

Topological Aspects of Quantum Cellular Automata in One Dimension

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ZG, C. Sünderhauf, N. Schuch, and J. I. Cirac, PRL **124**, 100402 (2020)

ZG and T. Guaita, arXiv:2106.05044

 ZG, L. Piroli, and J. I. Cirac, PRL **126**, 160601 (2021)

ZG, A. Nahum, and L. Piroli, PRL **128**, 080602 (2022)

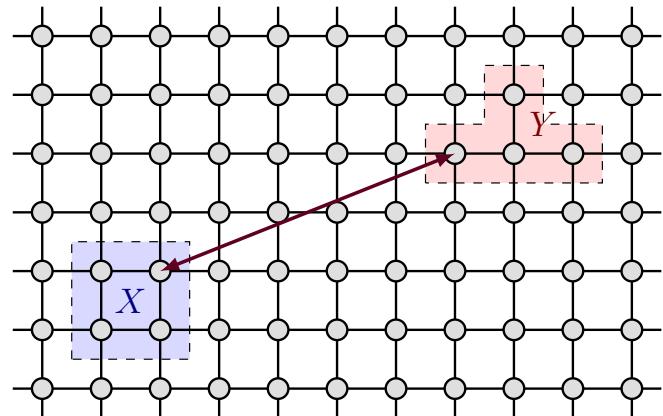
Quantum cellular automata

- Lieb-Robinson bound

“Soft” light cone from locality:

$$\|[O_X(t), O_Y]\| \leq C e^{-\kappa[\text{dist}(X,Y) - vt]}$$

E. H. Lieb and D. W. Robinson, CMP **28**, 251 (1972)

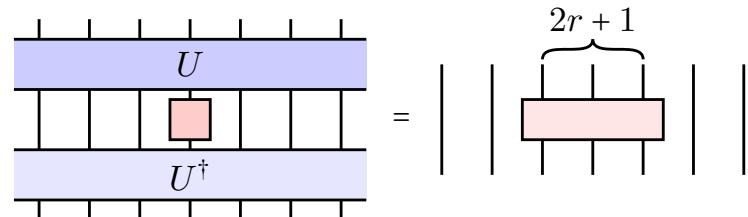


- Definition of quantum cellular automata (QCA)

Unitary that **strictly** preserves locality:

$$O_{\bar{A}} = U O_A U^\dagger$$

B. Schumacher and R. F. Werner, arXiv:quant-ph/0405174



In 1D, QCA = matrix-product unitary (MPU)

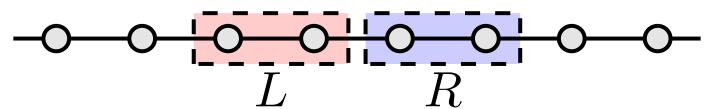
J. I. Cirac *et al.*, JSM (2017) 083105

Index for 1D QCA

- Definition of the (chiral) index

D. Gross *et al.*, Commun. Math. Phys. **310**, 419 (2012)

$$\text{ind} = \log \frac{\eta(\mathcal{A}_L^U, \mathcal{A}_R)}{\eta(\mathcal{A}_L, \mathcal{A}_R^U)} \in \log \mathbb{Q}^+$$



$$\eta(\mathcal{A}_L^U, \mathcal{A}_R) = \frac{\sqrt{d_L d_R}}{d_\Lambda} \sqrt{\sum_{i,j=1}^{d_L} \sum_{m,n=1}^{d_R} |\text{Tr}_\Lambda[U e_{ij}^{L\dagger} U^\dagger e_{mn}^R]|^2} \quad e_{ij} = |i\rangle\langle j|$$

Finite-depth quantum circuits are of zero index

Nontrivial example: right translation T on a qudit lattice

$$L = x, R = x + 1$$

$$\eta(\mathcal{A}_x^T, \mathcal{A}_{x+1}) = \eta(\mathcal{A}_{x+1}, \mathcal{A}_{x+1}) = d \text{ (maximal overlap)} \Rightarrow \boxed{\text{ind} = \log d}$$

$$\eta(\mathcal{A}_x, \mathcal{A}_{x+1}^T) = \eta(\mathcal{A}_x, \mathcal{A}_{x+2}) = 1 \text{ (zero overlap)}$$

Part I

Classification of 1D QCA with finite unitary symmetries

Collaborators: Christoph Sünderhauf, Norbert Schuch,
J. Ignacio Cirac

Phys. Rev. Lett. **124**, 100402 (2020)

Floquet SPT phases (review)

- A heuristic classification

D. V. Else and C. Nayak, PRB **93**, 201103(R) (2016)

Künneth formula

$$H^{d+1}(\mathbb{Z} \times G, U(1))$$

Time-translation
symmetry U_F

bulk

Classification of static
 d -dim. SPT phases

edge

Classification of static
 $(d-1)$ -dim. SPT phases

NOT complete! At least in $d=2$ dim. H. C. Po *et al.*, PRX **6**, 041070 (2016)

∴ 1D edges, which are locality-preserving unitaries well described by
MPUs, are characterized by the ***chiral index even without symmetry protection***

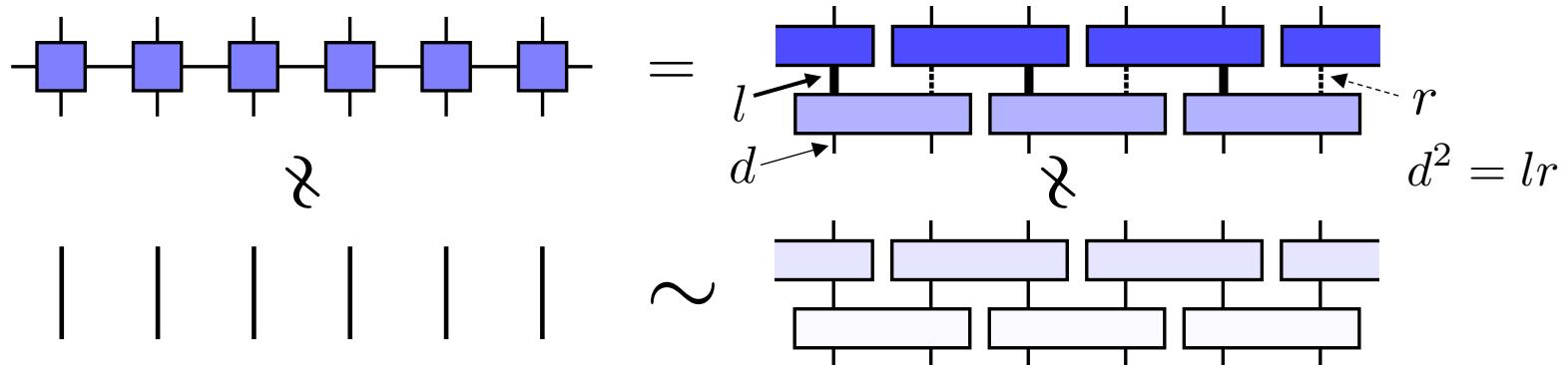
D. Gross *et al.*, Commun. Math. Phys. **310**, 419 (2012)
J. I. Cirac *et al.*, JSM (2017) 083105

Question: What is the classification (beyond $H^2(G, U(1))$)
of matrix-product unitaries with symmetries?

