phi} \right) h_{ij} - \frac{1}{N} F_5 {}^{(3)}G_{ij} \right] K^{ij} \\ & - \left( \frac{\partial (N G_4)}{\partial N} + \frac{1}{2N^2} \frac{\partial G_5}{\partial \phi} \right) (K^2 - K_{ij} K^{ij}) \\ & - \frac{1}{6} \frac{\partial G_5}{\partial N} \left( K^3 - 3K K_{ij} K^{ij} + 2K_j^i K_k^j K_i^k \right) \end{aligned}$$

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functions of  $(t, N)$



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**GLPV model (deformed Horndeski):**

[Gleyzes, Langlois, Piazza & Vernizzi, arXiv:1404.6495]

$$\begin{aligned} \mathcal{L}^{\text{GLPV}} = & A_2(t, N) \\ & + \left[ B_4(t, N) \right] {}^{(3)}R \\ & + \left[ \left( A_3(t, N) \right) h_{ij} + B_5(t, N) {}^{(3)}G_{ij} \right] K^{ij} \\ & + \left( A_4(t, N) \right) (K^2 - K_{ij} K^{ij}) \\ & + A_5(t, N) \left( K^3 - 3K K_{ij} K^{ij} + 2K_j^i K_k^j K_i^k \right) \end{aligned}$$

# Landscape of theories

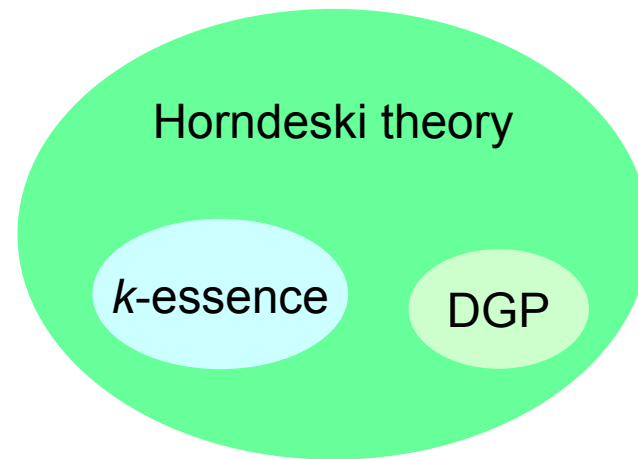
*k*-essence

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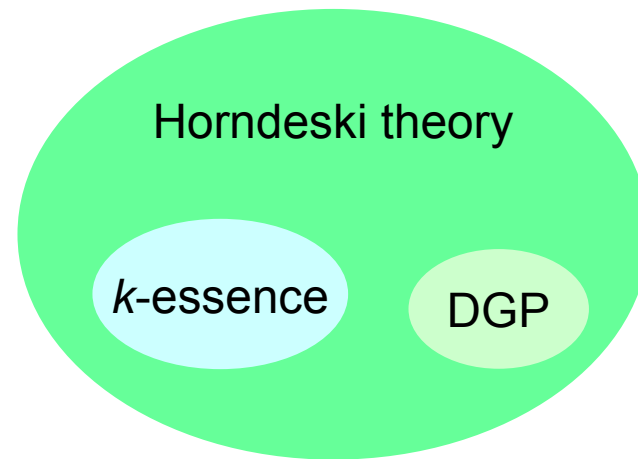
DGP

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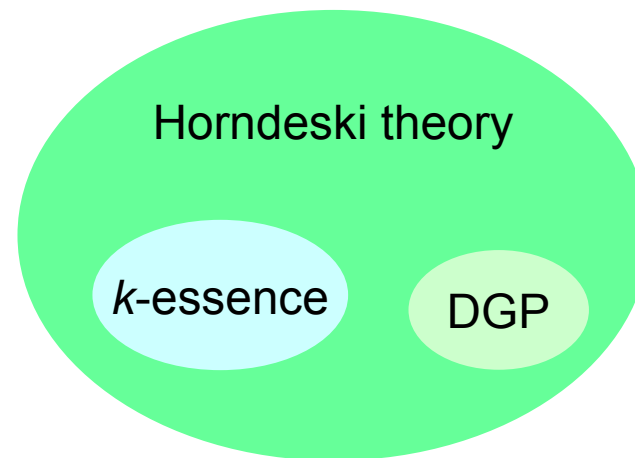
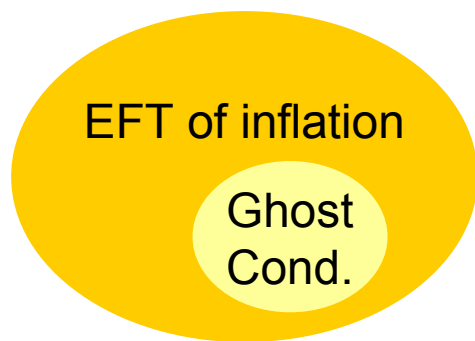


