# Effective field theory of extreme mass ratio inspirals

Chad Galley

CRG and B. L. Hu, arXiv:0801.0900 [gr-qc] Maryland Center for Fundamental Physics, Department of Physics, University of Maryland

11th Capra Meeting, Orleans, France -- 6.26.2008

#### Context

 $\lambda \sim \mathcal{R}$ 

to LISA

Extreme mass ratio inspirals (EMRIs)

M

• Small parameter for perturbative treatment  $\varepsilon = \frac{r_m}{R} \sim \frac{m}{M} \ll 1$ 

- Test mass in orbit: Geodesic motion of SMBH geometry
- \* Small, finite mass: Accelerated motion from MST-QW self-force  $a^{\mu} = \frac{m}{2m_{pl}^2} w^{\mu\alpha\beta\nu} \lim_{\epsilon \to 0} \int_{-\infty}^{\tau-\epsilon} d\tau' \nabla_{\nu} D^{ret}_{\alpha\beta\gamma'\delta'}(z^{\mu}, z^{\mu'}) u^{\gamma'} u^{\delta'} + O(\varepsilon^2)$ 
  - Entails interactions with back-scattered waves emitted in the past
  - Intrinsically non-local and history-dependent force
  - + Gauge-dependent
- However, may need corrections to MST-QW self-force...

# Why higher order self-force?

- Waveforms computed using first-order self-force:
  - 1 cycle of error in about 100,000 during year-long waveform
  - Sufficient for detection purposes, not measurement -- need "Capra" waveforms
- \* Match-filtered search over year-long waveform:
  - A coherent search is not computationally possible -- need 10<sup>40</sup> templates
  - Alternatively, search over 3-week intervals and "stitch" together waveforms
- Could use "kludge" and/or "Teukolsky" waveforms for detection
  - Use to restrict parameter space of templates
  - Perform refined searches with increasingly longer and more accurate templates
- To reach LISA's desired precision, may need 2nd order self-force

# Why higher order radiation?

	Worldline for Compact Object	Gravitational Perturbations	
$O(m^0)$	Geodesic		
$O(m^1)$	First order self-force	Leading order radiation	
$O(m^2)$	Second order self-force	<ul> <li>NLO radiation</li> </ul>	
$O(m^3)$		NNLO radiation	

Include 3rd order gravitational perturbations in "measurement" templates

# Effective field theory

- Systematically include effects from CO's multipole moments
  - Tidally induced moments from companion SMBH, spin, intrinsic moments, etc.
  - + Effacement Principle for EMRIs --  $O(\varepsilon^4)$
- Use to compute higher order self-force and gravitational radiation
  - Calculate systematically using Feynman diagrams
  - Generate sufficiently accurate waveforms for LISA's measurement phase
- \* Efficiently handles divergences: Dimensional regularization + *Fp* 
  - Covariant and gauge invariant
  - Power divergences vanish & log divergences renormalize couplings
  - \* Extend to curved spacetime using Bunch & Parker's approach

## Brief overview of EFT

- Partition degrees of freedom as either "heavy" or "light" (fast/slow, small/large,...)
- Integrate out heavy fields to find influence on light fields at low energies

$$\exp\left(iS_{eff}[\ell,\Lambda]\right) = \int \mathcal{D}h_{\Lambda} \,\exp\left(iS[\ell,h]\right)$$

- Effective Action:
  - Real (full theory is unitary) and local (Uncertainty Principle)
  - Integrate out heavy fields if path integral can be performed
  - Include all possible terms that are consistent with symmetries
- Physical observables at low energies

 $\int \mathcal{D}\ell \, \exp\left(iS_{eff}[\ell,\Lambda]\right)$ 





# EFT of a compact object



Integrate out short distance gravitational perturbations

Resulting theory describes a particle interacting with long wavelength perturbations



	Compact object + GR		match
	Point part		
$r \sim \mathcal{R}$ -	RG flow		

RG flow of effective particle couplings (induced moments)

$$\epsilon \equiv \frac{r_m}{\mathcal{R}} \qquad \mathcal{R}^{-4} = R_{\mu\alpha\nu\beta}R^{\mu\alpha\nu\beta}$$

## Effective point particle

Integrate out short wavelength gravitational perturbations



Include all terms consistent with symmetries:

General coordinate transformations Reparameterizations SO(3) rotations (e.g., spherical CO)

$$S_{pp}[z] = -m \int d\tau + c_R \int d\tau \, R + c_V \int d\tau \, R_{\alpha\beta} \dot{z}^{\alpha} \dot{z}^{\beta} + c_E \int d\tau \, \mathcal{E}_{\alpha\beta} \mathcal{E}^{\alpha\beta} + c_B \int d\tau \, \mathcal{B}_{\alpha\beta} \mathcal{B}^{\alpha\beta} + \cdots$$

**Ricci tensor terms can be removed by:** Using leading order classical equations of motion Field redefinition of metric

$$S_{pp}[z] = -m \int d\tau + c_E \int d\tau \, \mathcal{E}_{\alpha\beta} \mathcal{E}^{\alpha\beta} + c_B \int d\tau \, \mathcal{B}_{\alpha\beta} \mathcal{B}^{\alpha\beta} + \cdots$$

# Non-minimal couplings

- To make predictions: +
  - Treat non-minimal couplings as free parameters to be fit by data +
  - Match observables in effective theory to those of the long wavelength limit of + the full theory (explicit example later)
- **Example:** Neutron star +
  - External, adiabatic quadrupole tidal field + induces a quadrupole moment on NS
  - Let NS be in weak-field + region of a SMBH

 $Q_{ij}^{(n)} = -\lambda_n \mathcal{E}_{ij}$ 

Thorne, PRD 58, 124031 (1998)



( )

$$S_{pp}[z] \approx \int dt \left(\frac{1}{2}m\mathbf{v}^2 + \frac{mM}{r}\right) - \frac{1}{2}\sum_n \int dt \,Q_{ij}^{(n)} \mathcal{E}_{ij} + \sum_n \int dt \frac{\dot{Q}_{ij}^{(n)} \dot{Q}_{ij}^{(n)} - \omega_n^2 Q_{ij}^{(n)} Q_{ij}^{(n)}}{4\lambda_n^2 \omega_n^2}$$