MPU & MPS w/o symmetry (review)

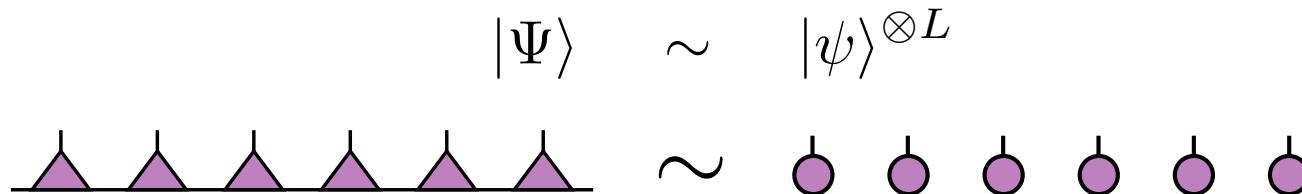
- MPU is *not* always trivial

J. I. Cirac *et al.*, JSM (2017) 083105



Chiral index: $\text{ind} = \frac{1}{2} \log \frac{r}{l} = \log \frac{r}{d} \in \log \mathbb{Q}^+$

Cf. MPS is always trivial X. Chen, Z.-C. Gu, and X.-G. Wen, PRB **83**, 035107 (2011)



Classification of SPT MPSs (review)

- Symmetry action on the virtual level

D. Pérez-García *et al.*, PRL
100, 167202 (2008)

$$\rho_g \rightarrow \begin{array}{cccccc} \text{red circle} & \text{red circle} \\ \text{purple triangle} & \text{purple triangle} \end{array} = \begin{array}{ccccc} \text{purple triangle} & \text{purple triangle} & \text{purple triangle} & \text{purple triangle} & \text{purple triangle} \end{array}$$

No SSB \rightarrow $\begin{array}{c} \text{red circle} \\ \text{purple triangle} \end{array} = \begin{array}{c} \text{pink circle} \\ \text{purple triangle} \\ \text{pink circle} \end{array} = \text{---}$

$$\sum_i [\rho_g]_{ji} A_i = V_g^\dagger A_j V_g$$

$$A_j^* \rightarrow \begin{array}{c} \text{red circle} \\ \text{purple triangle} \\ \text{pink circle} \\ \text{pink circle} \end{array} = \begin{array}{c} \text{pink circle} \end{array} \quad V_g \sim \beta_g V_g, \quad \beta_g \in \text{U}(1)$$

- Classification by the 2nd cohomology group

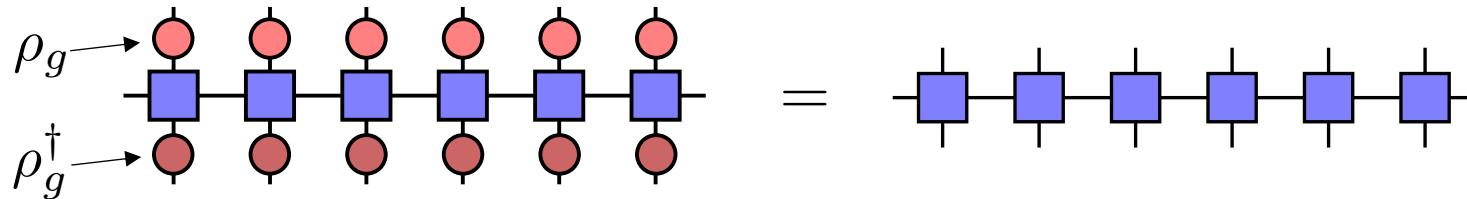
$$\Rightarrow V_g V_h = \omega_{g,h} V_{gh}, \quad \omega_{g,h} \in \text{U}(1), \quad \omega_{g,h} \sim \omega_{g,h} \beta_g \beta_h / \beta_{gh}$$

$$\Rightarrow [\omega : G \times G \rightarrow \text{U}(1)] \in H^2(G, \text{U}(1))$$

X. Chen, Z.-C. Gu, and X.-G. Wen,
PRB 83, 035107 (2011)
N. Schuch, D. Pérez-García, and
I. Cirac, PRB 84, 165139 (2011)

Cohomology classes for MPUs

- Analogy to MPSs

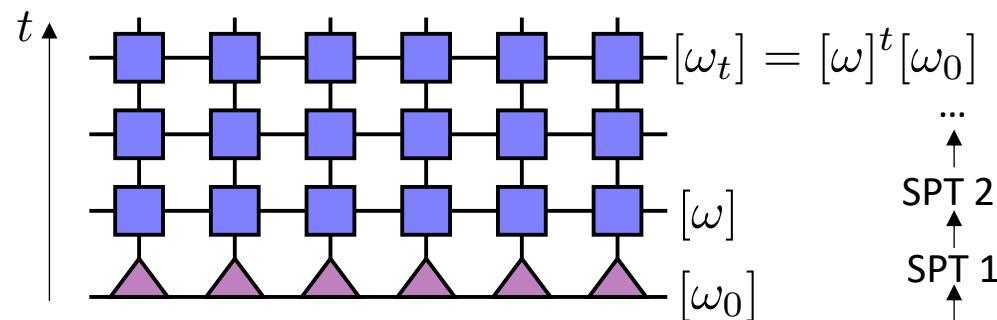


$$\sum_{i',j'} [\rho_g]_{ii'} [\rho_g^*]_{jj'} \mathcal{U}_{i'j'} = z_g^\dagger \mathcal{U}_{ij} z_g$$