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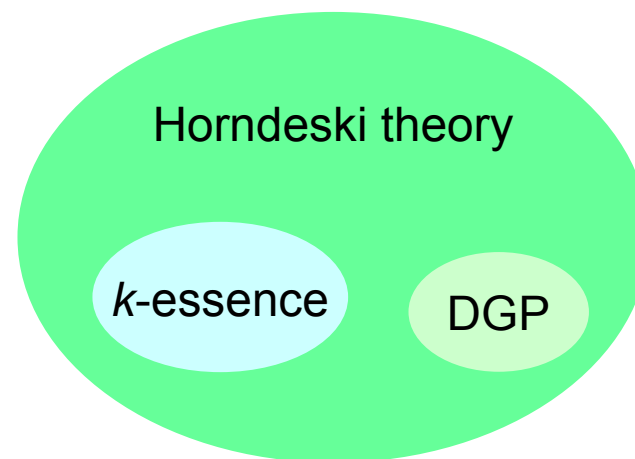
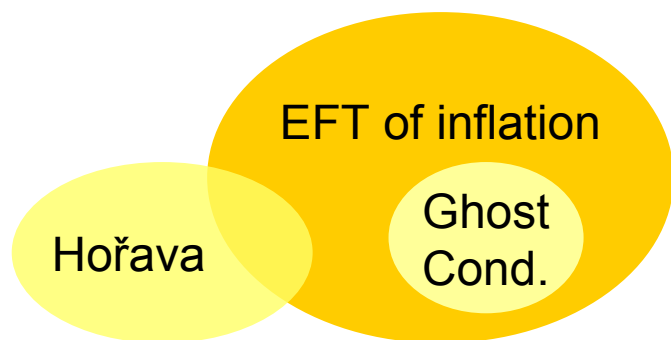
Ghost  
Cond.



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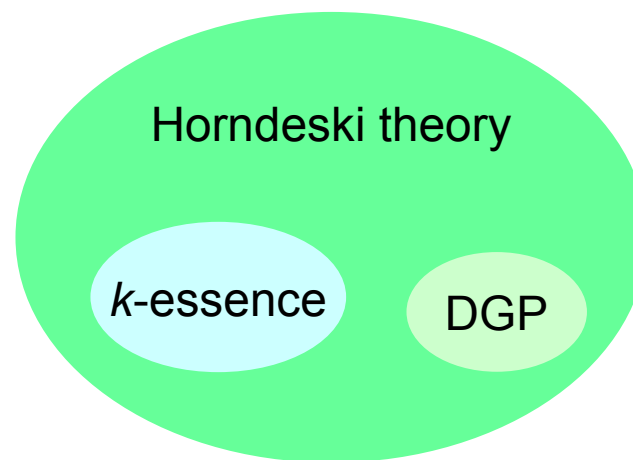
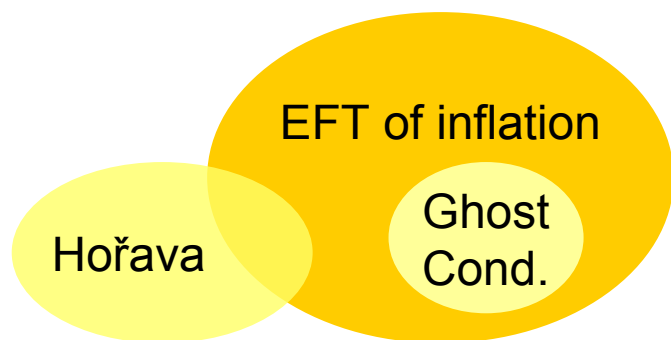


