

### 1. Constructive definition of superstring theory

There are a plethora of vacua in the perturbative superstring theory.  
 ⇒ Now, we have come to the stage where we need a constructive definition of superstring theory.

A series of large  $N$  reduced models have been hitherto proposed as the candidates for the constructive definition.

#### IIB matrix model

N.Ishibashi, H.Kawai, Y.Kitazawa and A.Tsuchiya, hep-th/9612115.

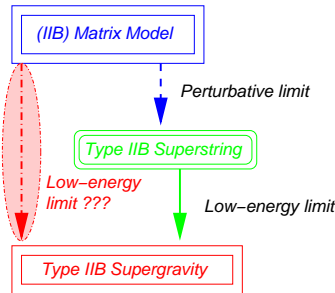
$$S = -\frac{1}{g^2} Tr_{N \times N} \left( \frac{1}{4} \sum_{a,b=0}^9 [A_a, A_b]^2 - \frac{1}{2} \bar{\psi} \sum_{a=0}^9 \Gamma^a [A_a, \psi] \right).$$

- $A_a$  (10-dimensional vectors) and  $\psi$  (10-dimensional Majorana-Weyl (i.e. 16-component) spinors) are promoted to  $N \times N$  Hermitian matrices.
- $SU(N)$  gauge symmetry and  $SO(9,1)$  Lorentz symmetry.
- Dimensional reduction of  $\mathcal{N} = 1$  10-dimensional SYM to 0 dimension.
- Matrix regularization of the Green-Schwarz action of type IIB superstring theory.
- $\mathcal{N} = 2$  SUSY: This theory must contain spin-2 gravitons if it admits massless particles.  
 ⇒ Therefore, the large  $N$  matrices  $A_a$ , per se, represent the spacetime coordinate.

(Q) Is it possible to build a matrix model which describes the general coordinate invariance more manifestly?

Can a matrix model describe the physics in the curved space?

- How is the local Lorentz invariance realized in the matrix model?
- Does a matrix model reduce to the (type IIB) supergravity in the low-energy limit?



### 2. Matrix as a differential operator

A large  $N$  matrix has the aspects of both spacetime coordinate and the differential operators:

- TEK model:  $A_a \sim \partial_a + a_a(x)$  (covariant derivative)
- IIB matrix model:  $A_a \sim X_a$  (spacetime coordinate)

IIB matrix model with noncommutative background

$$[\hat{p}_a, \hat{p}_b] = iB_{ab}, (B_{ab} = \text{real c-numbers})$$

interpolates these two pictures.

H. Aoki, N. Ishibashi, S. Iso, H. Kawai, Y. Kitazawa and T. Tada, hep-th/9908141

$Tr_{N \times N} \bar{\psi} \Gamma^a [A_a, \psi]$  reduces to the fermionic action  $\int d^d x \bar{\psi}(x) i \Gamma^a (\partial_i \psi(x) + [A_i(x), \psi(x)])$  in the flat space in the low-energy limit.

#### Attempts for a matrix model with local Lorentz invariance

The fermionic action in the curved space:

$$\begin{aligned} S_F &= \int d^d x e(x) \bar{\psi}(x) \Gamma^a e_a^i(x) \left( i \partial_i \psi(x) + [A_i(x), \psi(x)] + \frac{i}{4} \Gamma^{bc} \omega_{ibc}(x) \psi(x) \right) \\ &\Downarrow (\text{absorb the determinant } e(x) \text{ into the definition of } \Psi(x) \text{ as } \Psi(x) = e^{\frac{1}{2}}(x) \psi(x)) \\ &= \int d^d x \left\{ \bar{\Psi}(x) i \Gamma^a e_a^i(x) (\partial_i \Psi(x) + [A_i(x), \Psi(x)]) \right. \\ &\quad \left. + \frac{i}{4} \bar{\Psi}(x) \Gamma^{a_1 a_2 a_3} e_{[a_1}^i(x) \omega_{i a_2 a_3]}(x) \Psi(x) \right\} \end{aligned}$$

- $a, b, c, \dots$ : indices of the 10-dimensional Minkowskian spacetime.
- $i, j, k, \dots$ : indices of the 10-dimensional curved spacetime.