Flanagan & Hinderer, PRD 77, 021502(R) (2008)



# Closed-Time-Path formalism

- Start with quantum theory for worldline and metric perturbations
- \* **CTP (or in-in) generating functional** Schwinger (1961), Keldysh (1964)  $Z[j_{\mu}^{1}, j_{\mu}^{2}, J_{\mu\nu}^{1}, J_{\mu\nu}^{2}] = \langle 0, \operatorname{in} | \hat{U}^{\dagger}(j^{2}, J^{2}) \hat{U}(j^{1}, J^{1}) | 0, \operatorname{in} \rangle$ 
  - \* Doubling of degrees of freedom  $\hat{z}^{\mu} \rightarrow \hat{z}^{\mu}_{1}, \hat{z}^{\mu}_{2} \quad \hat{h}^{\mu\nu} \rightarrow \hat{h}^{\mu\nu}_{1}, \hat{h}^{\mu\nu}_{2}$
  - Initial-value formulation of QFT, valid even in curved spacetime
  - Generates expectation values and correlations of quantum operators
  - Guarantees real and causal equations of motion for expectation values of quantum operators





$$Z[j_{\mu}, J_{\mu\nu}] = \langle 0, \operatorname{out} | \hat{U}(j, J) | 0, \operatorname{in} \rangle$$

- Useful for scattering processes between asymptotic in and out states
- In curved spacetime, in-out fails to give real and causal dynamics

# CTP generating functional

Effective point particle & full spacetime geometry

$$S_{tot}[z,\bar{g}] = S[\bar{g}] + S_{pp}[z,\bar{g}]$$

+ Long wavelength gravitational perturbations on a vacuum background spacetime  $\bar{g}_{\mu\nu} = g_{\mu\nu} + h_{\mu\nu}/m_{pl}$ 

$$S_{tot}[z,h] = S^{(2)} + S^{(3)} + \dots + S^{(0)}_{pp} + S^{(1)}_{pp} + S^{(2)}_{pp} + \dots$$

 "Integrate out" the gravitational perturbations at scale of background curvature

$$Z[j_a^{\mu}, J_a^{\mu\nu}] = \int_{CTP} \mathcal{D}z_a^{\mu} \exp\left\{iS_{pp}^{(0)}[z_a^{\mu}] + i\int d\lambda \, j_{\mu}^a z_a^{\mu} + iS_{int} \left[z_a, -i\frac{\delta}{\delta J_a^{\mu\nu}}\right]\right\} Z_0[J_a^{\mu\nu}]$$
$$Z_0[J_{\mu\nu}^a] = \exp\left\{-\frac{1}{2}J_{\alpha\beta}^a \cdot D_{ab}^{\alpha\beta\gamma'\delta'} \cdot J_{\gamma'\delta'}^b\right\} \qquad D_{ab}^{\alpha\beta\gamma'\delta'} = \left(\begin{array}{cc}D_F^{\alpha\beta\gamma'\delta'} & D_-^{\alpha\beta\gamma'\delta'}\\D_+^{\alpha\beta\gamma'\delta'} & D_D^{\alpha\beta\gamma'\delta'}\end{array}\right)$$

#### Gravitational waves

Generating functional for connected correlation functions:

$$W[j^{a}_{\mu}, J^{a}_{\mu\nu}] = -i \ln Z[j^{a}_{\mu}, J^{a}_{\mu\nu}]$$

- Derivatives yield (true) expectation values of quantum operators
  - Example: One-point functions of metric perturbations and worldline coordinates

$$\left\langle \hat{h}_{a}^{\mu\nu}(x) \right\rangle = \frac{\delta W}{\delta J^{a}_{\mu\nu}} \qquad \qquad \left\langle \hat{z}_{a}^{\mu}(\lambda) \right\rangle = \frac{\delta W}{\delta j^{a}_{\mu\nu}}$$

Waveform of gravitational waves given by

$$h_{\alpha\beta}^{rad}(x) = \frac{1}{2} \sum_{a=1}^{2} \left\langle \hat{h}_{\alpha\beta}^{a}(x) \right\rangle$$

- Possesses a perturbative expansion expressable as Feynman diagrams
- Needed for computing templates for matched filter searches in LISA

#### CTP effective action & self-force

- Why effective action?
  - Real & causal equations of motion +

$$\square \square \square = \frac{\delta \Gamma}{\delta \langle \hat{z}_a^{\mu}(\lambda) \rangle} \bigg|_{z_1 = z_2}$$

- Self-consistent description of particle and field dynamics +
- Effective action is Legendre transform of generating functional +  $i\Gamma[\langle \hat{z}_a^{\mu} \rangle] = -im \int d\tau + \left( \begin{array}{c} \text{sum of all 1PI} \\ \text{connected diagrams} \end{array} \right)$ 
  - "1PI" -- one-particle irreducible diagrams
  - "connected" diagrams are those that are contiguous +
- For small quantum corrections only need tree-level diagrams +

 $i\Gamma[\langle \hat{z}_a^{\mu} \rangle] = -im \sum_{a=1}^{2} \int d\tau_a + \begin{pmatrix} \text{sum of all tree-level} \\ \text{connected diagrams} \end{pmatrix} + \begin{pmatrix} 1\text{PI graviton} \\ \text{loop corrections} \end{pmatrix}$ 





Generating functional

#### Z

Gravitational waves from log of generating functional

 $W = -i \ln Z$ 

Self-force from effective action

 $\Gamma =$  Legendre transform of W

#### Power counting rules

- Interaction terms can be interpreted diagrammatically
  - Streamlines perturbative calculations
  - + How does one know what diagrams appear at a given order?
- \* Power counting rules:  $x^{\mu} \sim \mathcal{R}$   $\frac{m}{m_{pl}} \sim \sqrt{\epsilon L}$  $h_{\mu\nu} \sim \frac{1}{\mathcal{R}}$   $L \sim m\mathcal{R}$