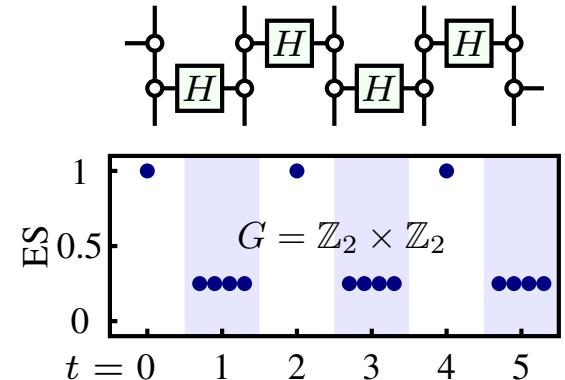
$$z_g z_h = \omega_{g,h} z_{gh}$$

$$[\omega] \in H^2(G, \mathrm{U}(1))$$

- SPT discrete time-crystalline oscillation

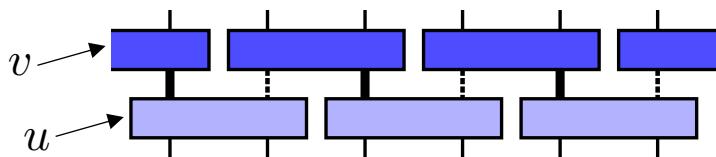


A. C. Potter and T. Morimoto,
PRB 95, 155126 (2017)



Beyond cohomology

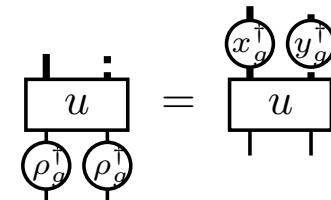
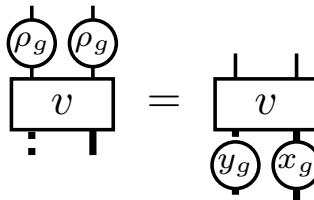
- Symmetry action on the bilayer-unitary form



Gauge transformation: J. I. Cirac *et al.*,
JSM (2017) 083105

$$v \rightarrow v(y \otimes x)$$

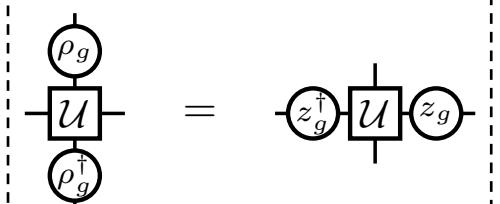
$$u \rightarrow (x^\dagger \otimes y^\dagger)u$$



$$x_g x_h = \omega_{g,h} x_{gh}$$

$$y_g y_h = \omega_{g,h}^{-1} y_{gh}$$

cf. $z_g z_h = \omega_{g,h} z_{gh}$



- Symmetry-protected index (SPI)

cf. chiral index: $\text{ind} = \frac{1}{2} \log \frac{r}{l} = \log \frac{r}{d}$

$$= \frac{1}{2} \log \frac{\text{Tr } y_e}{\text{Tr } x_e} = \log \frac{\text{Tr } y_e}{\text{Tr } \rho_e}$$

Cyclotomic field:
 $\mathbb{Q}(\omega_n \equiv e^{\frac{2\pi i}{n}}) \equiv$
 $\{\sum_{j=0}^{n-1} q_j \omega_n^j : q_j \in \mathbb{Q}\}$

If $\chi_g \equiv \text{Tr } \rho_g \neq 0$, $\text{ind}_g = \frac{1}{2} \log \left| \frac{\text{Tr } y_g}{\text{Tr } x_g} \right| = \log \left| \frac{\text{Tr } y_g}{\text{Tr } \rho_g} \right| \in \log |\mathbb{Q}(\omega_{d_g})/\{0\}|$

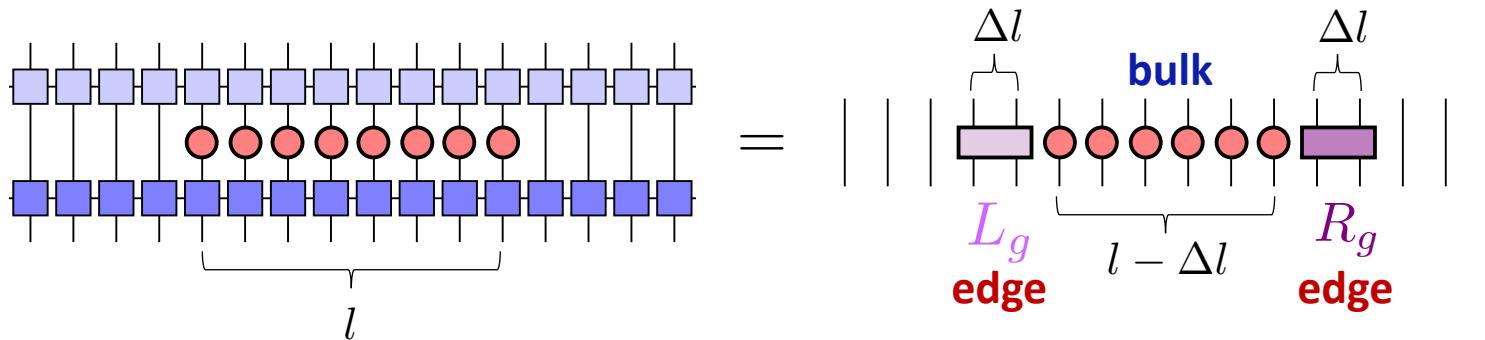
$$d_g \equiv \min\{d \in \mathbb{Z}^+ : g^d = e\}$$

Interpreting the symmetry-protected index

- Evolved symmetry-string operator

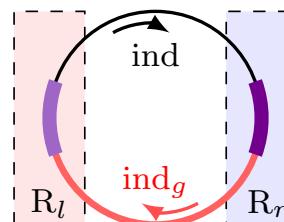
cf. edge modes in equilibrium SPT phases

$$U^\dagger \rho_g^{\otimes l} U = L_g \otimes \rho_g^{\otimes(l-\Delta l)} \otimes R_g$$



$$\text{ind}_g - \text{ind} = \frac{1}{2} \log \left| \frac{\text{Tr } L_g}{\text{Tr } R_g} \right| - \textbf{Measure of asymmetry}$$

