# Supersymmetry, chiral symmetry and the generalized BRS transformation in lattice formulations of 4D $\mathcal{N}=1 \mathrm{SYM}$ 

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- H.S., arXiv:1202.2598 [hep-lat], Nucl. Phys. B861 (2012) 290-320.


## Target: 4D N = 1 SYM

- Simplest 4D SUSY gauge theory ( $\because$ no scalar field)

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S=\int d^{4} x\left[\frac{1}{2} \operatorname{tr}\left(F_{\mu \nu} F_{\mu \nu}\right)+\operatorname{tr}(\bar{\psi} \mathbb{D} \psi)\right], \quad \bar{\psi}=\psi^{T}\left(-C^{-1}\right)
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- SUSY

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- SUSY algebra in the present on-shell multiplet is complicated:

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\left[\delta_{\xi}, \delta_{\xi^{\prime}}\right]=-t_{\mu} \partial_{\mu}+\underbrace{\mathcal{G}_{t_{\mu} A_{\mu}}}_{\text {gauge transt. }}+\underbrace{(\text { eq. of motion of } \psi)}_{\text {no auxiliary field } D}, \quad t_{\mu}=\bar{\xi} \gamma_{\mu} \xi^{\prime}-\bar{\xi}^{\prime} \gamma_{\mu} \xi
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- Chiral $U(1)_{A}$ symmetry

$$
\delta_{\theta} \psi=i \theta \gamma_{5} \psi, \quad \delta_{\theta} \bar{\psi}=i \theta \bar{\psi} \gamma_{5}
$$

is an $R$ symmetry

$$
\left[\delta_{\theta}, \delta_{\xi}\right]=\delta_{\left(\xi \rightarrow-i \theta \gamma_{5} \xi\right)}
$$

## Expected non-perturbative physics (for $G=S U\left(N_{C}\right)$ )

- No spontaneous SUSY breaking: Witten index $\operatorname{Tr}(-1)^{F}=N_{c} \neq 0$
- Chiral symmetry breaking

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U(1)_{A} \xrightarrow{\text { anomaly \& instanton }} \mathbb{Z}_{2 N_{C}} \xrightarrow{\langle\operatorname{tr}(\bar{\psi} \psi)\rangle \neq 0} \mathbb{Z}_{2}, \quad \text { (domain wall) }
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- Lowest-lying SUSY multiplet (Veneziano-Yankielowicz (1982))

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- Non-perturbative study by the lattice regularization?



## Lattice formulation?

- A possible lattice action:

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\begin{aligned}
& S_{\text {gluon }}=\sum_{x} \sum_{\mu, \nu}\left(-\frac{1}{g^{2}}\right) \operatorname{Retr}\left[U_{\mu}(x) U_{\nu}(x+a \hat{\mu}) U_{\mu}^{\dagger}(x+a \hat{\nu}) U_{\nu}^{\dagger}(x)\right], \\
& S_{\text {gluino }}=a^{4} \sum_{x} \operatorname{tr}\left(\bar{\psi}(x)\left\{\frac{1}{2} \sum_{\mu}\left[\gamma_{\mu}\left(\nabla_{\mu}+\nabla_{\mu}^{*}\right)-r a \nabla_{\mu}^{*} \nabla_{\mu}\right]\right\} \psi(x)\right)
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- Link variables

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- These $O(a)$ effects become $O(1)$ through $O(1 / a)$ radiative corrections!


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- We want to understand this symmetry restoration in terms of Ward-Takahashi (WT) relation. .


## Lattice WT relation for $U(1)_{A}$

- (Localized) $U(1)_{A}$ transformation

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\delta_{\theta} \psi(x)=i \theta(x) \gamma_{5} \psi(x), \quad \delta_{\theta} \bar{\psi}(x)=i \theta(x) \bar{\psi}(x) \gamma_{5}
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\partial_{\mu}^{*}\left\langle\operatorname{tr}\left[\bar{\psi}(x) \gamma_{\mu} \gamma_{5} \psi(x)\right] \mathcal{O}\right\rangle=2 M\left\langle\operatorname{tr}\left[\bar{\psi}(x) \gamma_{5} \psi(x)\right] \mathcal{O}\right\rangle+\left\langle X_{A}(x) \mathcal{O}\right\rangle+i\left\langle\frac{1}{a^{4}} \frac{\partial}{\partial \theta(x)} \delta_{\theta} \mathcal{O}\right\rangle
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- Taking lattice symmetries (hypercubic, parity) into account,

