

# Supermatrix Models

hep-th/0102168

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## Contents

1	Introduction	2
2	$osp(1 32, R)$ cubic matrix model	3
3	$gl(1 32, R) \otimes gl(N)$ gauged model	7
4	Conclusion	12

# 1 Introduction

## constructive definition of superstring theory

Large  $N$  reduced models are the most powerful candidate for the constructive definition of superstring theory.

### IKKT model

N.Ishibashi, H.Kawai, Y.Kitazawa and A.Tsuchiya, hep-th/9612115.

For a review, hep-th/9908038

Dimensional reduction of  $\mathcal{N} = 1$  10-dimensional SYM theory to 0 dimension.

Matrix regularization of Green-Schwarz action of type IIB superstring theory.

$$S = -\frac{1}{g^2} \text{Tr}_{N \times N} \left( \frac{1}{4} \sum_{i,j=0}^9 [A_i, A_j]^2 + \frac{1}{2} \bar{\psi} \sum_{i=0}^9 \Gamma^i [A_i, \psi] \right).$$

- $SO(10) \times SU(N)$  gauge symmetry.
- $\mathcal{N} = 2$  SUSY.
  - \* homogeneous :  $\delta_\epsilon^{(1)} A_i = i\bar{\epsilon}\Gamma_i\psi$ ,  $\delta_\epsilon^{(1)}\psi = \frac{i}{2}\Gamma^{ij}[A_i, A_j]\epsilon$ .
  - \* inhomogeneous :  $\delta_\xi^{(2)} A_i = 0$ ,  $\delta_\xi^{(2)}\psi = \xi$ .
  - \*  $[\delta_\epsilon^{(1)}, \delta_\xi^{(2)}]A_i = -i\bar{\epsilon}\Gamma_i\xi$ ,  $[\delta_\epsilon^{(1)}, \delta_\xi^{(2)}]\psi = 0$ .
- The matrices describe the many-body system.
- No free parameter:  $A_\mu \rightarrow g^{\frac{1}{2}}A_\mu$ ,  $\psi \rightarrow g^{\frac{3}{4}}\psi$ .

## 2 $osp(1|32, R)$ cubic matrix model

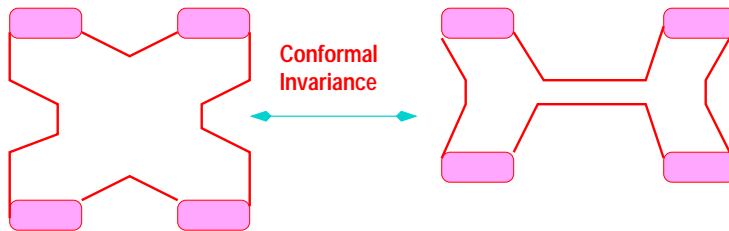
We investigate a matrix model based on super Lie algebra  $osp(1|32, R)$ .

L. Smolin, hep-th/0002009

T. Azuma, S. Iso, H. Kawai and Y. Ohwashi, hep-th/0102168

- $OSp(1|32, R)$  is the full symmetry group of  $\mathcal{M}$ -theory.
- The spacetime is extended to 11 dimensions.
- The theory is described by a cubic action. :

\* The cubic interaction is the most fundamental one in string theory.



\* Chern Simons Theory is **exactly solvable by means of Jones polynomial**.

E. Witten, *Commun. Math. Phys.* **121** (1989) 351

The non-perturbative analysis may be exactly performed.

## $osp(1|32, R)$ super Lie algebra

- $M \in osp(1|32, R) \Rightarrow {}^T M G + G M = 0$ ,  
where  $G = \begin{pmatrix} \Gamma^0 & 0 \\ 0 & i \end{pmatrix}$ .
- $M = \begin{pmatrix} m & \psi \\ i\bar{\psi} & 0 \end{pmatrix}$ , where  $m\Gamma^0 + \Gamma^0 m = 0$  ( $m \in sp(32)$ ).
- $m = u_{\mu_1} \Gamma^{\mu_1} + \frac{1}{2!} u_{\mu_1 \mu_2} \Gamma^{\mu_1 \mu_2} + \frac{1}{5!} u_{\mu_1 \dots \mu_5} \Gamma^{\mu_1 \dots \mu_5}$ .