\* Power counting the interactions:  $S_{int}[z,h] = S^{(3)} + \cdots + S^{(1)}_{pp} + S^{(2)}_{pp} + \cdots$ 







# Feynman rules

- Translate diagrams into mathematics using Feynman rules:
  - + Include a factor of  $iV_{pp}^{(n)}$  for a particle-field vertex and  $iV^{(n)}$  for a field selfinteraction vertex
  - \* Include a factor of  $(-1)^{a+1}$  for each vertex with CTP label *a*
  - \* Insert a factor of  $D_{ab}^{\alpha\beta\gamma'\delta'}(x,x')$  for each graviton line starting (ending) at a vertex labelled by the CTP index a(b) at spacetime event x(x')
  - Sum over all CTP indices, integrate over proper time for each particle-field vertex and integrate over all spacetime for each graviton self-interaction vertex
  - Divide by the appropriate symmetry factor
  - + For each external graviton, insert a factor of 1/2 and sum over its CTP index

# Example: MSTQW self-force

MSTQW self-force -- first order correction to LO geodesic

$$i\Gamma[\langle \hat{z}_a^{\mu} \rangle] = -im \int d\tau + \underbrace{a}_{a} \underbrace{o^{0000}}_{b} + O(\varepsilon^2 L)$$

$$\underbrace{\frac{1}{a}}_{a} = \left(\frac{1}{2!}\right) \left(\frac{im}{2m_{pl}}\right)^{2} \sum_{a,b=1}^{2} (-1)^{a+b} \int d\tau \int d\tau' \, \dot{z}_{a}^{\alpha} \dot{z}_{a}^{\beta} D_{\alpha\beta\gamma'\delta'}^{ab} \left(z_{a}^{\mu}, z_{b}^{\mu'}\right) \dot{z}_{b}^{\gamma'} \dot{z}_{b}^{\delta'}$$

\* Worldline fluctuations are small (decoherence) so expand to first order in coordinate difference  $z_{-}^{\mu} = z_{1}^{\mu} - z_{2}^{\mu}$ 

$$i\Gamma[\langle \hat{z}_{a}^{\mu} \rangle] = -im \int d\tau \, z_{-}^{\mu} a_{+\mu} + \frac{im^{2}}{2m_{pl}^{2}} \int d\tau_{+} \int d\tau'_{+} \, z_{-}^{\mu} w_{\mu}^{\ \alpha\beta\nu} \nabla_{\nu} D_{\alpha\beta\gamma'\delta'}^{ret} \left( z_{+}^{\mu}, z_{+}^{\mu'} \right) \dot{z}_{+}^{\gamma'} \dot{z}_{+}^{\delta'} + O(z_{-}^{2})$$

Integral of retarded propagator diverges at coincidence...

# Dimensional regularization

- Many techniques developed to regularize UV divergences in QFT
  - + Pauli-Villars, cut-off, point-splitting, dimensional regularization,...
- Dimensional regularization is particularly attractive
  - + Covariant
  - Preserves gauge symmetry
  - Mass-independent scheme
- Mass-independent scheme
  - Beta functions involve only logarithms of renormalization scale
  - Power divergences vanish in 4d for massless fields
  - Logarithmic divergences renormalize non-minimal couplings -- RG flow
  - Fewer Feynman diagrams to compute vs mass-dependent schemes

## Regularizing the effective action

- UV divergences are quasi-local but effective action is non-local
- Use Hadamard's *partie finie* method:  $D^{ren} \equiv D_{ret} D^{div} = Pf(D_{ret})$ 
  - Renormalized propagator as pseudo-function
  - Integral of pseudo-function gives the finite part (by definition)

$$Fp \int_{-\infty}^{\infty} d\tau' D_{ret}(z^{\alpha}, z^{\alpha'}) j(\tau')$$
  
= 
$$\lim_{\epsilon \to 0} \left( \int_{-\infty}^{\tau-\epsilon} + \int_{\tau+\epsilon}^{\infty} \right) d\tau' D_{ret}(z^{\alpha}, z^{\alpha'}) j(\tau') - \int_{-\infty}^{\infty} d\tau' D^{div}(z^{\alpha}, z^{\alpha'}) j(\tau')$$

- Divergent part is evaluated using momentum space techniques
  - Familiar techniques and manipulations from flat spacetime QFT
  - Originally developed for scalar field by Bunch & Parker
  - Valid in any dimension & for massive, non-minimally coupled field, etc.

# (Finite) MSTQW self-force



 Finite & non-local remainder of effective action yields self-force equations of MSTQW

$$ma^{\mu}(\tau) = \frac{m^2}{2m_{pl}^2} w^{\mu\alpha\beta\nu}[z^{\alpha}] \lim_{\epsilon \to 0} \int_{-\infty}^{\tau-\epsilon} d\tau' \nabla_{\nu} D^{ret}_{\alpha\beta\gamma'\delta'}(z^{\mu}, z^{\mu'}) \dot{z}^{\gamma'} \dot{z}^{\delta'}$$

$$\underset{\text{Quinn & Wald, PRD 55, 3457 (1997)}}{\text{Mino, Sasaki & Tanaka, PRD 55, 3457 (1997)}}$$

- Real, causal and history-dependent -- guaranteed with CTP
- Does not provide sufficiently accurate waveforms to precisely measure parameters of GW sources of LISA mission

# Recipe for self-force

- Follow recipe for calculating self-force at desired order:
  - Use power counting rules to draw all connected tree-level Feynman diagrams at desired order
  - Use Feynman rules to translate diagrams into mathematical expressions
  - Expand the effective action to linear order in the worldline difference coordinate (assume astrophysical bodies are strongly decohered)
  - Isolate the quasi-local divergent part from the non-local finite part using Hadamard's *partie finie*
  - Use dimensional regularization to renormalize the mass and non-minimal couplings in the effective point particle action
  - Vary the resulting (finite) effective action with respect to the difference coordinate to find the self-force equation