- Symmetry-charge-pump picture



State-like vs. Genuinely dynamical

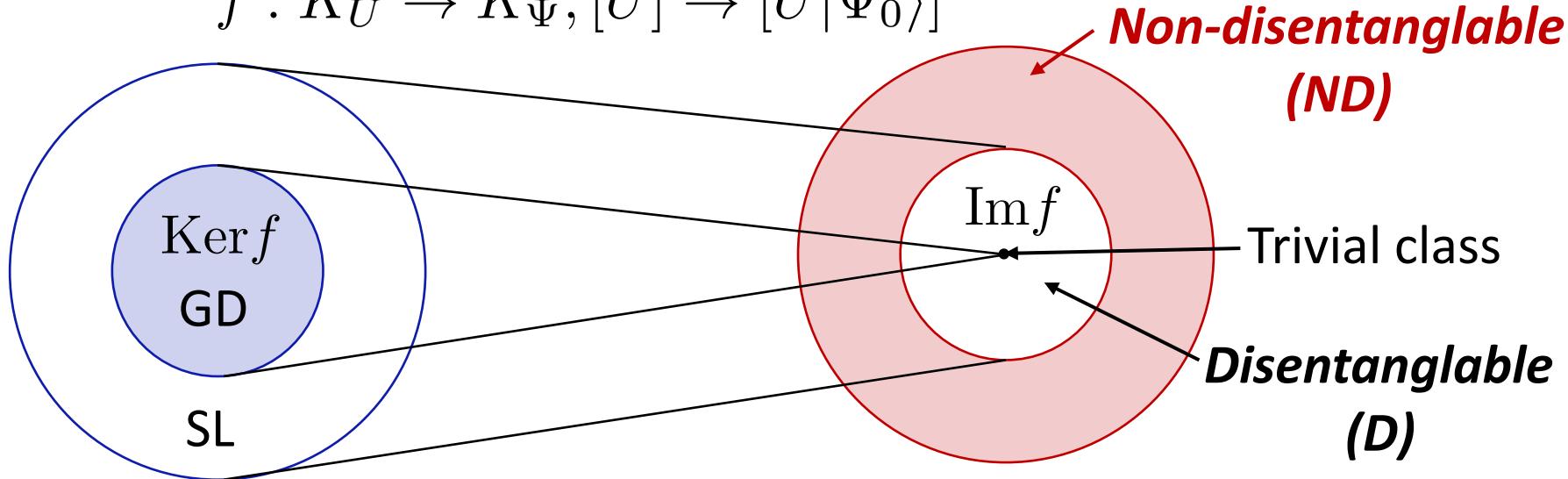
- Two types of topological invariants

Cohomology class: trivial \rightarrow SPT – ***state-like (SL)***

SPI / index: trivial \rightarrow trivial – ***genuinely dynamical (GD)***

- Homomorphism from QCA to short-range states

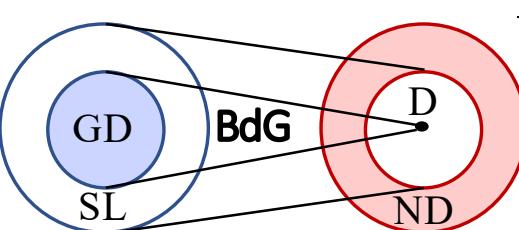
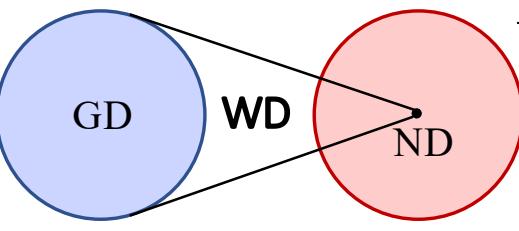
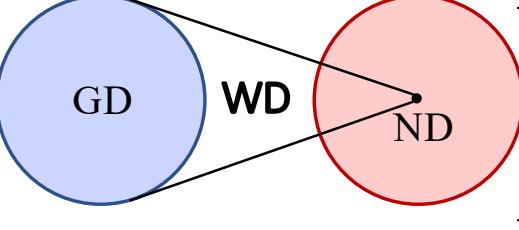
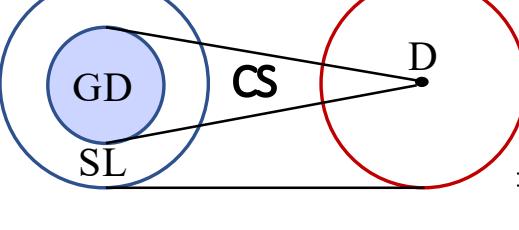
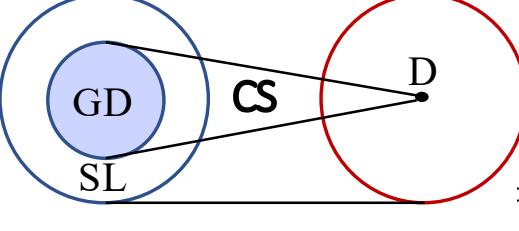
$$f : K_U \rightarrow K_\Psi, [U] \mapsto [U|\Psi_0\rangle]$$



Refining the periodic table

- Map from fermionic Gaussian QCA to fermionic Gaussian states with fundamental symmetries

Cf. A. Schnyder *et al.*, PRB **78**, 195125 (2008)

d	0	1	2	3	fGO	fGS	0	1	2	3
D	\mathbb{Z}_2	\mathbb{Z}	0	0			\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
DIII	0	\mathbb{Z}	0	\mathbb{Z}			0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
C	0	$2\mathbb{Z}$	0	\mathbb{Z}_2			0	0	$2\mathbb{Z}$	0
CI	0	\mathbb{Z}	0	\mathbb{Z}			0	0	0	$2\mathbb{Z}$
A	0	\mathbb{Z}	0	\mathbb{Z}			\mathbb{Z}	0	\mathbb{Z}	0
AI	\mathbb{Z}_2	\mathbb{Z}	0	0			\mathbb{Z}	0	0	0
AII	0	$2\mathbb{Z}$	0	\mathbb{Z}_2			$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2
AIII	0	\mathbb{Z}^2	0	\mathbb{Z}^2			0	\mathbb{Z}	0	\mathbb{Z}
BDI	\mathbb{Z}_2^2	\mathbb{Z}^2	0	0			\mathbb{Z}_2	\mathbb{Z}	0	0
CII	0	$2\mathbb{Z}^2$	0	\mathbb{Z}_2^2			0	$2\mathbb{Z}$	0	\mathbb{Z}_2