## action of the cubic model

$$\begin{aligned}
 I &= \frac{i}{g^2} Tr_{N \times N} \sum_{Q,R=1}^{33} [(\sum_{p=1}^{32} M_p^Q [M_Q^R, M_R^p]) - M_{33}^Q [M_Q^R, M_R^{33}]] \\
 &= -\frac{f^{abc}}{2g^2} \sum_{a,b,c=1}^{N^2} Str_{33 \times 33} (M_a M_b M_c) \\
 &= \frac{i}{g^2} Tr_{N \times N} [m_p^q [m_q^r, m_r^p] - 3i\bar{\psi}^p [m_p^q, \psi^q]].
 \end{aligned}$$

- Each component of the  $33 \times 33$  supermatrices is promoted to a large  $N$  hermitian matrix.
- No free parameter:  $M \rightarrow g^{\frac{2}{3}} M$ .
- $OSp(1|32, R) \times U(N)$  gauge symmetry.
  - \*  $M \rightarrow M + [M, (S \otimes 1_{N \times N})]$  for  $S \in osp(1|32, R)$ ,
  - \*  $M \rightarrow M + [M, (1_{33 \times 33} \otimes U)]$  for  $U \in u(N)$ .

## Supersymmetry

The SUSY transformation of the  $osp(1|32, R)$  is **identified with that of IKKT model**.

- **homogeneous SUSY:**

The SUSY transformation by the supercharge

$$Q = \begin{pmatrix} 0 & \chi \\ i\bar{\chi} & 0 \end{pmatrix}.$$

$$\delta_\chi^{(1)} M = [Q, M] = \begin{pmatrix} i(\chi\bar{\psi} - \psi\bar{\chi}) & -m\chi \\ i\bar{\chi}m & 0 \end{pmatrix}.$$

- **inhomogeneous SUSY:**

The translation of the fermionic field  $\delta_\epsilon^{(2)}\psi = \epsilon$ .

In order to see the correspondence of the fields with IKKT model, we express the bosonic  $32 \times 32$  matrices in terms of the 10-dimensional indices ( $i = 0, \dots, 9, \sharp = 10$ ).

$$m = W\Gamma^\sharp + \frac{1}{2}[A_i^{(+)}\Gamma^i(1 + \Gamma^\sharp) + A_i^{(-)}\Gamma^i(1 - \Gamma^\sharp)] + \frac{1}{2!}C_{i_1 i_2}\Gamma^{i_1 i_2} + \frac{1}{4!}H_{i_1 \dots i_4}\Gamma^{i_1 \dots i_4 \sharp} + \frac{1}{5!}[I_{i_1 \dots i_5}^{(+)}\Gamma^{i_1 \dots i_5}(1 + \Gamma^\sharp) + I_{i_1 \dots i_5}^{(-)}\Gamma^{i_1 \dots i_5}(1 - \Gamma^\sharp)].$$

## Identification of the fields

$$\begin{aligned} \delta_\chi^{(1)} A_i^{(+)} &= \frac{i}{16}\bar{\chi}\Gamma_i(1 - \Gamma_\sharp)\psi = \frac{i}{8}\bar{\chi}_R\Gamma_i\psi_R, \\ \delta_\chi^{(1)} A_i^{(-)} &= \frac{i}{16}\bar{\chi}\Gamma_i(1 + \Gamma_\sharp)\psi = \frac{i}{8}\bar{\chi}_L\Gamma_i\psi_L, \\ \delta_\chi^{(1)}\psi &= -m\psi. \end{aligned}$$

## Commutation relations

- $[\delta_\chi^{(1)}, \delta_\epsilon^{(2)}]m = -i(\chi\bar{\epsilon} - \epsilon\bar{\chi}), \quad [\delta_\chi^{(1)}, \delta_\epsilon^{(2)}]\psi = 0.$

$$[\delta_{\chi_R}^{(1)}, \delta_{\epsilon_R}^{(2)}]A_i^{(+)} = \frac{i}{8}\bar{\epsilon}_R\Gamma_i\chi_R, \quad [\delta_{\chi_L}^{(1)}, \delta_{\epsilon_L}^{(2)}]A_i^{(+)} = 0,$$

$$[\delta_{\chi_R}^{(1)}, \delta_{\epsilon_R}^{(2)}]A_i^{(-)} = 0, \quad [\delta_{\chi_L}^{(1)}, \delta_{\epsilon_L}^{(2)}]A_i^{(-)} = \frac{i}{8}\bar{\epsilon}_L\Gamma_i\chi_L,$$

$$[\delta_{\chi_L}^{(1)}, \delta_{\epsilon_R}^{(2)}]A_i^{(\pm)} = [\delta_{\chi_R}^{(1)}, \delta_{\epsilon_L}^{(2)}]A_i^{(\pm)} = 0.$$

- $[\delta_\chi^{(2)}, \delta_\epsilon^{(2)}]m = [\delta_\chi^{(2)}, \delta_\epsilon^{(2)}]\psi = 0$  is trivial.