The correspondence between the matrix model and the continuum limit:

$$\begin{aligned} Tr_{N \times N} &\rightarrow \int d^d x, \\ \psi &\rightarrow \underbrace{\Psi(x) = e^{\frac{1}{2}}(x) \psi(x)}_{\text{spinor root density}}, \\ [A_a, \quad ] &\rightarrow i e^{\frac{1}{2}}(x) e_a^i(x) (\partial_i + [A_i(x), \quad ]) e^{-\frac{1}{2}}(x), \\ \{A_{a_1 a_2 a_3}, \psi\} &\rightarrow \underbrace{e_{[a_1}^i(x) \omega_{i a_2 a_3]}(x) \psi(x)}_{\text{anti-commutator} \Leftrightarrow \text{product}}. \end{aligned}$$

The rank-3 matrices correspond to the spin connection!

Note the commutation relations of the (anti)-hermitian operators:  $[h_1, h_2] \in \mathbf{A}$ ,  $[h, a] \in \mathbf{H}$ ,  $[a_1, a_2] \in \mathbf{A}$ ,  $\{h_1, h_2\} \in \mathbf{H}$ ,  $\{h, a\} \in \mathbf{A}$ ,  $\{a_1, a_2\} \in \mathbf{H}$ .

The corresponding matrix model is

$$\begin{aligned} S_F &\Leftrightarrow \frac{1}{2} Tr \bar{\psi} \Gamma^a [A_a, \psi] + \frac{i}{2} \bar{\psi} \Gamma^{abc} \{A_{abc}, \psi\} \\ &\stackrel{*}{=} Tr (\bar{\psi} \Gamma^a A_a \psi + i \bar{\psi} \Gamma^{a_1 a_2 a_3} A_{a_1 a_2 a_3} \psi). \end{aligned}$$

( $\stackrel{*}{=}$  holds only if  $\psi$  is hermitian.)

#### Local Lorentz transformation and the "gauged" model

$SO(9,1)$  and  $U(N)$  symmetry is decoupled in IIB matrix model: The  $SO(9,1) \times U(N)$  symmetry is a tensor product of the group. For  $\zeta \in so(9,1)$  and  $u \in u(N)$ ,

$$\exp(\zeta \otimes \mathbf{1} + \mathbf{1} \otimes u) = e^\zeta \otimes e^u.$$

The spacetime coordinate is embedded in the eigenvalues of the large  $N$  matrices.

⇒ If we are to formulate a matrix model with local Lorentz invariance, the  $so(9,1)$  Lorentz symmetry and the  $u(N)$  gauge symmetry must be unified.

The gauge group must close with respect to the commutator

$$[a \otimes A, b \otimes B] = \frac{1}{2} ([a, b] \otimes \{A, B\} + \{a, b\} \otimes [A, B]).$$

#### Local Lorentz transformation of the matrix model

$$\delta \psi = \frac{1}{4} \Gamma^{a_1 a_2} \varepsilon_{a_1 a_2} \psi,$$

instead of  $\delta\psi = \frac{1}{4}\Gamma^{a_1 a_2}\{\varepsilon_{a_1 a_2}, \psi\}$  at the cost of the hermiticity of  $\psi$ .

At this time, the product  $A_a \psi$  does not directly correspond to the covariant derivative  $(\partial_a \psi(x) + [A_a(x), \psi(x)])$ .

The local Lorentz transformation of the action:

$$\delta S'_F = \frac{1}{4} Tr \bar{\psi} [\Gamma^a A_a + i \Gamma^{a_1 a_2 a_3} A_{a_1 a_2 a_3}, \Gamma^{b_1 b_2} \varepsilon_{b_1 b_2}] \psi.$$

However, this action **does not close** with respect to the local Lorentz transformation:

$$= \frac{i}{2} \underbrace{[\Gamma^{a_1 a_2 a_3}, \Gamma^{b_1 b_2}] \{A_{a_1 a_2 a_3}, \varepsilon_{b_1 b_2}\}}_{\text{rank 3}} + \frac{i}{2} \underbrace{[\Gamma^{a_1 a_2 a_3}, \Gamma^{b_1 b_2}] \{A_{a_1 a_2 a_3}, \varepsilon_{b_1 b_2}\}}_{\text{rank 1, 5}}.$$

We need the terms of all odd ranks in order to formulate a local Lorentz invariant matrix model.