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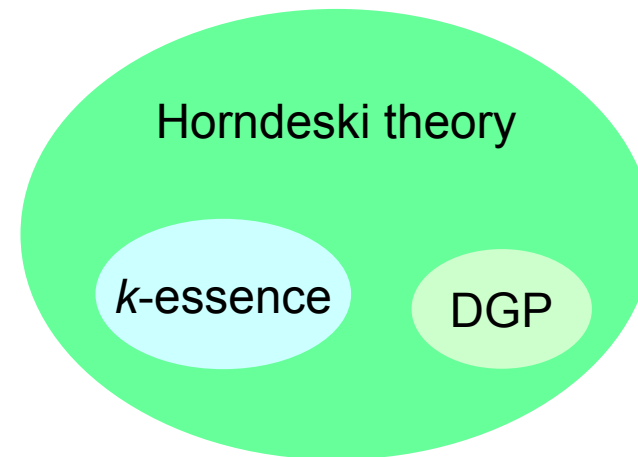
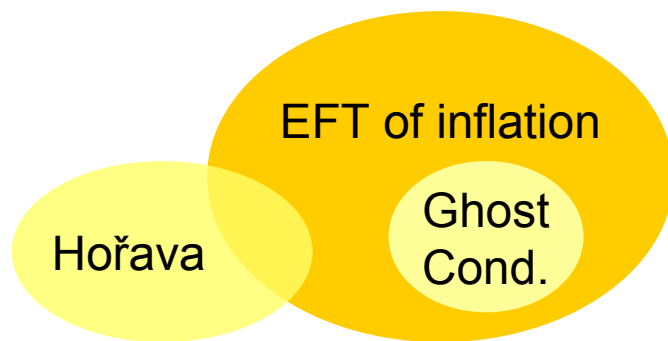
Gauge recovering (Stückelberg trick)





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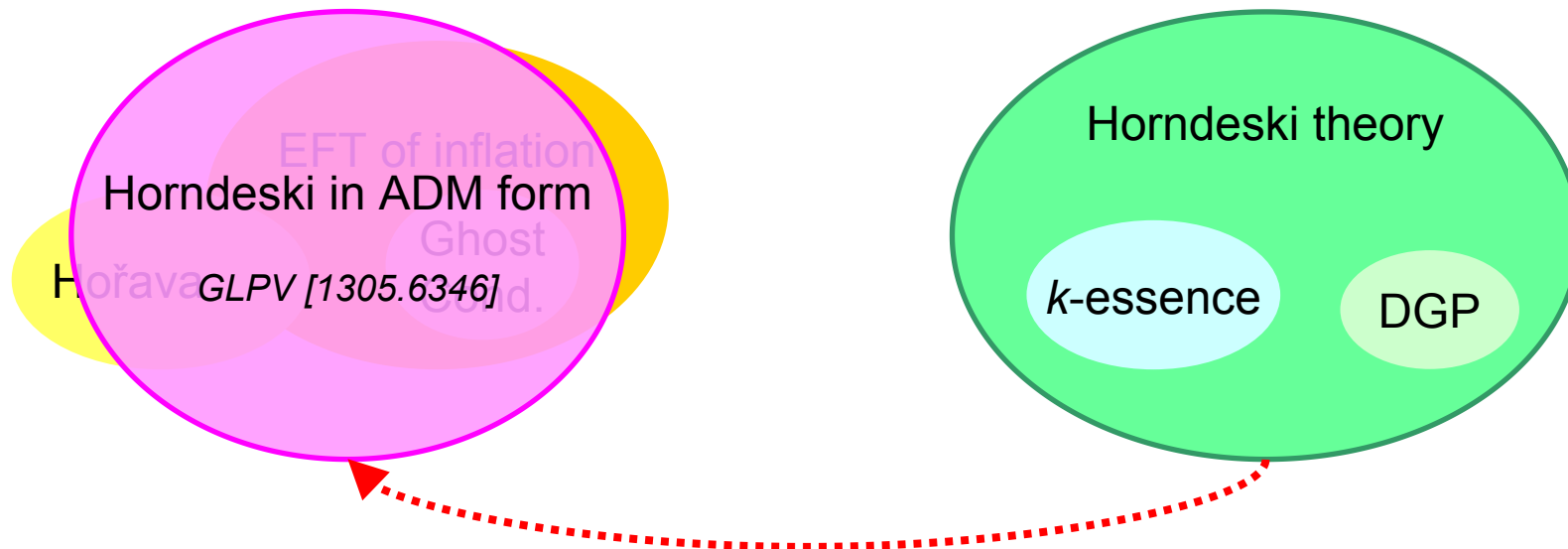
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Gauge fixing (unitary gauge)