- $[\delta_\chi^{(1)}, \delta_\epsilon^{(1)}]m = i[\chi\bar{\epsilon} - \epsilon\bar{\chi}, m], \quad [\delta_\chi^{(1)}, \delta_\epsilon^{(1)}]\psi = i(\chi\bar{\epsilon} - \epsilon\bar{\chi})\psi.$

- \*  $[\delta_{\chi_R}^{(1)}, \delta_{\epsilon_R}^{(1)}]A_i^{(+)} = \frac{i}{8}\bar{\chi}_R[m, \Gamma_i]\epsilon_R.$

In the (r.h.s.), the fields  $W$ ,  $C_{i_1 i_2}$  and  $H_{i_1 \dots i_4}$  survive.

→ these fields are integrated out.

- \*  $[\delta_{\chi_L}^{(1)}, \delta_{\epsilon_R}^{(1)}]A_i^{(+)} = -\frac{i}{8}\bar{\chi}_L A_j^{(+)} \Gamma_i^j \epsilon_R + \dots.$

The fields  $A_i^{(\pm)}$  itself remains in the commutator!

## Summary

The  $osp(1|32, R)$  cubic matrix model possesses a two-fold structure of the SUSY of IKKT model.

IKKT model	bosons $A_i$	fermions $\psi$	SUSY parameters
SUSY I	$A_i^{(+)}$	$\psi_R$	$\chi_R, \epsilon_R$
SUSY II	$A_i^{(-)}$	$\psi_L$	$\chi_L, \epsilon_L$

### 3 $gl(1|32, R) \otimes gl(N)$ gauged model

We consider the model whose gauge symmetry is enhanced by altering the direct product of the Lie algebra.

L. Smolin, hep-th/0006137

T. Azuma, S. Iso, H. Kawai and Y. Ohwashi, hep-th/0102168

(\*)  $\mathcal{A}, \mathcal{B} =$  [The Lie algebras whose bases are  $\{a_i\}$  and  $\{b_j\}$ , respectively.]

- $\mathcal{A} \otimes \mathcal{B}$ : The space spanned by the basis  $a_i \otimes b_j$ . This is **not necessarily a closed Lie algebra**.
- $\mathcal{A} \check{\otimes} \mathcal{B}$ : The smallest Lie algebra that includes  $\mathcal{A} \otimes \mathcal{B}$  as a subset.

The gauge symmetry  $OSp(1|32, R) \times U(N)$  is enhanced to  $osp(1|32, R) \check{\otimes} u(N)$ .

- $osp(1|32, R) \otimes u(N)$  is not a closed Lie algebra.
- $osp(1|32, R) \check{\otimes} u(N) = u(1|16, 16) \otimes u(N)$ .  
 $u(1|16, 16)$  is the complexification of  $osp(1|32, R)$ .
- We consider the Lie algebra  
 $gl(1|32, R) \check{\otimes} gl(N) = gl(1|32, R) \otimes gl(N)$   
as an analytical continuation of  $u(1|16, 16) \otimes u(N)$ .

## $u(1|16, 16)$ super Lie algebra

- $M \in u(1|16, 16) \Rightarrow M^\dagger G + G M = 0$ , where  $G = \begin{pmatrix} \Gamma^0 & 0 \\ 0 & i \end{pmatrix}$ .
- $M = \begin{pmatrix} m & \psi \\ i\bar{\psi} & v \end{pmatrix}$ , where  $m^\dagger \Gamma^0 + \Gamma^0 m = 0$ .
- $m = u1 + u_{\mu_1} \Gamma^{\mu_1} + \frac{1}{2!} u_{\mu_1 \mu_2} \Gamma^{\mu_1 \mu_2} + \frac{1}{3!} u_{\mu_1 \mu_2 \mu_3} \Gamma^{\mu_1 \mu_2 \mu_3} + \frac{1}{4!} u_{\mu_1 \dots \mu_4} \Gamma^{\mu_1 \dots \mu_4} + \frac{1}{5!} u_{\mu_1 \dots \mu_5} \Gamma^{\mu_1 \dots \mu_5}$ .
- $\begin{cases} u_{\mu_1}, u_{\mu_1 \mu_2}, u_{\mu_1 \dots \mu_5} & \Rightarrow \text{real number} \\ v, u, u_{\mu_1 \mu_2 \mu_3}, u_{\mu_1 \dots \mu_4} & \Rightarrow \text{pure imaginary} \end{cases}$