# Example: GWs from a geodesic

Use Feynman rules to translate diagram

$$= \left(\frac{1}{1!}\right) \left(\frac{im}{2m_{pl}}\right) \sum_{m,a=1}^{2} (-1)^{a+1} \int d\tau'_a D_{ma\,\alpha\beta\gamma'\delta'}(x,z_a^{\mu'}) u_a^{\gamma'} u_a^{\delta'}$$

\* Sum over CTP indices and take limit that  $z_2^{\mu} \rightarrow z_1^{\mu} = z^{\mu}$ 

$$\sum_{m,a=1}^{2} (-1)^{a+1} D_{ma\,\alpha\beta\gamma'\delta'}(x,x') = -2i D_{\alpha\beta\gamma'\delta'}^{ret}(x,x')$$

$$= \frac{m}{2m_{pl}} \int d\tau' D^{ret}_{\alpha\beta\gamma'\delta'}(x, z^{\mu'}) u^{\gamma'} u^{\delta'}$$

# Effacement Principle for EMRIs

Action for effective point particle

$$S_{pp}[z] = -m \int d\tau + c_E \int d\tau \, \mathcal{E}_{\alpha\beta} \mathcal{E}^{\alpha\beta} + c_B \int d\tau \, \mathcal{B}_{\alpha\beta} \mathcal{B}^{\alpha\beta} + \cdots$$

- Non-minimal couplings determined through matching calculation
  - Need to know the low-energy expansion of observables for full theory
  - To demonstrate matching procedure, an order of magnitude estimate suffices
- Perturb geometry about a given vacuum background

$$\mathcal{E}_{\alpha\beta} = \mathcal{E}_{\alpha\beta}^{(0)} + \mathcal{E}_{\alpha\beta}^{(1)} + \mathcal{E}_{\alpha\beta}^{(2)} + \cdots$$

Compute amplitude for graviton Compton scattering



# Effacement Principle for EMRIs

Scattering cross-section in effective point particle theory

$$\sigma_{pp} \sim \cdots \& \frac{c_{E,B}^2}{m_{pl}^4} \frac{1}{\mathcal{R}^8} \& \cdots$$

Scattering cross-section in full theory

$$\sigma_{full} = r_m^2 f\left(\frac{r_m}{\mathcal{R}}\right) \sim \cdots \& \frac{r_m^{10}}{\mathcal{R}^8} \& \cdots$$

\* Match the cross-sections:

$$c_{E,B} \sim m_{pl}^2 r_m^5 \sim \frac{m^5}{m_{pl}^8}$$

Leading order diagram due to finite size effects

$$\mathbf{c}_{\mathbf{E},\mathbf{B}} \longrightarrow \mathbf{c}_{E,B} \int d\tau \left(\frac{1}{\mathcal{R}^2}\right)^2 \sim \varepsilon^4 L$$

# Effacement Principle for EMRIs

 For a white dwarf (WD) finite size effects are enhanced due to stronger tidal interactions with companion SMBH

Diagram with leading order induced tidal moments:

 $\sim f_{co}\varepsilon^4 L \sim \varepsilon^s L$ 

$$r_m = f_{co}Gm$$

For a Schwarzschild SMBH: 
$$\varepsilon \equiv \frac{r_m}{\mathcal{R}} = 2^{3/4} 3^{1/4} f_{co} \frac{m}{M} \left(\frac{M}{r}\right)^{3/2}$$

 $C_{E,B}$ 



### Second order self-force (in progress)

- Previous work on second order self-force
  - First formal expression given by Rosenthal Rosenthal, PRD 74, 084018 (2006)
  - Expressed in a non-standard gauge
  - Potentially difficult to implement in practical calculations
- Second order self-force calculations with EFT:
  - All orders computed in same gauge throughout (Lorenz here)
  - Feynman diagrams:



- First diagram represents first non-linear particle-field contribution
- Second diagram represents first contribution from nonlinearities of GR



+ Contribution to second order effective action  $O(\varepsilon^2 L)$ 

$$= -\frac{im^{3}}{8m_{pl}^{4}} \int d\tau_{+} z_{-}^{\mu}(\tau) w_{\mu}^{\ \alpha\beta\nu} \int d\tau' \nabla_{\nu} D_{\alpha\beta\gamma'\delta'}^{ret}(z_{+}^{\mu}, z_{+}^{\mu'}) u_{+}^{\gamma'} u_{+}^{\delta'} u_{+}^{\epsilon'} u_{+}^{\zeta'} \int d\tau'' D_{\epsilon'\zeta'\eta''\theta''}^{ret}(z_{+}^{\mu'}, z_{+}^{\mu''}) u_{+}^{\eta''} u_{+}^{\theta''} \\ -\frac{im^{3}}{4m_{pl}^{4}} \int d\tau \, z_{-}^{\mu} w_{\mu}^{\ \alpha\beta\gamma\delta\nu} \int d\tau' \nabla_{\nu} D_{\alpha\beta\epsilon'\zeta'}^{ret}(z_{+}^{\mu}, z_{+}^{\mu'}) u_{+}^{\epsilon'} u_{+}^{\zeta'} \int d\tau'' D_{\gamma\delta\eta''\theta''}^{ret}(z_{+}^{\mu}, z_{+}^{\mu'}) u_{+}^{\eta''} u_{+}^{\theta''}$$