Part II

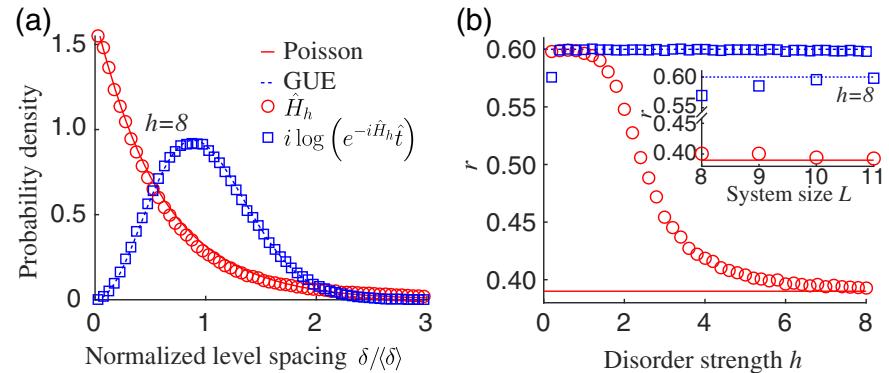
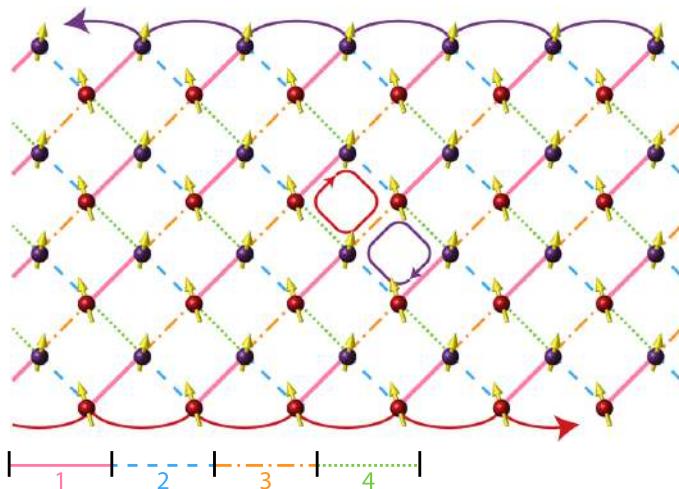
Impact of nontrivial dynamical topology on entanglement growth

Collaborators: Lorenzo Piroli, J. Ignacio Cirac



Phys. Rev. Lett. **126**, 160601 (2021)

Motivation



H. C. Po *et al.*, PRX 6, 041070 (2016)

2D Chiral Floquet MBL Phases

1D Edge cannot be MBL

Locality + MBL

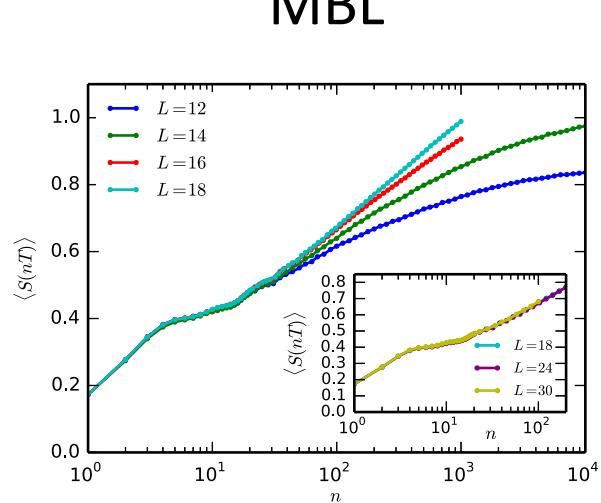
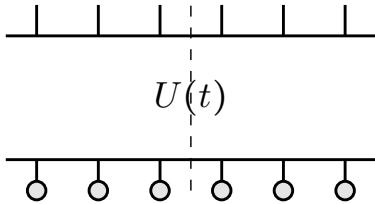


QCA

Question: Can we rigorously rule out MBL?

Entanglement growth

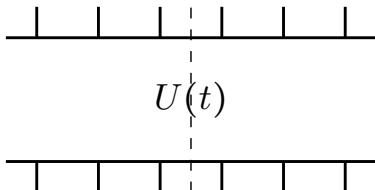
State entanglement



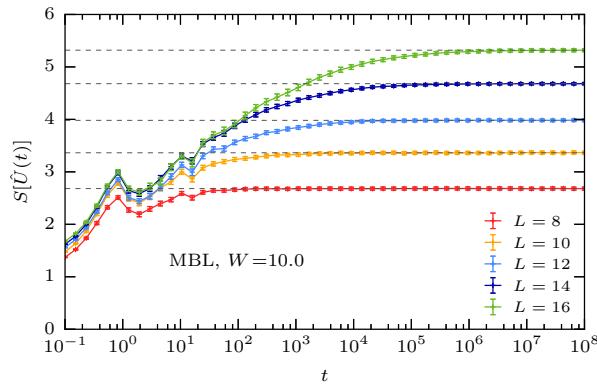
J. H. Bardarson, F. Pollmann, and J. E. Moore, PRL 109, 017202 (2012)

P. Ponte *et al.*, PRL 114, 140401 (2015)

Operator entanglement



P. Zanardi, PRA 63,
040304(R) (2001)



T. Zhou and D. J. Lutz, PRB 95, 094206 (2017)

Translation

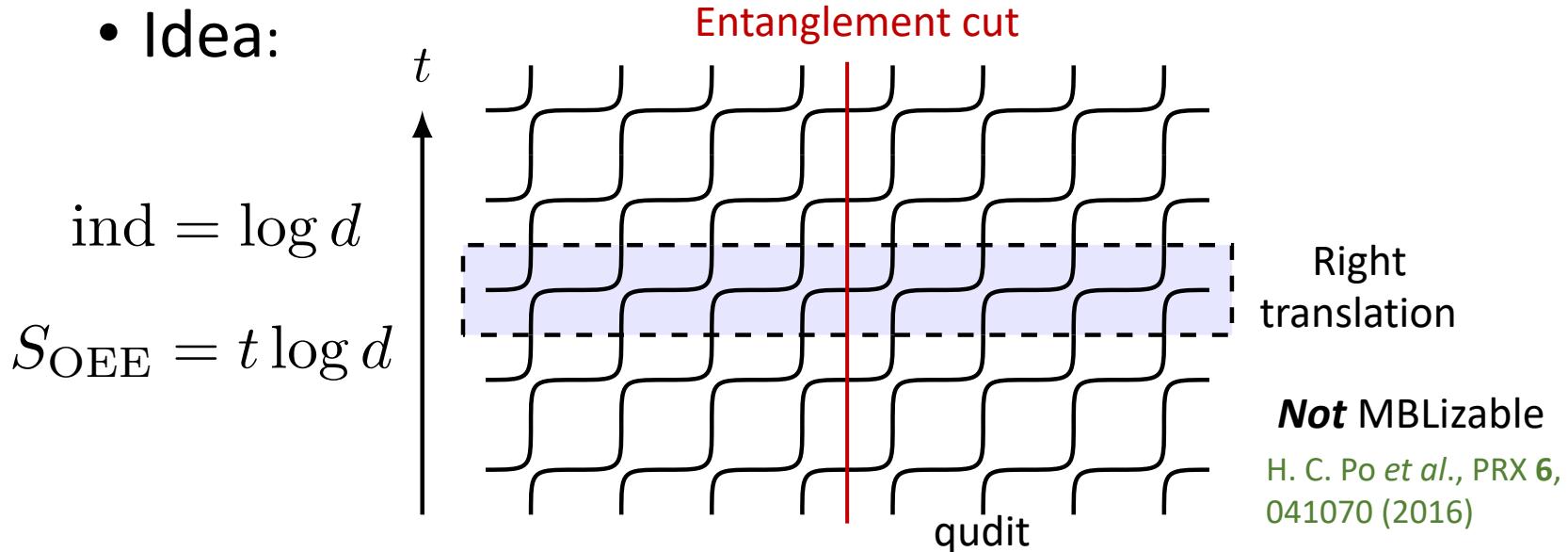
$$|\Psi_{\text{prod}}\rangle \rightarrow |\Psi'_{\text{prod}}\rangle$$