$u(1|16, 16)$  is the direct sum of the two different representations of  $osp(1|32, R)$ .

♣  $u(1|16, 16) = \mathcal{H} \oplus \mathcal{A}'$ , where

$$\mathcal{H} = \left\{ M = \begin{pmatrix} m_h & \psi_h \\ i\bar{\psi}_h & 0 \end{pmatrix} \mid m_h = u_{\mu_1} \Gamma^{\mu_1} + \frac{1}{2!} u_{\mu_1 \mu_2} \Gamma^{\mu_1 \mu_2} + \frac{1}{5!} u_{\mu_1 \dots \mu_5} \Gamma^{\mu_1 \dots \mu_5}, \right. \\ \left. u_{\mu_1}, u_{\mu_1 \mu_2}, u_{\mu_1 \dots \mu_5}, \psi_h \in \mathcal{R} \right\},$$

$$\mathcal{A}' = \left\{ M = \begin{pmatrix} m_a & i\psi_a \\ \bar{\psi}_a & iv \end{pmatrix} \mid m_a = u + \frac{1}{3!} u_{\mu_1 \mu_2 \mu_3} \Gamma^{\mu_1 \mu_2 \mu_3} + \frac{1}{4!} u_{\mu_1 \dots \mu_4} \Gamma^{\mu_1 \dots \mu_4}, \right. \\ \left. u, u_{\mu_1 \mu_2 \mu_3}, u_{\mu_1 \dots \mu_4}, i\psi_a, iv \in (\text{pure imaginary}) \right\}.$$

## $gl(1|32, R)$ super Lie algebra

- $M \in gl(1|32, R) \Rightarrow M = \begin{pmatrix} m & \psi \\ i\bar{\phi} & v \end{pmatrix}$
- $m = u1 + u_{\mu_1} \Gamma^{\mu_1} + \frac{1}{2!} u_{\mu_1 \mu_2} \Gamma^{\mu_1 \mu_2} + \frac{1}{3!} u_{\mu_1 \mu_2 \mu_3} \Gamma^{\mu_1 \mu_2 \mu_3} + \frac{1}{4!} u_{\mu_1 \dots \mu_4} \Gamma^{\mu_1 \dots \mu_4} + \frac{1}{5!} u_{\mu_1 \dots \mu_5} \Gamma^{\mu_1 \dots \mu_5}$ .
- $u, \dots, u_{\mu_1 \dots \mu_5}, \psi, \phi, v$  are all real numbers.

$gl(1|32, R)$  is the analytical continuation of  $u(1|16, 16)$ , in that

$$\clubsuit \quad gl(1|32, R) = \mathcal{H} \oplus \mathcal{A}, \quad \text{where } \mathcal{A}' = i\mathcal{A}.$$



## action of the cubic model

$$\begin{aligned}
I &= \frac{1}{g^2} \text{Tr}_{N \times N} \sum_{Q,R=1}^{33} \left[ \left( \sum_{p=1}^{32} M_p^Q M_Q^R M_R^p \right) - M_{33}^Q M_Q^R M_R^{33} \right] \\
&= \frac{1}{g^2} \sum_{a,b,c=1}^{N^2} \text{Str}_{33 \times 33} (M_a M_b M_c) \text{Tr}_{N \times N} (T^a T^b T^c) \\
&= \frac{1}{g^2} \text{Tr}_{N \times N} [m_p^q m_q^r m_r^p - 3i\bar{\phi}^p m_p^q \psi^q - 3iv\bar{\phi}^p \psi_p - v^3].
\end{aligned}$$

- Each component of the  $33 \times 33$  supermatrices is promoted to a large  $N$  real matrix.
- No free parameter:  $M \rightarrow g^{\frac{2}{3}} M$ .
- $gl(1|32, R) \otimes gl(N)$  gauge symmetry.

$$\begin{aligned}
M &\rightarrow M + [M, (S \otimes U)] \\
&\text{for } S \in osp(1|32, R) \text{ and } U \in u(N).
\end{aligned}$$

- The bosonic  $32 \times 32$  matrices are separated into  $m_e$  and  $m_o$  in terms of 10-dimensional indices.