• **Regularize:**  $D^{ren} = D^{ret} - D^{div}$ 

$$\begin{split} I^{(1)}_{\mu} &= w^{\ \alpha\beta\nu}_{\mu} \int d\tau' \nabla_{\nu} D^{ren}_{\alpha\beta\gamma'\delta'} u^{\gamma'\delta'\epsilon'\zeta'}_{+} \int d\tau''_{+} D^{div}_{\epsilon'\zeta'\eta''\theta''} u^{\eta''\theta''}_{+} = 0 \\ I^{(2)}_{\mu} &= w^{\ \alpha\beta\nu}_{\mu} \int d\tau' \nabla_{\nu} D^{div}_{\alpha\beta\gamma'\delta'} u^{\gamma'\delta'\epsilon'\zeta'}_{+} \int d\tau''_{+} D^{ren}_{\epsilon'\zeta'\eta''\theta''} u^{\eta''\theta''}_{+} \equiv 0 \\ I^{(3)}_{\mu} &= w^{\ \alpha\beta\nu}_{\mu} \int d\tau' \nabla_{\nu} D^{div}_{\alpha\beta\gamma'\delta'} u^{\gamma'\delta'\epsilon'\zeta'}_{+} \int d\tau''_{+} D^{div}_{\epsilon'\zeta'\eta''\theta''} u^{\eta''\theta''}_{+} = 0 \end{split}$$

\* All divergences vanish leaving a regular remainder (finite part)



1

This diagram is built upon the 3-graviton vertex +

Calculation in progress but easily doable... +

\* Second order contribution from effective action at  $O(\varepsilon L)$ 

$$= \frac{im^2}{2m_{pl}^2} \int d\tau \, z_{-}^{\mu}(\tau) a_{\mu}^{\ \alpha\beta} \int d\tau' \, D_{\alpha\beta\gamma'\delta'}^{ren}(z_{+}^{\mu}, z_{+}^{\mu'}) u_{+}^{\gamma'} u_{+}^{\delta'}$$

$$a_{\mu}^{\ \alpha\beta} = -\frac{1}{2}u^{\alpha}u^{\beta}a_{\mu} + w_{\mu}^{(\alpha}a^{\beta)}$$

Can interpret as a correction to CO's mass

$$m^{eff}_{\mu\nu}(\tau) = mg_{\mu\nu} + \frac{m^2}{2m^2_{pl}} \left(\frac{1}{2}g_{\mu\nu}u^{\alpha}u^{\beta} - w^{(\alpha}_{\mu}g^{\beta)}_{\nu}\right) \int d\tau' D^{ren}_{\alpha\beta\gamma'\delta'}u^{\gamma'}u^{\delta}$$

 Can interpret as contribution to 2nd order self-force with constant mass

$$= -\frac{im^3}{8m_{pl}^4} \int d\tau \, z_-^{\mu} \left[ w_{\mu}^{\ \alpha\beta\nu} u_+^{\gamma\delta} + 2w_{\mu}^{(\gamma} w^{\delta)\alpha\beta\nu} \right] \int d\tau' \nabla_{\nu} D_{\alpha\beta\epsilon'\zeta'}^{ren} u_+^{\epsilon'\zeta'} \int d\tau'' \, D_{\gamma\delta\eta''\theta''}^{ren} u_+^{\eta''\theta''}$$

#### Second order self-force equations

Second order self-force so far...

$$a_{\mu} = \text{MSTQW} - \frac{m^{3}}{8m_{pl}^{4}} w_{\mu}^{\alpha\beta\nu} \int d\tau' \nabla_{\nu} D_{\alpha\beta\gamma'\delta'}^{ren}(z^{\mu}, z^{\mu'}) u^{\gamma'\delta'\epsilon'\zeta'} \int d\tau'' D_{\epsilon'\zeta'\eta''\theta''}^{ren}(z^{\mu'}, z^{\mu''}) u^{\eta''\theta''}$$
$$- \frac{m^{3}}{4m_{pl}^{4}} \left[ w_{\mu}^{\alpha\beta\gamma\delta\nu} + \frac{1}{2} w_{\mu}^{\alpha\beta\nu} u^{\gamma\delta} + w_{\mu}^{(\gamma}w^{\delta)\alpha\beta\nu} \right]$$
$$\times \int d\tau' \nabla_{\nu} D_{\alpha\beta\gamma'\delta'}^{ren}(z^{\mu}, z^{\mu'}) u^{\epsilon'\zeta'} \int d\tau'' D_{\gamma\delta\eta''\theta''}^{ren}(z^{\mu'}, z^{\mu''}) u^{\eta''\theta''}$$

+(diagram with 3-graviton vertex)

# Necessity of CTP formalism

- Other approaches to potentially study EMRIs
  - Goldberger and Rothstein's approach extended to curved spacetime (PN-EFT, in-out)
  - Kol and Smolkin's Classical EFT (ClEFT)
- \* What is the problem with using these other formalisms?
  - Non-causal self-force equations of motion
  - For example, one diagram of second order self-force in PN-EFT and ClEFT

$$\int d\tau d\tau' d\tau'' u^{\alpha} u^{\beta} D^{F}_{\alpha\beta\gamma'\delta'} u^{\gamma'} u^{\delta'} u^{\epsilon'} u^{\zeta'} D^{F}_{\epsilon'\zeta'\eta''\theta''} u^{\eta''} u^{\theta''}$$

$$D_F = -\frac{i}{2} \left( D_{ret} + D_{adv} \right) + \frac{1}{2} D_H \qquad D^H_{\alpha\beta\gamma'\delta'} = \left\langle \{ \hat{h}_{\alpha\beta}(x), \hat{h}_{\gamma'\delta'}(x') \} \right\rangle$$

- Real part of variation gives equations of motion, which are not causal
- + Or, replace Feynman propagator with  $(D_{ret} + D_{adv})/2$  but self-force then involves advanced propagator...