The algebra of the local Lorentz transformation must include all the even-rank gamma matrices:

$$= \frac{1}{2} \underbrace{[\Gamma^{a_1 a_2}, \Gamma^{b_1 b_2}] \{\varepsilon_{a_1 a_2}, \varepsilon'_{b_1 b_2}\}}_{\text{rank-2}} + \frac{1}{2} \underbrace{[\Gamma^{a_1 a_2}, \Gamma^{b_1 b_2}] \{\varepsilon_{a_1 a_2}, \varepsilon'_{b_1 b_2}\}}_{\text{rank-0, 4}}.$$

### 3. Matrix model related to type IIB supergravity

$$S = Tr_{N \times N} [tr_{32 \times 32} V(m^2) + \bar{\psi} m \psi]$$

$m$  includes all odd-rank gamma matrices in 10 dimensions.

We want to identify  $m$  with the Dirac operator.

$\Rightarrow$  We introduce  $D = [(\text{length})^{-1}]$  as an extension of the Dirac operator.

$$\begin{aligned} m &= \tau^{\frac{1}{2}} D, \text{ where } \tau = [(\text{length})^2], \\ D &= A_a \Gamma^a + \frac{i}{3!} A_{a_1 a_2 a_3} \Gamma^{a_1 a_2 a_3} - \frac{1}{5!} A_{a_1 \dots a_5} \Gamma^{a_1 \dots a_5} \\ &\quad - \frac{i}{7!} A_{a_1 \dots a_7} \Gamma^{a_1 \dots a_7} + \frac{1}{9!} A_{a_1 \dots a_9} \Gamma^{a_1 \dots a_9}. \end{aligned}$$

$\tau$  is not an  $N$ -dependent ultraviolet cut-off parameter, but a reference scale ( $\sim l_p^2$ ).

$A_{a_1 \dots a_{2n-1}} = \frac{i^{2n-1}}{32 \times (2n-1)!} tr(D \Gamma_{a_1 \dots a_{2n-1}})$  are hermitian differential operators, so that  $m$  satisfies  $\Gamma^0 m^\dagger \Gamma^0 = m$ .

$\Rightarrow$  They are expanded by the number of the derivatives:

$$\begin{aligned} A_{a_1 \dots a_{2n-1}} &= a_{a_1 \dots a_{2n-1}}(x) \\ &\quad + \sum_{k=1}^{\infty} \frac{i^k}{2} \{ \partial_{i_1} \dots \partial_{i_k}, \underbrace{a^{(i_1 \dots i_k)}_{a_1 \dots a_{2n-1}}(x)}_{[(\text{length})^{-1+k}]}. \end{aligned}$$

$a_a^{(i)}(x)$  is identified with the vielbein  $e_a^i(x)$  in the background metric.

$$\begin{aligned} D &= e^{\frac{1}{2}}(x) \left[ i e_a^i(x) \Gamma^a \left( \partial_i + \frac{1}{4} \Gamma^{bc} \omega_{ibc}(x) \right) \right] e^{-\frac{1}{2}}(x) \\ &\quad + (\text{higher-rank terms}) + (\text{higher-derivative terms}). \end{aligned}$$

The potential  $V(m^2)$  is generically  $V(m^2) \sim \exp(-m^2)^\alpha$ .

$\Rightarrow$  The damping factor is naturally included in the bosonic term.

$\Rightarrow$  The trace for the infinitely large  $N$  matrices is finite.

$\psi$  is a Weyl fermion, but not Majorana.

We need to introduce a damping factor so that the trace should be finite.

$$\psi = (\chi(x) + \sum_{l=1}^{\infty} \underbrace{\chi^{(i_1 \dots i_l)}(x)}_{[(\text{length})^l]} \partial_{i_1} \dots \partial_{i_l}) \times e^{-(\tau D^2)^\alpha}.$$

### Local Lorentz invariance

The action is invariant under the local Lorentz transformation:

$$\begin{aligned} \delta m &= [m, \varepsilon], \quad \delta \psi = \varepsilon \psi, \quad \delta \bar{\psi} = -\bar{\psi} \varepsilon, \text{ where} \\ \varepsilon &= -i \varepsilon_0 + \frac{1}{2!} \Gamma^{a_1 a_2} \varepsilon_{a_1 a_2} + \dots + \frac{1}{10!} \Gamma^{a_1 \dots a_{10}} \varepsilon_{a_1 \dots a_{10}}. \end{aligned}$$

The invariance under the local Lorentz transformation:

$$\delta S = 2 Tr [tr(V'_S(m^2) m [m, \varepsilon]) + Tr [tr(\bar{\psi} [m, \varepsilon] \psi)] = 0.$$

The cyclic property still holds true of the trace for the large  $N$  matrices, if we assume that the coefficients damp rapidly at infinity:

$$\lim_{|x| \rightarrow \infty} a^{(i_1 \dots i_k)}_{a_1 \dots a_{2n-1}}(x) = \lim_{|x| \rightarrow \infty} \chi^{(i_1 \dots i_k)}(x) = 0.$$

### Heat kernel expansion

If this matrix model is to reduce to the type IIB supergravity in the low-energy limit,

$\Rightarrow$  the following fields should be massless, while the other fields should be massive and decoupled in the low-energy limit.