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X_{A}(x)=\left(1-\mathcal{Z}_{A}\right) \partial_{\mu}^{*} \operatorname{tr}\left[\bar{\psi}(x) \gamma_{\mu} \gamma_{5} \psi(x)\right]-\mathcal{Z}_{F \tilde{F}}[F \tilde{F}]^{L}(x)-\frac{1}{a} \mathcal{Z}_{P} \operatorname{tr}\left[\bar{\psi}(x) \gamma_{5} \psi(x)\right]+\cdots
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- When the gauge-invariant operator $\mathcal{O}$ is apart from $x$,

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\begin{aligned}
& \mathcal{Z}_{A} \partial_{\mu}^{*}\left\langle\operatorname{tr}\left[\bar{\psi}(x) \gamma_{\mu} \gamma_{5} \psi(x)\right] \mathcal{O}\right\rangle \\
& =-\mathcal{Z}_{F \tilde{F}}\left\langle[F \tilde{F}]^{L}(x) \mathcal{O}\right\rangle+2 \underbrace{\left(M-\frac{1}{2 a} \mathcal{Z}_{P}\right)}_{U(1)_{A} \text { breaking }}\left\langle\operatorname{tr}\left[\bar{\psi}(x) \gamma_{5} \psi(x)\right] \mathcal{O}\right\rangle
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\delta_{\xi} \psi(x) & =-\frac{1}{2} \sigma_{\mu \nu} \xi(x) P_{\mu \nu}(x), \quad P_{\mu \nu}(x): \text { (traceless part of imag of) plaquette }
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where

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- Taking lattice symmetries (hypercubic, parity) into account, $X_{S}(x)=\left(1-\mathcal{Z}_{S}\right) \partial_{\mu}^{*} S_{\mu}(x)-\mathcal{Z}_{T} \partial_{\mu}^{*} T_{\mu}(x)-\frac{1}{a} \mathcal{Z}_{\chi} \chi(x)-\mathcal{Z}_{3 F} \operatorname{tr}[\psi(x) \bar{\psi}(x) \psi(x)]+\cdots$ where

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## (Necessary) condition for the symmetry restoration

- Thus, for SUSY and $U(1)_{A}$ WT identities without breaking to be restored,

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that is,

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- Taniguchi (1999) confirmed (1) (and (2); private communication) by an explicit one-loop calculation


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## (Necessary) condition for the symmetry restoration

- Thus, for SUSY and $U(1)_{A}$ WT identities without breaking to be restored,

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that is,

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- Here we prove (1) and (2) to all orders of perturbation theory
- This must be important from the perspective of recent numerical simulations (DESY-Münster, Giedt et al. (USA), Endres (RIKEN), Kim et al. (JLQCD))

Wilson fermion, tree-level Symanzik improved gauge action, $G=$ SU(2) (Bergner-Münster-Sandbrink-Özugurel-Montvay (2011))

- $32^{3} \times 64, a=0.114 r_{0}$,



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- This BRS-like transformation should include also translation and gauge transformations

$$
\left[\delta_{\xi}, \delta_{\xi^{\prime}}\right]=-t_{\mu} \partial_{\mu}+\mathcal{G}_{t_{\mu}} A_{\mu}, \quad t_{\mu}=\bar{\xi} \gamma_{\mu} \xi^{\prime}-\bar{\xi}^{\prime} \gamma_{\mu} \xi
$$

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- Such a generalized BRS transformation $s$ has been known in the continuum theory (Zumino, White, Maggiore-Piguet-Wolf)