# Nonlinear scalar gravity

- \* May be useful as a toy model:
  - Study practical methods for computing higher order self-force and radiation
  - Can check computations with known results at first order (more to say later...)
  - May help to resolve issues regarding relevance/importance of higher order perturbations (e.g., 2nd order self-force)
  - Derive higher order self-force and radiation as a model for IMRIs
  - Include finite size effects, which might be relevant for IMRIs

## Nonlinear scalar gravity

+ A class of non-linear scalar models on a vacuum background:

$$S[z,\phi] = -\frac{1}{2} \int d^4x \, g^{1/2} \phi_{,\alpha} \phi^{,\alpha} A^2(\phi/m_{pl}) - m \int d\tau \, B(\phi/m_{pl})$$

Equations of motion:

+

$$\Box \phi = -\frac{1}{m_{pl}} \frac{A'}{A} \phi_{,\alpha} \phi^{,\alpha} + \frac{m}{m_{pl}} \int d\tau \, \frac{\delta^4 (x-z)}{g^{1/2}} \, \frac{B'}{A^2}$$

$$a^{\mu} = -w^{\mu\nu} \nabla_{\nu} \ln B$$

- Leading order motion is geodesic
- Expand A and B functions for small field

$$A^2(\phi/m_{pl}) = 1 + \sum_{n=1}^{\infty} \frac{a_n}{n!} \left(\frac{\phi}{m_{pl}}\right)^n \qquad B(\phi/m_{pl}) = 1 + \sum_{n=1}^{\infty} \frac{b_n}{n!} \left(\frac{\phi}{m_{pl}}\right)^n$$

Same power counting, same diagrammatic structure, same Effacement Principle

#### First order waves & self-force

Feynman diagram for leading order scalar perturbations

$$= \frac{1}{2} \sum_{a,b=1}^{2} (-1)^{a+1} \left(-\frac{imb_1}{m_{pl}}\right) \int d\tau \, D_{ab}(x, z_b^{\alpha}) \sim \varepsilon^0 \sqrt{\varepsilon L}$$

\* Sum over CTP indices and set difference coordinate to zero

$$= -\frac{mb_1}{m_{pl}} \int d\tau \, D_{ret}(x, z^{\alpha})$$

First order self-force

$$= \frac{im^2 b_1^2}{m_{pl}^2} \int d\tau \, z_-^{\mu} \left( a_{\mu} + w_{\mu}^{\ \nu} \nabla_{\nu} \right) D_{ren}(z^{\mu}, z^{\mu'})$$

#### Second order waves

- Corrections to geodesic motion from first order self-force create second order perturbations of scalar waves
- Feynman diagrams

g

$$= -\frac{a_1}{4m_{pl}} \left(-\frac{mb_1}{m_{pl}} \int d\tau \, D_{ret}(x, z^{\mu})\right)^2$$

$$+\frac{m^2}{m_{pl}^3}b_1^2\left(\frac{b_2}{b_1}-\frac{a_1}{2}\right)\int d\tau \, D_{ret}(x,z^{\mu})\int d\tau' \, D_{ren}(z^{\mu},z^{\mu'})$$

- First term is proportional to square of first order field
- Second term is regulated because of UV power divergence on worldline

## Second order self-force

- Second order self-force caused by CO's interaction with first & second order field perturbations
- Feynman diagrams (use recipe to evaluate)



$$= -\frac{im^{3}b_{1}^{4}}{m_{pl}^{4}} \left(\frac{b_{2}}{b_{1}} - \frac{a_{1}}{2}\right) \int d\tau \, z_{-}^{\mu}(\tau) \left(a_{\mu} + w_{\mu}^{\nu} \nabla_{\nu}\right) \\ \times \int d\tau' \int d\tau'' \left[ D_{ren}(z^{\mu}, z^{\mu'}) D_{ren}(z^{\mu'}, z^{\mu''}) + \frac{1}{2} D_{ren}(z^{\mu}, z^{\mu'}) D_{ren}(z^{\mu}, z^{\mu''}) \right]$$

\* Second term proportional to square of first order self-force

## Third order waves

 Third order scalar perturbations created by second order corrections to CO's leading order geodesic motion

\* Feynman diagrams  

$$= -\frac{m^3}{2m_{pl}^6} \left[ \left( -\frac{a_2}{2} + a_1^2 \right) b_1^3 - \frac{3}{2} a_1 b_1^2 b_2 + b_1^2 b_3 \right] \int d\tau D_{rel}(x, z^{\mu}) \left( \int d\tau' D_{ren}(z^{\mu}, z^{\mu'}) \right)^2 \\
- \frac{m^3}{m_{pl}^6} b_1^3 \left( \frac{b_2}{b_1} - \frac{a_1}{2} \right)^2 \int d\tau D_{ret}(x, z^{\mu}) \int d\tau' D_{ren}(z^{\mu}, z^{\mu'}) \int d\tau'' D_{ren}(z^{\mu'}, z^{\mu''}) \\
+ \frac{m^3}{2m_{pl}^6} a_1 b_1^3 \left( \frac{b_2}{b_1} - \frac{a_1}{2} \right) \int d\tau D_{ret}(x, z^{\mu}) \int d\tau'' D_{ret}(x, z^{\mu'}) \int d\tau'' D_{ren}(z^{\mu'}, z^{\mu''}) \\
- \frac{m^3}{6m_{pl}^6} b_1^3 \left( -\frac{a_2}{2} + a_1^2 \right) \int d\tau D_{ret}(x, z^{\mu}) \int d\tau'' D_{ret}(x, z^{\mu'}) \int d\tau'' D_{ret}(x, z^{\mu''}) \\
- \frac{m^3}{6m_{pl}^6} b_1^3 \left( -\frac{a_2}{2} + a_1^2 \right) \int d\tau D_{ret}(x, z^{\mu}) \int d\tau' D_{ret}(x, z^{\mu'}) \int d\tau'' D_{ret}(x, z^{\mu''}) \\
- \frac{m^3}{6m_{pl}^6} b_1^3 \left( -\frac{a_2}{2} + a_1^2 \right) \int d\tau D_{ret}(x, z^{\mu}) \int d\tau' D_{ret}(x, z^{\mu'}) \int d\tau'' D_{ret}(x, z^{\mu''}) \\
- \frac{m^3}{6m_{pl}^6} b_1^3 \left( -\frac{a_2}{2} + a_1^2 \right) \int d\tau D_{ret}(x, z^{\mu}) \int d\tau' D_{ret}(x, z^{\mu'}) \int d\tau'' D_{ret}(x, z^{\mu''}) \\
- \frac{m^3}{6m_{pl}^6} b_1^3 \left( -\frac{a_2}{2} + a_1^2 \right) \int d\tau D_{ret}(x, z^{\mu}) \int d\tau' D_{ret}(x, z^{\mu'}) \int d\tau'' D_{ret}(x, z^{\mu''}) \\
- \frac{m^3}{6m_{pl}^6} b_1^3 \left( -\frac{a_2}{2} + a_1^2 \right) \int d\tau D_{ret}(x, z^{\mu}) \int d\tau' D_{ret}(x, z^{\mu'}) \int d\tau'' D_{ret}(x, z^{\mu''}) \\
- \frac{m^3}{6m_{pl}^6} b_1^3 \left( -\frac{a_2}{2} + a_1^2 \right) \int d\tau D_{ret}(x, z^{\mu}) \int d\tau' D_{ret}(x, z^{\mu'}) \int d\tau'' D_{ret}(x, z^{\mu''}) \\
- \frac{m^3}{6m_{pl}^6} b_1^3 \left( -\frac{a_2}{2} + a_1^2 \right) \int d\tau D_{ret}(x, z^{\mu}) \int d\tau' D_{ret}(x, z^{\mu'}) \int d\tau'' D_{ret}(x, z^{\mu''}) \\
- \frac{m^3}{6m_{pl}^6} b_1^3 \left( -\frac{a_2}{2} + a_1^2 \right) \int d\tau D_{ret}(x, z^{\mu}) \int d\tau' D_{ret}(x, z^{\mu''}) \int d\tau'' D_{ret}(x, z^{\mu''}$$