- The antisymmetric even-rank tensors  $a^{(i)}_{a_1 \dots a_{2n}}(x)$ .
- Dilatino  $\chi(x)$ , gravitino  $\chi^{(i)}(x)$ .

$V(m^2)$  is determined so that

- The fields  $a^{(i)}_{a_1 \dots a_{2n}}(x)$  become massless (then, we surmise that the gravitino and the dilatino will be massless due to the supersymmetry).
- The Dirac operator in the flat space  $m_0 = i \Gamma^a \partial_a$  becomes a classical solution (as a corollary, the cosmological constant cancels in the action).

$V(u)$  is chosen as, for example,

$$V_0(u) = \frac{\partial^{\frac{d}{2}-1} (e^{-u^{\frac{1}{4}}} \sin u^{\frac{1}{4}})}{\partial u^{\frac{d}{2}-1}}.$$

The model reduces to the Einstein gravity in the classical low-energy limit:

The linear term of the vielbein  $a_a^{(a)}(x)$  vanishes, and hence the cross terms  $a_a^{(a)}(x) a_b^{(b_1 \dots b_k)}(x)$  also vanish, due to the general coordinate invariance.

$$\begin{aligned} Tr [tr V(m^2)] &= \int_0^\infty ds g(s) Tr [tr (e^{-s \tau D^2})] \\ &= \int_0^\infty ds g(s) \left\{ \int d^d x \frac{32}{(2\pi\tau)^{\frac{d}{2}}} s \tau e(x) \frac{R(x)}{6} + \dots \right\}. \end{aligned}$$

### $\mathcal{N} = 2$ SUSY

The SUSY transformation of the model:

$$\delta \psi = 2V'(m^2)\epsilon, \quad \delta \bar{\psi} = 2\bar{\epsilon}V'(m^2), \quad \delta m = \epsilon \bar{\psi} + \psi \bar{\epsilon}.$$

In the following, we assume that the Taylor expansion of  $V(u)$  around  $u = 0$  is possible.

Commutator of the SUSY transformation on shell:

$$\begin{aligned} [\delta_\epsilon, \delta_\xi] m &= 2[\xi \bar{\epsilon} - \epsilon \bar{\xi}, V'(m^2)], \\ [\delta_\epsilon, \delta_\xi] \psi &= 2\psi \left( \bar{\epsilon} m \frac{V'(m^2) - V'(0)}{m^2} \xi - \bar{\xi} m \frac{V'(m^2) - V'(0)}{m^2} \epsilon \right). \end{aligned}$$

In order to see the structure of the  $\mathcal{N} = 2$  SUSY, we separate the SUSY parameters into the hermitian and the antihermitian parts as

$$\epsilon = \epsilon_1 + i\epsilon_2, \quad \xi = \xi_1 + i\xi_2,$$

( $\xi_1, \xi_2, \epsilon_1, \epsilon_2$  are Majorana-Weyl fermions.)

The translation of the bosons is attributed to the quartic term in the Taylor expansion of  $V(m) = \sum_{k=1}^{\infty} \frac{a_{2k}}{2^k} m^{2k}$ .

$$\begin{aligned} &[\delta_\epsilon, \delta_\xi] a_a(x) \\ &= \frac{a_4}{8} (\bar{\xi}_1 \Gamma^i \epsilon_1 + \bar{\xi}_2 \Gamma^i \epsilon_2) \times \left( \underbrace{i(\partial_i a_a(x))}_{\text{translation}} - \underbrace{i(\partial_a a_i(x)) + [a_i(x), a_a(x)]}_{\text{gauge transformation}} \right) + \dots, \end{aligned}$$

However, the commutator for the fermion does not constitute a translation:

$$[\delta_\epsilon, \delta_\xi] \chi(x) = (\text{non-linear terms of the fields}).$$

It is a future problem to surmount this difficulty and find a proper SUSY transformation.