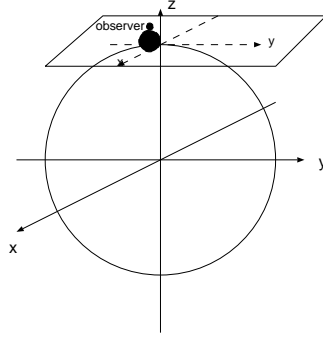
$$\begin{aligned}
m_e &= Z + W\Gamma^\sharp + \frac{1}{2!} (C_{i_1 i_2} \Gamma^{i_1 i_2} + D_{i_1 i_2} \Gamma^{i_1 i_2 \sharp}) + \frac{1}{4!} (G_{i_1 \dots i_4} \Gamma^{i_1 \dots i_4} + H_{i_1 \dots i_4} \Gamma^{i_1 \dots i_4 \sharp}), \\
m_o &= \frac{1}{2} (A_i^{(+)} \Gamma^i (1 + \Gamma^\sharp) + A_i^{(-)} \Gamma^i (1 - \Gamma^\sharp)) \\
&\quad + \frac{1}{2 \times 3!} (E_{i_1 i_2 i_3}^{(+)} \Gamma^{i_1 i_2 i_3} (1 + \Gamma^\sharp) + E_{i_1 i_2 i_3}^{(-)} \Gamma^{i_1 i_2 i_3} (1 - \Gamma^\sharp)) \\
&\quad + \frac{1}{5!} (I_{i_1 \dots i_5}^{(+)} \Gamma^{i_1 \dots i_5} (1 + \gamma^\sharp) + I_{i_1 \dots i_5}^{(-)} \Gamma^{i_1 \dots i_5} (1 - \Gamma^\sharp)).
\end{aligned}$$

## Wigner Inönü contraction

We consider the hyperboloid in the  $AdS$  space whose radius  $R$  is sufficiently large. The hyperboloid is approximated by the  $R^{9,1}$  flat plane at the "north pole".

AdS space:  $x^\mu x^\nu \eta_{\mu\nu} = -R^2$ , with  $\eta_{\mu\nu} = \text{diag}(-1, 1, \dots, 1, -1)$ .

(\*)The intuitive image of the Wigner Inönü contraction in the 3-dimensional case.



♣ The Lorentz transformation in the 11-dimensional space ( $\mu, \nu = 0, 1, \dots, 9, \sharp$ ):

$$[M_{\mu\nu}, M_{\rho\sigma}] = \eta_{\nu\rho} M_{\mu\sigma} + \eta_{\mu\sigma} M_{\nu\rho} - \eta_{\mu\rho} M_{\nu\sigma} - \eta_{\nu\sigma} M_{\mu\rho}.$$

♣ We consider the algebra in the plane perpendicular to the  $x^\sharp$  direction.

• **Translation:**

$$P_i = (\text{The translation in the direction of } x_i) = \frac{1}{R} M_{\sharp i} = \frac{1}{R} \Gamma_{\sharp i}.$$

• **Lorentz transformation:**

$$M_{ij} = (\text{The Lorentz transformation on the } x_i x_j \text{ plane}) = \Gamma_{ij}.$$

♣ The commutation relations of the translations and the Lorentz transformations:

$$\bullet [M_{ij}, M_{kl}] = \eta_{jk} M_{il} + \eta_{il} M_{jk} - \eta_{ik} M_{jl} - \eta_{jl} M_{ik}.$$

$$\bullet [P_i, M_{jk}] = -\eta_{ik} P_j + \eta_{ij} P_k.$$

$$\bullet [P_i, P_j] = \frac{1}{R^2} M_{ij} \rightarrow 0. \text{ Two translations commute with each other when the radius } R \text{ is large.}$$