### Rosenthal's scalar model

• "Monopole" source:  $A = e^{-\phi/m_{pl}} \quad B = \frac{1}{2} + \frac{1}{2}e^{-\phi/m_{pl}}$ 

$$\Box \phi = \frac{1}{m_{pl}} \phi_{,\alpha} \phi^{,\alpha} - \frac{m}{2m_{pl}} \int d\tau \, \frac{\delta^4(x-z)}{g^{1/2}} \, e^{+\phi/m_{pl}}$$

Second order scalar perturbations agrees with Rosenthal

$$\phi_{(2)}^{rad}(x) = \frac{1}{2m_{pl}} \left( \frac{m}{m_{pl}} \int d\tau \, D_{ret}(x, z^{\mu}) \right)^2 - \frac{m^2}{m_{pl}^3} \int d\tau \, D_{ret}(x, z^{\mu}) \int d\tau' D_{ren}(z^{\mu}, z^{\mu'})$$

- \* A model where:  $A = B = e^{-\phi/m_{pl}}$ 
  - \* Only first order self-force (linear in propagator) is non-zero
  - *n*th order radiation is proportional to *n*th power of first order waves

# Choosing "nice" variables

\* The number of diagrams can be reduced by considering a field redefinition  $\phi_{,\alpha}(x)A = \sigma_{,\alpha}(x)$   $C(\sigma/m_{pl}) = B(\phi/m_{pl})$ 

$$S[z,\sigma] = -\frac{1}{2} \int d^4x \, g^{1/2} \sigma_{,\alpha} \sigma^{,\alpha} - m \int d\tau \, C(\sigma/m_{pl})$$

- No field self-interactions
- For example, third order radiation diagrams number from 6 to 2



- \* Does a similar thing happen in the gravitational case?
  - Equivalent to a different gauge choice -- could ease self-force computations
  - Full metric is gauge dependent and probably does not help ease waveforms

#### Relevance of 2nd order self-force?

Choose A and B such that

$$A = e^{\phi/m_{pl}}$$
  $B = 2 - e^{\phi/m_{pl}} = 1 - \frac{\phi}{m_{pl}} + \cdots$ 

\* Nonlinear scalar theory:

$$S[z,\phi] = -\frac{1}{2} \int d^4x \, g^{1/2} \phi_{,\alpha} \phi^{,\alpha} e^{2\phi/m_{pl}} - m \int d\tau \left(2 - e^{\phi/m_{pl}}\right)$$

Field redefinition gives a linear scalar theory

$$\sigma_{,\alpha} = \phi_{,\alpha} A(\phi/m_{pl}) \Rightarrow \frac{\sigma}{m_{pl}} = e^{\phi/m_{pl}} - 1$$

 Can easily calculate self-force and radiation in linear theory, transform back to nonlinear field and determine if second order self-force is actually relevant

$$S[z,\sigma] = -\frac{1}{2} \int d^4x \, g^{1/2} \sigma_{,\alpha} \sigma^{,\alpha} - m \int d\tau + \frac{m}{m_{pl}} \int d\tau \, \sigma$$

# Self-force on spinning CO's

- Introduce a tetrad on worldline that rotates with the CO
  - \* Angular velocity  $\Omega_{IJ} = e_J^{\mu} \frac{De_{\mu I}}{d\lambda}$
  - \* Spin angular momentum -- conjugate to angular velocity  $S^{IJ} = -\frac{\delta S}{\delta \Omega_{II}}$
- Effective point particle with spin

$$S_{pp}[z,g] = -m \int d\tau + \frac{1}{2} \int d\tau \, S^{IJ} \Omega_{IJ} + \cdots$$

Power counting

- \* Maximally rotating CO:  $v_{rot} \sim 1, s = 1$
- + Corotating CO:  $\frac{v_{rot}}{r_m} \sim \frac{v}{r}, \ s = 2$
- Spin Supplementary Condition (SSC) fixes center of center of mass

 $S^{\mu\nu}p_{\nu} = 0$ 

# Maximally rotating compact object

First order describes spin precession & MSTQW

$$\frac{DS^{\mu\nu}}{d\tau} = p^{\mu}u^{\nu} - p^{\nu}u^{\mu} \qquad m a^{\mu} = -\frac{1}{2}R^{\mu}_{\ \alpha\beta\gamma}\dot{z}^{\alpha}S^{\beta\gamma} + \text{MSTQW}$$