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\begin{aligned}
s A_{\mu} & \equiv D_{\mu} c+\bar{\xi} \gamma_{\mu} \psi-i t_{\nu} \partial_{\nu} A_{\mu}, \\
s \psi & \equiv-i g\{c, \psi\}-\frac{1}{2} \sigma_{\mu \nu} \xi F_{\mu \nu}-i t_{\mu} \partial_{\mu} \psi+i \theta \gamma_{5} \psi \\
s c & \equiv-i g c^{2}+\bar{\xi} \gamma_{\mu} \xi A_{\mu}-i t_{\mu} \partial_{\mu} c, \\
s \bar{c} & \equiv B-i t_{\mu} \partial_{\mu} \bar{c} \\
s B & \equiv \bar{\xi} \gamma_{\mu} \xi \partial_{\mu} \bar{c}-i t_{\mu} \partial_{\mu} B, \\
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- Then one finds

$$
s^{2} \Phi=0
$$

for all variables $\Phi$, except $\psi$ on which,

$$
s^{2} \psi=\gamma_{5} \xi \bar{\xi} \gamma_{5} \mathbb{D} \psi \propto \text { (eq. of motion of } \psi ; \text { on-shell nilpotency) }
$$

## Basic line of the proof

- In continuum theory, the formal invariance implies the Slavnov-Taylor (ST) identity or the Zinn-Justin equation for the effective action,

$$
\mathcal{S}(\Gamma)=0
$$

where

$$
\begin{aligned}
\mathcal{S}(F) \equiv \int & d^{4} x\left[\frac{\delta F}{\delta K_{A_{\mu}}^{a}(x)} \frac{\delta F}{\delta A_{\mu}^{a}(x)}+\frac{\delta F}{\delta \bar{K}_{\psi}^{a}(x)} \frac{\delta F}{\delta \psi^{a}(x)}+\frac{\delta F}{\delta K_{c}^{a}(x)} \frac{\delta F}{\delta c^{a}(x)}\right] \\
& +\int d^{4} x\left[s \bar{c}^{a}(x) \frac{\delta F}{\delta \bar{c}^{a}(x)}+s B^{a}(x) \frac{\delta F}{\delta B^{a}(x)}\right] \\
& +s \xi \frac{\partial F}{\partial \xi}+s t_{\mu} \frac{\partial F}{\partial t_{\mu}}+s \theta \frac{\partial F}{\partial \theta}+\cdots
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- We can define a lattice analogue of the generalized BRS transformation $s$ but $s$ is not nilpotent by $O(a)$ (of course!)

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- Here, $X_{S}(x)$ and $X_{A}(x)$ are $O(a)$ symmetry breaking terms

$$
\begin{aligned}
& \delta_{\xi}\left(S_{\text {gluon }}+S_{\text {gluino }}\right)=a^{4} \sum_{x} \bar{\xi} X_{S}(x), \\
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- The crucial ingredient is the "linearized" $\mathcal{S}(F)$, defined by

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## Very final step of the proof

- The expectation value survives only through radiative corrections, thus $O\left(\hbar^{n}\right)$ with $n \geq 1$. Taking $O\left(\hbar^{n}\right)$ terms of both sides,

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after some examination in the continuum limit, we have

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Q.E.D.

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- Applying the generalized BRS transformation that treats gauge, SUSY, translation, $U(1)_{A}$ in a unified way, to the lattice framework, we have established the relations,

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- Renormalized supercurrent and the energy-momentum tensor that go well with SUSY algebra (a la Ferrara-Zumino)?

$$
\delta_{\xi} j_{5 \mu}=\bar{\xi} \gamma_{5} S_{\mu}, \quad \delta_{\xi} S_{\mu}=2 \gamma_{\nu} \xi T_{\mu \nu}+\cdots
$$

## Summary

- Applying the generalized BRS transformation that treats gauge, SUSY, translation, $U(1)_{A}$ in a unified way, to the lattice framework, we have established the relations,

$$
\mathcal{Z}_{\chi}=\frac{1}{2} \mathcal{Z}_{P}, \quad \mathcal{Z}_{3 F}=0
$$

to all orders of the perturbation theory in the continuum limit

- These relations provide a theoretical basis for lattice formulations of 4D $\mathcal{N}=1$ SYM
- Constraint on the mixing of $X_{S}$ with BRS non-invariant operators (Taniguchi (1999))

$$
\int d^{4} x \mathcal{G}_{\zeta}(\text { BRS non-invariant operators })=0
$$

- Renormalized supercurrent and the energy-momentum tensor that go well with SUSY algebra (a la Ferrara-Zumino)?

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$$

- Lattice formulation of other supersymmetric theories...