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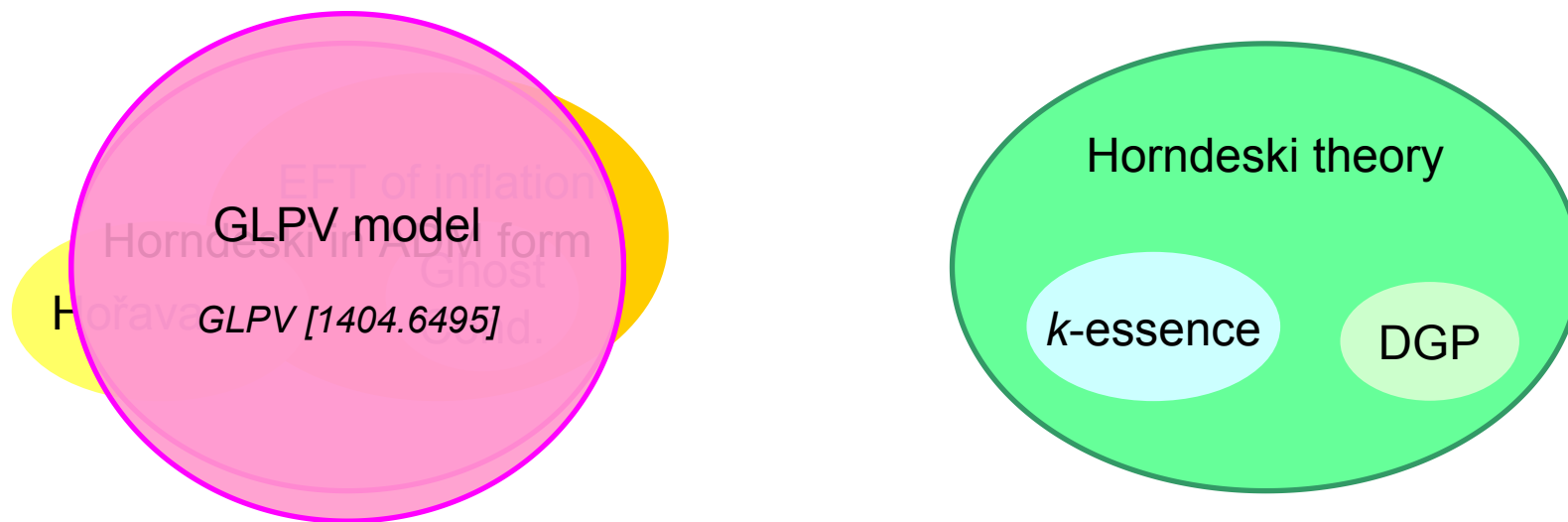
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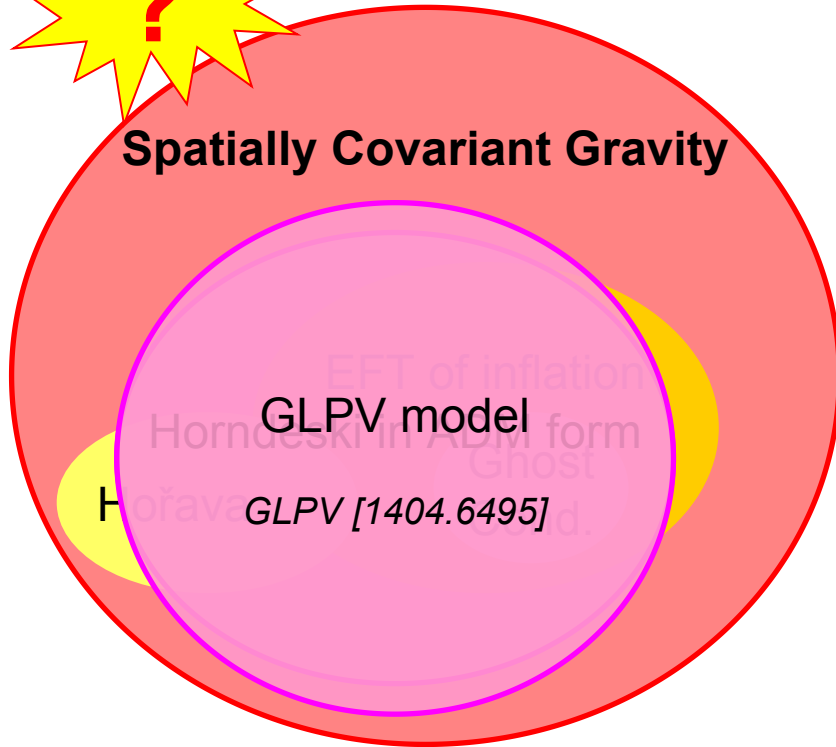
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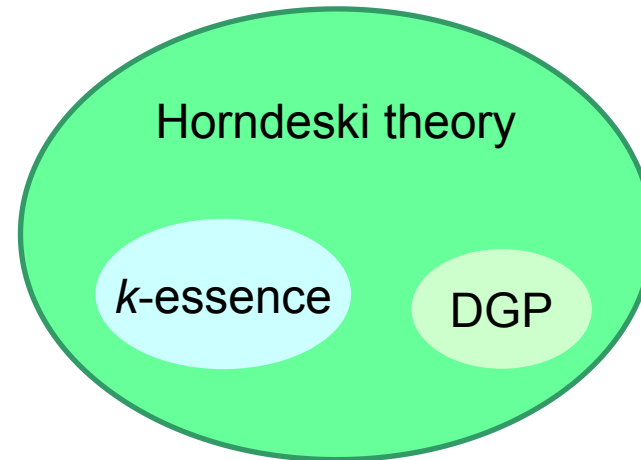
**Spatially Covariant Gravity**