$$S(t) = 0$$

?

Conjecture (@2020 MPHQ interview)

- Idea:



Operator entanglement bounded by the chiral index?

- Impact: Rigorous result on topology & thermalization
Lower bound on chaos (cf. MSS bound)

J. Maldacena, S. H. Shenker, and D. Stanford, JHEP 2016, 106

Setup and the main result

- Index of a QCA

$$\text{ind} = \log \frac{d'_{2x}}{d_{2x}} = \log \frac{d_{2x+1}}{d'_{2x+1}} \in \log \mathbb{Q}^+$$

- Operator entanglement

$$|U\rangle \equiv (U \otimes \mathbb{I})|I\rangle, \quad |I\rangle \equiv d^{-N/2}(\sum_{j=1}^d |jj\rangle)^{\otimes N}$$

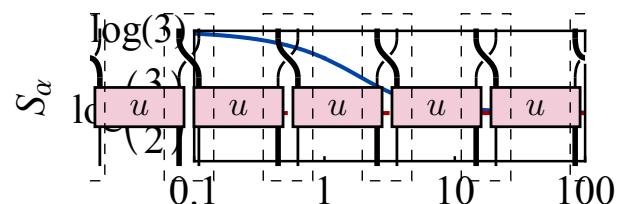
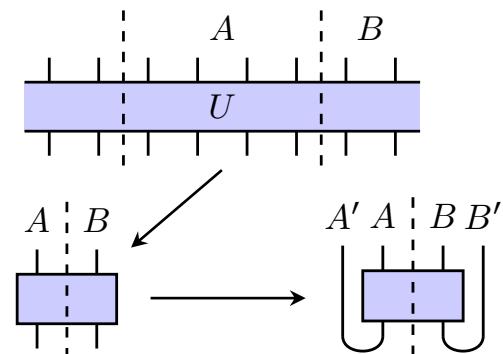
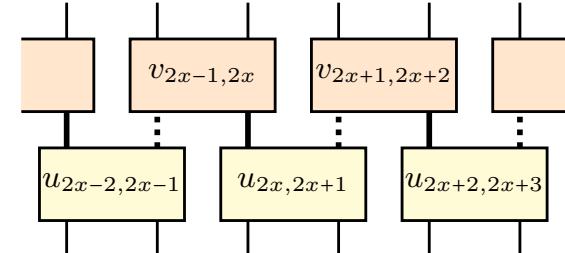
$$S_{AA'}^{(\alpha)} \equiv \frac{1}{1-\alpha} \log \text{Tr} \rho_{AA'}^\alpha$$

- Lower bound on chaos

$$S_{AA'}^{(\alpha)} \geq 2|\text{ind}|$$

If $\min\{|A|, N - |A|\} \geq 2r$

Tight for
 (i) $|\text{ind}| \in \log \mathbb{Z}^+$
 (ii) $\alpha = \infty$



Proof of the main result

- Entropy formula of the index

$$\text{ind} = \frac{1}{2}(S_{ab'b'}^{(\alpha)} - S_{a'b'}^{(\alpha)})$$

$$S_{aba'b'} \geq |S_{ab'b'} - S_{a'b'}| = 2|\text{ind}|$$

$$\Rightarrow S_{aba'b'}^{(\alpha \leq 1)} \geq 2|\text{ind}|$$

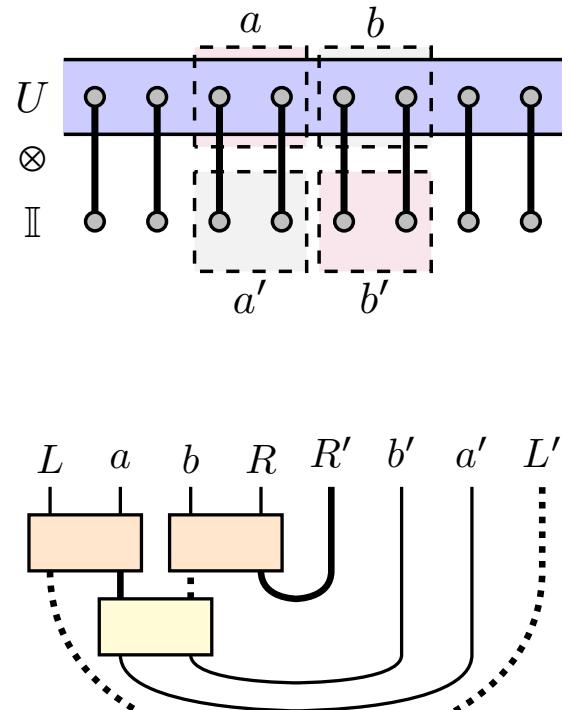
- Weak subadditivity

W. van Dam and P. Hayden,
[arXiv:quant-ph/0204093](https://arxiv.org/abs/quant-ph/0204093)

$$S_{aba'b'}^{(\alpha)} = S_{LL'}^{(\alpha)} + S_{RR'}^{(\alpha)}$$

$$S_{LL'}^{(\alpha)} \geq \max\{S_L^{(\alpha)} - S_{L'}^{(0)}, S_{L'}^{(\alpha)} - S_L^{(0)}\} = |\text{ind}|$$

$$S_{RR'}^{(\alpha)} \geq \max\{S_R^{(\alpha)} - S_{R'}^{(0)}, S_{R'}^{(\alpha)} - S_R^{(0)}\} = |\text{ind}|$$