Second order contains leading order (LO) spin-orbit interaction



Third order contains LO spin-spin and NLO spin-orbit interactions







#### Co-rotating compact object

First order describes MSTQW self-force alone

 $m a^{\mu} = MSTQW$ 

Second order describes spin precession

$$\frac{DS^{\mu\nu}}{d\tau} = p^{\mu}u^{\nu} - p^{\nu}u^{\mu} \qquad m a^{\mu} = -\frac{1}{2}R^{\mu}_{\ \alpha\beta\gamma}\dot{z}^{\alpha}S^{\beta\gamma} + \text{MSTQW}$$

Third order contains LO spin-orbit interaction



# Conclusions

- Compact object as an effective point particle
  - Describes tidally induced moments, spin and intrinsic moments
- EFT provides a systematic & efficient method for higher order self-force and radiation calculations
- + Divergences are efficiently and unambiguously regularized
  - Power divergences vanish & log divergences renormalize non-minimal couplings
- \* An Effacement Principle for EMRIs
  - Internal structure of COs affect motion at fourth order
  - WD tidal disruption a second order process?
- Computed 2/3 of second order self-force
- \* Nonlinear scalar gravity model -- resolve relevance of 2nd order self-force?
- Leading order spin-spin and spin-orbit diagrams for rotating COs

## Future directions

- Gravitational perturbations
  - GWs resulting from second order self-force corrections
  - Better choice of field variables via a gauge transformation/field redefinition?
- Use nonlinear scalar gravity model to:
  - Determine if higher order effects are really relevant for LISA
  - Study and implement practical computations at higher orders
- Spinning compact objects
  - \* Precisely, how much does the spin of a CO affect the waveforms?
- Can QFT techniques provide new methods for practical computations?
  - P. Anderson, Hu & Eftekharzadeh; W. Anderson, Flannagan, Ottewill, Wardell
- Continue to higher orders for LISA (and LIGO?) IMRIs?
- Include dissipative degrees of freedom to describe GW absorption

## Extra slides



# Sources of gravitational waves

- Galactic binaries composed of ordinary stars, WDs, NSs, BHs
  - Confusion noise at lower frequencies -- significant data analysis challenge
- Massive BH mergers from colliding galaxies
  - Possibly detectable at cosmological distances
- Extreme mass ratio inspirals (EMRIs)
  - Most promising sources for detection and parameter estimation
  - Clean tests of GR ("No Hair" theorem, direct proof of BH's existence,...)
- Formation of supermassive black holes (SMBHs)
- Cosmic gravitational wave backgrounds
- Certain dark matter candidates

## EMRIs and self-force

- Rich diversity of orbits
  - Circular inspiral
  - Periastron precession
  - Zoom-whirl orbits
  - Spin-orbit coupling
  - Evolving inclination angle
- Self-force drives the inspiral due to emission of GWs
  - Entails interactions with back-scattered waves emitted in the past
  - Intrinsically non-local and history-dependent force
  - Gauge-dependent

## Gravitational self-force with EFT

Self-force is computed from the effective action

$$\Gamma[\langle \hat{z}^{\mu} \rangle, \langle \hat{h}_{\mu\nu} \rangle] = -m \int d\tau + \left( \begin{array}{c} \text{Sum of all tree level} \\ \text{connected diagrams} \end{array} \right) + \cdot$$

First order -- MST-QW self-force equation (in Lorenz gauge)

$$\int \frac{\partial \sigma^{0000}}{\partial m_{pl}^2} = \frac{m}{2m_{pl}^2} w^{\mu\alpha\beta\nu} Fp \int d\tau' \nabla_{\nu} D^{ret}_{\alpha\beta\gamma'\delta'} u^{\gamma'} u^{\delta'}$$

Second order -- Two diagrams -- Preliminary results!

$$-e_{0000} + e_{0000} + e_{000000}$$

$$= -\frac{m^2}{16m_{pl}^4} w^{\mu\alpha\beta\nu} Fp \int d\tau' \nabla_{\nu} D^{ret}_{\alpha\beta\gamma'\delta'} u^{\gamma'} u^{\delta'} u^{\epsilon'} u^{\zeta'} Fp \int d\tau'' D^{ret}_{\epsilon'\zeta'\eta''\theta''} u^{\eta''} u^{\theta''} + \frac{m^2}{8m_{pl}^4} w^{\mu\alpha\beta\gamma\delta\nu} Fp \int d\tau' \nabla_{\nu} D^{ret}_{\alpha\beta\epsilon'\zeta'} u^{\epsilon'} u^{\zeta'} Fp \int d\tau'' D^{ret}_{\gamma\delta\eta''\theta''} u^{\eta''} u^{\theta''} + \cdots$$

\* First order diagram also gives a contribution at second order  $\underbrace{m}_{\alpha\beta\nu} = MSTQW + \frac{m}{2m_{pl}^2} \left( -w^{\mu\alpha\beta\nu}a_{\nu} + w^{\mu(\alpha}a^{\beta)} \right) Fp \int d\tau' D^{ret}_{\alpha\beta\gamma'\delta'} u^{\gamma'} u^{\delta'}$ 

## A nonlinear scalar model

+ A class of non-linear scalar models on a vacuum background:

$$S[z,\phi] = -\frac{1}{2} \int d^4x \, g^{1/2} \phi_{,\alpha} \phi^{,\alpha} A^2 (\phi/m_{pl}) - m \int d\tau \, B(\phi/m_{pl})$$

Self-force through second order



Scalar radiation through third order (from log of partition fn)



• Field redefinition simplifies the calculation greatly  $\phi_{,\alpha}(x)A = \sigma_{,\alpha}(x)$ 

No field self-interactions -- Does a similar thing occur with gravity?

#### Extra slides

#### + Is Kol's ClEFT more efficient than using CSEFT (w/ CTP)?

- Potentially yes, but it is not clear what propagator should be associated with graviton line.
  - Using a ret propagator gives an advanced part in the EOM, which if dropped gives MSTQW but with an extra factor of 1/2
  - Using a Feynman propagator gives same problem as with retarded propagator

+