GLPV model

GLPV [1404.6495]

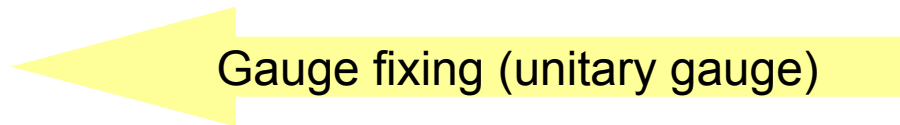
Horndeski theory



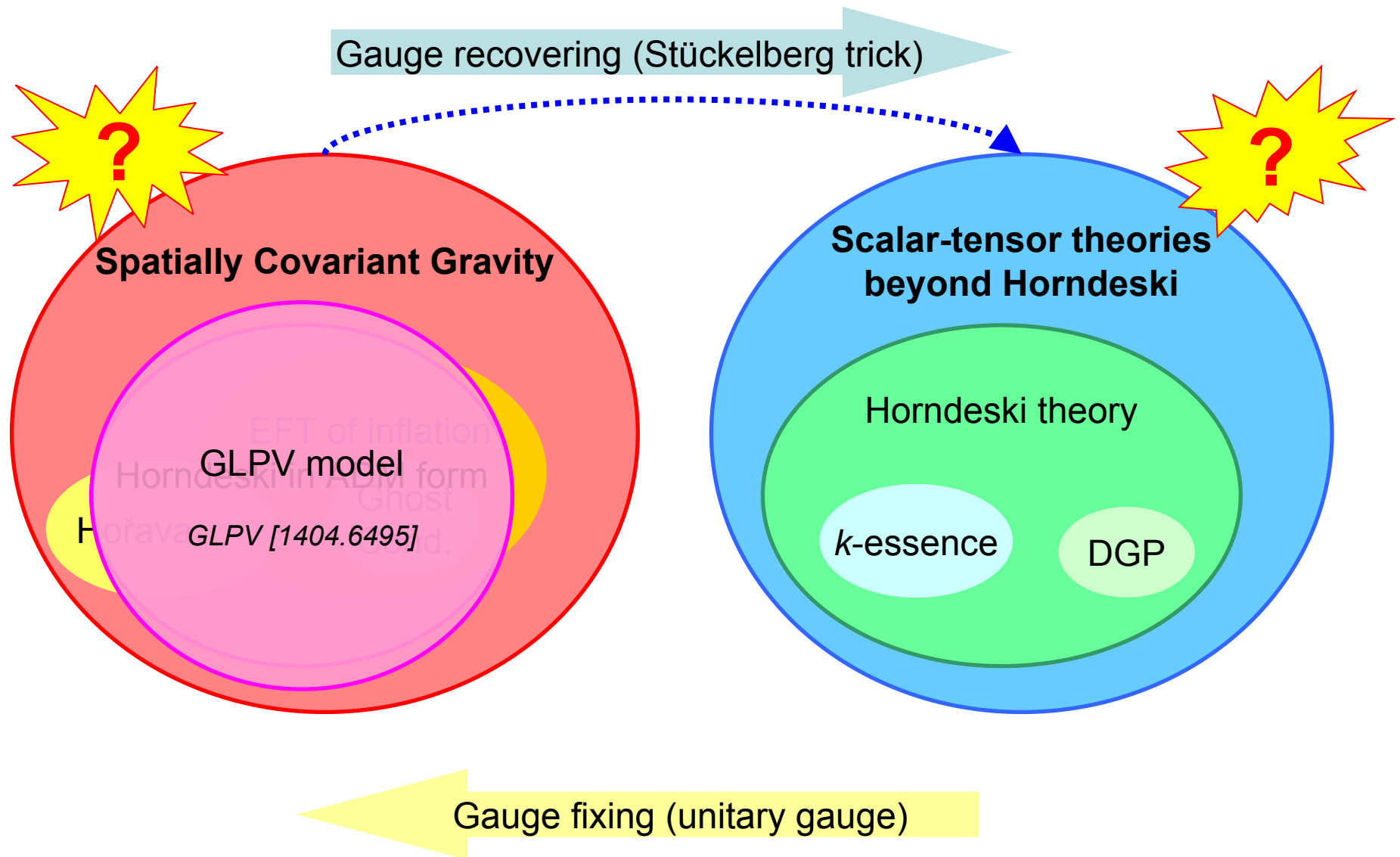
k-essence

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# The general framework

A general class of Lagrangians that respects the spatial diffeomorphism:

$$\sqrt{-g}\mathcal{L} = N\sqrt{h} \left( \sum_{n=1} \mathcal{G}_{(n)}^{i_1 j_1, \dots, i_n j_n} K_{i_1 j_1} \cdots K_{i_n j_n} + \mathcal{V} \right)$$

[XG 1406.0822]

where  $\mathcal{V}$ ,  $\mathcal{G}_{(n)}$ 's are functions of

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"kinetic terms"

"potential terms"

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$$\sqrt{-g}\mathcal{L} = N\sqrt{h} \left( \sum_{n=1} \mathcal{G}_{(n)}^{i_1 j_1, \dots, i_n j_n} K_{i_1 j_1} \cdots K_{i_n j_n} + \mathcal{V} \right) \quad [\text{XG 1406.0822}]$$

"kinetic terms"

"potential terms"

where  $\mathcal{V}$ ,  $\mathcal{G}_{(n)}$ 's are functions of

$$\left( t, N, {}^{(3)}h_{ij}, {}^{(3)}R_{ij}, \nabla_i \right)$$

"Translating" to the covariant language (Stueckelberg trick)