Stability against exponential tails

$$U = \hat{\mathbf{T}} e^{-i \int_0^T dt \sum_j h_j(t)} U_{\text{QCA}}$$

Local and bounded $h \equiv \max_{j,t} \|h_j(t)\|$

- Example of violating $S^{(\alpha)} \geq 2 \text{ ind}$

$$H(t) = h \mathbb{S}^{[j_t, j_t+1]}, \quad j_t = \lfloor t|A|/T \rfloor$$

$$S^{(\infty)} = 2 \log d - \log[1 + (d^2 - 1)\epsilon]$$

$$\epsilon = \sin^{2|A|}(hT/|A|) \sim e^{-\mathcal{O}(|A| \log |A|)}$$

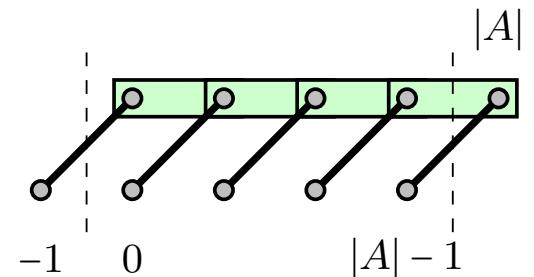
- General proof for $S^{(\alpha)} > 2 \text{ ind} - e^{-\mathcal{O}(|A| \log |A|)}$

Step 1 – Approximate Hamiltonian evolution by quantum circuit

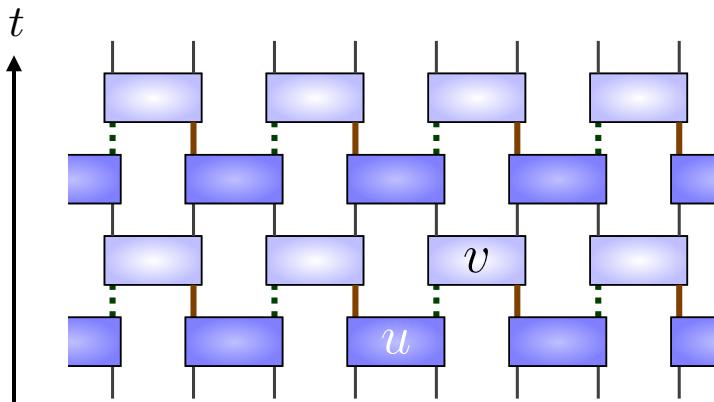
T. J. Osborne, PRL 97, 157202 (2006)

Step 2 – Optimizing the (time-dependent) Lieb-Robinson bound

M. B. Hastings, arXiv:1008.5137; ZG et al., PRA 101, 052122 (2020)



Exactly solvable chaotic anomalous dynamics: Random QCA



Butterfly velocity:

$$v_L = \frac{p^2 q^2 - q(p+q) + 1}{p^2 q^2 - 1}, v_R = \frac{p^2 q^2 - p(p+q) + 1}{p^2 q^2 - 1}$$

State- & Operator-entanglement velocity:

$$v_E = \log_{d^2} \left[\frac{(pq+1)^2}{2d(p+q)} \right], v_E^{(o)} = \log_{d^2} \left[\frac{(pq+1)^2 (pq-1)}{d(\sqrt{p(q^2-1)} + \sqrt{q(p^2-1)})^2} \right]$$

Tripartite-information velocity: $v_{\text{tri}} = v_E^{(o)} - \frac{|\text{ind}|}{\log(pq)}$

i.i.d Haar random

$$u : \mathbb{C}^d \otimes \mathbb{C}^d \rightarrow \mathbb{C}^p \otimes \mathbb{C}^q$$

$$v : \mathbb{C}^q \otimes \mathbb{C}^p \rightarrow \mathbb{C}^d \otimes \mathbb{C}^d$$

$$\Rightarrow \text{ind} = \frac{1}{2} \log \frac{q}{p}$$

Cf. Random quantum circuits ($p = q = d$)

$$v_B = \frac{d^2 - 1}{d^2 + 1}$$

$$v_E = \log_d \left(\frac{d^2 + 1}{2d} \right)$$

C. W. von Keyserlingk
et al., PRX **8**, 021013
(2018); A. Nahum, S.
Vijay, and J. Haah, PRX
8, 021014 (2018)

Entanglement-membrane theory

- Hydrodynamic equation (zero index)

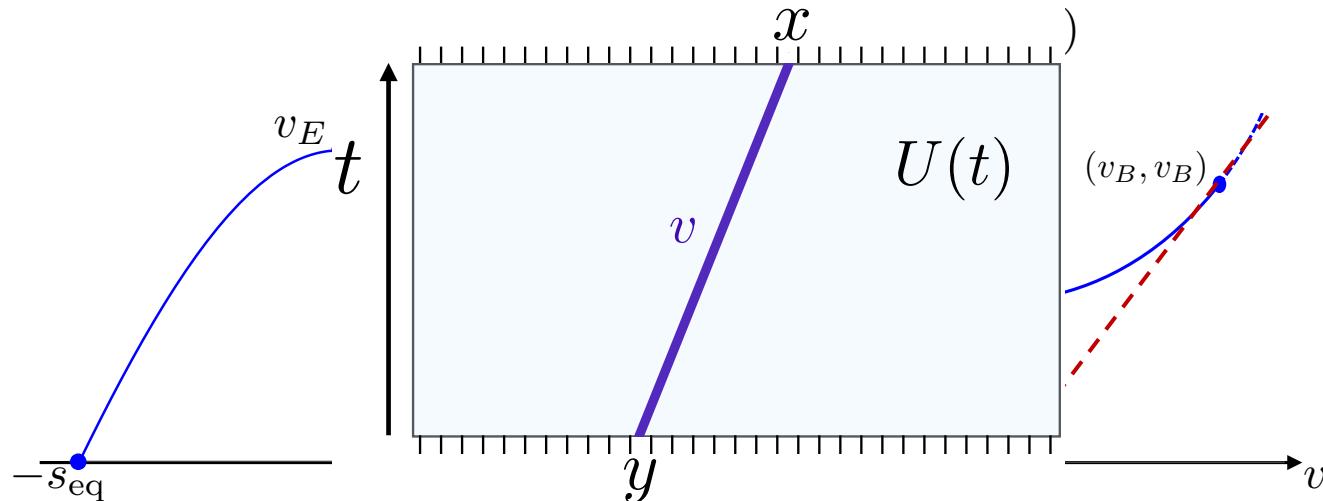
$$\frac{\partial S}{\partial t} = s_{\text{eq}} \Gamma \left(\frac{\partial S}{\partial x} \right)$$