In order to perform the Wigner Inönü contraction, we alter the action as

$$I = \frac{1}{3} \text{Tr}(\text{Str} M_t^3) - R^2 \text{Tr}(\text{Str} M_t).$$

The EOM  $\frac{\partial I}{\partial M_t} = M_t^2 - R^2 \mathbf{1}_{33 \times 33} = 0$  possesses a classical solution

$$\langle M \rangle = \begin{pmatrix} R\Gamma^\sharp \otimes \mathbf{1}_{N \times N} & 0 \\ 0 & R \otimes \mathbf{1}_{N \times N} \end{pmatrix}.$$

$$\begin{aligned} M_t &= (\text{classical solution } \langle M \rangle) + (\text{fluctuation } M) \\ &= \begin{pmatrix} R\Gamma^\sharp \otimes \mathbf{1}_{N \times N} & 0 \\ 0 & R \otimes \mathbf{1}_{N \times N} \end{pmatrix} + \begin{pmatrix} m & \psi \\ i\bar{\phi} & v \end{pmatrix}. \end{aligned}$$

The action is expressed in terms of the fluctuation as

$$\begin{aligned} I &= R(\text{tr}(m_e^2 \Gamma^\sharp) - v^2 - 2i\bar{\phi}_R \psi_L) + \left(\frac{1}{3} m_e^3 + \text{tr}(m_e m_o^2)\right) \\ &\quad - i(\bar{\phi}_R(m_e + v)\psi_L + \bar{\phi}_L(m_e + v)\psi_R + \bar{\phi}_L m_o \psi_L + \bar{\phi}_R m_o \psi_R) - \frac{1}{3} v^3. \end{aligned}$$

The fluctuation is rescaled as

- $m_t = R\Gamma^\sharp + m = R\Gamma^\sharp + R^{-\frac{1}{2}} m'_e + R^{\frac{1}{4}} m'_o,$
- $v_t = R + v = R + R^{-\frac{1}{2}} v',$
- $\psi = \psi_L + \psi_R = R^{-\frac{1}{2}} \psi'_L + R^{\frac{1}{4}} \psi'_R,$
- $\bar{\phi} = \bar{\phi}_L + \bar{\phi}_R = R^{\frac{1}{4}} \bar{\phi}'_L + R^{-\frac{1}{2}} \bar{\phi}'_R.$

We obtain the **vanishing** effective action by integrating out  $m'_e, \psi'_L, \bar{\phi}'_R$  and  $v'$ .

$$\begin{aligned} e^{-W} &= \int dm'_e d\psi'_L d\bar{\phi}'_R dv e^{-I}, \\ \Rightarrow W &= -\frac{1}{4} \text{tr}(\Gamma^\sharp \{m_o'^2 + i(\psi'_R \bar{\phi}'_L)\}^2) - \frac{1}{4} (\bar{\phi}'_L \psi_R)^2 + \frac{i}{2} (\bar{\phi}'_L m_o'^2 \psi'_R) = 0. \end{aligned}$$

This gauged model may be **related to a topological matrix model**.

## 4 Conclusion

- We have investigated the cubic model whose gauge symmetry is the super Lie algebra  $OSp(1|32, R) \times U(N)$ .
- $osp(1|32, R)$  cubic matrix model possesses a two-fold structure of the  $\mathcal{N} = 2$  SUSY of IKKT model.
- We have investigated the  $gl(1|32, R) \otimes gl(N)$  gauged model as an extension by means of the Wigner-Inönü contraction.
- The effective action vanishes, and this model is related to a topological matrix model.

(\*) In order to grasp the intuitive image of 'Smolin's gauged theory', we consider the following simple example.

$$su(6) = su(3) \check{\otimes} su(2).$$

$\lambda^a$ : basis of  $su(3)$  ( $a = 1, 2, \dots, 8$ ).

$\sigma^i$ : basis of  $su(2)$  ( $i = 1, 2, 3$ ).

- $\lambda^a \otimes \sigma^i$  (24 dimensions): The basis of  $su(3) \otimes su(2)$ , which does not constitute a closed Lie algebra.
- $\lambda^a \otimes 1 + 1 \otimes \sigma^i$  (11 dimensions): The generators of the Lie group  $SU(3) \times SU(2)$ .
- $su(3) \check{\otimes} su(2) = (su(3) \otimes su(2)) \oplus (SU(3) \times SU(2))_{algebra}$   
This is a closed 35-dimensional Lie algebra.

$SU(3) \times SU(2)$  is a 11-dimensional Lie group,  
while  $su(3) \check{\otimes} su(2)$  is a 35-dimensional Lie algebra.