$$t \rightarrow \phi(t, \vec{x}), \quad n_\mu \rightarrow -\frac{\nabla_\mu \phi}{\sqrt{-(\nabla\phi)^2}}$$

All terms can be written covariantly in terms of  $\phi$  and its derivatives.



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All terms can be written covariantly in terms of  $\phi$  and its derivatives.

→ A more general class of scalar-tensor theory **beyond the Horndeski theory**, which propagates **2 tensor + 1 scalar** dofs, although the **equations of motion are generally higher order**.

# Hamiltonian

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Conjugate momenta:  $K_{ij} = \frac{1}{2N} \left( \dot{h}_{ij} - \nabla_i N_j - \nabla_j N_i \right)$  *[Shinji's talk]*

$$\pi^{ij} \equiv \frac{\partial (N\sqrt{h}\mathcal{L})}{\partial \dot{h}_{ij}} = \frac{\sqrt{h}}{2} \left( \mathcal{G}_{(1)}^{ij} + 2\mathcal{G}_{(2)}^{ij,kl} K_{kl} + 3\mathcal{G}_{(3)}^{ij,k_1 l_1, k_2 l_2} K_{k_1 l_1} K_{k_2 l_2} \cdots \right)$$

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$$K_{ij} = \Gamma_{ij}^{(1)} + \frac{1}{\sqrt{h}} \Gamma_{ij,kl}^{(2)} \pi^{kl} + \frac{1}{h} \Gamma_{ij,k_1 l_1, k_2 l_2}^{(3)} \pi^{k_1 l_1} \pi^{k_2 l_2} + \cdots$$