$S(x, t)$: Entanglement entropy of subsystem
 $(-\infty, x]$ at time t

Formal solution:

$$S(x, t) = \min_y \left(ts_{\text{eq}} \mathcal{E} \left(\frac{x-y}{t} \right) + S(y, 0) \right)$$

$$\mathcal{E}(v) = \max_s \left(\Gamma(s) + \frac{vs}{s_{\text{eq}}} \right)$$



Entanglement-membrane theory

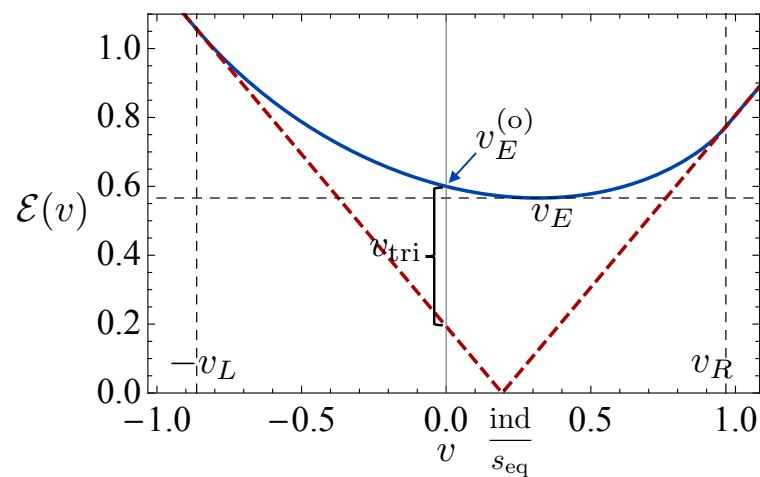
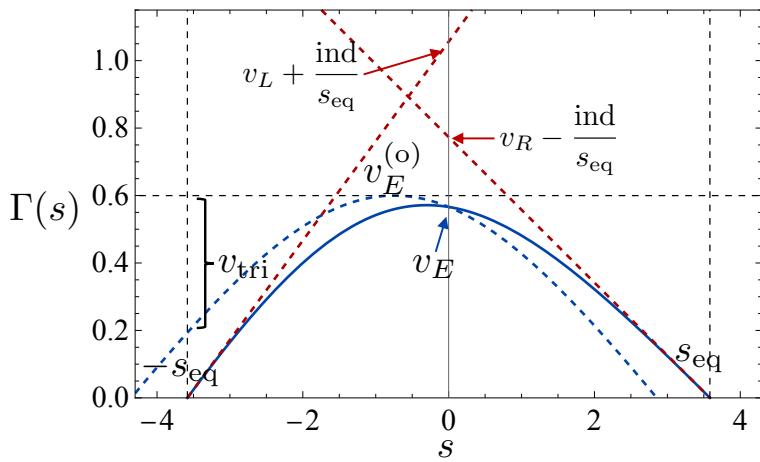
- Hydrodynamic equation (nonzero index)

$$\frac{\partial S}{\partial t} + \boxed{\frac{\text{ind}}{s_{\text{eq}}} \frac{\partial S}{\partial x}} = s_{\text{eq}} \Gamma \left(\frac{\partial S}{\partial x} \right)$$

Index appears as a background velocity

Formal solution:

$$S(x, t) = \min_y \left(t s_{\text{eq}} \mathcal{E} \left(\frac{x - y}{t} \right) + S(y, 0) \right) \quad \mathcal{E}(v) = \max_s \left(\Gamma(s) + \frac{vs}{s_{\text{eq}}} - \boxed{\frac{\text{ind}}{s_{\text{eq}}} \frac{s}{s_{\text{eq}}}} \right)$$



Summary

- Classification of symmetric 1D QCA

Cohomology + SPI

State-like vs. genuinely dynamical

arXiv:2106.05044

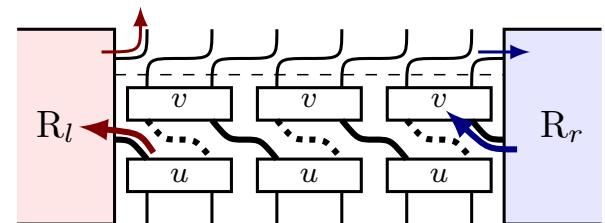
- Impact on entanglement dynamics

$$S^{(\alpha)} \geq 2|\text{ind}|$$

Generalized entanglement-
membrane theory

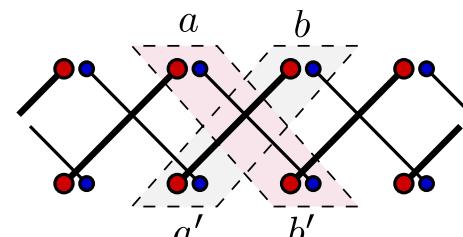
PRL 128, 080602 (2022)

Part I



PRL 124, 100402 (2020)

Part II



PRL 126, 160601 (2021)

Outlook

Part I

- Complete classification in 1D Cf. C. Zhang, arXiv:2306.03171
- Nontrivial QCA in higher dimensions Cf. J. Haah, L. Fidkowski, and M. B. Hastings, Commun. Math. Phys. **398**, 469 (2023)
- Homomorphism for interacting systems Cf. T. D. Ellison and L. Fidkowski, PRX **9**, 011016 (2019)

Part II

- Tighter bound for finite α
- Impact of SPI
- Modular commutator, generalized Kitaev sum

Cf. R. Fan, P. Zhang, and Y. Gu, SciPost Phys. **15**, 249 (2023)

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Appendix: Equivalence between algebra overlap and Rényi-2 entropy

$$\text{ind} = \ln \frac{\eta(\mathcal{A}_L^U, \mathcal{A}_R)}{\eta(\mathcal{A}_L, \mathcal{A}_R^U)} = \frac{1}{2}(S_{LR'}^{(2)} - S_{L'R}^{(2)})$$

$$\eta(\mathcal{A}_L^U, \mathcal{A}_R) = \frac{\sqrt{d_L d_R}}{d_\Lambda} \sqrt{\sum_{i,j=1}^{d_L} \sum_{m,n=1}^{d_R} |\text{Tr}_\Lambda[U e_{ij}^{L\dagger} U^\dagger e_{mn}^R]|^2}$$