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Canonical Hamiltonian: 
$$\mathcal{H}_c \equiv \pi^{ij} \dot{h}_{ij} - N\sqrt{h}\mathcal{L} \simeq N\tilde{\mathcal{C}} + N_i \mathcal{C}^i$$

$$\begin{aligned} \tilde{\mathcal{C}} &\equiv 2\pi^{ij} K_{ij} - \sqrt{h}\mathcal{L} = \sqrt{h} \left( C^{(0)} + \frac{1}{\sqrt{h}} C_{ij}^{(1)} \pi^{ij} + \frac{1}{h} C_{i_1 j_1, i_2 j_2}^{(2)} \pi^{i_1 j_1} \pi^{i_2 j_2} + \dots \right) \\ &= \tilde{\mathcal{C}}(t, \mathbf{N}, h_{ij}, R_{ij}, \nabla_i, \pi^{ij}), \end{aligned}$$

$$\mathcal{C}^i \equiv -2\sqrt{h}\nabla_j \left( \frac{\pi^{ij}}{\sqrt{h}} \right),$$

# Constraints

4 primary constraints:

$$\pi_N \equiv \frac{\partial (N\sqrt{h}\mathcal{L})}{\partial \dot{N}} \approx 0, \quad \pi_i \equiv \frac{\partial (N\sqrt{h}\mathcal{L})}{\partial \dot{N}^i} \approx 0,$$

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4 secondary constraints:

$$\frac{d}{dt}\pi_N = \{\pi_N, H_{\text{ex}}\}_{\text{P}} = -\mathcal{C}, \quad \frac{d}{dt}\pi_i = \{\pi_i, H_{\text{ex}}\}_{\text{P}} = -\mathcal{C}_i$$

$$\begin{aligned} \mathcal{C} &\equiv \tilde{\mathcal{C}} + \sqrt{h} \sum_{n=0} (-1)^n \nabla_{i_n} \cdots \nabla_{i_1} \left( \frac{N}{\sqrt{h}} \frac{\partial \tilde{\mathcal{C}}}{\partial (\nabla_{i_1} \cdots \nabla_{i_n} \mathbf{N})} \right) \\ &= \mathcal{C}(t, \mathbf{N}, h_{ij}, R_{ij}, \nabla_i, \pi^{ij}). \end{aligned}$$



# Degrees of freedom

Poisson brackets among all 8 constraints:

$\{\cdot, \cdot\}_P$	$\pi_N$	$\pi_j$	$\mathcal{C}$	$\mathcal{C}_j$
$\pi_N$	0	0	$-\frac{\delta\mathcal{C}}{\delta N}$	0
$\pi_i$	0	0	0	0
$\mathcal{C}$	$\frac{\delta\mathcal{C}}{\delta N}$	0	0	$-\mathcal{E}_i$
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*[Shinji's talk]*

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Eigenvalues: 6 zero, 2 non-zero:

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→ Number of degrees of freedom:

$$\begin{aligned} \text{number of d.o.f.} &= \frac{1}{2} (2 \times \text{number of canonical variables} - 2 \times \text{number of first class constraints} \\ &\quad - \text{number of second class constraints}) \\ &= \frac{1}{2} (2 \times 10 - 2 \times 6 - 2) = 3. \end{aligned}$$

# Linear perturbations

GLPV model contains very special combinations:

$$\begin{aligned}\mathcal{L}^{\text{GLPV}} = & A_2(t, N) + B_4(t, N) {}^3R \\ & + (A_3(t, N) h^{ij} + B_5(t, N) {}^3G^{ij}) K_{ij} \\ & + A_4(t, N) (K^2 - K_{ij}K^{ij}) \\ & + A_5(t, N) (K^3 - 3K K_{ij}K^{ij} + 2K_j^i K_k^j K_i^k)\end{aligned}$$

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The equations of motion for **linear** perturbations stay at second order.

Within our general framework, we checked that at the cubic order in  $K_{ij}$ , the following combination also has this property:

$$\sim c(\phi, N) \left( 3K K_{ij}K^{ij} - 5K_j^i k_k^j K_i^k \right) \quad [\text{XG 1406.0822}]$$

**GLPV model is not unique nor that special.**

# Summary

- We propose a very large class of gravity theories, which respect to the spatial diffeomorphism.
- This class of gravity theories corresponds to single-field scalar-tensor theories, which generally possesses higher order equations of motion.
- How to prove the absence of ghost in a general gauge?  
*[Shinji's talk]*
- Are all single-field scalar-tensor theory can be embedded in our formalism (e.g.  $f(R)$ )?
- What is the most general spatially covariant gravity, with 3 d.o.f.s?
- Multi-field generalization?

Thank you for your attention!